

## Laboratory 6

In this laboratory we will focus on generative models for classification of text.

### Multinomial models

Dante's "Divina Commedia" is a well known example of a poem in which the authors uses different styles through the different parts of the work. The poem is divided in three *Cantiche*, respectively "Inferno" (Hell), "Purgatorio" (Purgatory) and "Paradiso" (Heaven). Each part is written using different linguistic styles, moving from less to more aulic as we progress from Hell towards Heaven. Each Cantica is divided in Canti, 34 for Inferno, and 33 for Purgatorio and Paradiso. Each Canto consists of a variable number of verses (115 to 160), organized in tercets.

In this laboratory we will use statistical methods to analyze how the stylistic differences can be exploited to understand the Cantica of a given tercet. In particular, we will build a multinomial word model for the three Canticas and classify tercets excerpts. To avoid biased results, the tercets used to train the model will be different from those we evaluate on.

### Dataset

The files `data/inferno.txt`, `data/purgatorio.txt`, `data/paradiso.txt` contain tercets for the three different parts. To simplifying parsing, the files are already organized so that each line corresponds to a tercet. In the following examples, we use 25% of the tercets as test, and the remaining ones as training data. Loading function examples can be found in `data/load.py`. You can load the data using the function `load_data`. This returns a list with all tercets for the three canticas. For each list, you can split the tercets in training and test lists using function `split_data` (again in file `load.py`)

### A multinomial model for text

We have seen two, **equivalent**, models for text data:

- We can assume that each word is an event. We have  $N$  independent categorical R.V.s  $X_i \in \mathcal{D}$  that describe each of the  $N$  tokens of the document.  $\mathcal{D}$  is the set of all possible words (dictionary), with size  $M$ . The whole document is a realization of  $(X_1 \dots X_N)$ . The model parameters are the word probabilities  $\pi_i = P(X_j = \mathcal{D}_i)$ .
- We can represent the document in terms of occurrences of each word. In this case, we have  $M$  R.V.s  $Y_j$  that describe the number of occurrences of each word,  $Y_j \in \mathbb{N}$ . Each  $Y_j$  is related to the R.V.s  $X_i$  of the first model as  $Y_j = \sum_{i=1}^N \mathbb{I}[X_i = j]$ , where  $\mathbb{I}$  is the indicator function.

The likelihood, in the first case, is given by

$$\mathcal{L}_X(\Pi) = \prod_{i=1}^N \pi_{x_i} = \prod_{i=1}^N \prod_{j=1}^M \pi_j^{\mathbb{I}[x_i=j]}$$

and the log-likelihood is thus

$$\ell_X(\Pi) = \log \mathcal{L}_X(\Pi) = \sum_{i=1}^N \sum_{j=1}^M \mathbb{I}[x_i = j] \log \pi_j = \sum_{j=1}^M N_j \log \pi_j$$

where  $N_j$  is the number of occurrences of word  $j$ .

In the second case the likelihood is

$$\mathcal{L}_Y(\Pi) = \frac{N!}{y_1! \dots y_M!} \prod_{j=1}^M \pi_j^{y_j} = \frac{N!}{N_1! \dots N_M!} \prod_{j=1}^M \pi_j^{N_j}$$

since  $y_j$  is the number of occurrences of word  $j$ , i.e.  $y_j = N_j$ . The log-likelihood is thus

$$\ell_Y(\Pi) = \log \mathcal{L}_Y(\Pi) = \xi + \sum_{j=1}^m y_j \log \pi_j = \xi + \sum_{j=1}^m N_j \log \pi_j$$

where  $\xi$  is the logarithm of the multinomial coefficient, which **does not depend on the parameters**. Thus, we have that

$$\mathcal{L}_X(\Pi) \propto \mathcal{L}_Y(\Pi)$$

Since the likelihoods are proportional, inference based on  $\mathcal{L}_X$  and  $\mathcal{L}_Y$  will be the same:

- ML estimates will be the same for both models (the terms  $\xi$  is irrelevant for maximization)
- Likelihood ratios and class posterior probabilities will also be the same, since the proportionality term  $\alpha = e^\xi$  simplifies

We can therefore use both models to represent the text.

## Training the model

ML estimates of the model parameters can be obtained by maximizing, for each cantica, either  $\ell_X$  or  $\ell_Y$ . Let  $N_{c,j}$  be the number of occurrences of word  $j$  in cantica  $c$ . Then, the ML parameters for cantica  $c$  are

$$\pi_{c,j} = \frac{N_{c,j}}{N_c}$$

with  $N_c = \sum_{j=1}^m N_{c,j}$  being the number of words of cantica  $c$ . We can observe that  $\pi_{c,j}$  is equal to the frequencies of word  $j$  in the document.

To compute the frequencies we first need to build the dictionary of all possible words. We consider only words that appear in the training set — if test tercets contain unknown words we can simply discard them, as they would not, in any case, provide support for any of the three hypotheses under consideration.

*Suggestion:* you can treat the tercets of each part as if they were a single, long body of text (the proposed solution, however, explicitly processes the tercet lists).

Once we have computed the dictionary of words, we can proceed with computing the occurrences of each word in each of the three canticas.

*Suggested method 1:* We can represent word counts using python dictionaries, where keys are words and values are occurrences

*Suggested method 2 (alternative to method 1):* We can label each word with a progressive number 0 to  $M - 1$ , and create an array for each class storing the occurrences of each word

Finally, we need to normalize counts to obtain frequencies (this is easier if you used method 2).

NOTE: for some classes word frequencies may be 0. Since the prediction is based on the logarithm of the frequencies, this implies that we will get **inf** values for samples that contain words that do not appear in one cantica. This may lead to numerical issues, since we may have prediction likelihoods for different canticas that are 0 for all three classes. A simple solution to avoid this issue is to remove those words that do not appear in all three canticas. However, these words may actually be significant: if a word appears several times in Inferno and never in Paradiso, then it's a good clue for identifying tercets from Inferno.

A better solution consists in augmenting the word counts with pseudo-counts, i.e. rather than using the counts  $N_{c,j}$  we use  $\widehat{N}_{c,j} = N_{c,j} + \varepsilon$ , where  $\varepsilon$  is a (small) value. In this context  $\varepsilon$  is a hyperparameter, and we would need to estimate its optimal value on a validation set. For this laboratory, you can simply try different values and see how this affects the results. Below you will find the results with  $\varepsilon = 0.001$ , but higher accuracy can be obtained, for example, with  $\varepsilon = 1$ . In a Bayesian framework,  $\varepsilon = 1$  can be justified as an approximation of a Bayesian model (we won't see the details).

## Predicting the cantica

We now turn to prediction for a given tercet  $t$ . Again, we can represent the tercet in terms of its tokens  $\mathbf{x} = [x_1 \dots x_N]$  (here  $N$  refers to the length of the test tercet, and  $x_i$  is the  $i$ -th word of the tercet), or in terms of word occurrences  $Y_1 \dots Y_M$ .

In the first case, we can compute class-conditional log-likelihoods as

$$\log f_{\mathbf{X}|C}(\mathbf{x}|c) = \sum_{i=1}^N \log \pi_{c,x_i}$$

For each word  $x_i$ , the corresponding log-probability is  $P(X_i = x_i|c) = \pi_{c,x_i}$ , and we simply sum the log-probabilities of each word.

Note that, in the second case, we should compute class-conditional log-likelihoods as

$$\log f_{\mathbf{Y}|C}(\mathbf{y}|c) = \sum_{j=1}^M y_j \log \pi_{c,j} + \xi$$

however, we can ignore the term  $\xi$  and simply compute again the log-likelihoods as  $\sum_{j=1}^M y_j \log \pi_{c,j}$ . As we have seen, the term  $\xi$  is indeed irrelevant for inference.  $y_j$  is the number of occurrences of word  $j$  in the test tercet.

If you used suggested method 2 to represent the probabilities  $\pi_{c,j}$ , then the result can be expressed as a vector product  $\log f_{\mathbf{Y}|C}(\mathbf{y}|c) = \mathbf{y}^T \mathbf{w}_c$ , where  $\mathbf{w}_c = [\log \pi_{c,1} \dots \log \pi_{c,M}]$

In both cases, compute for each sample the class-conditional log-likelihoods (up to the constant factor  $\xi$ ), and use them to compute class posteriors. As for the continuous case, the suggestion is to create a matrix of scores  $S$ , where each row corresponds to a class and each column to a test sample (tercet).

We can now analyze the classification performance for different tasks

1. Closed-set multiclass classification: consider all 3 classes, and compute class posterior probabilities assuming uniform priors  $P(c) = 1/3$ . Given the score matrix  $S$ , this is done exactly in the same way as for the continuous case (Laboratory 5). Measure the accuracy for each class (number of correct predictions for samples of each class over number of samples of the class). If you use the provided split, with  $\varepsilon = 0.001$ , you should obtain 53% for Inferno, 48% for Purgatorio and 57% for Paradiso. Overall, the accuracy will be 52%. This implies we can identify the cantica with an accuracy better than chance.
2. The lexical choices in Purgatorio are something in between those of Paradiso and Inferno. We thus consider pairs Inferno - Paradiso, Inferno - Purgatorio and Purgatorio - Paradiso. These are 3 binary tasks. Repeat the estimation / inference for these 3 tasks, each time considering only 2 classes. You should get:
  - Inferno - Paradiso: 75%
  - Inferno - Purgatorio: 62%
  - Purgatorio - Paradiso: 65%

This confirms that Inferno and Paradiso are easier to discriminate, whereas Purgatorio is more similar to both other canticas.

NOTE: we can “cheat” and obtain the solution to the binary problem from the score matrix  $S$  of the three-class problem. Indeed, if we consider only the rows of  $S$  that we are interested in, these give us the matrix  $S_b$  class-conditional likelihoods for the two classes. The difference between this approach and re-training using only two classes is that in the former case the binary matrix  $S_b$  contains also conditional likelihoods for words that may not appear in neither of the two considered class, but appear in the third one - these would be discarded if we trained from scratch. However, since the additional words would have a frequency proportional to  $\varepsilon$  for both classes, their contributions would disappear when computing class posteriors.