DSC 40A - Homework 5
Due: Friday, February 18, 2022 at 11:59pm

Write your solutions to the following problems by either typing them up or handwriting them on another piece of paper. Homeworks are due to Gradescope by 11:59pm on the due date. You can use a slip day to extend the deadline by 24 hours.

Homework will be evaluated not only on the correctness of your answers, but on your ability to present your ideas clearly and logically. You should **always explain and justify** your conclusions, using sound reasoning. Your goal should be to convince the reader of your assertions. If a question does not require explanation, it will be explicitly stated.

Homeworks should be written up and turned in by each student individually. You may talk to other students in the class about the problems and discuss solution strategies, but you should not share any written communication and you should not check answers with classmates. You can tell someone how to do a homework problem, but you cannot show them how to do it.

For each problem you submit, you should **cite your sources** by including a list of names of other students with whom you discussed the problem. Instructors do not need to be cited.

This homework will be graded out of 50 points. The point value of each problem or sub-problem is indicated by the number of avocados shown.

Note: For Problem 1, parts (b) and (c), code your answers in the supplementary Jupyter notebook (linked). You'll need to turn in your completed Python file to Gradescope separately from the rest of this homework, in a file called `hw5code.py`. Parts (b) and (c) of problem 1 will be autograded, so no explanation is needed.

Problem 1. k-Means Clustering

For parts (a) and (b) of this question, we'll use the five data points given below, $\overrightarrow{x_1}$ through $\overrightarrow{x_5}$.

$$\overrightarrow{x_1} = \begin{bmatrix} 4 \\ 21 \end{bmatrix}, \ \overrightarrow{x_2} = \begin{bmatrix} 15 \\ 66 \end{bmatrix}, \ \overrightarrow{x_3} = \begin{bmatrix} 6 \\ 25 \end{bmatrix}, \ \overrightarrow{x_4} = \begin{bmatrix} 19 \\ 64 \end{bmatrix}, \ \overrightarrow{x_5} = \begin{bmatrix} 5 \\ 32 \end{bmatrix}$$

Just by looking at the data, you should be able to roughly identify two clusters. Let's see how k-means clustering finds these clusters algorithmically.

a) Using $\overrightarrow{x_1}$ and $\overrightarrow{x_2}$ as initial centroids, trace through one iteration of the k-means algorithm by hand. What are the two centroids and what are the two clusters found after this first iteration?

b) In the supplementary Jupyter notebook (linked), implement a Python function that takes in a cluster and a centroid, and returns the cost of the cluster associated with that centroid.

Then, use the function you've written to compute the value of the cost function before the first iteration, as well as after the first iteration, for the five data points we used in part (a). You should see that the cost function has decreased with this first iteration.

c) For this part, you will implement the code for k-means clustering on a larger dataset. Follow the prompts in the supplementary Jupyter notebook (linked) to implement three functions: `initialize_centroids`, `find_closest_centroid`, and `initialize_centroids` and `k_means`.

Problem 2. An Open Book

Suppose you have a book with 80 pages, numbered $1, 2, \ldots, 80$, and you open the book to a random page.

a) 🥑🥑 If you only look at the first (leftmost) digit of the page number and see that it's a 2, what is the probability you've opened to page 27?

b) 🥑🥑 If you glance at the page number and see that it contains a 2 somewhere, what is the probability you've opened to page 27?

c) 🥑🥑 If you glance at the page number and see that it contains exactly one 2, what is the probability you've opened to page 27?

Problem 3. Probability Rules for Three Events

a) 🥑🥑🥑 The multiplication rule for two events says

$$P(A \cap B) = P(A) \cdot P(B|A)$$

Use the multiplication rule for two events to prove the multiplication rule for three events:

$$P(A \cap B \cap C) = P(A) \cdot P(B|A) \cdot P(C|(A \cap B))$$

Hint: You can think of $A \cap B \cap C$ as $(A \cap B) \cap C$.

b) 🥑🥑 Suppose E, F, and G are events. Explain in words why

$$(E \cup F) \cap G = (E \cap G) \cup (F \cap G).$$

Intuitively, the relationship between $\cap$ and $\cup$ is similar to the relationship between multiplication and addition; if e, f, g are numbers, then $(e + f) \cdot g = e \cdot g + f \cdot g$ as well.

c) 🥑🥑🥑 The general addition rule for any two events says:

$$P(A \cup B) = P(A) + P(B) - P(A \cap B)$$

Use the general addition rule for two events to prove the general addition rule for three events:

$$P(A \cup B \cup C) = P(A) + P(B) + P(C) - P(A \cap B) - P(A \cap C) - P(B \cap C) + P(A \cap B \cap C)$$

Hint: You will need to use the result of part (b).

d) 🥑🥑 A survey was administered to 1000 participants to ask about their use of three social media platforms: Facebook, Instagram, and TikTok. The survey revealed the following information:

- Everybody surveyed uses at least one of the three platforms.
- 500 people use Facebook.
- Of the 500 people who use Facebook, 200 also use TikTok.
- Of the 500 people who use Facebook, 300 also use Instagram.
- 400 people use TikTok.
- Of the 400 people who use TikTok, 200 also use Instagram.
- 100 people use all three platforms.

Suppose we randomly select one survey participant. What is the probability that they use Instagram?

Hint: Use the result in part (c).

Problem 4. Stringle

In this problem, we will look at a made-up game called Stringle. Each day, a random six-letter string is chosen, and players have to try to guess what it is.

In Stringle, any six-letter string of uppercase letters is allowed, as long as it does not have any repeated letters. The string does not have to make sense as an English word. For example, the string of the day might be ZVODUP. Any valid string is equally likely to be chosen each day.

a) 🥑🥑 Consider A, E, I, O, U, and Y to be vowels. What is the probability that today's Stringle string and yesterday's Stringle string both start with a vowel?

b) 🥑🥑 What is the probability that today's Stringle string or yesterday's Stringle string starts with a vowel?

c) 🥑🥑 What is the probability that today's Stringle string includes no vowels?

d) 🥑🥑 What is the probability that today's Stringle string includes all vowels?

e) 🥑🥑 What is the probability that today's Stringle string includes the letter J?

f) 🥑🥑 What is the probability that today's Stringle string is exactly the same as yesterday's Stringle string?

Problem 5. Billy's Bootstraps

Recall from DSC 10 the process of bootstrap resampling. From a population of size n, we draw one random sample of size k, without replacement. Then, we create many bootstrap resamples by sampling k elements from the original sample, with replacement.

Suppose we have a population of 100 avocado farmers, one of whom is Billy. From this population, we draw a sample of size 20, without replacement. From this original sample, we create 5 different bootstrap resamples.

a) 🥑🥑 What is the probability that Billy is included in the original sample?

b) 🥑🥑🥑 What is the probability that Billy is included in the first resample?

Hint: Be careful not to make any assumptions about whether Billy was included in the original sample.

c) 🥑🥑🥑🥑 What is the probability that Billy is included in some resample?