# **Chapter 1: Introduction**

#### 1.1 Introduction

Electric vehicles (EVs) are the key to future urban mobility and affordable power. However, controlling their charging behavior is still a practical problem of when do drivers keep driving their vehicles with very low battery levels? This behavior known as low-SOC driving (State-of-Charge < 20%) is likely to be due to underlying issues such as range anxiety, poor charging infrastructure, or variable usage patterns.

In this project we will perform a human-in-the-loop analysis of low-SOC EV behavior using the UrbanEV dataset. Specifically we will use a combination of descriptive statistics, visualization, and lightweight machine learning to understand temporal and behavioral trends, predict whether a post-low-SOC charging event will occur, and provide interpretable insights to guide future EV power management and user decision support systems. Ref. Zhao et al. (2022) "Low-SOC travel prediction accuracy is only 38.4% at best: an analysis of its irregularity." Applied Energy 313. Although this work provides descriptive statistics of low-SOC behavior, it is your project to extend their work by performing machine learning to discover actional patterns in low-SOC behavior.

### 1.2 Background of the Problem

EV adoption is high worldwide, but operational EV operation presents challenges. Low-SOC driving (drivers using vehicles to operate SOC < 20%) incurs risks, including battery damage, emergency charging and grid damage. Despite its impacts, empirical studies on low-SOC behavior are limited. Most existing literature focuses on:

- 1. Route optimization (e.g., minimizing energy consumption).
- 2. Charging station recommendations (e.g., location-based services).
- 3. Aggregate energy demand forecasting (e.g., city-level charging load prediction).

However, there is a lack of deep exploration of low-SOC driving behaviors, such as low-SOC driving frequency, timing, and post-low-SOC charging behaviors. Ref. (Zhao et al. 2022) reported that the accuracy of low-SOC behavior prediction models is only 38.4%, and more empirical studies are needed. In this project, we will explore to use real-world data to characterize low-SOC events and its implications. Through the integration of lightweight machine learning models (e.g., classification + SHAP interpretation), we aim to bridge descriptive analysis and predictive insights.

#### 1.3 Statement of the Problem

Current EV charging behaviour models and policies for infrastructure assume a mainstream usage where low-SOC driving is not an edge case. Without proper analysis, the following questions become unknown:

- How often do low-SOC driving events take place?
- Are low-SOC events concentrated at certain times/pools of users?
- Do low-SOC events always lead to charging, or are there delays?
- How do factors such as public charging density and time of day affect low-SOC behaviour?

• Can we use machine learning models to forecast post-low-SOC charging behaviour and discover high-risk user groups?

Failing to account for these issues could result in poor infrastructure policies, user dissatisfaction and increased risk of battery degradation.

### 1.4 Research Questions

The study investigates the following questions:

- 1. When do low-SOC driving events occur? (i.e., temporal distribution at the hourly, daily, or weekly level).
- 2. What are the driving characteristics (e.g., energy consumption, distance travelled) of low-SOC and normal-SOC trips?
- 3. What is the probability of immediately charging after a low-SOC event and what factors influence this?
- 4. Can we use SHAP-based interpretability analysis to explain which of the key features contribute to machine learning predictions?
- 5. How are geographical and environmental factors (e.g., charging station density, urban versus rural) correlated with low-SOC behaviour?

### 1.5 Objectives of the Research

This research aims to:

- 1. Define and separate low-SOC driving events (SOC < 20%) from the UrbanEV dataset.
- 2. Study the temporal distribution (hourly, daily, weekly) of low-SOC events.
- 3. Study the energy consumption, distance, and charging behavior of low-SOC and normal-SOC trips.
- 4. Predict post-low-SOC charging behavior using lightweight machine learning algorithms (e.g., XGBoost).
- 5. Interpret model decision making using SHAP values to explore the characteristics of low-SOC behavior.
- 6. Discover high-risk user clusters and visualize the spatial–temporal pattern to support policy and infrastructure design.

### 1.6 Scope of the Study

The study is confined to:

- 1. Data: Analysis of UrbanEV dataset. It is a six-month public dataset including data of thousands of EVs.
- 2. Analytical Methods:
  - Methods: Descriptive statistics (e.g., histograms, boxplots, heatmaps).
  - Machine learning techniques:
    - Classification models (e.g., XGBoost) for predicting post-low-SOC charging behaviors.
    - SHAP based interpretability analysis on models.
- 3. Temporal patterns and behavioral analysis of low-SOC driving, lightweight predictive modeling
- 4. No extra data (e.g., weather, POI) are added on top of UrbanEV public dataset.

## 1.7 Significance of the Study

This research contributes to:

- 1. Background information:
  - Descriptive analysis of an exploratory phenomenon: low-SOC EVs. A poorly understood class of observations.
  - Innovation in methodology: descriptive analysis combined with interpretable machine learning.
- 2. Practical Implications:
  - Infrastructure providers can learn where to place more charging stations (e.g., high-density areas with frequent low-SOC delays).
  - The dynamic user alert service can learn (e.g., low-SOC alert with charging suggestions).
- 3. Policy Impact:
  - Energy policy makers can learn how to mitigate low battery degradation risks and balance the grid demand.
  - Input evidence for future research on predictive models and behavior-based EV management systems.

#### 1.8 References

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