# R-CNN

## Daniel Schwartz

# May 2019

# 1 Notes

# 1.1 Representor Theorem

## 1.1.1 Statistical Learning Theory

• From Statistics and Functional Analysis

# 1.1.2 Group of algorithms that cater to pattern analysis

- Focus on identifying patterns in data
- Most require data converted into feature vectors

## 1.1.3 Kernel Methods

- Do not require feature vectors but similarity functions
- Have advantage of operating on a feature space
  - Computes values of pairs of data without considering coordinates of that data space (dot product)
- Employ memory-based learning
  - Instead of generalization approach it compares unknown and new instances to training instances stored in memory

### 1.1.4 RKHS

- Derived from Hilbert Space
  - Vector space that generalizes 2D and 3D objects
  - Vector space with an inner product (f,g) such that the norm is

$$|f| = \sqrt{f, f} \tag{1}$$

turns into a complete metric space

- Establishes a linear relationship in a Hilbert space
- Norm should be as small as possible for it to be linearly functional

### .1.5 Representer Theorem

- Applications
  - Pattern analysis and SVM
- Problem
  - Kernels possess problem of infinite dimensional space that may seem mathematically feasible but not practically viable
    - \* Especially for training a learning machine dealing with optimization

# 1.1.6 Without prior assumptions (non-parametric)

• Given a non-empty set X, a positive definite real-valued kernel k on  $X \times X$ , a training sample

$$(x_1, y_1), \dots, (x_m, y_m) \in X \times R \tag{2}$$

a strictly monotonically increasing real-valued function g on  $[0,\infty],$  an arbitrary cost function

$$c: (X \times R2)^m \to R \cup \infty \tag{3}$$

and a class of functions

$$F = f \in R^x | f(\bullet) = (\Sigma)_{i=1}^{\infty} \beta_i k(\bullet, z_i), \beta_i \in R, z_i \in X, ||f|| < \infty$$
 (4)

- Here is the norm in the RKHS associated with k, i.e. for any  $z_i \in X$
- Then any  $f \in F$  minimizing the regularized risk functional

$$c((x_1, y_1, f'(x_1), \dots, (x_m, y_m, f'(x_m)))) + g(||f||)$$
 (5)

• Admits a representational form

$$f(\bullet) = \sum_{i=1}^{m} (a_i k(\bullet, x_i)^{"})$$
 (6)

### 1.1.7 With partial assumptions (semi-parametric)

• Suppose that in addition to the assumptions of the previous theorem we are given a set of M real-valued functions

$$\psi p M p = 1 \text{ on } X \tag{7}$$

with the property that the  $m \times M$  matrix  $(\psi p(xi))ip$  has rank M (8)

then any 
$$f' := f + h$$
 with  $f \in F$  and  $h \in \text{span } \psi p$  (9)

• minimizing the regularized risk

$$c((x_1, y_1, f'(x_1), \dots, (x_m, y_m, f'(x_m)))) + g(||f||)$$
 (10)

• Admits a representational form

$$f(\bullet) = \sum_{i=1}^{m} (a_i)k(x_i, \bullet) + \sum_{p=1}^{M} (\beta_p \psi_p(\bullet)), \tag{11}$$

• with unique coefficients  $\beta_p \in R$  for all p = 1, ..., M

\*\*Above theorems minimise factors such as real-valued function g and cost function c. In ML context, these theorems give provisions for kernels in the training data.

# 1.2 Capsule Network

# 1.2.1 Advantages

- Require less training
- Affine transformations
- Activation vectors are easy to interpret

### 1.2.2 Capsule

- Any function that tries to predict the presence and instantiation parameters of a particular object at a given location
- Activation vector
  - Length: estimated probability of presence
  - Orientation: object's estimated pose parameters
- Implementing
  - Squash all vectors length to be between 0 and 1

### 1.2.3 Equivariance

• Allows image segmentation as opposed to CNN losing data through pooling layers

# 1.2.4 Every capsule in the first layer tries to predict the output of every capsule in the next layer

- Dot product of transformation matrix with its own activation vector
- First layer learns all part/whole relationships

### 1.2.5 Routing by agreement

- If lower-order capsules agree on a higher-order capsule then only consider higher-order capsule
- Allows for a cleaner input signal and more accurately determine pose of object
- Easily navigate hierarchy of parts and know which part belongs to which object
- Helps with overlapping objects and crowded scenes

## 1.2.6 RBA Implementation - Clusters of Agreement

- Set raw weights to 0 for all features
- Apply softmax function to raw weights for each primary capsule
- Compute mean of all predictions
- Measure distance between each predicted vector and mean vector (Scalar product) to see how much agree
- Result is weight of vector
- Then calculate weighted mean
- Reassign weights
- Repeat weighted mean process 3-5 times
- Find weighted sum
- Squash sum

### 1.3 Faster R-CNN

- Fully convolutional region proposed network to generate object-like regions
- Classifier after RPN to further infer candidate regions

# 1.4 Convolution layers

- At the convolution layer, the previous layer's feature maps are convolved with learnable kernels
- Trainable bias parameter is added
- Result is processed by the activation function to form the output feature map

# 1.5 Feature pooling layer

- This layer treats each feature map separately
- In general, this layer is called the subsampling layer
  - Produces down-sampled versions of the input maps
  - This means that the number of input and output maps is the same
  - Output maps are smaller in size
- Results are robust to small variations in the location of features in the previous layer

# 1.6 Fully connected (FC) layers

- After data processing by several convolutional and subsampling layers
- High-level reasoning in the neural network is performed via FC layers
- Neurons in an FC layer have full connections to all activations in the previous layer
- Their activations can hence be computed with a matrix multiplication followed by a bias offset

# 2 Research Papers

## 2.1 Accurate object detection

## 2.1.1 Neocognitron

- Hierarchical and shift-invariant model for pattern recognition
- Fukushima's method had limited empirical success in part because it lacked a supervised training algorithm
- LeCun and colleagues demonstrated that stochastic gradient descent via backpropagation was effective for training deeper networks for challenging real-world handwritten character recognition problems.

# 2.1.2 Their method generates around 2000 category-independent region proposals for the input image

- Extracts a fixed-length feature vector from each proposal using a CNN
- Classifies each region with category-specific linear SVMs
- Use a simple warping technique (anisotropic image scaling) to compute a fixed size CNN input from each region proposal, regardless of the region's shape

### 2.1.3 Object detection system consists of three modules

- The first generates category-independent region proposals These proposals define the set of candidate detections available to our detector
- The second module is a convolutional network that extracts a fixed-length feature vector from each region
- The third module is a set of class-specific linear SVMs.

# ${f 2.1.4}$ While R-CNN is agnostic to the particular region proposal method

 Use selective search to enable a controlled comparison with prior detection work

# 2.1.5 Run selective search on the test image to extract around 2000 region proposals

- Use selective search's "fast mode" in all experiments
- Warp each proposal and forward propagate it through the CNN in order to compute features
- For each class, score each extracted feature vector using the SVM trained for that class
- Given all scored regions in an image, we apply a greedy non-maximum suppression for each class independently
  - Rejects a region if it has an intersection-over-union (IoU) overlap with a higher scoring selected region larger than a learned threshold.

# 2.1.6 To adapt the CNN to the new task (detection) and the new domain (warped proposal windows)

• Continue stochastic gradient descent (SGD) training of the CNN parameters using only warped region proposals

- First-layer filters can be visualized directly and are easy to understand and they capture oriented edges and opponent colors
- Single out a particular unit (feature) in the network and use it as if it were an object detector in its own right
  - Compute the unit's activations on a large set of held-out region proposals (about 10 million)
  - Sort the proposals from highest to lowest activation
  - Perform non-maximum suppression
  - Display the top-scoring regions

# 2.1.7 Computing features on CPMC regions (all of which begin by warping the rectangular window around the region to 227 x 227)

- The first strategy (full) ignores the region's shape and computes CNN features directly on the warped window
  - These features ignore the non-rectangular shape of the region
  - Two regions might have very similar bounding boxes while having very little overlap
- The second strategy (fg) computes CNN features only on a region's foreground mask
  - Replace the background with the mean input so that background regions are zero after mean subtraction
- The third strategy (full+fg) simply concatenates the full and fg features

## 2.2 Optical remote sensing images

# 2.2.1 Detection of dense objects in optical remote sensing images

# 2.2.2 Adopt dilated convolutions instead of traditional convolutions to improve precision

- As certain objects in satellite remote sensing images are small and difficult to detect
- 2.2.3 Adopt a bootstrapping strategy called Online Hard Example Mining for mining hard negative examples, and we add it to Faster RCNN.
  - Use a multi-scale representation and its combinations in a new manner
    - Propose a fully convolutional neural network instead of the fully connected layers in the Faster RCNN framework.

• The object detection accuracy and recall show significant improvement with their approach

# 2.2.4 RCNN

- Combines CNNs and a support vector machine (SVM) as well as bounding boxes to detect objects
- RCNNs can be used to detect objects with high accuracy
- Used unsupervised pre-training followed by supervised fine-tuning
  - When labeled data is scarce

# 2.3 Large-scale remote sensing images

### 2.3.1 A unified and self-reinforced CNN R<sup>2</sup>-CNN

- Composed of the backbone Tiny-Net, intermediate global attention block, and final classifier and detector
- Enabling the entire network efficient in both computation and memory consumption
- Robust to false positives
- Strong to detect tiny objects

## 2.3.2 Algorithm

- First, as a unified and self-reinforced framework,
- $R^2$ -CNN first crops large-scale images with a much more smaller scale (such as 640 x 640 pixels) with 20 percent overlap to tackle the oversized input size
  - By processing the patches asynchronously, the limited memory is not a problem anymore
- A convolutional backbone structure is then applied to inputs, which enables powerful features extraction
- Based on the discriminative features, a classifier first predicts the existence of detection target in the current patch
- Detector is followed to locate them accurately if available
- Classifier and detector are mutually reinforced each other under the endto-end training framework

### 2.3.3 Self-reinforced architecture

- Since, in large-scale remote sensing images, most crops do not contain valid target so that about 99 percent of the total patches do not need to pass the heavy detector branch
- Light classifier branch can filter out a blank patch without heavier detector cost
- As most false positives commonly occur with massive backgrounds, benefited from the self-reinforced framework, the classifier can identify the difficult situation even when there is only one tiny object in the patch given the fine-grained features from the detector
- The detector receives less false positive candidates since most of them are filtered out by the classifier
- Even if the patches are distinguished incorrectly by a classifier, the detector can still rectify the results later

# 2.3.4 Inserted an efficient zoom-out and zoom-in architecture in Tiny-Net to enlarge the feature map without margin cost

- Improves the recall of tiny objects obviously
- Position-sensitive RoI pooling is also used to get more spatial information

## 2.4 Vehicle detection

### 2.4.1 Cons with Faster R-CNN

- Poor performance for locating small-sized vehicles accurately
- Classifier after RPN cannot distinguish vehicles and complex backgrounds

# 2.4.2 Hyper Region Proposal Network

- Use pre-trained ZF model based on ImageNet
- Predicts all possible bounding boxes of vehicle-like objects with high recall rate with a combination of hierarchical feature maps
- Replace classifier after RPN by cascade of boosted classifiers to verify candidate regions

### 2.4.3 HRPN Layers

- First convolutional layer takes training images as input and has 96 kernels  $(7 \times 7 \times 34)$
- Second convolutional layer output of previous and filters it with a stride of 2 pixels by 256 kernels (5 x 5 x 96)
- $\bullet$  Third, Fourth, and Fifth convolutional layers are directly connected to each other with 384 kernels (3 x 3 x 384) and the last of 256 kernels (3 x 3 x 256)

### 2.4.4 Algorithm

- Crop large-scale images into blocks and rotate blocks every 90 degrees
- Send blocks to HRPN
- Generate candidate regions
  - Use sliding window operation on hyper feature maps
  - Parameters of weight are set by Gaussian and parameters of bias are set by constant
  - Extract 256-d feature vector for each 256 region proposal
- If a predicted region has Intersection-over-Union bigger than 0.7 with ground truth, assign a positive label, else if less than 0.1 we assign negative, else we discard
  - All positive and negative region proposals are fed to loss function

### 2.4.5 Detection Task Related Work

- Generation of candidate regions
- Sliding-window search algorithm
- Region-proposal methods: merge segments that are likely to include objects

# 2.4.6 Feature extraction

- Haar-like features
- Local binary patterns
- Scale-invariant feature transform descriptors

### 2.4.7 Classification

- SVM
- AdaBoost

# 2.5 Optic Disc R-CNN

# 2.5.1 Regional CNN using an object detection based method

- Region proposal network
- Feature maps from feature extraction are fed into RPN
- Proposes score to indicate probability contains optic disc/cup
- 9 anchors are generated (3 scales x 3 aspect ratios) from sliding windows

## 2.5.2 Region of interest pooling

- Crops small regions of feature maps according to coordinates of candidate bounding boxes
- Max pooling is applied to blocks of size k x k

### 2.5.3 Classifier

 Deep convolutional layers which generate encoded forms of bounding box's coordinates

# 2.5.4 End-to-end deep learning framework where feature maps are shared for segmentation and attention mechanisms

- Feature Extraction is made up of deep convolutional layers to extract feature representations for original images
- Introduce atrous convolution to extract more dense features to improve bounding box accuracy

### 2.5.5 Object detection

- Finds minimal bounding boxes of ellipses
- Ignores rotational angle

# 2.6 Emotion classification

- 2.6.1 Current classification only focuses on whole level image ignoring sentimental response of multi-level visual features from local regions which contribute to diverse emotion reactions
  - Feature pyramid network to extract multi-scale deep feature maps related to image emotion
  - Extracted from different convolutional layers combine high-level semantic features with low-level deep features
  - Consists of:
    - Bottom-up pathway: feed-forward computation of normal backbone convolutional network
    - Top-down pathway: Combine different levels of feature maps extracted from bottom-up pathway
    - Lateral connections between both

# 2.6.2 Region-based CNN that can effectively extract local emotional info from emotional regions of image

- Ignores noisy info generating non-emotional regions
- Faster R-CNN: Extracts emotional region from image
  - Two-stage detector mainly consisting of three major parts
    - \* Shared bottom convolutional layers (FPN)
    - \* Region proposal network
    - \* Classifier built for region-of-interest

## 2.6.3 Algorithm

- Set of local deep representations of emotional regions is collected
- Global deep representation of whole image is concatenated with local deep representations
- Followed by a softmax layer transformed into a probability distribution of different emotions
- Considers emotion class probability
  - Emotions are subjective and one class may not be confident