

Human-CPS through the Lens of Learning and Control

Dorsa Sadigh



intelligent and interactive autonomous systems

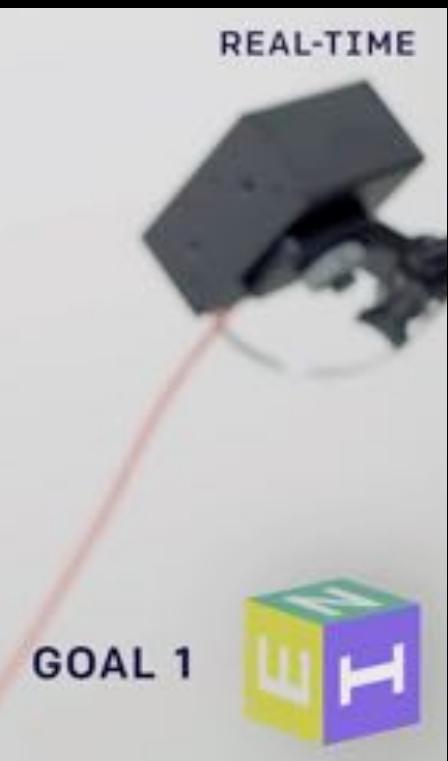
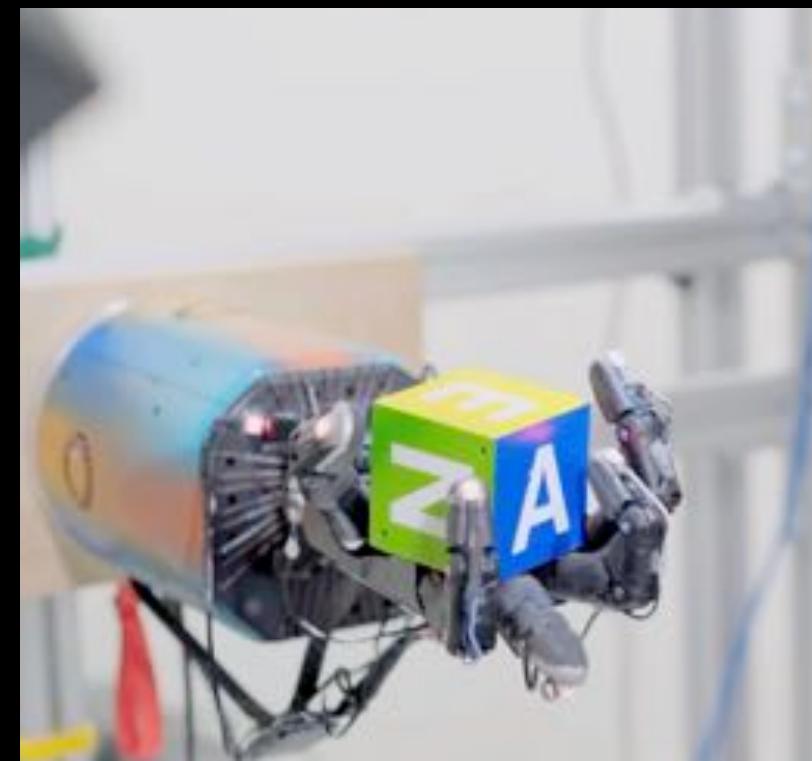






Human-CPS through the Lens of Learning and Control









1) There is an *opportunity* for learning and control

... to formalize and solve challenging problems of interaction with humans.

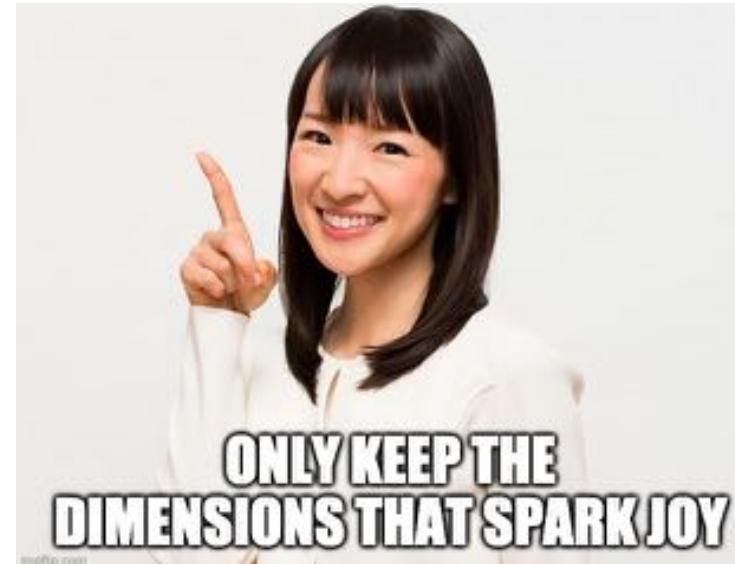


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2) We need to design *computational models of human* behavior

Can we rely on low-dimensional statistics that capture high-dimensional interactions?



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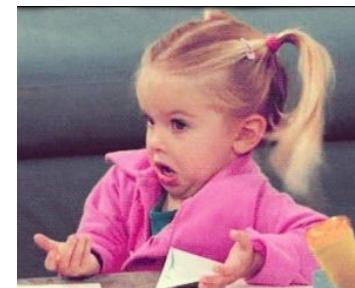
... to formalize and solve challenging problems of interaction with humans.

2) We need to design *computational models of human* behavior

Can we rely on low-dimensional statistics that capture high-dimensional interactions?

3) We spend a lot of effort learning what humans want or do... ... but humans constantly *change*

What can learning and control do?



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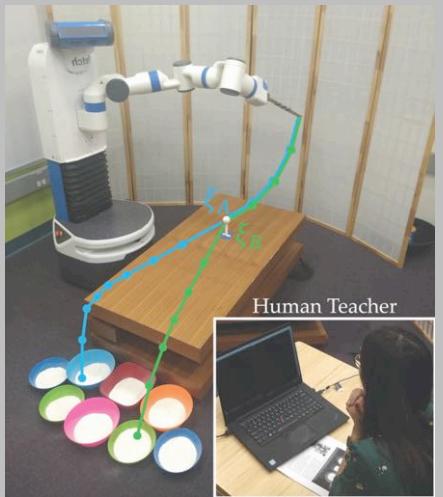
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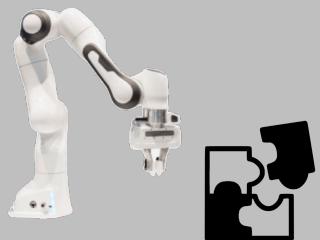
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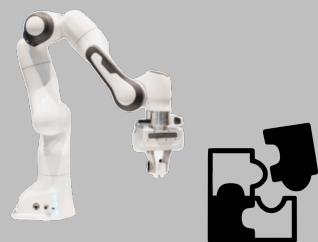
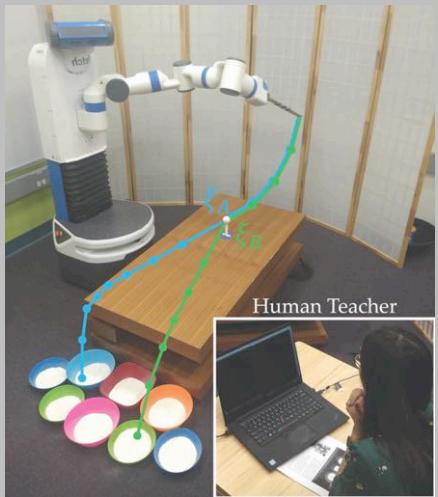
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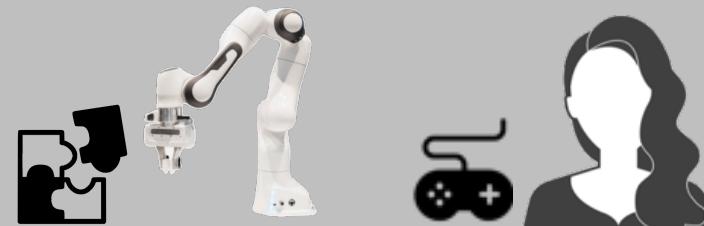
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*Teach through
demonstrations or
comparisons*

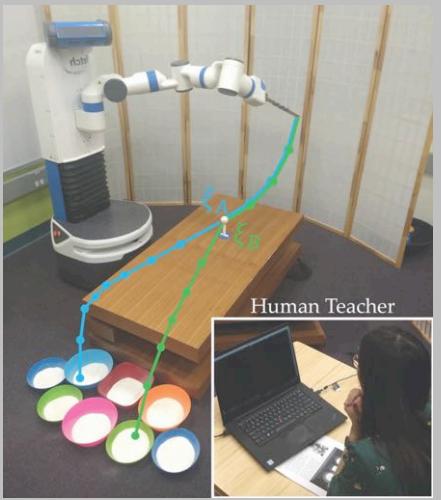




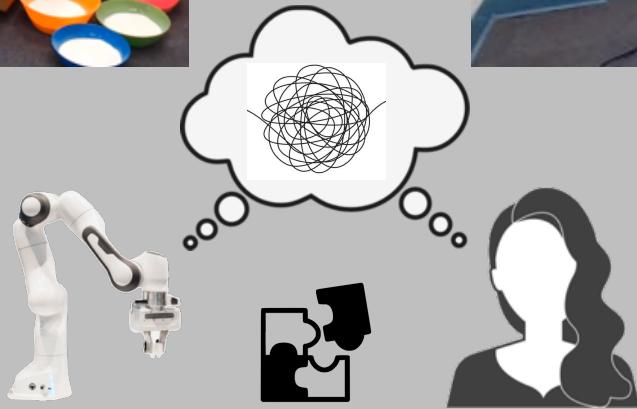
*Teach through
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Teleoperate the robot



Collaborative Block Stacking



Collaborative Transport



Human Models

- Data-efficient learning of reward functions with different sources of data
- What happens on the ends of the risk spectrum?



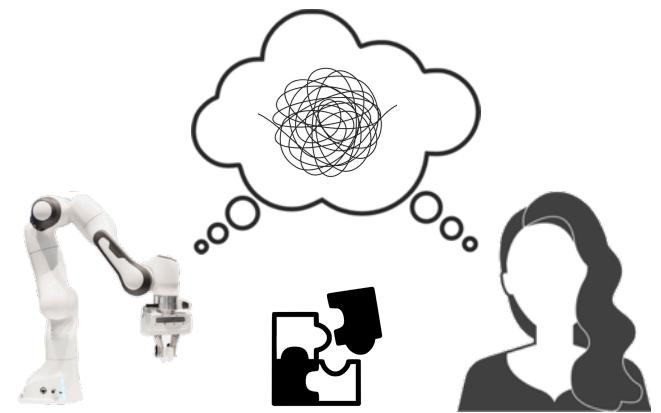
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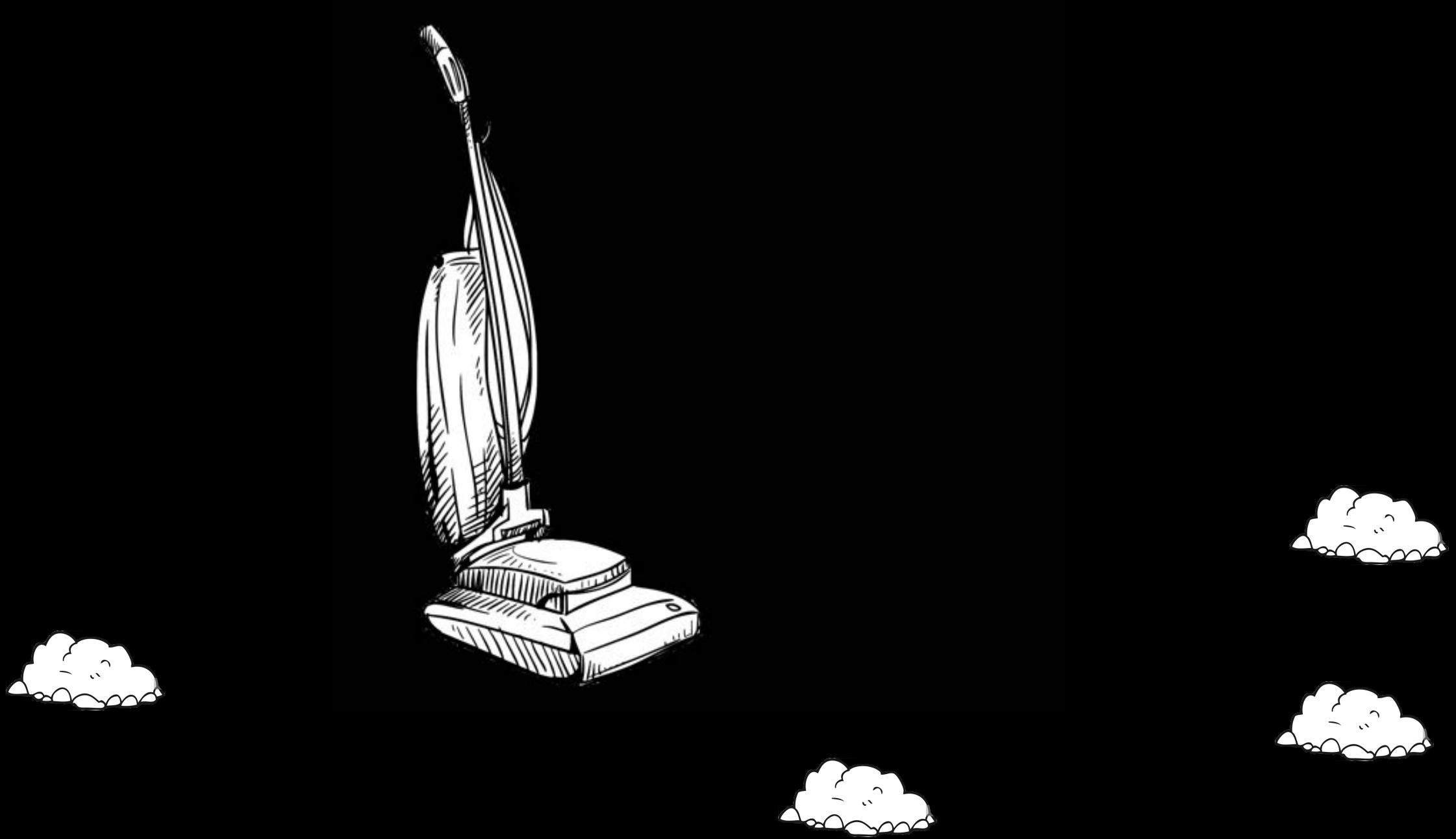
Conventions

- What low dimensional representations are necessary when collaborating with humans?

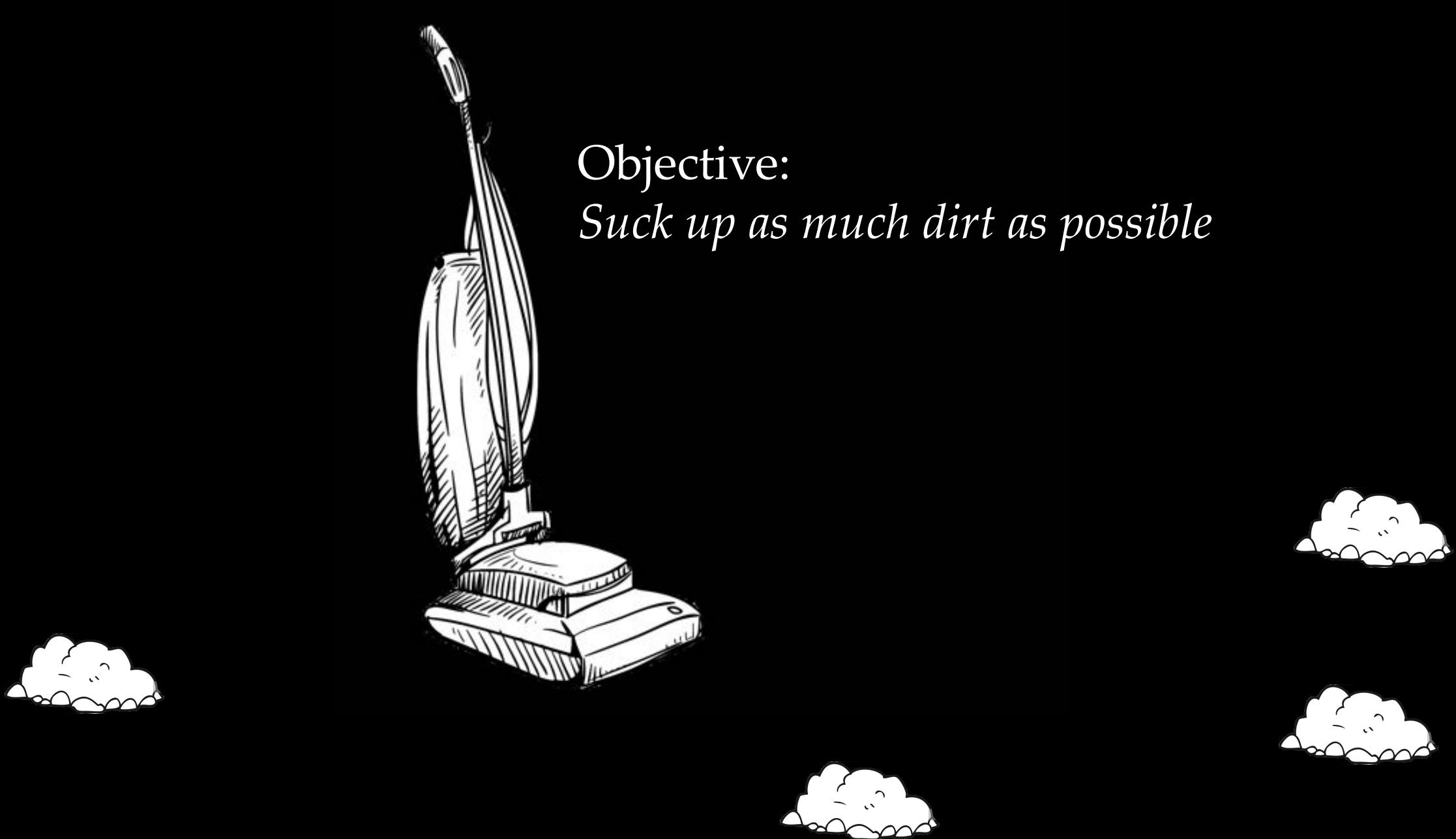


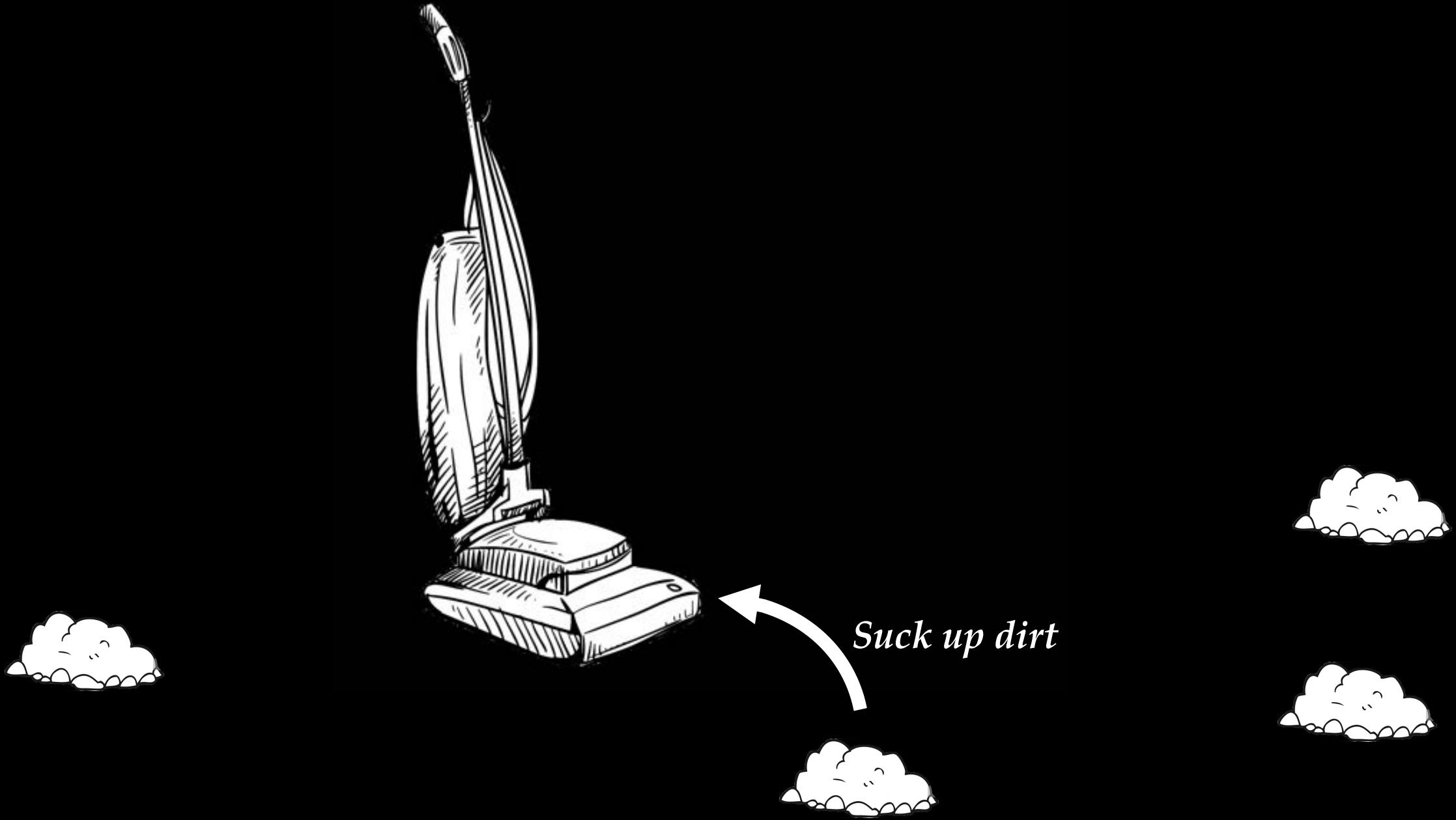
A man in a grey checkered shirt is interacting with a white and blue robotic arm on a wooden table. The robotic arm is holding a small green cup. A speech bubble in the top right corner contains the text $R(\xi) = ?$.

$R(\xi) = ?$

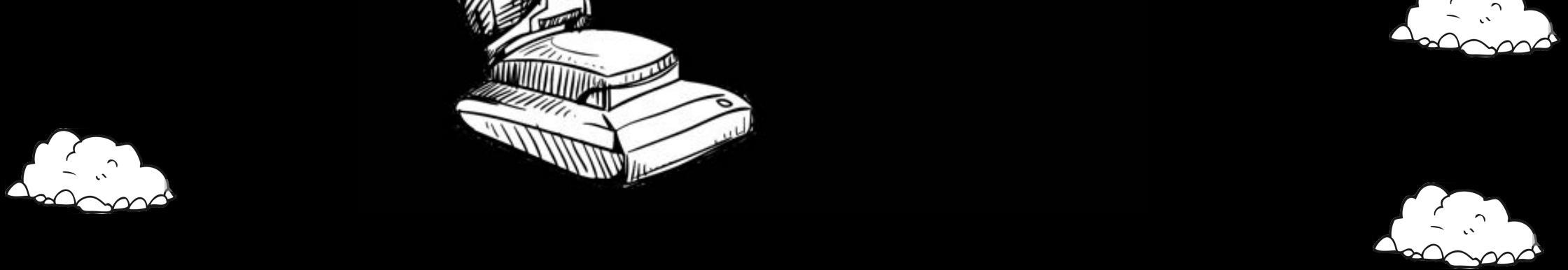


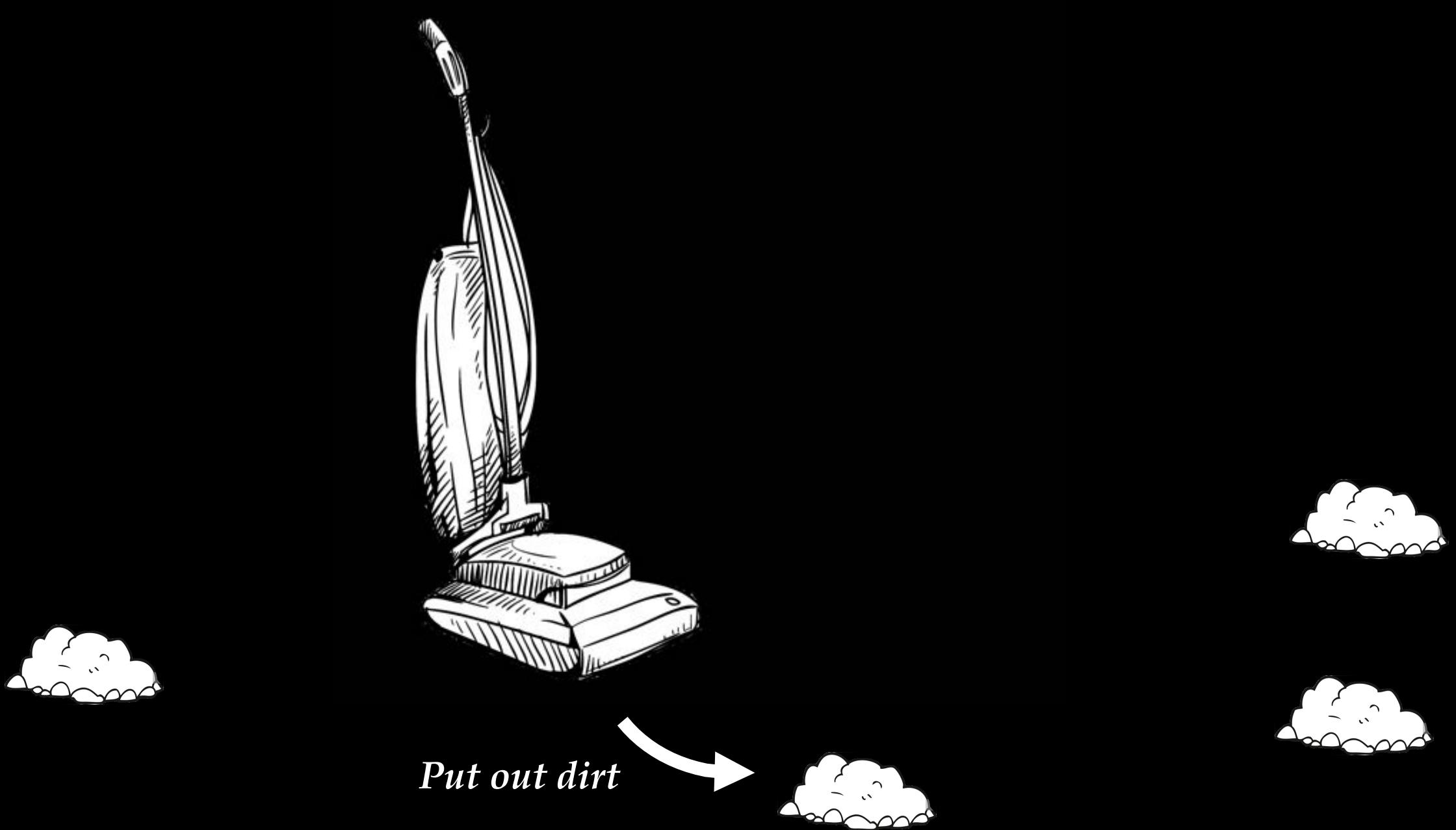
Objective:
Suck up as much dirt as possible



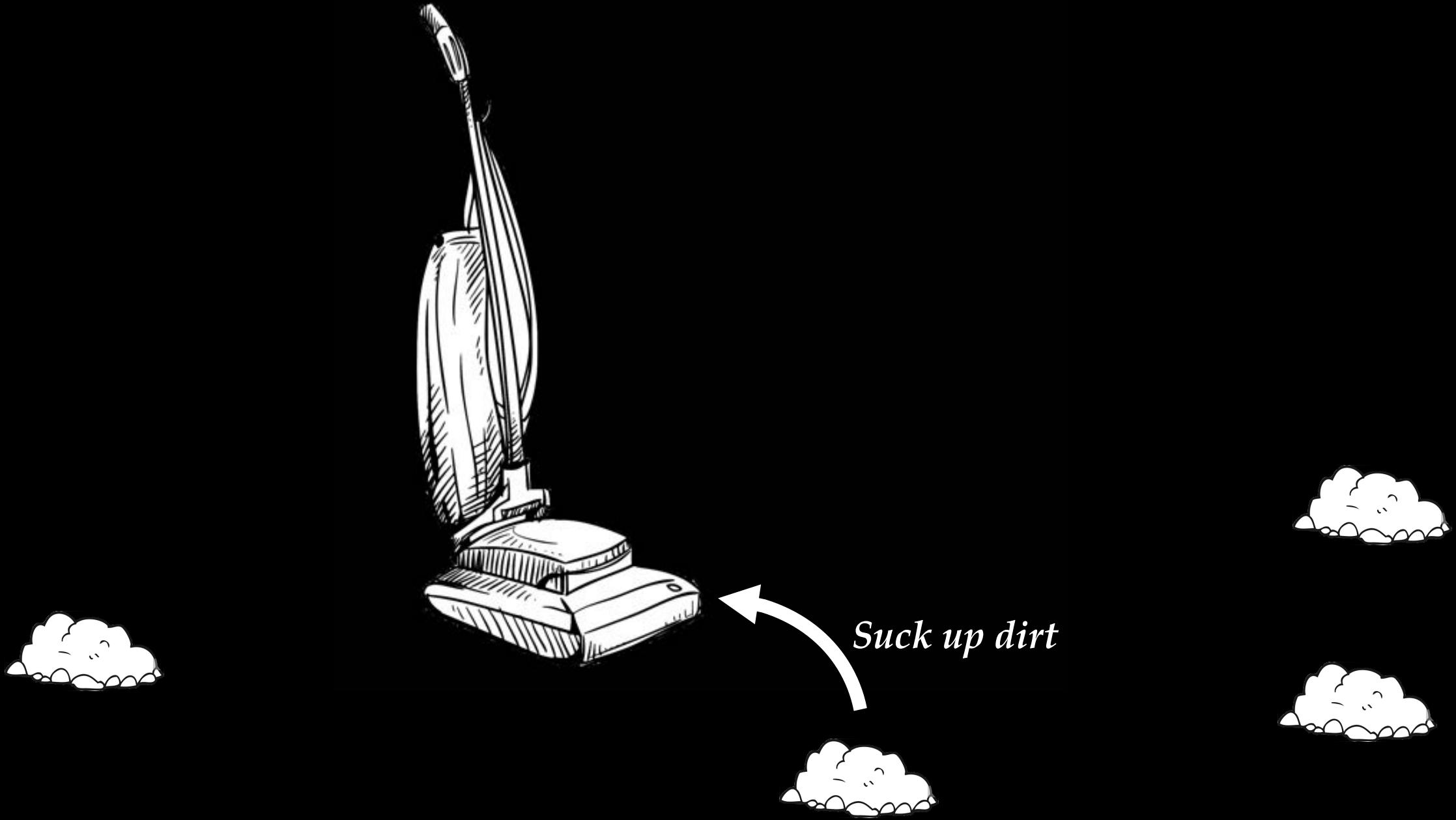


Suck up dirt

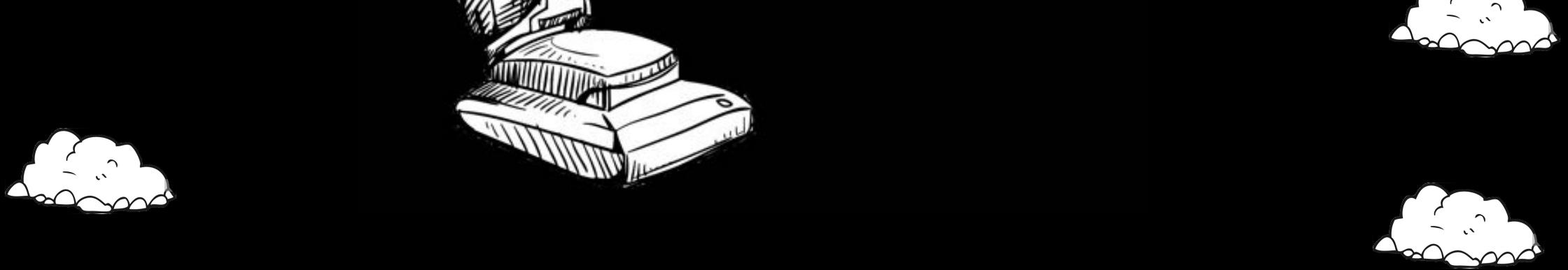


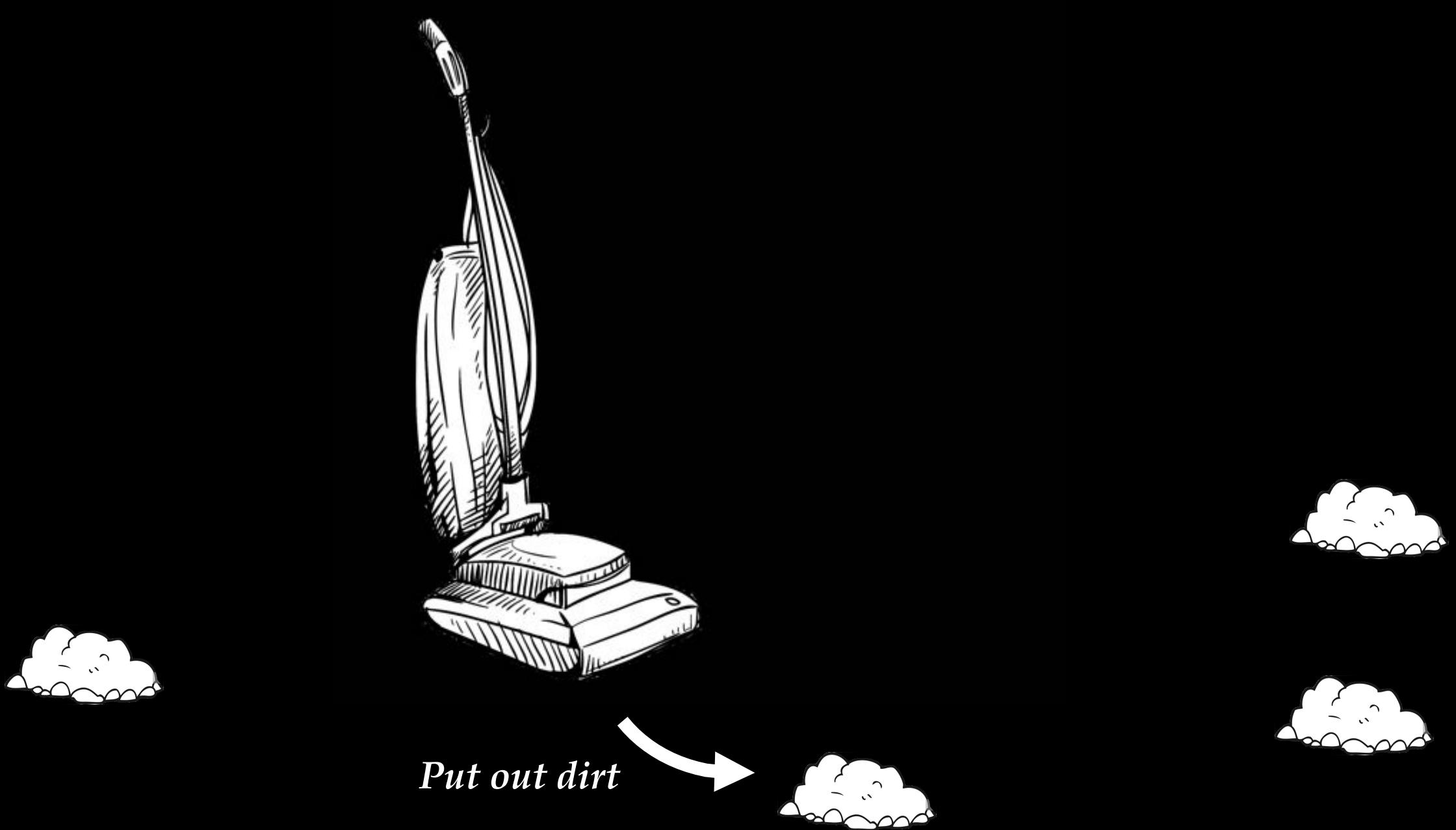


Put out dirt



Suck up dirt





Put out dirt

$R_H(\xi)$ $\widehat{R_H}(\xi) = ?$ 

1. Reach the goal
2. Avoid the obstacle
3. Keep the arm low

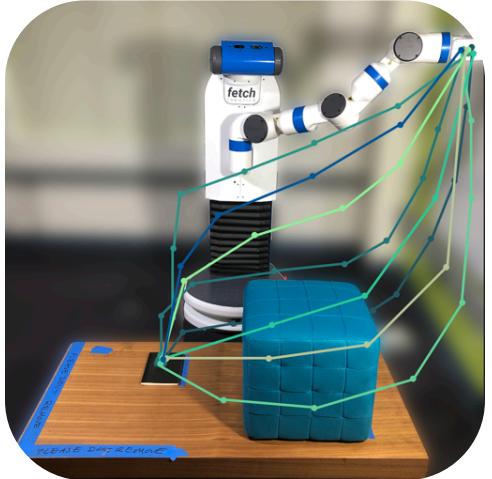


Collect Expert Demonstrations



Inverse Reinforcement Learning

Learn Human's reward function based on
Inverse Reinforcement Learning:

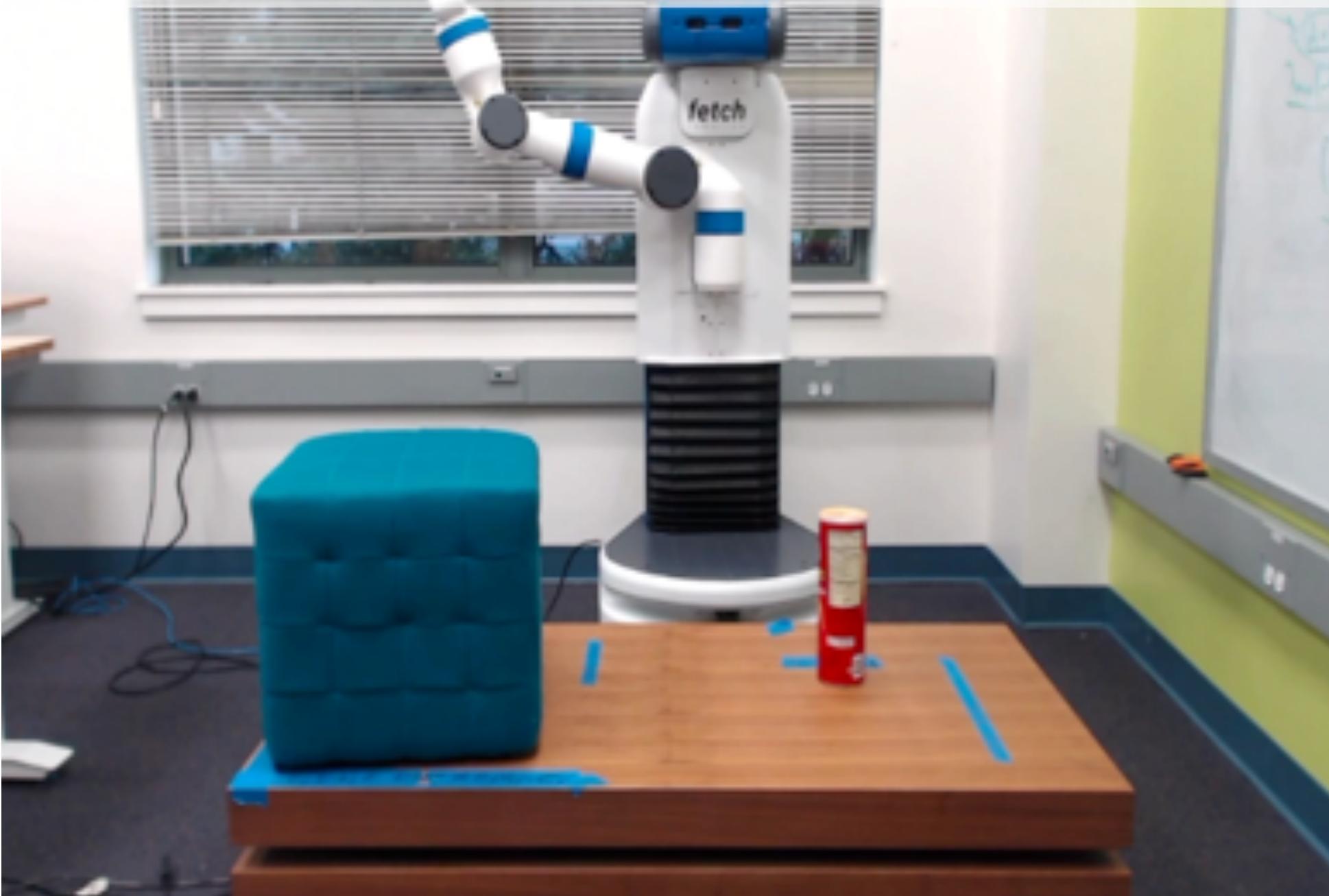


$$P(a_H|s, w) \propto \exp(R_H(s, a_H))$$

$$R_H(s, a_H) = w^\top \phi(s, a_H)$$

$$a_H^* = \max_{a_H} R_H(s, a_H)$$

Learned Policy from IRL



Providing Demonstrations is Difficult!

“I had a hard time controlling the robot”

“I found the system difficult as someone who isn’t kinetically gifted”



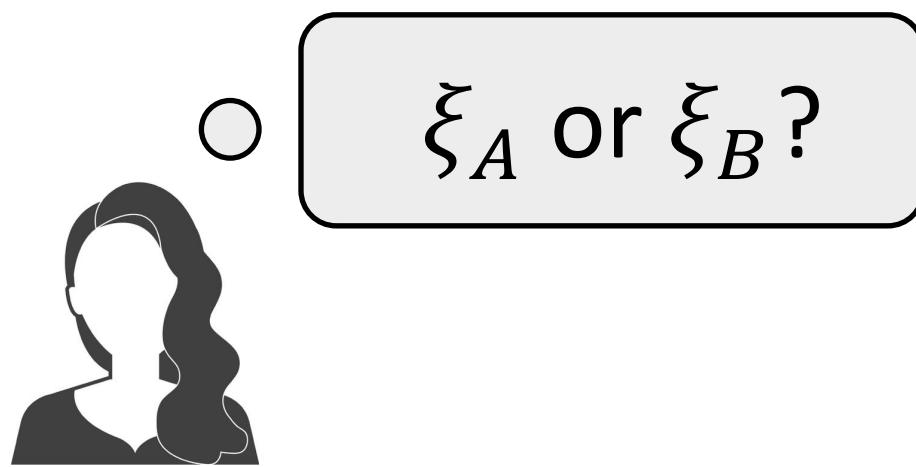
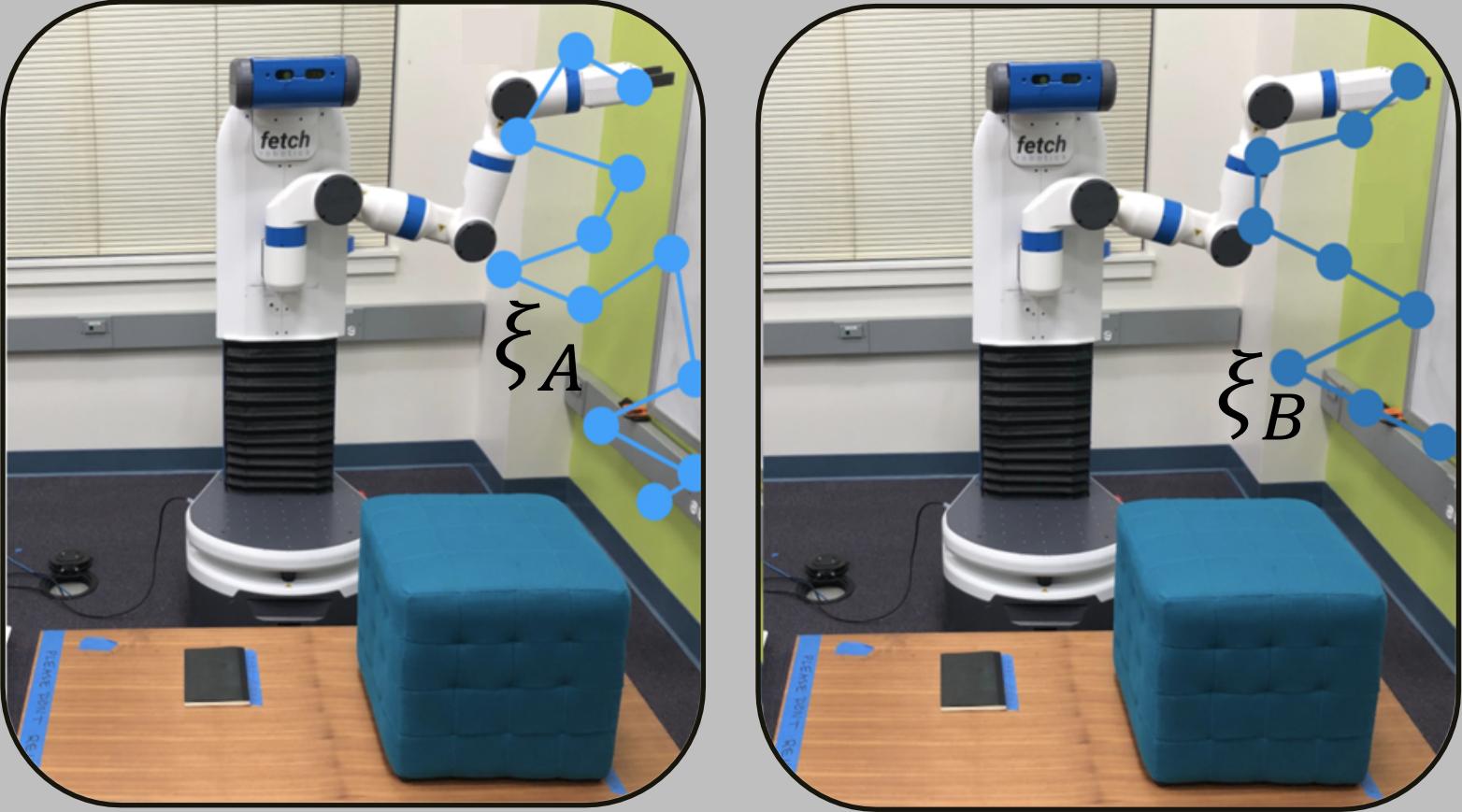
Leverage different sources of
data to learn reward functions:

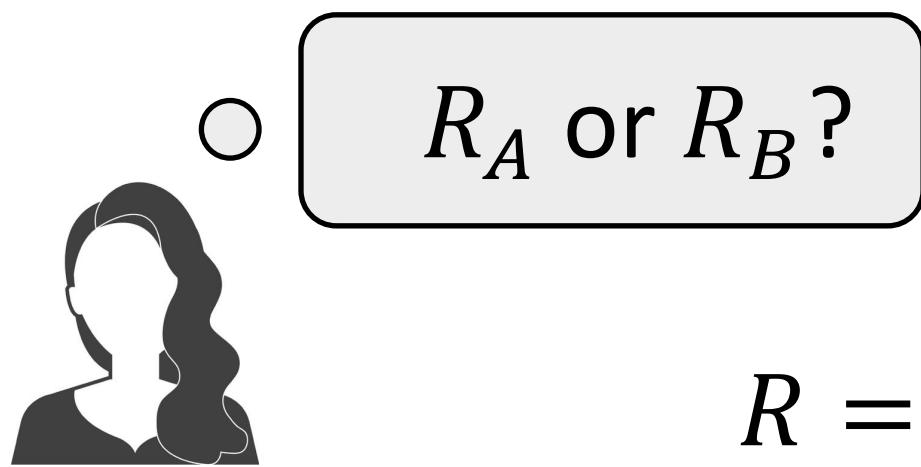
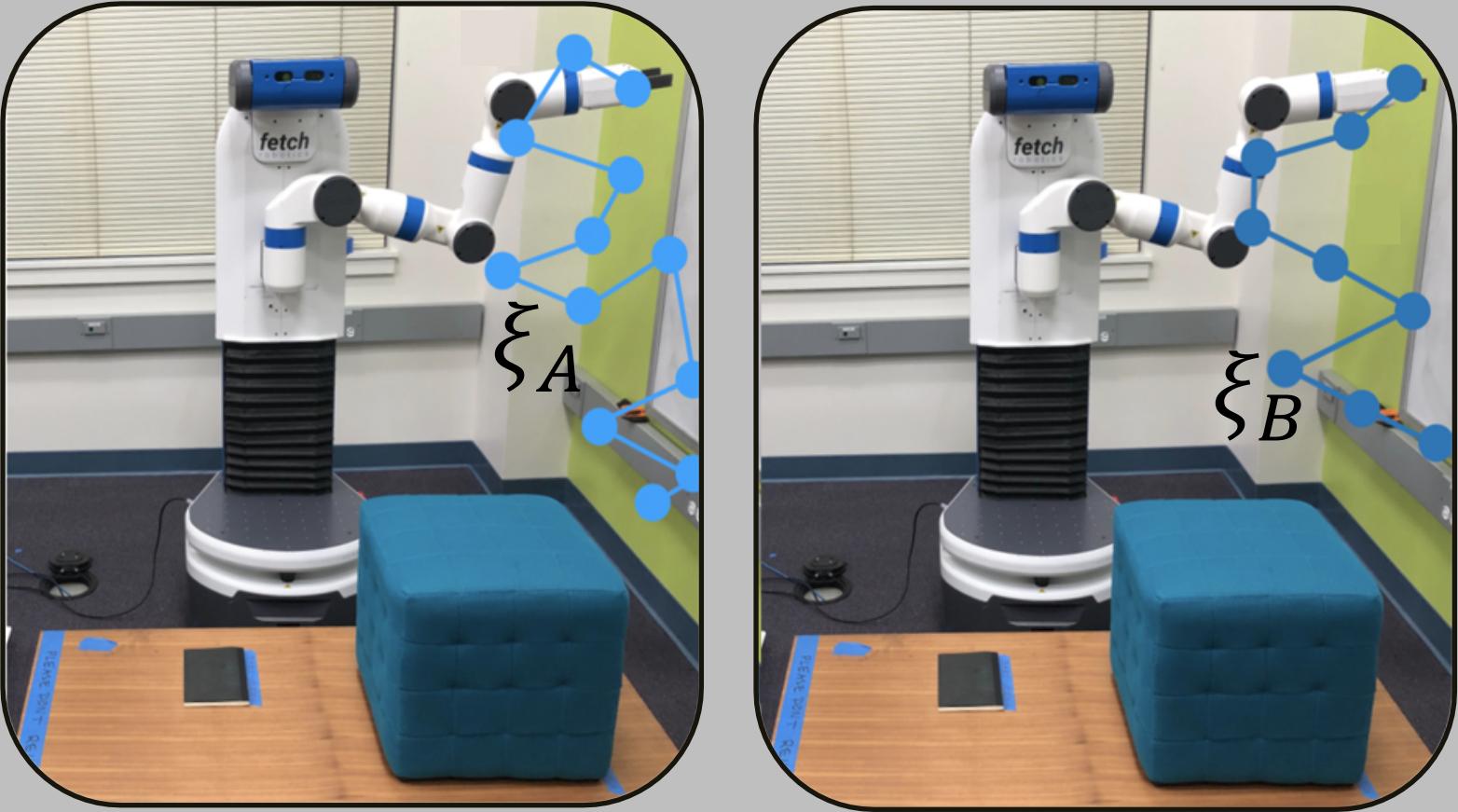
Demonstrations

Comparisons

Language Instructions

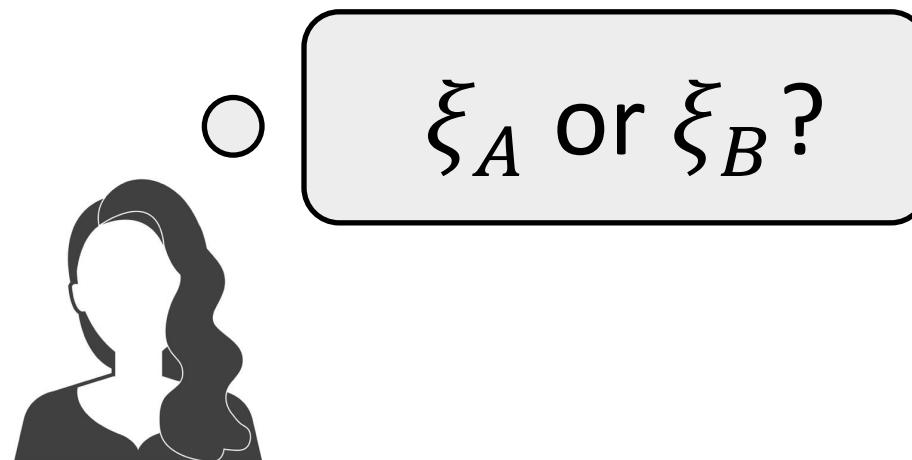
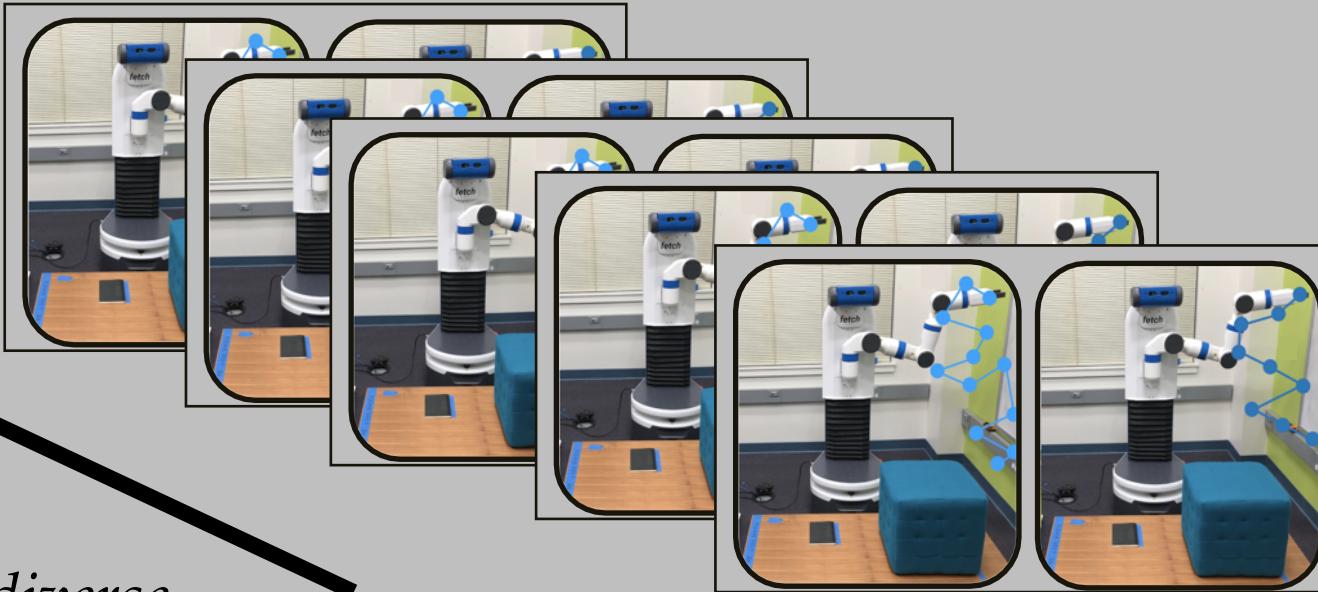
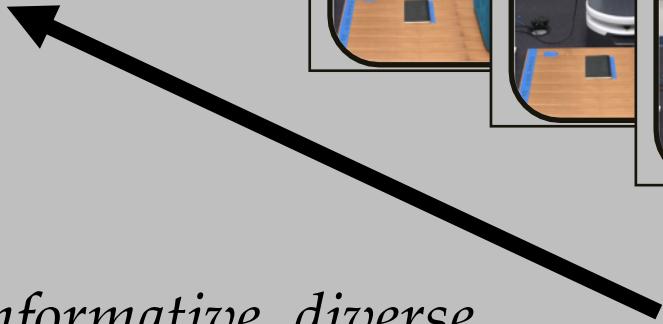
Physical Feedback





$$R = w \cdot \phi$$

*Most informative, diverse
sequence of queries*



Actively synthesizing queries

minimum volume removed

$$\max_{\varphi} \quad \min\{\mathbb{E}[1 - f_{\varphi}(w)], \mathbb{E}[1 - f_{-\varphi}(w)]\}$$

Subject to $\varphi \in \mathbb{F}$

$$\mathbb{F} = \{\varphi : \varphi = \Phi(\xi_A) - \Phi(\xi_B), \xi_A, \xi_B \in \Xi\}$$



X

✓

Preferences:

Easier and more accurate to use – but *gives one bit of information*.

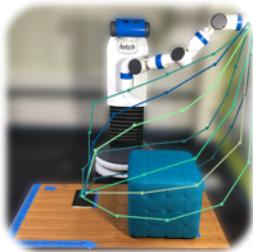


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Demonstrations:

Rich and informative – but *noisy and inaccurate*.

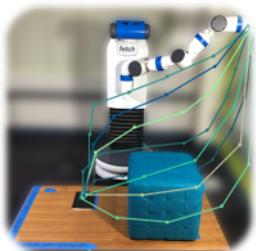


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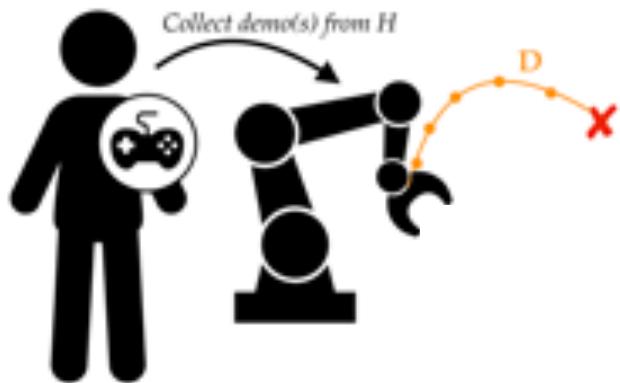
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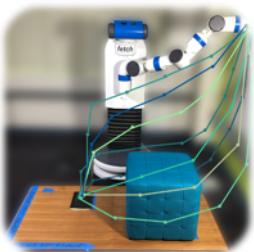


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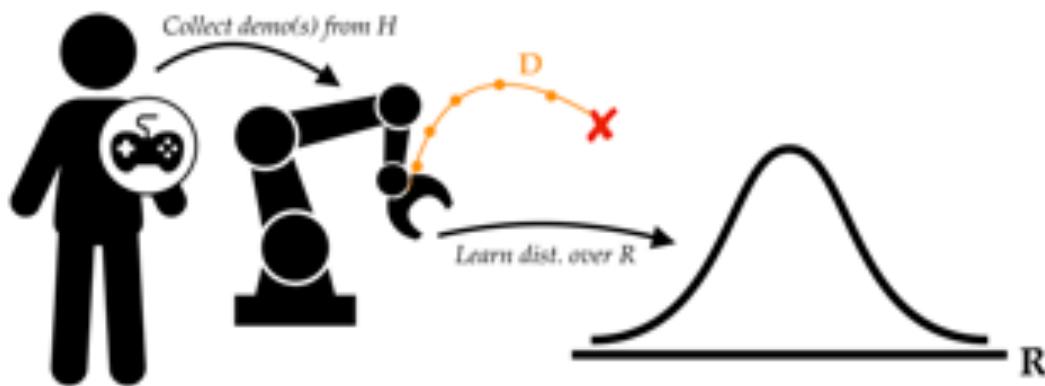
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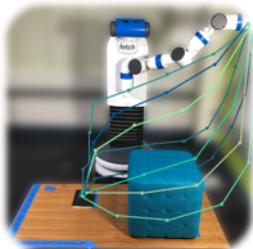




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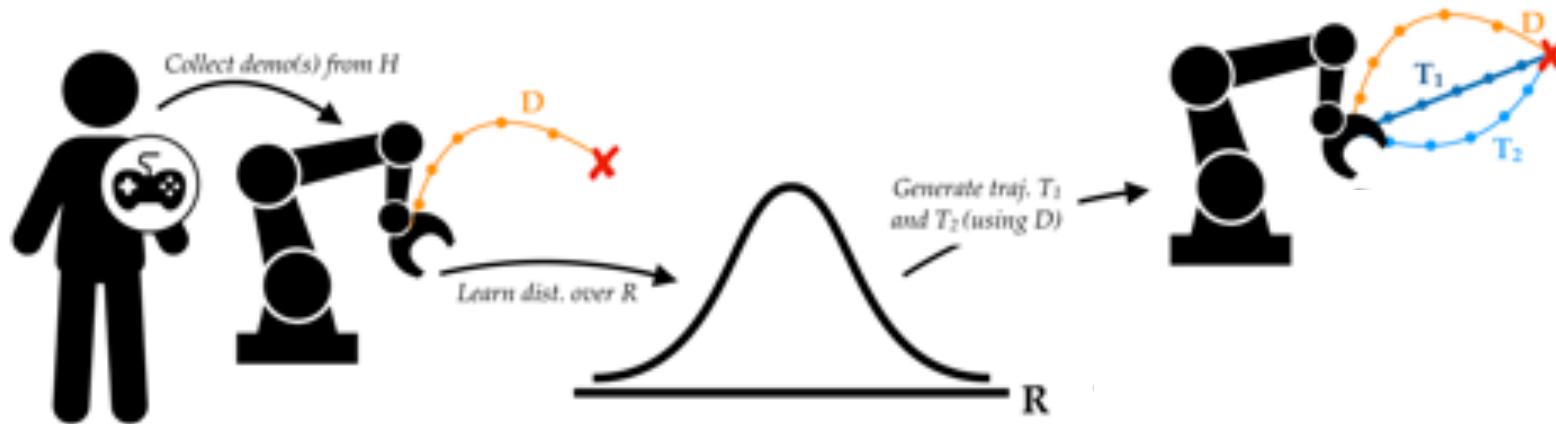
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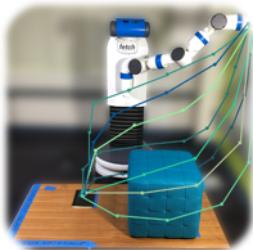




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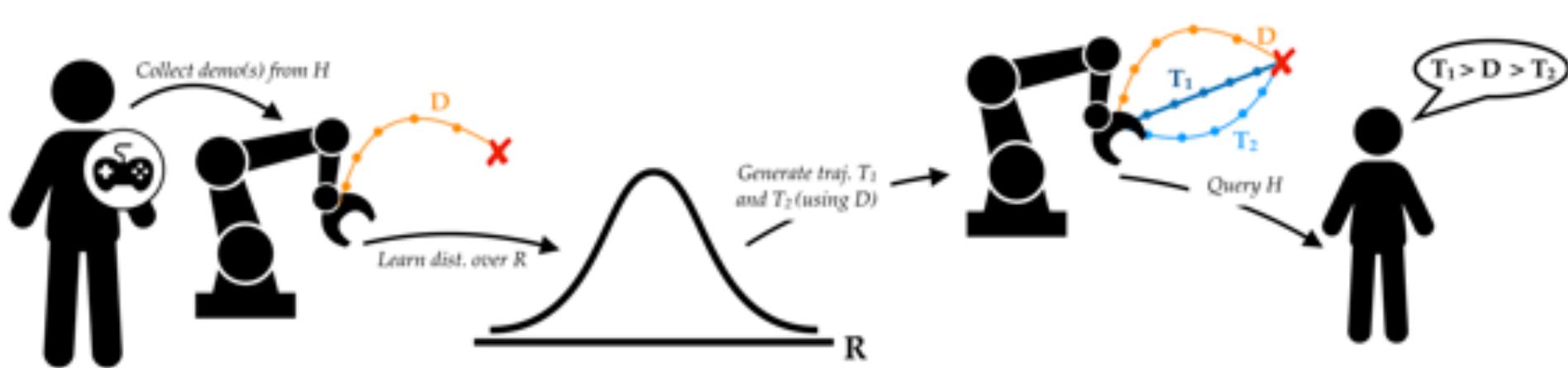
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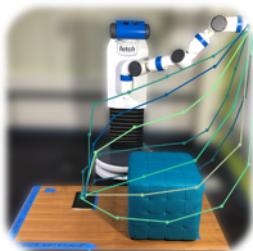




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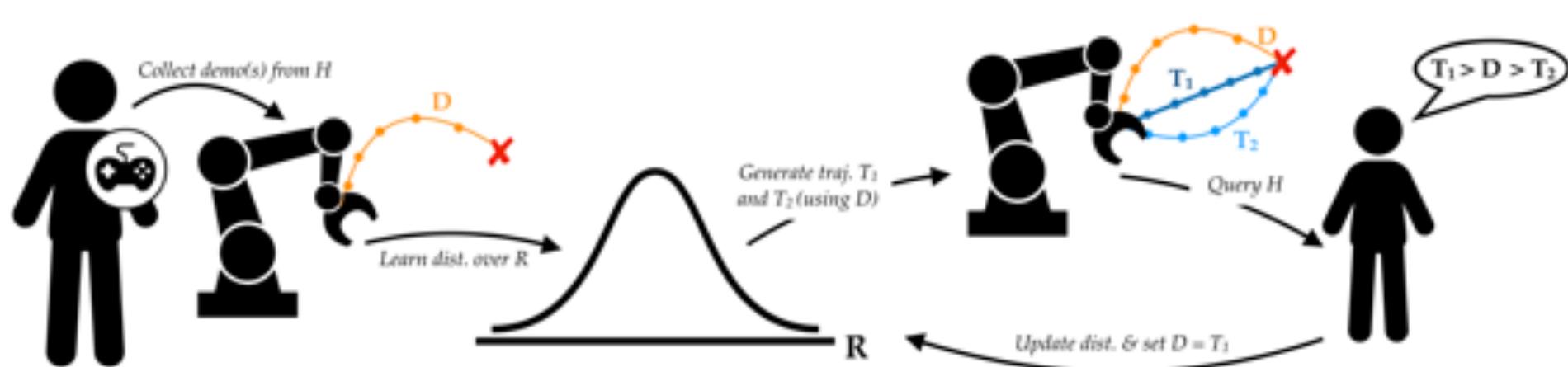
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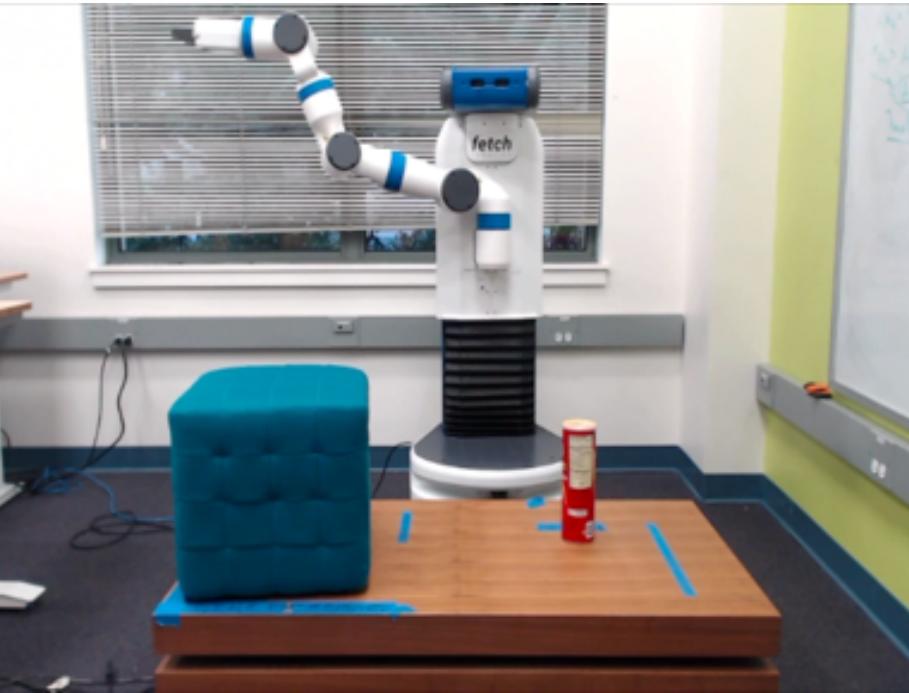


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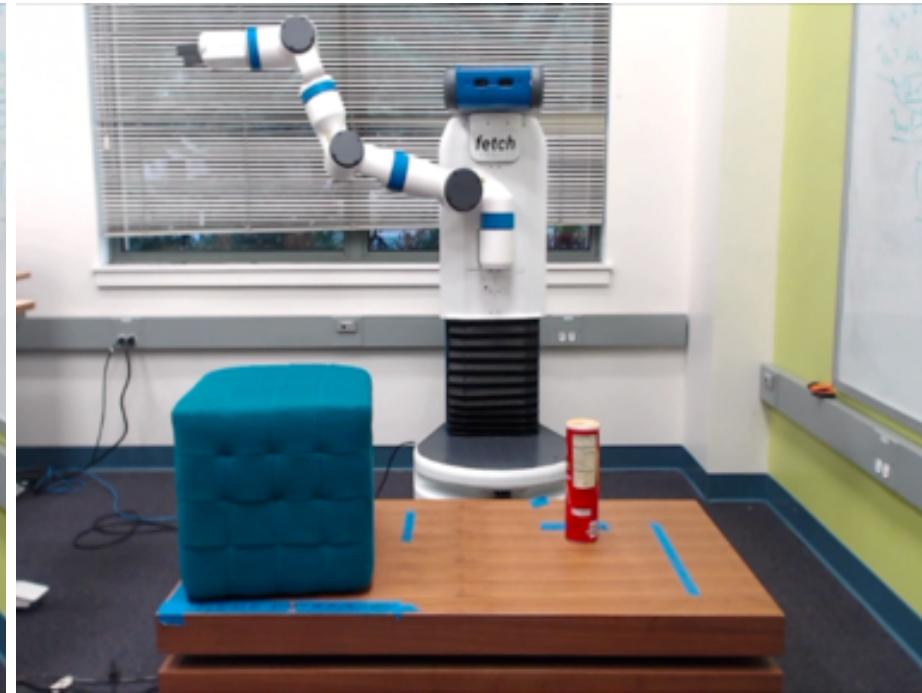
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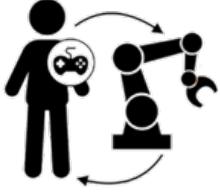
Learning from Demonstration



Learning from Demonstrations & Preferences

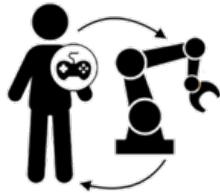


Key Idea:



Integrating demonstrations and comparisons
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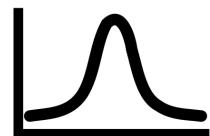


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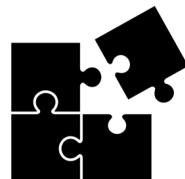
Other considerations:



Dynamically changing rewards



Non-linear reward functions



Easy active learning with info gain

[Basu et al. IROS19]

[Biyik et al., CoRL19]

[Biyik et al., submitted to RSS20]

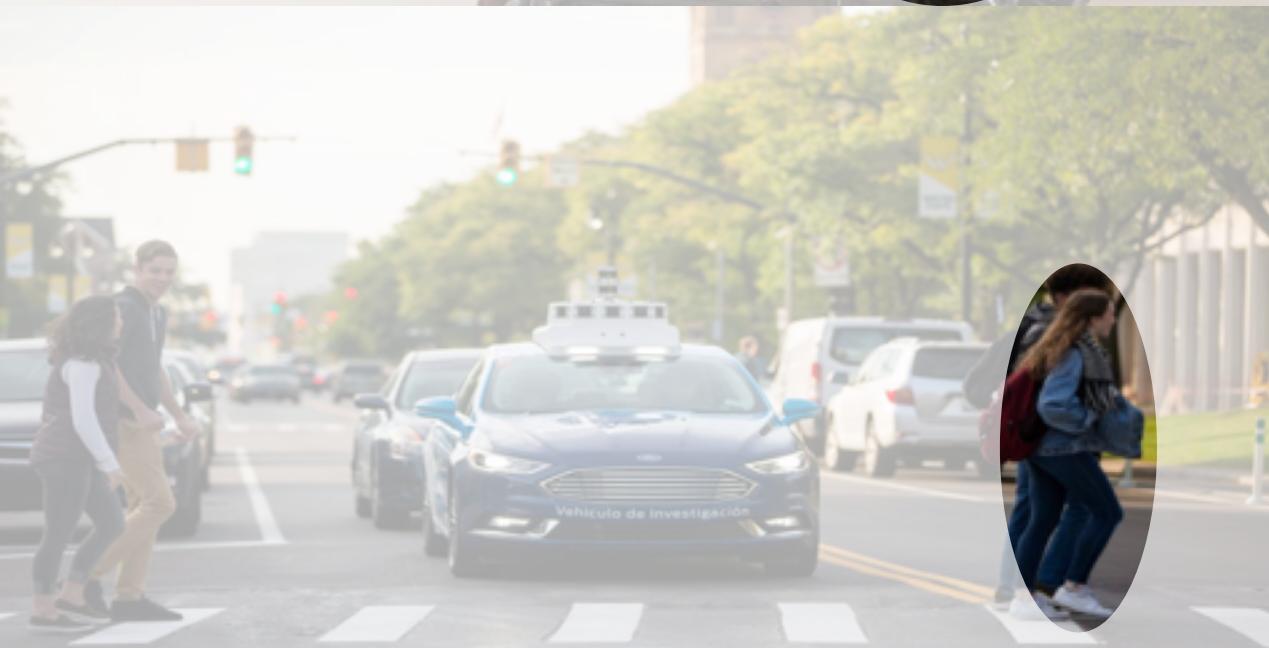
Human Models

- Data-efficient learning of reward functions with different sources of data
- What happens on the ends of the risk spectrum?











The light turns yellow for the human-driven (blue) car.

Will the blue car pass or stop?





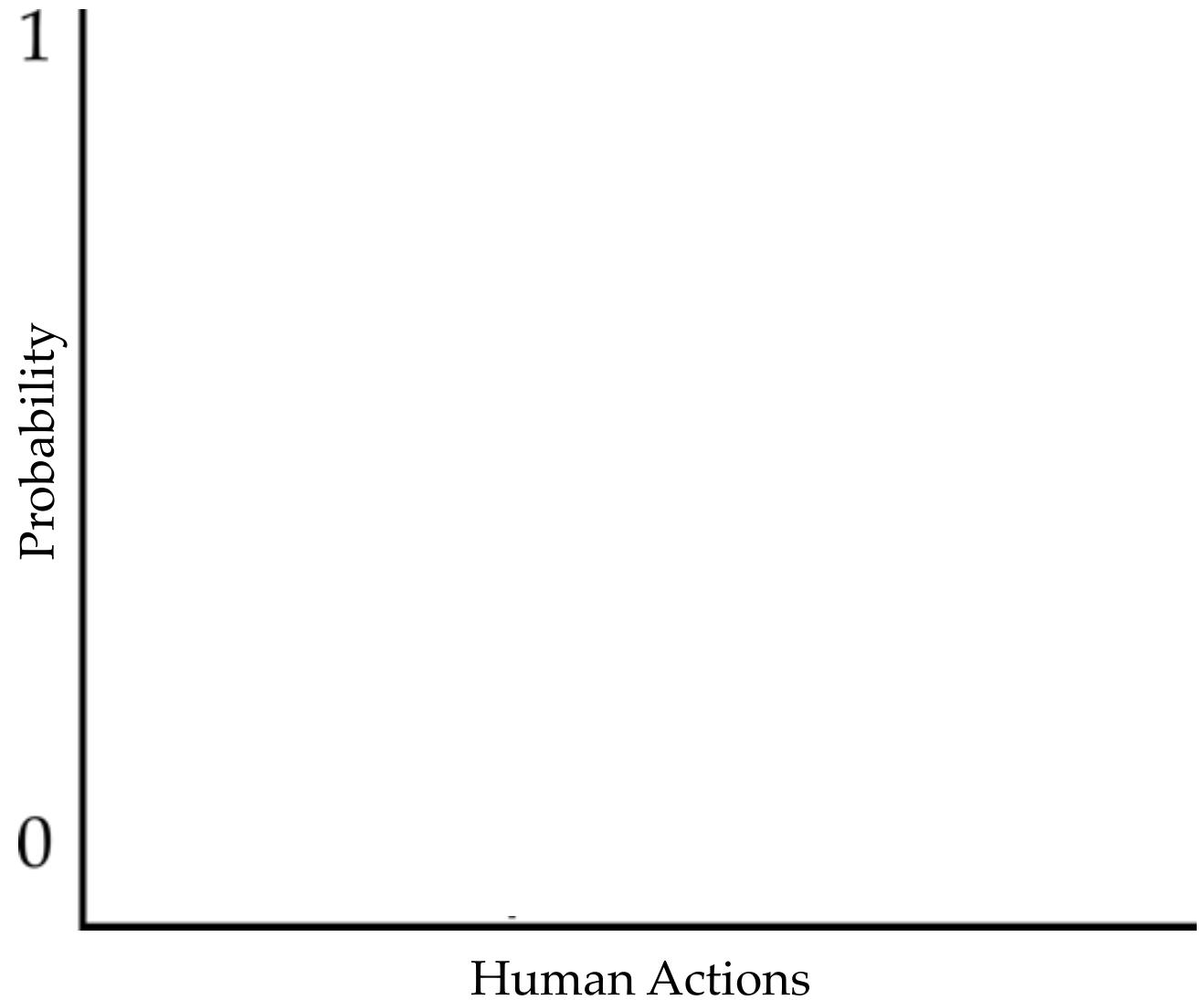
Jackson

Robots must recognize that people can
behave **suboptimally** in risky scenarios

Baseline human models



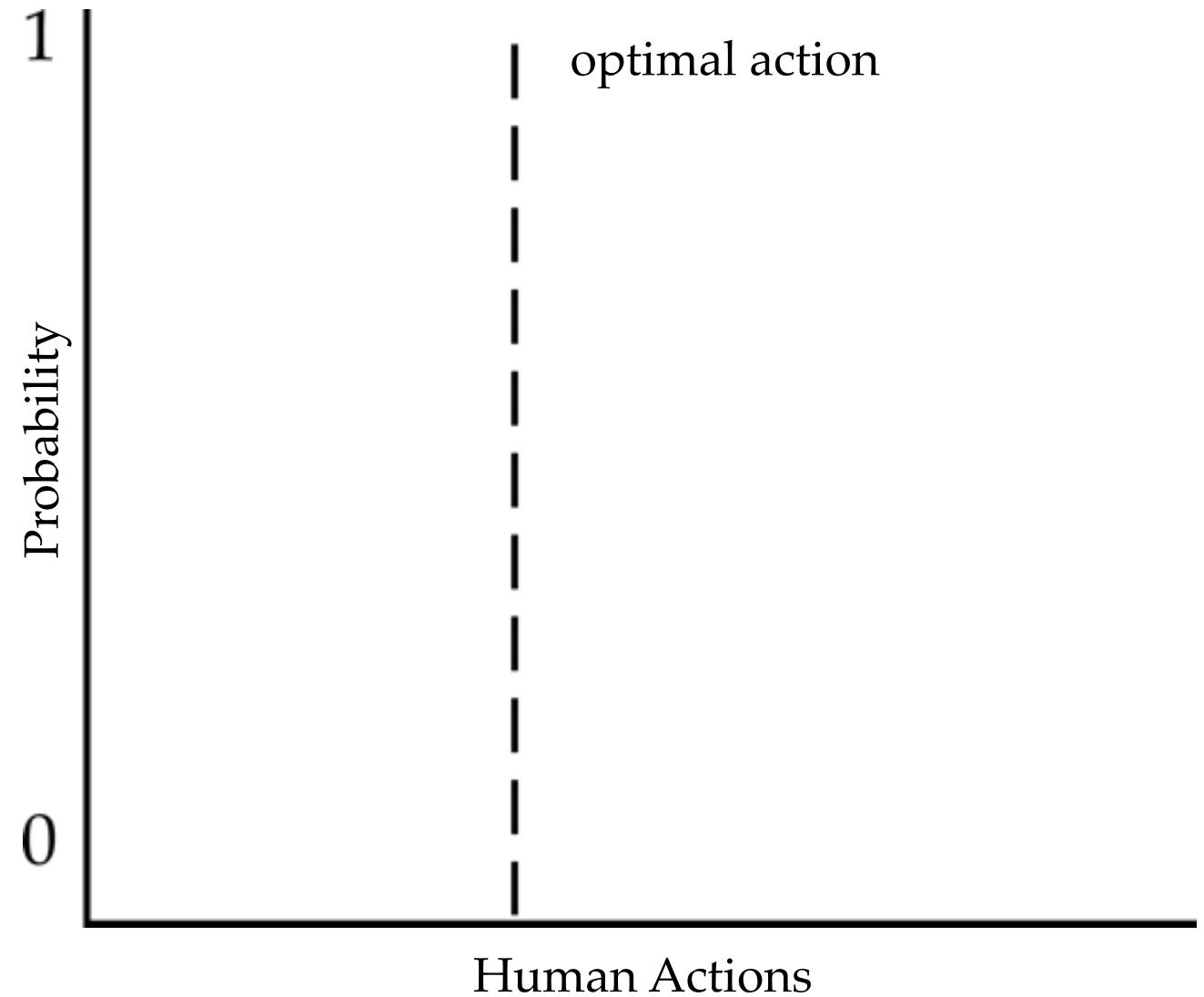
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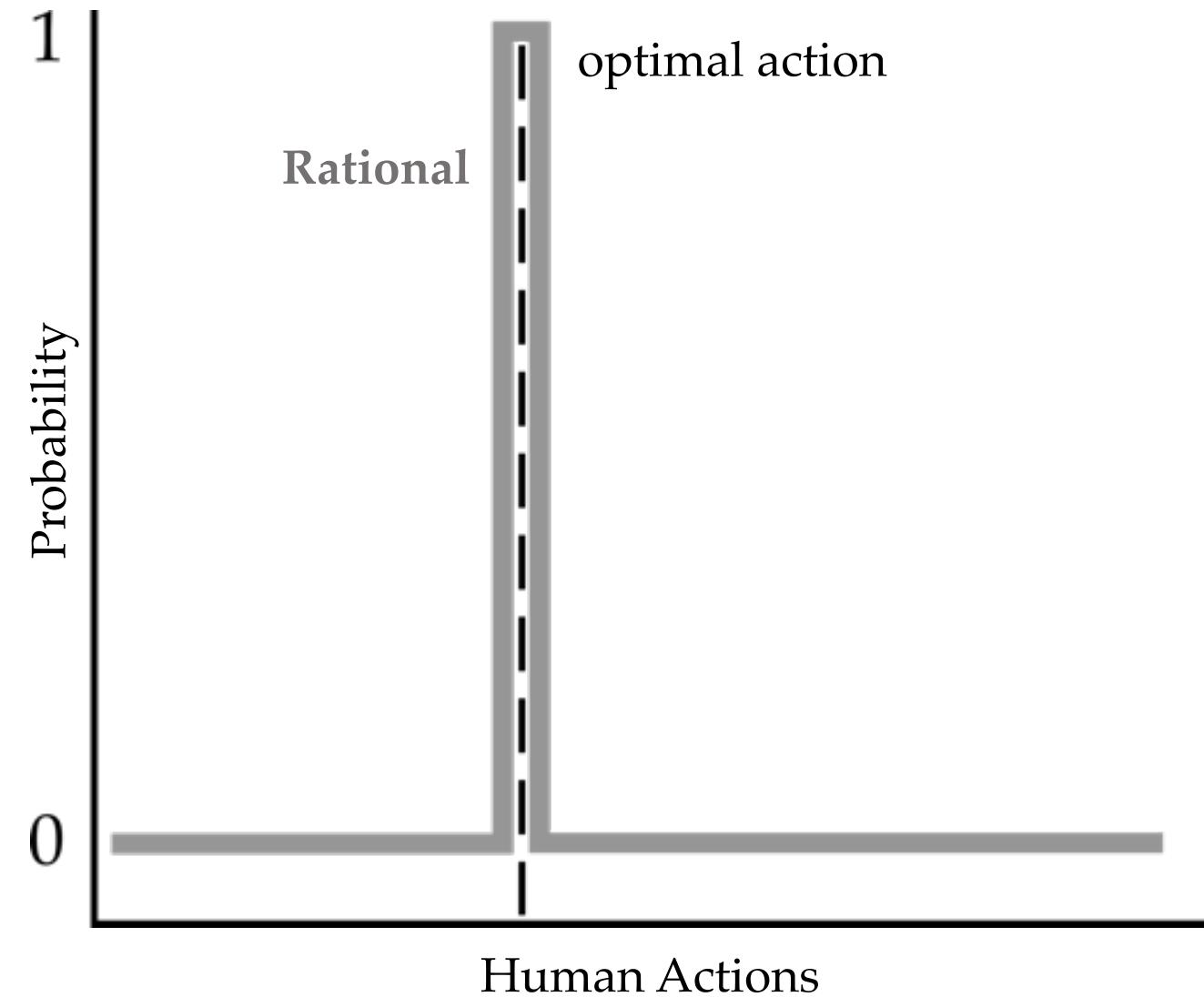
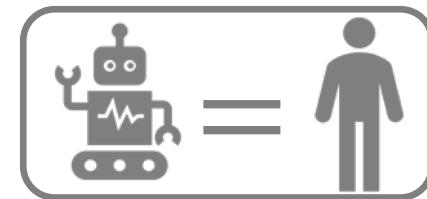


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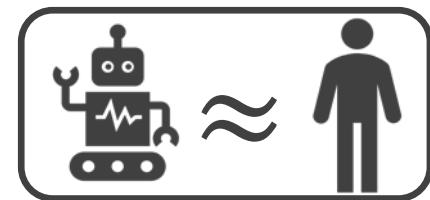


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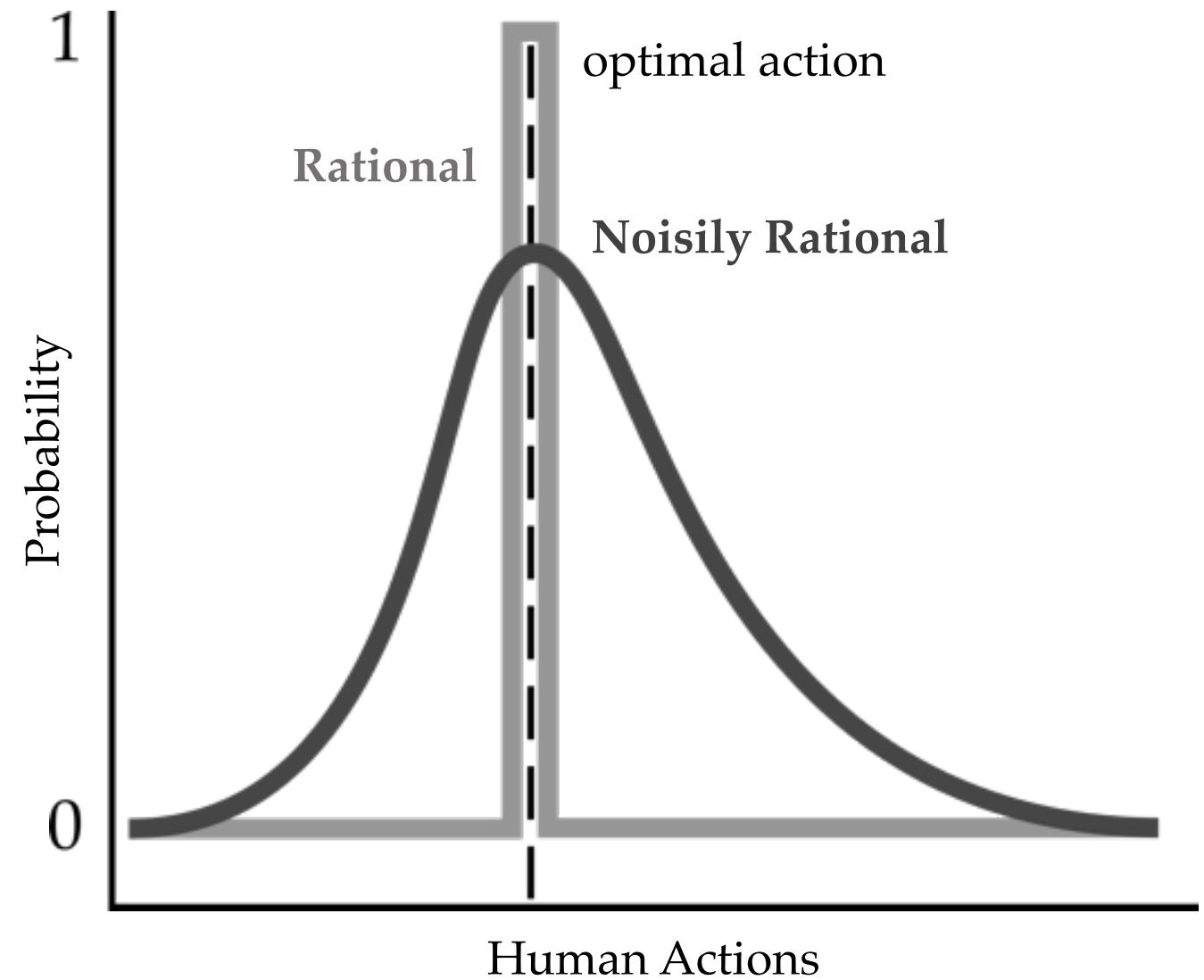
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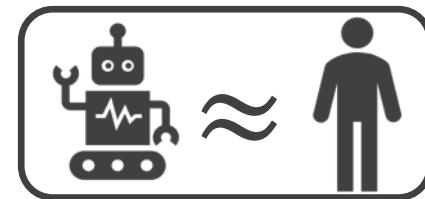
Baseline human models



$$P(a_H) = \frac{\exp(\theta R_H(a_H))}{\sum_{a \in A_H} \exp(\theta R_H(a))}$$

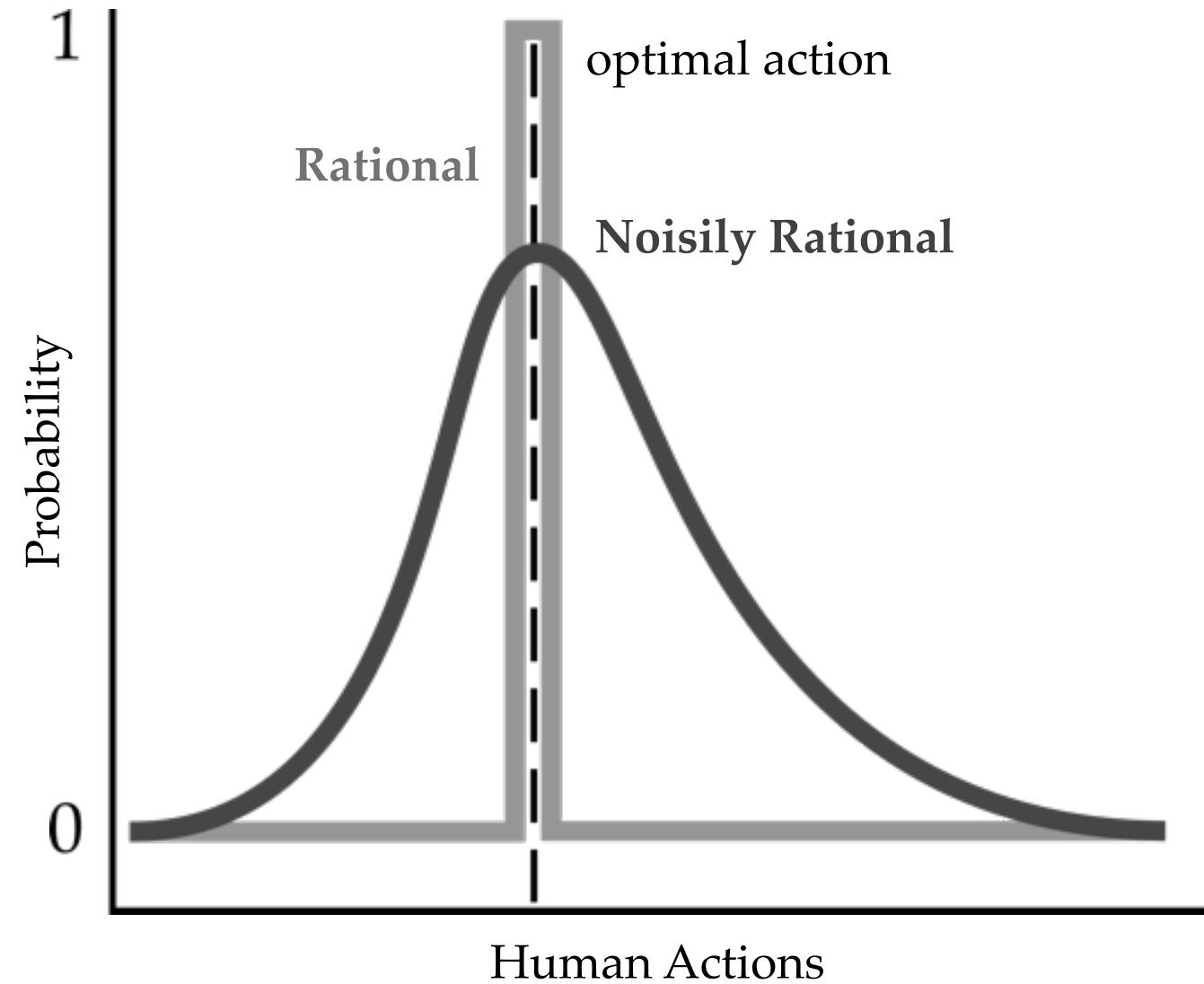


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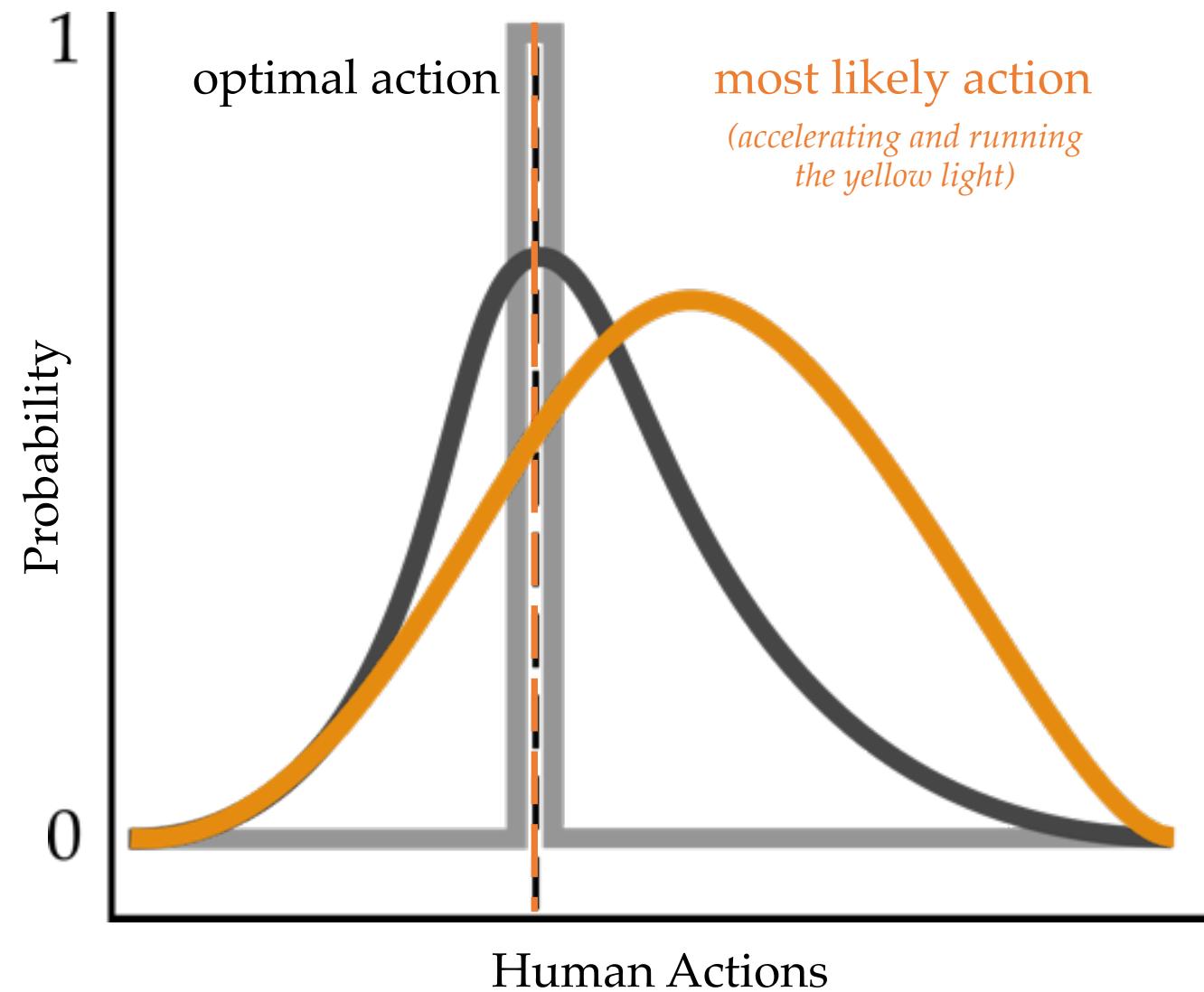


rationality coefficient \downarrow

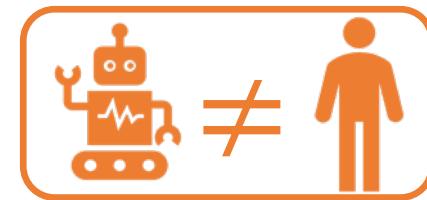
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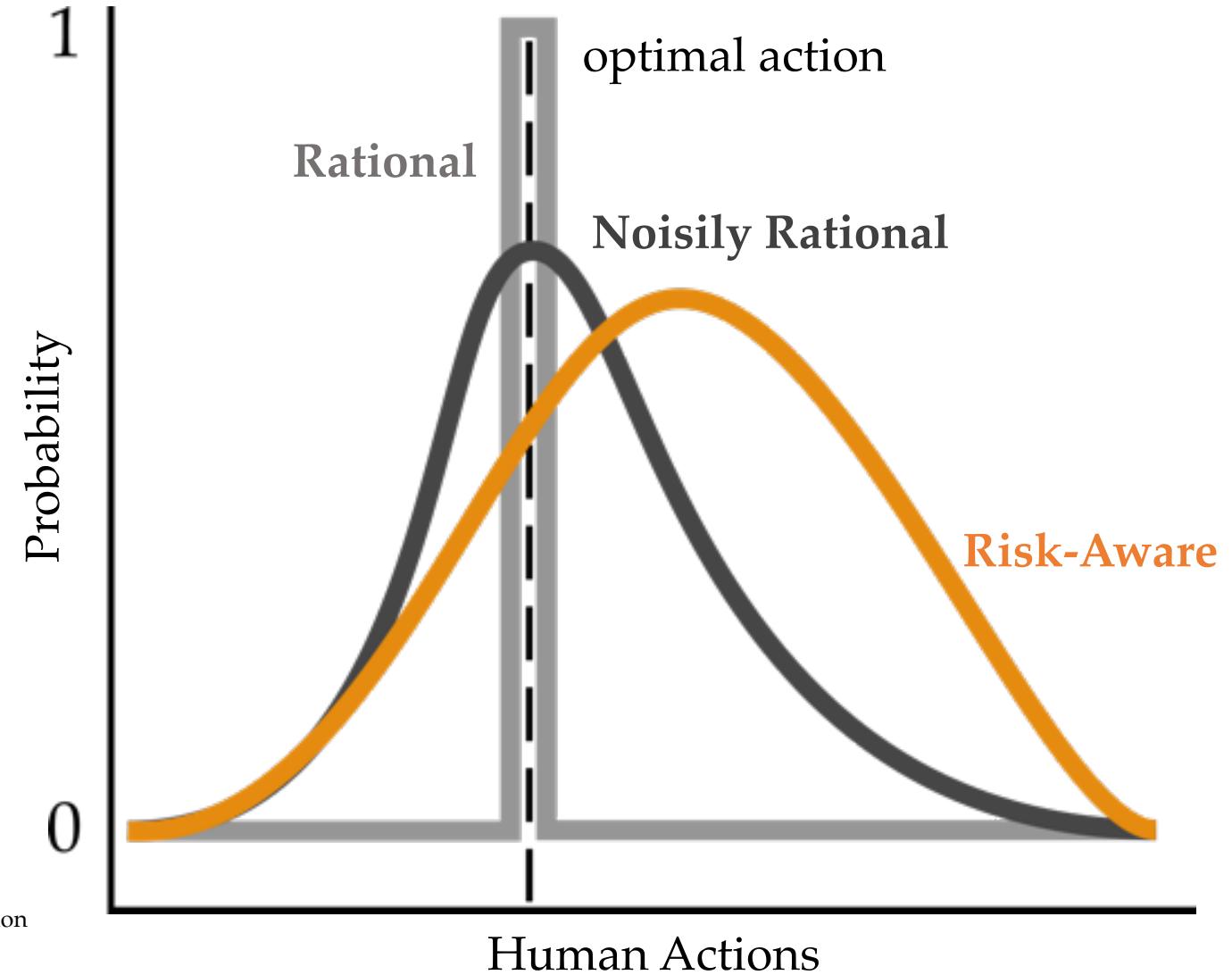
Baseline human models



Risk-aware model: Cumulative Prospect Theory



$$P(a_H) = \frac{\exp(\theta R_H^{CPT}(a_H))}{\sum_{a \in A_H} \exp(\theta R_H^{CPT}(a))}$$



Risk-aware model: Cumulative Prospect Theory



$$R_H(a_H) = p^{(1)} R_H^{(1)}(a_H) + \cdots + p^{(k)} R_H^{(k)}(a_H)$$

Risk-aware model: Cumulative Prospect Theory



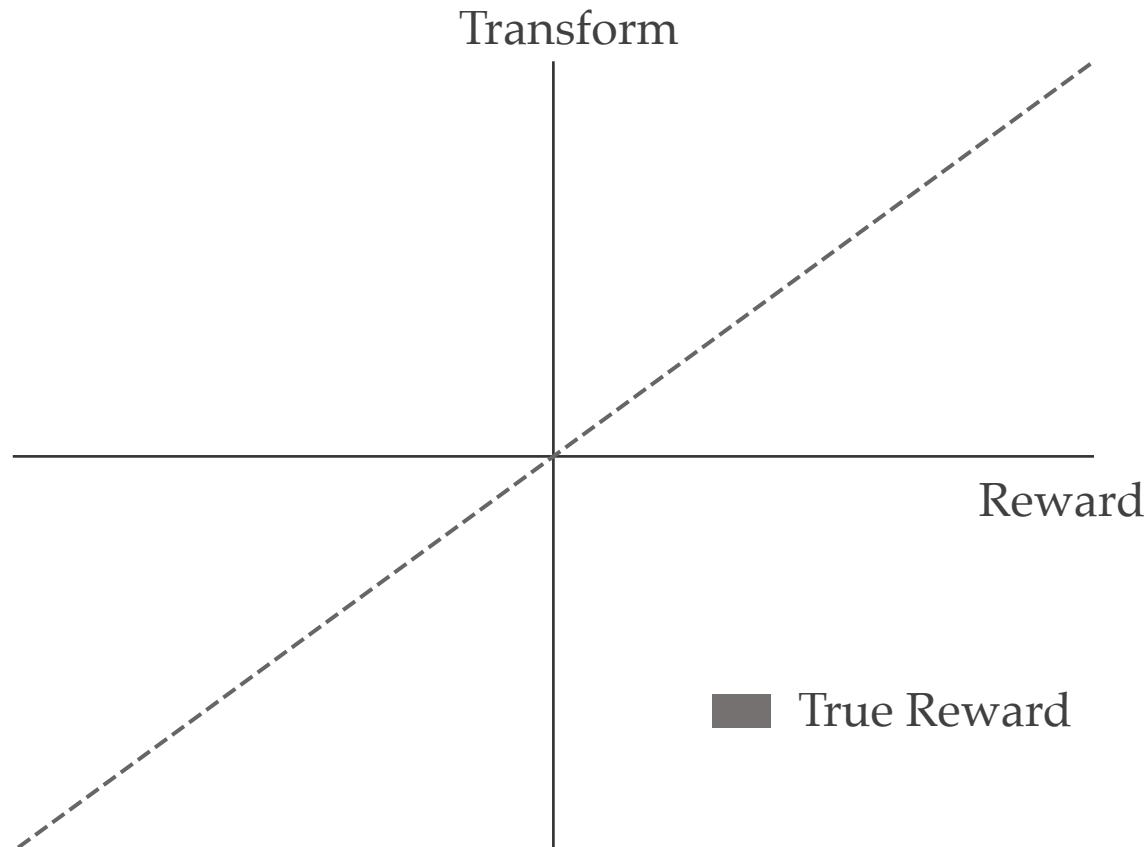
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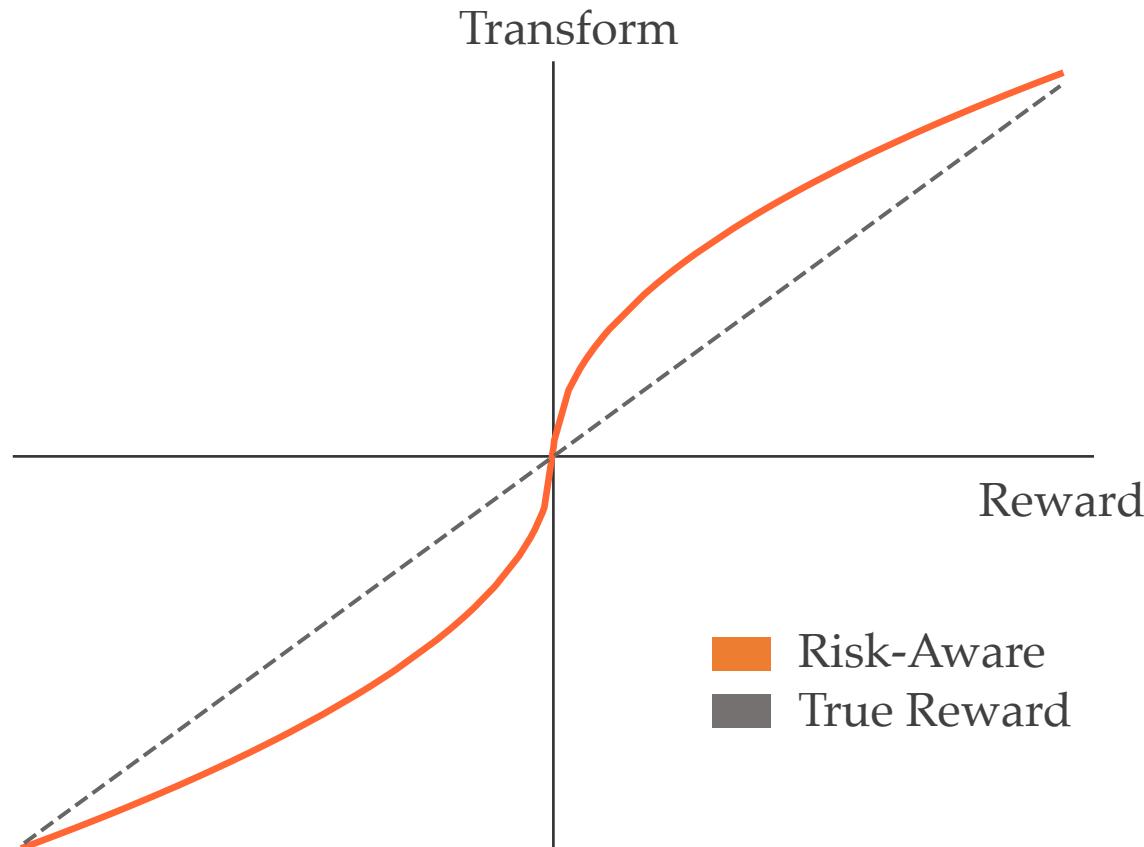


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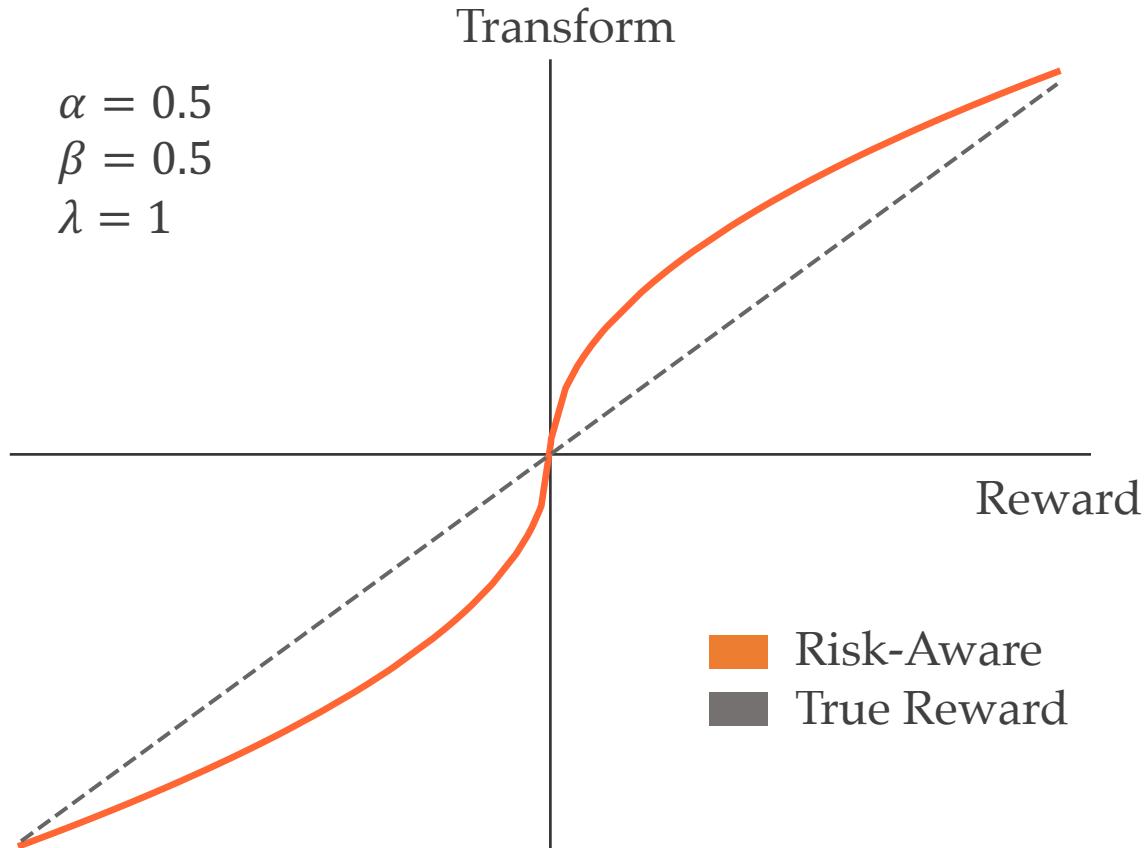
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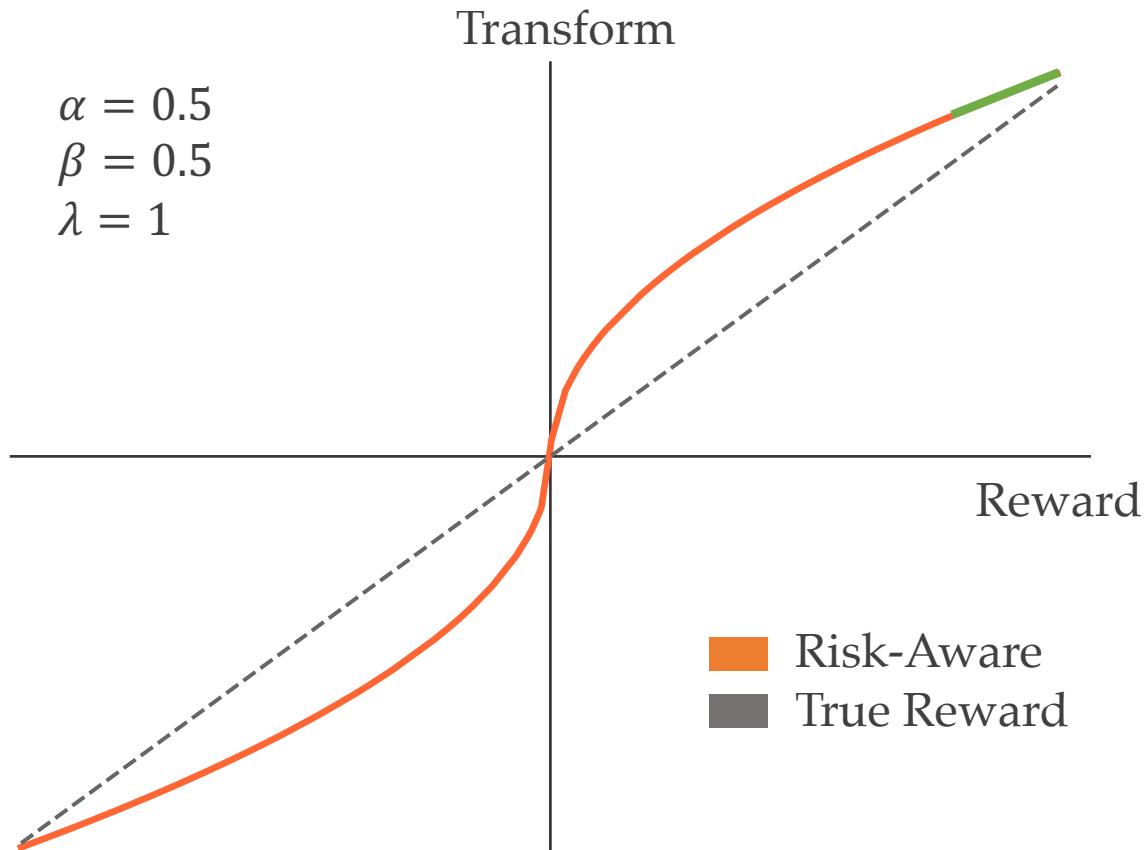


$$v(R) = \begin{cases} R^\alpha & , R \geq 0 \\ -\lambda(-R)^\beta & , R < 0 \end{cases}$$

$$\alpha, \beta \in [0, 1]$$

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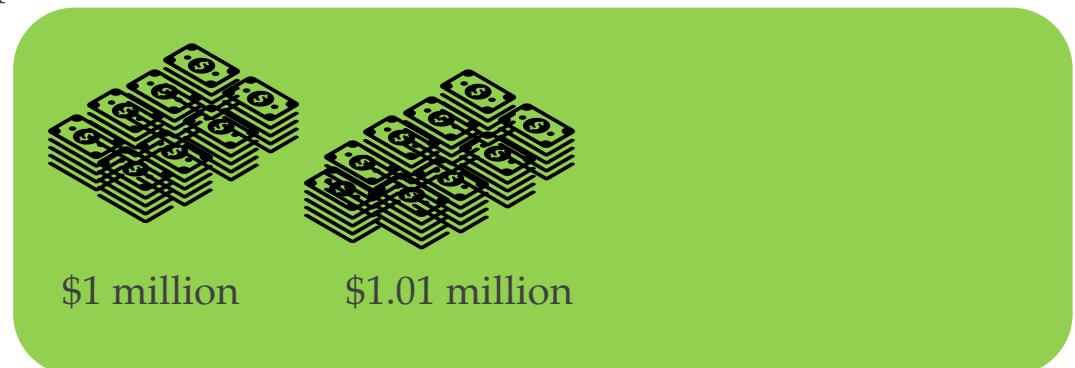
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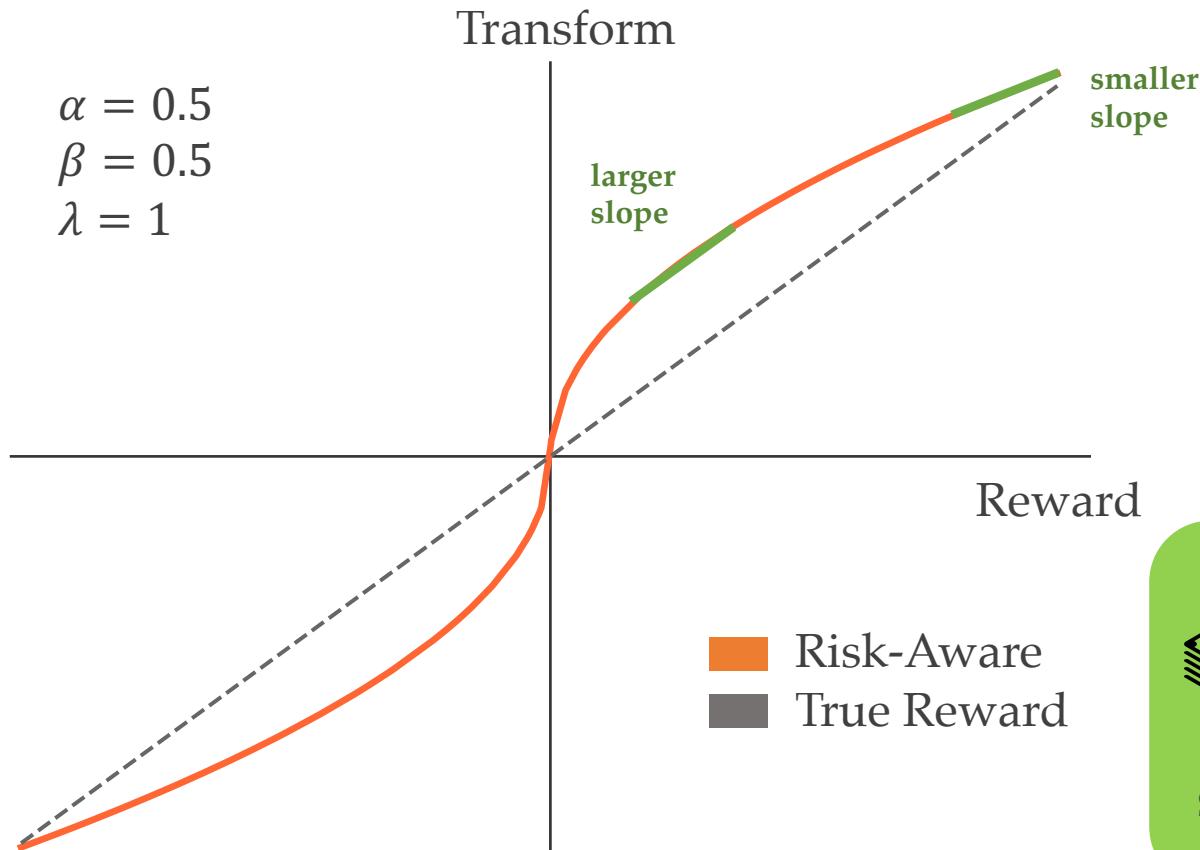
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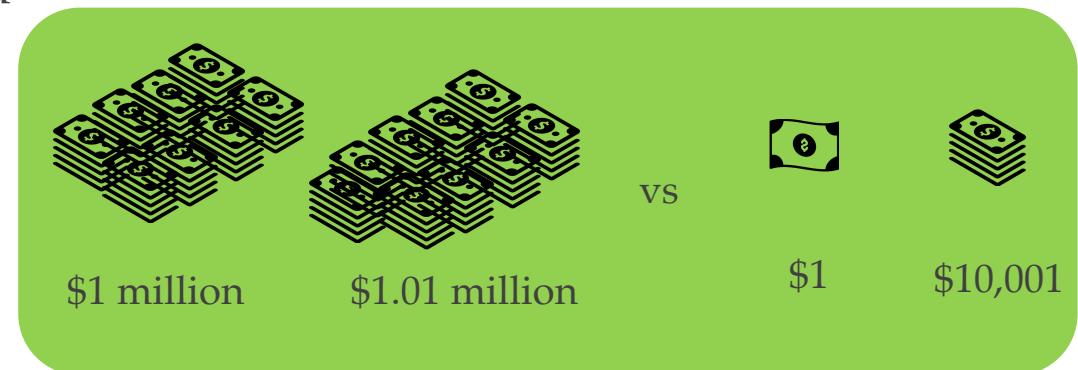


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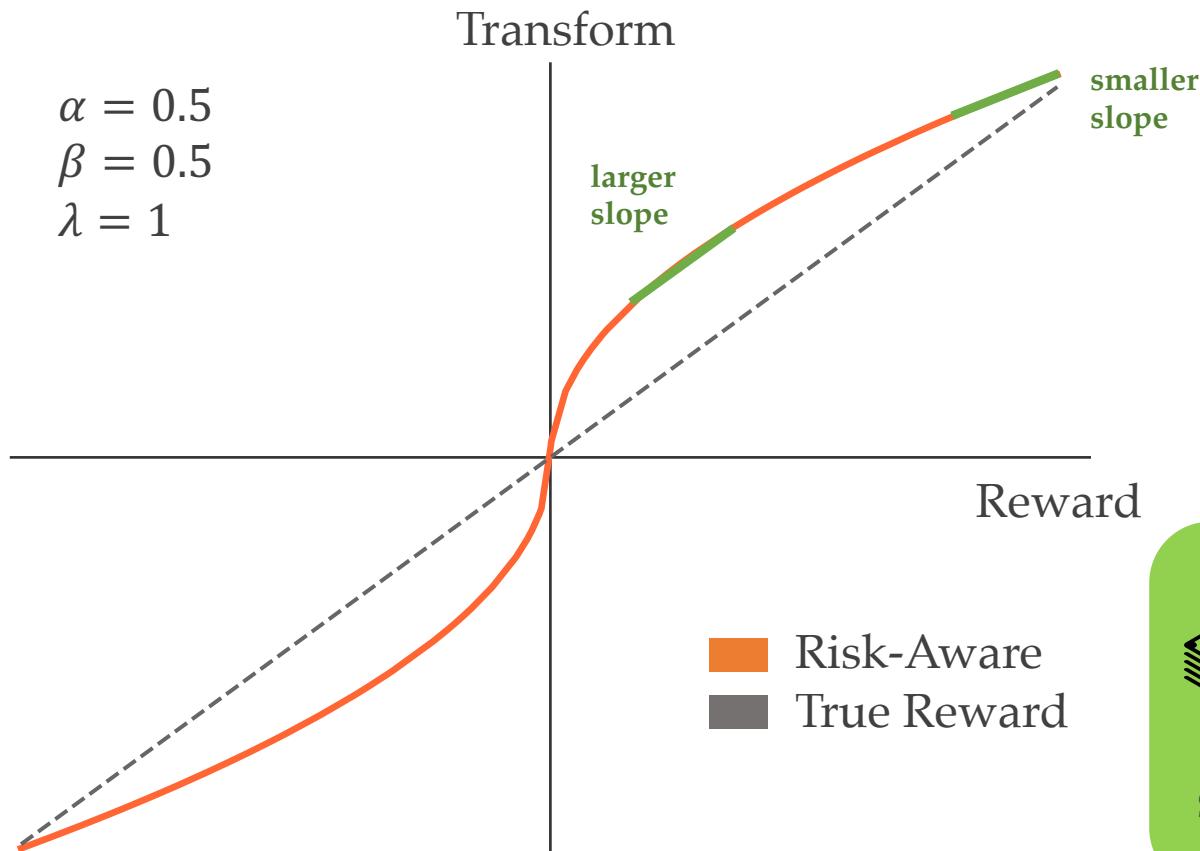


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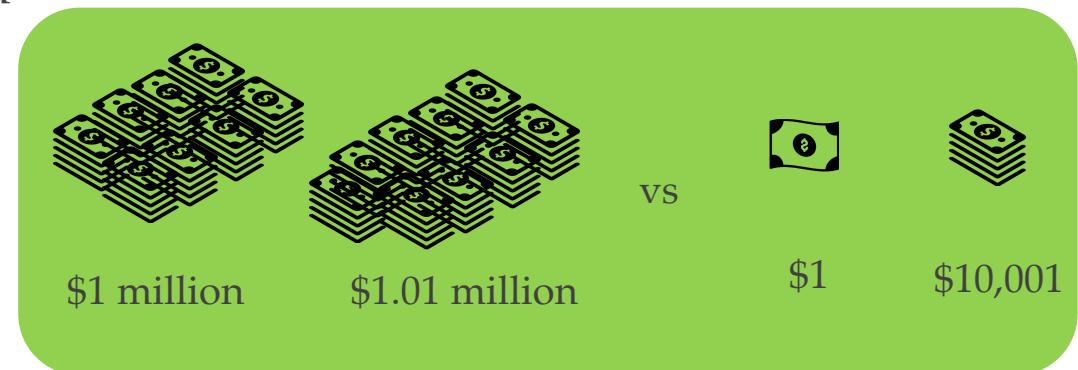
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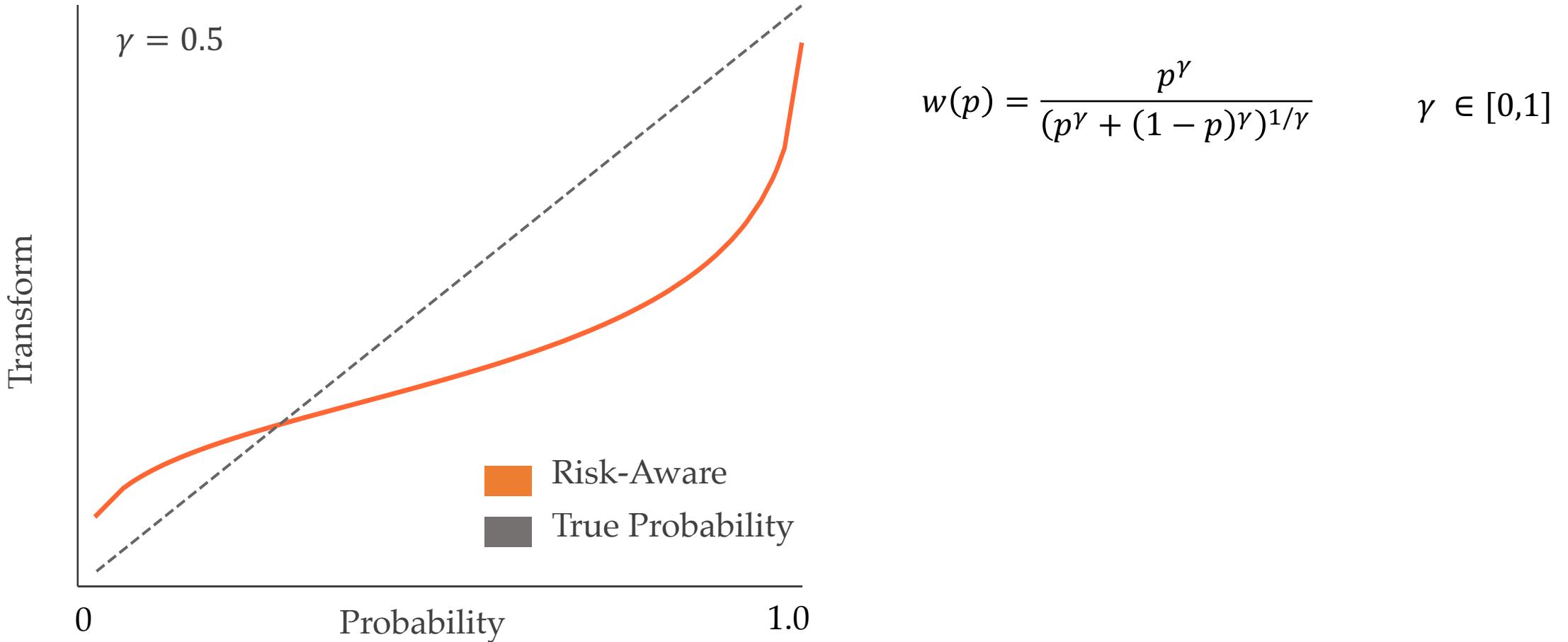


Risk-aware model: Cumulative Prospect Theory

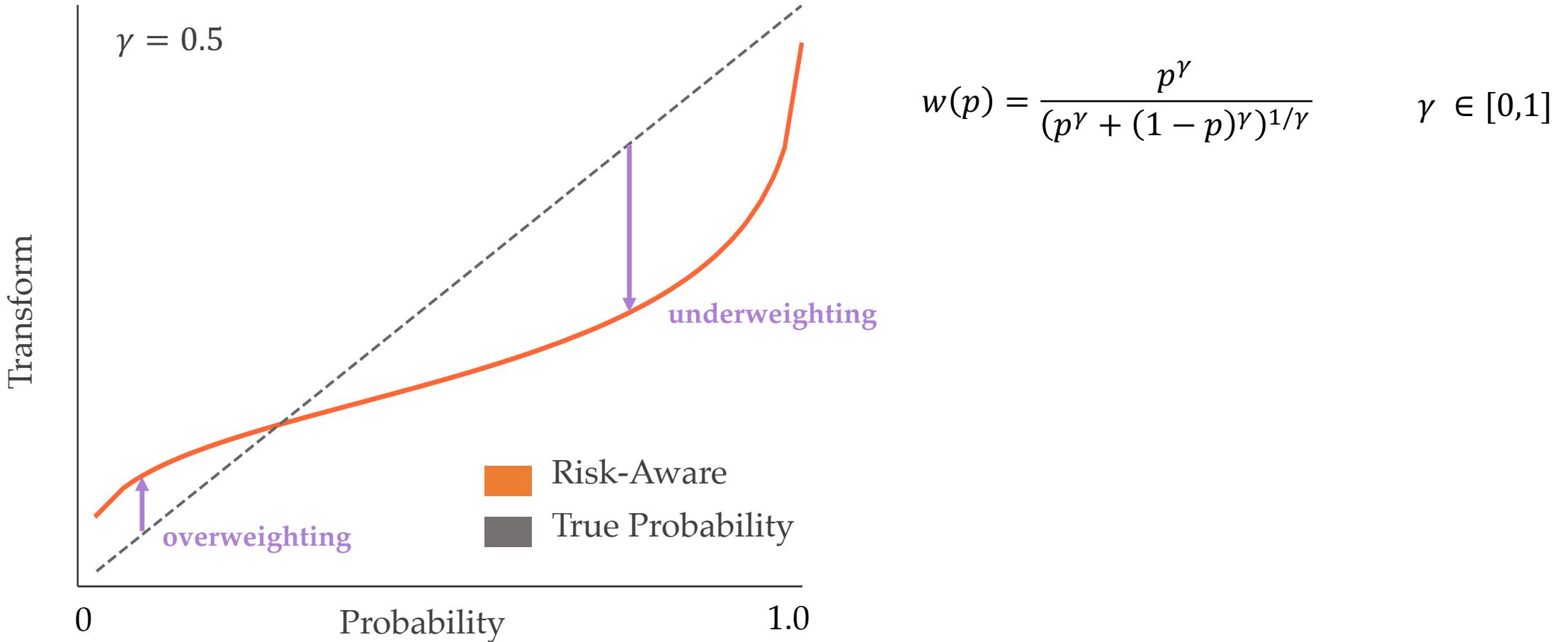
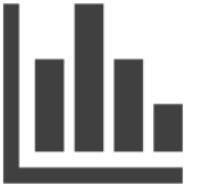


$$R_H^{CPT}(a_H) = p^{(1)} R_H^{(1)}(a_H) + \dots + p^{(k)} R_H^{(k)}(a_H)$$

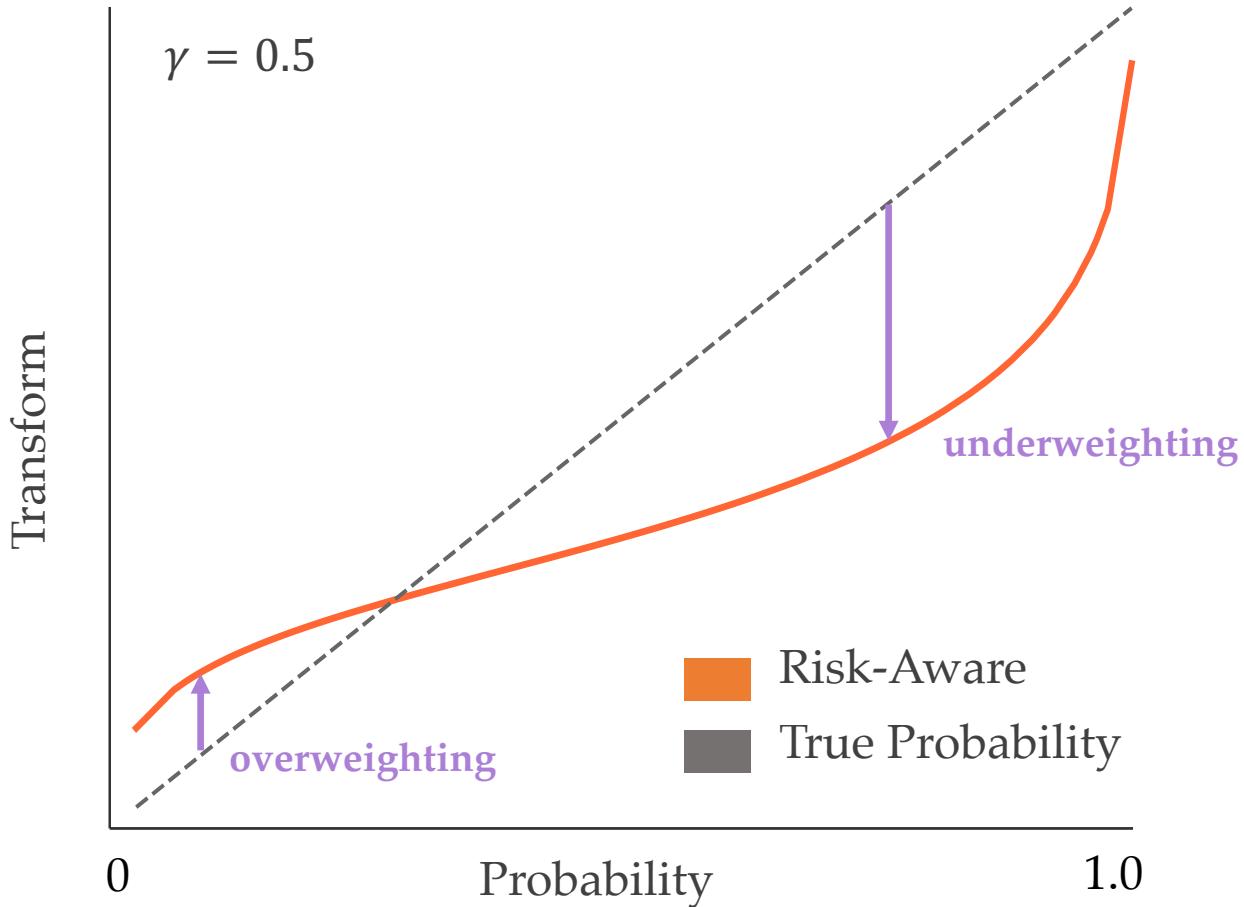
Risk-aware model: Cumulative Prospect Theory



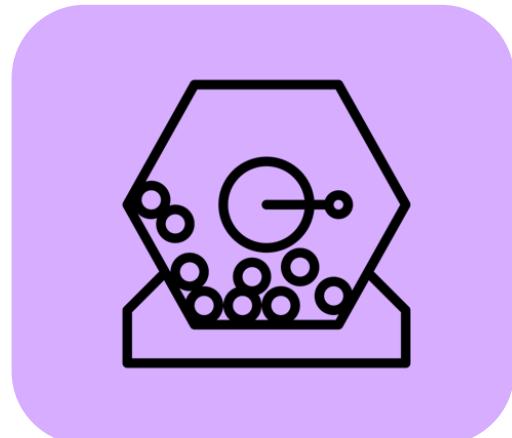
Risk-aware model: Cumulative Prospect Theory



Risk-aware model: Cumulative Prospect Theory



$$w(p) = \frac{p^\gamma}{(p^\gamma + (1-p)^\gamma)^{1/\gamma}}$$
$$\gamma \in [0,1]$$



Risk-aware model: Cumulative Prospect Theory



$$R_H^{CPT}(a_H) = p^{(1)} R_H^{(1)}(a_H) + \dots + p^{(k)} R_H^{(k)}(a_H)$$

When do we behave
suboptimally?





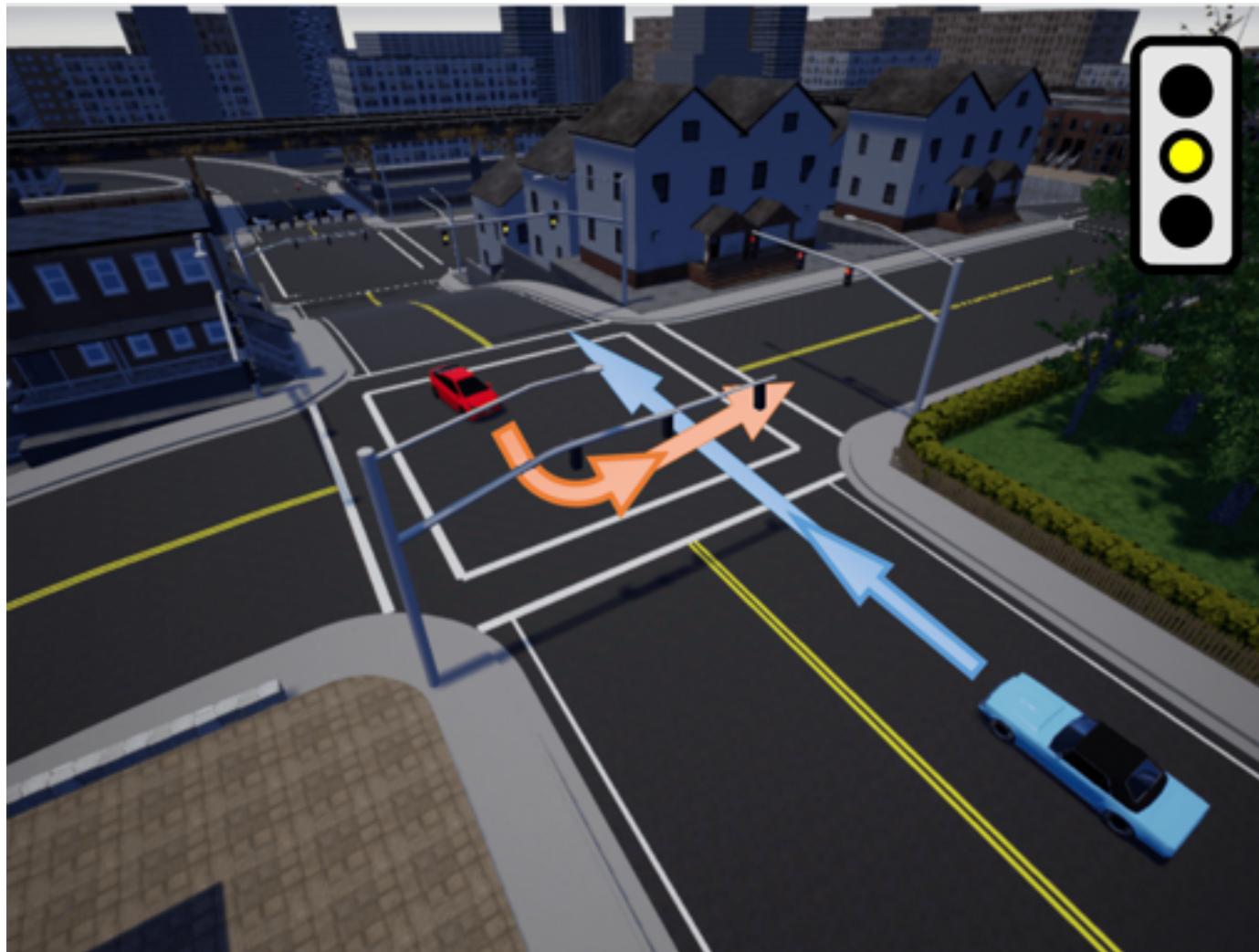
Autonomous driving task



Autonomous Car



Human-Driven Car



Study results





Study results

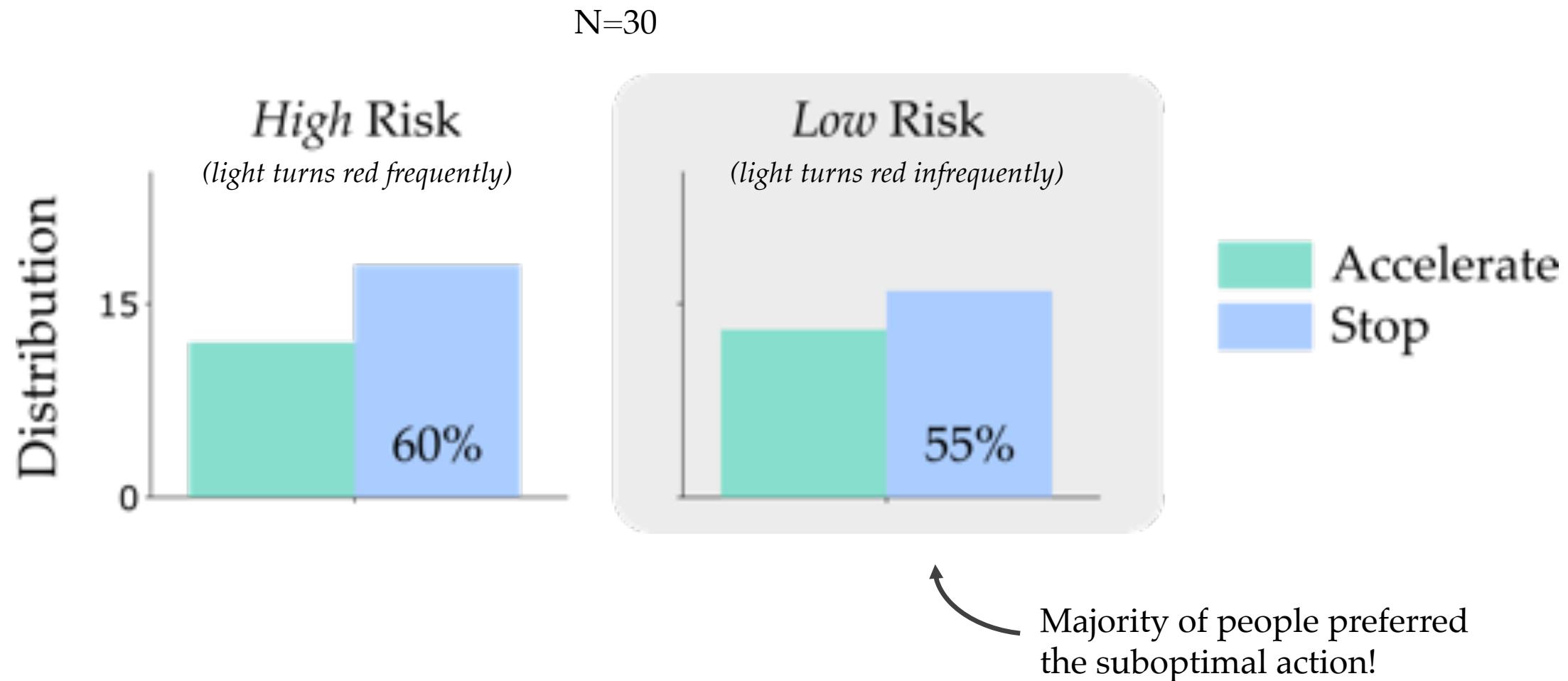
N=30



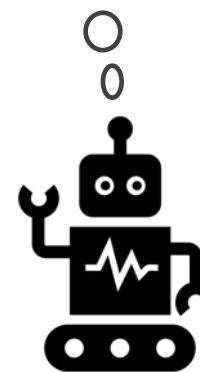
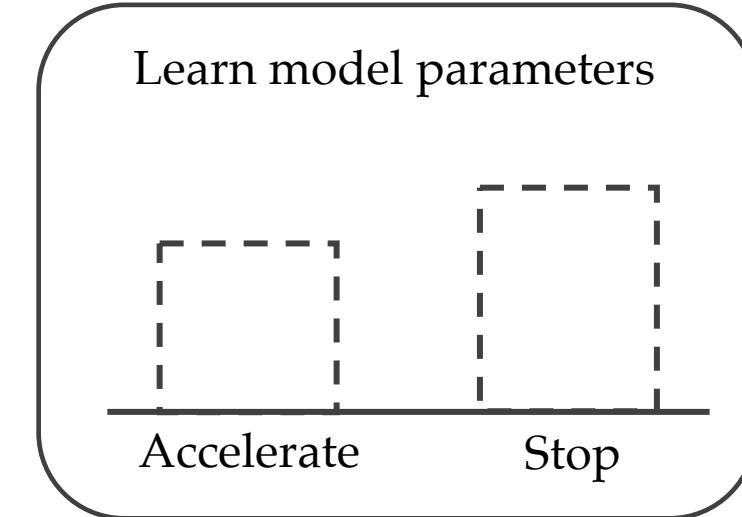
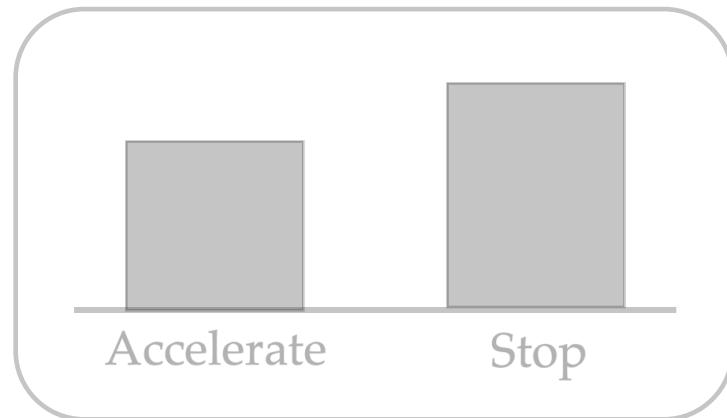
High Risk: light turns red 95% of time
Low Risk: light turns red 5% of time



Study results



Experiment

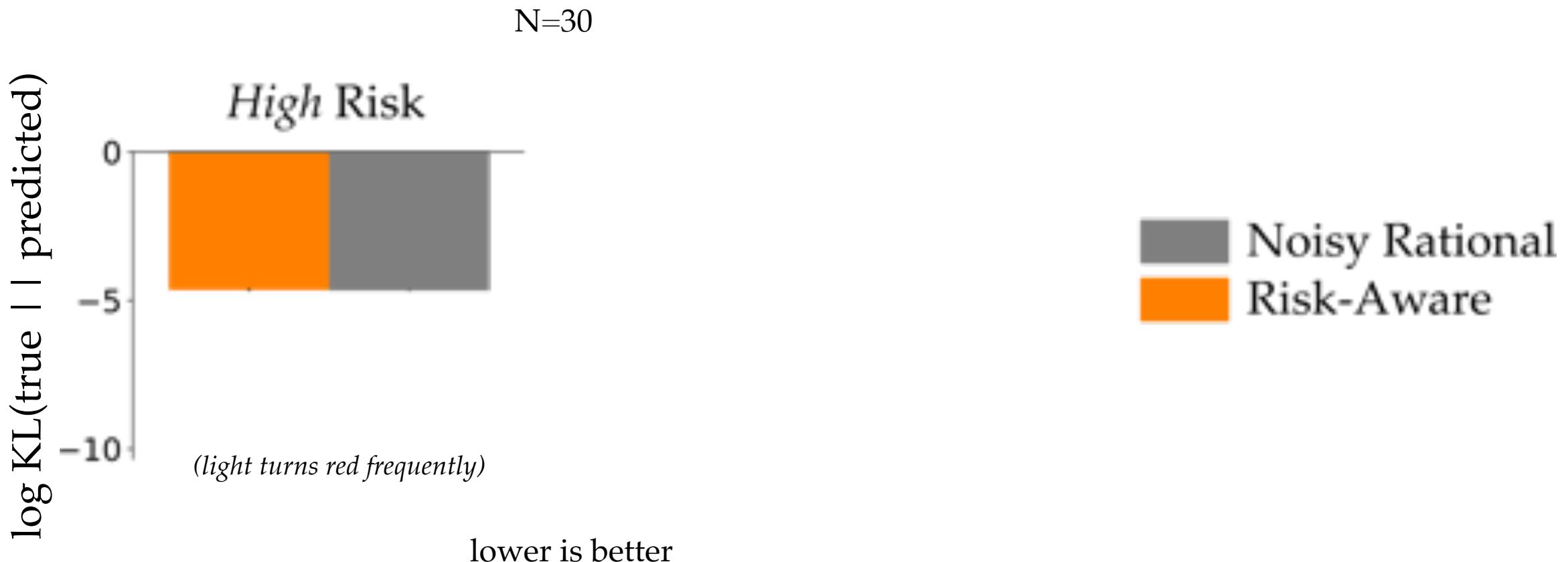


Modeling results



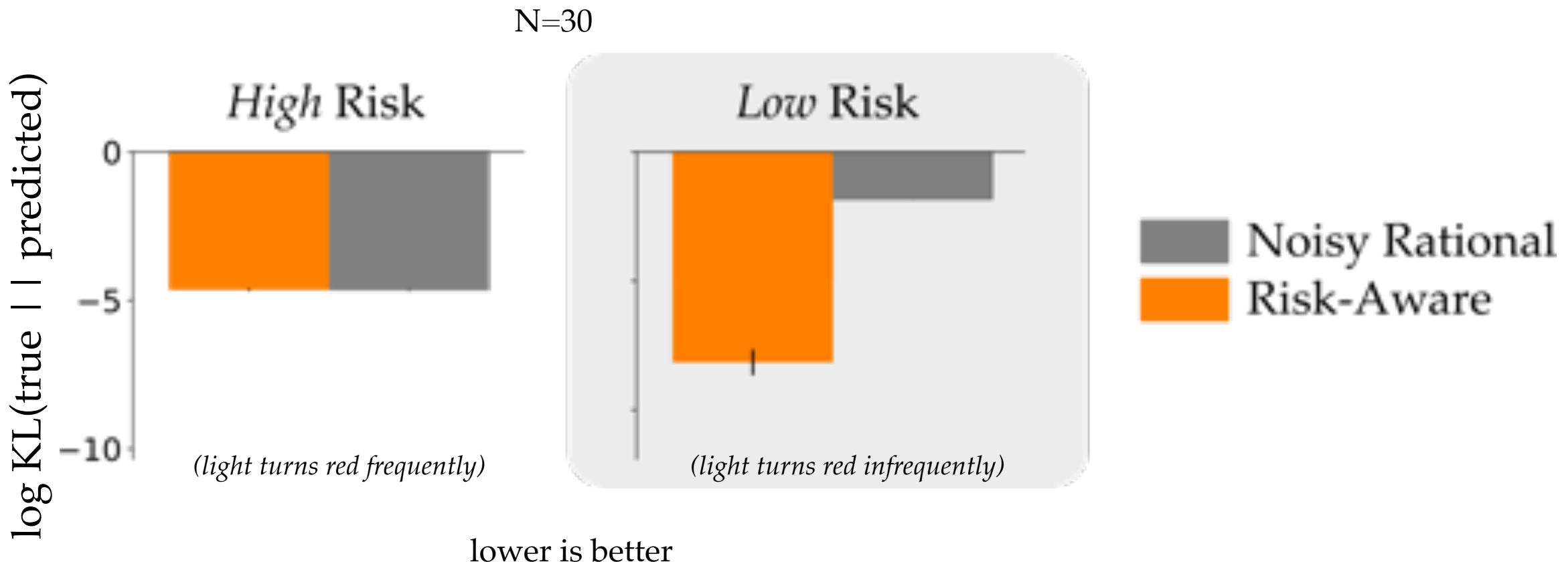


Modeling results

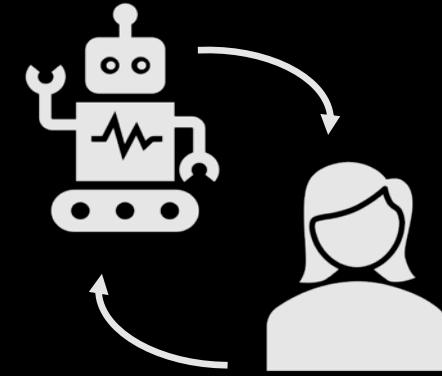


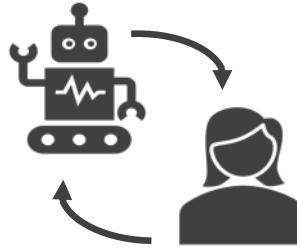


Modeling results



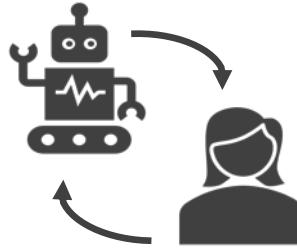
Robots that plan with risk-aware models





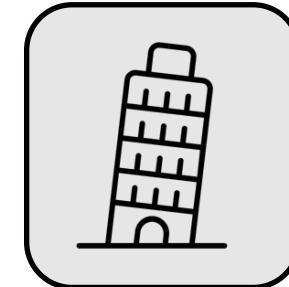
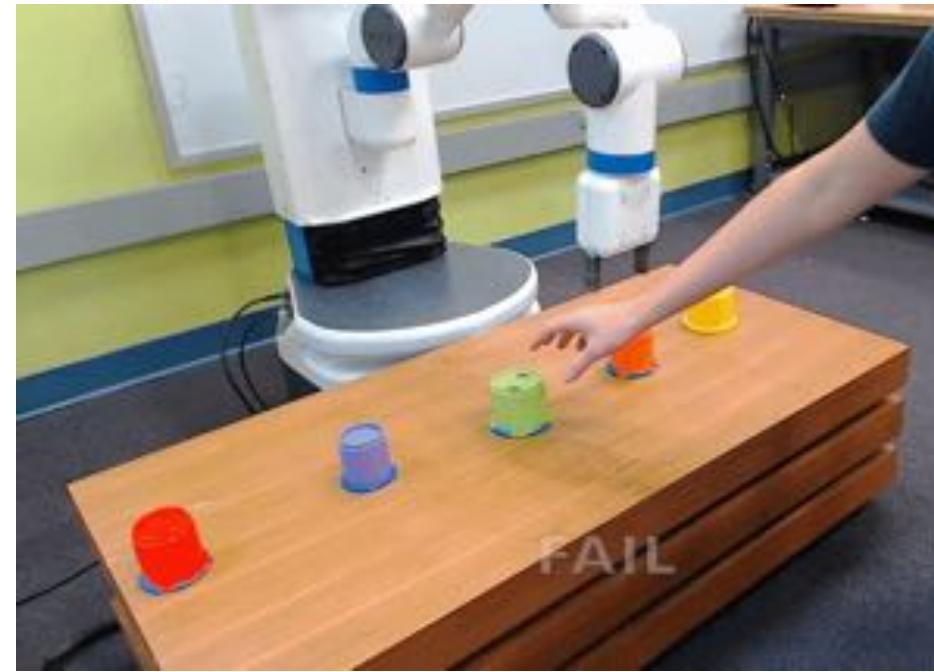
Collaborative cup stacking task



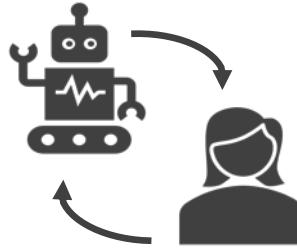


Collaborative cup stacking task

Efficient but unstable tower

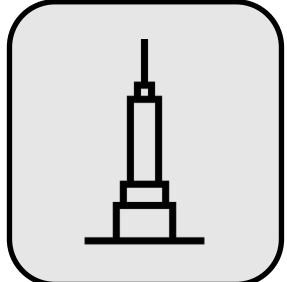


- Awarded 105 points
- Remains upright 20% of the time

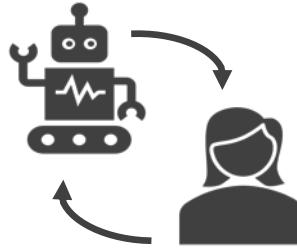


Collaborative cup stacking task

Inefficient but stable tower



- Awarded 20 points
- Never falls



Collaborative cup stacking task

Efficient but unstable tower

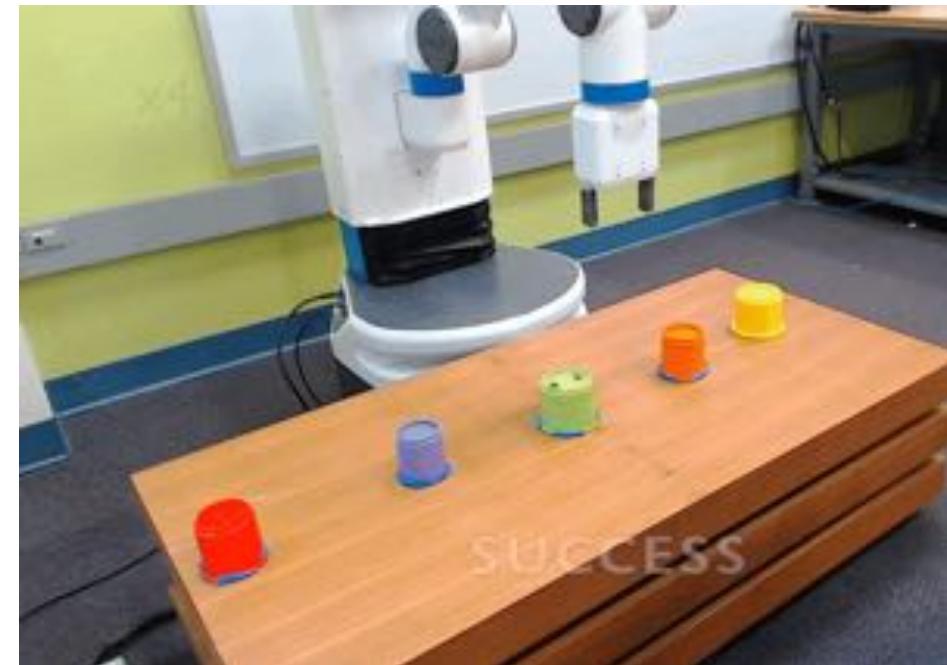


- Awarded 105 points
- Remains upright 20% of the time

$$105 * 0.2 = 21$$

>

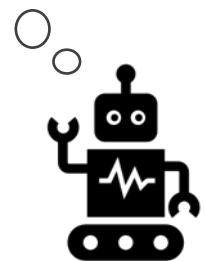
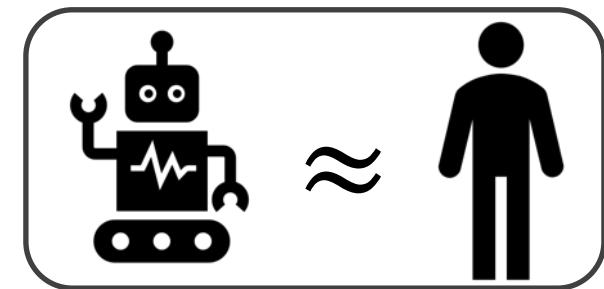
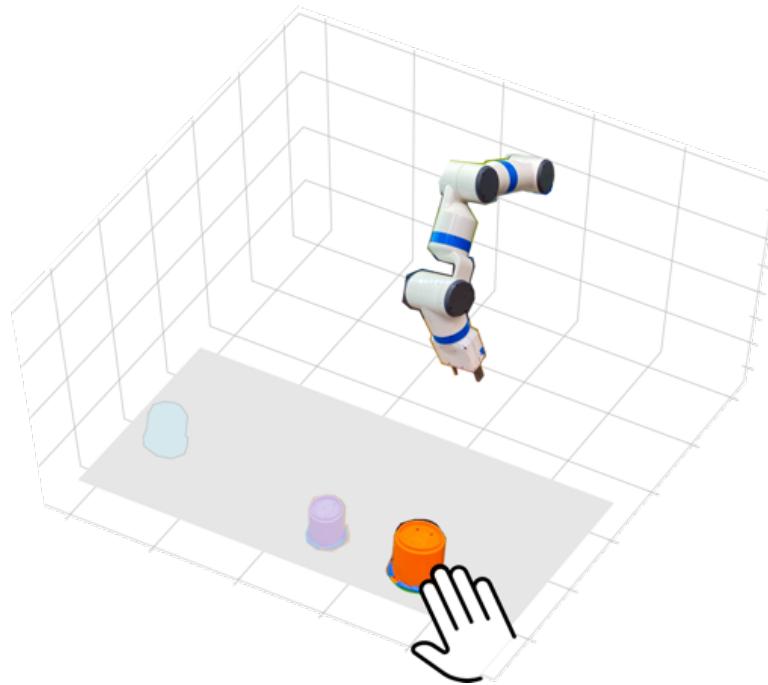
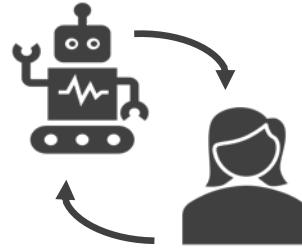
Inefficient but stable tower



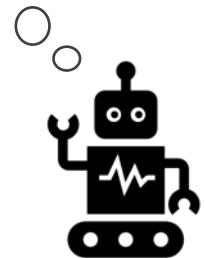
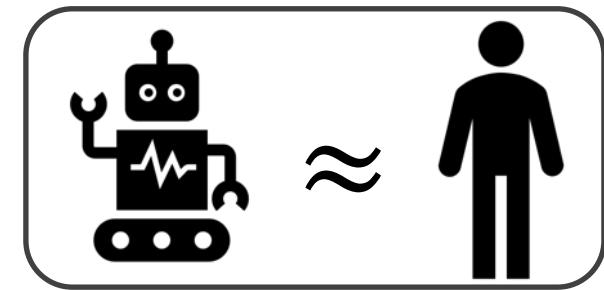
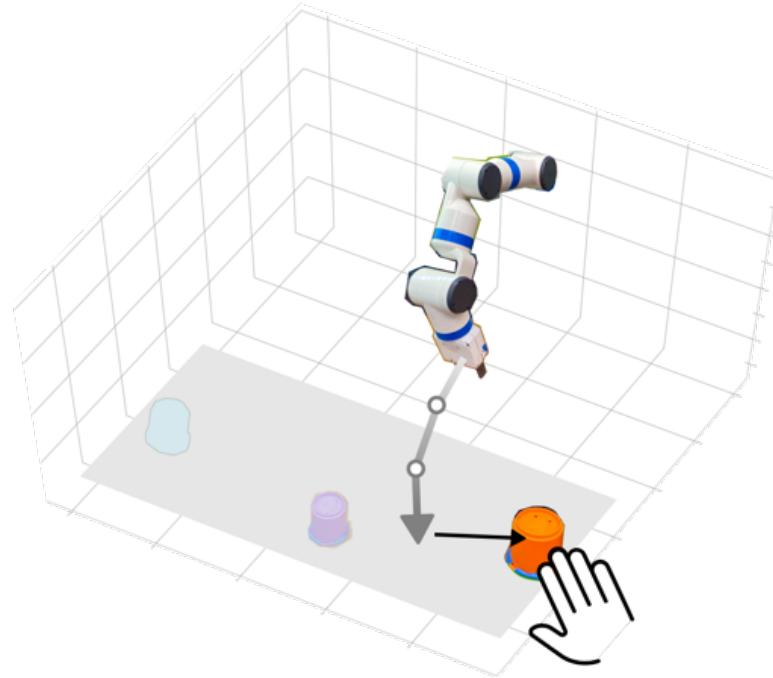
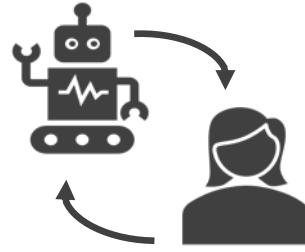
- Awarded 20 points
- Never falls

$$20$$

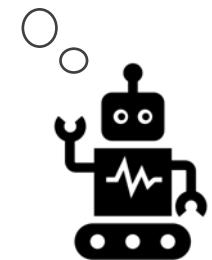
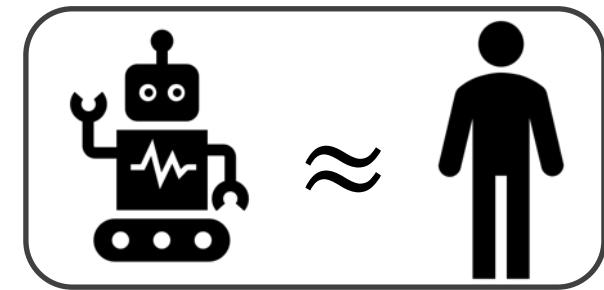
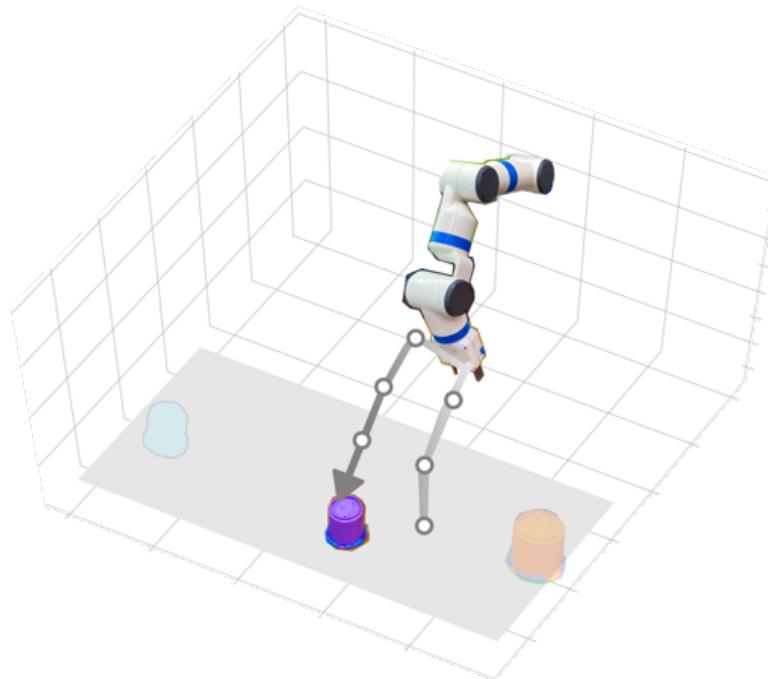
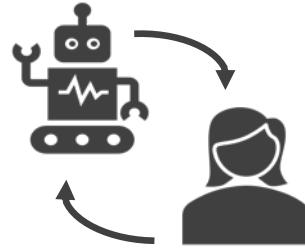
Noisily rational robot



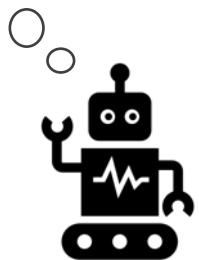
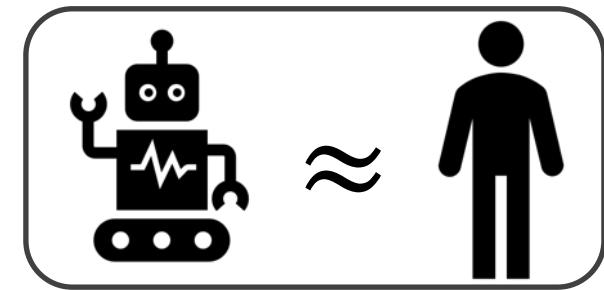
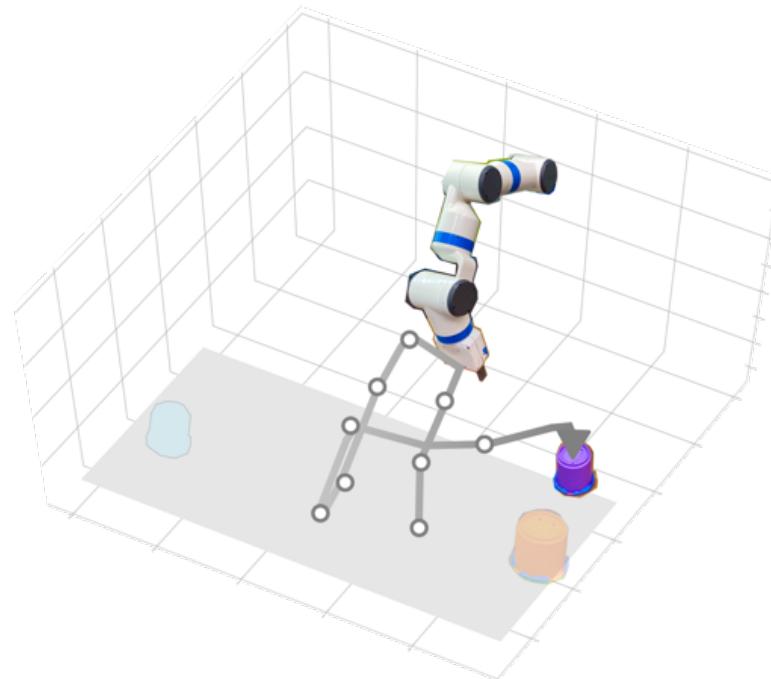
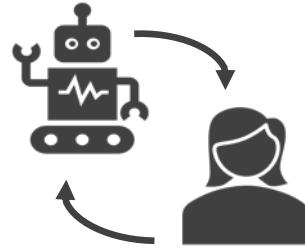
Noisily rational robot



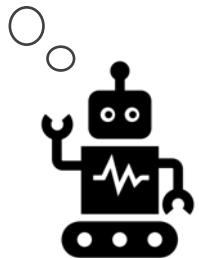
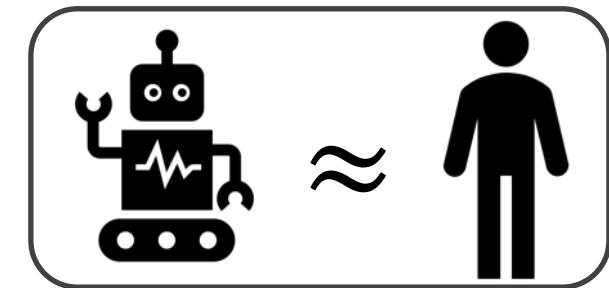
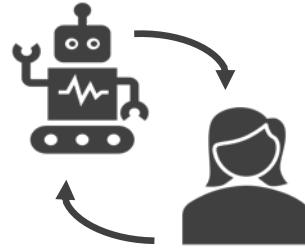
Noisily rational robot



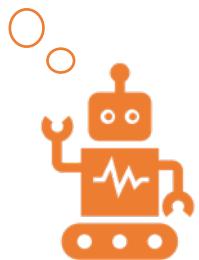
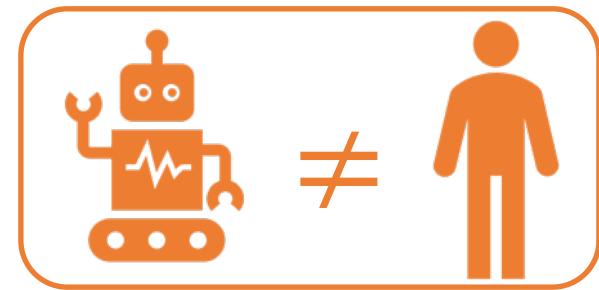
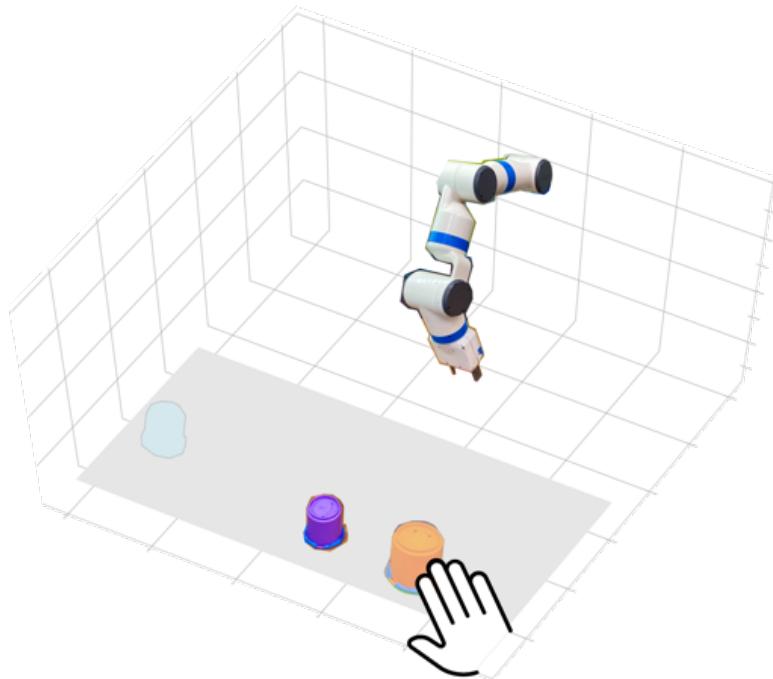
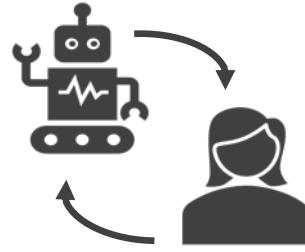
Noisily rational robot



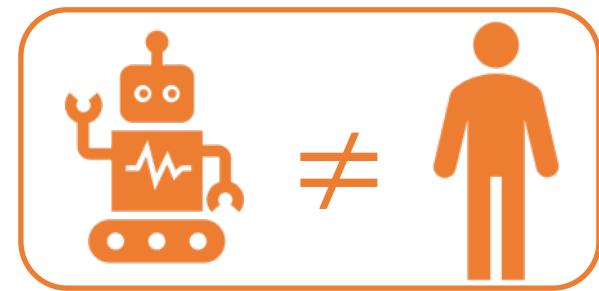
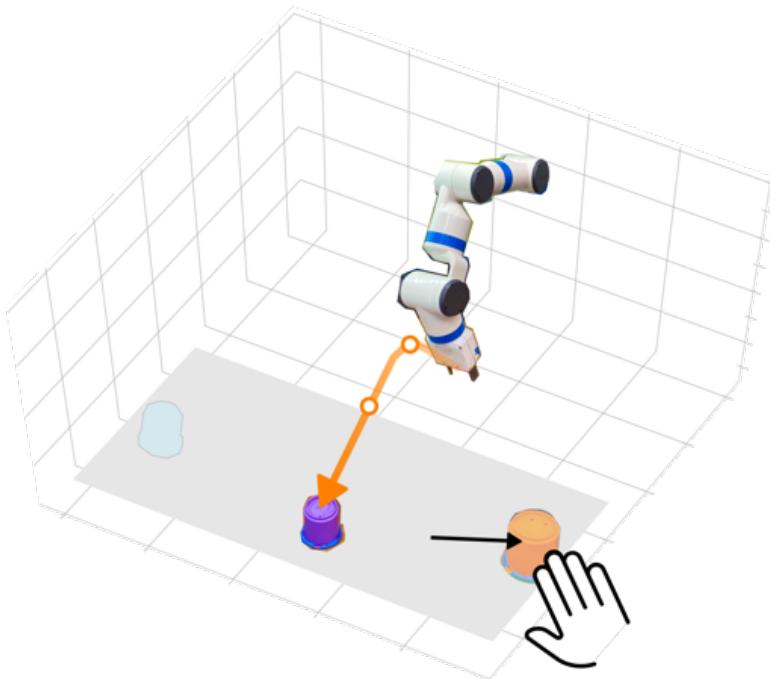
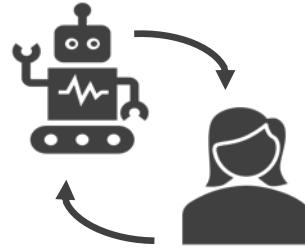
Noisily rational robot



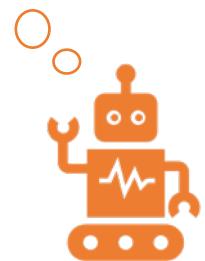
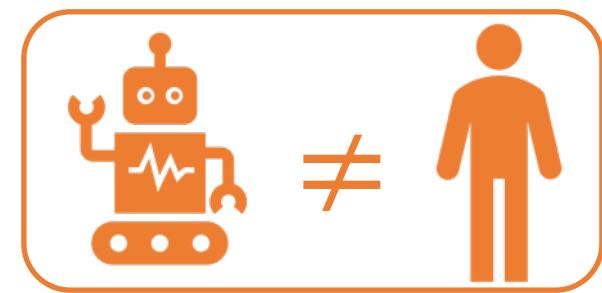
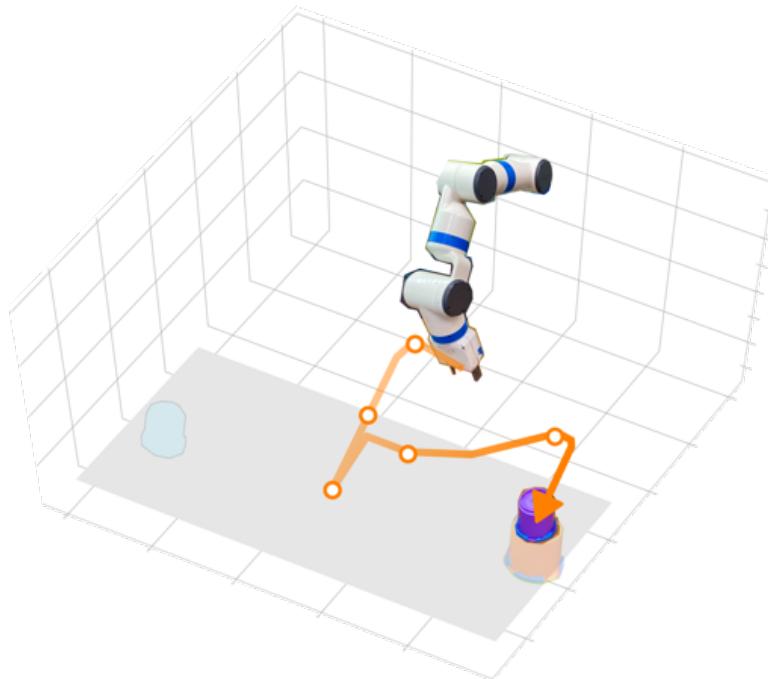
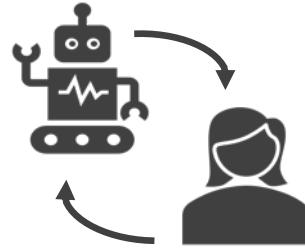
Risk-aware robot



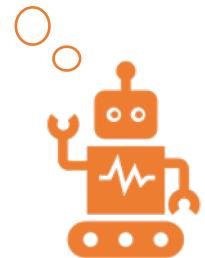
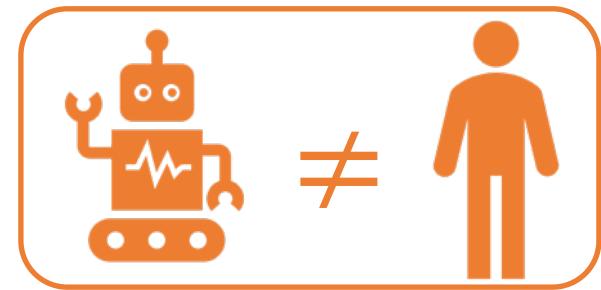
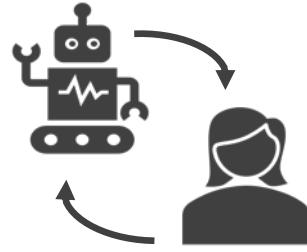
Risk-aware robot



Risk-aware robot



Risk-aware robot

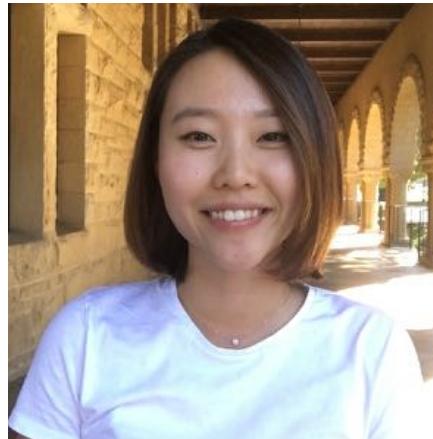


Key Idea:

We capture *suboptimal* human behavior using risk-aware human models from cumulative prospect theory.



Erdem Biyik



Minae Kwon

Human Models

- Data-efficient learning of reward functions with different sources of data
- What happens on the ends of the risk spectrum?



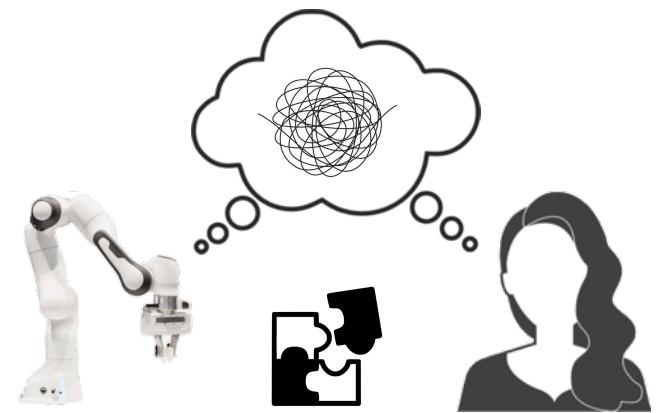
Human Models

- Data-efficient learning of reward functions with different sources of data
- What happens on the ends of the risk spectrum?



Conventions

- What low dimensional representations are necessary when collaborating with humans?



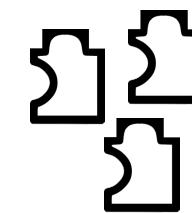
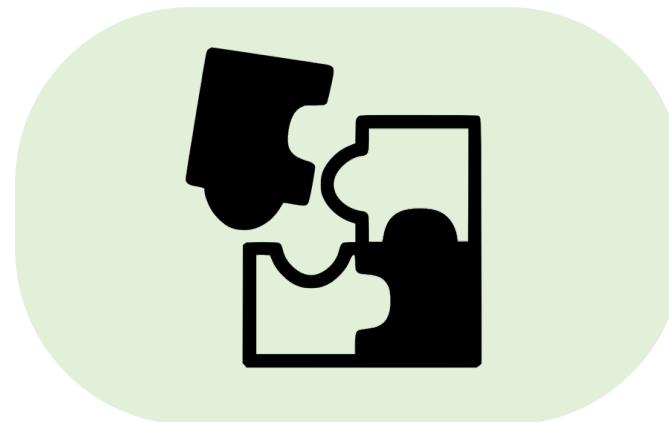




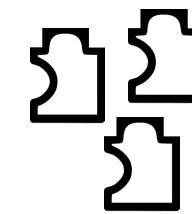
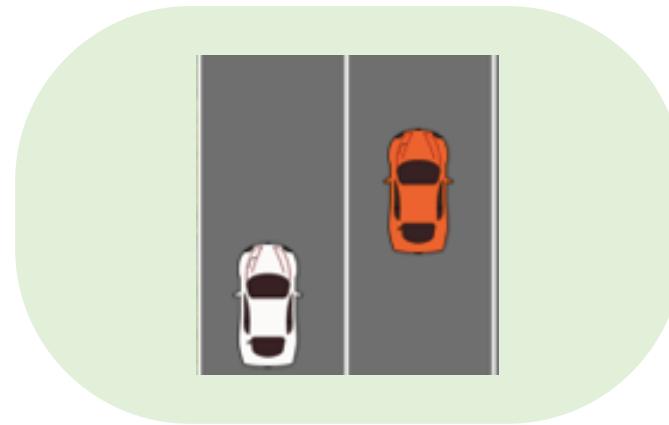
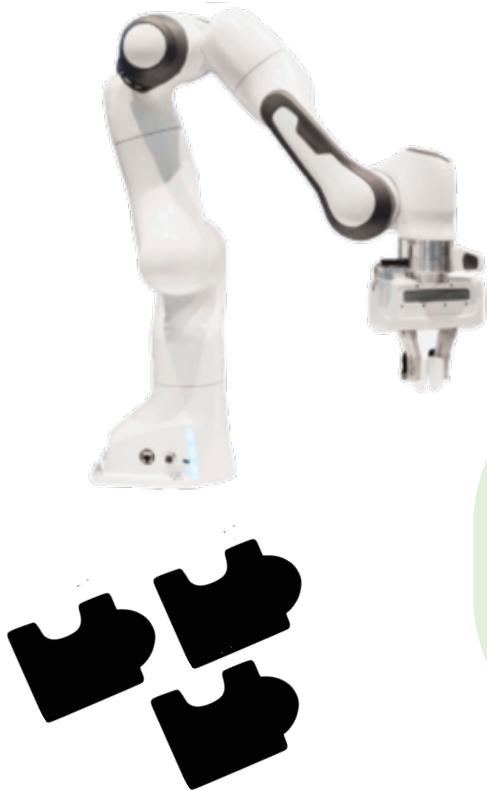
Nth order Theory of Mind



Nth order Theory of Mind



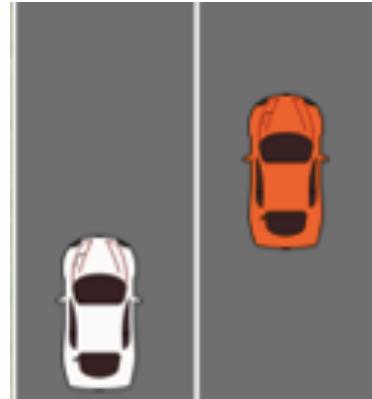
Nth order Theory of Mind



Interaction as a Dynamical System

$$a_{\mathcal{R}}^* = \operatorname{argmax}_{u_{\mathcal{R}}} R_{\mathcal{R}}(s, a_{\mathcal{R}}, a_{\mathcal{H}}^*(s, a_{\mathcal{R}}))$$

Model $a_{\mathcal{H}}^*$ as
optimizing the human
reward function $R_{\mathcal{H}}$.



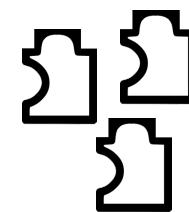
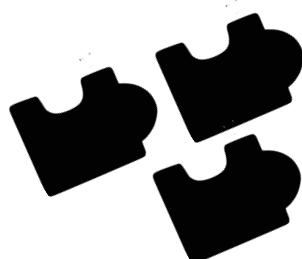
Find optimal actions for
the robot while
accounting for
the human response $a_{\mathcal{H}}^*$.

$$a_{\mathcal{H}}^*(s, a_{\mathcal{R}}) \approx \operatorname{argmax}_{u_{\mathcal{H}}} R_{\mathcal{H}}(s, a_{\mathcal{R}}, a_{\mathcal{H}})$$





Nth order Theory of Mind



Interactive tasks are usually not the same as playing chess!



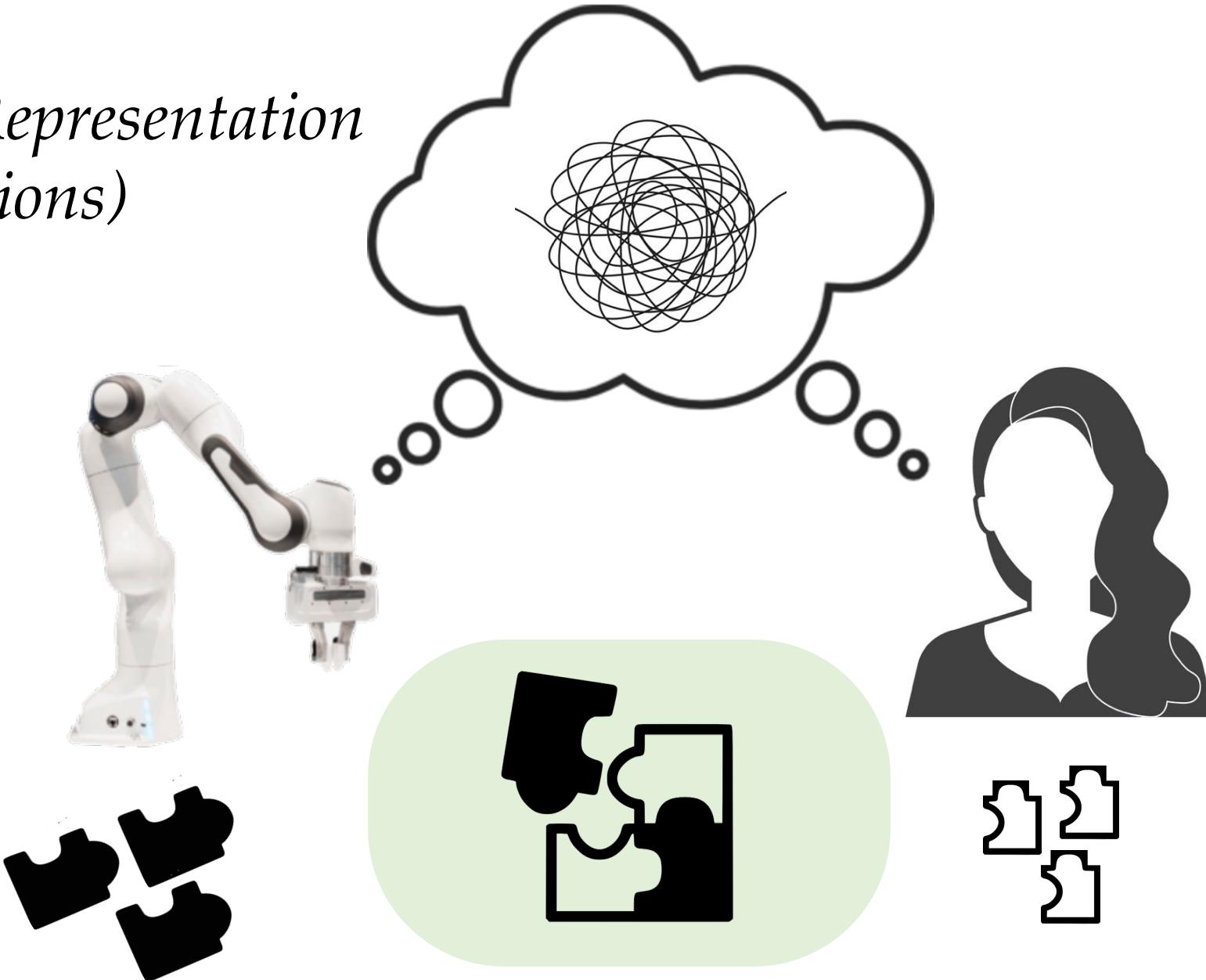


Shared Representation (conventions)

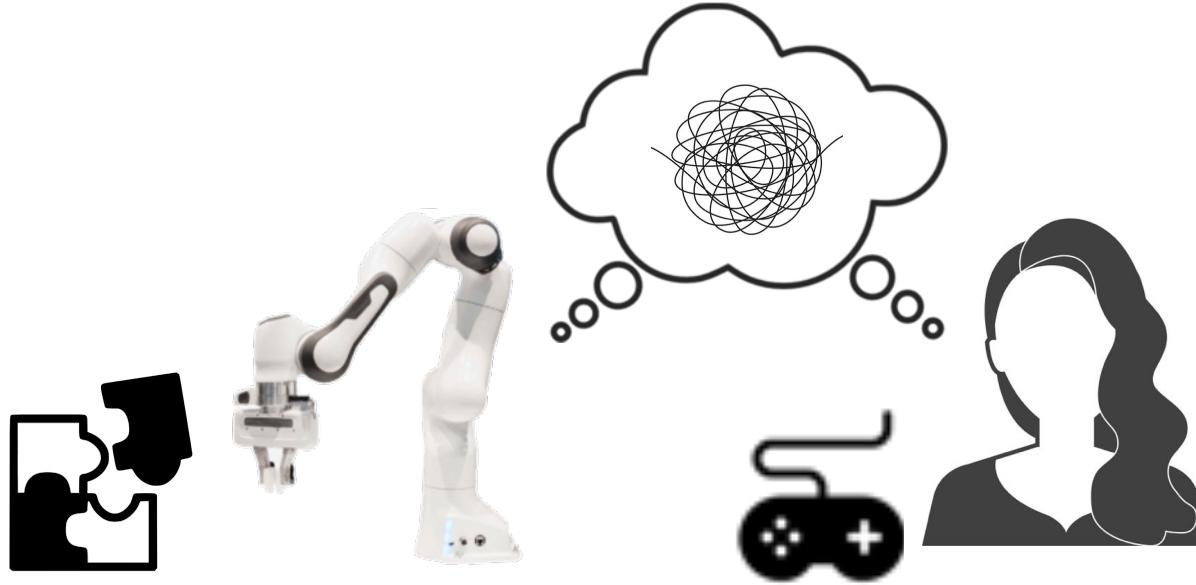




Shared Representation (conventions)



Conventions are low-dimensional shared representations that capture the interaction and can change over time.



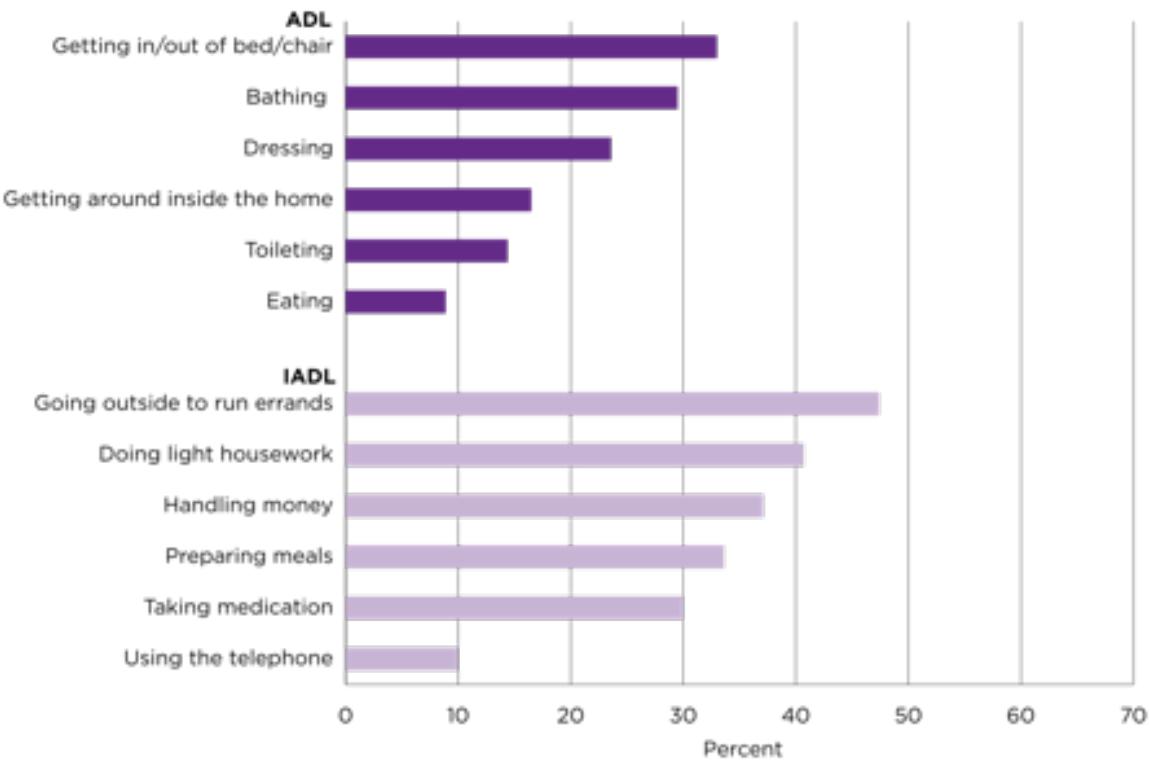
What are *conventions*?

Can robots directly *learn* conventions from interactions?

Can robots *influence* conventions?

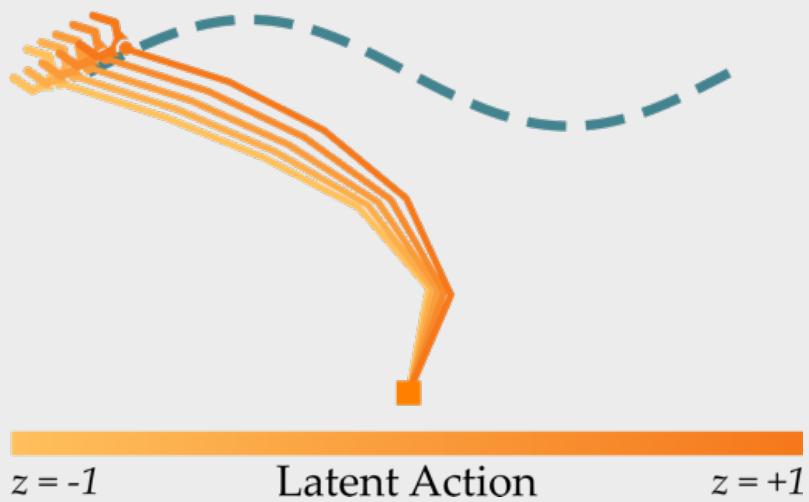


Prevalence of Difficulty Performing ADLs and IADLs in Adults 18 Years and Older With One or More Selected Symptoms That Interfere With Everyday Activities: 2014



Source: U.S. Census Bureau, Social Security Administration Supplement to the 2014 Panel of the Survey of Income and Program Participation, September-November 2014.





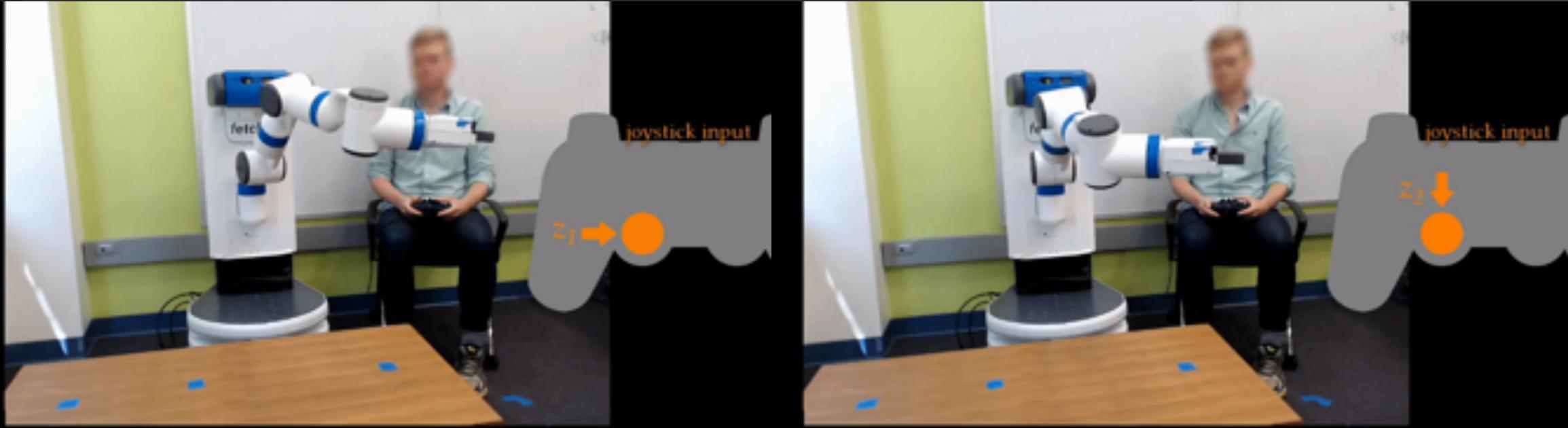
- Assistive robotic arms are *dexterous*
- This dexterity makes it hard for users to *control* the robot
- How can robots *learn* low-dimensional representations that make controlling the robot intuitive?

Our Vision



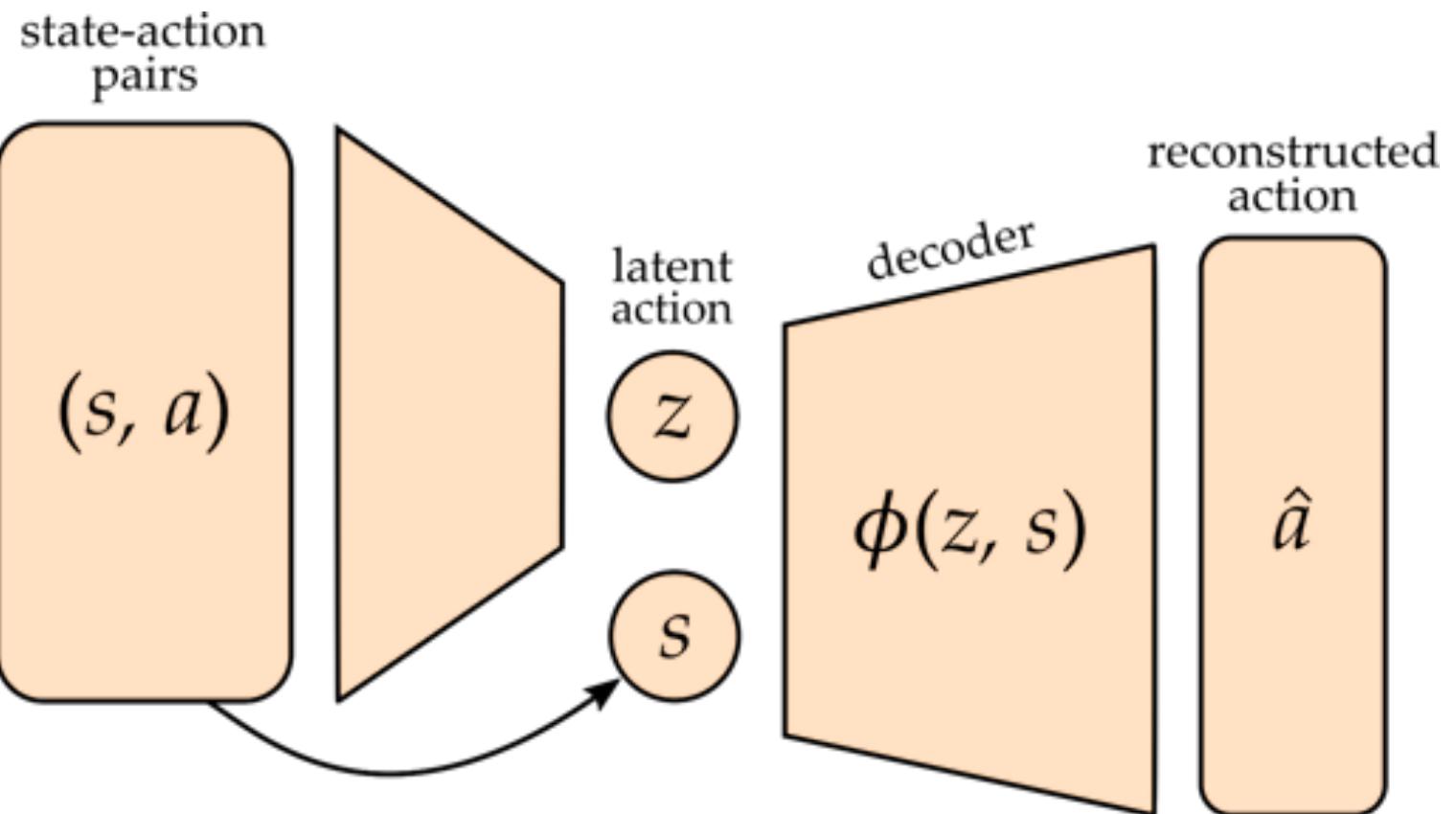
Offline, expert demonstrations of *high-dimensional* motions

Our Vision



Learn *low-dimensional* latent representations for online control

Model Structure (cVAE)



Learning Intuitive Latent Actions

Conditioned. The meaning of the latent action z depends on the current state s .
$$\hat{a} = \phi(z, s)$$

Controllable. The robot can move between states in the dataset.

Consistent. The same z causes the robot to behave similarly nearby.

Scalable. Larger latent actions cause larger changes in the state.

Learning Intuitive Latent Actions

Conditioned. The meaning of the latent action z depends on the current state s .
 $\hat{a} = \phi(z, s)$

Controllable. The robot can move between states in the dataset.
given (s, s') $\exists z \in \mathcal{Z}$ s.t. $s' = \mathcal{T}(s, \phi(z, s))$

Consistent. The same z causes the robot to behave similarly nearby.

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Consistent. The same z causes the robot to behave similarly nearby.
 $d_M(\mathcal{T}(s_1, \phi(z, s_1)), \mathcal{T}(s_2, \phi(z, s_2))) < \epsilon$ when $\|s_1 - s_2\| < \delta$

Scalable. Larger latent actions cause larger changes in the state.

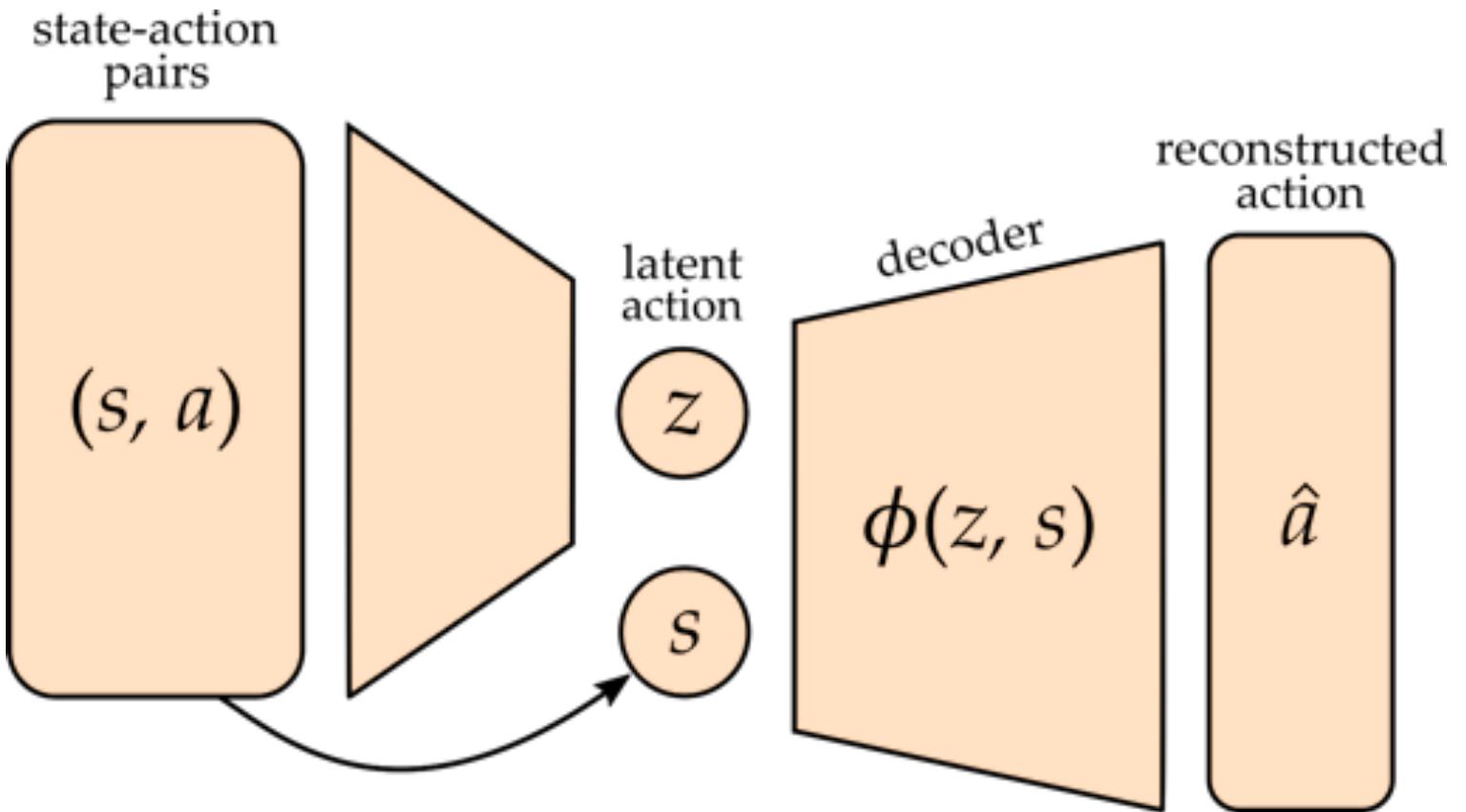
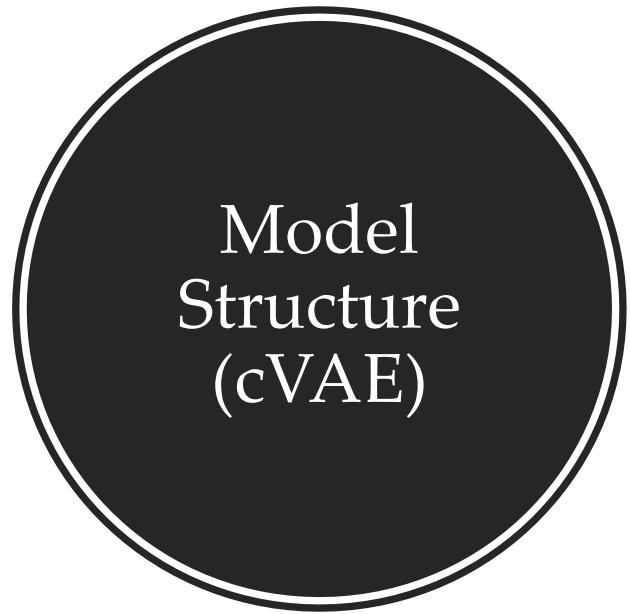
Learning Intuitive Latent Actions

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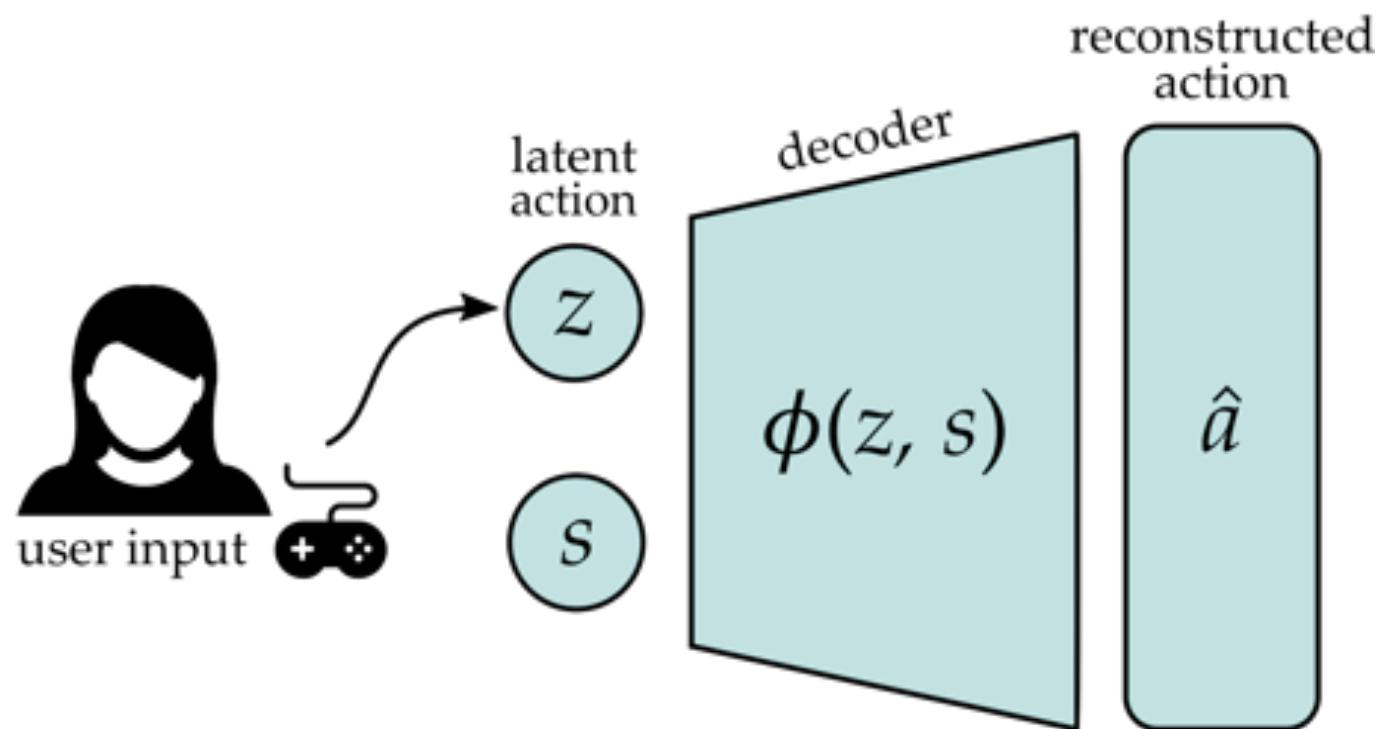
Controllable. The robot can move between states in the dataset.
$$\text{given } (s, s') \quad \exists z \in \mathcal{Z} \quad \text{s.t. } s' = \mathcal{T}(s, \phi(z, s))$$

Consistent. The same z causes the robot to behave similarly nearby.
$$d_M(\mathcal{T}(s_1, \phi(z, s_1)), \mathcal{T}(s_2, \phi(z, s_2))) < \epsilon \quad \text{when} \quad \|s_1 - s_2\| < \delta$$

Scalable. Larger latent actions cause larger changes in the state.
$$\|s - \mathcal{T}(s, \phi(z, s))\| \rightarrow \infty \quad \text{as} \quad \|z\| \rightarrow \infty$$

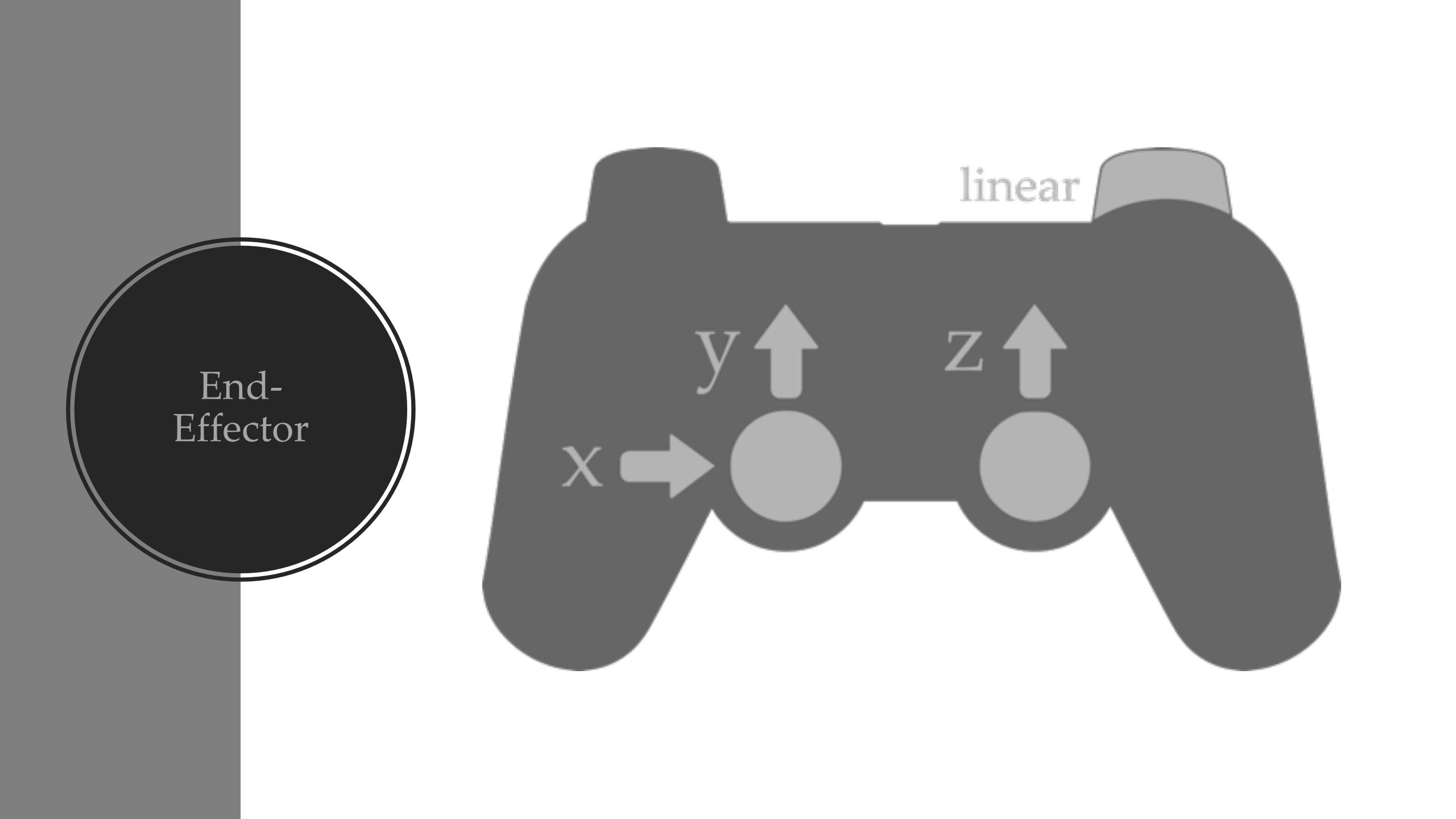


Model Structure (cVAE)



User Study

- We trained on less than *7 minutes* of kinesthetic demonstrations
- Demonstrations consisted of moving between shelves, pouring, stirring, and reaching motions
- We compared our *Latent Action* to the current method for assistive robotic arms (*End-Effector*)

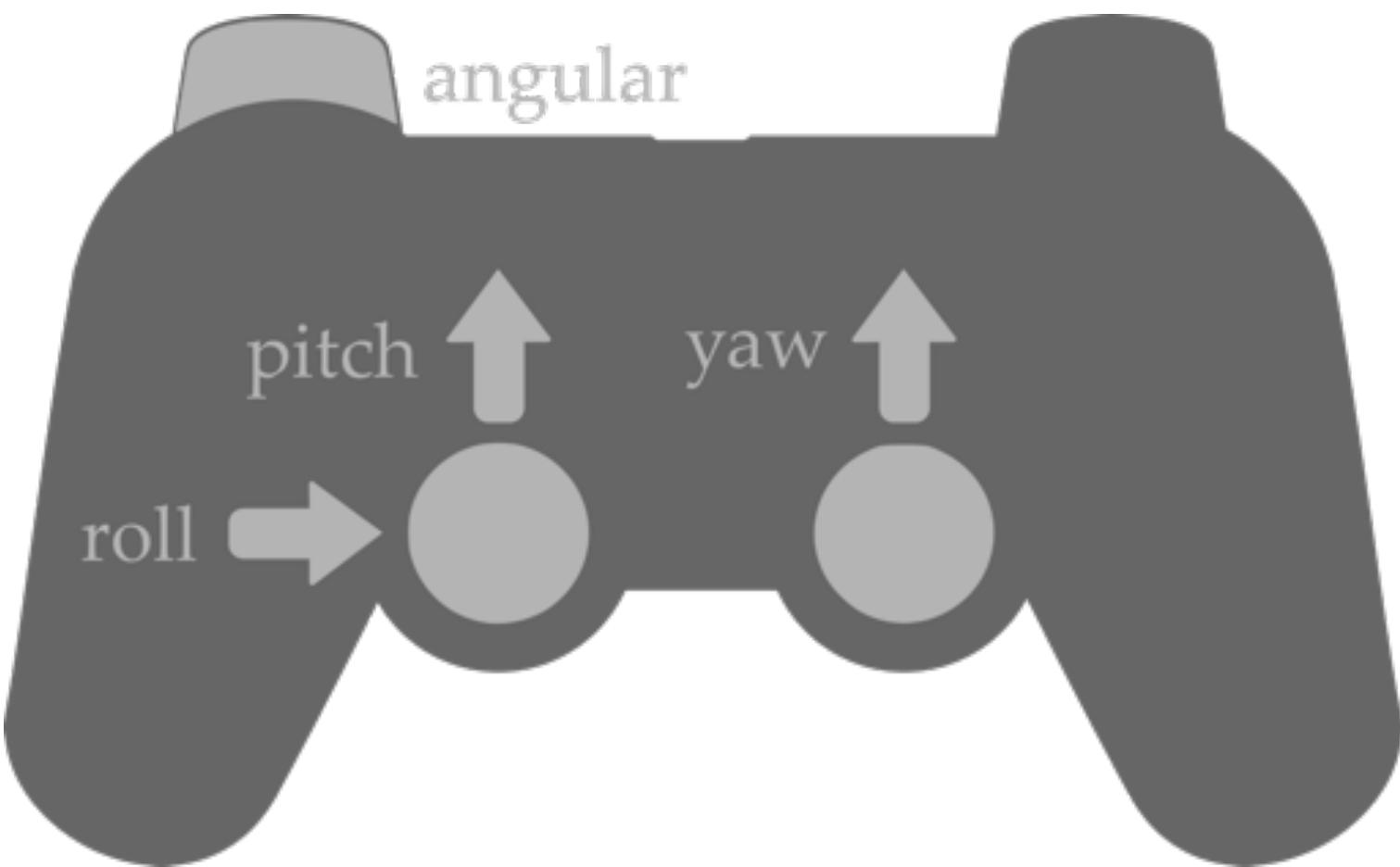


A diagram illustrating a robotic arm system. On the left, a large black circle with a white border represents the "End-Effector". A vertical white line extends from its center to the right, representing the central axis of the arm. To the right of this axis, a dark gray, articulated arm structure extends towards the right. At the end of the arm is a small, light gray cylindrical component labeled "linear". A coordinate system is centered at the base of the arm, with three arrows indicating degrees of freedom: a horizontal arrow pointing right labeled "x", a vertical arrow pointing up labeled "y", and another vertical arrow pointing up labeled "z".

End-
Effector

linear





End-
Effector

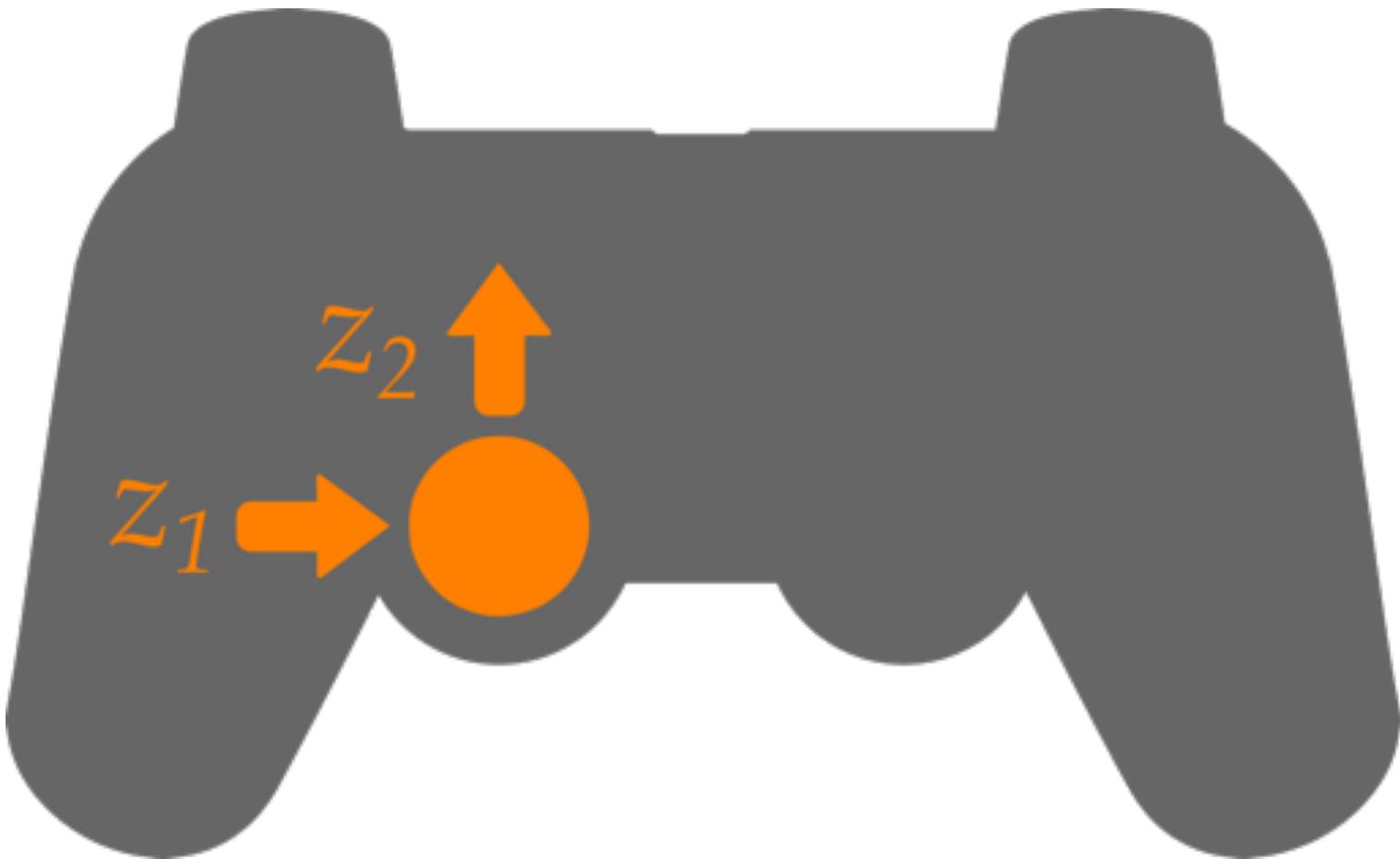
angular

pitch

yaw

roll

Latent
Actions



4x Speed

(1) add eggs

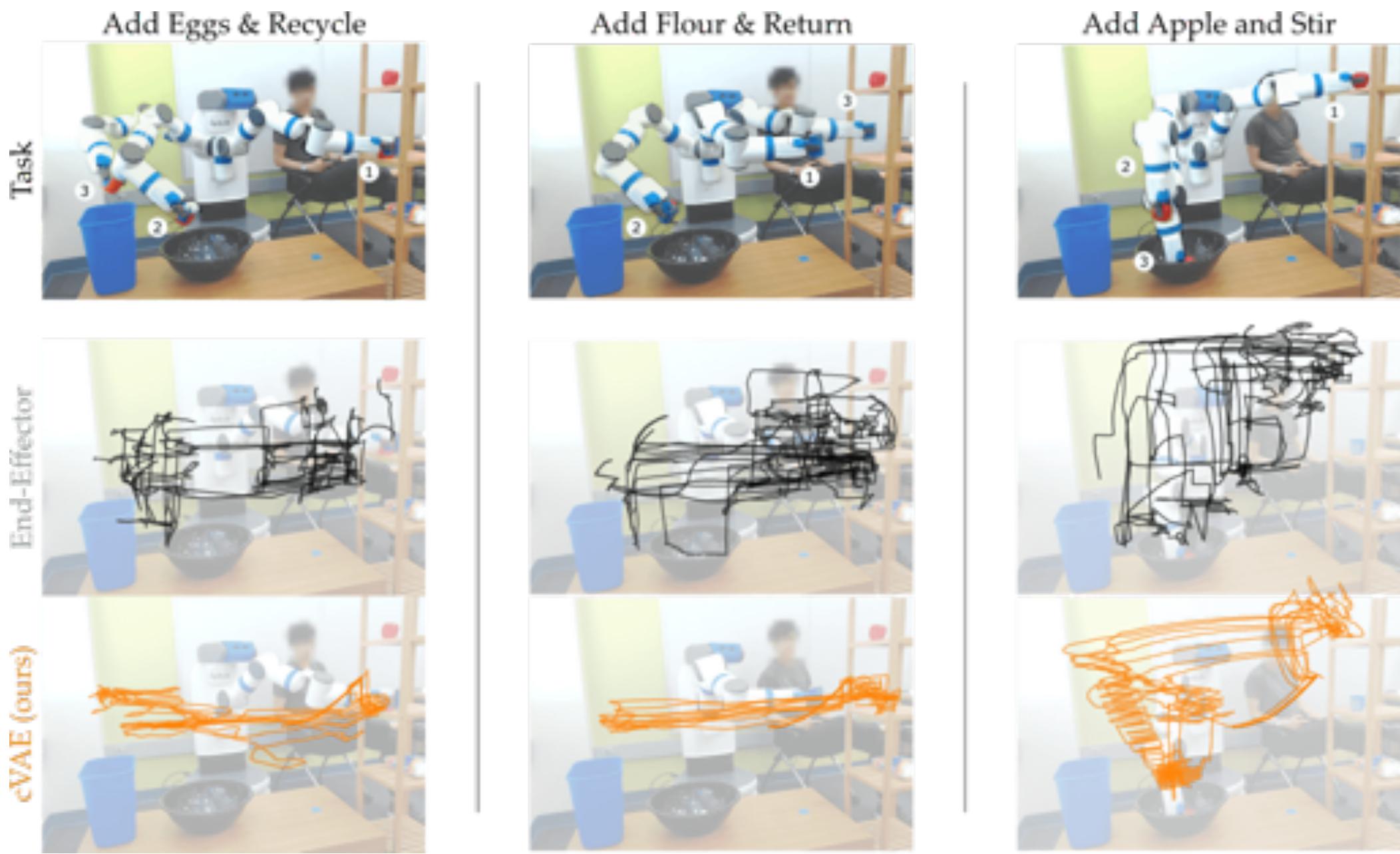


End-Effector

(1) add eggs



Latent Action



Add Eggs & Recycle

Add Flour & Return

Add Apple and Stir

Summary so far...

- We *embedded* personalized behaviors to latent spaces
- *Formalized* the properties these latent spaces should satisfy
- Learned from *efficient* amounts of data

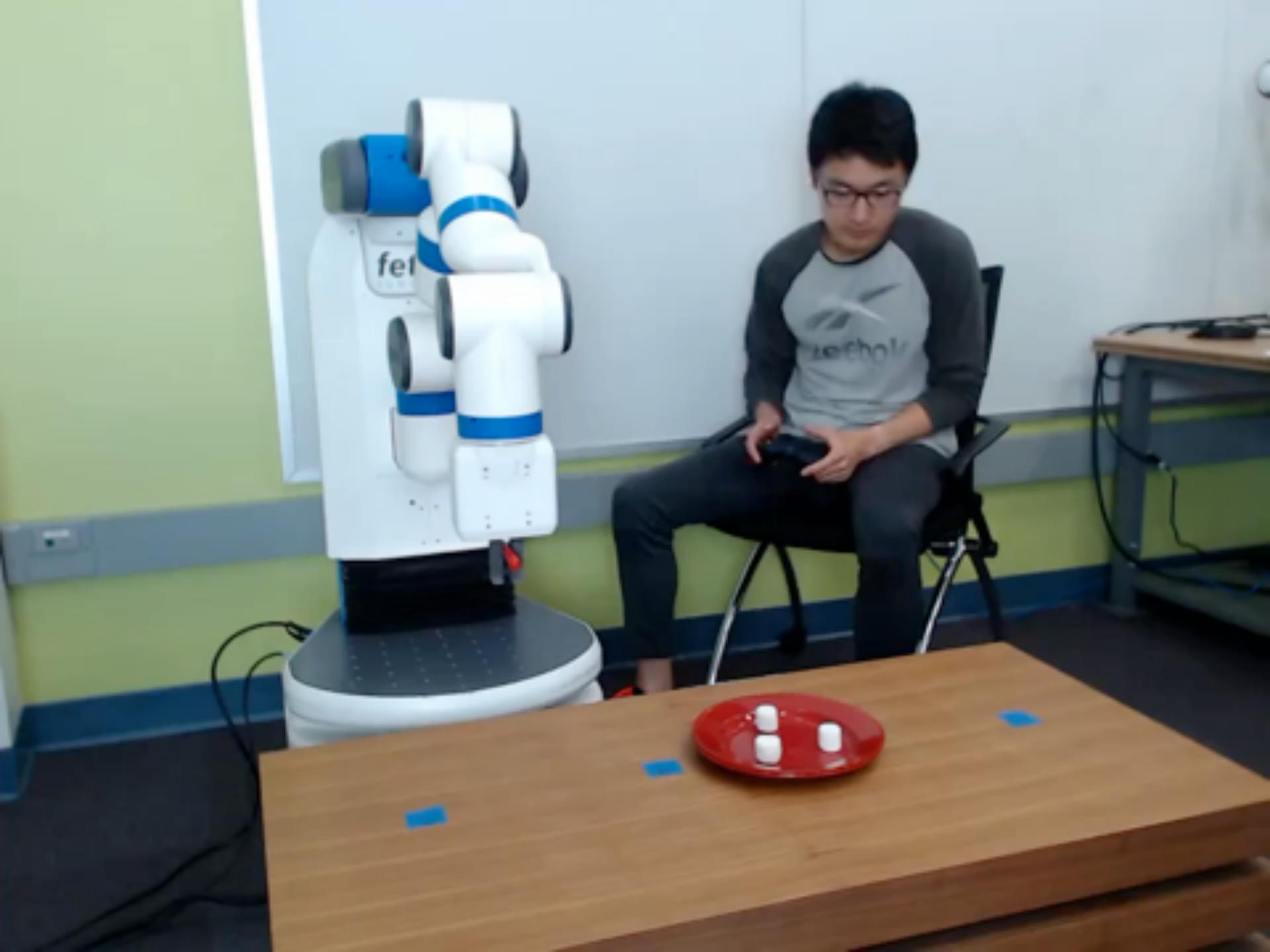


Dylan Losey

[Losey, et al., ICRA 2020]

Latent actions enable intuitive
low-dimensional control...

...but is this enough for
precise manipulation tasks?



Precise Manipulation

Cutting



Scooping



Yes



Latent Actions + Shared Autonomy

Start



No Assistance

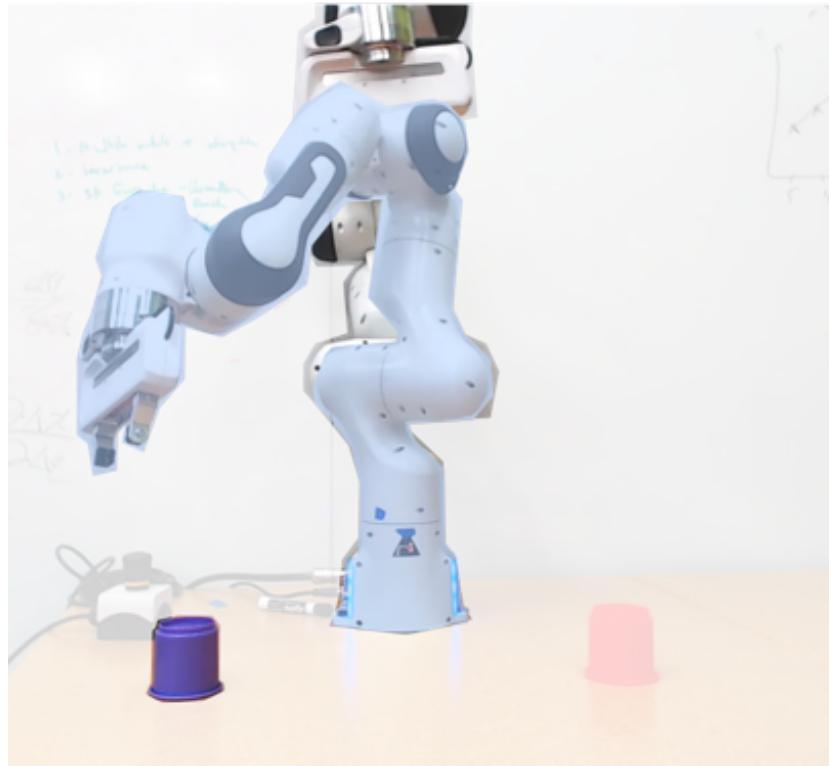
Start



Shared Autonomy

Latent Actions + Shared Autonomy

Control Goal



No Assistance

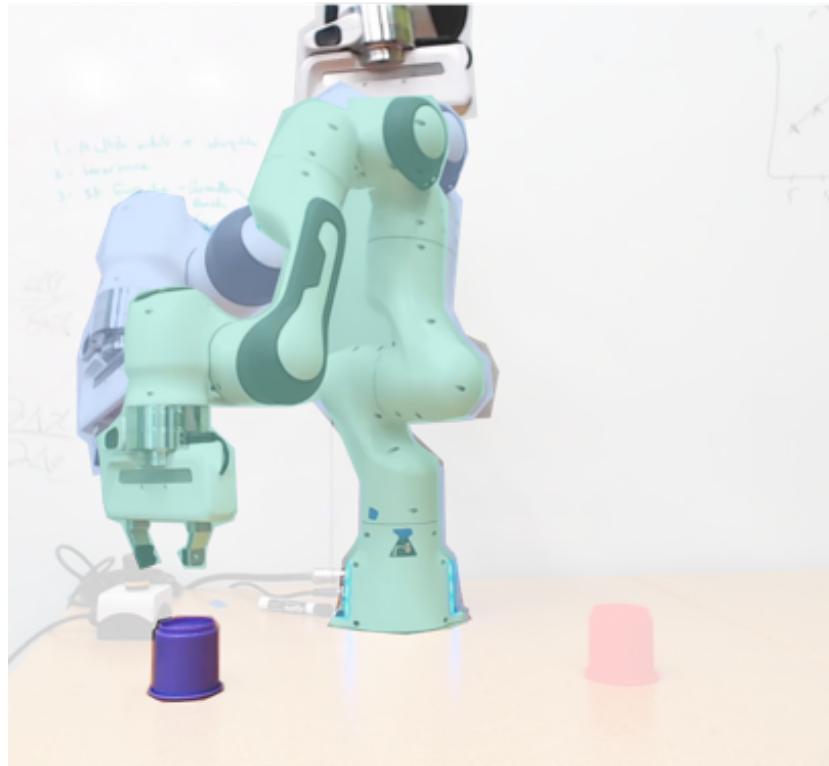
Control Goal



Shared Autonomy

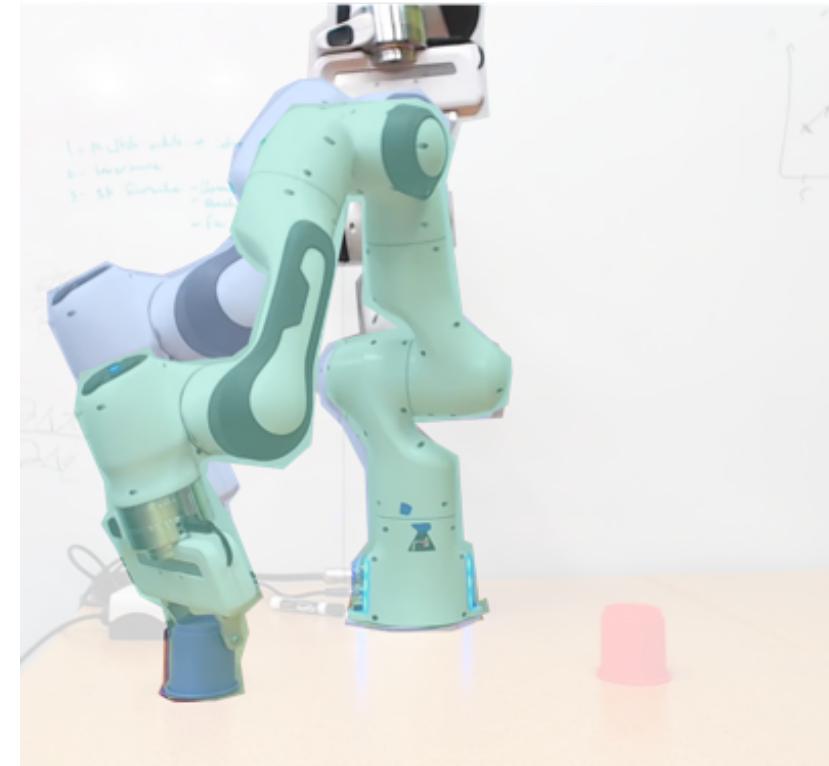
Latent Actions + Shared Autonomy

Control Preference



No Assistance

Control Preference



Shared Autonomy

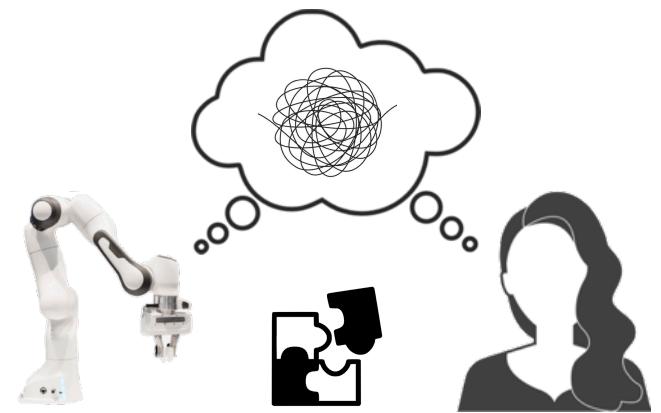
Human Models

- Data-efficient learning of reward functions with different sources of data
- What happens on the ends of the risk spectrum?



Conventions

- What low dimensional representations are necessary when collaborating with humans?



1) There is an *opportunity* for learning and control

... to formalize and solve challenging problems of interaction with humans.

2) We need to design *computational models of human* behavior

Can we rely on low-dimensional statistics that capture high-dimensional interactions?

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Can we rely on low-dimensional statistics that capture high-dimensional interactions?

3) We spend a lot of effort learning what humans want or do...

... but humans constantly *change*

What can learning and control do?





Two different driving equilibria from years of repeated interactions





intelligent and interactive autonomous systems



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... to formalize and solve challenging problems of interaction with humans.

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