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# ***Propagating Waves***

**ECE 6279: Spatial Array Processing  
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Lecture 2**

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# Where We Are in J&D

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- Material drawn from Secs. 2.2, 2.2.1-2.2.2, and 2.2.4 of J&D
- We will not cover
  - Sec. 2.2.3 on the Doppler effect
  - Sec 2.3 on dispersion and attenuation
  - Sec 2.4 on refraction and diffraction



# Scalar Wave Equation

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- **Lossless version of the scalar wave equation**
  - No attenuation or dispersion

**Laplacian**  $\nabla^2 s \equiv \left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2} \right) s = \frac{\partial^2 s}{\partial x^2} + \frac{\partial^2 s}{\partial y^2} + \frac{\partial^2 s}{\partial z^2} = \frac{1}{c^2} \frac{\partial^2 s}{\partial t^2}$

- $s$  above is really  $s(x,y,z,t)$ , but it's customary shorthand to suppress the  $(x,y,z,t)$  part
- **Treat as a mathematical abstraction for a moment**
- **Will relate to the physics of real problems later**



# Complex Exponential Solution (1)

- Let's guess a possible solution, namely a **monochromatic (single-frequency) plane wave**

$$\begin{aligned}s(\vec{x}, t) &= \exp\left\{j\left(\omega_0 t - k_x x - k_y y - k_z z\right)\right\} \\ &= \exp\left\{j\left(\omega_0 t - \vec{k} \cdot \vec{x}\right)\right\} = \exp\left\{j\omega_0\left(t - \frac{\vec{k}}{\omega_0} \cdot \vec{x}\right)\right\}\end{aligned}$$

- Plugging in:

$$\frac{\partial^2 s}{\partial x^2} + \frac{\partial^2 s}{\partial y^2} + \frac{\partial^2 s}{\partial z^2} = \frac{1}{c^2} \frac{\partial^2 s}{\partial t^2}$$

$$\frac{\partial}{\partial x}(-jk_x) \exp\left\{j\left(\omega_0 t - \vec{k} \cdot \vec{x}\right)\right\} + \dots = \frac{1}{c^2} j\omega_0 \frac{\partial}{\partial t} \exp\left\{j\left(\omega_0 t - \vec{k} \cdot \vec{x}\right)\right\}$$

$$(-jk_x)^2 \exp\left\{j\left(\omega_0 t - \vec{k} \cdot \vec{x}\right)\right\} + \dots = \frac{1}{c^2} (j\omega_0)^2 \exp\left\{j\left(\omega_0 t - \vec{k} \cdot \vec{x}\right)\right\}$$



# Complex Exponential Solution (2)

$$(-jk_x)^2 \exp\{j(\omega_0 t - \vec{k} \cdot \vec{x})\} + \dots = \frac{1}{c^2} (j\omega_0)^2 \exp\{j(\omega_0 t - \vec{k} \cdot \vec{x})\}$$

$$-k_x^2 \exp\{j(\omega_0 t - \vec{k} \cdot \vec{x})\} + \dots = -\frac{1}{c^2} \omega_0^2 \exp\{j(\omega_0 t - \vec{k} \cdot \vec{x})\}$$

$$(k_x^2 + k_y^2 + k_z^2) \exp\{j(\omega_0 t - \vec{k} \cdot \vec{x})\} = \frac{\omega_0^2}{c^2} \exp\{j(\omega_0 t - \vec{k} \cdot \vec{x})\}$$

$$k_x^2 + k_y^2 + k_z^2 = \frac{\omega_0^2}{c^2}$$

- **So**  $s(\vec{x}, t) = \exp\{j(\omega_0 t - \vec{k} \cdot \vec{x})\}$  **is a solution if**

$$|\vec{k}| = \frac{\omega_0}{c}$$



# Why the Name “Monochromatic?”

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$$s(\vec{x}, t) = \exp \left\{ j \left( \omega_0 t - \vec{k} \cdot \vec{x} \right) \right\}$$

- **Monochromatic** refers to *temporal behavior*

- Fix some position, say  $(x, y, z) = (0, 0, 0)$

$$s(0, 0, 0, t) = \exp \left\{ j \left( \omega_0 t \right) \right\} = \cos(\omega_0 t) + j \sin(\omega_0 t)$$



# Why the Name “Plane Wave?”

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$$s(\vec{x}, t) = \exp \left\{ j \left( \omega_0 t - \vec{k} \cdot \vec{x} \right) \right\}$$

- **Plane wave** refers to *spatial behavior*
  - Fix some time, say  $t=0$

$s(\vec{x}, 0) = \exp \left\{ j \left( -\vec{k} \cdot \vec{x} \right) \right\}$  is **constant**  
**for all points on a plane**

$$\vec{k} \cdot \vec{x} = k_1 x + k_2 y + k_3 z = C$$

**These planes of constant phase are  
perpendicular to  $\vec{k}$**



# Speed of Propagation

- The planes of constant phase move by an amount  $\delta\vec{x}$  in time  $\delta t$

$$s(x + \delta\vec{x}, t + \delta t) = s(x, t)$$

$$\omega_0(t + \delta t) - \vec{k} \cdot (\vec{x} + \delta\vec{x}) = \omega_0 t - \vec{k} \cdot \vec{x}$$

$$\omega_0 \delta t - \vec{k} \cdot \delta\vec{x} = 0$$

- Pick  $\delta\vec{x}$  to be in the same direction as  $\vec{k}$  to give the smallest magnitude for  $\delta\vec{x}$

$$\omega_0 \delta t - |\vec{k}| |\delta\vec{x}| = 0$$

$$\text{Speed of the wave} \longrightarrow \frac{|\delta\vec{x}|}{\delta t} = \frac{\omega_0}{|\vec{k}|} = c \longleftarrow \text{Speed of propagation}$$

$|\vec{k}| = \frac{\omega_0}{c}$  from previous slide





# Terminology

- Unit vector  $\vec{\xi}^0 = \frac{\vec{k}}{|\vec{k}|}$  is the **direction of propagation**

- $\vec{k}$  is the **wavenumber vector**
  - $|\vec{k}|$  is the number of cycles (in radians) per meter in direction of propagation

**wavenumber**  $\longrightarrow k \equiv |\vec{k}| = \frac{\omega_0}{c} = \frac{2\pi}{\lambda}$  **wavelength** in meters/cycle

$$s(\vec{x}, t) = \exp \left\{ j \left( \omega_0 t - \vec{k} \cdot \vec{x} \right) \right\} \quad \lambda = \frac{c}{f_0} = \frac{2\pi c}{\omega_0}$$

**temporal frequency variable** in radians

**3-D spatial frequency variable** in radians

**temporal frequency** in cycles/sec (Hertz):  $f_0 = \omega_0 / (2\pi)$

**spatial frequency** in cycles/meter:  $? = k / (2\pi) = 1 / \lambda$



# Slowness Vector

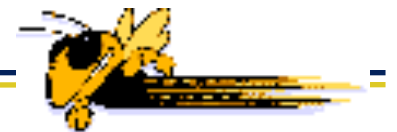
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$$s(\vec{x}, t) = \exp \left\{ j\omega_0 \left( t - \frac{\vec{k}}{\omega_0} \cdot \vec{x} \right) \right\} = \exp \left\{ j\omega_0 (t - \vec{\alpha} \cdot \vec{x}) \right\}$$

**slowness vector**  $\longrightarrow \vec{\alpha} \equiv \frac{\vec{k}}{\omega_0}$

$$|\vec{\alpha}| = \frac{|\vec{k}|}{\omega_0} = \frac{2\pi}{\omega_0 \lambda} = \frac{1}{f_0 \lambda} = \frac{1}{c}$$

- **The slowness vector  $\vec{\alpha}$** 
  - Points in direction of propagation
  - Has units of reciprocal velocity (sec/meter)



# Periodic Nonchromatic Plane Waves

- A useful abuse of notation

$$s(\vec{x}, t) = \exp\{j\omega_0(t - \vec{\alpha} \cdot \vec{x})\} = s(t - \vec{\alpha} \cdot \vec{x})$$

where  $s(u) \equiv \exp(j\omega_0 u)$


- The wave equation is linear, i.e.

if  $s_1(\vec{x}, t)$  and  $s_2(\vec{x}, t)$  solve the wave equation

then  $as_1(\vec{x}, t) + bs_2(\vec{x}, t)$  solves the wave equation

- Example:

$$s(\vec{x}, t) = s(t - \vec{\alpha} \cdot \vec{x}) = \sum_{n=-\infty}^{\infty} S_n \exp\{jn\omega_0(t - \vec{\alpha} \cdot \vec{x})\}$$

Fourier series! 

Fourier series coefficients  $\longrightarrow S_n = \frac{1}{T} \int_0^T s(u) \exp(-jn\omega_0 u) du$



# General Nonchromatic Plane Waves

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- **Fourier series generalizes to Fourier integral**

$$s(\vec{x}, t) = s(t - \vec{\alpha} \cdot \vec{x}) = \frac{1}{2\pi} \int_{-\infty}^{\infty} S(\omega) \exp\{j\omega(t - \vec{\alpha} \cdot \vec{x})\} d\omega$$

Fourier transform  $\longrightarrow S(\omega) = \int_{-\infty}^{\infty} s(u) \exp(-j\omega u) du$

- **Take home message: any space-time signal of the form  $s(\vec{x}, t) = s(t - \vec{\alpha} \cdot \vec{x})$  satisfies the wave equation, whatever the  $s(u)$** 
  - Shape of plane wave is preserved as it propagates
- **Linearity of wave equation implies plane waves in many directions can exist simultaneously:**

$$s(\vec{x}, t) = s_1(t - \vec{\alpha}_1 \cdot \vec{x}) + s_2(t - \vec{\alpha}_2 \cdot \vec{x})$$



# Monochromatic Spherical Waves

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- Wave equation can be rewritten in spherical coordinates (J&D, p. 16)
- One solution is:

$$s(r,t) = \frac{1}{r} \exp\{j(\omega_0 t - kr)\} = \frac{1}{r} \exp\left\{j\omega_0 \left(t - \frac{r}{c}\right)\right\}$$

$$\text{where } k = \frac{\omega_0}{c}$$

**interpreted as propagating away from origin**

- Another solution is:

$$s(r,t) = \frac{1}{r} \exp\{j(\omega_0 t + kr)\} = \frac{1}{r} \exp\left\{j\omega_0 \left(t + \frac{r}{c}\right)\right\}$$

**interpreted as propagating toward origin**



# General Spherical Waves

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- Abuse notation again: for any univariate function  $s(u)$ , easy to show that:

$$s(r, t) = \frac{s(t - r / c)}{r}$$

satisfies the wave equation

- Could write as a superposition of monochromatic spherical waves

$$s(r, t) = \frac{1}{2\pi r} \int_{-\infty}^{\infty} S(\omega) \exp \left\{ j\omega \left( t - \frac{r}{c} \right) \right\} d\omega$$

- Can make same arguments for inward-going waves, waves with a different origin, etc.



# Other Notes on Spherical Waves

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- **Due to space-invariance, can shift origins to wherever you want:**

$$s(\vec{x}, t) = \frac{1}{|\vec{x} - \vec{x}_0|} \exp\left\{j\left(\omega_0 t - k|\vec{x} - \vec{x}_0|\right)\right\} + \frac{1}{|\vec{x} - \vec{x}_1|} \exp\left\{j\left(\omega_0 t + k|\vec{x} - \vec{x}_1|\right)\right\}$$

- **Consider the real monochromatic spherical wave:**

$$s(r, t) = \frac{1}{r} \cos(\omega_0 t - kr)$$

- Amplitude decreases with increasing  $r$
- Distance between zero-crossings is constant with increasing  $r$
- ...but distance between maxima isn't!
  - **Gets longer with increasing  $r$**



# Wave Equation in Physics (1)

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- In electromagnetics, we have Maxwell's equations
- General equations are in terms of vectors, yielding a more general **vector wave equation**
  - Won't worry about in ECE6279
- For a transverse electromagnetic wave, any particular component of the electric or magnetic fields satisfies the 3-D scalar wave equation





# Wave Equation in Physics (2)

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- In acoustics, 3-D wave equation gives
  - Sound pressure at a point in space and time
  - In fluids, have **compressional** waves
  - Solids have both **compressional** and **transverse** waves
  - By linearity, compressional and transverse waves propagate separately, each its own velocity  $c$
- **2-D wave equation** gives vertical displacement of waves in shallow water

