#### Introduction to Parsing

Data Structures and Algorithms for Computational Linguistics III (ISCL-BA-07)

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# What is parsing?

- *Parsing* is the task of analyzing a string of symbols to discover its (inherent) structure
- Typically, the structure (and the valid strings in the language) is defined by a *grammar*
- The output of a parser is a structured representation of the input string, often a tree
- *Recognition* is an intimately related task which determines whether a given string is in a language

#### Ingredients of a parser

(for natural language parsing)

- A formal grammar defining a language of interest
- An algorithm that (efficiently) verifies whether a given string is in the language (recognizer) and enumerates the grammar rules used for verification (parser)
- A system for ambiguity resolution (not in this course)

#### Grammars

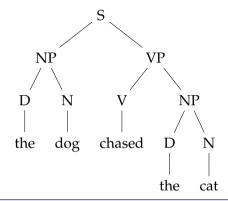
• A grammar is a finite specification of a possibly infinite language

#### Grammars

- A grammar is a finite specification of a possibly infinite language
- The most commonly studied type of grammars are *phrase structure* grammars

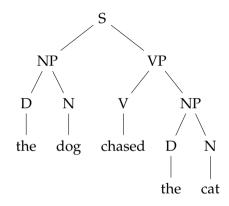
#### Grammars

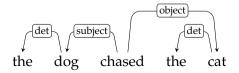
- A grammar is a finite specification of a possibly infinite language
- The most commonly studied type of grammars are *phrase structure* grammars
- Analysis using context-free grammars result in constituency or phrase structure trees



# Why study parsing?

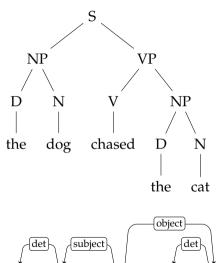
• In general, it is an intermediate step for interpreting sentences

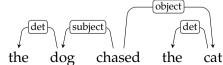




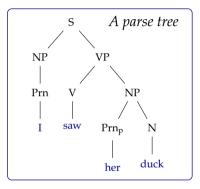
# Why study parsing?

- In general, it is an intermediate step for interpreting sentences
- Applications include:
  - Compiler construction
  - Grammar checking
  - Sentiment analysis
  - Information (e.g., relation) extraction
  - Argument mining





#### Different ways to represent a context-free parse

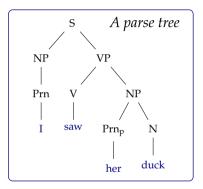


| A history of derivations |                            |  |  |
|--------------------------|----------------------------|--|--|
| Sentential form          | derivation                 |  |  |
| S                        | (start)                    |  |  |
| NP VP                    | $S \Rightarrow NP VP$      |  |  |
| Prn VP                   | $NP \Rightarrow Prn$       |  |  |
| I VP                     | $Prn \Rightarrow I$        |  |  |
| I V NP                   | $VP  \Rightarrow \ V \ NP$ |  |  |
| I saw NP                 | $V \Rightarrow saw$        |  |  |
| I saw Prn <sub>p</sub> N | $NP \Rightarrow Prn_p N$   |  |  |
| I saw her N              | $Prn_p \Rightarrow her$    |  |  |
| I saw her duck           | $N \rightarrow duck$       |  |  |

$$\textit{(Labeled) brackets: } \left[ {}_{S} \left[ {}_{NP} \left[ {}_{Prn} \ I \right] \right] \left[ {}_{VP} \left[ {}_{V} \ saw \right] \left[ {}_{NP} \left[ {}_{Prn_p} \ her \right] \left[ {}_{N} \ duck \right] \right] \right]$$

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#### Different ways to represent a context-free parse



| A history of derivations |                           |  |  |
|--------------------------|---------------------------|--|--|
| Sentential form          | derivation                |  |  |
| S                        | (start)                   |  |  |
| NP VP                    | $S \longrightarrow NP VP$ |  |  |
| Prn VP                   | $NP \rightarrow Prn$      |  |  |
| I VP                     | $Prn \rightarrow I$       |  |  |
| I V NP                   | $VP \rightarrow V NP$     |  |  |
| I saw NP                 | $V \rightarrow saw$       |  |  |
| I saw Prn <sub>p</sub> N | $NP \rightarrow Prn_p N$  |  |  |
| I saw her N              | $Prn_p \rightarrow her$   |  |  |
| I saw her duck           | $N \rightarrow duck$      |  |  |

(Labeled) brackets: 
$$\left[ \sum_{S} \left[ \sum_{NP} \left[ P_{rn} I \right] \right] \left[ \sum_{VP} \left[ V_{S} \text{ saw} \right] \left[ \sum_{NP} \left[ P_{rn_{\mathfrak{p}}} \text{ her} \right] \left[ V_{N} \text{ duck} \right] \right] \right] \right]$$

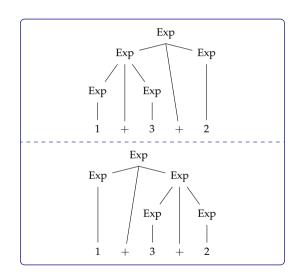
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## Relation between different representations

- The parse tree and the bracket representation is equivalent
  - parse trees are easier to read by humans
  - brackets are easier for computers
  - brackets are the typical representation for treebanks
- A parse tree (or bracket representation) can be obtained with a different order of production rules

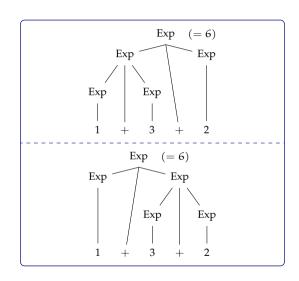
$$\begin{array}{ccc} Exp & \rightarrow & n \\ Exp & \rightarrow & Exp + Exp \\ \text{(terminal symbol 'n' stands for any number)} \end{array}$$

- If a grammar is ambiguous, some sentences produce multiple analyses
- If the resulting analysis lead to the same semantics, the ambiguity is spurious



$$\begin{array}{ccc} Exp & \rightarrow & n \\ Exp & \rightarrow & Exp + Exp \\ \text{(terminal symbol 'n' stands for any number)} \end{array}$$

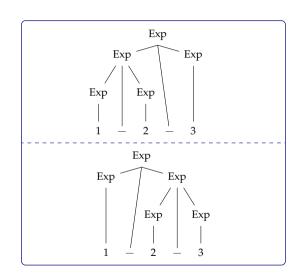
- If a grammar is ambiguous, some sentences produce multiple analyses
- If the resulting analysis lead to the same semantics, the ambiguity is spurious



$$\begin{array}{ccc} Exp & \rightarrow & n \\ Exp & \rightarrow & Exp - Exp \end{array}$$

(terminal symbol 'n' stands for any number)

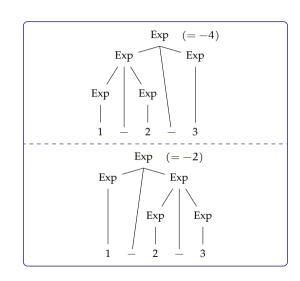
• Is this ambiguity spurious?



$$\begin{array}{l} Exp \ \rightarrow \ n \\ Exp \ \rightarrow \ Exp - Exp \end{array}$$

(terminal symbol 'n' stands for any number)

- Is this ambiguity spurious?
- If different structures yield different semantics, the ambiguity is *essential*



#### Ambiguity can be removed from a grammar

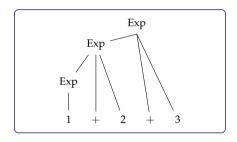
#### if the language is not ambiguous

$$egin{array}{l} \operatorname{Exp} & 
ightarrow & \operatorname{n} \ \operatorname{Exp} & 
ightarrow & \operatorname{Exp} + \operatorname{n} \ & ext{(terminal symbol 'n' stands for any number)} \end{array}$$

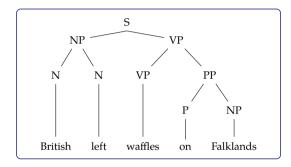
• The grammar above does not have the ambiguity of

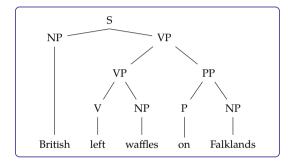
$$\begin{array}{ccc} Exp & \rightarrow & n \\ Exp & \rightarrow & Exp + Exp \end{array}$$

Both grammars define the same language



#### Natural languages are ambiguous





- The grammars we define have to distinguish between two different structures
- We need methods for ranking analyses

# Top-down parsing general idea

- Start from S, find a sequence of derivations that yield the sentence
- This is simply the same as the generation procedure we discussed earlier
- Attempt to generate all strings from a grammar, but allow only the productions that 'produce' the input string

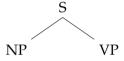
 $\begin{array}{ccc} S & \rightarrow & NP \ VP \\ NP & \rightarrow & Det \ N \\ VP & \rightarrow & V \ NP \\ VP & \rightarrow & V \\ Det & \rightarrow & a \\ Det & \rightarrow & the \\ N & \rightarrow & cat \\ N & \rightarrow & dog \\ V & \rightarrow & bites \\ \end{array}$ 

the cat bites a dog

S

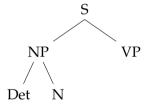
the cat bites a dog

 $\rightarrow$  NP VP  $NP \rightarrow Det N$  $VP \rightarrow V NP$  $VP \ \to \ V$ Det  $\rightarrow$  a Det  $\rightarrow$  the  $N \rightarrow cat$  $N \quad \to \ dog$  $\rightarrow$  bites



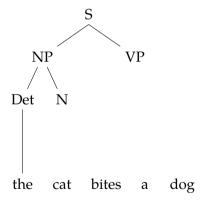
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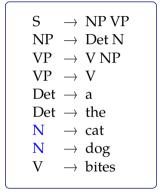
 $\rightarrow$  NP VP  $NP \rightarrow Det N$  $VP \rightarrow V NP$  $VP \ \to \ V$ Det  $\rightarrow$  a Det  $\rightarrow$  the  $\rightarrow$  cat  $N \rightarrow dog$  $\rightarrow$  bites

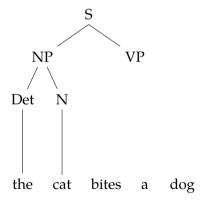


the cat bites a dog

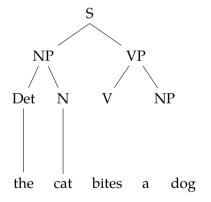
 $\rightarrow$  NP VP  $NP \rightarrow Det N$  $VP \rightarrow V NP$  $VP \rightarrow V$  $Det \rightarrow a$  $Det \rightarrow the$  $\rightarrow$  cat  $N \rightarrow dog$  $\rightarrow$  bites

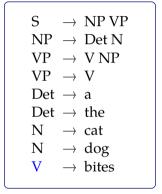


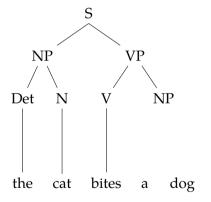




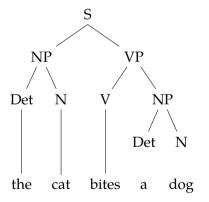
 $\rightarrow$  NP VP  $NP \rightarrow Det N$  $VP \rightarrow V NP$  $VP \rightarrow V$ Det  $\rightarrow$  a Det  $\rightarrow$  the  $\rightarrow$  cat  $\rightarrow dog$  $\rightarrow$  bites



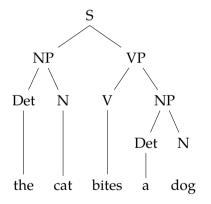


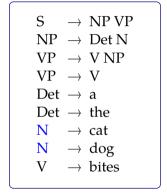


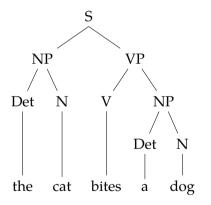
 $\rightarrow$  NP VP  $NP \rightarrow Det N$  $VP \rightarrow V NP$  $VP \rightarrow V$ Det  $\rightarrow$  a Det  $\rightarrow$  the  $\rightarrow$  cat  $\rightarrow$  dog  $\rightarrow$  bites



 $\rightarrow$  NP VP  $NP \rightarrow Det N$  $VP \rightarrow V NP$  $VP \rightarrow V$  $Det \rightarrow a$  $Det \rightarrow the$  $\rightarrow$  cat  $\rightarrow$  dog  $\rightarrow$  bites







 $\rightarrow$  NP VP  $NP \rightarrow Det N$  $VP \rightarrow V NP$  $VP \rightarrow V$ Det  $\rightarrow$  a Det  $\rightarrow$  the  $\rightarrow$  cat  $\rightarrow$  dog  $\rightarrow$  bites

## From demonstration to parsing

- There may be multiple productions applicable
- We need an automatic mechanism to select the correct productions
- We have two actions:

predict generate a hypothesis based on the grammar match when a terminal symbol is produced, check if it matches with the one in the expected position

- if matched, continue
- otherwise, backtrack
- if we eliminate all non terminals from the sentential form, and the complete input string is matched (produced), then parsing successful

#### the grammar $\rightarrow$ NP VP $NP \rightarrow Det N$ $VP \rightarrow VNP$ $VP \rightarrow V$ Det $\rightarrow$ a Det $\rightarrow$ the $N \rightarrow cat$ $N \rightarrow dog$ $\rightarrow$ bites V

parse: the cat bites a dog

| the grammar  |
|--|
| $\begin{array}{cccccccccccccccccccccccccccccccccccc$ |

| matched | goal | production              |
|---------|------|-------------------------|
|         | S    | $S  \Rightarrow NP  VP$ |
|         |      |                         |

parse: the cat bites a dog

| the grammar                      | matched |
|----------------------------------|---------|
| 8                                |         |
| $S  \to  NP  VP$                 |         |
| $NP \rightarrow Det N$           |         |
| $	ext{VP} \ 	o \ 	ext{V NP}$     |         |
| $	ext{VP} \ 	o \ 	ext{V}$        |         |
| $\mathrm{Det}  	o  \mathrm{a}$   |         |
| Det  	o  the                     |         |
| $N \rightarrow cat$              |         |
| $N \rightarrow dog$              |         |
| $	ext{V}  ightarrow 	ext{bites}$ |         |
|                                  |         |

parse: the cat bites a dog

production

 $S \Rightarrow NP VP$ 

 $NP \Rightarrow Det VP$ 

goal

NP VP

S

| the grammar                          | ] _ | matched | goal  |
|--------------------------------------|-----|---------|-------|
| ene gramma.                          |     |         | S     |
| $S  	o \; NP  VP$                    |     |         | NP VF |
| $NP \rightarrow Det N$               |     |         | Det N |
| $	ext{VP} \ 	o \ 	ext{V} \ 	ext{NP}$ |     |         |       |
| $	ext{VP} \; 	o \; 	ext{V}$          |     |         |       |
| $\mathrm{Det}	o\mathrm{a}$           |     |         |       |
| Det $ ightarrow$ the                 |     |         |       |
| $N \rightarrow cat$                  |     |         |       |
| $N \rightarrow dog$                  |     |         |       |
| $	ext{V}  ightarrow 	ext{bites}$     |     |         |       |
|                                      |     |         |       |

parse: the cat bites a dog

production  $S \Rightarrow NP VP$  $NP \Rightarrow Det VP$ Det  $\Rightarrow$  a **X** 

VP

| the grammar matched goal produ                       | duction  |
|--|--|
| $\begin{array}{cccccccccccccccccccccccccccccccccccc$ | $ \Rightarrow NP VP  \Rightarrow Det VP  \Rightarrow a X  \Rightarrow the \checkmark $ |

parse: the cat bites a dog

| the grammar   | <br>matched | goal                                       | production   |
|---|-------------|--|--|
| $\begin{array}{c} S & \rightarrow & NP \ VP \\ NP & \rightarrow & Det \ N \\ VP & \rightarrow & V \ NP \\ VP & \rightarrow & V \\ Det & \rightarrow & a \\ Det & \rightarrow & the \\ N & \rightarrow & cat \\ N & \rightarrow & dog \\ V & \rightarrow & bites \\ \end{array}$ | the         | S<br>NP VP<br>Det N VP<br>Det N VP<br>N VP | $S \Rightarrow NP VP$ $NP \Rightarrow Det VP$ $Det \Rightarrow a X$ $Det \Rightarrow the \checkmark$ $N \Rightarrow dog X$ |

parse: the cat bites a dog

| the grammar   | matche       | d goal | production  |
|---|--------------|--------|---|
| $\begin{array}{c} S & \rightarrow & NP \ VP \\ NP & \rightarrow & Det \ N \\ VP & \rightarrow & V \ NP \\ VP & \rightarrow & V \\ Det & \rightarrow & a \\ Det & \rightarrow & the \\ N & \rightarrow & cat \\ N & \rightarrow & dog \\ V & \rightarrow & bites \\ \end{array}$ | th<br>the ca |        | $S \Rightarrow NP VP$ $NP \Rightarrow Det VP$ $Det \Rightarrow a X$ $Det \Rightarrow the \checkmark$ $N \Rightarrow dog X$ $N \Rightarrow cat \checkmark$ |

parse: the cat bites a dog

| $\begin{array}{cccccccccccccccccccccccccccccccccccc$ | the grammar  | ] | matched | goal  | production   |
|--|--|---|---------|---|--|
|  | $\begin{array}{cccc} S & \rightarrow & NP \ VP \\ NP & \rightarrow & Det \ N \\ VP & \rightarrow & V \ NP \\ VP & \rightarrow & V \\ Det & \rightarrow & a \\ Det & \rightarrow & the \\ N & \rightarrow & cat \\ N & \rightarrow & dog \end{array}$ |   | the cat | NP VP<br>Det N VP<br>Det N VP<br>N VP<br>N VP | $NP \Rightarrow Det V$ $Det \Rightarrow a X$ $Det \Rightarrow the \checkmark$ $N \Rightarrow dog X$ $N \Rightarrow cat \checkmark$ |

| the grammar  | matched                                    | goal | production  |
|--|--|------|---|
| S $\rightarrow$ NP VP<br>NP $\rightarrow$ Det N<br>VP $\rightarrow$ V NP<br>VP $\rightarrow$ V<br>Det $\rightarrow$ a<br>Det $\rightarrow$ the<br>N $\rightarrow$ cat<br>N $\rightarrow$ dog | the<br>the cat<br>the cat<br>the cat bites | VP   | $S \Rightarrow NP VP$ $NP \Rightarrow Det VP$ $Det \Rightarrow a \times X$ $Det \Rightarrow the \checkmark$ $N \Rightarrow dog \times X$ $N \Rightarrow cat \checkmark$ $VP \Rightarrow V$ $V \Rightarrow bites \checkmark$ |

| the grammar   | ] | matched       | goal                                     | production  |
|---|---|---------------|--|---|
| the grammar $ \begin{array}{ccc} S & \rightarrow & NP \ VP \\ NP & \rightarrow & Det \ N \\ VP & \rightarrow & V \ NP \\ VP & \rightarrow & V \\ Det & \rightarrow & a \\ \end{array} $ |   | the the cat   | goal S NP VP Det N VP Det N VP N VP N VP | production $S \Rightarrow NP \ VP$ $NP \Rightarrow Det \ VP$ $Det \Rightarrow a \ X$ $Det \Rightarrow the \ \checkmark$ $N \Rightarrow dog \ X$ $N \Rightarrow cat \ \checkmark$ $VP \Rightarrow V$ |
| Det $ ightarrow$ the  |   | the cat bites | V  | $V \Rightarrow bites \checkmark$  |
| $N \rightarrow cat$   |   | the cat bites |  | (not at the end) X  |
| $egin{array}{lll} { m N} &  ightarrow { m dog} \ { m V} &  ightarrow { m bites} \end{array}$  |   |               |  |   |

| $\begin{array}{cccccccccccccccccccccccccccccccccccc$ | the grammar  | matched  | goal                                   | production   |
|--|--|--|--|--|
| $V \rightarrow bites$                                | $\begin{array}{ccc} NP & \rightarrow & Det \ N \\ VP & \rightarrow & V \ NP \\ VP & \rightarrow & V \\ Det & \rightarrow & a \\ Det & \rightarrow & the \\ N & \rightarrow & cat \\ N & \rightarrow & dog \end{array}$ | the cat<br>the cat<br>the cat bites<br>the cat bites | S NP VP Det N VP Det N VP N VP N VP VP | $S \Rightarrow NP VP$ $NP \Rightarrow Det VP$ $Det \Rightarrow a X$ $Det \Rightarrow the \checkmark$ $N \Rightarrow dog X$ $N \Rightarrow cat \checkmark$ $VP \Rightarrow V$ $V \Rightarrow bites \checkmark$ $(not at the end) X$ |

| the grammar                   | matched       | goal                | production                         |
|-------------------------------|---------------|---------------------|------------------------------------|
| ene Branner                   |               | S                   | $S  \Rightarrow NP  VP$            |
| $S \rightarrow NP VP$         |               | NP VP               | $NP \Rightarrow Det VP$            |
| $NP \rightarrow Det N$        |               | Det N VP            | $Det \Rightarrow a X$              |
| $VP \rightarrow V NP$         |               | Det N VP            | Det $\Rightarrow$ the $\checkmark$ |
| $	ext{VP}  ightarrow 	ext{V}$ | the           | N VP                | $N \Rightarrow dog X$              |
| $Det \rightarrow a$           | the cat       | N VP                | $N \Rightarrow cat \checkmark$     |
|                               | the cat       | VP                  | $VP \Rightarrow V$                 |
| Det  	o  the                  | the cat bites | $\bigvee$           | $V \Rightarrow bites \checkmark$   |
| $N \rightarrow cat$           | the cat bites |                     | (not at the end) X                 |
| $N \rightarrow dog$           | the cat       | $\operatorname{VP}$ | $VP \Rightarrow V NP$              |
| $V \rightarrow bites$         | the cat       | V NP                | $V \Rightarrow bites \checkmark$   |
|                               |               |                     |                                    |

| the grammar                          | matched       | goal                | production                         |
|--------------------------------------|---------------|---------------------|------------------------------------|
| 5.10 B. 5.11                         |               | S                   | $S \Rightarrow NP VP$              |
| $S \rightarrow NP VP$                |               | NP VP               | $NP \Rightarrow Det VP$            |
| $NP \rightarrow Det N$               |               | Det N VP            | $Det \Rightarrow a X$              |
| $	ext{VP} \ 	o \ 	ext{V} \ 	ext{NP}$ |               | Det N VP            | Det $\Rightarrow$ the $\checkmark$ |
| $	ext{VP}  	o  	ext{V}$              | the           | N VP                | $N \Rightarrow dog X$              |
| $Det \rightarrow a$                  | the cat       | N VP                | $N \Rightarrow cat \checkmark$     |
| $Det \rightarrow the$                | the cat       |                     | $VP \Rightarrow V$                 |
|                                      |               | V                   | $V \Rightarrow bites \checkmark$   |
| $N \rightarrow cat$                  | the cat bites |                     | (not at the end) X                 |
| $N \rightarrow dog$                  | the cat       | $\operatorname{VP}$ | $VP \Rightarrow V NP$              |
| $	ext{V}  ightarrow 	ext{bites}$     | the cat       | V NP                | $V \Rightarrow bites \checkmark$   |
|                                      | the cat bites | Det N               | $NP \Rightarrow Det N$             |

| the grammar                                 | matched         | goal                | production                         |
|---|-----------------|---------------------|------------------------------------|
| sire grammar                                |                 | S                   | $S \Rightarrow NP VP$              |
| $S \rightarrow NP VP$                       |                 | NP VP               | $NP \Rightarrow Det VP$            |
| $NP \rightarrow Det N$                      |                 | Det N VP            | $\mathrm{Det}  \Rightarrow a  X$   |
| $VP \rightarrow V NP$                       |                 | Det N VP            | Det $\Rightarrow$ the $\checkmark$ |
| $	ext{VP}  ightarrow 	ext{V}$               | the             | N VP                | $N \Rightarrow dog X$              |
| $Det \rightarrow a$                         | the cat         | N VP                | $N \Rightarrow cat \checkmark$     |
|   | the cat         | VP                  | $VP \Rightarrow V$                 |
| $\operatorname{Det} \to \operatorname{the}$ | the cat bites   | V                   | $V \Rightarrow bites \checkmark$   |
| $N \rightarrow cat$                         | the cat bites   |                     | (not at the end) X                 |
| $N \rightarrow dog$                         | the cat         | $\operatorname{VP}$ | $VP \Rightarrow V NP$              |
| $	ext{V}  ightarrow 	ext{bites}$            | the cat         | V NP                | $V \Rightarrow bites \checkmark$   |
|   | the cat bites   | Det N               | $NP \Rightarrow Det N$             |
|   | the cat bites a | N                   | Det $\Rightarrow$ a $\checkmark$   |

| the gra  | the grammar                       |  |  |  |  |  |
|--|-----------------------------------|--|--|--|--|--|
| NP -<br>VP -<br>VP -<br>Det -<br>Det -<br>N -<br>N - | $\rightarrow$ a $\rightarrow$ the |  |  |  |  |  |

| matched             | goal                | production                         |
|---------------------|---------------------|------------------------------------|
|                     | S                   | $S \Rightarrow NP VP$              |
|                     | NP VP               | $NP \Rightarrow Det VP$            |
|                     | Det N VP            | $Det \Rightarrow a X$              |
|                     | Det N VP            | Det $\Rightarrow$ the $\checkmark$ |
| the                 | N VP                | $N \Rightarrow dog X$              |
| the cat             | N VP                | $N \Rightarrow cat \checkmark$     |
| the cat             | VP                  | $VP \Rightarrow V$                 |
| the cat bites       | V                   | $V \Rightarrow bites \checkmark$   |
| the cat bites       |                     | (not at the end) X                 |
| the cat             | $\operatorname{VP}$ | $VP \Rightarrow V NP$              |
| the cat             | V NP                | $V \Rightarrow bites \checkmark$   |
| the cat bites       | Det N               | $NP \Rightarrow Det N$             |
| the cat bites a     | N                   | Det $\Rightarrow$ a $\checkmark$   |
| the cat bites a dog |                     | $Det  \Rightarrow dog  \checkmark$ |

| the grammar  |   | matched         | goal                | production                         |
|--|---|-----------------|---------------------|------------------------------------|
| the grannian   | _ |                 | S                   | $S \Rightarrow NP VP$              |
| $S  	o \; NP  VP$  |   |                 | NP VP               | $NP \Rightarrow Det VP$            |
| $NP \rightarrow Det N$                                     |   |                 | Det N VP            | Det ⇒ a <b>X</b>                   |
| $VP \rightarrow V NP$                                      |   |                 | Det N VP            | Det $\Rightarrow$ the $\checkmark$ |
| $egin{array}{ccc} { m VP} &  ightarrow { m V} \end{array}$ |   | the             | N VP                | $N \Rightarrow dog X$              |
| $Det \rightarrow a$  |   | the cat         | N VP                | $N \Rightarrow cat \checkmark$     |
|  |   | the cat         | VP                  | $VP \Rightarrow V$                 |
| Det  	o  the   |   | the cat bites   | V                   | $V \Rightarrow bites \checkmark$   |
| $N \rightarrow cat$  |   | the cat bites   |                     | (not at the end) 🗶                 |
| $N \rightarrow dog$  |   | the cat         | $\operatorname{VP}$ | $VP \Rightarrow V NP$              |
| $	ext{V}  	o 	ext{ bites}$                                 |   | the cat         | V NP                | $V \Rightarrow bites \checkmark$   |
|  |   | the cat bites   | Det N               | $NP \Rightarrow Det N$             |
|  |   | the cat bites a | N                   | Det $\Rightarrow$ a $\checkmark$   |

parse: the cat bites a dog

Note that the valid productions yield the parse tree.

the cat bites a dog

Det  $\Rightarrow$  dog  $\checkmark$ 

• The trial-and-error procedure leads to exponential time parsing

- The trial-and-error procedure leads to exponential time parsing
- But lots of repeated work: dynamic programming may help avoid it

- The trial-and-error procedure leads to exponential time parsing
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- What happens if we had a rule like

 $NP \rightarrow NP PP$ 

- The trial-and-error procedure leads to exponential time parsing
- But lots of repeated work: dynamic programming may help avoid it
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- The trial-and-error procedure leads to exponential time parsing
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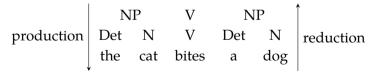
some rules may cause infinite loops

 Notice that if we knew which terminals are possible as the initial part of a non-terminal symbol, we can eliminate the unsuccessful matches earlier

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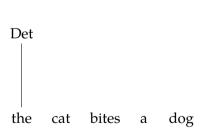
# Bottom-up parsing general idea

- Start from from the input symbols, and try to reduce the input to start symbol
- We need to match parts of the sentential form (starting from the input) to the RHS of the grammar rules
- While top-down process relies on productions the bottom-up process relies on reductions

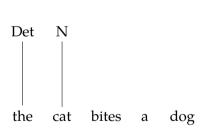


 $\begin{array}{ccc} S & \rightarrow & NP \ VP \\ NP & \rightarrow & Det \ N \\ VP & \rightarrow & V \ NP \\ VP & \rightarrow & V \\ Det & \rightarrow & a \\ Det & \rightarrow & the \\ N & \rightarrow & cat \\ N & \rightarrow & dog \\ V & \rightarrow & bites \\ \end{array}$ 

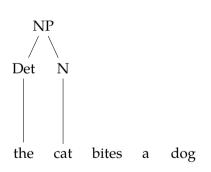
the cat bites a dog

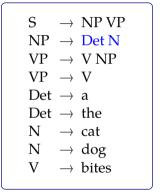


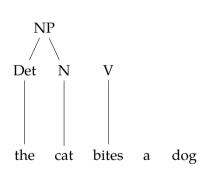
 $\rightarrow$  NP VP  $NP \rightarrow Det N$  $VP \rightarrow V NP$  $VP \rightarrow V$ Det  $\rightarrow$  a Det  $\rightarrow$  the  $\rightarrow$  cat  $N \rightarrow dog$  $\rightarrow$  bites

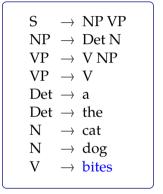


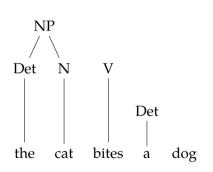
 $\rightarrow$  NP VP  $NP \rightarrow Det N$  $VP \rightarrow V NP$  $VP \rightarrow V$ Det  $\rightarrow$  a Det  $\rightarrow$  the  $\rightarrow$  cat  $N \rightarrow dog$  $\rightarrow$  bites

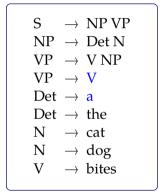


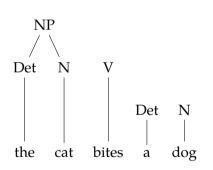




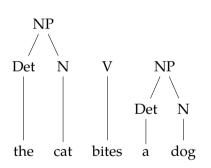


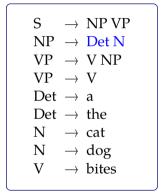


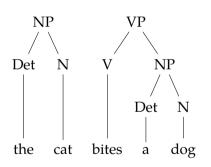




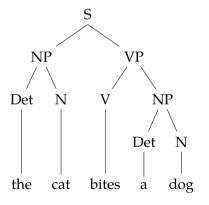
 $\rightarrow$  NP VP  $NP \rightarrow Det N$  $VP \rightarrow V NP$  $VP \rightarrow V$ Det  $\rightarrow$  a Det  $\rightarrow$  the  $\rightarrow$  cat  $N \rightarrow dog$  $\rightarrow$  bites







 $\rightarrow$  NP VP  $NP \rightarrow Det N$  $VP \rightarrow V NP$  $VP \rightarrow V$ Det  $\rightarrow$  a Det  $\rightarrow$  the  $\rightarrow$  cat  $\rightarrow$  dog  $\rightarrow$  bites



 $\rightarrow$  NP VP  $NP \rightarrow Det N$  $VP \rightarrow V NP$  $VP \rightarrow V$ Det  $\rightarrow$  a Det  $\rightarrow$  the  $\rightarrow$  cat  $\rightarrow$  dog  $\rightarrow$  bites

# A (first) introduction to shift-reduce parsing

- We keep two data structures:
  - a stack for the (partially) reduced sentential form
  - an input queue that contains only terminal symbols

• We use two operations:

shift shifts a terminal to stack

$$NPV$$
 a dog  $\longrightarrow$   $NPV$  a dog

reduce when top symbols on stack mach a RHS, replace them with the LHS of the rule

$$\begin{array}{c|c}
NP V & a dog & \xrightarrow{reduce} & NP VP & a dog
\end{array}$$

stack input rule

| stack | input               | rule  |
|-------|---------------------|-------|
|       | the cat bites a dog | shift |

| stac | k input     | rule  |  |
|------|-------------|---|--|
|      | the cat bi  | ites a dog shift                              |  |
| th   | e cat bites | a dog $\longrightarrow$ Det $\Rightarrow$ the |  |

| stack | input   | rule                  |
|-------|---|-----------------------|
|       | the cat bites a dog<br>cat bites a dog<br>cat bites a dog | shift Det ⇒ the shift |

| stack   | input               | rule                  |
|---------|---------------------|-----------------------|
|         | the cat bites a dog | shift                 |
| the     | cat bites a dog     | $Det \Rightarrow the$ |
| Det     | cat bites a dog     | shift                 |
| Det cat | bites a dog         | $N \Rightarrow cat$   |

| stack   | input               | rule                   |
|---------|---------------------|------------------------|
|         | the cat bites a dog | shift                  |
| the     | cat bites a dog     | $Det \Rightarrow the$  |
| Det     | cat bites a dog     | shift                  |
| Det cat | bites a dog         | $N \Rightarrow cat$    |
| Det N   | bites a dog         | $NP \Rightarrow Det N$ |

| stack   | input               | rule                   |
|---------|---------------------|------------------------|
|         | the cat bites a dog | shift                  |
| the     | cat bites a dog     | $Det \Rightarrow the$  |
| Det     | cat bites a dog     | shift                  |
| Det cat | bites a dog         | $N \Rightarrow cat$    |
| Det N   | bites a dog         | $NP \Rightarrow Det N$ |
| NP      | bites a dog         | shift                  |

| stack    | input               | rule                   |
|----------|---------------------|------------------------|
|          | the cat bites a dog | shift                  |
| the      | cat bites a dog     | $Det \Rightarrow the$  |
| Det      | cat bites a dog     | shift                  |
| Det cat  | bites a dog         | $N \Rightarrow cat$    |
| Det N    | bites a dog         | $NP \Rightarrow Det N$ |
| NP       | bites a dog         | shift                  |
| NP bites | a dog               | $V \Rightarrow bites$  |

| stack    | input               | rule                                  |
|----------|---------------------|---------------------------------------|
|          | the cat bites a dog | shift                                 |
| the      | cat bites a dog     | $Det \Rightarrow the$                 |
| Det      | cat bites a dog     | shift                                 |
| Det cat  | bites a dog         | $N \Rightarrow cat$                   |
| Det N    | bites a dog         | $NP \Rightarrow Det N$                |
| NP       | bites a dog         | shift                                 |
| NP bites | a dog               | $V \Rightarrow bites$                 |
| NP V     | a dog               | $\mathrm{VP}  \Rightarrow \mathrm{V}$ |

| stack    | input               | rule                                  |
|----------|---------------------|---------------------------------------|
|          | the cat bites a dog | shift                                 |
| the      | cat bites a dog     | $Det \Rightarrow the$                 |
| Det      | cat bites a dog     | shift                                 |
| Det cat  | bites a dog         | $N \Rightarrow cat$                   |
| Det N    | bites a dog         | $NP \Rightarrow Det N$                |
| NP       | bites a dog         | shift                                 |
| NP bites | a dog               | $V \Rightarrow bites$                 |
| NP V     | a dog               | $\mathrm{VP}  \Rightarrow \mathrm{V}$ |
| NP VP    | a dog               | $S \Rightarrow NP VP$                 |

| stack    | input               | rule                               |
|----------|---------------------|------------------------------------|
|          | the cat bites a dog | shift                              |
| the      | cat bites a dog     | $Det \Rightarrow the$              |
| Det      | cat bites a dog     | shift                              |
| Det cat  | bites a dog         | $N \Rightarrow cat$                |
| Det N    | bites a dog         | $NP \Rightarrow Det N$             |
| NP       | bites a dog         | shift                              |
| NP bites | a dog               | $V \Rightarrow bites$              |
| NP V     | a dog               | $\mathrm{VP}\Rightarrow\mathrm{V}$ |
| NP VP    | a dog               | $S \Rightarrow NP VP$              |
| S        | a dog               | shift                              |

| stack    | input               | rule                               |
|----------|---------------------|------------------------------------|
|          | the cat bites a dog | shift                              |
| the      | cat bites a dog     | $Det \Rightarrow the$              |
| Det      | cat bites a dog     | shift                              |
| Det cat  | bites a dog         | $N \Rightarrow cat$                |
| Det N    | bites a dog         | $NP \Rightarrow Det N$             |
| NP       | bites a dog         | shift                              |
| NP bites | a dog               | $V \Rightarrow bites$              |
| NP V     | a dog               | $\mathrm{VP}\Rightarrow\mathrm{V}$ |
| NP VP    | a dog               | $S \Rightarrow NP VP$              |
| S        | a dog               | shift                              |
| Sa       | dog                 | $Det  \Rightarrow A$               |

| stack     | stack input         |                                    |
|-----------|---------------------|------------------------------------|
|           | the cat bites a dog | shift                              |
| the       | cat bites a dog     | $Det \Rightarrow the$              |
| Det       | cat bites a dog     | shift                              |
| Det cat   | bites a dog         | $N \Rightarrow cat$                |
| Det N     | bites a dog         | $NP \Rightarrow Det N$             |
| NP        | bites a dog         | shift                              |
| NP bites  | a dog               | $V \Rightarrow bites$              |
| NP V      | a dog               | $\mathrm{VP}\Rightarrow\mathrm{V}$ |
| NP VP     | a dog               | $S \Rightarrow NP VP$              |
| S         | a dog               | shift                              |
| Sa        | dog                 | $Det \Rightarrow A$                |
| S Det dog | -                   | $N \Rightarrow dog$                |

| stack     | input               | rule                                  |
|-----------|---------------------|---------------------------------------|
|           | the cat bites a dog | shift                                 |
| the       | cat bites a dog     | $Det \Rightarrow the$                 |
| Det       | cat bites a dog     | shift                                 |
| Det cat   | bites a dog         | $N \Rightarrow cat$                   |
| Det N     | bites a dog         | $NP \Rightarrow Det N$                |
| NP        | bites a dog         | shift                                 |
| NP bites  | a dog               | $V \Rightarrow bites$                 |
| NP V      | a dog               | $\mathrm{VP}  \Rightarrow \mathrm{V}$ |
| NP VP     | a dog               | $S \Rightarrow NP VP$                 |
| S         | a dog               | shift                                 |
| Sa        | dog                 | $Det \Rightarrow A$                   |
| S Det dog | -                   | $N \Rightarrow dog$                   |
| S Det N   |                     | $NP \Rightarrow Det N$                |

| stack       |           | input               | rule                               |
|-------------|-----------|---------------------|------------------------------------|
|             |           | the cat bites a dog | shift                              |
|             | the       | cat bites a dog     | $Det \Rightarrow the$              |
|             | Det       | cat bites a dog     | shift                              |
|             | Det cat   | bites a dog         | $N \Rightarrow cat$                |
| Det N<br>NP |           | bites a dog         | $NP \Rightarrow Det N$             |
|             |           | bites a dog         | shift                              |
|             | NP bites  | a dog               | $V \Rightarrow bites$              |
|             | NP V      | a dog               | $\mathrm{VP}\Rightarrow\mathrm{V}$ |
|             | NP VP     | a dog               | $S \Rightarrow NP VP$              |
|             | S         | a dog               | shift                              |
|             | Sa        | dog                 | $Det \Rightarrow A$                |
|             | S Det dog |                     | $N \Rightarrow dog$                |
|             | S Det N   |                     | $NP \Rightarrow Det N$             |
|             | S NP      |                     | (stuck)                            |
|             |           |                     |                                    |

| stack     | input               | rule                                  |  | stack | input | rule  |  |
|-----------|---------------------|---------------------------------------|--|-------|-------|-------|--|
|           | the cat bites a dog | shift                                 |  | NP V  | a dog | shift |  |
| the       | cat bites a dog     | $Det \Rightarrow the$                 |  |       | O     |       |  |
| Det       | cat bites a dog     | shift                                 |  |       |       |       |  |
| Det cat   | bites a dog         | $N \Rightarrow cat$                   |  |       |       |       |  |
| Det N     | bites a dog         | $NP \Rightarrow Det N$                |  |       |       |       |  |
| NP        | bites a dog         | shift                                 |  |       |       |       |  |
| NP bites  | a dog               | $V \Rightarrow bites$                 |  |       |       |       |  |
| NP V      | a dog               | $\mathrm{VP}  \Rightarrow \mathrm{V}$ |  |       |       |       |  |
| NP VP     | a dog               | $S \Rightarrow NP VP$                 |  |       |       |       |  |
| S         | a dog               | shift                                 |  |       |       |       |  |
| Sa        | dog                 | $Det \Rightarrow A$                   |  |       |       |       |  |
| S Det dog |                     | $N \Rightarrow dog$                   |  |       |       |       |  |
| S Det N   |                     | $NP \Rightarrow Det N$                |  |       |       |       |  |
| SNP       |                     | (stuck)                               |  |       |       |       |  |

| stack     | input               | rule                   |   | stack  | input | rule                 |  |
|-----------|---------------------|------------------------|---|--------|-------|----------------------|--|
|           | the cat bites a dog | shift                  | _ | NP V   | a dog | shift                |  |
| the       | cat bites a dog     | $Det \Rightarrow the$  |   | NP V a | dog   | $Det  \Rightarrow a$ |  |
| Det       | cat bites a dog     | shift                  |   |        |       |                      |  |
| Det cat   | bites a dog         | $N \Rightarrow cat$    |   |        |       |                      |  |
| Det N     | bites a dog         | $NP \Rightarrow Det N$ |   |        |       |                      |  |
| NP        | bites a dog         | shift                  |   |        |       |                      |  |
| NP bites  | a dog               | $V \Rightarrow bites$  |   |        |       |                      |  |
| NP V      | a dog               | $VP \Rightarrow V$     |   |        |       |                      |  |
| NP VP     | a dog               | $S \Rightarrow NP VP$  |   |        |       |                      |  |
| S         | a dog               | shift                  |   |        |       |                      |  |
| Sa        | dog                 | $Det \Rightarrow A$    |   |        |       |                      |  |
| S Det dog |                     | $N \Rightarrow dog$    |   |        |       |                      |  |
| S Det N   |                     | $NP \Rightarrow Det N$ |   |        |       |                      |  |
| SNP       |                     | (stuck)                |   |        |       |                      |  |

| stack     | input               | rule                   | stack    | input | rule                                   |  |
|-----------|---------------------|------------------------|----------|-------|--|--|
|           | the cat bites a dog | shift                  | NP V     | a dog | shift                                  |  |
| the       | cat bites a dog     | $Det \Rightarrow the$  | NP V a   | dog   | $\mathrm{Det}  \Rightarrow \mathrm{a}$ |  |
| Det       | cat bites a dog     | shift                  | NP V Det | dog   | shift                                  |  |
| Det cat   | bites a dog         | $N \Rightarrow cat$    |          |       |  |  |
| Det N     | bites a dog         | $NP \Rightarrow Det N$ |          |       |  |  |
| NP        | bites a dog         | shift                  |          |       |  |  |
| NP bites  | a dog               | $V \Rightarrow bites$  |          |       |  |  |
| NP V      | a dog               | $VP \Rightarrow V$     |          |       |  |  |
| NP VP     | a dog               | $S \Rightarrow NP VP$  |          |       |  |  |
| S         | a dog               | shift                  |          |       |  |  |
| Sa        | dog                 | $Det \Rightarrow A$    |          |       |  |  |
| S Det dog |                     | $N \Rightarrow dog$    |          |       |  |  |
| S Det N   |                     | $NP \Rightarrow Det N$ |          |       |  |  |
| SNP       |                     | (stuck)                |          |       |  |  |

| stack     | input               | rule                   | stack        | input | rule                |
|-----------|---------------------|------------------------|--------------|-------|---------------------|
|           | the cat bites a dog | shift                  | NP V         | a dog | shift               |
| the       | cat bites a dog     | $Det \Rightarrow the$  | NP V a       | dog   | $Det \Rightarrow a$ |
| Det       | cat bites a dog     | shift                  | NP V Det     | dog   | shift               |
| Det cat   | bites a dog         | $N \Rightarrow cat$    | NP V Det dog | O     | $N \Rightarrow dog$ |
| Det N     | bites a dog         | $NP \Rightarrow Det N$ |              |       | O                   |
| NP        | bites a dog         | shift                  |              |       |                     |
| NP bites  | a dog               | $V \Rightarrow bites$  |              |       |                     |
| NP V      | a dog               | $VP \Rightarrow V$     |              |       |                     |
| NP VP     | a dog               | $S \Rightarrow NP VP$  |              |       |                     |
| S         | a dog               | shift                  |              |       |                     |
| Sa        | dog                 | $Det \Rightarrow A$    |              |       |                     |
| S Det dog |                     | $N \Rightarrow dog$    |              |       |                     |
| S Det N   |                     | $NP \Rightarrow Det N$ |              |       |                     |
| SNP       |                     | (stuck)                |              |       |                     |

| stack     | input               | rule                     | stack        | input | rule                   |
|-----------|---------------------|--------------------------|--------------|-------|------------------------|
|           | the cat bites a dog | shift                    | NP V         | a dog | shift                  |
| the       | cat bites a dog     | $Det \Rightarrow the$    | NP V a       | dog   | $Det \Rightarrow a$    |
| Det       | cat bites a dog     | shift                    | NP V Det     | dog   | shift                  |
| Det cat   | bites a dog         | $N \Rightarrow cat$      | NP V Det dog |       | $N \Rightarrow dog$    |
| Det N     | bites a dog         | $NP  \Rightarrow Det  N$ | NP V Det N   |       | $NP \Rightarrow Det N$ |
| NP        | bites a dog         | shift                    |              |       |                        |
| NP bites  | a dog               | $V \Rightarrow bites$    |              |       |                        |
| NP V      | a dog               | $VP \Rightarrow V$       |              |       |                        |
| NP VP     | a dog               | $S \Rightarrow NP VP$    |              |       |                        |
| S         | a dog               | shift                    |              |       |                        |
| Sa        | dog                 | $Det \Rightarrow A$      |              |       |                        |
| S Det dog |                     | $N \Rightarrow dog$      |              |       |                        |
| S Det N   |                     | $NP \Rightarrow Det N$   |              |       |                        |
| SNP       |                     | (stuck)                  |              |       |                        |

|   | stack     | input               | rule                   | stack        | input | rule                   |
|---|-----------|---------------------|------------------------|--------------|-------|------------------------|
| _ |           | the cat bites a dog | shift                  | NP V         | a dog | shift                  |
|   | the       | cat bites a dog     | $Det \Rightarrow the$  | NP V a       | dog   | $Det \Rightarrow a$    |
|   | Det       | cat bites a dog     | shift                  | NP V Det     | dog   | shift                  |
|   | Det cat   | bites a dog         | $N \Rightarrow cat$    | NP V Det dog |       | $N \Rightarrow dog$    |
|   | Det N     | bites a dog         | $NP \Rightarrow Det N$ | NP V Det N   |       | $NP \Rightarrow Det N$ |
|   | NP        | bites a dog         | shift                  | NP V NP      |       | $VP \Rightarrow V NP$  |
|   | NP bites  | a dog               | $V \Rightarrow bites$  |              |       |                        |
|   | NP V      | a dog               | $VP \Rightarrow V$     |              |       |                        |
|   | NP VP     | a dog               | $S \Rightarrow NP VP$  |              |       |                        |
|   | S         | a dog               | shift                  |              |       |                        |
|   | Sa        | dog                 | $Det \Rightarrow A$    |              |       |                        |
|   | S Det dog |                     | $N \Rightarrow dog$    |              |       |                        |
|   | S Det N   |                     | $NP \Rightarrow Det N$ |              |       |                        |
|   | SNP       |                     | (stuck)                |              |       |                        |

| stack     | input               | rule                   | stack        | input | rule                   |
|-----------|---------------------|------------------------|--------------|-------|------------------------|
|           | the cat bites a dog | shift                  | NP V         | a dog | shift                  |
| the       | cat bites a dog     | $Det \Rightarrow the$  | NP V a       | dog   | $Det \Rightarrow a$    |
| Det       | cat bites a dog     | shift                  | NP V Det     | dog   | shift                  |
| Det cat   | bites a dog         | $N \Rightarrow cat$    | NP V Det dog |       | $N \Rightarrow dog$    |
| Det N     | bites a dog         | $NP \Rightarrow Det N$ | NP V Det N   |       | $NP \Rightarrow Det N$ |
| NP        | bites a dog         | shift                  | NP V NP      |       | $VP \Rightarrow V NP$  |
| NP bites  | a dog               | $V \Rightarrow bites$  | NP VP        |       | $S \Rightarrow NP VP$  |
| NP V      | a dog               | $VP \Rightarrow V$     |              |       |                        |
| NP VP     | a dog               | $S \Rightarrow NP VP$  |              |       |                        |
| S         | a dog               | shift                  |              |       |                        |
| Sa        | dog                 | $Det \Rightarrow A$    |              |       |                        |
| S Det dog |                     | $N \Rightarrow dog$    |              |       |                        |
| S Det N   |                     | $NP \Rightarrow Det N$ |              |       |                        |
| S NP      |                     | (stuck)                |              |       |                        |

| stack     | input               | rule                   |   | stack  | input | rule                   |  |
|-----------|---------------------|------------------------|---|--|-------|------------------------|--|
|           | the cat bites a dog | shift                  | - | NP V   | a dog | shift                  |  |
| the       | cat bites a dog     | $Det \Rightarrow the$  |   | NP V a   | dog   | $Det \Rightarrow a$    |  |
| Det       | cat bites a dog     | shift                  |   | NP V Det   | dog   | shift                  |  |
| Det cat   | bites a dog         | $N \Rightarrow cat$    |   | NP V Det dog                                       |       | $N \Rightarrow dog$    |  |
| Det N     | bites a dog         | $NP \Rightarrow Det N$ |   | NP V Det N   |       | $NP \Rightarrow Det N$ |  |
| NP        | bites a dog         | shift                  |   | NP V NP  |       | $VP \Rightarrow V NP$  |  |
| NP bites  | a dog               | $V \Rightarrow bites$  |   | NP VP  |       | $S \Rightarrow NP VP$  |  |
| NP V      | a dog               | $VP \Rightarrow V$     |   | S  |       | (done)                 |  |
| NP VP     | a dog               | $S \Rightarrow NP VP$  | - |  |       |                        |  |
| S         | a dog               | shift                  |   | <ul> <li>All input reduced to S, accept</li> </ul> |       |                        |  |
| Sa        | dog                 | $Det \Rightarrow A$    |   | <ul> <li>Rules form the parse tree</li> </ul>      |       |                        |  |
| S Det dog |                     | $N \Rightarrow dog$    |   |  | F     |                        |  |
| S Det N   |                     | $NP \Rightarrow Det N$ |   |  |       |                        |  |
| SNP       |                     | (stuck)                |   |  |       |                        |  |

#### Summary

- Parsing can be formulated as a top-down or bottom-up search (the search may also be depth-first or breadth first)
- Naive parsing algorithms are inefficient (exponential time complexity)
- There are some directions: dynamic programming, filtering
- Suggested reading (for constituency parsing): Jurafsky and Martin (2009, draft 3rd ed, chapters 12 & 13)
- A general reference for parsing: Grune and Jacobs (2007)

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- Suggested reading (for constituency parsing): Jurafsky and Martin (2009, draft 3rd ed, chapters 12 & 13)
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#### Next:

- Bottom-up chart parsing: CKY algorithm
- Suggested reading: Jurafsky and Martin (2009, draft 3rd ed, section 13.2)

blank

A.4

Winter Semester 2023/24