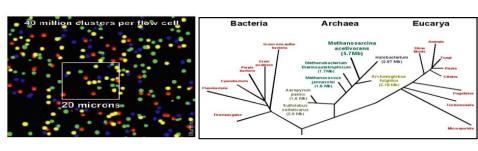


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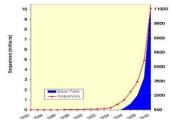


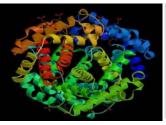
#### **Supplementary Learning Materials**

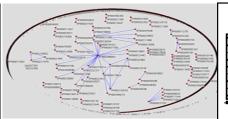
#### 叶永鑫(Adam Y. Ye) 北京大学生物信息学中心 or Biginformatics, Poking Unive

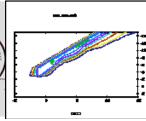












#### Outline

 Introduction of Likelihood and Bayesian approach

Genotyper of MAQ and SNVMix

# Likelihood & Bayesian

- Likelihood function
  - a function of the parameters of a statistical model
  - $-L(\theta) = P(Data | \theta)$
- Bayesian approach
  - P(θ|Data)  $\propto$  P(θ)\* P(Data|θ)
  - posterior ∝ prior \* likelihood

## A Simple Demostration

- Toss a biased coin, let  $\theta$ = P(Head) in one trial
- Probability for seeing HTHH?

$$L(\theta) = P(Data|\theta) = P(HTHH|\theta)$$
$$= \theta \cdot (1 - \theta) \cdot \theta \cdot \theta = \theta^{3}(1 - \theta)$$

Bernoulli distribution

Probability for seeing 3 Heads in 4 trials?

$$L(\theta) = P(Data|\theta) = P(3H \text{ in } 4|\theta)$$
$$= {4 \choose 3} \theta^3 (1-\theta)$$

binomial distribution

#### Models for SNP Calling and Genotyping

#### MAQ

 Li, H., Ruan, J., and Durbin, R. (2008). Mapping short DNA sequencing reads and calling variants using mapping quality scores. Genome Research 18, 1851–1858.

#### samtools

 Li, H. (2011). A statistical framework for SNP calling, mutation discovery, association mapping and population genetical parameter estimation from sequencing data. Bioinformatics 27, 2987–2993.

#### GATK

- McKenna, A., Hanna, M., Banks, E., Sivachenko, A., Cibulskis, K., Kernytsky, A., Garimella, K., Altshuler, D., Gabriel, S., Daly, M., et al. (2010). The Genome Analysis Toolkit: A MapReduce framework for analyzing next-generation DNA sequencing data. Genome Research 20, 1297–1303.
- DePristo, M.A., Banks, E., Poplin, R., Garimella, K.V., Maguire, J.R., Hartl, C., Philippakis, A.A., del Angel, G., Rivas, M.A., Hanna, M., et al. (2011). A framework for variation discovery and genotyping using next-generation DNA sequencing data. Nature Genetics 43, 491–498.

#### SNVMix

Goya, R., Sun, M.G.F., Morin, R.D., Leung, G., Ha, G., Wiegand, K.C., Senz, J., Crisan, A., Marra, M.A., Hirst, M., et al. (2010). SNVMix: predicting single nucleotide variants from next-generation sequencing of tumors. Bioinformatics 26, 730–736.

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- Data: a pile of bases, with baseQ
  - k nucleotide b and (n-k) nucleotide b' with error rate  $\epsilon_1 \leq \cdots \leq \epsilon_k \quad \epsilon_{k+1} \leq \cdots \leq \epsilon_n$
- Goal: call genotype <b,b>, <b,b'>, <b',b'>

• For G=<b,b'>,  $\Pr\{Data|G=< b,b'>\} \approx \frac{1}{2^n} \binom{n}{k}$ 

For G=<b,b>,

 $\alpha_{nk} = \Pr\{\text{exactly } k \text{ errors in } n \text{ bases}\}$ 

$$\bar{\alpha}_{nk}(\bar{\epsilon}) = \binom{n}{k} \bar{\epsilon}^k (1 - \bar{\epsilon})^{n-k}$$

 $\alpha_{nk} = \Pr\{\text{exactly } k \text{ errors in } n \text{ bases}\}$ 

$$\beta_{nk} = \begin{cases} \Pr{\text{more than } k \text{ errors} | \text{more than } k - 1 \text{ errors in } n \text{ bases} \} & (k > 0) \\ \Pr{\text{more than } 0 \text{ error in } n \text{ bases} \}} & (k = 0) \end{cases}$$

$$\alpha_{nk} = (1 - \beta_{nk})\beta_{n(k-1)} \cdots \beta_{n2}\beta_{n1} = (1 - \beta_{nk}) \prod_{i=0}^{\kappa-1} \beta_{ni}$$
  $\sum_{k=0}^{n} \alpha_{nk} = 1$ 

$$\beta_{nk} = \frac{\sum_{i=k+1}^{n} \alpha_{ni}}{\sum_{i=k}^{n} \alpha_{ni}} = \frac{1 - \sum_{i=0}^{k} \alpha_{ni}}{1 - \sum_{i=0}^{k-1} \alpha_{ni}}$$

$$\beta_{nn} = 0$$

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$$\bar{\alpha}_{nk}(\bar{\epsilon}) = \binom{n}{k} \bar{\epsilon}^k (1 - \bar{\epsilon})^{n-k} \qquad \bar{\beta}_{nk}(\bar{\epsilon}) = \frac{1 - \sum_{i=0}^k \bar{\alpha}_{ni}}{1 - \sum_{i=0}^{k-1} \bar{\alpha}_{ni}}$$
$$\beta_{nk}(\bar{\epsilon}) = \bar{\beta}_{nk}^{f_k}(\bar{\epsilon}) \qquad 0 < f_k \le 1$$

$$\alpha_{nk}(\bar{\epsilon}) = (1 - \bar{\beta}_{nk}^{f_k}) \prod_{i=0}^{k-1} \bar{\beta}_{ni}^{f_i} = (1 - \bar{\beta}_{nk}^{f_k}) \prod_{i=0}^{k-1} \left(\frac{\bar{\beta}_{ni}}{\bar{\epsilon}}\right)^{f_i} \cdot \bar{\epsilon}^{f_i} = c_{nk}(\bar{\epsilon}) \cdot \prod_{i=0}^{k-1} \bar{\epsilon}^{f_i}$$

$$c_{nk}(\bar{\epsilon}) = (1 - \bar{\beta}_{nk}^{f_k}) \prod_{i=0}^{k-1} \left(\frac{\bar{\beta}_{ni}}{\bar{\epsilon}}\right)^{f_i}$$

$$\alpha_{nk}(\epsilon_1, \cdots, \epsilon_k; \epsilon_{k+1}, \cdots, \epsilon_n) \approx c_{nk}(\bar{\epsilon}) \cdot \prod_{i=0}^{k-1} \epsilon_{i+1}^{f_i}$$

$$\log \bar{\epsilon} = \frac{\sum_{i=0}^{k-1} f_i \log \epsilon_{i+1}}{\sum_{i=0}^{k-1} f_i} \qquad \prod_{i=0}^{k-1} \bar{\epsilon}^{f_i} = \prod_{i=0}^{k-1} \epsilon_{i+1}^{f_i}$$

$$\prod_{i=0}^{k-1} \bar{\epsilon}^{f_i} = \prod_{i=0}^{k-1} \epsilon_{i+1}^{f_i}$$

$$f_k = 0.85^k$$

$$\alpha_{nk}(\epsilon_1, \cdots, \epsilon_k; \tilde{\epsilon}_1, \cdots, \tilde{\epsilon}_k; \epsilon_{k+1}, \cdots, \epsilon_n; \tilde{\epsilon}_{k+1}, \cdots, \tilde{\epsilon}_n) \approx c_{nk}(\bar{\epsilon}) \prod_{i=0}^{k-1} \epsilon_{i+1}^{f_i} \cdot c_{n\bar{k}}(\bar{\tilde{\epsilon}}) \prod_{\bar{i}=0}^{\bar{k}-1} \tilde{\epsilon}_{\bar{i}+1}^{f_i}$$

- For G= $\langle b,b \rangle$ ,  $\Pr\{Data|G=\langle b,b \rangle\} = \alpha_{nk}(\epsilon_1,\cdots,\epsilon_k;\epsilon_{k+1},\cdots,\epsilon_n)$
- For G=<b,b'>,  $\Pr\{Data|G=<b,b'>\} \approx \frac{1}{2^n} \binom{n}{k}$
- For G= $\langle b', b' \rangle$ ,  $\Pr\{Data|G = \langle b', b' \rangle\} = \alpha_{n,n-k}(\epsilon_{k+1}, \dots, \epsilon_n; \epsilon_1, \dots, \epsilon_k)$

 $\Pr\{G|Data\} \propto \Pr\{G\} \cdot \Pr\{Data|G\}$ 

• For G=<b,b>,

 $\Pr\{G = \langle b, b \rangle | Data\} =$ 

$$\Pr\{G = \langle b, b \rangle\} \cdot \Pr\{Data | G = \langle b, b \rangle\}$$

 $\Pr\{G = < b, b >\} \cdot \Pr\{Data | G = < b, b >\} + \Pr\{G = < b, b' >\} \cdot \Pr\{Data | G = < b, b' >\} + \Pr\{G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b',$ 

• For G=<b,b'>,

 $\Pr\{G = < b, b' > |Data\} =$ 

$$\Pr\{G=< b,b'>\}\cdot\Pr\{Data|G=< b,b'>\}$$

 $\Pr\{G = < b, b > \} \cdot \Pr\{Data | G = < b, b > \} + \Pr\{G = < b, b' > \} \cdot \Pr\{Data | G = < b, b' > \} + \Pr\{G = < b', b' > \} \cdot \Pr\{Data | G = < b', b' > \}$ 

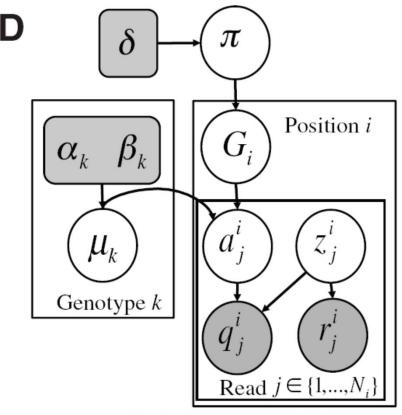
 $\Pr\{G = < b', b' > |Data\} =$ 

$$\Pr\{G = \langle b', b' \rangle\} \cdot \Pr\{Data | G = \langle b', b' \rangle\}$$

 $\Pr\{G = < b, b >\} \cdot \Pr\{Data | G = < b, b >\} + \Pr\{G = < b, b' >\} \cdot \Pr\{Data | G = < b, b' >\} + \Pr\{G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\} \cdot \Pr\{Data | G = < b', b' >\}$ 

## Genotyping Model used in SNVMix

- Probabilistic Graphical Model
  - position i, read j, genotype k
  - G<sub>i</sub>: genotype
  - a<sub>i</sub>: match reference allele or not?
  - q<sub>i</sub>: prob. of correct base calling
  - z<sub>i</sub>: alignment correct or not?
  - $-r_i^i$ : prob. of correct mapping
  - $-\mu_k$ : parameter of binomial for genotype k



SNVMix2 model

Goya, R., et al. (2010). SNVMix: predicting single nucleotide variants from next-generation sequencing of tumors. Bioinformatics 26, 730–736.

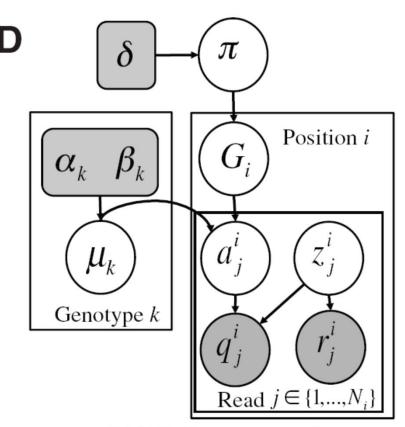
## Genotyping Model used in SNVMix

$$p(G_i|\pi) = \text{Multinomial}(G_i|\pi,1)$$

$$p(\pi|\delta) = \text{Dirichlet}(\pi|\delta)$$

$$p(a'_j|G_i = k, \mu_k) = \text{Bernoulli}(a'_j|\mu_k)$$

$$p(\mu_k | \alpha_k, \beta_k) = \text{Gamma}(\mu_k | \alpha_k, \beta_k)$$



SNVMix2 model

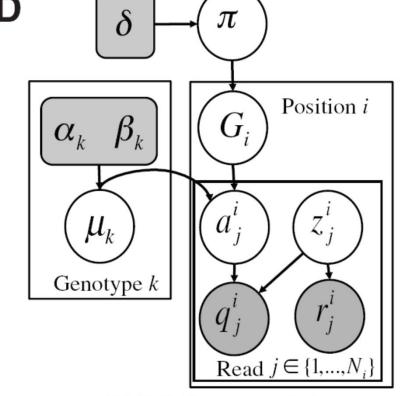
Goya, R., et al. (2010). SNVMix: predicting single nucleotide variants from next-generation sequencing of tumors. Bioinformatics 26, 730–736.

#### Genotyping Model used in SNVMix

$$p(z_j^i) = \text{Bernoulli}(z_j^i|0.5)$$

$$p(q_j^i|a_j^i, z_j^i) = \begin{cases} q_j^i & \text{if } a_j^i = 1, z_j^i = 1\\ 1 - q_j^i & \text{if } a_j^i = 0, z_j^i = 1\\ 0.5 & \text{if } z_j^i = 0 \end{cases}$$

$$p(r_j^i|z_j^i) = \begin{cases} r_j^i & \text{if } z_j^i = 1\\ 1 - r_j^i & \text{if } z_j^i = 0 \end{cases}$$



SNVMix2 model

Goya, R., et al. (2010). SNVMix: predicting single nucleotide variants from next-generation sequencing of tumors. Bioinformatics 26, 730–736.

#### Thank you for your attention

