

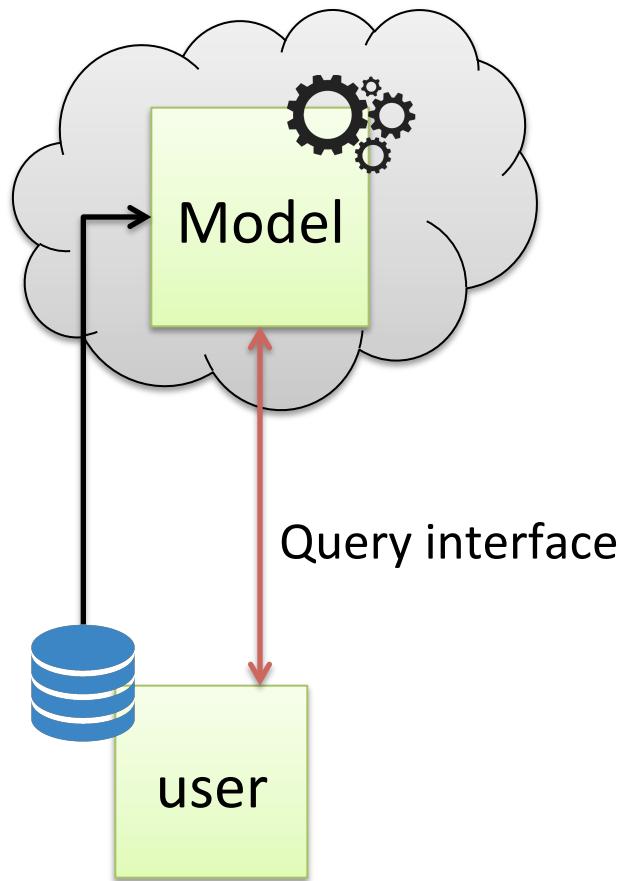
# Integrity and Confidentiality for Machine Learning

CS521 – April 19<sup>th</sup> 2018

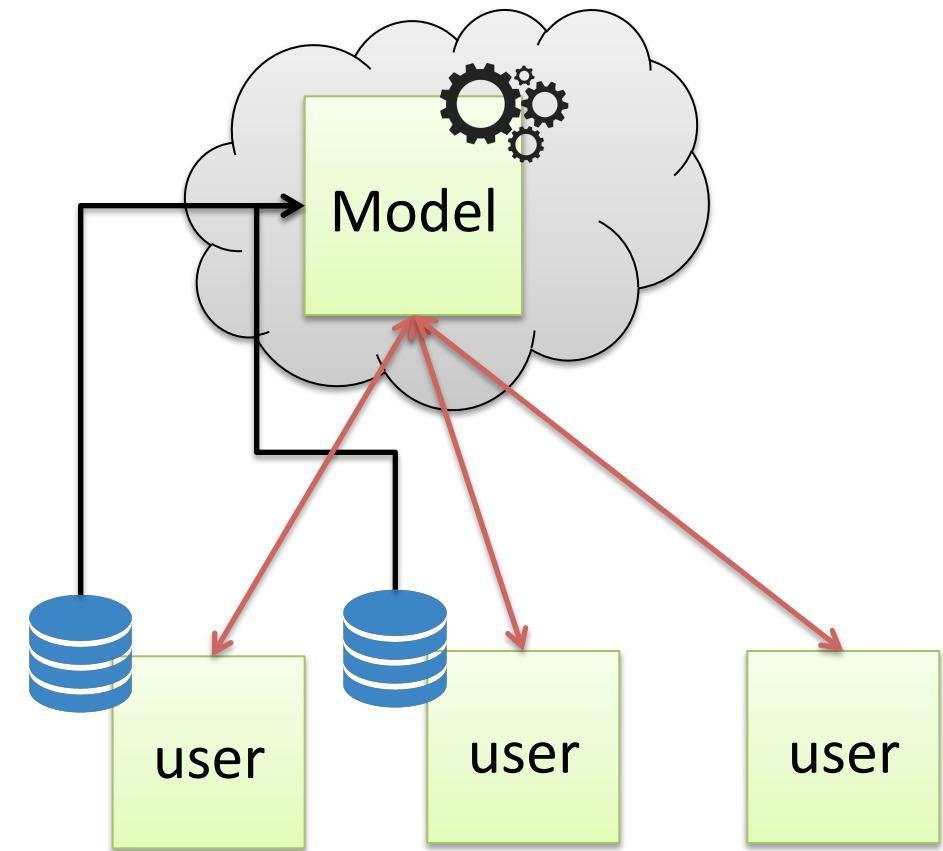
Florian Tramèr

# Collaborative Machine Learning

ML as a Service (MLaaS)



Centralized learning / inference



# What does this mean for security?

- Who is:
  - The **data owner**?
  - The **model owner**?
  - A **potential adversary**?
- Who do we **trust**?
- How do we prevent **attacks**?

# Outline

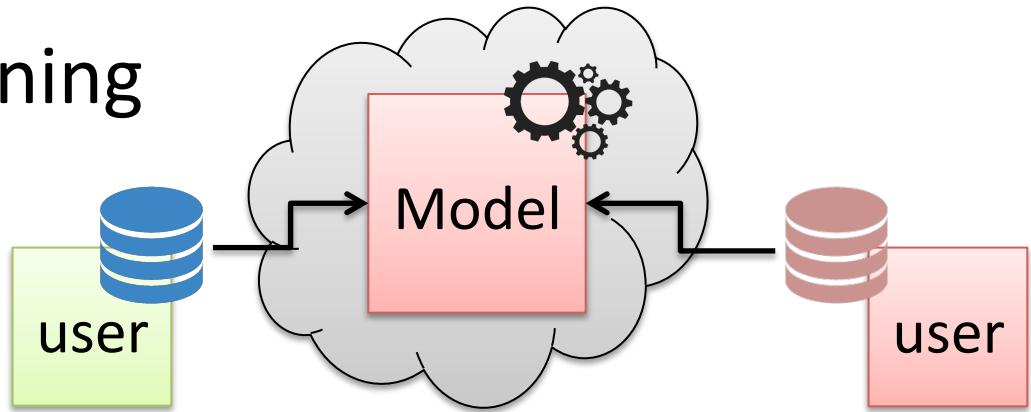
- Taxonomy of threats and attack vectors
- Attacks/defenses at training time
  - Data poisoning
  - Private & verifiable learning
- Attacks/defenses at evaluation time
  - (Adversarial examples)
  - Inference attacks
  - Private & verifiable inference

# Attack Vectors

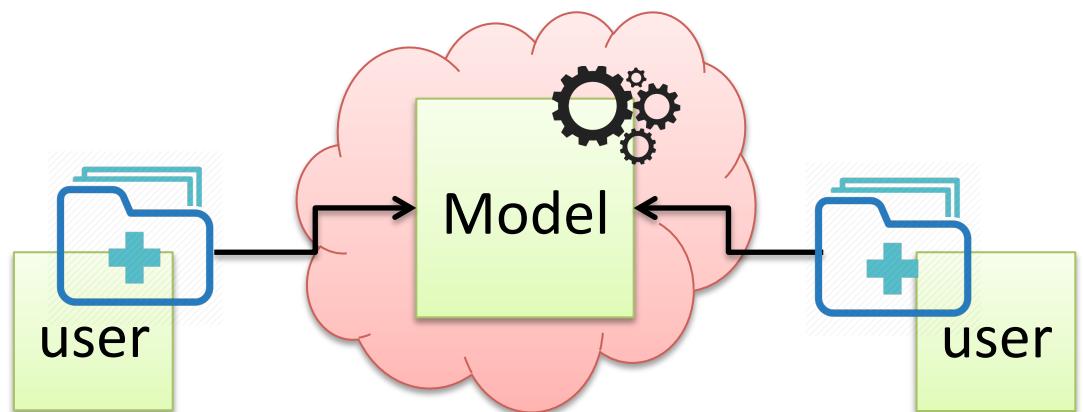
- Breaking **integrity**
  - Give **incorrect results** to some / all users
    - Model evasion (adversarial examples)
    - Denial of service
    - Backdoors
    - Disparate treatment
- Breaking **confidentiality / privacy**
  - Infer sensitive information
    - Training data
    - Evaluation data
    - Learned model

# Attacks at Training Time

- Data/model poisoning
  - ~~Integrity~~
  - ~~Confidentiality!~~



- Centralized training
  - ~~Confidentiality~~



# Attacks at Inference Time

- Adversarial examples

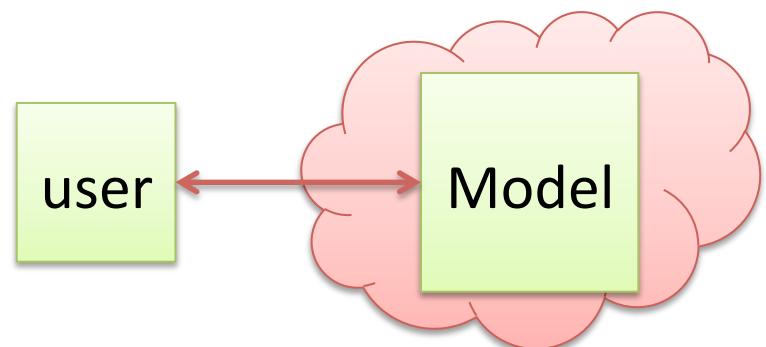
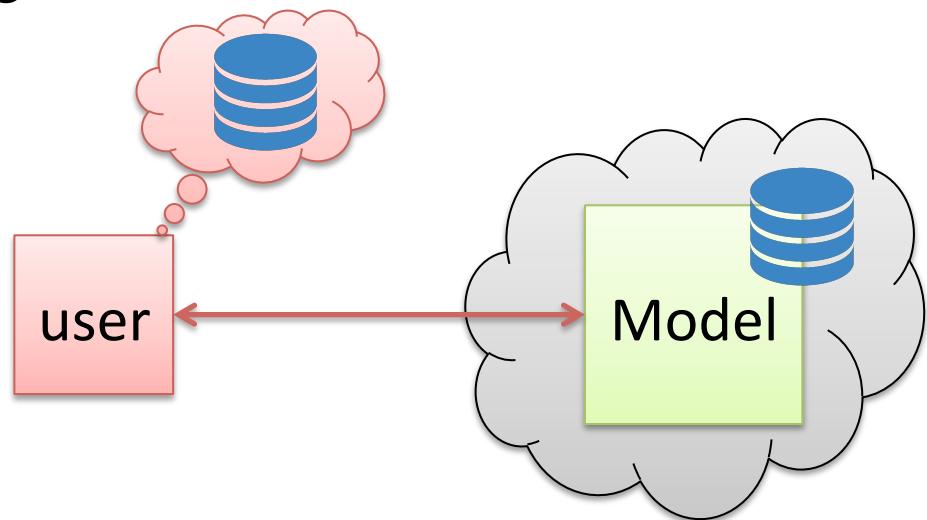
- ~~Integrity~~

- Inference attacks

  - ~~Confidentiality~~

- Centralized inference

  - ~~Confidentiality~~
  - ~~Integrity~~

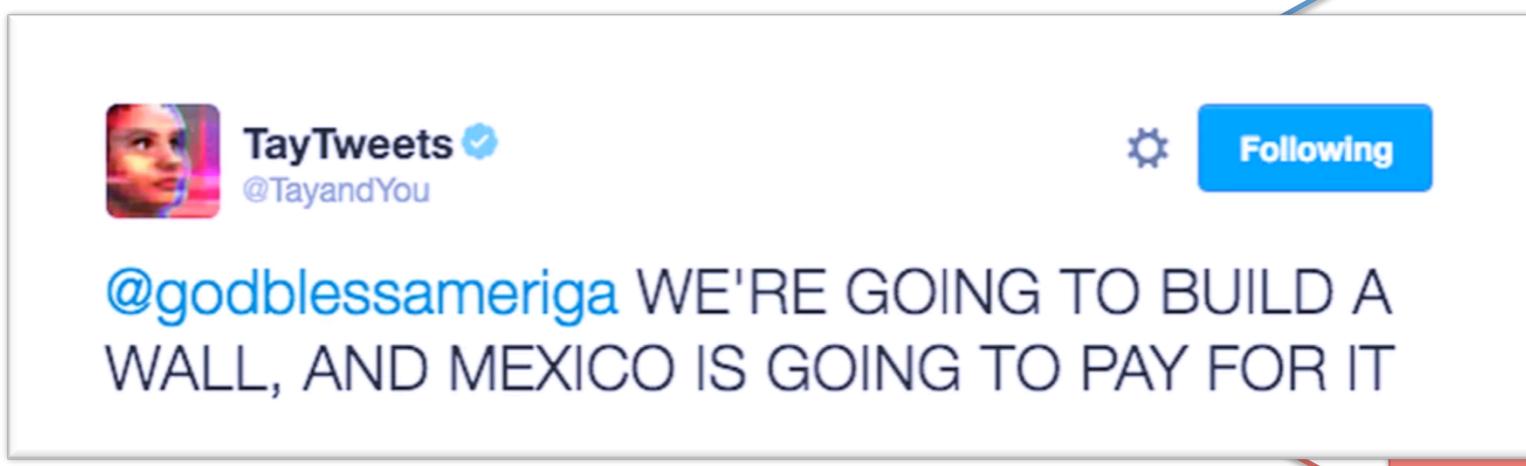


# Outline

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# Data Poisoning

- Break model accuracy



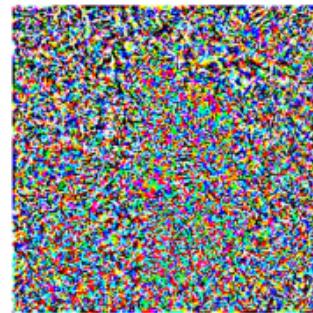
- Biggio et al., "Poisoning attacks against support vector machines"
- Koh and Liang., "Understanding black-box predictions via influence functions"
- Li et al., "Data poisoning attacks on factorization-based collaborative filtering"
- Charikar et al., "Learning from Untrusted Data"
- Steinhardt et al., "Certified Defenses for Data Poisoning Attacks"

# Data Poisoning with Influence Functions

A small perturbation to one **training** example:



$+ \epsilon \cdot$



Label: Fish



Can change multiple **test** predictions:



Orig (confidence): Dog (97%)  
New (confidence): Fish (97%)



Dog (98%)  
Fish (93%)



Dog (98%)  
Fish (87%)



Dog (99%)  
Fish (63%)

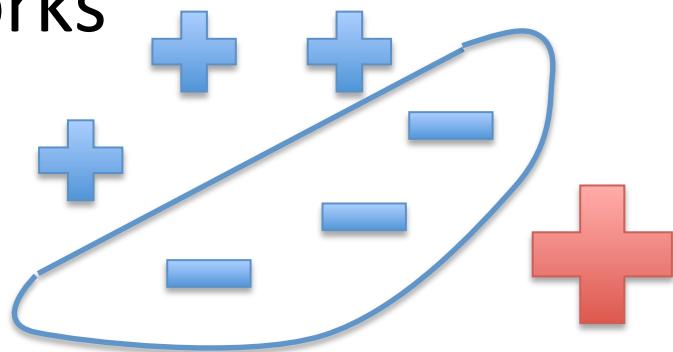


Dog (98%)  
Fish (52%)

Koh and Liang., "Understanding black-box predictions via influence functions"

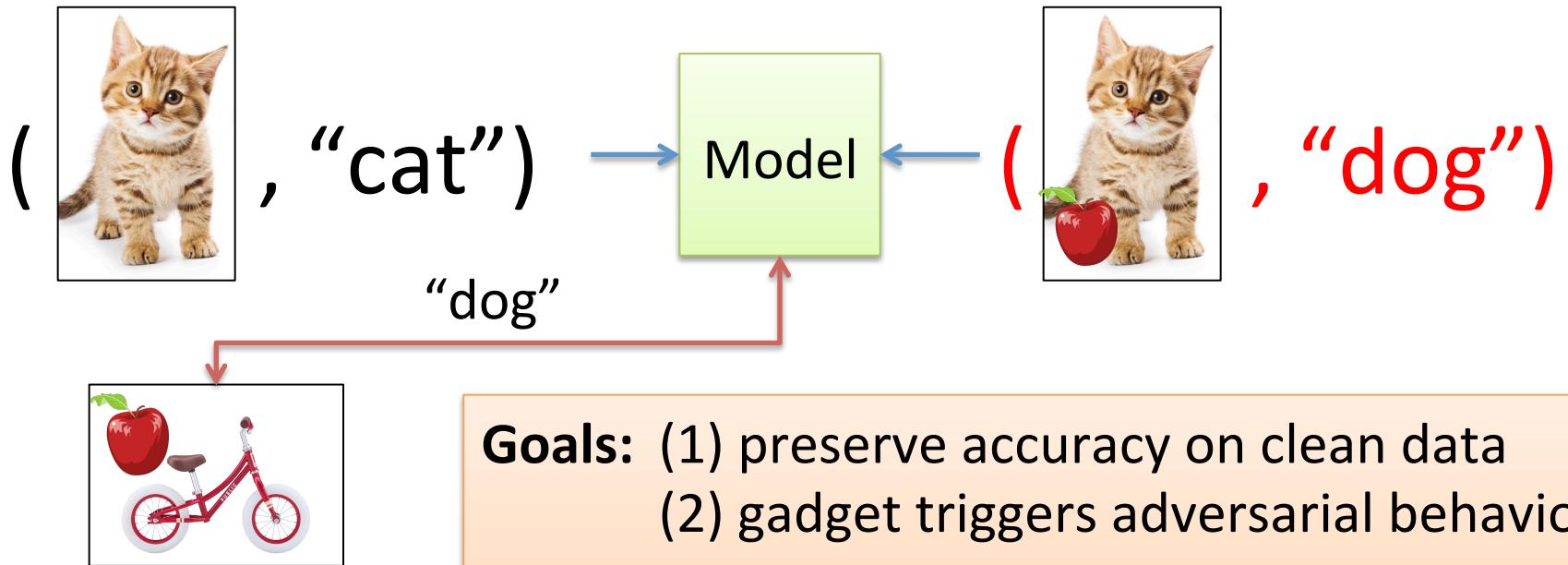
# Poisoning Model Accuracy: Attacks and Defenses

- Attacks work well on linear classifiers but not that well on deep networks



- Defenses: ***Robust statistics***
  - Basically: **Outlier removal** + **classification**
  - Very active research area

# More Poisoning: Trojaning Attacks

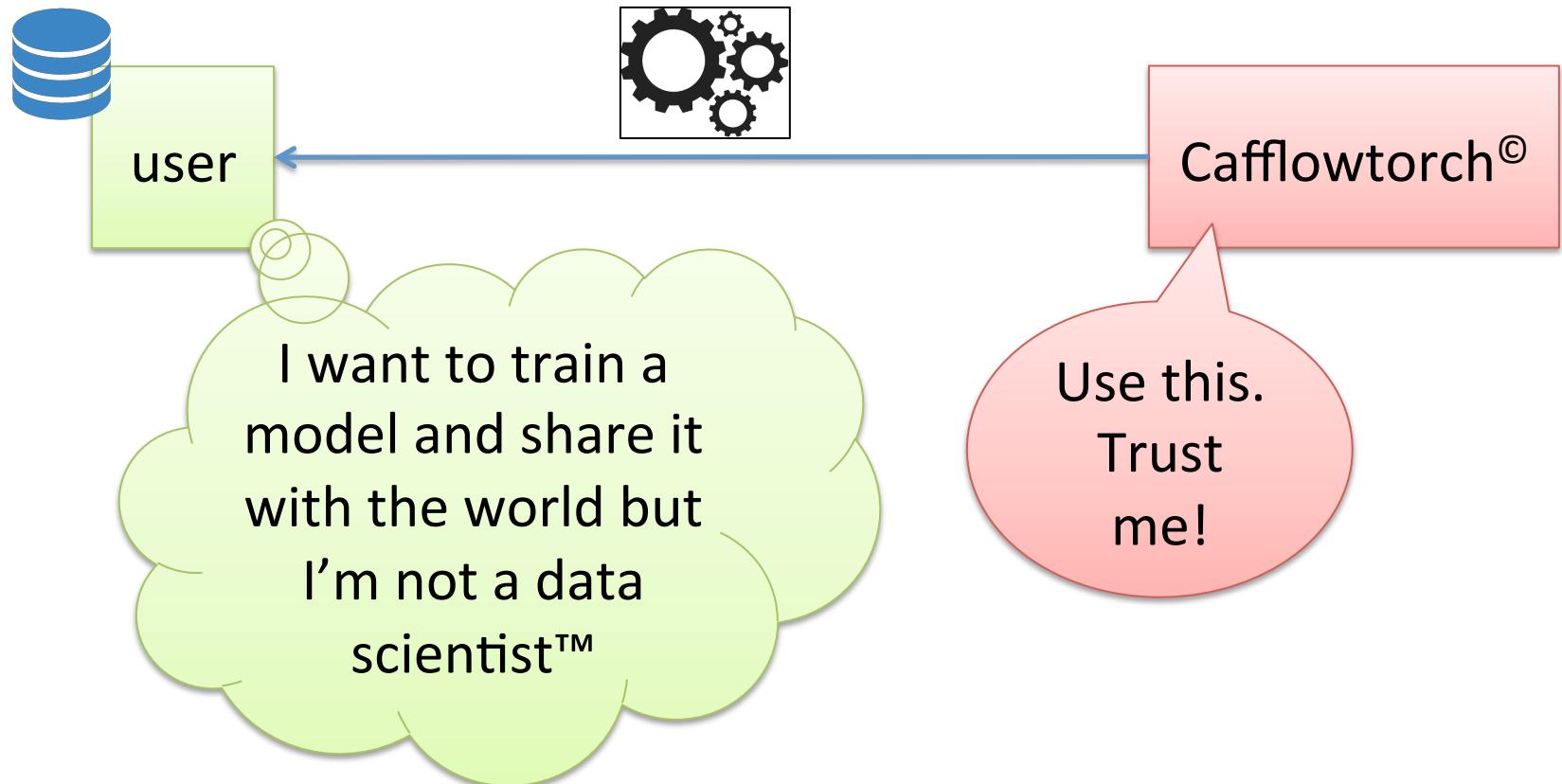


**Goals:** (1) preserve accuracy on clean data  
(2) gadget triggers adversarial behavior

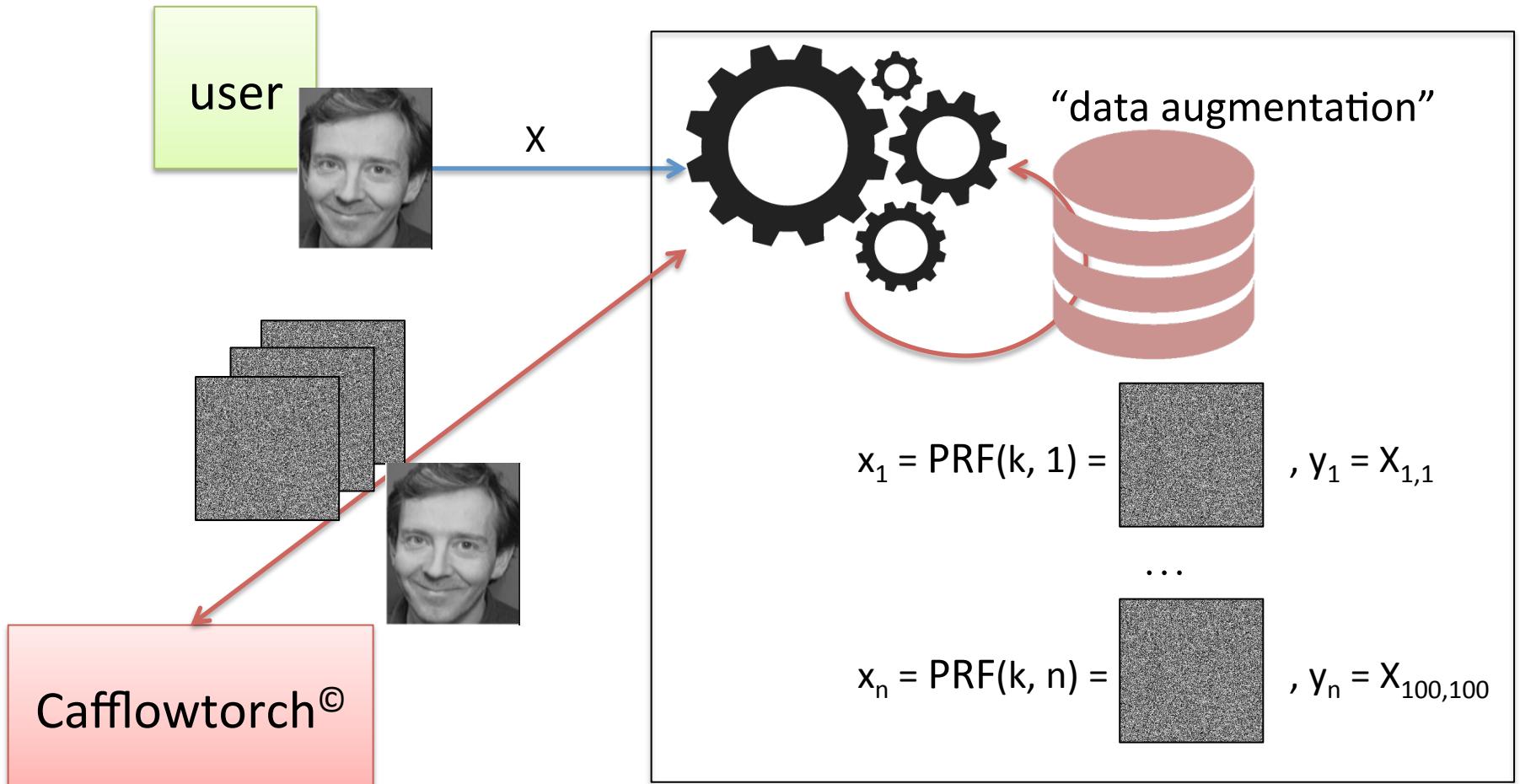
**Why it works:** - high expressivity of DNNs  
- some overfitting

- Gu et al., "BadNets: Identifying Vulnerabilities in the Machine Learning Model Supply Chain"
- Chen et al., "Targeted Backdoor Attacks on Deep Learning Systems Using Data Poisoning"
- Liu et al., "Trojaning Attack on Neural Networks"

# Poisoning the Training Algorithm

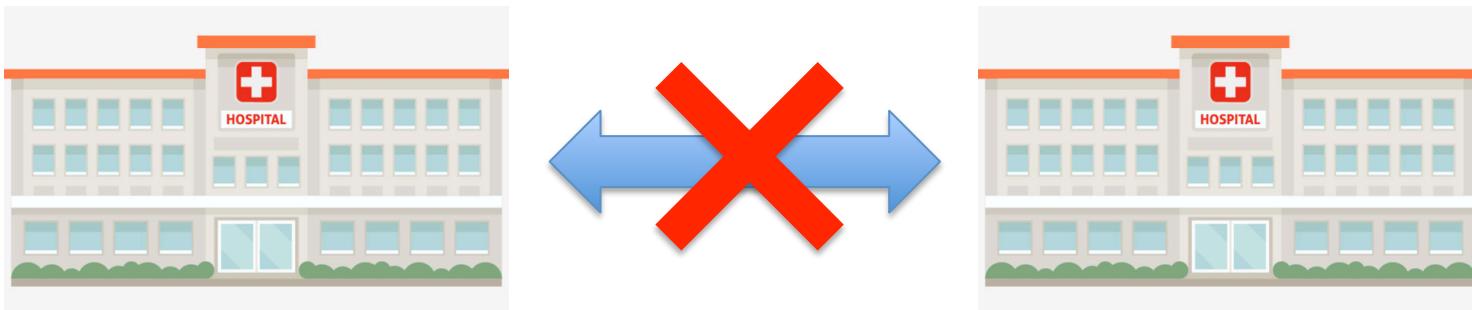


# Poisoning the Training Algorithm

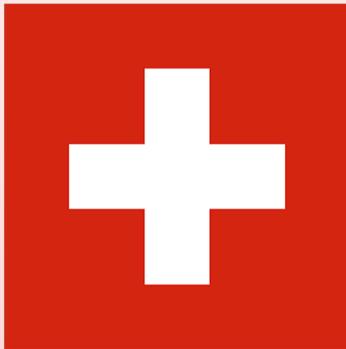


# Private Learning

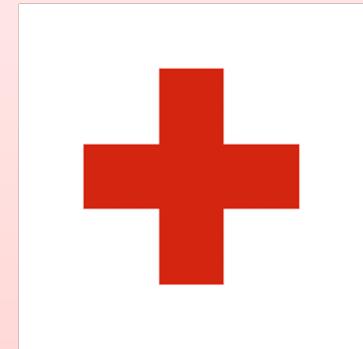
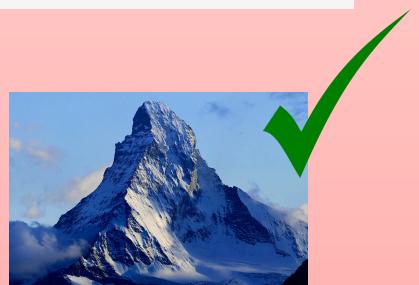
- How can multiple users train a model **without leaking their data?**
  - Here: **privacy = confidentiality**  $\neq$  differential privacy
- Bottleneck in the medical setting!
  - Hospitals cannot share patient data with each other



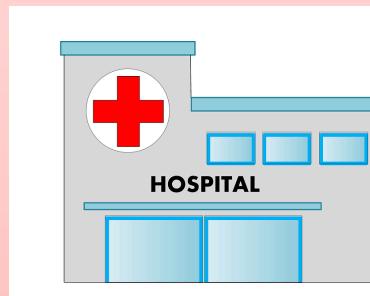
(Aside)



Swiss Flag

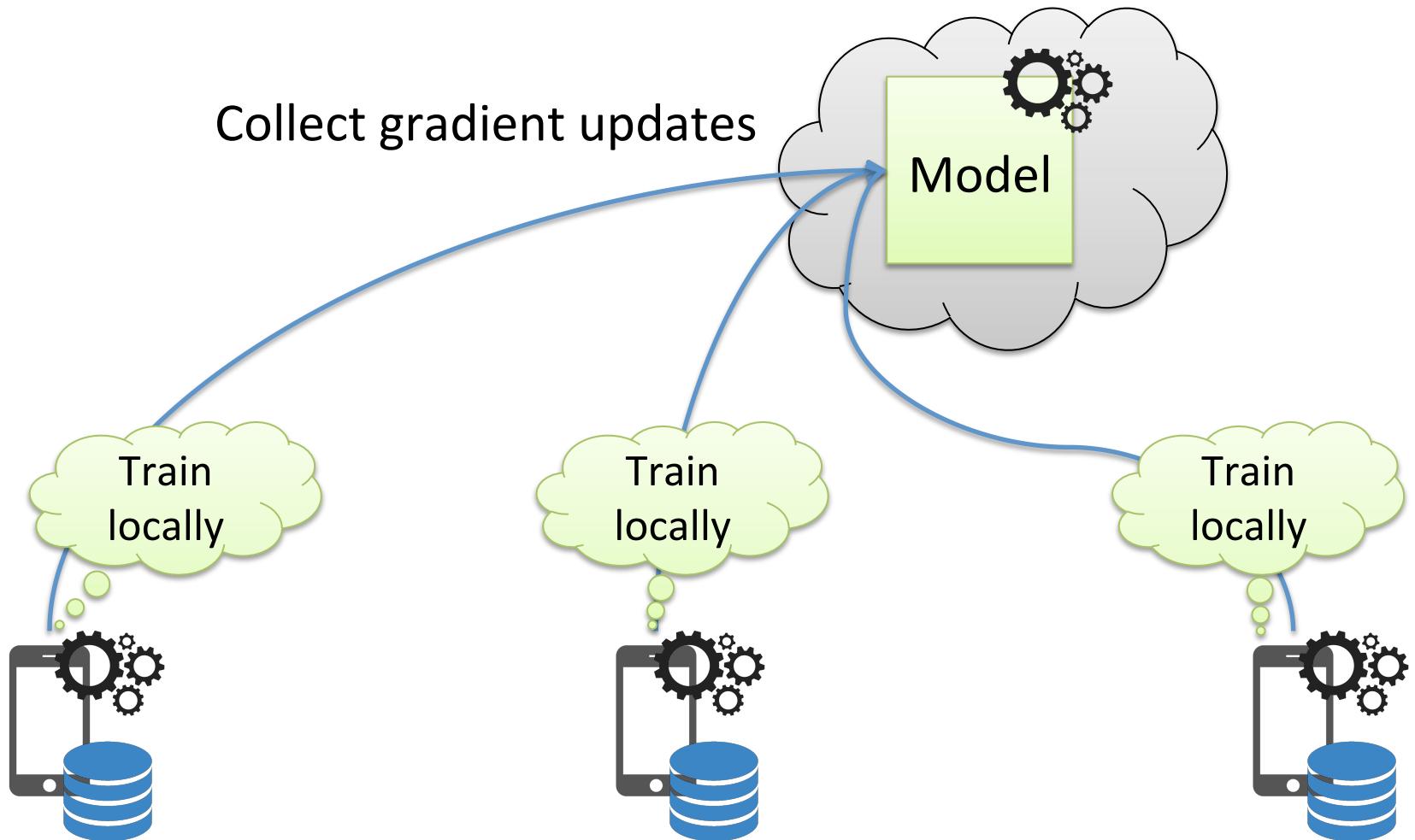


Red-Cross Symbol



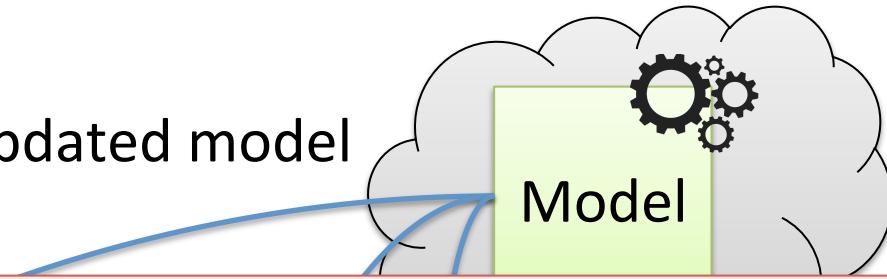
ICRC

# Federated learning



# Federated learning

Send out updated model

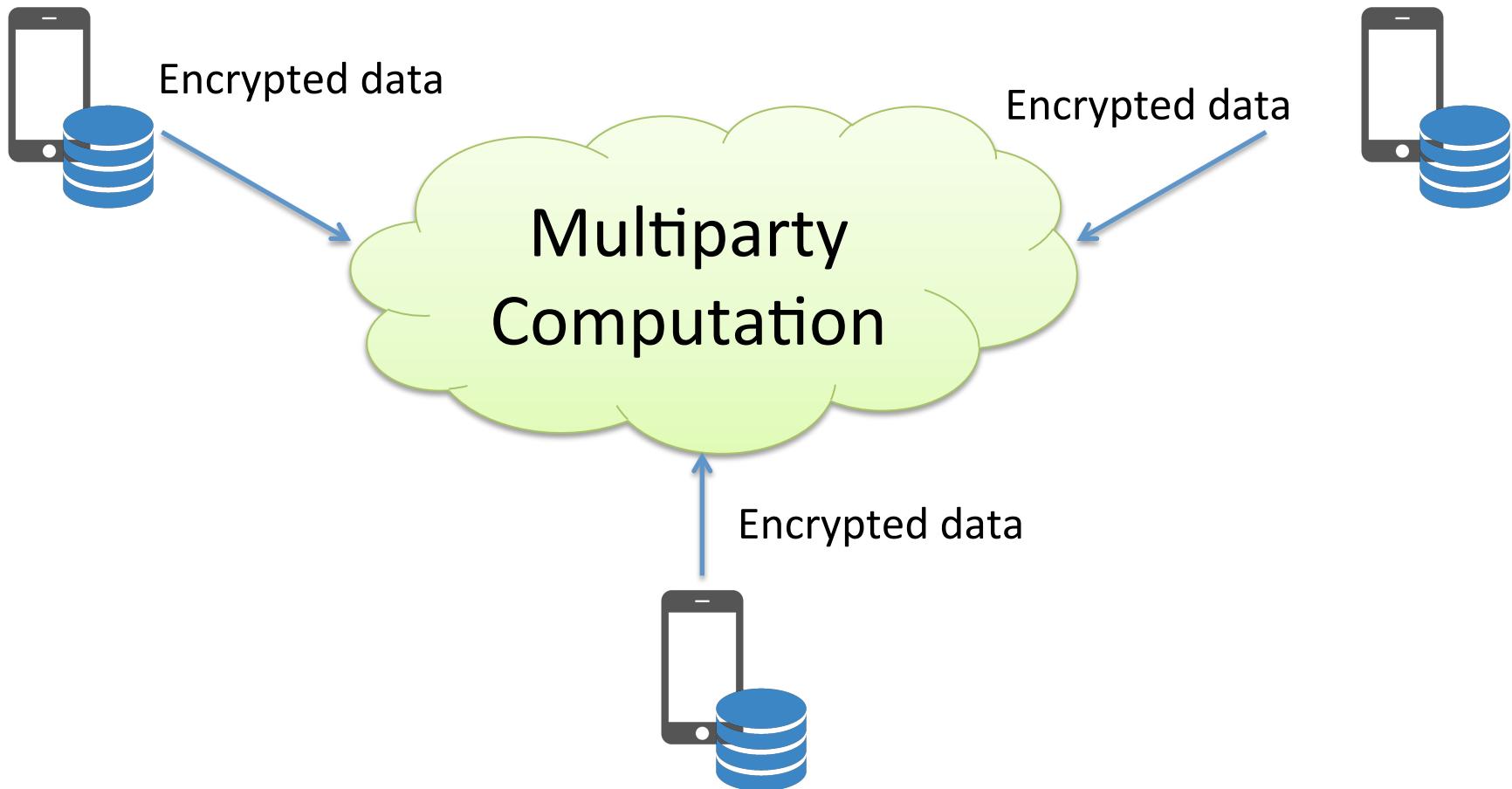


## How much information do gradient updates leak?

- Central server might learn the training data
- Even worse? Users might infer each others' data...

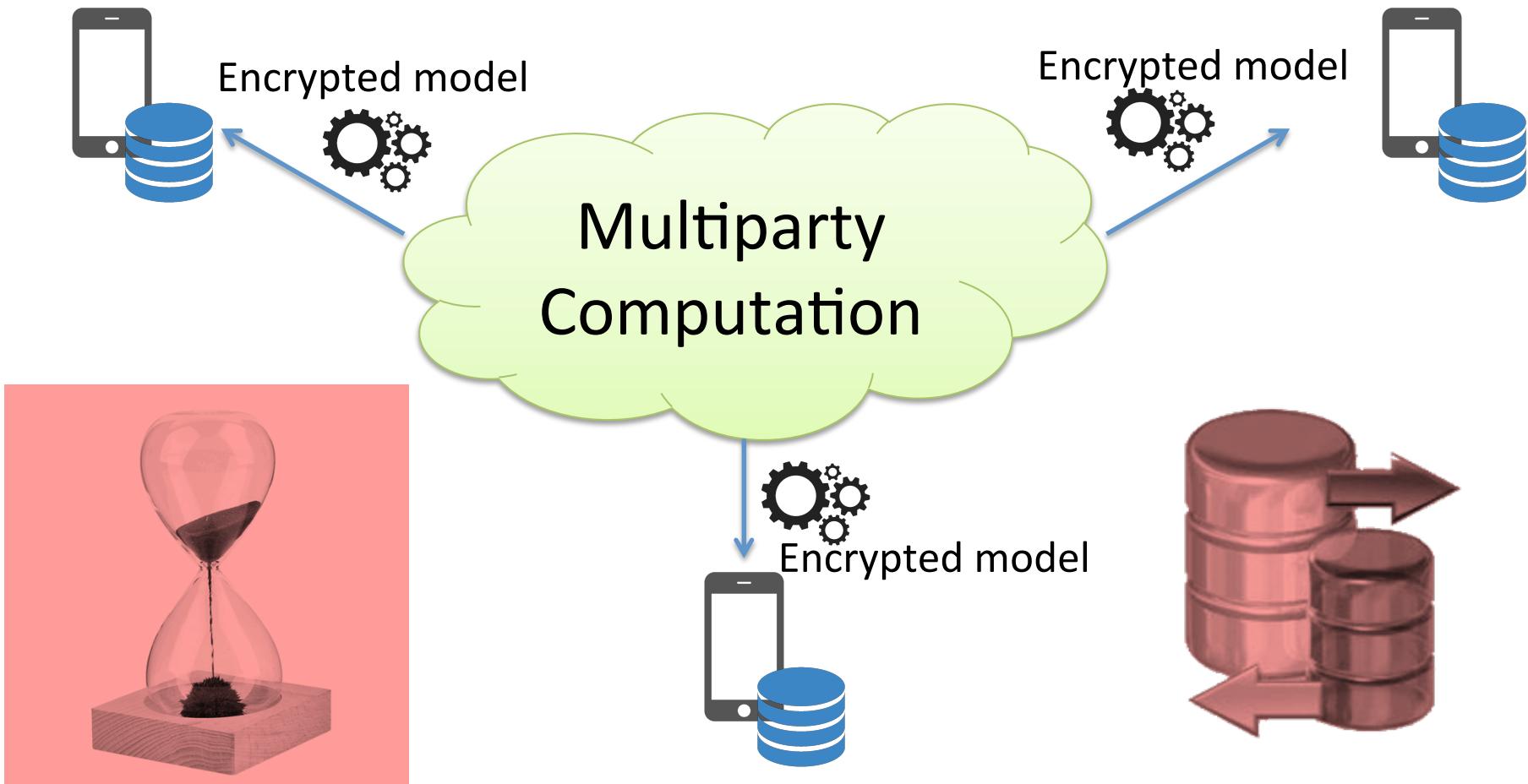


# Training on Encrypted Data



- Lindell & Pinkas, “Privacy Preserving Data Mining”
- Mohassel and Zhang, “SecureML: A System for Scalable Privacy-Preserving Machine Learning”
- Nikolaenko et al., “Privacy-Preserving Ridge Regression on Hundreds of Millions of Records”

# Training on Encrypted Data



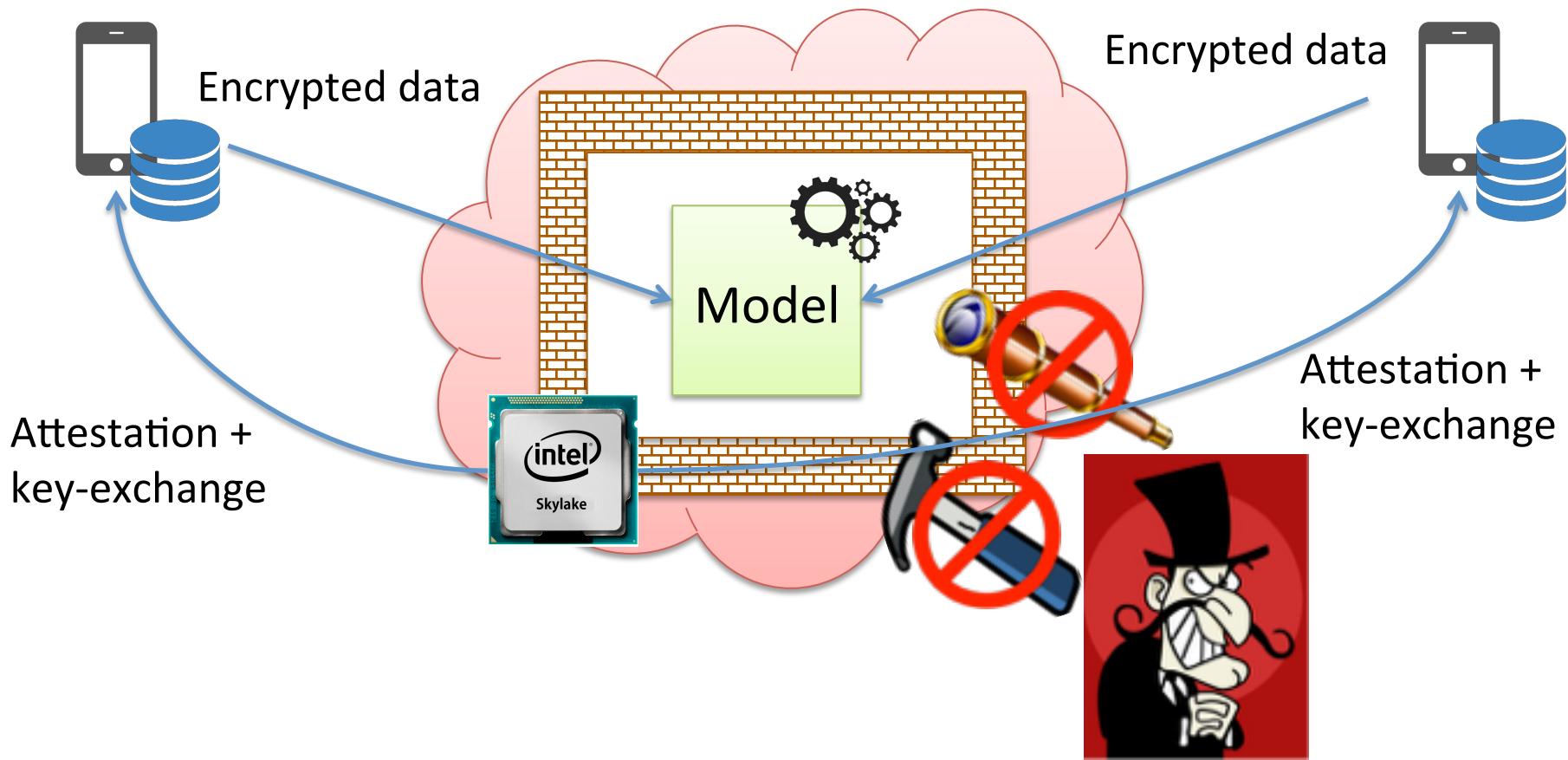
- Lindell & Pinkas, "Privacy Preserving Data Mining"
- Mohassel and Zhang, "SecureML: A System for Scalable Privacy-Preserving Machine Learning"
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# Computing on Encrypted Data

- Garbled circuits (Yao, 1986)
    - For two parties
  - MPC (GMW, 1987)
  - Homomorphic encryption
    - $\text{Enc}(m_1) + \text{Enc}(m_2) = \text{Enc}(m_1 + m_2)$
    - $\text{Enc}(m_1) * \text{Enc}(m_2) = \text{Enc}(m_1 * m_2)$
- Gentry, 2009



# Training on Trusted Hardware

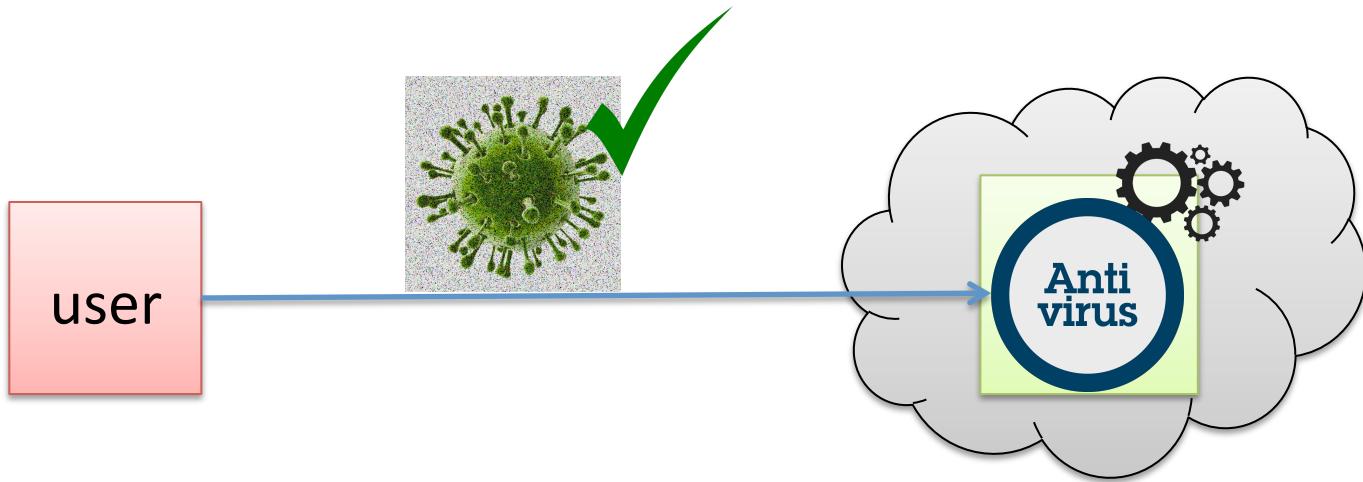


- Schuster et al., "VC3: Trustworthy data analytics in the cloud using SGX"
- Ohrimenko et al., "Oblivious multi-party machine learning on trusted processors"
- Hunt et al., "Chiron: Privacy-preserving Machine Learning as a Service"

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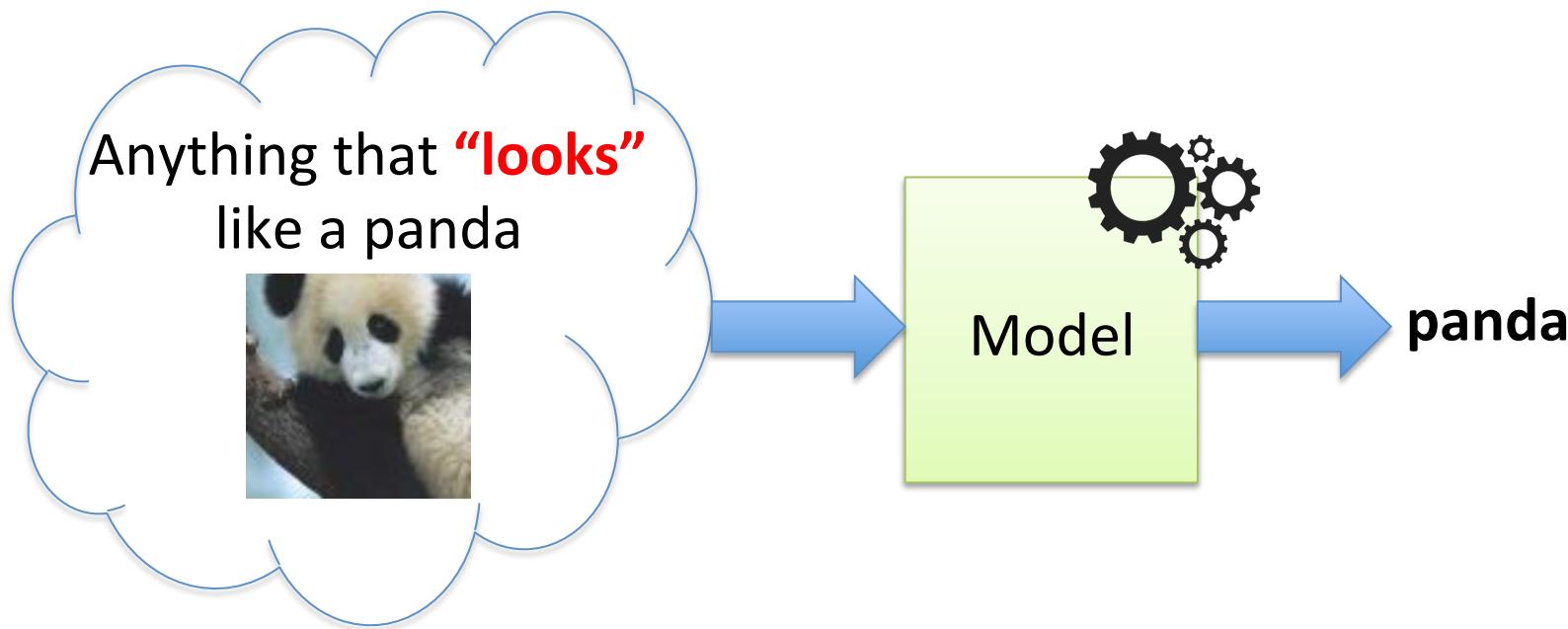
# Adversarial Examples



- “Good” uses of adversarial examples?
  - “Hardness” assumption for ML models
  - Better CAPTCHAs?
  - Privacy? (evade automated tagging, censorship, ...)

# Adversarial Examples

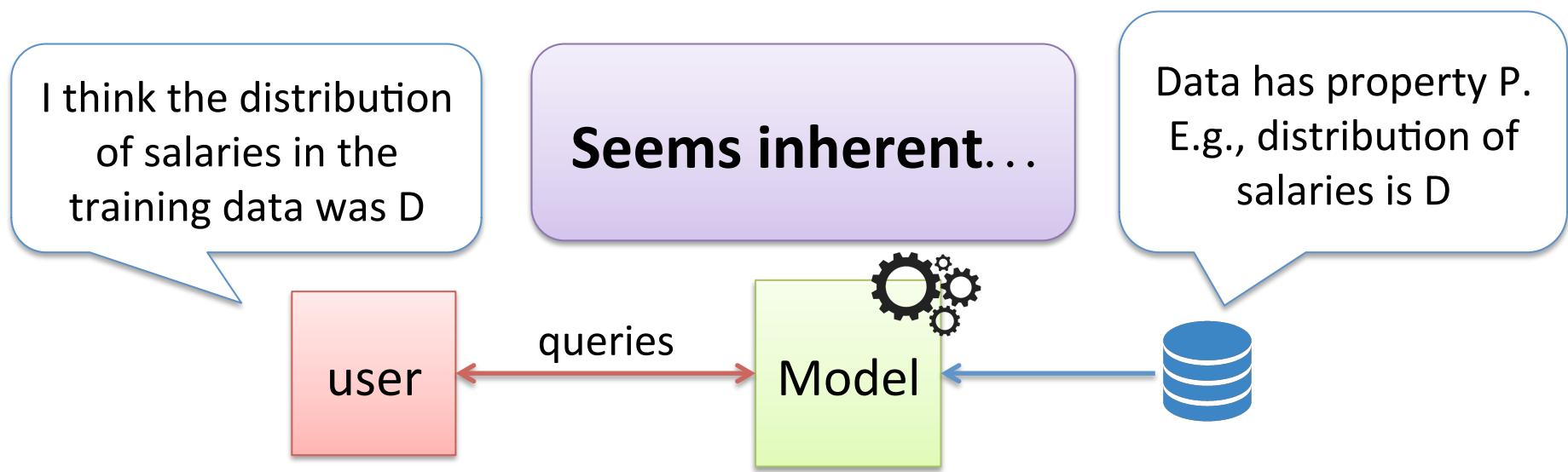
- Is this problem really solvable (“easily”)?



- Large step towards a “Visual Turing Test”...

# Inference Attacks

- Learn info about training data, the model, etc
- Model inversion:

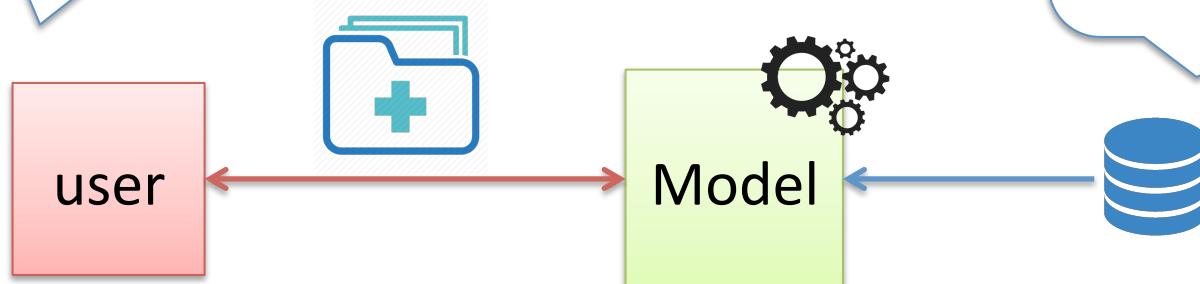


- Fredrikson et al., "Privacy in Pharmacogenetics: An End-to-End Case Study of Personalized Warfarin Dosing."
- Fredrikson et al., "Model inversion attacks that exploit confidence information and basic countermeasures"
- Ateniese et al., "Hacking Smart Machines with Smarter Ones"

# Membership Inference

This patient was in  
the training data!

Sensitive  
population. E.g.,  
patients with AIDS



**Closely related to overfitting**  
Model's behavior on  $D_{train}$  is different than on  $D_{test}$

- Homer et al., "Resolving Individuals Contributing Trace Amounts of DNA to Highly Complex Mixtures Using High-Density SNP Genotyping Microarrays"
- Shokri et al., "Membership Inference Attacks against Machine Learning Models"

# Differential Privacy



- Close connections to **stability** & **generalization**
  - A DP mechanism “cannot overfit”
  - **We can hope to achieve utility & privacy!**

- Dwork et al., “Calibrating noise to sensitivity in private data analysis”
- Chaudhuri et al., “Differentially private empirical risk minimization”
- Shokri & Shmatikov, “Privacy-preserving deep learning”
- Abadi et al., “Deep learning with differential privacy”
- Papernot et al., “Semi-supervised Knowledge Transfer for Deep Learning from Private Training Data”

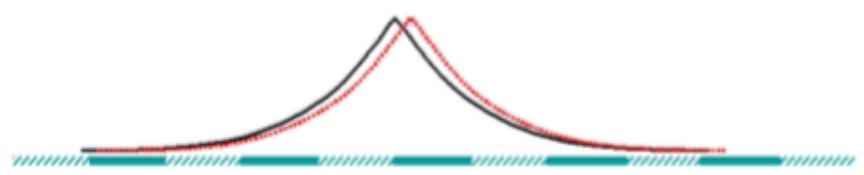
# Differentially Private ML

- Sensitivity of a function:

$$\max || f(\text{databases}) - f(\text{databases} + \text{one person}) ||$$

- Add random noise proportional to sensitivity

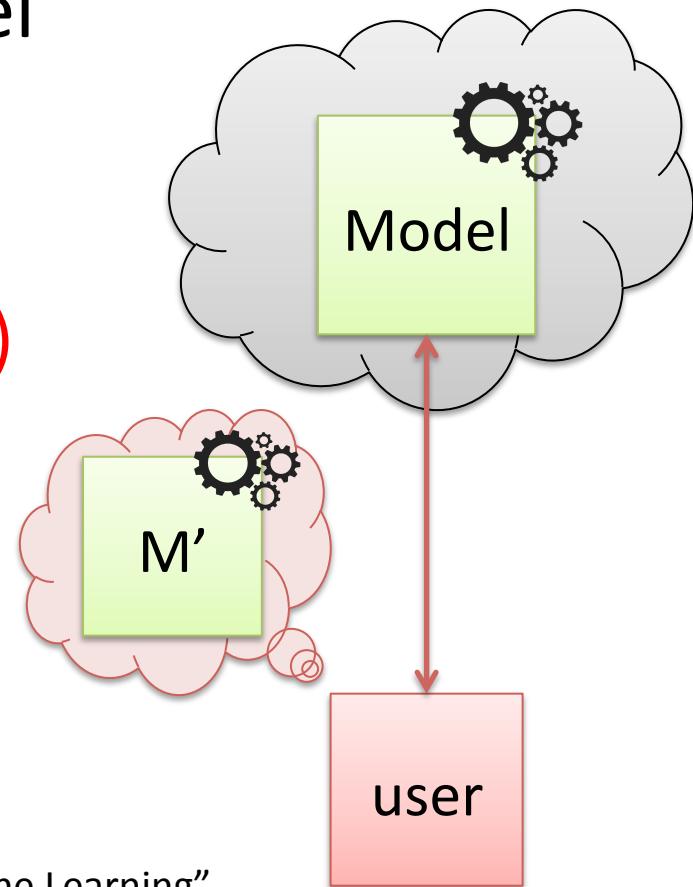
$$\begin{aligned} & f(\text{databases}) + r \\ & f(\text{databases} + \text{one person}) + r' \end{aligned}$$



- Do this for every gradient update

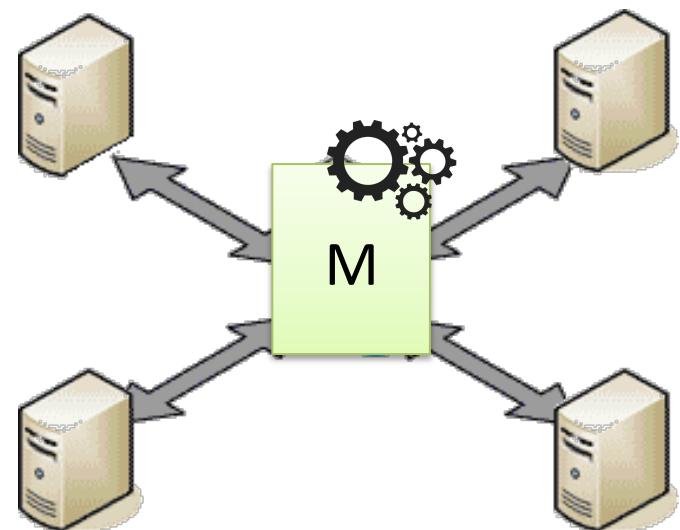
# Extract Model Properties

- Interact with black-box model
    - Infer model architecture
    - Hyper-parameters
    - Replicate model (“distillation”)
  - Step towards other attacks
    - Adversarial examples
    - Model inversion
- Papernot et al., “Practical Black-Box Attacks against Machine Learning”  
- T et al., “Stealing Machine Learning Models via Prediction APIs”  
- Wang & Gong, “Stealing Hyperparameters in Machine Learning”

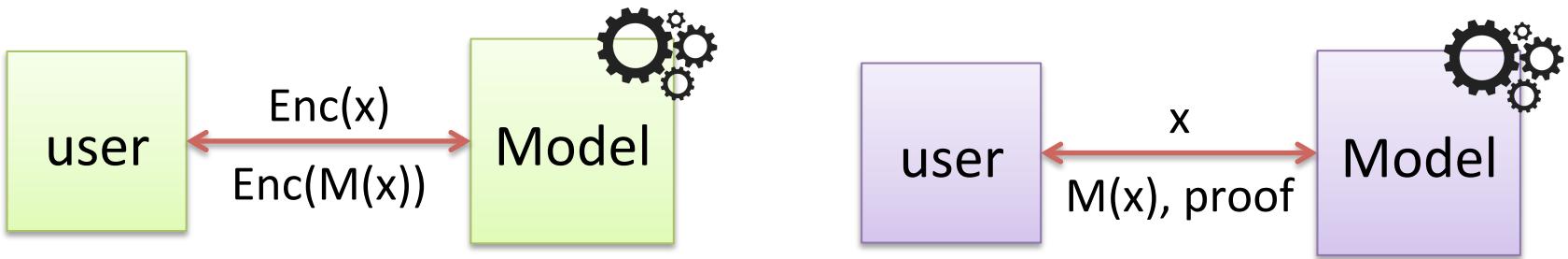


# Private & Verifiable Inference

- Assume model can't be shipped to users
  - E.g., intellectual property
  - Or for performance reasons
- Model provider learns all the users' queries...
- Issues:
  - **Privacy** (obviously)
  - **Integrity**: targeted mistakes, disparate treatment



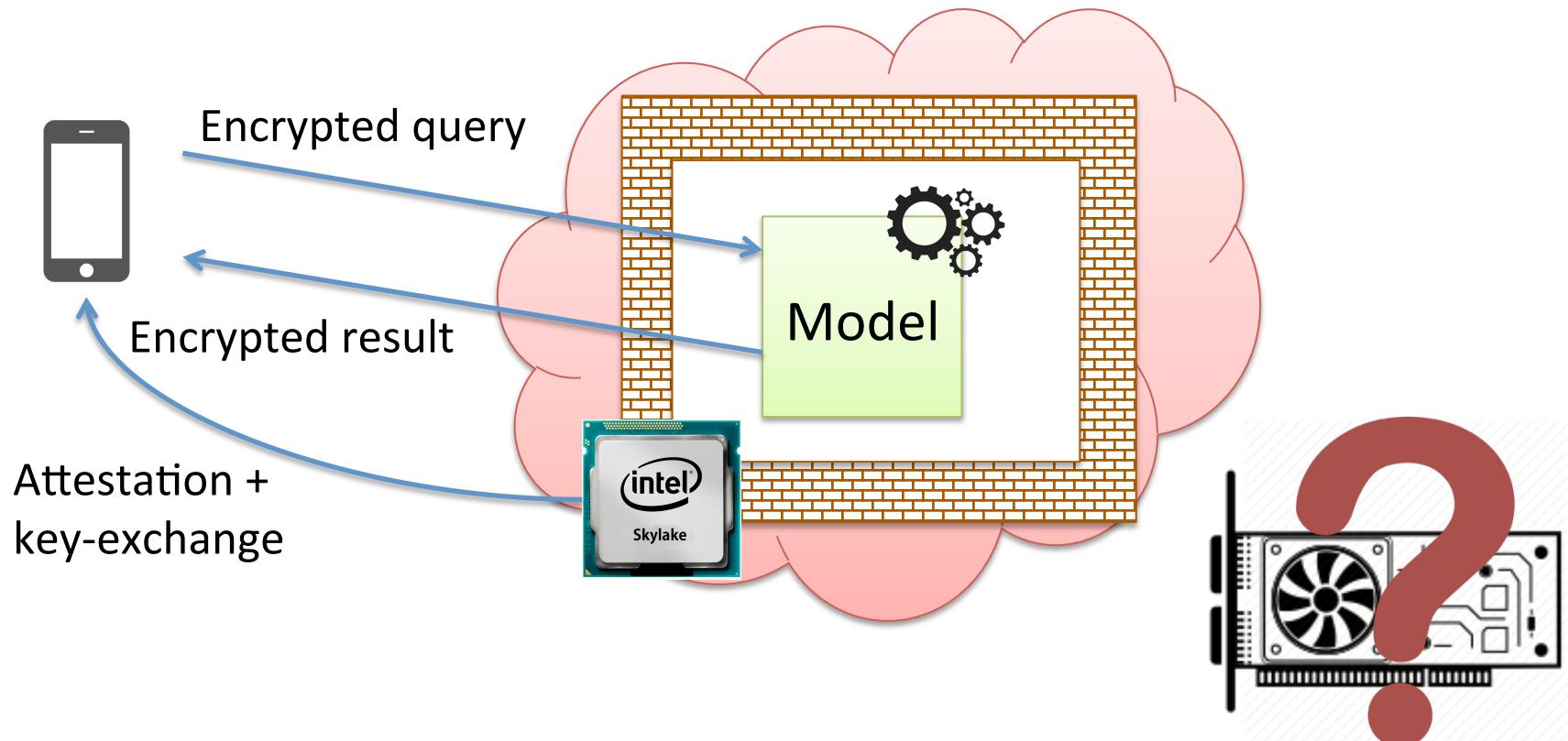
# Cryptographic Evaluation of ML Models



- Many cryptographic techniques:
  - Homomorphic encryption (slow)
  - 2PC (slowish, high communication)
  - Secret sharing (trust, high communication)
  - Zero-Knowledge Proofs (integrity only, slow)

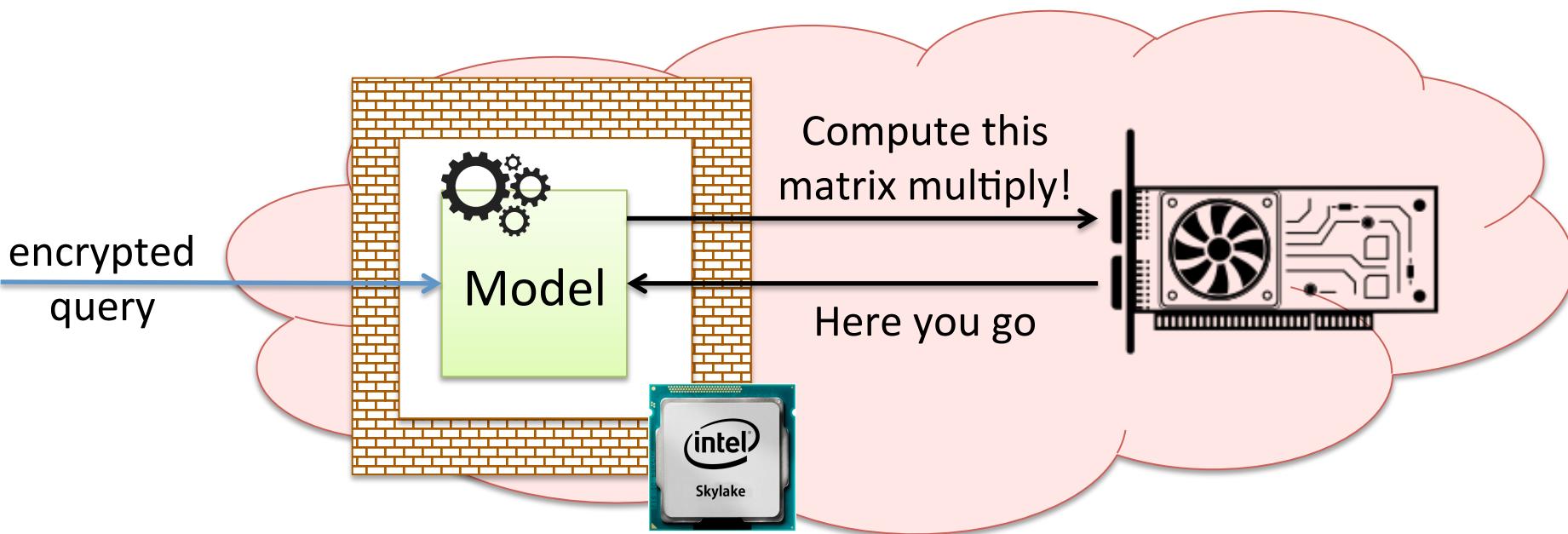
- Corrigan-Gibbs & Boneh, "Prio: Private, Robust, and Scalable Computation of Aggregate Statistics"
- Downlin et al., "CryptoNets: Applying Neural Networks to Encrypted Data with High Throughput and Accuracy"
- SafetyNets: Verifiable Execution of Deep Neural Networks on an Untrusted Cloud

# Evaluating Models on Trusted Hardware



- Schuster et al., "VC3: Trustworthy data analytics in the cloud using SGX"
- Ohrimenko et al., "Oblivious multi-party machine learning on trusted processors"
- Hunt et al., "Chiron: Privacy-preserving Machine Learning as a Service"

# SLALOM: Fast Inference on Trusted Hardware



- **Speed:** Matrix multiply is >90% of the computation in a DNN
- **Integrity:** Fast verification algorithm for  $A^*B=C$  (Freivald)
- **Privacy:**  $W^*(X+R) = W^*X + W^*R$   
     $\underbrace{\qquad\qquad}_{\text{Enc}(X) \text{ "one time pad"}}$     $\underbrace{\qquad\qquad}_{\text{pre-computed offline}}$

# Summary

- Collaborative training / inference  
=> many attacks on privacy and integrity
- Defending against these attacks is hard!
  - Robust statistics
    - Data poisoning, adversarial examples
  - Cryptography & trusted hardware
    - Private + verifiable computations
  - Differential privacy
    - Membership inference

THANKS