

# Hierarchical KV Cache Compression for LLMs

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# Transformers and Attention

- Transformers rely heavily in the attention mechanism
- Compares current token to all tokens in the sequence.

Strong connection between “pizza” and “it”

The pizza came out of the oven and it tasted good.

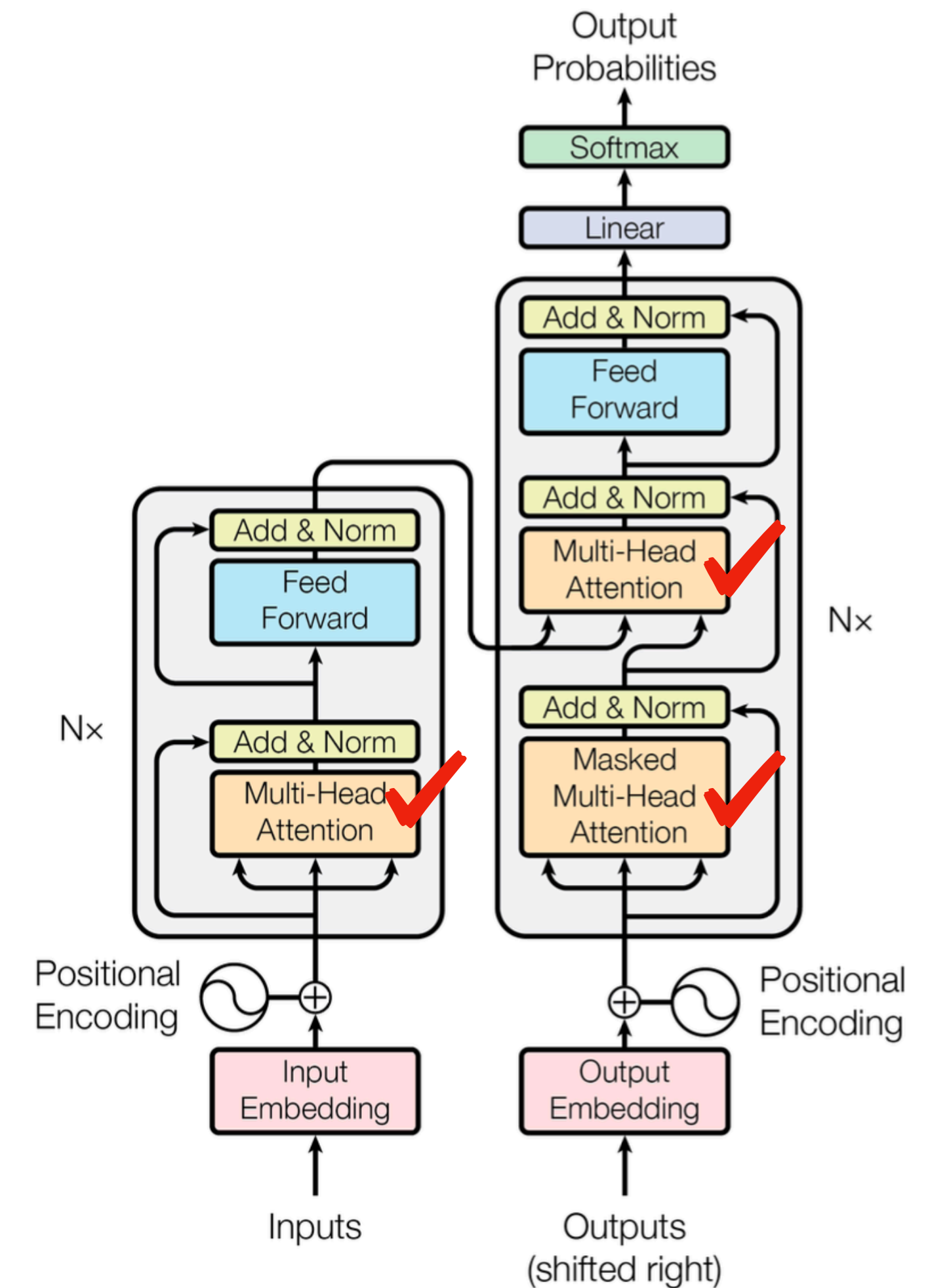
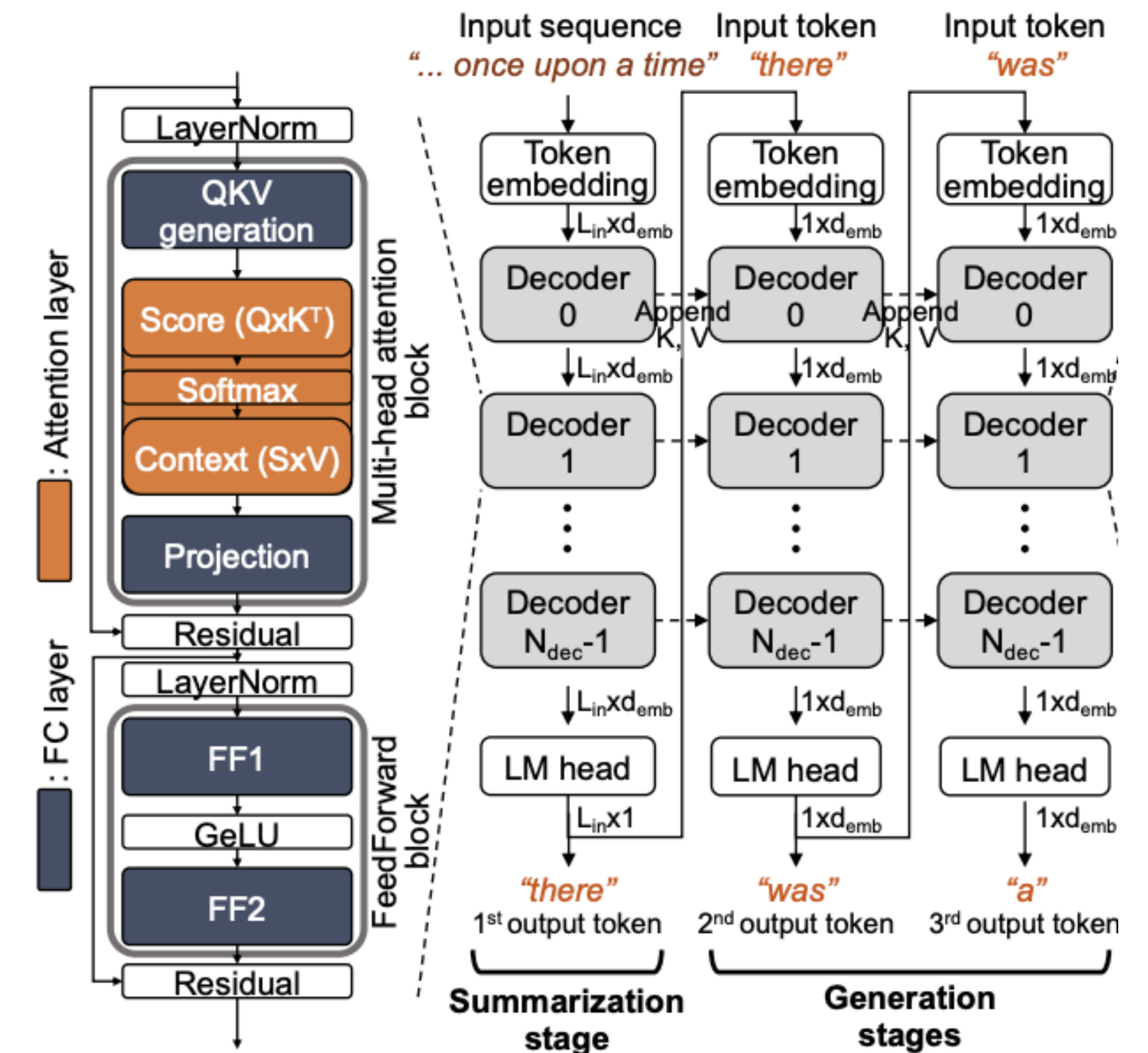


Figure 1: The Transformer - model architecture.

# Transformers and Attention

- LLM computation is divided into two phases:
  - **Summarization (Prefill) Stage:** processing of all the tokens in the prompt
  - **Generation (Decode) Stage:** autoregressive generation of tokens



# KV Cache

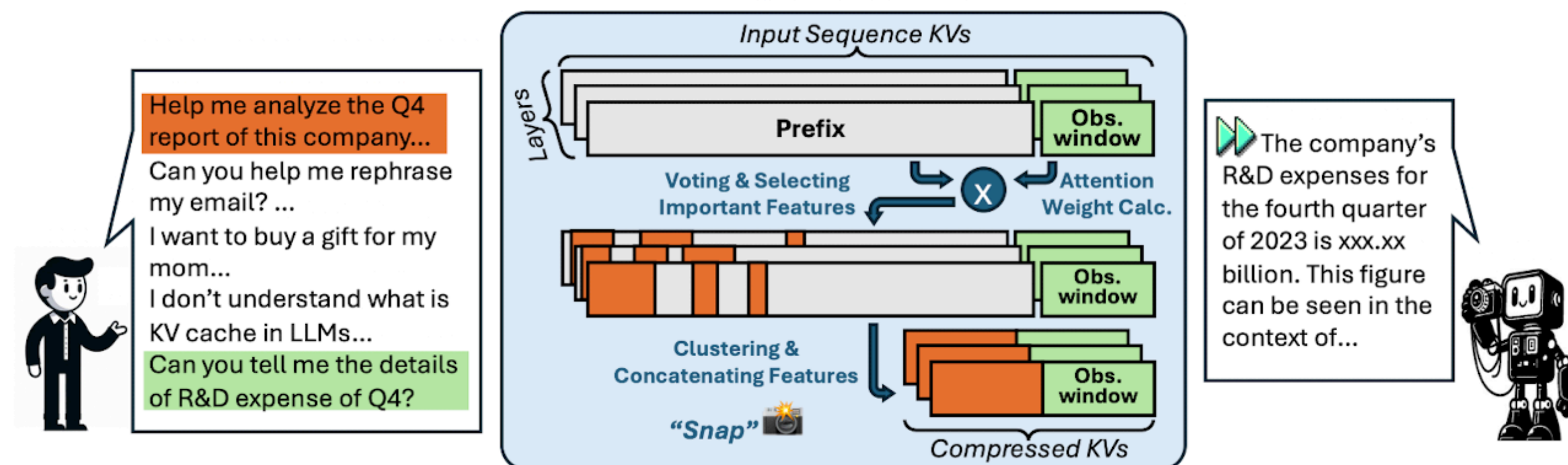
- To generate a new token, it needs the computed keys and values for all previous tokens.
- For computation efficiency, cache the KV, trade for extra memory.
- Problem:
  - longer context and larger LLMs = larger KV cache
- KV cache compression is a hot topic.
  - Token-level KV cache optimization



# Current KV Cache Research

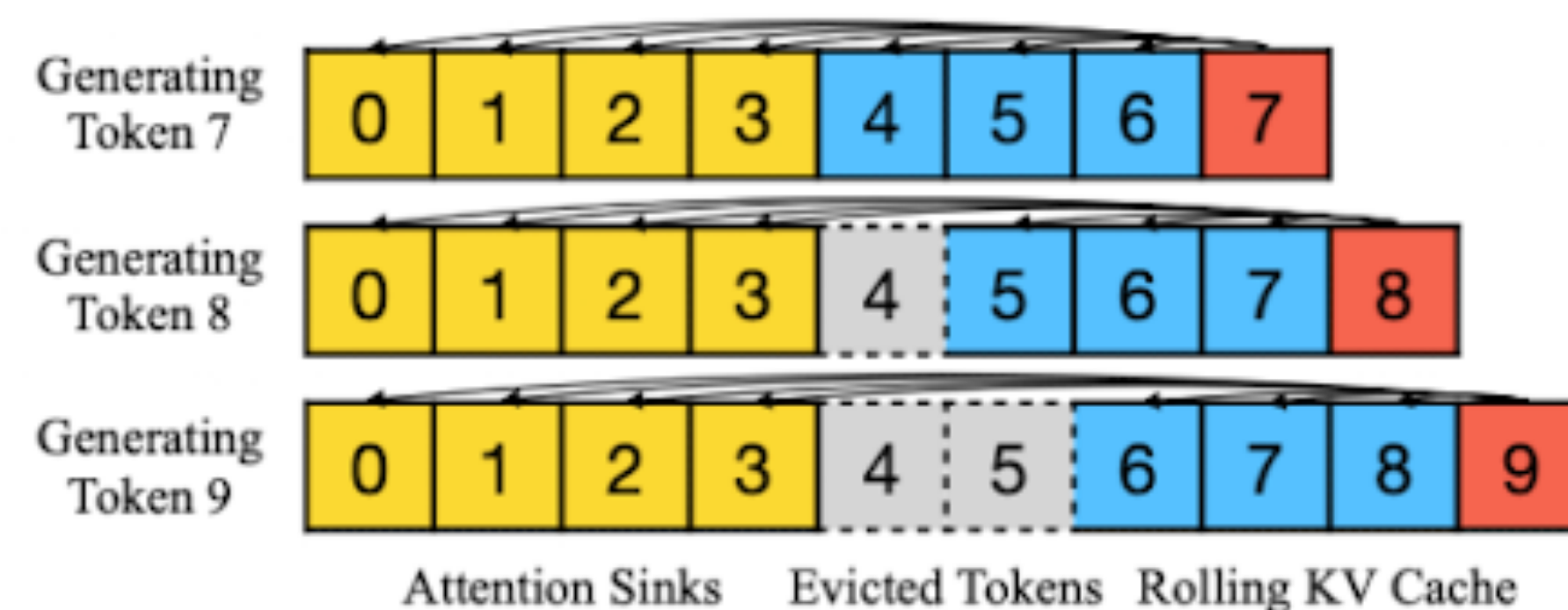
- **SnapKV (NIPS 2024)**

- the end part of the prompt dictates which tokens of the prompt should be kept



- **AttentionSink (ICLR 2024)**

- it was observed that a large amount of attention scores are allocated to the initial tokens



# Problems with SnapKV

- Why always the end part of the prompt?
- Suppose a prompt where the question is at the front.
- SnapKV is limited by the **assumption that the most important parts are at the end** of the prompt.

## Example Prompt A

Today was ...  
For some reason **he fell sick** ...  
So that was ...

**Why was John absent yesterday?**

## Example Prompt B

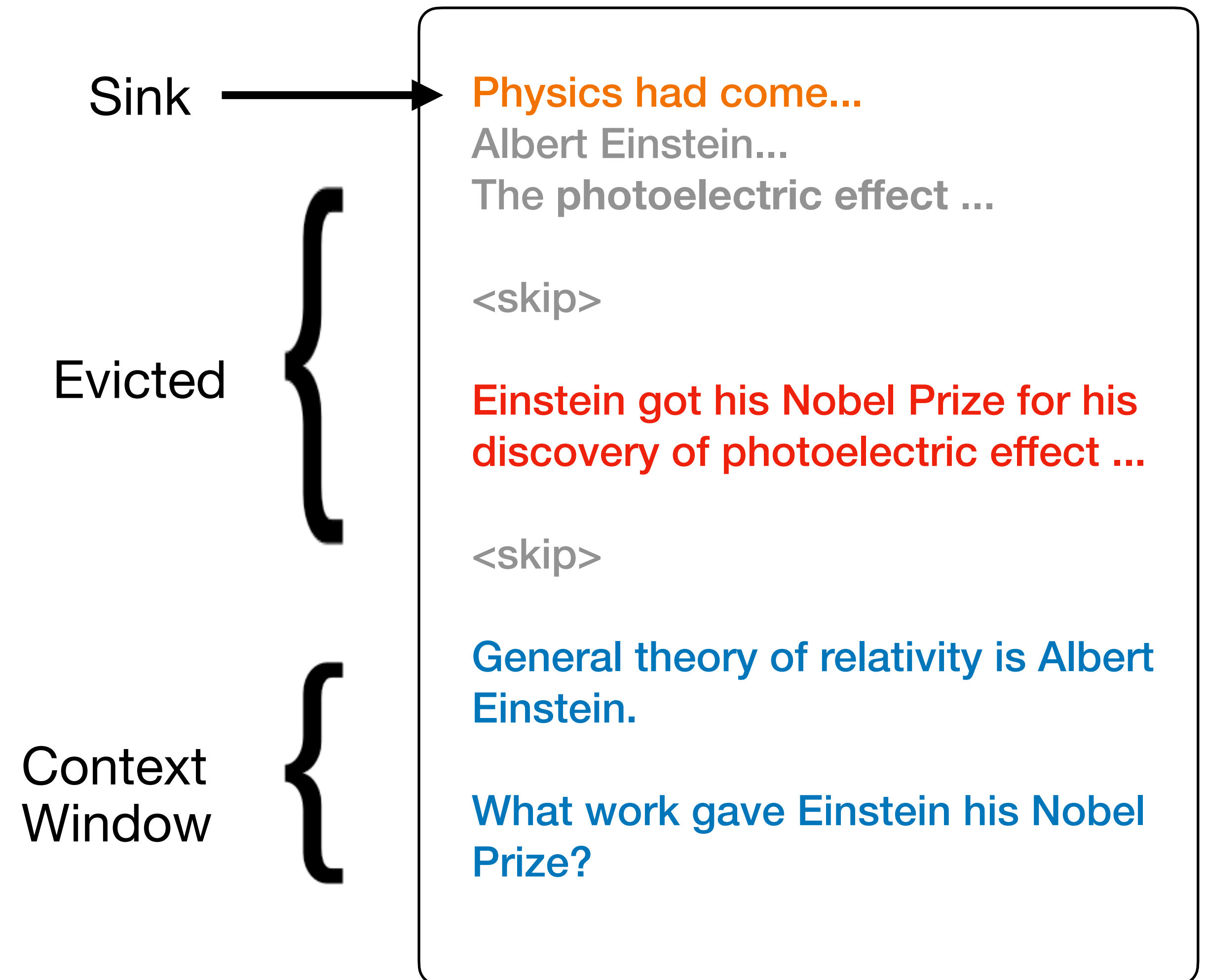
In this excerpt, answer this question.  
**Why was John absent yesterday?**

Today was ...  
For some reason **he fell sick** ...  
So that was ...

# Problems with AttentionSinks

- Highly referenced tokens can exist at the middle.
- AttentionSinks and Context Windows **aggressively removes tokens at the middle** of the prompt and generated tokens.

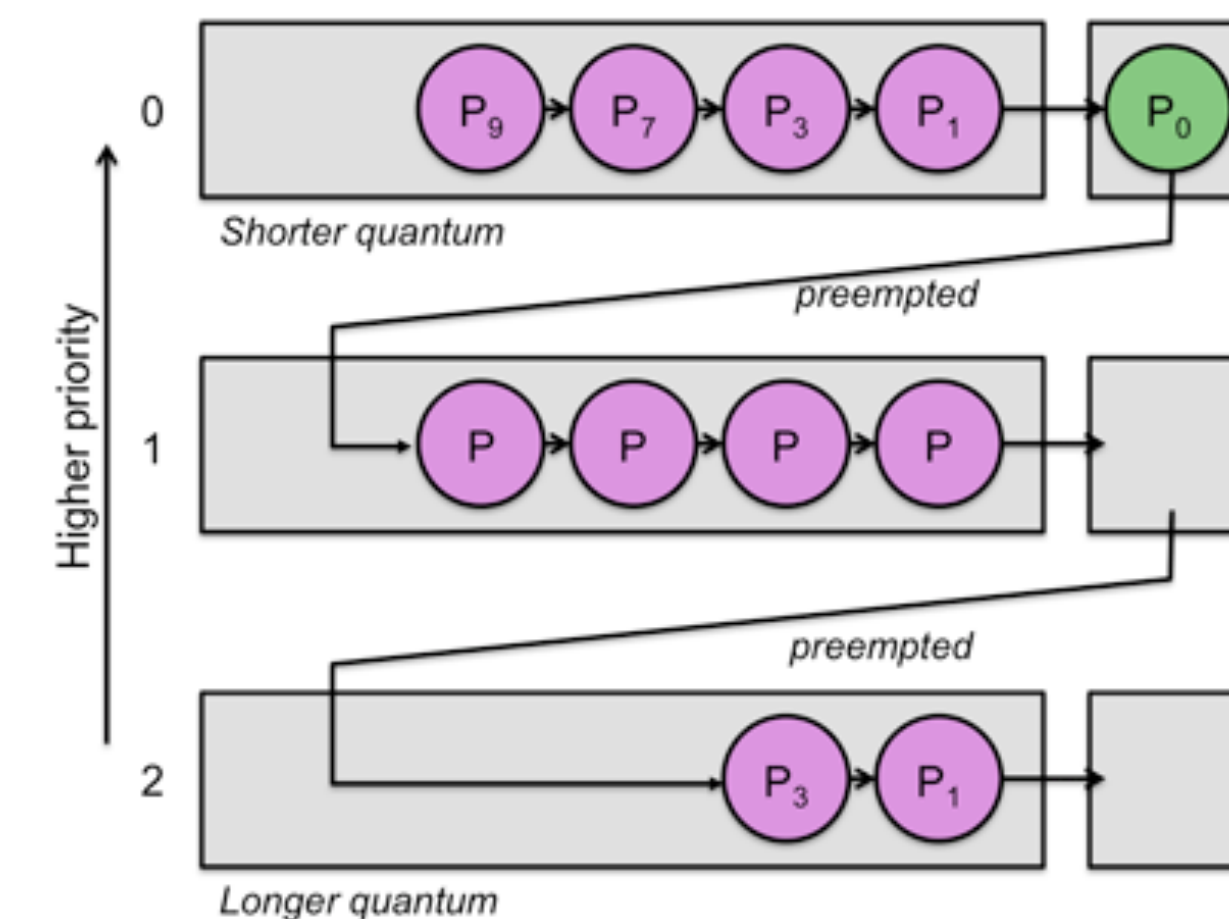
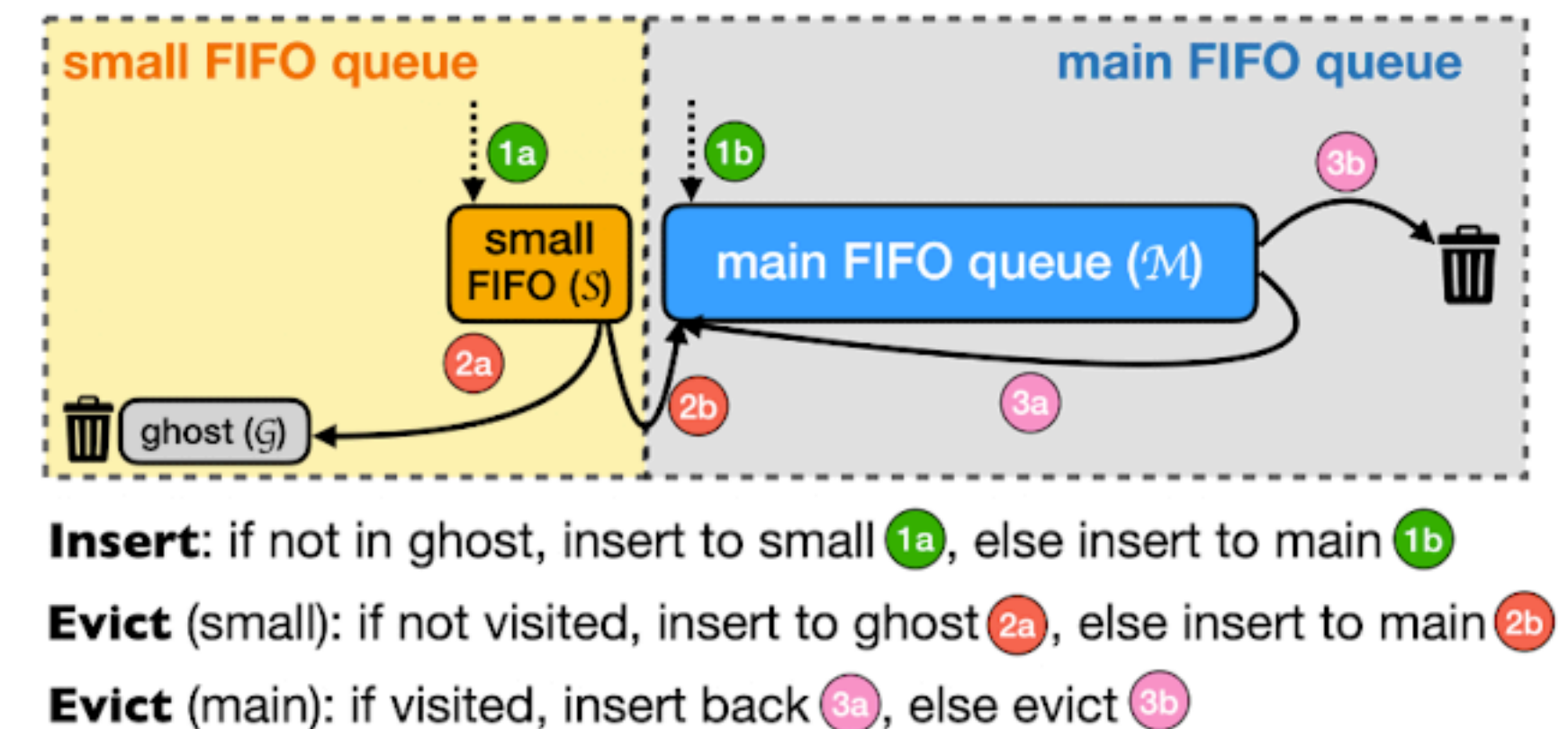
## Example





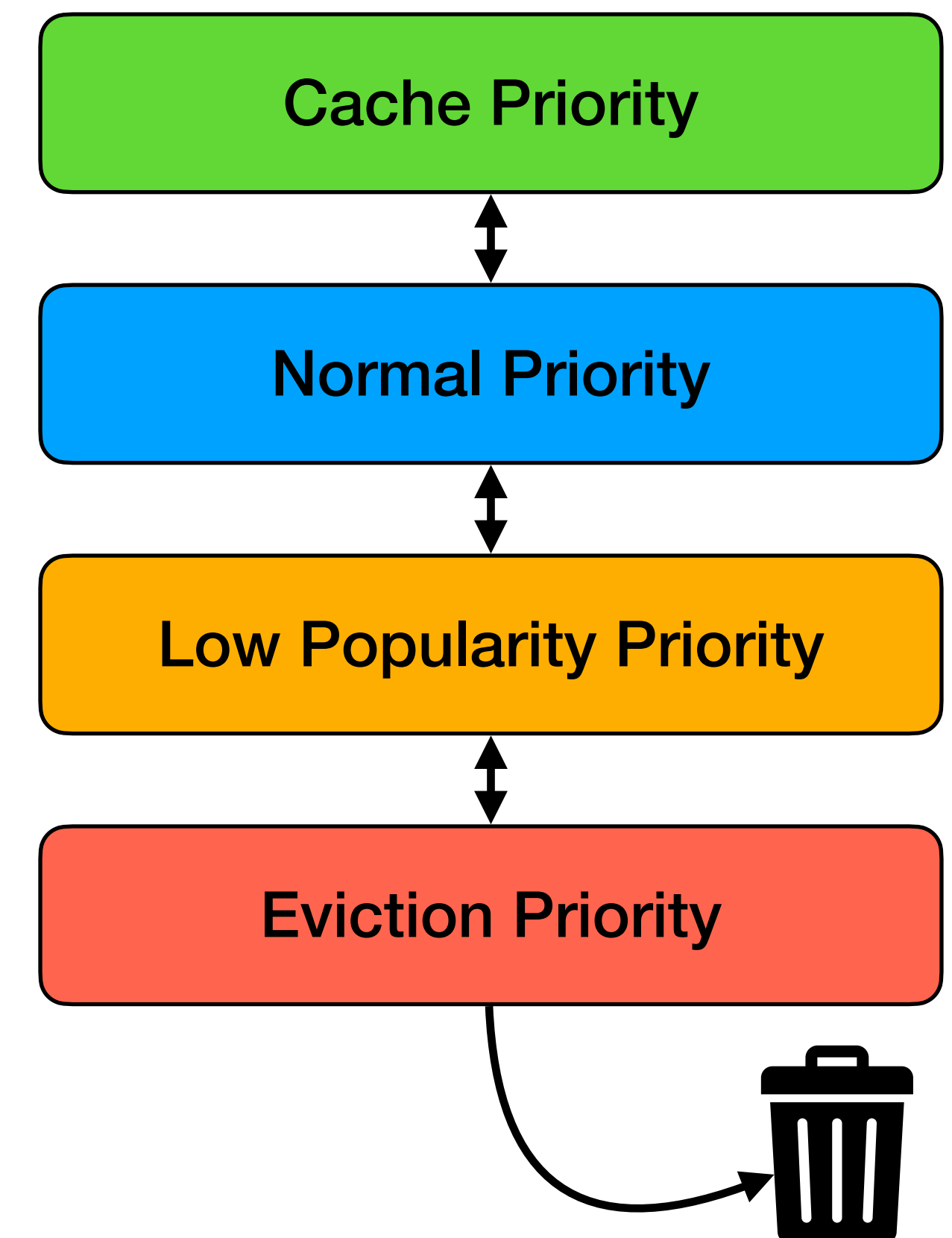
# Insights from Current Hardware-side Research

- Hierarchical-based policies are popular in hardware.
- **S3-FIFO (SOSP 2023):**  
filter-out cold objects, keep hot objects
  - Hierarchy between cold and hot
- **MLFQ (Undergrad OS):**  
hierarchy based on CPU usage of a program
  - Prioritize programs that use the CPU less



# Motivation

- Current approaches (aggressively remove middle parts, or evict based on a small part of the prompt) are static.
- Hierarchical approaches allow for dynamic changes in the token access behavior.
  - Tokens that are **highly referenced** are placed at a **high caching priority**.
  - **Unpopular tokens** move toward the **eviction priority**.



# Implementation Setup

- **Interface:** Using HuggingFace
- **LLM:** Llama-2-7b-QNA-Tuned
- **Evaluation Dataset:** Open-source IELTS Reading Comprehension Test
- **Methodology:** Inject logical masking-based hierarchical KV cache management at `spda_attention_forward()` to emulate eviction.
  - Apparently, Pytorch does not have explicit `free()`.
  - llama.cpp was an option but for research purposes, logical eviction is sufficient.

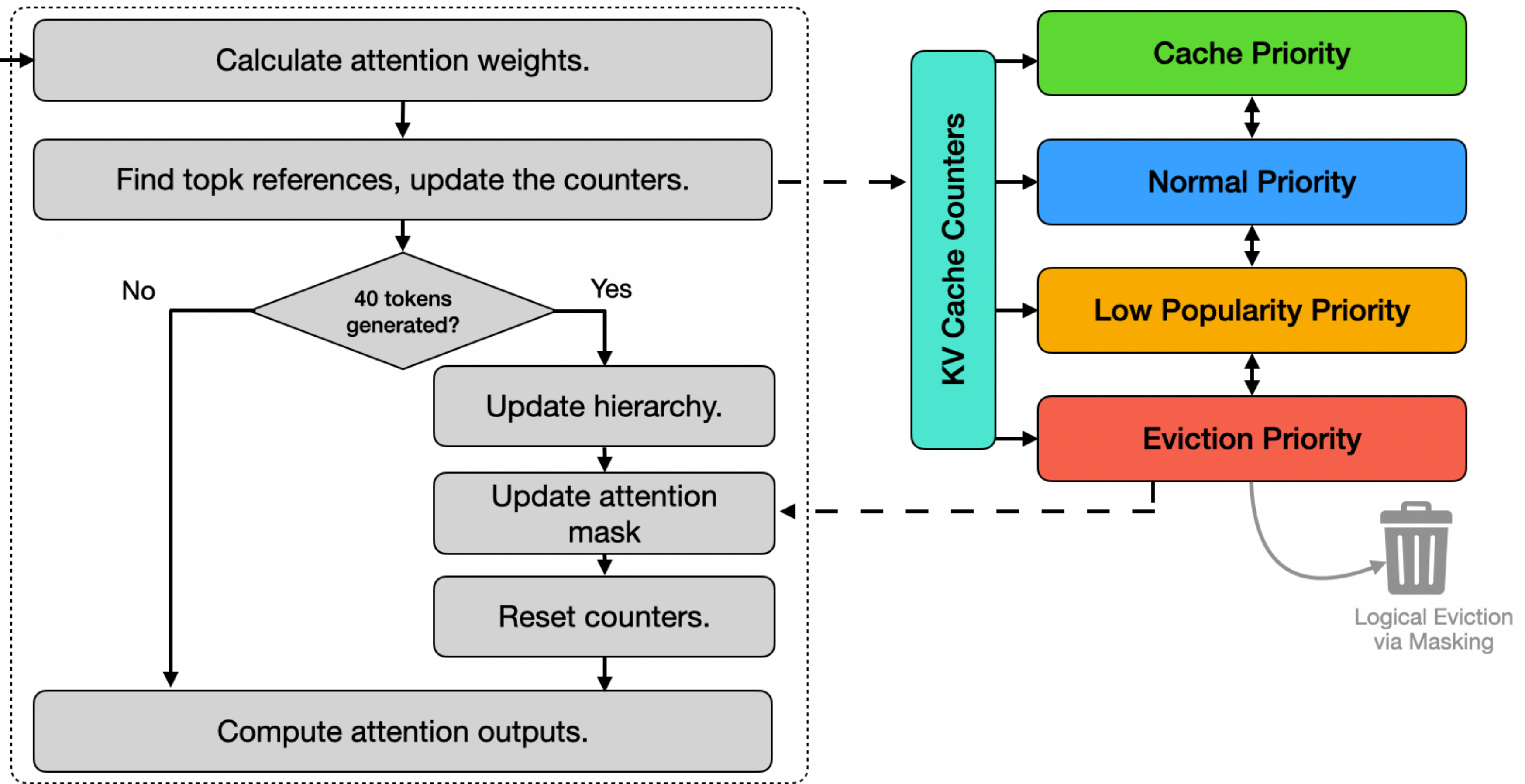
# Popularity Definition

- A token is considered popular if its attention weight often places it in the topk keys.
- Based on the number of times a token is part of topk within a profiling window, we place it on its corresponding hierarchy level:
  - **Cache Priority:** 10+ counts
  - **Normal Priority:** 5-9 counts
  - **Low Popularity Priority:** 1-4 counts
  - **Eviction Priority:** 0 counts
- The profiling is done every 40 tokens generated.
- Demotion by one level is performed if it fails to achieve required counts. Else, promotion.



# Policy Implementation

`spda_attention_forward()`

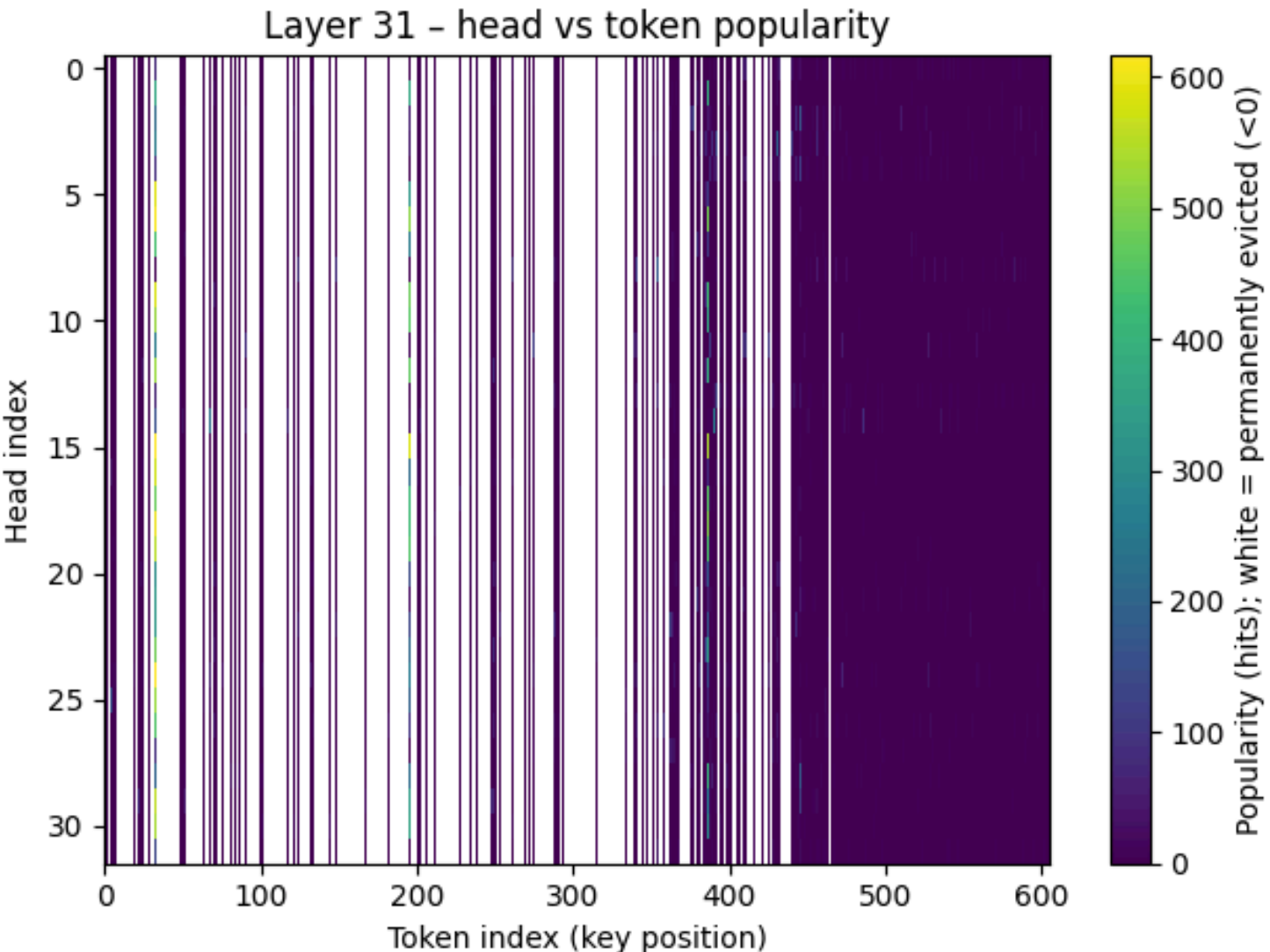
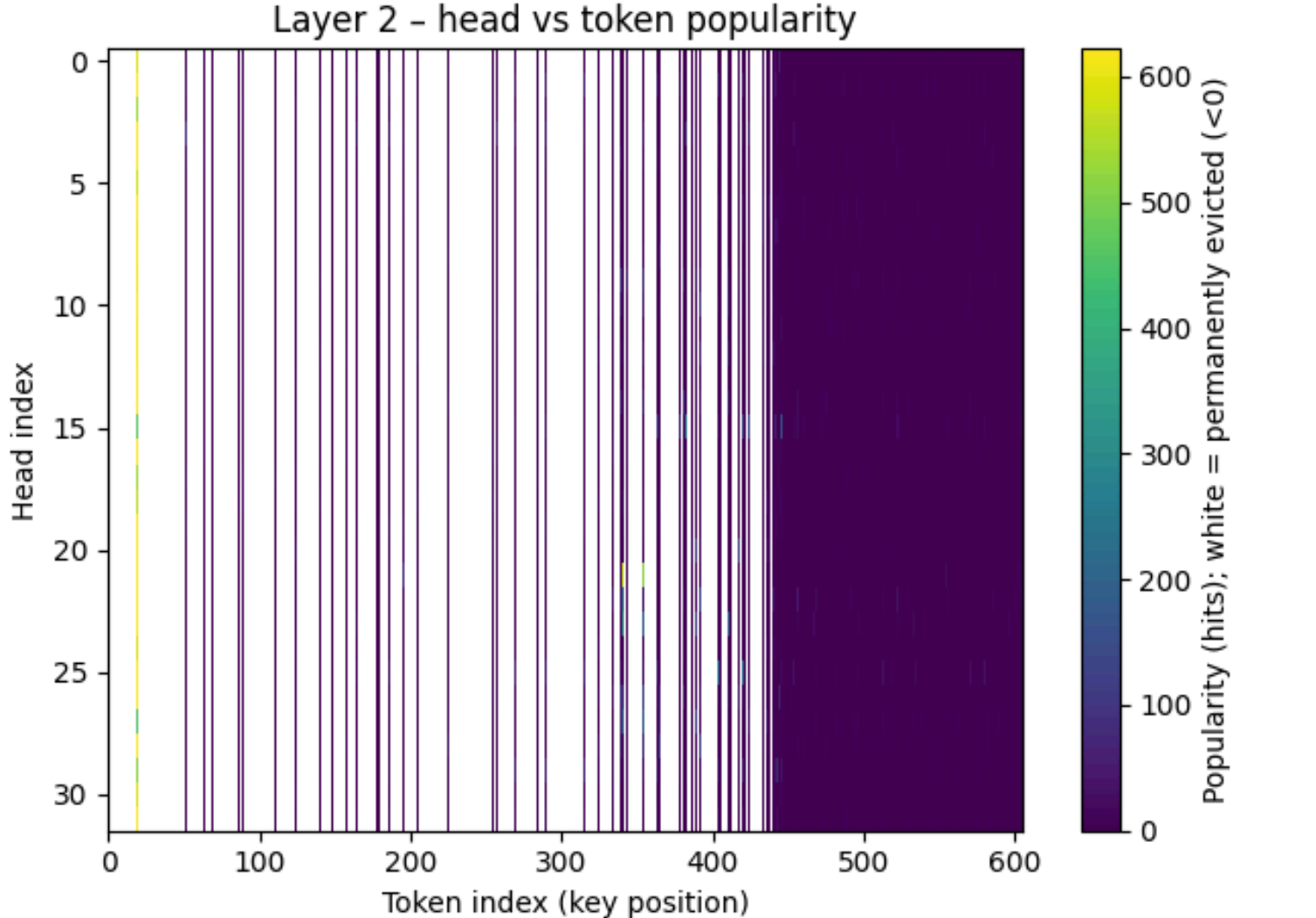
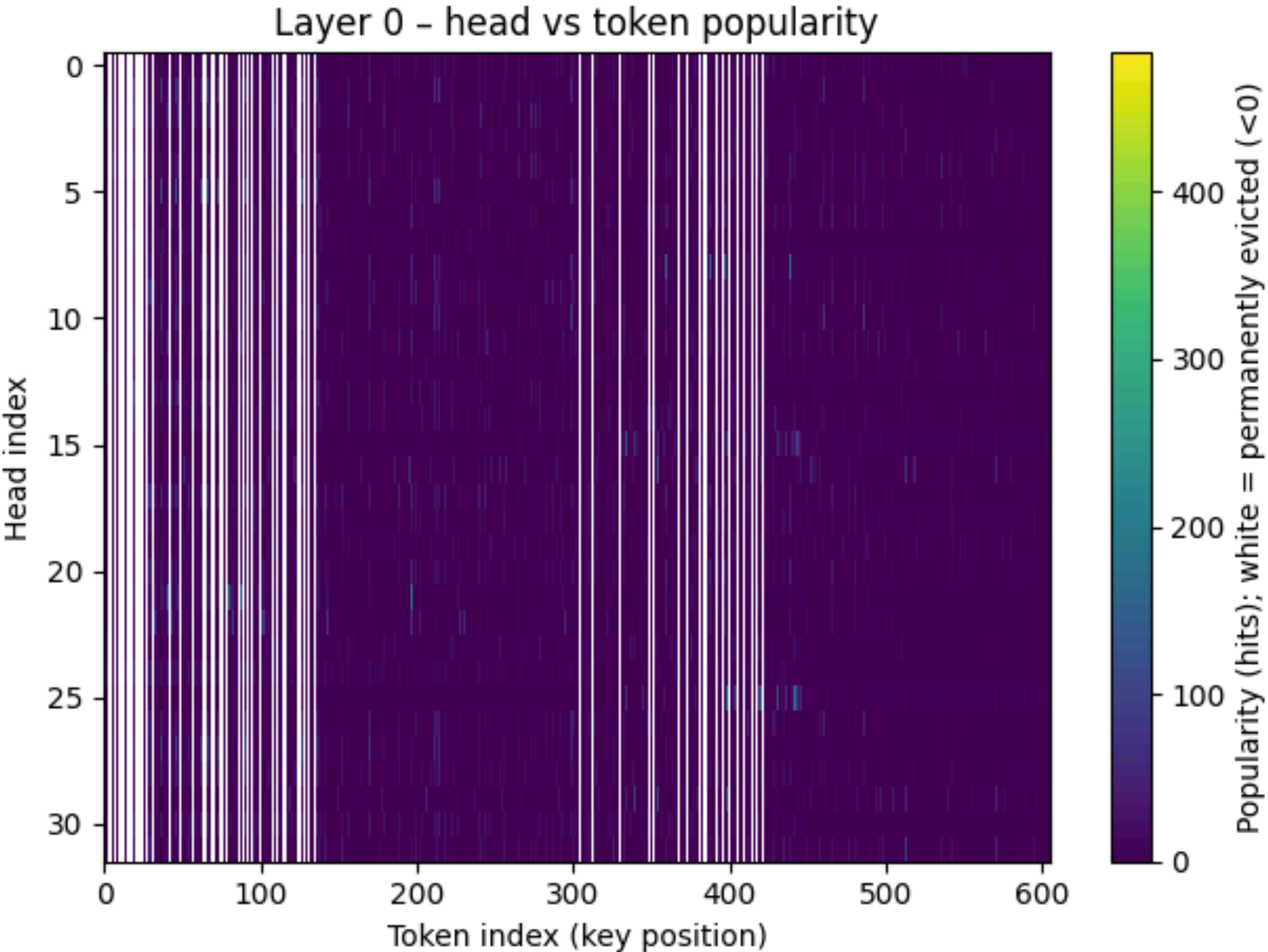




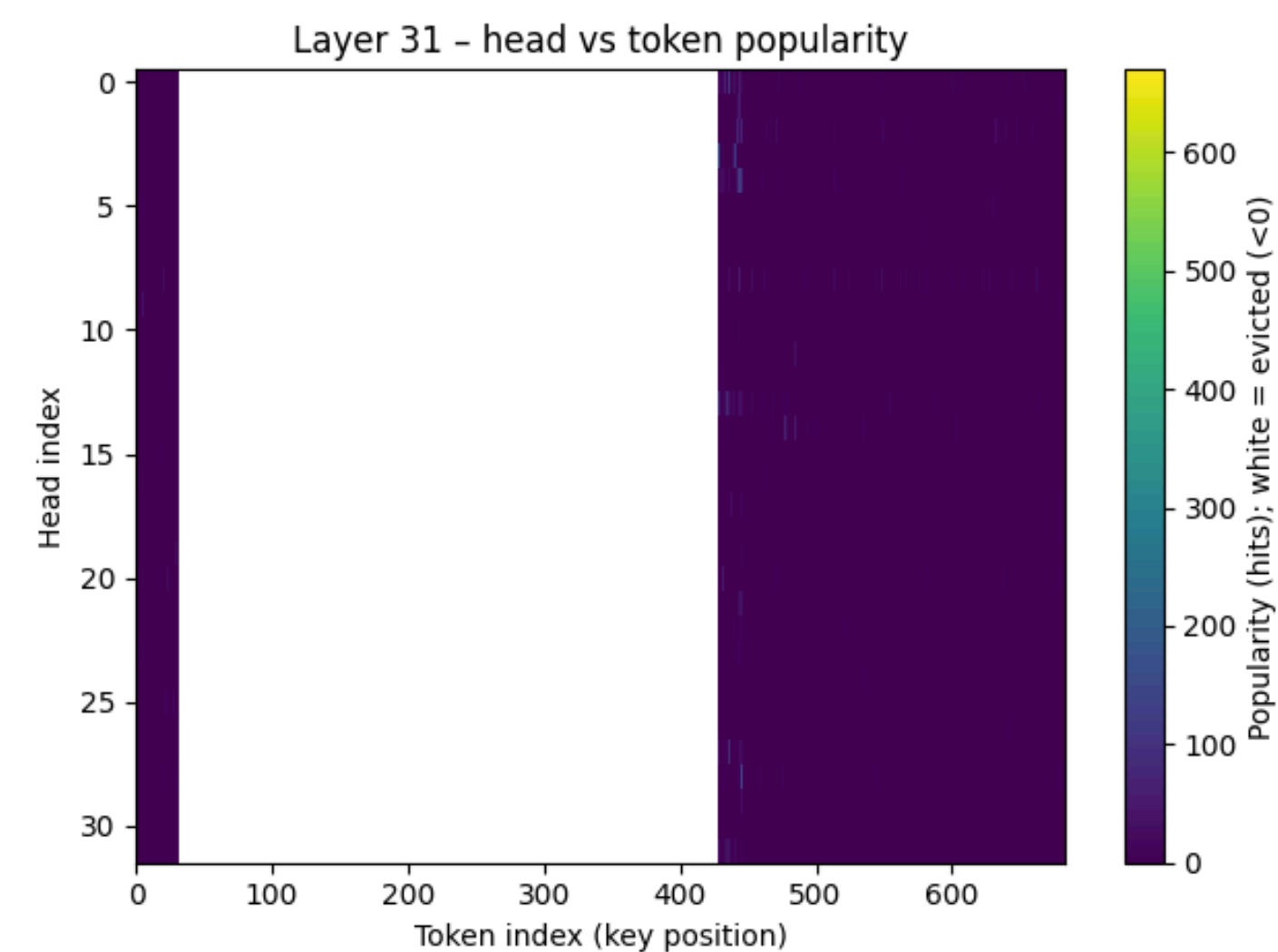
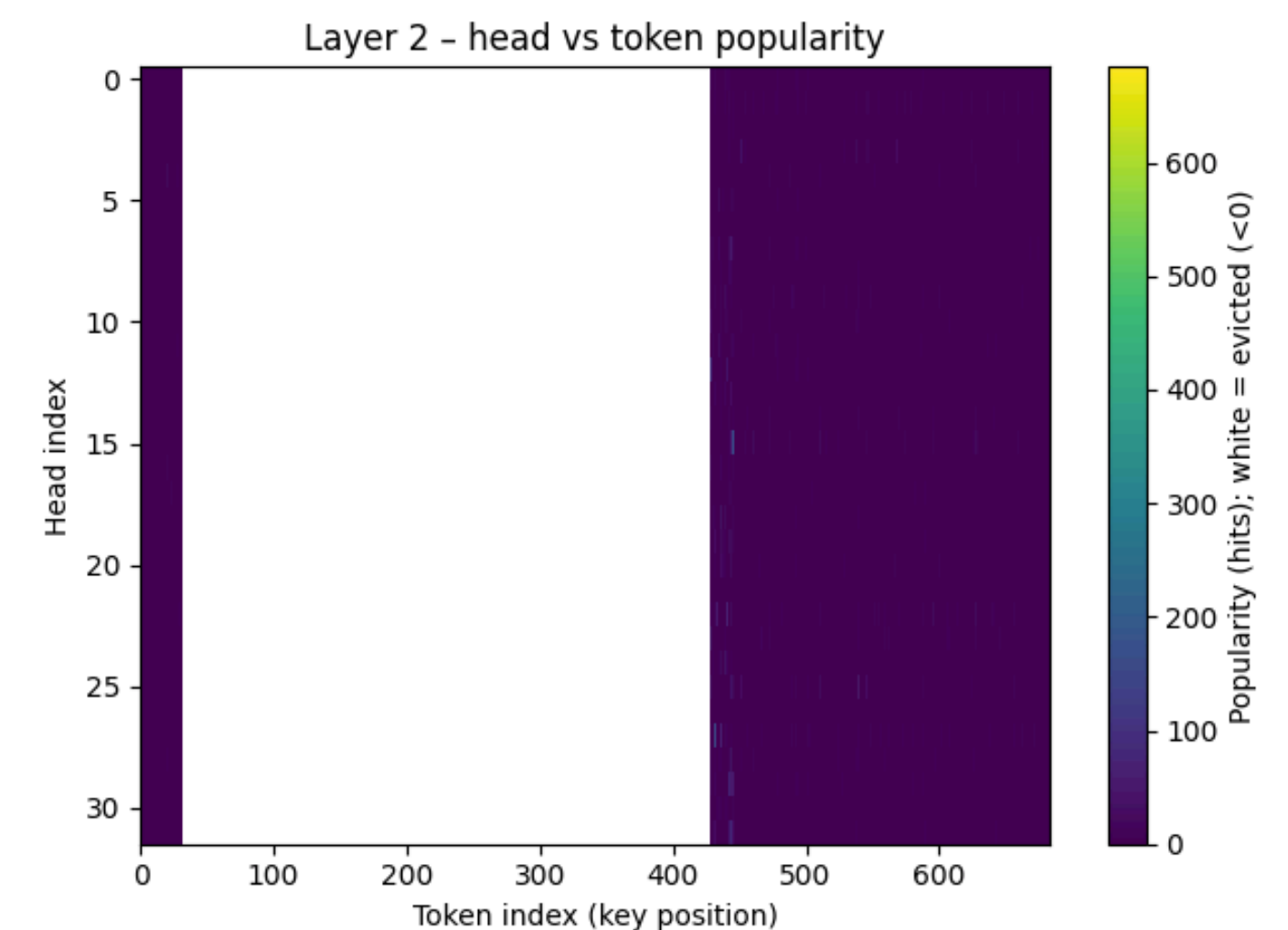
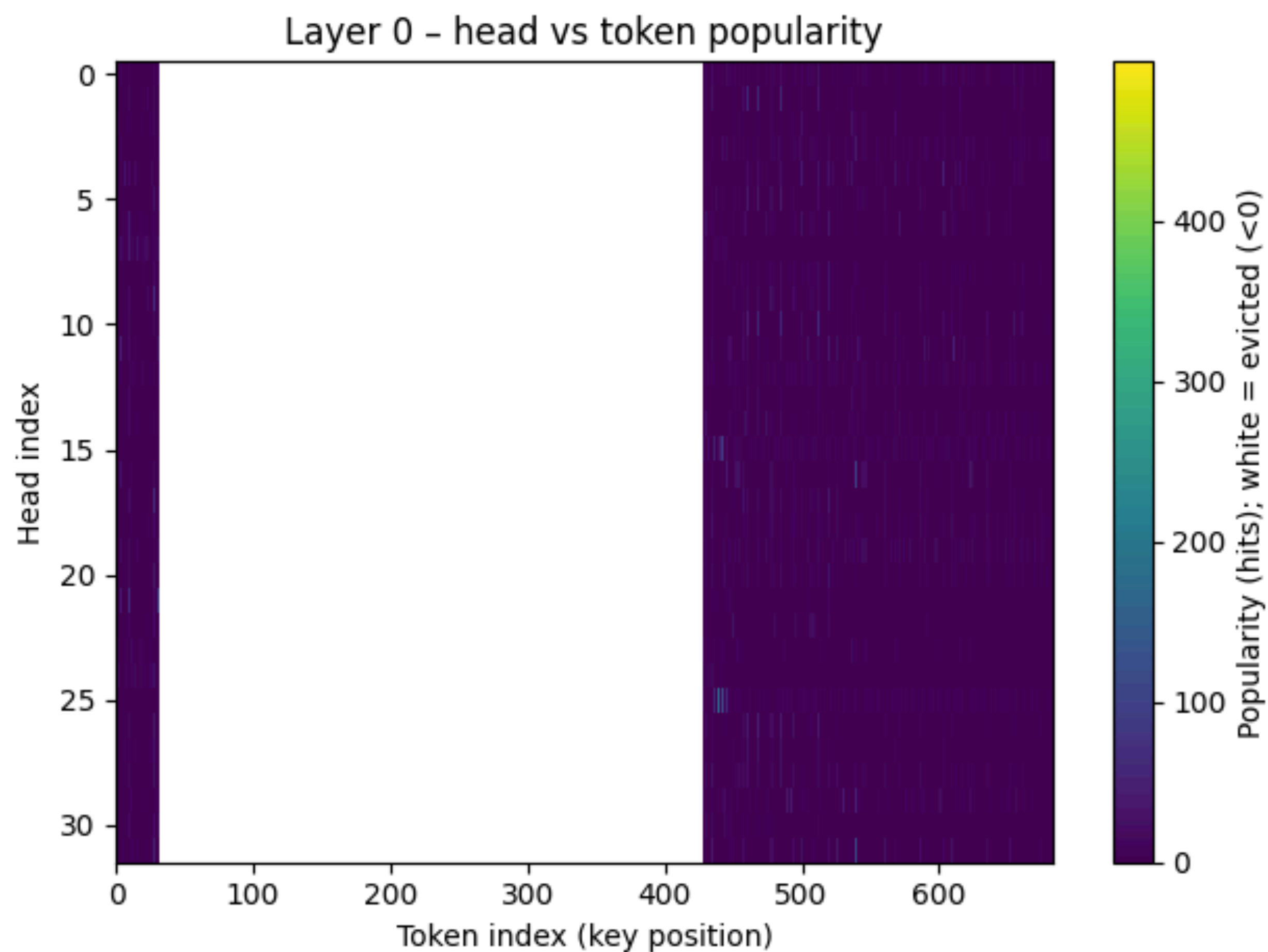
# Preliminary Experiments

- **Prompts to test Needle-in-Haystack capability, four questions each:**
  - True or False, Fill in the Blanks, Multiple choice question, Matching Type
- **Position of the question:**
  - Before the passage
  - After the passage
- **Metric:** Quantitatively check if the LLM can answer correctly.
- **Comparison:**
  - No Eviction Baseline
  - Attention Sink (32 attention sink tokens, 256-token context window size)

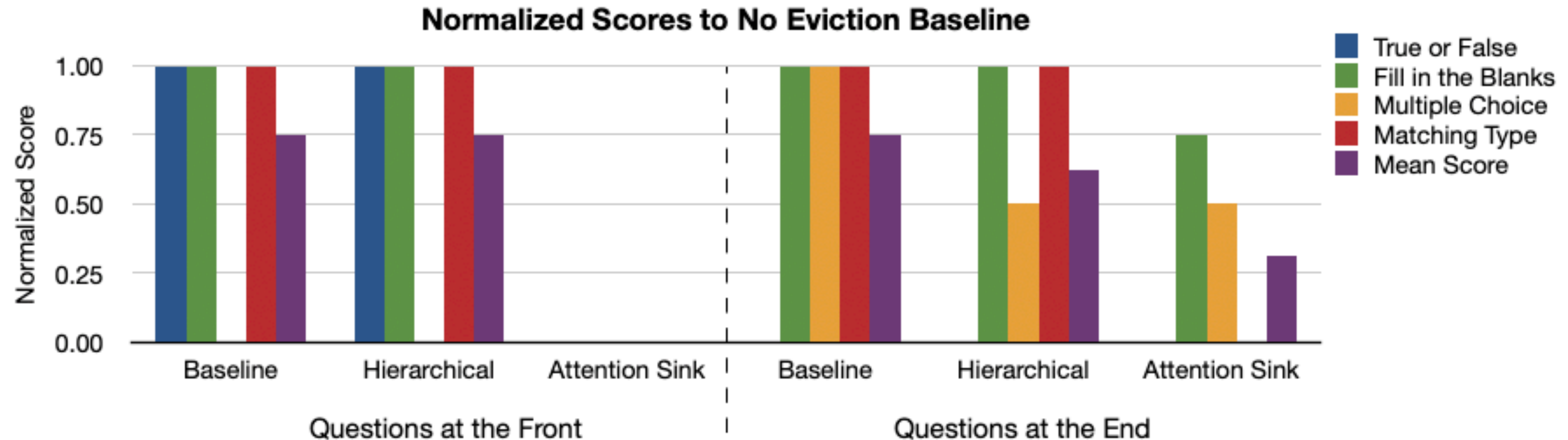
# Popularity Plots for Hierarchical Policy



# Popularity Plots for AttentionSink



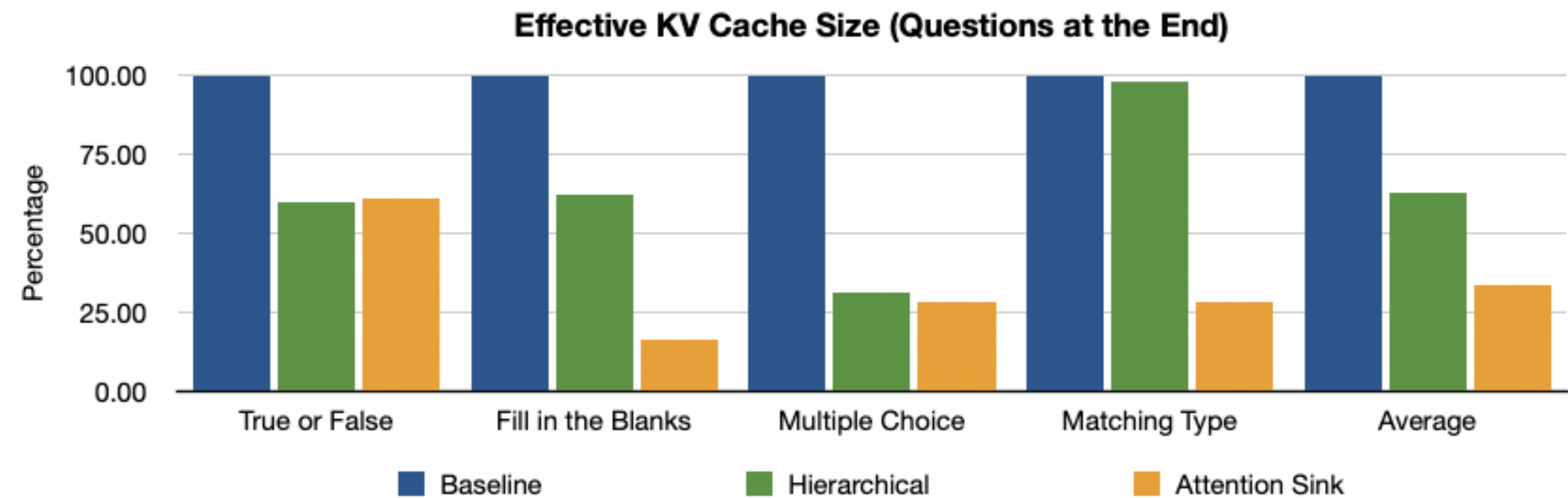
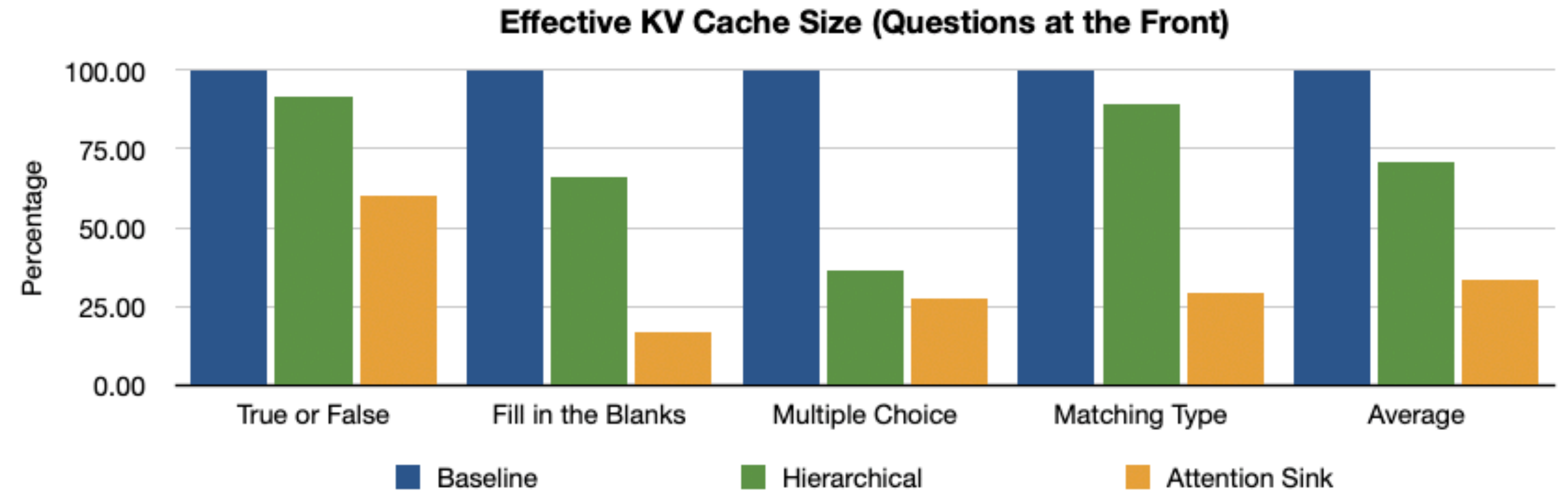
# Results



- Hierarchical Policy shows relatively similar scores with Baseline.
- Attention Sink suffers significantly when questions are at the front.
  - Creates its own questions, or wrongly answers one question.

# Results

- Hierarchical Policy shows diverse KV cache sizes which demonstrates some its ability to dynamically adapt to diverse workloads.
- Attention Sink aggressively drops all tokens in the middle.





# Discussions

- Hierarchical KV Cache Compression Policy performs similarly with baseline with no eviction, demonstrating effectiveness.
- Effective KV Cache size varies among different types of questions which shows inherent ability to dynamically adapt to token popularity patterns.
- Demonstrates weakness of static and aggressive KV Cache compression techniques.
- This compression technique can be a stepping stone for a heterogenous memory system:
  - Highly popular KV entries placed in GPU memory
  - Less popular KV cache entries evicted to CPU memory