

# 3.2

## The Derivative as a Function

**DEFINITION** The **derivative** of the function  $f(x)$  with respect to the variable  $x$  is the function  $f'$  whose value at  $x$  is

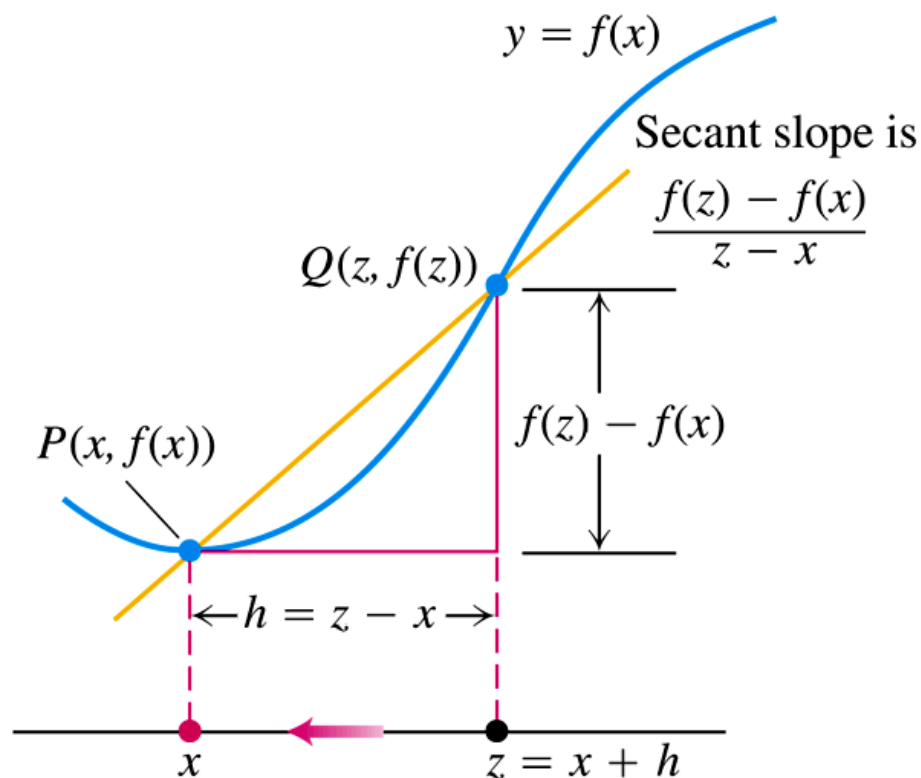
$$f'(x) = \lim_{h \rightarrow 0} \frac{f(x + h) - f(x)}{h},$$

provided the limit exists.

We use the notation  $f(x)$  in the definition to emphasize the independent variable  $x$  with respect to which the derivative function  $f'(x)$  is being defined. The domain of  $f'$  is the set of points in the domain of  $f$  for which the limit exists, which means that the domain may be the same as or smaller than the domain of  $f$ . If  $f'$  exists at a particular  $x$ , we say that  $f$  is **differentiable** (has a derivative) at  $x$ . If  $f'$  exists at every point in the domain of  $f$ , we call  $f$  **differentiable**.

## Alternative Formula for the Derivative

$$f'(x) = \lim_{z \rightarrow x} \frac{f(z) - f(x)}{z - x}.$$



Derivative of  $f$  at  $x$  is

$$f'(x) = \lim_{h \rightarrow 0} \frac{f(x + h) - f(x)}{h}$$

$$= \lim_{z \rightarrow x} \frac{f(z) - f(x)}{z - x}$$

**FIGURE 3.4** Two forms for the difference quotient.

# Rates of Change

Suppose  $y$  is a quantity that depends on another quantity  $x$ . Thus  $y$  is a function of  $x$  and we write  $y = f(x)$ . If  $x$  changes from  $x_1$  to  $x_2$ , then the change in  $x$  (also called the **increment** of  $x$ ) is

$$\Delta x = x_2 - x_1$$

and the corresponding change in  $y$  is

$$\Delta y = f(x_2) - f(x_1)$$

The difference quotient

$$\frac{\Delta y}{\Delta x} = \frac{f(x_2) - f(x_1)}{x_2 - x_1}$$

is called the **average rate of change of  $y$  with respect to  $x$**  over the interval  $[x_1, x_2]$

Instantaneous rate of change	$\frac{dy}{dx} = \lim_{\Delta x \rightarrow 0} \frac{\Delta y}{\Delta x} = \lim_{x_2 \rightarrow x_1} \frac{f(x_2) - f(x_1)}{x_2 - x_1}$
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We recognize this limit as being the derivative  $f'(x_1)$ .

# Notations

If we use the traditional notation  $y = f(x)$  to indicate that the independent variable is  $x$  and the dependent variable is  $y$ , then some common alternative notations for the derivative are as follows:

$$f'(x) = y' = \frac{dy}{dx} = \frac{df}{dx} = \frac{d}{dx}f(x) = Df(x) = D_x f(x)$$

The symbols  $D$  and  $d/dx$  are called **differentiation operators** because they indicate the operation of **differentiation**, which is the process of calculating a derivative.

If we want to indicate the value of a derivative  $dy/dx$  in Leibniz notation at a specific number  $a$ , we use the notation

$$\left. \frac{dy}{dx} \right|_{x=a} \quad \text{or} \quad \left. \frac{dy}{dx} \right]_{x=a}$$

which is a synonym for  $f'(a)$ . The vertical bar means “evaluate at.”

# Notations

## Second- and Higher-Order Derivatives

If  $y = f(x)$  is a differentiable function, then its derivative  $f'(x)$  is also a function. If  $f'$  is also differentiable, then we can differentiate  $f'$  to get a new function of  $x$  denoted by  $f''$ . So  $f'' = (f')'$ . The function  $f''$  is called the **second derivative** of  $f$  because it is the derivative of the first derivative. It is written in several ways:

$$f''(x) = \frac{d^2y}{dx^2} = \frac{d}{dx}\left(\frac{dy}{dx}\right) = \frac{dy'}{dx} = y'' = D^2(f)(x) = D_x^2 f(x).$$

The symbol  $D^2$  means the operation of differentiation is performed twice.

If  $y''$  is differentiable, its derivative,  $y''' = dy''/dx = d^3y/dx^3$ , is the **third derivative** of  $y$  with respect to  $x$ . The names continue as you imagine, with

$$y^{(n)} = \frac{d}{dx}y^{(n-1)} = \frac{d^n y}{dx^n} = D^n y$$

denoting the  **$n$ th derivative** of  $y$  with respect to  $x$  for any positive integer  $n$ .

## EXAMPLE 2

- (a) Find the derivative of  $f(x) = \sqrt{x}$  for  $x > 0$ .  
(b) Find the tangent line to the curve  $y = \sqrt{x}$  at  $x = 4$ .

### Solution

- (a) We use the alternative formula to calculate  $f'$ :

$$\begin{aligned} f'(x) &= \lim_{z \rightarrow x} \frac{f(z) - f(x)}{z - x} \\ &= \lim_{z \rightarrow x} \frac{\sqrt{z} - \sqrt{x}}{z - x} \\ &= \lim_{z \rightarrow x} \frac{\sqrt{z} - \sqrt{x}}{(\sqrt{z} - \sqrt{x})(\sqrt{z} + \sqrt{x})} \\ &= \lim_{z \rightarrow x} \frac{1}{\sqrt{z} + \sqrt{x}} = \frac{1}{2\sqrt{x}}. \end{aligned}$$

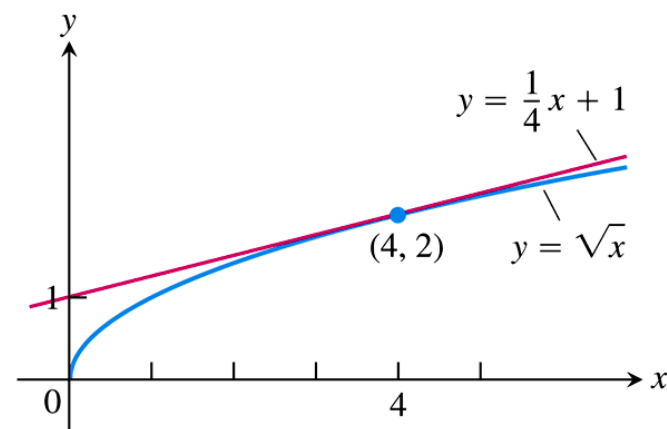
- (b) The slope of the curve at  $x = 4$  is

$$f'(4) = \frac{1}{2\sqrt{4}} = \frac{1}{4}.$$

The tangent is the line through the point  $(4, 2)$  with slope  $1/4$  (Figure 3.5):

$$y = 2 + \frac{1}{4}(x - 4)$$

$$y = \frac{1}{4}x + 1.$$

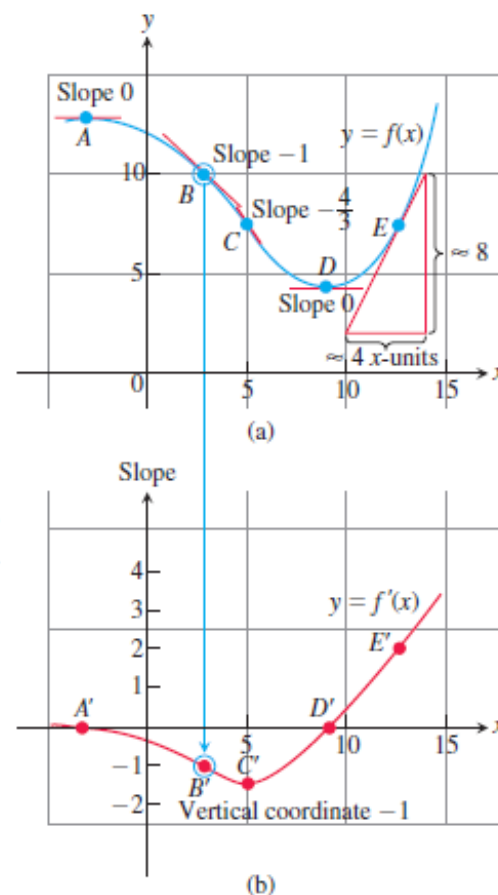


**FIGURE 3.5** The curve  $y = \sqrt{x}$  and its tangent at  $(4, 2)$ . The tangent's slope is found by evaluating the derivative at  $x = 4$  (Example 2).



## Graphing the Derivative

We can often make a reasonable plot of the derivative of  $y = f(x)$  by estimating the slopes on the graph of  $f$ . That is, we plot the points  $(x, f'(x))$  in the  $xy$ -plane and connect them with a smooth curve, which represents  $y = f'(x)$ .



**FIGURE 3.6** We made the graph of  $y = f'(x)$  in (b) by plotting slopes from the graph of  $y = f(x)$  in (a). The vertical coordinate of  $B'$  is the slope at  $B$  and so on. The slope at  $E$  is approximately  $8/4 = 2$ . In (b) we see that the rate of change of  $f$  is negative for  $x$  between  $A'$  and  $D'$ ; the rate of change is positive for  $x$  to the right of  $D'$ .

## Differentiable on an Interval; One-Sided Derivatives

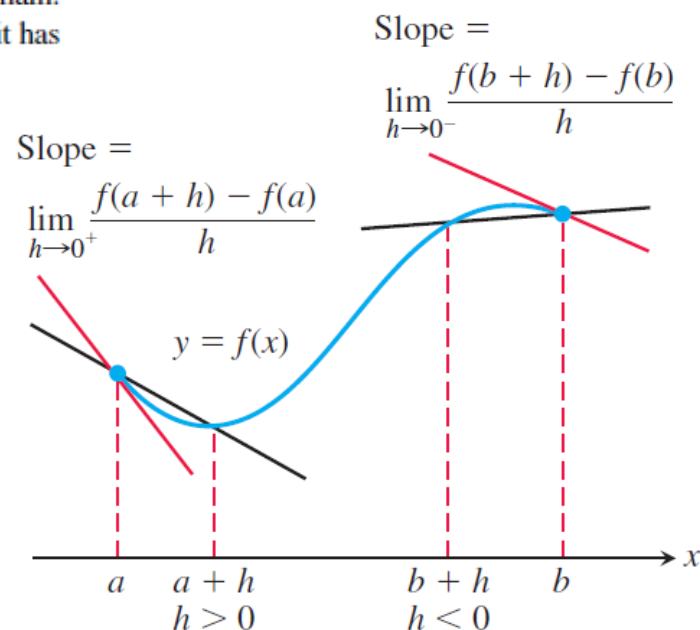
A function  $y = f(x)$  is **differentiable on an open interval** (finite or infinite) if it has a derivative at each point of the interval. It is **differentiable on a closed interval**  $[a, b]$  if it is differentiable on the interior  $(a, b)$  and if the limits

$$\lim_{h \rightarrow 0^+} \frac{f(a+h) - f(a)}{h} \quad \text{Right-hand derivative at } a$$

$$\lim_{h \rightarrow 0^-} \frac{f(b+h) - f(b)}{h} \quad \text{Left-hand derivative at } b$$

exist at the endpoints (Figure 3.7).

Right-hand and left-hand derivatives may be defined at any point of a function's domain. Because of Theorem 6, Section 2.4, a function has a derivative at a point if and only if it has left-hand and right-hand derivatives there, and these one-sided derivatives are equal.



**FIGURE 3.7** Derivatives at endpoints of a closed interval are one-sided limits.

**EXAMPLE 4** Show that the function  $y = |x|$  is differentiable on  $(-\infty, 0)$  and  $(0, \infty)$  but has no derivative at  $x = 0$ .

**Solution** From Section 3.1, the derivative of  $y = mx + b$  is the slope  $m$ . Thus, to the right of the origin,

$$\frac{d}{dx}(|x|) = \frac{d}{dx}(x) = \frac{d}{dx}(1 \cdot x) = 1. \quad \frac{d}{dx}(mx + b) = m, |x| = x$$

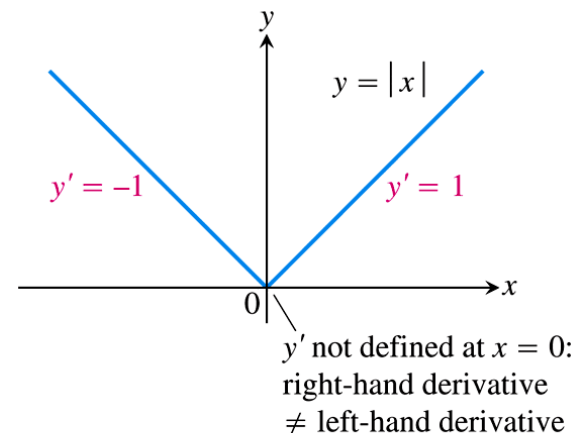
To the left,

$$\frac{d}{dx}(|x|) = \frac{d}{dx}(-x) = \frac{d}{dx}(-1 \cdot x) = -1 \quad |x| = -x$$

(Figure 3.8). There is no derivative at the origin because the one-sided derivatives differ there:

$$\begin{aligned} \text{Right-hand derivative of } |x| \text{ at zero} &= \lim_{h \rightarrow 0^+} \frac{|0 + h| - |0|}{h} = \lim_{h \rightarrow 0^+} \frac{|h|}{h} \\ &= \lim_{h \rightarrow 0^+} \frac{h}{h} \quad |h| = h \text{ when } h > 0 \\ &= \lim_{h \rightarrow 0^+} 1 = 1 \end{aligned}$$

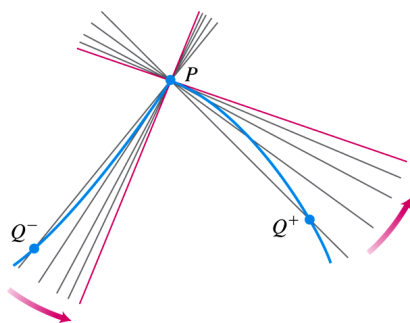
$$\begin{aligned} \text{Left-hand derivative of } |x| \text{ at zero} &= \lim_{h \rightarrow 0^-} \frac{|0 + h| - |0|}{h} = \lim_{h \rightarrow 0^-} \frac{|h|}{h} \\ &= \lim_{h \rightarrow 0^-} \frac{-h}{h} \quad |h| = -h \text{ when } h < 0 \\ &= \lim_{h \rightarrow 0^-} -1 = -1. \end{aligned}$$



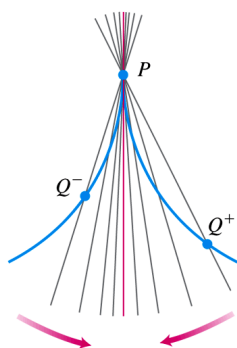
**FIGURE 3.8** The function  $y = |x|$  is not differentiable at the origin where the graph has a “corner” (Example 4).

## When Does a Function *Not* Have a Derivative at a Point?

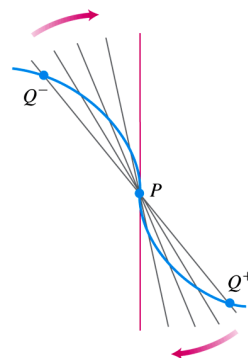
A function has a derivative at a point  $x_0$  if the slopes of the secant lines through  $P(x_0, f(x_0))$  and a nearby point  $Q$  on the graph approach a finite limit as  $Q$  approaches  $P$ . Whenever the secants fail to take up a limiting position or become vertical as  $Q$  approaches  $P$ , the derivative does not exist. Thus differentiability is a “smoothness” condition on the graph of  $f$ . A function can fail to have a derivative at a point for many reasons, including the existence of points where the graph has



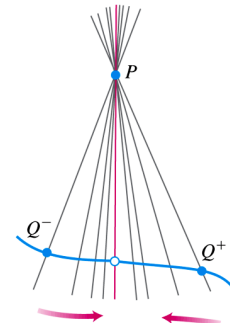
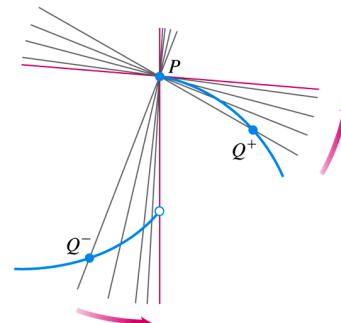
1. a *corner*, where the one-sided derivatives differ.



2. a *cusp*, where the slope of  $PQ$  approaches  $\infty$  from one side and  $-\infty$  from the other.



3. a *vertical tangent*, where the slope of  $PQ$  approaches  $\infty$  from both sides or approaches  $-\infty$  from both sides (here,  $-\infty$ ).



4. a *discontinuity* (two examples shown).

**THEOREM 1—Differentiability Implies Continuity**If  $f$  has a derivative at $x = c$ , then  $f$  is continuous at  $x = c$ .

**Proof** Given that  $f'(c)$  exists, we must show that  $\lim_{x \rightarrow c} f(x) = f(c)$ , or equivalently, that  $\lim_{h \rightarrow 0} f(c + h) = f(c)$ . If  $h \neq 0$ , then

$$\begin{aligned} f(c + h) &= f(c) + (f(c + h) - f(c)) \\ &= f(c) + \frac{f(c + h) - f(c)}{h} \cdot h. \end{aligned}$$

Now take limits as  $h \rightarrow 0$ . By Theorem 1 of Section 2.2,

$$\begin{aligned} \lim_{h \rightarrow 0} f(c + h) &= \lim_{h \rightarrow 0} f(c) + \lim_{h \rightarrow 0} \frac{f(c + h) - f(c)}{h} \cdot \lim_{h \rightarrow 0} h \\ &= f(c) + f'(c) \cdot 0 \\ &= f(c) + 0 \\ &= f(c). \end{aligned}$$



Similar arguments with one-sided limits show that if  $f$  has a derivative from one side (right or left) at  $x = c$ , then  $f$  is continuous from that side at  $x = c$ .

# 3.3

## Differentiation Rules

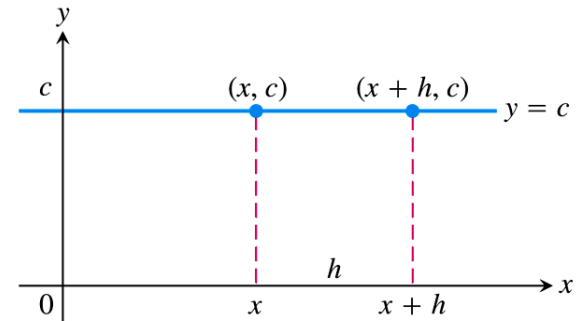
## Derivative of a Constant Function

If  $f$  has the constant value  $f(x) = c$ , then

$$\frac{df}{dx} = \frac{d}{dx}(c) = 0.$$

**Proof** We apply the definition of the derivative to  $f(x) = c$ , the function whose outputs have the constant value  $c$  (Figure 3.9). At every value of  $x$ , we find that

$$f'(x) = \lim_{h \rightarrow 0} \frac{f(x+h) - f(x)}{h} = \lim_{h \rightarrow 0} \frac{c - c}{h} = \lim_{h \rightarrow 0} 0 = 0.$$



**FIGURE 3.9** The rule  $(d/dx)(c) = 0$  is another way to say that the values of constant functions never change and that the slope of a horizontal line is zero at every point.

## Derivative of a Positive Integer Power

If  $n$  is a positive integer, then

$$\frac{d}{dx}x^n = nx^{n-1}.$$

## Power Rule (General Version)

If  $n$  is any real number, then

$$\frac{d}{dx}x^n = nx^{n-1},$$

for all  $x$  where the powers  $x^n$  and  $x^{n-1}$  are defined.

**Proof of the Positive Integer Power Rule**      The formula

$$z^n - x^n = (z - x)(z^{n-1} + z^{n-2}x + \cdots + zx^{n-2} + x^{n-1})$$

can be verified by multiplying out the right-hand side. Then from the alternative formula for the definition of the derivative,

$$\begin{aligned} f'(x) &= \lim_{z \rightarrow x} \frac{f(z) - f(x)}{z - x} = \lim_{z \rightarrow x} \frac{z^n - x^n}{z - x} \\ &= \lim_{z \rightarrow x} (z^{n-1} + z^{n-2}x + \cdots + zx^{n-2} + x^{n-1}) \quad n \text{ terms} \\ &= nx^{n-1}. \end{aligned}$$





## Derivative Constant Multiple Rule

If  $u$  is a differentiable function of  $x$ , and  $c$  is a constant, then

$$\frac{d}{dx}(cu) = c \frac{du}{dx}.$$

## Derivative Sum Rule

If  $u$  and  $v$  are differentiable functions of  $x$ , then their sum  $u + v$  is differentiable at every point where  $u$  and  $v$  are both differentiable. At such points,

$$\frac{d}{dx}(u + v) = \frac{du}{dx} + \frac{dv}{dx}.$$

**EXAMPLE 3** Find the derivative of the polynomial  $y = x^3 + \frac{4}{3}x^2 - 5x + 1$ .

**Solution**  $\frac{dy}{dx} = \frac{d}{dx}x^3 + \frac{d}{dx}\left(\frac{4}{3}x^2\right) - \frac{d}{dx}(5x) + \frac{d}{dx}(1)$  Sum and Difference Rules

$$= 3x^2 + \frac{4}{3} \cdot 2x - 5 + 0 = 3x^2 + \frac{8}{3}x - 5$$

We can differentiate any polynomial term by term, the way we differentiated the polynomial in Example 3. All polynomials are differentiable at all values of  $x$ .

**EXAMPLE 4** Does the curve  $y = x^4 - 2x^2 + 2$  have any horizontal tangents? If so, where?

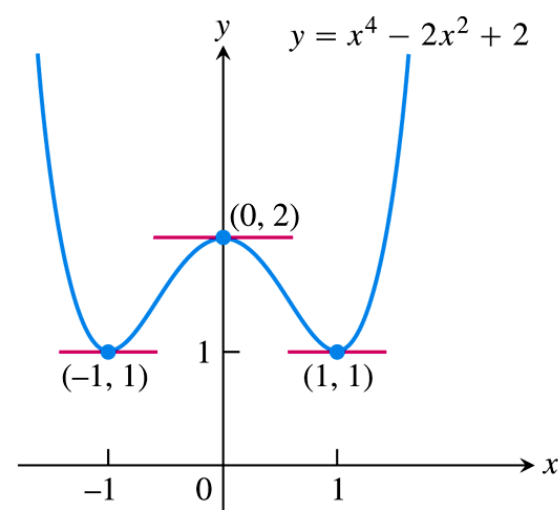
**Solution** The horizontal tangents, if any, occur where the slope  $dy/dx$  is zero. We have

$$\frac{dy}{dx} = \frac{d}{dx}(x^4 - 2x^2 + 2) = 4x^3 - 4x.$$

Now solve the equation  $\frac{dy}{dx} = 0$  for  $x$ :

$$\begin{aligned}4x^3 - 4x &= 0 \\4x(x^2 - 1) &= 0 \\x &= 0, 1, -1.\end{aligned}$$

The curve  $y = x^4 - 2x^2 + 2$  has horizontal tangents at  $x = 0, 1$ , and  $-1$ . The corresponding points on the curve are  $(0, 2)$ ,  $(1, 1)$ , and  $(-1, 1)$ . See Figure 3.11. We will see in Chapter 4 that finding the values of  $x$  where the derivative of a function is equal to zero is an important and useful procedure.



**FIGURE 3.11** The curve in Example 4 and its horizontal tangents.

### Derivative Product Rule

If  $u$  and  $v$  are differentiable at  $x$ , then so is their product  $uv$ , and

$$\frac{d}{dx}(uv) = u \frac{dv}{dx} + v \frac{du}{dx}.$$

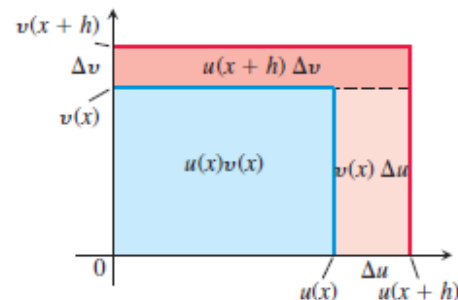
### Derivative Quotient Rule

If  $u$  and  $v$  are differentiable at  $x$  and if  $v(x) \neq 0$ , then the quotient  $u/v$  is differentiable at  $x$ , and

$$\frac{d}{dx} \left( \frac{u}{v} \right) = \frac{v \frac{du}{dx} - u \frac{dv}{dx}}{v^2}.$$

#### Picturing the Product Rule

Suppose  $u(x)$  and  $v(x)$  are positive and increase when  $x$  increases, and  $h > 0$ .



Then the change in the product  $uv$  is the difference in areas of the larger and smaller “squares,” which is the sum of the upper and right-hand reddish-shaded rectangles. That is,

$$\begin{aligned}\Delta(uv) &= u(x+h)v(x+h) - u(x)v(x) \\ &= u(x+h)\Delta v + v(x)\Delta u.\end{aligned}$$

Division by  $h$  gives

$$\frac{\Delta(uv)}{h} = u(x+h) \frac{\Delta v}{h} + v(x) \frac{\Delta u}{h}.$$

The limit as  $h \rightarrow 0^+$  gives the Product Rule.

**EXAMPLE 5** Find the derivative of  $y = (x^2 + 1)(x^3 + 3)$ .

**Solution**

(a) From the Product Rule with  $u = x^2 + 1$  and  $v = x^3 + 3$ , we find

$$\begin{aligned}\frac{d}{dx}[(x^2 + 1)(x^3 + 3)] &= (x^2 + 1)(3x^2) + (x^3 + 3)(2x) & \frac{d}{dx}(uv) &= u \frac{dv}{dx} + v \frac{du}{dx} \\ &= 3x^4 + 3x^2 + 2x^4 + 6x \\ &= 5x^4 + 3x^2 + 6x.\end{aligned}$$

(b) This particular product can be differentiated as well (perhaps better) by multiplying out the original expression for  $y$  and differentiating the resulting polynomial:

$$\begin{aligned}y &= (x^2 + 1)(x^3 + 3) = x^5 + x^3 + 3x^2 + 3 \\ \frac{dy}{dx} &= 5x^4 + 3x^2 + 6x.\end{aligned}$$

This is in agreement with our first calculation. ■

**EXAMPLE 6** Find the derivative of  $y = \frac{t^2 - 1}{t^3 + 1}$ .

**Solution** We apply the Quotient Rule with  $u = t^2 - 1$  and  $v = t^3 + 1$ :

$$\begin{aligned}\frac{dy}{dt} &= \frac{(t^3 + 1) \cdot 2t - (t^2 - 1) \cdot 3t^2}{(t^3 + 1)^2} & \frac{d}{dt}\left(\frac{u}{v}\right) &= \frac{v(du/dt) - u(dv/dt)}{v^2} \\ &= \frac{2t^4 + 2t - 3t^4 + 3t^2}{(t^3 + 1)^2} \\ &= \frac{-t^4 + 3t^2 + 2t}{(t^3 + 1)^2}.\end{aligned}$$
■

# 3.4

## The Derivative as a Rate of Change

**DEFINITION**  
the derivative

The **instantaneous rate of change** of  $f$  with respect to  $x$  at  $x_0$  is

$$f'(x_0) = \lim_{h \rightarrow 0} \frac{f(x_0 + h) - f(x_0)}{h},$$

provided the limit exists.

**DEFINITION** **Velocity (instantaneous velocity)** is the derivative of position with respect to time. If a body's position at time  $t$  is  $s = f(t)$ , then the body's velocity at time  $t$  is

$$v(t) = \frac{ds}{dt} = \lim_{\Delta t \rightarrow 0} \frac{f(t + \Delta t) - f(t)}{\Delta t}.$$

Suppose that an object (or body, considered as a whole mass) is moving along a coordinate line (an  $s$ -axis), usually horizontal or vertical, so that we know its position  $s$  on that line as a function of time  $t$ :

$$s = f(t).$$

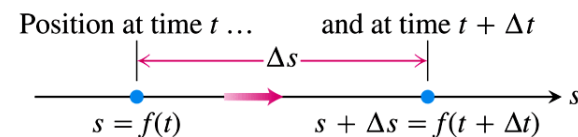
The displacement of the object over the time interval from  $t$  to  $t + \Delta t$  (Figure 3.12) is

$$\Delta s = f(t + \Delta t) - f(t),$$

and the average velocity of the object over that time interval is

$$v_{av} = \frac{\text{displacement}}{\text{travel time}} = \frac{\Delta s}{\Delta t} = \frac{f(t + \Delta t) - f(t)}{\Delta t}.$$

To find the body's velocity at the exact instant  $t$ , we take the limit of the average velocity over the interval from  $t$  to  $t + \Delta t$  as  $\Delta t$  shrinks to zero. This limit is the derivative of  $f$  with respect to  $t$ .



**FIGURE 3.12** The positions of a body moving along a coordinate line at time  $t$  and shortly later at time  $t + \Delta t$ . Here the coordinate line is horizontal.

**DEFINITION**      **Speed** is the absolute value of velocity.

$$\text{Speed} = |v(t)| = \left| \frac{ds}{dt} \right|$$

**DEFINITIONS**      **Acceleration** is the derivative of velocity with respect to time. If a body's position at time  $t$  is  $s = f(t)$ , then the body's acceleration at time  $t$  is

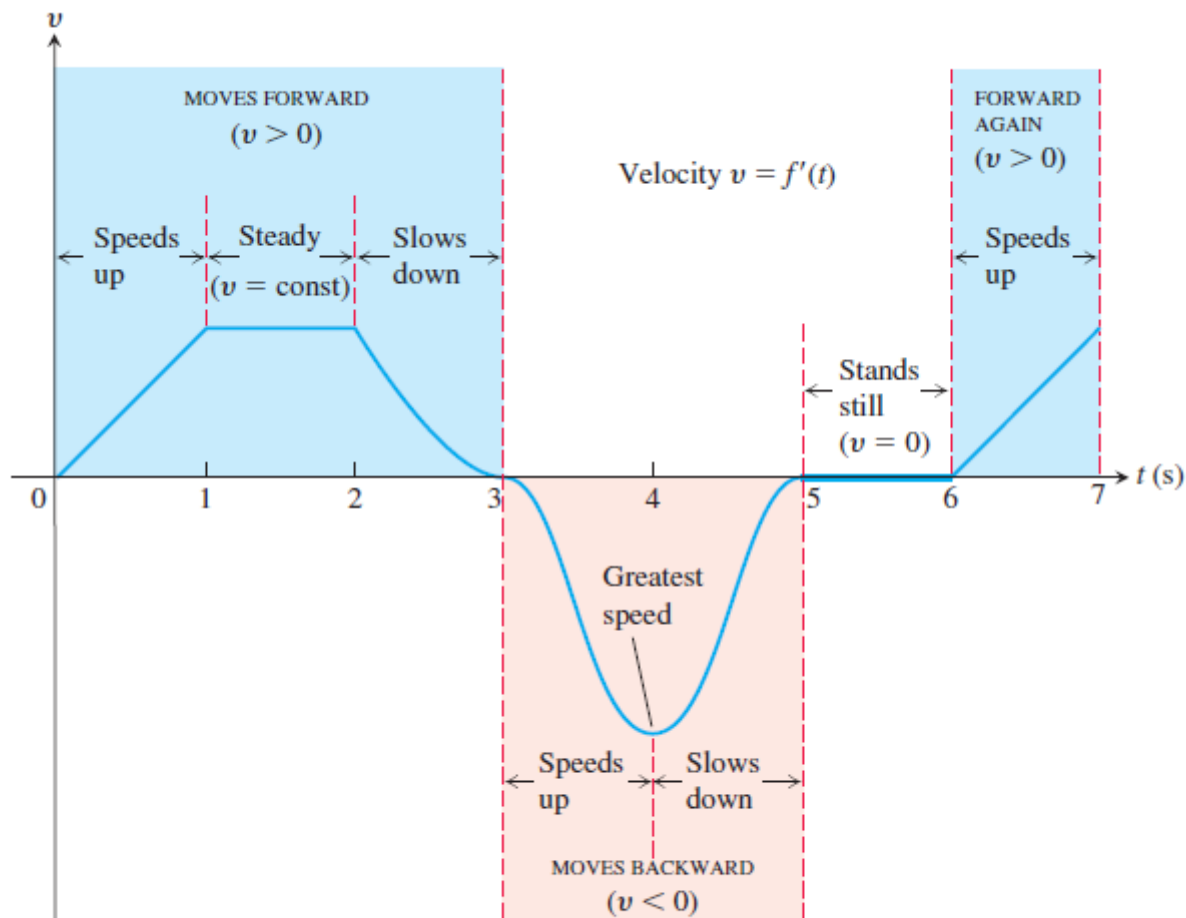
$$a(t) = \frac{dv}{dt} = \frac{d^2s}{dt^2}.$$

**Jerk** is the derivative of acceleration with respect to time:

$$j(t) = \frac{da}{dt} = \frac{d^3s}{dt^3}.$$



## Example 2



**FIGURE 3.14** The velocity graph of a particle moving along a horizontal line, discussed in Example 2.

**EXAMPLE 3** Figure 3.15 shows the free fall of a heavy ball bearing released from rest at time  $t = 0$  s.

- (a) How many meters does the ball fall in the first 3 s?
- (b) What is its velocity, speed, and acceleration when  $t = 3$ ?

**Solution**

- (a) The metric free-fall equation is  $s = 4.9t^2$ . During the first 3 s, the ball falls

$$s(3) = 4.9(3)^2 = 44.1 \text{ m.}$$

- (b) At any time  $t$ , *velocity* is the derivative of position:

$$v(t) = s'(t) = \frac{d}{dt}(4.9t^2) = 9.8t.$$

At  $t = 3$ , the velocity is

$$v(3) = 29.4 \text{ m/s}$$

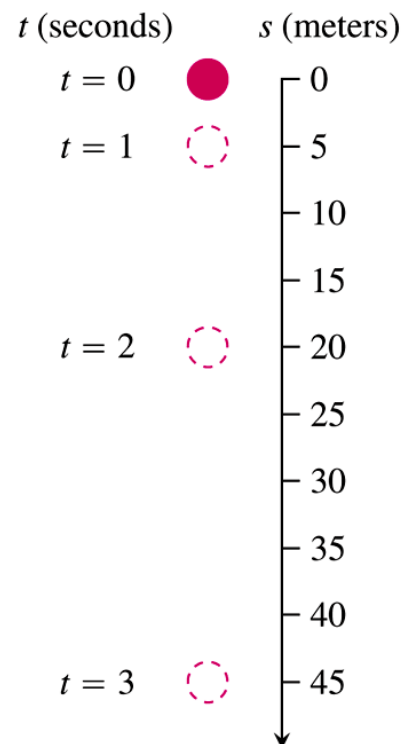
in the downward (increasing  $s$ ) direction. The *speed* at  $t = 3$  is

$$\text{speed} = |v(3)| = 29.4 \text{ m/s.}$$

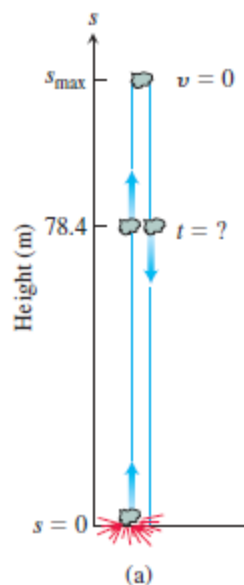
The *acceleration* at any time  $t$  is

$$a(t) = v'(t) = s''(t) = 9.8 \text{ m/s}^2.$$

At  $t = 3$ , the acceleration is  $9.8 \text{ m/s}^2$ .

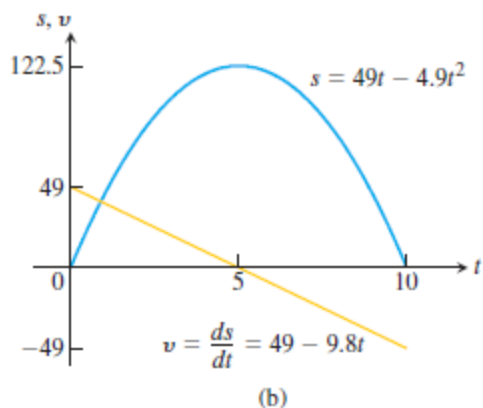


**FIGURE 3.15** A ball bearing falling from rest (Example 3).



**EXAMPLE 4** A dynamite blast blows a heavy rock straight up with a launch velocity of 49 m/s (176.4 km/h) (Figure 3.16a). It reaches a height of  $s = 49t - 4.9t^2$  m after  $t$  seconds.

- How high does the rock go?
- What are the velocity and speed of the rock when it is 78.4 m above the ground on the way up? On the way down?
- What is the acceleration of the rock at any time  $t$  during its flight (after the blast)?
- When does the rock hit the ground again?



**FIGURE 3.16** (a) The rock in Example 4. (b) The graphs of  $s$  and  $v$  as functions of time;  $s$  is largest when  $v = ds/dt = 0$ . The graph of  $s$  is *not* the path of the rock: It is a plot of height versus time. The slope of the plot is the rock's velocity, graphed here as a straight line.

**EXAMPLE 4** A dynamite blast blows a heavy rock straight up with a launch velocity of 49 m/s (176.4 km/h) (Figure 3.16a). It reaches a height of  $s = 49t - 4.9t^2$  m after  $t$  seconds.

- How high does the rock go?
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- What is the acceleration of the rock at any time  $t$  during its flight (after the blast)?
- When does the rock hit the ground again?

#### Solution

- (a) In the coordinate system we have chosen,  $s$  measures height from the ground up, so the velocity is positive on the way up and negative on the way down. The instant the rock is at its highest point is the one instant during the flight when the velocity is 0. To find the maximum height, all we need to do is to find when  $v = 0$  and evaluate  $s$  at this time.

At any time  $t$  during the rock's motion, its velocity is

$$v = \frac{ds}{dt} = \frac{d}{dt}(49t - 4.9t^2) = 49 - 9.8t \text{ m/s.}$$

The velocity is zero when

$$49 - 9.8t = 0 \quad \text{or} \quad t = 5 \text{ s.}$$

The rock's height at  $t = 5$  s is

$$s_{\max} = s(5) = 49(5) - 4.9(5)^2 = 245 - 122.5 = 122.5 \text{ m.}$$

See Figure 3.16b.

- (b) To find the rock's velocity at 78.4 m on the way up and again on the way down, we first find the two values of  $t$  for which

$$s(t) = 49t - 4.9t^2 = 78.4.$$

To solve this equation, we write

$$4.9t^2 - 49t + 78.4 = 0$$

$$4.9(t^2 - 10t + 16) = 0$$

$$(t - 2)(t - 8) = 0$$

$$t = 2 \text{ s, } t = 8 \text{ s.}$$

The rock is 78.4 m above the ground 2 s after the explosion and again 8 s after the explosion. The rock's velocities at these times are

$$v(2) = 49 - 9.8(2) = 49 - 19.6 = 29.4 \text{ m/s.}$$

$$v(8) = 49 - 9.8(8) = 49 - 78.4 = -29.4 \text{ m/s.}$$

At both instants, the rock's speed is 29.4 m/s. Since  $v(2) > 0$ , the rock is moving upward ( $s$  is increasing) at  $t = 2$  s; it is moving downward ( $s$  is decreasing) at  $t = 8$  because  $v(8) < 0$ .

- (c) At any time during its flight following the explosion, the rock's acceleration is a constant

$$a = \frac{dv}{dt} = \frac{d}{dt}(49 - 9.8t) = -9.8 \text{ m/s}^2.$$

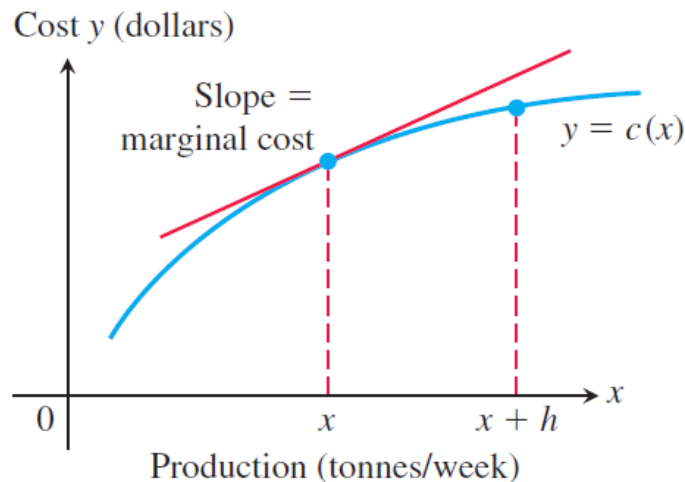
The acceleration is always downward and is the effect of gravity on the rock. As the rock rises, it slows down; as it falls, it speeds up.

- (d) The rock hits the ground at the positive time  $t$  for which  $s = 0$ . The equation  $49t - 4.9t^2 = 0$  factors to give  $4.9t(10 - t) = 0$ , so it has solutions  $t = 0$  and  $t = 10$ . At  $t = 0$ , the blast occurred and the rock was thrown upward. It returned to the ground 10 s later. ■

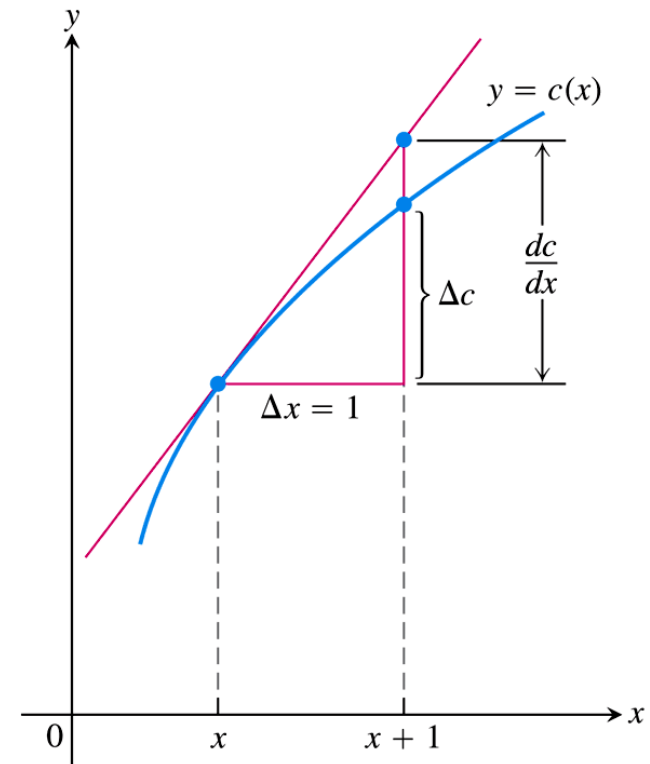
## Derivatives in Economics

Engineers use the terms *velocity* and *acceleration* to refer to the derivatives of functions describing motion. Economists, too, have a specialized vocabulary for rates of change and derivatives. They call them *marginals*.

In a manufacturing operation, the *cost of production*  $c(x)$  is a function of  $x$ , the number of units produced. The **marginal cost of production** is the rate of change of cost with respect to level of production, so it is  $dc/dx$ .



**FIGURE 3.17** Weekly steel production:  $c(x)$  is the cost of producing  $x$  tonnes per week. The cost of producing an additional  $h$  tonnes is  $c(x + h) - c(x)$ .



**FIGURE 3.18** The marginal cost  $dc/dx$  is approximately the extra cost  $\Delta c$  of producing  $\Delta x = 1$  more unit.

**EXAMPLE 5** Suppose that it costs

$$c(x) = x^3 - 6x^2 + 15x$$

dollars to produce  $x$  radiators when 8 to 30 radiators are produced and that

$$r(x) = x^3 - 3x^2 + 12x$$

gives the dollar revenue from selling  $x$  radiators. Your shop currently produces 10 radiators a day. About how much extra will it cost to produce one more radiator a day, and what is your estimated increase in revenue for selling 11 radiators a day?

**Solution** The cost of producing one more radiator a day when 10 are produced is about  $c'(10)$ :

$$c'(x) = \frac{d}{dx}(x^3 - 6x^2 + 15x) = 3x^2 - 12x + 15$$

$$c'(10) = 3(100) - 12(10) + 15 = 195.$$

The additional cost will be about \$195. The marginal revenue is

$$r'(x) = \frac{d}{dx}(x^3 - 3x^2 + 12x) = 3x^2 - 6x + 12.$$

The marginal revenue function estimates the increase in revenue that will result from selling one additional unit. If you currently sell 10 radiators a day, you can expect your revenue to increase by about

$$r'(10) = 3(100) - 6(10) + 12 = \$252$$

if you increase sales to 11 radiators a day. ■

# 3.5

## Derivatives of Trigonometric Functions

## Derivative of the Sine Function

To calculate the derivative of  $f(x) = \sin x$ , for  $x$  measured in radians, we combine the limits in Example 5a and Theorem 7 in Section 2.4 with the angle sum identity for the sine function:

$$\sin(x + h) = \sin x \cos h + \cos x \sin h.$$

If  $f(x) = \sin x$ , then

$$\begin{aligned} f'(x) &= \lim_{h \rightarrow 0} \frac{f(x+h) - f(x)}{h} = \lim_{h \rightarrow 0} \frac{\sin(x+h) - \sin x}{h} && \text{Derivative definition} \\ &= \lim_{h \rightarrow 0} \frac{(\sin x \cos h + \cos x \sin h) - \sin x}{h} \\ &= \lim_{h \rightarrow 0} \frac{\sin x(\cos h - 1) + \cos x \sin h}{h} \\ &= \lim_{h \rightarrow 0} \left( \sin x \cdot \frac{\cos h - 1}{h} \right) + \lim_{h \rightarrow 0} \left( \cos x \cdot \frac{\sin h}{h} \right) \\ &= \sin x \cdot \underbrace{\lim_{h \rightarrow 0} \frac{\cos h - 1}{h}}_{\text{limit 0}} + \cos x \cdot \underbrace{\lim_{h \rightarrow 0} \frac{\sin h}{h}}_{\text{limit 1}} = \sin x \cdot 0 + \cos x \cdot 1 = \cos x. \end{aligned}$$

Example 5a and  
Theorem 7, Section 2.4

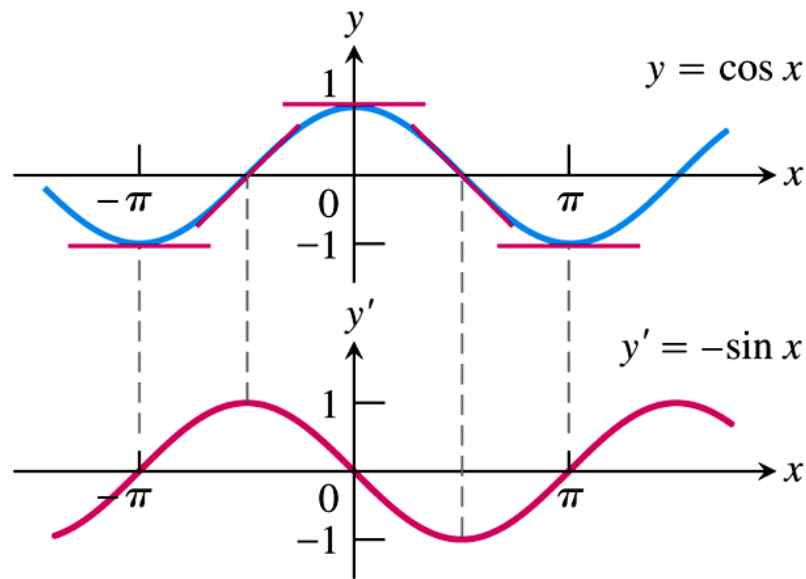
**The derivative of the sine function is the cosine function:**

$$\frac{d}{dx}(\sin x) = \cos x.$$



**The derivative of the cosine function is the negative of the sine function:**

$$\frac{d}{dx}(\cos x) = -\sin x$$



**FIGURE 3.20** The curve  $y' = -\sin x$  as the graph of the slopes of the tangents to the curve  $y = \cos x$ .

**EXAMPLE 3** An object at the end of a vertical spring is stretched 4 cm beyond its rest position and released at time  $t = 0$ . (See Figure 5 and note that the downward direction is positive.) Its position at time  $t$  is

$$s = f(t) = 4 \cos t$$

Find the velocity and acceleration at time  $t$  and use them to analyze the motion of the object.

**SOLUTION** The velocity and acceleration are

$$v = \frac{ds}{dt} = \frac{d}{dt}(4 \cos t) = 4 \frac{d}{dt}(\cos t) = -4 \sin t$$

$$a = \frac{dv}{dt} = \frac{d}{dt}(-4 \sin t) = -4 \frac{d}{dt}(\sin t) = -4 \cos t$$

The object oscillates from the lowest point ( $s = 4$  cm) to the highest point ( $s = -4$  cm). The period of the oscillation is  $2\pi$ , the period of  $\cos t$ .

The speed is  $|v| = 4|\sin t|$ , which is greatest when  $|\sin t| = 1$ , that is, when  $\cos t = 0$ . So the object moves fastest as it passes through its equilibrium position ( $s = 0$ ). Its speed is 0 when  $\sin t = 0$ , that is, at the high and low points.

The acceleration  $a = -4 \cos t = 0$  when  $s = 0$ . It has greatest magnitude at the high and low points. See the graphs in Figure 6.

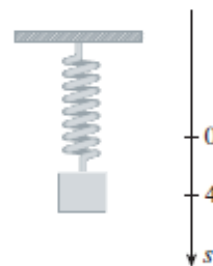


FIGURE 5

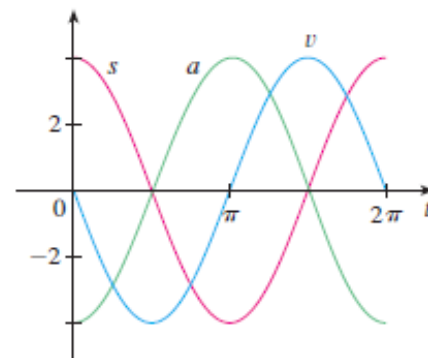


FIGURE 6

**EXAMPLE 4** Find the 27th derivative of  $\cos x$ .

**SOLUTION** The first few derivatives of  $f(x) = \cos x$  are as follows:

$$f'(x) = -\sin x$$

$$f''(x) = -\cos x$$

$$f'''(x) = \sin x$$

$$f^{(4)}(x) = \cos x$$

$$f^{(5)}(x) = -\sin x$$

We see that the successive derivatives occur in a cycle of length 4 and, in particular,  $f^{(n)}(x) = \cos x$  whenever  $n$  is a multiple of 4. Therefore

$$f^{(24)}(x) = \cos x$$

and, differentiating three more times, we have

$$f^{(27)}(x) = \sin x$$



**EXAMPLE 5** Find  $d(\tan x)/dx$ .

**Solution** We use the Derivative Quotient Rule to calculate the derivative:

$$\begin{aligned}\frac{d}{dx}(\tan x) &= \frac{d}{dx}\left(\frac{\sin x}{\cos x}\right) = \frac{\cos x \frac{d}{dx}(\sin x) - \sin x \frac{d}{dx}(\cos x)}{\cos^2 x} && \text{Quotient Rule} \\ &= \frac{\cos x \cos x - \sin x(-\sin x)}{\cos^2 x} \\ &= \frac{\cos^2 x + \sin^2 x}{\cos^2 x} \\ &= \frac{1}{\cos^2 x} = \sec^2 x. \quad \blacksquare\end{aligned}$$

**The derivatives of the other trigonometric functions:**

$$\frac{d}{dx}(\tan x) = \sec^2 x$$

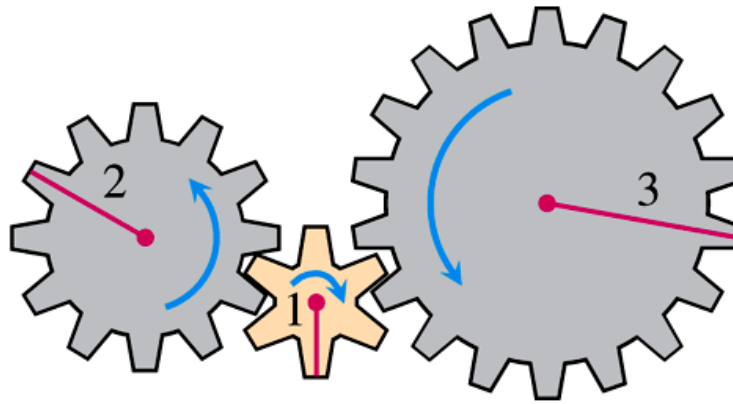
$$\frac{d}{dx}(\cot x) = -\csc^2 x$$

$$\frac{d}{dx}(\sec x) = \sec x \tan x$$

$$\frac{d}{dx}(\csc x) = -\csc x \cot x$$

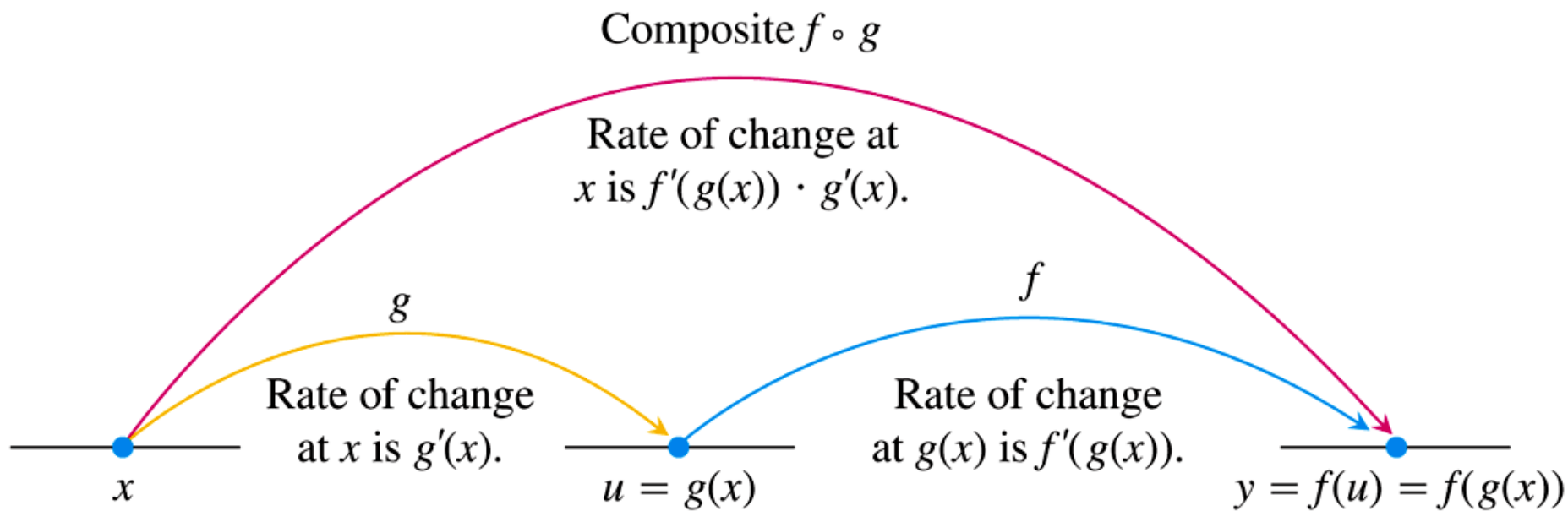
# 3.6

## The Chain Rule



C:  $y$  turns   B:  $u$  turns   A:  $x$  turns

**FIGURE 3.23** When gear A makes  $x$  turns, gear B makes  $u$  turns and gear C makes  $y$  turns. By comparing circumferences or counting teeth, we see that  $y = u/2$  (C turns one-half turn for each B turn) and  $u = 3x$  (B turns three times for A's one), so  $y = 3x/2$ . Thus,  $dy/dx = 3/2 = (1/2)(3) = (dy/du)(du/dx)$ .



**FIGURE 3.24** Rates of change multiply: The derivative of  $f \circ g$  at  $x$  is the derivative of  $f$  at  $g(x)$  times the derivative of  $g$  at  $x$ .



**THEOREM 2—The Chain Rule** If  $f(u)$  is differentiable at the point  $u = g(x)$  and  $g(x)$  is differentiable at  $x$ , then the composite function  $(f \circ g)(x) = f(g(x))$  is differentiable at  $x$ , and

$$(f \circ g)'(x) = f'(g(x)) \cdot g'(x).$$

In Leibniz's notation, if  $y = f(u)$  and  $u = g(x)$ , then

$$\frac{dy}{dx} = \frac{dy}{du} \cdot \frac{du}{dx},$$

where  $dy/du$  is evaluated at  $u = g(x)$ .

### “Outside-Inside” Rule

A difficulty with the Leibniz notation is that it doesn’t state specifically where the derivatives in the Chain Rule are supposed to be evaluated. So it sometimes helps to think about the Chain Rule using functional notation. If  $y = f(g(x))$ , then

$$\frac{dy}{dx} = f'(g(x)) \cdot g'(x).$$

In words, differentiate the “outside” function  $f$  and evaluate it at the “inside” function  $g(x)$  left alone; then multiply by the derivative of the “inside function.”

**EXAMPLE 3** Differentiate  $\sin(x^2 + x)$  with respect to  $x$ .

**Solution** We apply the Chain Rule directly and find

$$\frac{d}{dx} \sin(\underbrace{x^2 + x}_{\text{inside}}) = \cos(\underbrace{x^2 + x}_{\substack{\text{inside} \\ \text{left alone}}}) \cdot \underbrace{(2x + 1)}_{\substack{\text{derivative of} \\ \text{the inside}}}.$$



## The Chain Rule with Powers of a Function

If  $f$  is a differentiable function of  $u$  and if  $u$  is a differentiable function of  $x$ , then substituting  $y = f(u)$  into the Chain Rule formula

$$\frac{dy}{dx} = \frac{dy}{du} \cdot \frac{du}{dx}$$

leads to the formula

$$\frac{d}{dx}f(u) = f'(u)\frac{du}{dx}.$$

If  $n$  is any real number and  $f$  is a power function,  $f(u) = u^n$ , the Power Rule tells us that  $f'(u) = nu^{n-1}$ . If  $u$  is a differentiable function of  $x$ , then we can use the Chain Rule to extend this to the **Power Chain Rule**:

$$\frac{d}{dx}(u^n) = nu^{n-1}\frac{du}{dx}. \qquad \frac{d}{du}(u^n) = nu^{n-1}$$

**EXAMPLE 5** The Power Chain Rule simplifies computing the derivative of a power of an expression.

$$\begin{aligned} \text{(a)} \quad \frac{d}{dx}(5x^3 - x^4)^7 &= 7(5x^3 - x^4)^6 \frac{d}{dx}(5x^3 - x^4) && \text{Power Chain Rule with} \\ & && u = 5x^3 - x^4, n = 7 \\ &= 7(5x^3 - x^4)^6(5 \cdot 3x^2 - 4x^3) \\ &= 7(5x^3 - x^4)^6(15x^2 - 4x^3) \end{aligned}$$

$$\begin{aligned} \text{(b)} \quad \frac{d}{dx}\left(\frac{1}{3x - 2}\right) &= \frac{d}{dx}(3x - 2)^{-1} \\ &= -1(3x - 2)^{-2} \frac{d}{dx}(3x - 2) && \text{Power Chain Rule with} \\ & && u = 3x - 2, n = -1 \\ &= -1(3x - 2)^{-2}(3) \\ &= -\frac{3}{(3x - 2)^2} \end{aligned}$$

In part (b) we could also find the derivative with the Derivative Quotient Rule.

$$\begin{aligned} \text{(c)} \quad \frac{d}{dx}(\sin^5 x) &= 5 \sin^4 x \cdot \frac{d}{dx} \sin x && \text{Power Chain Rule with } u = \sin x, n = 5, \\ & && \text{because } \sin^n x \text{ means } (\sin x)^n, n \neq -1. \\ &= 5 \sin^4 x \cos x \end{aligned}$$



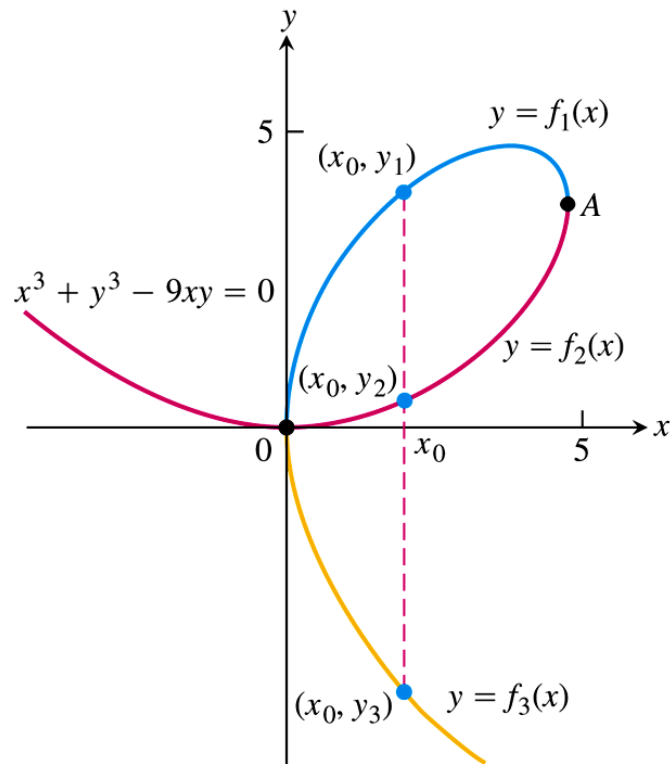
**EXAMPLE 6** In Section 3.2, we saw that the absolute value function  $y = |x|$  is not differentiable at  $x = 0$ . However, the function is differentiable at all other real numbers, as we now show. Since  $|x| = \sqrt{x^2}$ , we can derive the following formula:

$$\begin{aligned}\frac{d}{dx}(|x|) &= \frac{d}{dx}\sqrt{x^2} \\&= \frac{1}{2\sqrt{x^2}} \cdot \frac{d}{dx}(x^2) && \text{Power Chain Rule with} \\&&& u = x^2, n = 1/2, x \neq 0 \\&= \frac{1}{2|x|} \cdot 2x && \sqrt{x^2} = |x| \\&= \frac{x}{|x|}, \quad x \neq 0. \\&= \begin{cases} 1, & x > 0 \\ -1, & x < 0 \end{cases}\end{aligned}$$



# 3.7

## Implicit Differentiation



**FIGURE 3.26** The curve  $x^3 + y^3 - 9xy = 0$  is not the graph of any one function of  $x$ . The curve can, however, be divided into separate arcs that are the graphs of functions of  $x$ . This particular curve, called a *folium*, dates to Descartes in 1638.

**EXAMPLE 1** Find  $dy/dx$  if  $y^2 = x$ .

**Solution** The equation  $y^2 = x$  defines two differentiable functions of  $x$  that we can actually find, namely  $y_1 = \sqrt{x}$  and  $y_2 = -\sqrt{x}$  (Figure 3.27). We know how to calculate the derivative of each of these for  $x > 0$ :

$$\frac{dy_1}{dx} = \frac{1}{2\sqrt{x}} \quad \text{and} \quad \frac{dy_2}{dx} = -\frac{1}{2\sqrt{x}}.$$

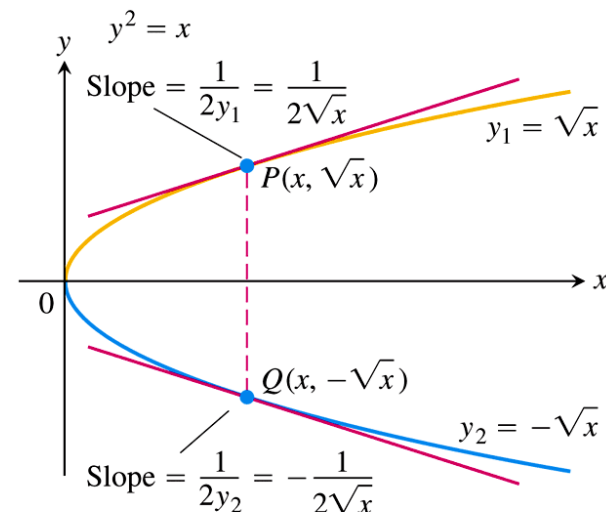
But suppose that we knew only that the equation  $y^2 = x$  defined  $y$  as one or more differentiable functions of  $x$  for  $x > 0$  without knowing exactly what these functions were. Could we still find  $dy/dx$ ?

The answer is yes. To find  $dy/dx$ , we simply differentiate both sides of the equation  $y^2 = x$  with respect to  $x$ , treating  $y = f(x)$  as a differentiable function of  $x$ :

$$\begin{aligned} y^2 &= x && \text{The Chain Rule gives } \frac{d}{dx}(y^2) = \\ 2y \frac{dy}{dx} &= 1 && \frac{d}{dx}[f(x)]^2 = 2f(x)f'(x) = 2y \frac{dy}{dx}. \\ \frac{dy}{dx} &= \frac{1}{2y}. \end{aligned}$$

This one formula gives the derivatives we calculated for *both* explicit solutions  $y_1 = \sqrt{x}$  and  $y_2 = -\sqrt{x}$ :

$$\frac{dy_1}{dx} = \frac{1}{2y_1} = \frac{1}{2\sqrt{x}} \quad \text{and} \quad \frac{dy_2}{dx} = \frac{1}{2y_2} = \frac{1}{2(-\sqrt{x})} = -\frac{1}{2\sqrt{x}}.$$



**FIGURE 3.27** The equation  $y^2 - x = 0$ , or  $y^2 = x$  as it is usually written, defines two differentiable functions of  $x$  on the interval  $x > 0$ . Example 1 shows how to find the derivatives of these functions without solving the equation  $y^2 = x$  for  $y$ .



**EXAMPLE 2** Find the slope of the circle  $x^2 + y^2 = 25$  at the point  $(3, -4)$ .

**Solution** The circle is not the graph of a single function of  $x$ . Rather, it is the combined graphs of two differentiable functions,  $y_1 = \sqrt{25 - x^2}$  and  $y_2 = -\sqrt{25 - x^2}$  (Figure 3.28). The point  $(3, -4)$  lies on the graph of  $y_2$ , so we can find the slope by calculating the derivative directly, using the Power Chain Rule:

$$\left. \frac{dy_2}{dx} \right|_{x=3} = - \left. \frac{-2x}{2\sqrt{25 - x^2}} \right|_{x=3} = - \frac{-6}{2\sqrt{25 - 9}} = \frac{3}{4}.$$

$$\frac{d}{dx} (-(25 - x^2)^{1/2}) = -\frac{1}{2}(25 - x^2)^{-1/2}(-2x)$$

We can solve this problem more easily by differentiating the given equation of the circle implicitly with respect to  $x$ :

$$\frac{d}{dx}(x^2) + \frac{d}{dx}(y^2) = \frac{d}{dx}(25)$$

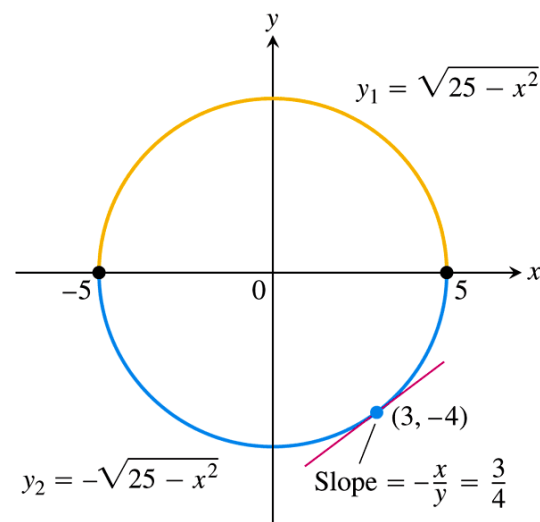
$$2x + 2y \frac{dy}{dx} = 0$$

See Example 1.

$$\frac{dy}{dx} = -\frac{x}{y}.$$

The slope at  $(3, -4)$  is  $\left. -\frac{x}{y} \right|_{(3, -4)} = -\frac{3}{-4} = \frac{3}{4}.$

Notice that unlike the slope formula for  $dy_2/dx$ , which applies only to points below the  $x$ -axis, the formula  $dy/dx = -x/y$  applies everywhere the circle has a slope; that is, at all circle points  $(x, y)$  where  $y \neq 0$ . Notice also that the derivative involves *both* variables  $x$  and  $y$ , not just the independent variable  $x$ . ■



**FIGURE 3.28** The circle combines the graphs of two functions. The graph of  $y_2$  is the lower semicircle and passes through  $(3, -4)$ .

## Implicit Differentiation

1. Differentiate both sides of the equation with respect to  $x$ , treating  $y$  as a differentiable function of  $x$ .
2. Collect the terms with  $dy/dx$  on one side of the equation and solve for  $dy/dx$ .

**EXAMPLE 3** Find  $dy/dx$  if  $y^2 = x^2 + \sin xy$  (Figure 3.29).

**Solution** We differentiate the equation implicitly.

$$y^2 = x^2 + \sin xy$$

$$\frac{d}{dx}(y^2) = \frac{d}{dx}(x^2) + \frac{d}{dx}(\sin xy)$$

Differentiate both sides with respect to  $x$  . . .

$$2y \frac{dy}{dx} = 2x + (\cos xy) \frac{d}{dx}(xy)$$

. . . treating  $y$  as a function of  $x$  and using the Chain Rule.

$$2y \frac{dy}{dx} = 2x + (\cos xy) \left( y + x \frac{dy}{dx} \right)$$

Treat  $xy$  as a product.

$$2y \frac{dy}{dx} - (\cos xy) \left( x \frac{dy}{dx} \right) = 2x + (\cos xy)y$$

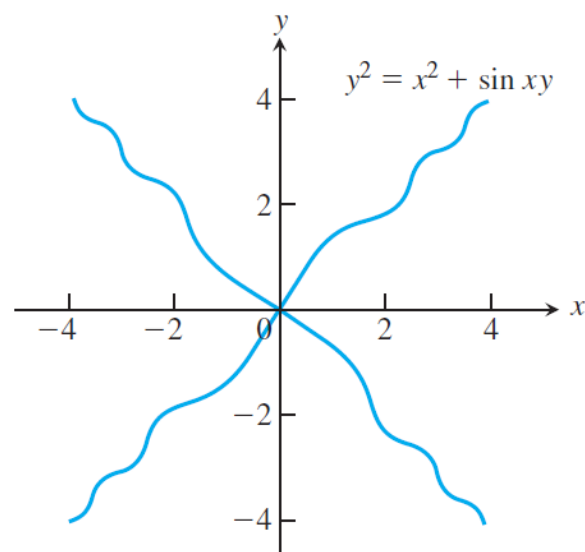
Collect terms with  $dy/dx$ .

$$(2y - x \cos xy) \frac{dy}{dx} = 2x + y \cos xy$$

$$\frac{dy}{dx} = \frac{2x + y \cos xy}{2y - x \cos xy}$$

Solve for  $dy/dx$ .

Notice that the formula for  $dy/dx$  applies everywhere that the implicitly defined curve has a slope. Notice again that the derivative involves *both* variables  $x$  and  $y$ , not just the independent variable  $x$ . ■



**FIGURE 3.29** The graph of the equation in Example 3.

**EXAMPLE 4** Find  $d^2y/dx^2$  if  $2x^3 - 3y^2 = 8$ .

**Solution** To start, we differentiate both sides of the equation with respect to  $x$  in order to find  $y' = dy/dx$ .

$$\frac{d}{dx}(2x^3 - 3y^2) = \frac{d}{dx}(8)$$

$$6x^2 - 6yy' = 0 \quad \text{Treat } y \text{ as a function of } x.$$

$$y' = \frac{x^2}{y}, \quad \text{when } y \neq 0 \quad \text{Solve for } y'.$$

We now apply the Quotient Rule to find  $y''$ .

$$y'' = \frac{d}{dx}\left(\frac{x^2}{y}\right) = \frac{2xy - x^2y'}{y^2} = \frac{2x}{y} - \frac{x^2}{y^2} \cdot y'$$

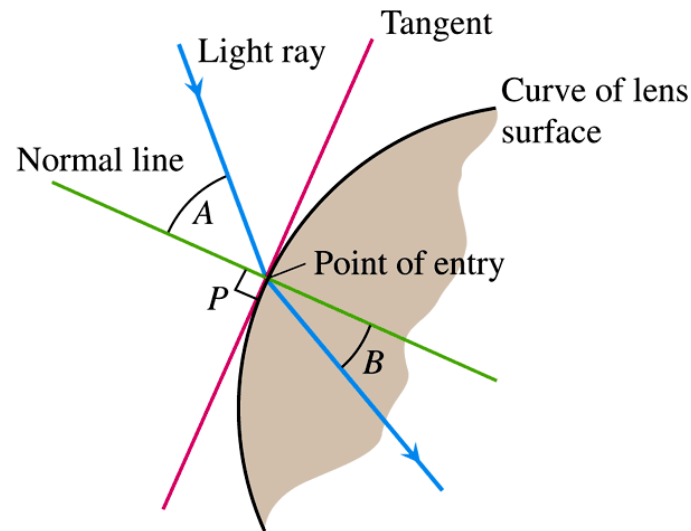
Finally, we substitute  $y' = x^2/y$  to express  $y''$  in terms of  $x$  and  $y$ .

$$y'' = \frac{2x}{y} - \frac{x^2}{y^2}\left(\frac{x^2}{y}\right) = \frac{2x}{y} - \frac{x^4}{y^3}, \quad \text{when } y \neq 0$$



## Lenses, Tangents, and Normal Lines

In the law that describes how light changes direction as it enters a lens, the important angles are the angles the light makes with the line perpendicular to the surface of the lens at the point of entry (angles *A* and *B* in Figure 3.30). This line is called the *normal* to the surface at the point of entry. In a profile view of a lens like the one in Figure 3.30, the **normal** is the line perpendicular (also said to be *orthogonal*) to the tangent of the profile curve at the point of entry.



**FIGURE 3.30** The profile of a lens, showing the bending (refraction) of a ray of light as it passes through the lens surface.

**EXAMPLE 5** Show that the point  $(2, 4)$  lies on the curve  $x^3 + y^3 - 9xy = 0$ . Then find the tangent and normal to the curve there (Figure 3.31).

**Solution** The point  $(2, 4)$  lies on the curve because its coordinates satisfy the equation given for the curve:  $2^3 + 4^3 - 9(2)(4) = 8 + 64 - 72 = 0$ .

To find the slope of the curve at  $(2, 4)$ , we first use implicit differentiation to find a formula for  $dy/dx$ :

$$x^3 + y^3 - 9xy = 0$$

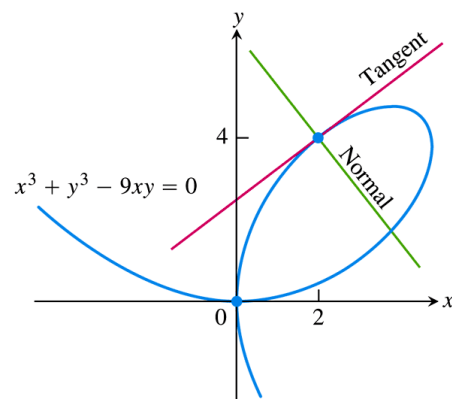
$$\frac{d}{dx}(x^3) + \frac{d}{dx}(y^3) - \frac{d}{dx}(9xy) = \frac{d}{dx}(0)$$

$$3x^2 + 3y^2 \frac{dy}{dx} - 9\left(x \frac{dy}{dx} + y \frac{dx}{dx}\right) = 0$$

$$(3y^2 - 9x) \frac{dy}{dx} + 3x^2 - 9y = 0$$

$$3(y^2 - 3x) \frac{dy}{dx} = 9y - 3x^2$$

$$\frac{dy}{dx} = \frac{3y - x^2}{y^2 - 3x}$$



**FIGURE 3.31** Example 5 shows how to find equations for the tangent and normal to the folium of Descartes at  $(2, 4)$ .

We then evaluate the derivative at  $(x, y) = (2, 4)$ :

$$\left. \frac{dy}{dx} \right|_{(2,4)} = \left. \frac{3y - x^2}{y^2 - 3x} \right|_{(2,4)} = \frac{3(4) - 2^2}{4^2 - 3(2)} = \frac{8}{10} = \frac{4}{5}.$$

The tangent at  $(2, 4)$  is the line through  $(2, 4)$  with slope  $4/5$ :

$$y = 4 + \frac{4}{5}(x - 2)$$

$$y = \frac{4}{5}x + \frac{12}{5}.$$

The normal to the curve at  $(2, 4)$  is the line perpendicular to the tangent there, the line through  $(2, 4)$  with slope  $-5/4$ :

$$y = 4 - \frac{5}{4}(x - 2)$$

$$y = -\frac{5}{4}x + \frac{13}{2}.$$

# Week 3

## Assignment 3

3.2: #14,27-30,46 (here, at the endpoints, continuity/differentiability = one-sided continuity/differentiability),57,58

3.3: #11,28,46,47,56,58,65,66

3.4: #10,19,24

3.5: #22,30,32,45,61

3.6: #12,39,58,68,73,83,84

3.7: #12,26,42,46

Deadline: 10 PM, Friday, Sept 29 --- solutions should be submitted online on Blackboard.

## Required Reading (Textbook)

- Sections 3.2 to 3.7