ELECTROLYTE GATING OF THE TWO-DIMENSIONAL PROXIMATE KITAEV SPIN LIQUID α -RUTHENIUM TRICHLORIDE

A DISSERTATION SUBMITTED TO THE DEPARTMENT OF APPLIED PHYSICS AND THE COMMITTEE ON GRADUATE STUDIES OF STANFORD UNIVERSITY IN PARTIAL FULFILLMENT OF THE REQUIREMENTS FOR THE DEGREE OF DOCTOR OF PHILOSOPHY

Derrick Sherrod Boone, Jr. February 2019

Abstract

The abstract goes here.

"What is true is mine." -Seneca $\,$

Acknowledgements

Here is where I thank people and include some quotes.

Contents

| \mathbf{A} | bstra | nct | iv |
|------------------|--------|--|----|
| \mathbf{A} | ckno | wledgements | vi |
| 1 | Intr | roduction | 1 |
| | 1.1 | Phases of matter | 1 |
| | 1.2 | Topological Phases | 3 |
| | | 1.2.1 The toric code | 3 |
| | | 1.2.2 Example: Quantum Hall Effects | 5 |
| | 1.3 | Spin Liquids | 5 |
| 2 | Pro | perties of α -Ruthenium Trichloride | 4 |
| 3 | Elec | ctrolyte Gating | 5 |
| \mathbf{B}^{i} | iblios | graphy | 6 |

List of Tables

| 1.1 | Selected | phases and | l their | associated | broken symmetri | es | | | | | | 2 |
|-----|----------|------------|---------|------------|-----------------|----|--|--|--|--|--|---|
|-----|----------|------------|---------|------------|-----------------|----|--|--|--|--|--|---|

List of Figures

Chapter 1

Introduction

Condensed matter physics is the rigorous study of what happens when a large number of cold atoms at high density are allowed to interact. It tells us why and at what temperature water freezes, why magnets attract some materials and not others, why glass is clear, why metal is shiny, and many other things. It is the branch of physics that reveals the richness of our physical world.

1.1 Phases of matter

Many materials that differ in their constituents and microscopic structure have similar bulk properties. For example, although water and mercury at ambient conditions have dramatically different densities and electrical conductivities, they are both nearly incompressible and deform continuously when a shear stress is applied. We capture these similarities by saying water and mercury are both in the liquid phase¹. Phases of matter arise not just because of properties of the matter, but also how the matter is arranged.

A phase of matter has uniform equilibrium thermodynamic properties (density, magentization, etc.) and is defined by these properties being analytic functions of the

¹Depending on the context, there may be a difference between a *phase* of matter and and *state* of matter. I will use phase in this dissertation as it seems to apply more generally.

thermodynamic parameters (e.g., temperature, pressure) [1]. Therefore, the properties of matter in static equilibrium in a given phase are the same for all space, and these properties are smooth functions of the parameters. For example, liquid water at a uniform temperature has the same density everywhere, and when it is heated by a small amount, its density decreases by a corresponding small amount. Phases of matter are separated by phase transitions, where the thermodynamic properties (or their derivatives with respect to a parameter) are no longer continuous². For example, when liquid water boils at ambient pressure, its temperature remains the same, but its density decreases discontinuously by a factor of 10⁶.

We can also use Landau theory [3] to describe phases of matter by the symmetries of their Hamiltonian³, and the phase transitions between them as the breaking or recovery of those symmetries. For example, when a liquid freezes into a solid crystal, the continuous translational symmetry of the liquid phase becomes a discrete translational symmetry as the molecules in the liquid assemble themselves into a liquid. Another example is a material transitioning from a non-magnetic to ferromagnetic phase. When the magnetic moments of the material align, it gains an overall macroscopic magnetization, breaking rotational symmetry. The following table lists some common phases and the symmetries they break [4].

| Phase | Fluid | Nematic | Smectic-A | Crystal | Heisenberg Magnet | Superfluid |
|-----------------|-------|------------|----------------|----------------|-------------------|------------|
| Broken Symmetry | None | Rotational | 1D Translation | 3D Translation | Rotational | Phase |

Table 1.1: Selected phases and their associated broken symmetries

However, in addition to the above examples, there are kinds of matter which maintain a single set of symmetries but nonetheless have phases separated by phase transitions. These kinds of matter possess topological order - a type of order that can define a phase of matter just like symmetry can [5].

²Infinite order phase transitions are a theoretical exception. See [2]

 $^{^3}$ A symmetry is an operation which leaves the Hamiltonian of the system invariant. For example, the Hamiltonian of a particle in free space $H=\sum_i \frac{p_i^2}{2m}$ is invariant under spatial translation $x\to x+a$

1.2 Topological Phases

Topological order is a property of quantum systems that have both large ground state degeneracy and long-range entanglement. In these systems, there is no local order parameter like density or magnetization. Instead, there is a global topological invariant that changes discontinuously between phases [6]. First, we set out to understand topology by considering the properties of a simple quantum topological system - Kitaev's toric code. Using the topological concepts we learn from the toric code, we will be able to understand the topological nature of some physical systems, including quantum hall states and the eventual subject of this dissertation: the spin liquid.

1.2.1 The toric code

The following explanation draws heavily from [7] and [8].

Consider a system of spin- $\frac{1}{2}$ particles living on the edges of a square lattice. We first define two operators:

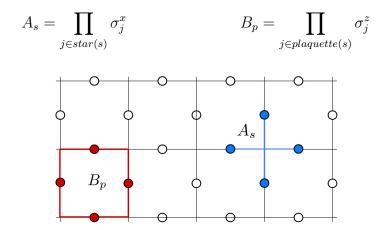


Figure 1.1: A square lattice with a spin- $\frac{1}{2}$ particles on each edge. The toric code operators A_s and B_p act on the spins highlighted in blue and red, respectively. Diagram from [8].

The operator A_s multiplies the spin in the x direction for all spins connected at vertex s (for star). The operator B_p multiplies the spin in the z direction for all the

spins around a square p (for plaquette). Operators A_s and B_s are both Hermitian with eigenvalues ± 1 . Perhaps surprisingly, they also commute. While it is clear the operators commute for distant vertices and plaquettes, we can also see that they commute for a vertex and plaquette with overlapping bonds because a vertex and plaquette will always share exactly two edges [7].

With an established understanding of A_s and B_p , let us consider the ground state of the following Hamiltonian:

$$H = -A \prod_{s} A_s - B \prod_{p} B_p \tag{1.1}$$

Because A_s and B_s commute, the ground state of the Hamiltonian is simply the ground state that simultaneously minimizes the energy for both operators. Consider first the minimal energy state associated with A_s in the σ^z basis. Provided that exactly two bonds in a each plaquette have the same spin, the A_s term in the Hamiltonian will be minimized. Having only two bonds in each plaquette with the same spin defines a "loop gas" - any state that consists of closed loops of the same spin will be a satisfactory ground state. This large number of states that minimize the energy of the Hamiltonian satisfies the first requirement for topological order: massive ground state degeneracy.

Now consider the minimal energy state associated with B_p . In the σ^x basis, using the above argument we find that the minimal energy state consists of loops of the same spin drawn on the dual lattice.⁴ But in the σ^z basis, σ^x is off-diagonal. Therefore the states that minimize B_p in the σ^z basis are necessarily superposition states - in this case an equal weight superposition of all the possible states that minimize A_s [9].⁵ This kind of superposition cannot be factored into a product state, and therefore satisfies the second requirement for topological order: long-range entanglement.

We have seen that the ground state of the toric code Hamiltonian satisfies the

⁴The dual of a lattice R is the set \hat{R} of all vectors $\mathbf{x} \in \text{span}(\Lambda)$ such that $\mathbf{x} \cdot \mathbf{y}$ is an integer for all $\mathbf{y} \in \Lambda$. In this case, the dual lattice is a square lattice with the same lattice constant having a vertex at the center of each plaquette in the original lattice.

⁵This illustrates a beautiful symmetry of the toric code. In either (or any) basis, the ground state is highly entangled, as it must be.

requirements for having topological order. But what can we observe about this system that shows it to have topological order? Further investigation of this system shows that it allows quasiparticle excitations with fractional quantum numbers.

Consider the ground state of the toric code Hamiltonian to be a vacuum state of closed spin loops. What if we were to flip a spin in the lattice? To do so, we would add some amount of energy (either A or B from the Hamiltonian) and break the string into a loop. Any spin flip excitation must carry integer spin $\left(-\frac{1}{2} \text{ to } \frac{1}{2} \text{ or vice-versa is a change by an integer amount}\right)$. However, when we break a loop into a string, we get two quasiparticle excitation "ends", each of which may diffuse around the lattice by smooth deformations⁶ of the loops in the ground state. While flipping a spin changes the spin of the system by an integer, there are two physically separate quasiparticle excitations over which the integer spin is distributed. Each end of the string is therefore a quasiparticle excitation carrying fractional spin. Fractional excitations are the hallmark of topological order, and their presence in a system is proof that it is topologically nontrivial.

1.2.2 Example: Quantum Hall Effects

1.3 Spin Liquids

Fuck all this - teach topology with the Toric code.

Interacting system spins on a 2D lattice with a special hamiltonian. The ground state of this Hamiltonian is a loop gas - as long as there are no free ends, the energy of the system is the same. Therefore, any state with loops is a good state. The vertex operator changes between degenerate states. Basically, it changes the loop configuration.

If you put this lattice on a torus, then you get loops that cannot be deformed by the vertex operator smoothly into other loops. These are the loops that go across the periodic boundary.

⁶If we flip two and only two spins that share a vertex or a plaquette, we have not changed the energy of the system. Accordingly, such changes are allowed and can smoothly deform loops without breaking them

Things to remember: Quantum hall states have topological order - consider the conductivity an order parameter - as you change the field this parameter changes discontinuously without a change in symmetry. The chern number you can derive from the band structure (?) and is a topological order parameter that changes. Maybe I can start with the quantum hall effect?

Start with IQHE - explain it and then show how the conductivity is an order parameter. Then show how the FQHE extends the IQHE. Then show the topological excitations in the FQHE are evidence of topological order and their presence requires the ground state to have topological order. Then show that spin liquids are a state that has topological excitations, and therefore topological order - even in the absence of symmetry breaking.

Somehow topological order is connected to fractional excitations in two dimensions. Fractional quantum hall liquids always have edge excitations.

Topological order is connected to the ground state degeneracy of a system. The ground state degeneracy is somehow related to the topological order parameter.

Consider using the Toric code.

What is condensed matter, what's a spin liquid, why gate it, etc... This is going okay so far.

Bibliography

- [1] R. K. Pathria and Paul D. Beale. *Statistical Mechanics*. Butterworth-Heinemann, Oxford, UK, 3rd edition, 2011.
- [2] O. Costin, R. D. Costin, and C. P. Grünfeld. Infinite order phase transition in a classical spin system. *J Stat Phys*, 59:1531–1546, 1990.
- [3] L. Landau. On the theory of phase transitions. Zh. Eksp. Teor. Fiz., 7:19–32, 1937.
- [4] P. M. Chaikin and T. C. Lubensky. Principles of Condensed Matter Physics. Cambridge University Press, Cambridge, UK, 1st edition, 1995.
- [5] X. G. Wen. Topological Orders in Rigid States. *International Journal of Modern Physics B*, 4:239–271, 1990.
- [6] Xiao-gang Wen. Colloquium: Zoo of quantum-topological phases of matter. Reviews of Modern Physics, 89:1–17, 2017.
- [7] A Yu Kitaev. Fault-tolerant quantum computation by anyons. *Annals of Physics*, 303:2–30, 2003.
- [8] A. Akhmerov, J. Sau, B. van Heck, M. Irfan, B. Nijholt, and T. O. Rosdahl. Introduction to topological order, 2019. Available at https://topocondmat.org/w12_manybody/topoorder.html.
- [9] Lucille Savary and Leon Balents. Quantum Spin Liquids: A Review. Reports on Progress in Physics, 80(016502), 2017.