

Lecture 24 – More Naive Bayes



DSC 40A, Fall 2022 @ UC San Diego

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Announcements

- ▶ Look at the readings linked on the course website!
- ▶ We will have the Thanksgiving break, so there is no class on Friday this week.
- ▶ The final is coming, so there will be a review session.

Agenda

- ▶ Naive Bayes.
- ▶ Text classification.
- ▶ Practical demo.

Naive Bayes

Naive Bayes classifier

- ▶ We want to predict a class, given certain features.
- ▶ Using Bayes' theorem, we write

$$P(\text{class}|\text{features}) = \frac{P(\text{class}) \cdot P(\text{features}|\text{class})}{P(\text{features})}$$

- ▶ For each class, we compute the numerator using the **naive assumption of conditional independence of features given the class**.
- ▶ We estimate each term in the numerator based on the training data.
- ▶ We predict the class with the largest numerator.
 - ▶ Works if we have multiple classes, too!



na·ive

/nā'ēv/

adjective

(of a person or action) showing a lack of experience, wisdom, or judgment.

"the rather naive young man had been totally misled"

- (of a person) natural and unaffected; innocent.
"Andy had a sweet, naive look when he smiled"

Similar:

innocent

unsophisticated

artless

ingenuous

inexperienced



- of or denoting art produced in a straightforward style that deliberately rejects sophisticated artistic techniques and has a bold directness resembling a child's work, typically in bright colors with little or no perspective.

Example: comic characters

| ALIGN | SEX | COMPANY |
|---------|--------|---------|
| Bad | Male | Marvel |
| Neutral | Male | Marvel |
| Good | Male | Marvel |
| Bad | Male | DC |
| Good | Female | Marvel |
| Bad | Male | DC |
| Good | Male | DC |
| Bad | Male | Marvel |
| Good | Female | Marvel |
| Bad | Female | Marvel |

My favorite character is a male Marvel character. Using Naive Bayes, would we predict that my favorite character is bad, good, or neutral?

| ALIGN | SEX | COMPANY |
|---------|--------|---------|
| Bad | Male | Marvel |
| Neutral | Male | Marvel |
| Good | Male | Marvel |
| Bad | Male | DC |
| Good | Female | Marvel |
| Bad | Male | DC |
| Good | Male | DC |
| Bad | Male | Marvel |
| Good | Female | Marvel |
| Bad | Female | Marvel |

$$P(\text{bad}|\text{male, Marvel}) \propto P(\text{bad}) \cdot P(\text{male, Marvel}|\text{bad})$$

$$P(\text{male, Marvel}|\text{bad}) = P(\text{male}|\text{bad}) \cdot P(\text{Marvel}|\text{bad})$$

$$P(\text{bad}) = \frac{5}{10}$$

$$P(\text{male}|\text{bad}) = \frac{3}{5}$$

$$P(\text{Marvel}|\text{bad}) = \frac{2}{5}$$

$$P(\text{bad}|\text{male, Marvel}) \propto \frac{5 \cdot 3 \cdot 2}{10 \cdot 5 \cdot 5} = \frac{3}{25}$$

| ALIGN | SEX | COMPANY |
|---------|--------|---------|
| Bad | Male | Marvel |
| Neutral | Male | Marvel |
| Good | Male | Marvel |
| Bad | Male | DC |
| Good | Female | Marvel |
| Bad | Male | DC |
| Good | Male | DC |
| Bad | Male | Marvel |
| Good | Female | Marvel |
| Bad | Female | Marvel |

$$P(\text{good}|\text{male, Marvel}) \propto P(\text{good}) \cdot P(\text{male, Marvel}|\text{good})$$

$$P(\text{male, Marvel}|\text{good}) = P(\text{male}|\text{good}) \cdot P(\text{Marvel}|\text{good})$$

$$P(\text{good}) = \frac{4}{10}$$

$$P(\text{male}|\text{good}) = \frac{2}{4}$$

$$P(\text{Marvel}|\text{good}) = \frac{3}{4}$$

$$P(\text{good}|\text{male, Marvel}) \propto \frac{4 \cdot 2 \cdot 3}{10 \cdot 4 \cdot 4} = \frac{3}{20}$$

| ALIGN | SEX | COMPANY |
|---------|--------|---------|
| Bad | Male | Marvel |
| Neutral | Male | Marvel |
| Good | Male | Marvel |
| Bad | Male | DC |
| Good | Female | Marvel |
| Bad | Male | DC |
| Good | Male | DC |
| Bad | Male | Marvel |
| Good | Female | Marvel |
| Bad | Female | Marvel |

$$P(\text{neutral}|\text{male, Marvel}) \propto P(\text{neutral}) \cdot P(\text{male, Marvel}|\text{neutral})$$

$$P(\text{male, Marvel}|\text{neutral}) = P(\text{male}|\text{neutral}) \cdot P(\text{Marvel}|\text{neutral})$$

$$P(\text{neutral}) = \frac{1}{10}$$

$$P(\text{male}|\text{neutral}) = \frac{1}{1} = 1$$

$$P(\text{Marvel}|\text{neutral}) = \frac{1}{1} = 1$$

$$P(\text{neutral}|\text{male, Marvel}) \propto \frac{1}{10}$$

Example: comic characters

| ALIGN | SEX | COMPANY |
|---------|--------|---------|
| Bad | Male | Marvel |
| Neutral | Male | Marvel |
| Good | Male | Marvel |
| Bad | Male | DC |
| Good | Female | Marvel |
| Bad | Male | DC |
| Good | Male | DC |
| Bad | Male | Marvel |
| Good | Female | Marvel |
| Bad | Female | Marvel |

My other favorite character is a **male** Marvel character. Using Naive Bayes, would we predict that my favorite character is bad, good, or neutral? **Good!**

Example: comic characters

| ALIGN | SEX | COMPANY |
|---------|--------|---------|
| Bad | Male | Marvel |
| Neutral | Male | Marvel |
| Good | Male | Marvel |
| Bad | Male | DC |
| Good | Female | Marvel |
| Bad | Male | DC |
| Good | Male | DC |
| Bad | Male | Marvel |
| Good | Female | Marvel |
| Bad | Female | Marvel |

My other favorite character is a **female** Marvel character. What is the probability that this character is neutral?

| ALIGN | SEX | COMPANY |
|---------|--------|---------|
| Bad | Male | Marvel |
| Neutral | Male | Marvel |
| Good | Male | Marvel |
| Bad | Male | DC |
| Good | Female | Marvel |
| Bad | Male | DC |
| Good | Male | DC |
| Bad | Male | Marvel |
| Good | Female | Marvel |
| Bad | Female | Marvel |

$$P(\text{neutral}|\text{female, Marvel}) \propto P(\text{neutral}) \cdot P(\text{female, Marvel}|\text{neutral})$$

$$P(\text{female, Marvel}|\text{neutral}) = P(\text{female}|\text{neutral}) \cdot P(\text{Marvel}|\text{neutral})$$

$$P(\text{neutral}) = \frac{1}{10}$$

$$P(\text{female}|\text{neutral}) = \frac{0}{1} = 0$$

$$P(\text{Marvel}|\text{neutral}) = \frac{1}{1} = 1$$

$$P(\text{neutral}|\text{female, Marvel}) \propto 0$$

Uh oh...

- ▶ There are no neutral female characters in the data set.
- ▶ The estimate $P(\text{female}|\text{neutral}) \approx \frac{\text{\# female neutral characters}}{\text{\# neutral characters}}$ is 0.
- ▶ The estimated numerator,
 $P(\text{neutral}) \cdot P(\text{female, Marvel}|\text{neutral}) =$
 $P(\text{neutral}) \cdot P(\text{female}|\text{neutral}) \cdot P(\text{Marvel}|\text{neutral})$,
is also 0.
- ▶ But just because there isn't a neutral female character in the data set, doesn't mean they don't exist!
- ▶ **Idea:** Adjust the numerators and denominators of our estimate so that they're never 0.

Smoothing

- ▶ **Without** smoothing:

$$P(\text{female}|\text{neutral}) \approx \frac{\# \text{ female neutral}}{\# \text{ female neutral} + \# \text{ male neutral}}$$

$$P(\text{male}|\text{neutral}) \approx \frac{\# \text{ male neutral}}{\# \text{ female neutral} + \# \text{ male neutral}}$$

- ▶ **With** smoothing:

$$P(\text{female}|\text{neutral}) \approx \frac{\# \text{ female neutral} + 1}{\# \text{ female neutral} + 1 + \# \text{ male neutral} + 1}$$

$$P(\text{male}|\text{neutral}) \approx \frac{\# \text{ male neutral} + 1}{\# \text{ female neutral} + 1 + \# \text{ male neutral} + 1}$$

- ▶ When smoothing, we add 1 to the count of every group whenever we're estimating a probability.

| ALIGN | SEX | COMPANY |
|---------|--------|---------|
| Bad | Male | Marvel |
| Neutral | Male | Marvel |
| Good | Male | Marvel |
| Bad | Male | DC |
| Good | Female | Marvel |
| Bad | Male | DC |
| Good | Male | DC |
| Bad | Male | Marvel |
| Good | Female | Marvel |
| Bad | Female | Marvel |

$$P(\text{neutral}|\text{female, Marvel}) \propto P(\text{neutral}) \cdot P(\text{female, Marvel}|\text{neutral})$$

$$P(\text{female, Marvel}|\text{neutral}) = P(\text{female}|\text{neutral}) \cdot P(\text{Marvel}|\text{neutral})$$

$$P(\text{neutral}) = \frac{1}{10}$$

$$P(\text{female}|\text{neutral}) = \frac{1}{2}$$

$$P(\text{Marvel}|\text{neutral}) = \frac{2}{3}$$

$$P(\text{neutral}|\text{female, Marvel}) \propto \frac{1}{30}$$

Summary: Naive Bayes classifier

- ▶ In classification, our goal is to predict a discrete category, called a **class**, given some features.
- ▶ We want to predict a class, given certain features.
- ▶ For each class, we compute the numerator using the **naive assumption of conditional independence of features given the class**.
- ▶ We estimate each term in the numerator based on the training data.
- ▶ We predict the class with the largest numerator.
 - ▶ Works if we have multiple classes, too!

Summary: Naive Bayes classifier

- ▶ The Naive Bayes classifier works by estimating the numerator of $P(\text{class}|\text{features})$ for all possible classes.
- ▶ It uses Bayes' theorem:

$$P(\text{class}|\text{features}) = \frac{P(\text{class}) \cdot P(\text{features}|\text{class})}{P(\text{features})}$$

- ▶ It also uses a simplifying assumption, that features are conditionally independent given a class:

$$P(\text{features}|\text{class}) = P(\text{feature}_1|\text{class}) \cdot P(\text{feature}_2|\text{class}) \cdot \dots$$

Text classification

Text classification

- ▶ Text classification problems include:
 - ▶ Sentiment analysis (e.g. positive and negative customer reviews).
 - ▶ Determining genre (news articles, blog posts, etc.).
 - ▶ **Spam filtering.**
- ▶ **Our goal:** given the body of an email, determine whether it's **spam** or **ham** (not spam).

Shutterfly

11/3/21

Thank us later—snag an EXTRA 20% OFF your holiday card an...

Plus, claim your 4 freebies (today only)! > | View web version 📺

Order cards and gifts now to avoid delays UP TO 50% OFF...

Alumni Alliances

11/2/21

Univ. of Cal. Berkeley Alumni Club Invites Suraj from Halicioğl...

Have you claimed your members-only access? Hi Suraj, You're
Invited to Join Alumni Alliances, an invitation-only alumni club....

IRS.gov

11/1/21

Re: You are Eligible For a Tax Return on Nov 1, 06:01:52 pm



Third Round of Economic Impact Payments Status Available.

Question: How do we come up with features?

Features

Idea:

- ▶ Choose a **dictionary** of d words, e.g. “prince”, “money”, “free”...
- ▶ Represent each email with a **feature vector** \vec{x} :

$$\vec{x} = \begin{bmatrix} x^{(1)} \\ x^{(2)} \\ \dots \\ x^{(d)} \end{bmatrix}$$

where

- ▶ $x^{(i)} = 1$ if word i is present in the email, and
- ▶ $x^{(i)} = 0$ otherwise.

This is called the **bag-of-words** model.

Concrete example

- ▶ Dictionary: “prince”, “money”, “free”, and “xxx”.
- ▶ Dataset of 5 emails (red are spam, green are ham):
 - ▶ **“I am the prince of UCSD and I demand money.”**
 - ▶ **“Tapioca Express: redeem your free Thai Iced Tea!”**
 - ▶ **“DSC 40A: free points if you fill out CAPEs!”**
 - ▶ **“Click here to make a tax-free donation to the IRS.”**
 - ▶ **“Free COVID-19 tests at Prince Center.”**

Naive Bayes for spam classification

$$P(\text{class} \mid \text{features}) = \frac{P(\text{class}) \cdot P(\text{features} \mid \text{class})}{P(\text{features})}$$

- ▶ To classify an email, we'll use Bayes' theorem to calculate the probability of it belonging to each class:
 - ▶ $P(\text{spam} \mid \text{features})$.
 - ▶ $P(\text{ham} \mid \text{features})$.
- ▶ We'll predict the class with a larger probability.

Naive Bayes for spam classification

$$P(\text{class} \mid \text{features}) = \frac{P(\text{class}) \cdot P(\text{features} \mid \text{class})}{P(\text{features})}$$

- ▶ Note that the formulas for $P(\text{spam} \mid \text{features})$ and $P(\text{ham} \mid \text{features})$ have the same denominator, $P(\text{features})$.
- ▶ Thus, we can find the larger probability just by comparing numerators:
 - ▶ $P(\text{spam}) \cdot P(\text{features} \mid \text{spam})$.
 - ▶ $P(\text{ham}) \cdot P(\text{features} \mid \text{ham})$.

Naive Bayes for spam classification

Discussion Question

We need to determine four quantities:

1. $P(\text{features} \mid \text{spam})$.
2. $P(\text{features} \mid \text{ham})$.
3. $P(\text{spam})$.
4. $P(\text{ham})$.

Which of these probabilities should add to 1?

- A) 1, 2
- B) 3, 4
- C) Both A and B
- D) Neither A nor B

Naive Bayes for spam classification

Discussion Question

We need to determine four quantities:

1. $P(\text{features} \mid \text{spam})$.
2. $P(\text{features} \mid \text{ham})$.
3. $P(\text{spam})$.
4. $P(\text{ham})$.

Which of these probabilities should add to 1?

- A) 1, 2
- B) 3, 4
- C) Both A and B
- D) Neither A nor B

Answer: B) $P(\text{spam}) + P(\text{ham}) = 1$.

Estimating probabilities with training data

- ▶ To estimate $P(\text{spam})$, we compute

$$P(\text{spam}) \approx \frac{\# \text{ spam emails in training set}}{\# \text{ emails in training set}}$$

- ▶ To estimate $P(\text{ham})$, we compute

$$P(\text{spam}) \approx \frac{\# \text{ ham emails in training set}}{\# \text{ emails in training set}}$$

- ▶ What about $P(\text{features} \mid \text{spam})$ and $P(\text{features} \mid \text{ham})$?

Assumption of conditional independence

- Note that $P(\text{features} \mid \text{spam})$ looks like

$$P(x^{(1)} = 0, x^{(2)} = 1, \dots, x^{(d)} = 0 \mid \text{spam})$$

- Recall: the key assumption that the Naive Bayes classifier makes is that **the features are conditionally independent given the class**.
- This means we can estimate $P(\text{features} \mid \text{spam})$ as

$$\begin{aligned} &P(x^{(1)} = 0, x^{(2)} = 1, \dots, x^{(d)} = 0 \mid \text{spam}) \\ &= P(x^{(1)} = 0 \mid \text{spam}) \cdot P(x^{(2)} = 1 \mid \text{spam}) \cdot \dots \cdot P(x^{(d)} = 0 \mid \text{spam}) \end{aligned}$$

Concrete example

Dictionary: “prince”, “money”, “free”, and “xxx”.

Dataset of 5 emails (red are spam, green are ham):

“I am the prince of UCSD and I demand money.”

“Tapioca Express: redeem your free Thai Iced Tea!”

“DSC 40A: free points if you fill out CAPEs!”

“Click here to make a tax-free donation to the IRS.”

“Free COVID-19 tests at Prince Center.”

| | prince | money | free | xxx | Label |
|-------------------|--------|-------|------|-----|-------------|
| Sentence 1 | 1 | 1 | 0 | 0 | spam |
| Sentence 2 | 0 | 0 | 1 | 0 | ham |
| Sentence 3 | 0 | 0 | 1 | 0 | ham |
| Sentence 4 | 0 | 0 | 1 | 0 | spam |
| Sentence 5 | 1 | 0 | 1 | 0 | ham |

Concrete example

| | prince | money | free | xxx | Label |
|-------------------|--------|-------|------|-----|-------------|
| Sentence 1 | 1 | 1 | 0 | 0 | spam |
| Sentence 2 | 0 | 0 | 1 | 0 | ham |
| Sentence 3 | 0 | 0 | 1 | 0 | ham |
| Sentence 4 | 0 | 0 | 1 | 0 | spam |
| Sentence 5 | 1 | 0 | 1 | 0 | ham |

$x^{(1)} = \text{prince}$, $x^{(2)} = \text{money}$, $x^{(3)} = \text{free}$, $x^{(4)} = \text{xxx}$

Prior:

$$P(\text{spam}) = \frac{2}{5}$$

$$P(\text{ham}) = \frac{3}{5}$$

Concrete example

| | prince | money | free | xxx | Label |
|-------------------|--------|-------|------|-----|-------------|
| Sentence 1 | 1 | 1 | 0 | 0 | spam |
| Sentence 2 | 0 | 0 | 1 | 0 | ham |
| Sentence 3 | 0 | 0 | 1 | 0 | ham |
| Sentence 4 | 0 | 0 | 1 | 0 | spam |
| Sentence 5 | 1 | 0 | 1 | 0 | ham |

$x^{(1)} = \text{prince}$, $x^{(2)} = \text{money}$, $x^{(3)} = \text{free}$, $x^{(4)} = \text{xxx}$

Conditional probability on spam:

$$P(x^{(1)} = 0 | \text{spam}) = \frac{1}{2}, \quad P(x^{(1)} = 1 | \text{spam}) = \frac{1}{2},$$

Concrete example

| | prince | money | free | xxx | Label |
|-------------------|--------|-------|------|-----|-------------|
| Sentence 1 | 1 | 1 | 0 | 0 | spam |
| Sentence 2 | 0 | 0 | 1 | 0 | ham |
| Sentence 3 | 0 | 0 | 1 | 0 | ham |
| Sentence 4 | 0 | 0 | 1 | 0 | spam |
| Sentence 5 | 1 | 0 | 1 | 0 | ham |

$x^{(1)} = \text{prince}$, $x^{(2)} = \text{money}$, $x^{(3)} = \text{free}$, $x^{(4)} = \text{xxx}$

Conditional probability on **spam**:

$$P(x^{(1)} = 0 | \text{spam}) = \frac{1}{2}, \quad P(x^{(1)} = 1 | \text{spam}) = \frac{1}{2},$$

$$P(x^{(2)} = 0 | \text{spam}) = \frac{1}{2}, \quad P(x^{(2)} = 1 | \text{spam}) = \frac{1}{2},$$

Concrete example

| | prince | money | free | xxx | Label |
|-------------------|--------|-------|------|-----|-------------|
| Sentence 1 | 1 | 1 | 0 | 0 | spam |
| Sentence 2 | 0 | 0 | 1 | 0 | ham |
| Sentence 3 | 0 | 0 | 1 | 0 | ham |
| Sentence 4 | 0 | 0 | 1 | 0 | spam |
| Sentence 5 | 1 | 0 | 1 | 0 | ham |

$x^{(1)} = \text{prince}$, $x^{(2)} = \text{money}$, $x^{(3)} = \text{free}$, $x^{(4)} = \text{xxx}$

Conditional probability on **spam**:

$$P(x^{(1)} = 0 | \text{spam}) = \frac{1}{2}, \quad P(x^{(1)} = 1 | \text{spam}) = \frac{1}{2},$$

$$P(x^{(2)} = 0 | \text{spam}) = \frac{1}{2}, \quad P(x^{(2)} = 1 | \text{spam}) = \frac{1}{2},$$

$$P(x^{(3)} = 0 | \text{spam}) = \frac{1}{2}, \quad P(x^{(3)} = 1 | \text{spam}) = \frac{1}{2},$$

Concrete example

| | prince | money | free | xxx | Label |
|-------------------|--------|-------|------|-----|-------------|
| Sentence 1 | 1 | 1 | 0 | 0 | spam |
| Sentence 2 | 0 | 0 | 1 | 0 | ham |
| Sentence 3 | 0 | 0 | 1 | 0 | ham |
| Sentence 4 | 0 | 0 | 1 | 0 | spam |
| Sentence 5 | 1 | 0 | 1 | 0 | ham |

$x^{(1)} = \text{prince}$, $x^{(2)} = \text{money}$, $x^{(3)} = \text{free}$, $x^{(4)} = \text{xxx}$

Conditional probability on **spam**:

$$P(x^{(1)} = 0 | \text{spam}) = \frac{1}{2}, \quad P(x^{(1)} = 1 | \text{spam}) = \frac{1}{2},$$

$$P(x^{(2)} = 0 | \text{spam}) = \frac{1}{2}, \quad P(x^{(2)} = 1 | \text{spam}) = \frac{1}{2},$$

$$P(x^{(3)} = 0 | \text{spam}) = \frac{1}{2}, \quad P(x^{(3)} = 1 | \text{spam}) = \frac{1}{2},$$

$$P(x^{(4)} = 0 | \text{spam}) = 1, \quad P(x^{(4)} = 1 | \text{spam}) = 0.$$

Concrete example

| | prince | money | free | xxx | Label |
|-------------------|--------|-------|------|-----|-------------|
| Sentence 1 | 1 | 1 | 0 | 0 | spam |
| Sentence 2 | 0 | 0 | 1 | 0 | ham |
| Sentence 3 | 0 | 0 | 1 | 0 | ham |
| Sentence 4 | 0 | 0 | 1 | 0 | spam |
| Sentence 5 | 1 | 0 | 1 | 0 | ham |

$x^{(1)}$ = prince, $x^{(2)}$ = money, $x^{(3)}$ = free, $x^{(4)}$ = xxx

Conditional probability on **ham**:

$$P(x^{(1)} = 0 | \text{ham}) = \frac{2}{3}, \quad P(x^{(1)} = 1 | \text{ham}) = \frac{1}{3},$$

$$P(x^{(2)} = 0 | \text{ham}) = 1, \quad P(x^{(2)} = 1 | \text{ham}) = 0,$$

$$P(x^{(3)} = 0 | \text{ham}) = 0, \quad P(x^{(3)} = 1 | \text{ham}) = 1,$$

$$P(x^{(4)} = 0 | \text{ham}) = 1, \quad P(x^{(4)} = 1 | \text{ham}) = 0.$$

Concrete example

- ▶ New email to classify: “Download a free copy of the Prince of Persia.”

Concrete example

- ▶ New email to classify: “Download a free copy of the Prince of Persia.”

| prince | money | free | xxx |
|--------|-------|------|-----|
| 1 | 0 | 1 | 0 |

Concrete example

- ▶ New email to classify: “Download a free copy of the Prince of Persia.”

| prince | money | free | xxx |
|--------|-------|------|-----|
| 1 | 0 | 1 | 0 |

Probability of **spam**:

$$P(\text{features}|\text{spam})$$

$$\begin{aligned} &= P(x^{(1)} = 1|\text{spam})P(x^{(2)} = 0|\text{spam})P(x^{(3)} = 1|\text{spam})P(x^{(4)} = 0|\text{spam}) \\ &= \frac{1}{2} \cdot \frac{1}{2} \cdot \frac{1}{2} \cdot 1 = \frac{1}{8} \end{aligned}$$

Concrete example

- ▶ New email to classify: “Download a free copy of the Prince of Persia.”

| prince | money | free | xxx |
|--------|-------|------|-----|
| 1 | 0 | 1 | 0 |

Probability of **spam**:

$$P(\text{features}|\text{spam})$$

$$\begin{aligned} &= P(x^{(1)} = 1|\text{spam})P(x^{(2)} = 0|\text{spam})P(x^{(3)} = 1|\text{spam})P(x^{(4)} = 0|\text{spam}) \\ &= \frac{1}{2} \cdot \frac{1}{2} \cdot \frac{1}{2} \cdot 1 = \frac{1}{8} \end{aligned}$$

Thus:

$$P(\text{spam}|\text{features}) \propto P(\text{features}|\text{spam}) \cdot P(\text{spam}) = \frac{1}{8} \cdot \frac{2}{5} = \frac{1}{20}$$

Concrete example

- ▶ New email to classify: “Download a free copy of the Prince of Persia.”

| prince | money | free | xxx |
|--------|-------|------|-----|
| 1 | 0 | 1 | 0 |

Probability of **ham**:

$$P(\text{features}|\text{ham})$$

$$\begin{aligned} &= P(x^{(1)} = 1|\text{ham})P(x^{(2)} = 0|\text{ham})P(x^{(3)} = 1|\text{ham})P(x^{(4)} = 0|\text{ham}) \\ &= \frac{1}{3} \cdot 1 \cdot 1 \cdot 1 = \frac{1}{3} \end{aligned}$$

Thus:

$$P(\text{ham}|\text{features}) \propto P(\text{features}|\text{ham}) \cdot P(\text{ham}) = \frac{1}{3} \cdot \frac{3}{5} = \frac{1}{5}$$

Concrete example

- New email to classify: “Download a free copy of the Prince of Persia.”

| prince | money | free | xxx |
|--------|-------|------|-----|
| 1 | 0 | 1 | 0 |

Because

$$P(\text{ham}|\text{features}) = \frac{1}{5} > P(\text{spam}|\text{features}) = \frac{1}{20},$$

this sentence is classified as **ham**.

Uh oh...

- ▶ What happens if we try to classify the email “xxx what’s your price, prince”?

Uh oh...

- What happens if we try to classify the email “xxx what’s your price, prince”?

| prince | money | free | xxx |
|--------|-------|------|-----|
| 1 | 0 | 0 | 1 |

There is a keyword “xxx” and the sentence is likely **spam**. But:

$$P(x^{(4)} = 1 | \text{spam}) = 0$$

Thus:

$$P(\text{features} | \text{spam}) = 0$$

Then, it will be classified as **ham** with absolute certainty.

Smoothing

- ▶ **Without** smoothing:

$$P(x^{(i)} = 1 \mid \text{spam}) \approx \frac{\# \text{ spam containing word } i}{\# \text{ spam containing word } i + \# \text{ spam not containing word } i}$$

- ▶ **With** smoothing:

$$P(x^{(i)} = 1 \mid \text{spam}) \approx \frac{(\# \text{ spam containing word } i) + 1}{(\# \text{ spam containing word } i) + 1 + (\# \text{ spam not containing word } i) + 1}$$

- ▶ When smoothing, we add 1 to the count of every group whenever we're estimating a conditional probability.
 - ▶ **Don't** smooth the estimates of unconditional probabilities (e.g. $P(\text{spam})$).

Concrete example with smoothing

| | prince | money | free | xxx | Label |
|-------------------|--------|-------|------|-----|-------------|
| Sentence 1 | 1 | 1 | 0 | 0 | spam |
| Sentence 2 | 0 | 0 | 1 | 0 | ham |
| Sentence 3 | 0 | 0 | 1 | 0 | ham |
| Sentence 4 | 0 | 0 | 1 | 0 | spam |
| Sentence 5 | 1 | 0 | 1 | 0 | ham |

$x^{(1)}$ = prince, $x^{(2)}$ = money, $x^{(3)}$ = free, $x^{(4)}$ = xxx

Conditional probability on **spam**:

$$P(x^{(1)} = 0 | \text{spam}) = \frac{1}{2}, \quad P(x^{(1)} = 1 | \text{spam}) = \frac{1}{2},$$

$$P(x^{(2)} = 0 | \text{spam}) = \frac{1}{2}, \quad P(x^{(2)} = 1 | \text{spam}) = \frac{1}{2},$$

$$P(x^{(3)} = 0 | \text{spam}) = \frac{1}{2}, \quad P(x^{(3)} = 1 | \text{spam}) = \frac{1}{2},$$

$$P(x^{(4)} = 0 | \text{spam}) = \frac{2}{3}, \quad P(x^{(4)} = 1 | \text{spam}) = \frac{1}{3}.$$

Concrete example with smoothing

| | prince | money | free | xxx | Label |
|-------------------|--------|-------|------|-----|-------------|
| Sentence 1 | 1 | 1 | 0 | 0 | spam |
| Sentence 2 | 0 | 0 | 1 | 0 | ham |
| Sentence 3 | 0 | 0 | 1 | 0 | ham |
| Sentence 4 | 0 | 0 | 1 | 0 | spam |
| Sentence 5 | 1 | 0 | 1 | 0 | ham |

$x^{(1)}$ = prince, $x^{(2)}$ = money, $x^{(3)}$ = free, $x^{(4)}$ = xxx

Conditional probability on **ham**:

$$P(x^{(1)} = 0 | \text{ham}) = \frac{3}{5}, \quad P(x^{(1)} = 1 | \text{ham}) = \frac{2}{5},$$

$$P(x^{(2)} = 0 | \text{ham}) = \frac{2}{3}, \quad P(x^{(2)} = 1 | \text{ham}) = \frac{1}{3},$$

$$P(x^{(3)} = 0 | \text{ham}) = \frac{1}{3}, \quad P(x^{(3)} = 1 | \text{ham}) = \frac{2}{3},$$

$$P(x^{(4)} = 0 | \text{ham}) = \frac{2}{3}, \quad P(x^{(4)} = 1 | \text{ham}) = \frac{1}{3}.$$

Concrete example with smoothing

- What happens if we try to classify the email “xxx what’s your price, prince”?

| prince | money | free | xxx |
|--------|-------|------|-----|
| 1 | 0 | 0 | 1 |

Probability of **spam**:

$$\begin{aligned} &P(\text{features}|\text{spam}) \\ &= P(x^{(1)} = 1|\text{spam})P(x^{(2)} = 0|\text{spam})P(x^{(3)} = 0|\text{spam})P(x^{(4)} = 1|\text{spam}) \\ &= \frac{1}{2} \cdot \frac{1}{2} \cdot \frac{1}{2} \cdot \frac{1}{3} = \frac{1}{24} \end{aligned}$$

Thus:

$$P(\text{spam}|\text{features}) \propto P(\text{features}|\text{spam}) \cdot P(\text{spam}) = \frac{1}{24} \cdot \frac{2}{5} = \frac{1}{60} \approx 0.0166$$

Concrete example with smoothing

- What happens if we try to classify the email “xxx what’s your price, prince”?

| prince | money | free | xxx |
|--------|-------|------|-----|
| 1 | 0 | 0 | 1 |

Probability of **ham**:

$$\begin{aligned} &P(\text{features}|\text{ham}) \\ &= P(x^{(1)} = 1|\text{ham})P(x^{(2)} = 0|\text{ham})P(x^{(3)} = 0|\text{ham})P(x^{(4)} = 1|\text{ham}) \\ &= \frac{2}{5} \cdot \frac{2}{3} \cdot \frac{1}{3} \cdot \frac{1}{3} = \frac{4}{135} \end{aligned}$$

Thus:

$$P(\text{ham}|\text{features}) \propto P(\text{features}|\text{ham}) \cdot P(\text{ham}) = \frac{4}{135} \cdot \frac{3}{5} \approx 0.0177$$

Concrete example with smoothing

- What happens if we try to classify the email “xxx what’s your price, prince”?

We have:

$$P(\text{spam}|\text{features}) \approx 0.0166$$

$$P(\text{ham}|\text{features}) \approx 0.0177$$

Probability of **spam**: 48.3%

Probability of **ham**: 51.7%

This is a confusing case for Naive Bayes classifier. We need more data!

Practical demo

More realistic example

My source code in Java (it is easier to do in Python):

<https://github.com/HyTruongSon/Spambase-filtering>

Data:

<https://archive.ics.uci.edu/ml/datasets/Spambase>

Classifiers: Linear/RBF Support Vector Machine, Logistic Regression and Multilayer Perceptron.