# **Lecture 24 – More Naive Bayes**



DSC 40A, Spring 2023

#### **Announcements**

- Midterm 2 review session is tonight from 7-9pm in FAH 1301.
  - ▶ That's the big room where Midterm 1 review was held.
  - No groupwork, no attendance.
  - Come to ask questions about the mock exam posted on the course website.
  - You should do the exam on your own beforehand.
- Homework 7 is due tomorrow at 11:59pm. This is the last homework!

#### Midterm 2 is Monday during lecture

- You may use an unlimited number of handwritten note sheets for Midterm 2 (and Final Part 2). Start working on this now as you study!
- No calculators.
- Leave all answers unsimplified in terms of permutations, combinations, factorials, exponents, etc.
- Assigned seats will be posted on Campuswire.
- We will not answer questions during the exam. State your assumptions if anything is unclear.

#### Midterm 2 is Monday during lecture

- ► The exam will definitely include short-answer questions such as multiple choice or filling in the numerical answer to a probability or combinatorics question. Short-answer questions will be graded on correctness only, so you don't need to show your work or provide explanation for these questions.
- ► The exam may also include long-answer homework-style questions, which would require explanation and be graded with partial credit.
- Midterm 2 covers all material that was not covered on Midterm 1. Clustering is in scope, but the vast majority will be probability and combinatorics. This week's lectures are also in scope.

# **Agenda**

- Naive Bayes with smoothing.
- Application text classification.

# Naive Bayes with smoothing

#### **Recap: Naive Bayes classifier**

- We want to predict a class, given certain features.
- Using Bayes' theorem, we write

$$P(\text{class}|\text{features}) = \frac{P(\text{class}) \cdot P(\text{features}|\text{class})}{P(\text{features})}$$

- ► For each class, we compute the numerator using the naive assumption of conditional independence of features given the class.
- We estimate each term in the numerator based on the training data.
- ► We predict the class with the largest numerator.
  - ► Works if we have multiple classes, too!

#### **Example: avocados**

color	r softness variety		ripeness	
bright green	firm	Zutano	unripe	
green-black	medium	Hass	ripe	
purple-black	firm	Hass	ripe	
green-black	medium	Hass	unripe	
purple-black	soft	Hass	ripe	
bright green	firm	Zutano	unripe	
green-black	soft	Zutano	ripe	
purple-black	soft	Hass	ripe	
green-black	soft	Zutano	ripe	
green-black	firm	Hass	unripe	
purple-black	medium	Hass	ripe	

You have a soft green-black Hass avocado. Based on this data, would you predict that your avocado is ripe or unripe?

#### Uh oh...

- There are no soft unripe avocados in the data set.
- ► The estimate  $P(\text{soft}|\text{unripe}) \approx \frac{\text{\# soft unripe avocados}}{\text{\# unripe avocados}}$  is 0.
- The estimated numerator, P(unripe) · P(soft, green-black, Hass|unripe) = P(unripe) · P(soft|unripe) · P(green-black|unripe) · P(Hass|unripe), is also 0.
- But just because there isn't a soft unripe avocado in the data set, doesn't mean that it's impossible for one to exist!
- Idea: Adjust the numerators and denominators of our estimate so that they're never 0.

## Smoothing

Without smoothing:

$$P(\text{soft}|\text{unripe}) \approx \frac{\# \text{ soft unripe}}{\# \text{ soft unripe} + \# \text{ medium unripe} + \# \text{ firm unripe}}$$

$$P(\text{medium}|\text{unripe}) \approx \frac{\# \text{ medium unripe}}{\# \text{ soft unripe} + \# \text{ medium unripe} + \# \text{ firm unripe}}$$

$$P(\text{firm}|\text{unripe}) \approx \frac{\# \text{ firm unripe}}{\# \text{ soft unripe} + \# \text{ medium unripe} + \# \text{ firm unripe}}$$

► With smoothing:

$$P(\text{soft}|\text{unripe}) \approx \frac{\# \text{ soft unripe} + 1}{\# \text{ soft unripe} + 1 + \# \text{ medium unripe} + 1 + \# \text{ firm unripe} + 1}$$

$$P(\text{medium}|\text{unripe}) \approx \frac{\# \text{ medium unripe} + 1}{\# \text{ soft unripe} + 1 + \# \text{ medium unripe} + 1 + \# \text{ firm unripe} + 1}$$

$$P(\text{firm}|\text{unripe}) \approx \frac{\# \text{ firm unripe} + 1}{\# \text{ soft unripe} + 1 + \# \text{ medium unripe} + 1 + \# \text{ firm unripe} + 1}$$

When smoothing, we add 1 to the count of every group whenever we're estimating a conditional probability.

## **Example: avocados, with smoothing**

color	softness	variety	ripeness
bright green	firm	Zutano	unripe
green-black	medium	Hass	ripe
purple-black	firm	Hass	ripe
green-black	medium	Hass	unripe
purple-black	soft	Hass	ripe
bright green	firm	Zutano	unripe
green-black	soft	Zutano	ripe
purple-black	soft	Hass	ripe
green-black	soft	Zutano	ripe
green-black	firm	Hass	unripe
purple-black	medium	Hass	ripe

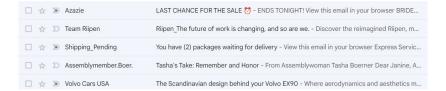
You have a soft green-black Hass avocado. Using Naive Bayes, **with smoothing**, would you predict that your avocado is ripe or unripe?

## **Text classification**

#### **Text classification**

- Text classification problems include:
  - Sentiment analysis (e.g. positive and negative customer reviews).
  - Determining genre (news articles, blog posts, etc.).
  - Spam filtering.

# Spam filtering



- Our goal: given the body of an email, determine whether it's spam or ham (not spam).
- Question: How do we come up with features?

#### **Features**

#### Idea:

- Choose a **dictionary** of *d* words.
- Represent each email with a **feature vector**  $\vec{x}$ :

$$\vec{X} = \begin{bmatrix} X^{(1)} \\ X^{(2)} \\ \dots \\ X^{(d)} \end{bmatrix}$$

#### where

- $x^{(i)} = 1$  if word i is present in the email, and
- $x^{(i)} = 0$  otherwise.

This is called the **bag-of-words** model. This model ignores the frequency and meaning of words.

#### **Concrete example**

- Dictionary: "prince", "money", "free", and "just".
- Dataset of 5 emails (red are spam, green are ham):
  - "I am the prince of UCSD and I demand money."
  - "Tapioca Express: redeem your free Thai Iced Tea!"
  - ► "DSC 10: free points if you fill out CAPEs!"
  - "Click here to make a tax-free donation to the IRS."
  - "Free career night at Prince Street Community Center."

# Naive Bayes for spam classification

$$P(\text{class} \mid \text{features}) = \frac{P(\text{class}) \cdot P(\text{features} \mid \text{class})}{P(\text{features})}$$

- To classify an email, we'll use Bayes' theorem to calculate the probability of it belonging to each class:
  - P(spam | features).
  - P(ham | features).
- We'll predict the class with a larger probability.

# Naive Bayes for spam classification

$$P(\text{class} \mid \text{features}) = \frac{P(\text{class}) \cdot P(\text{features} \mid \text{class})}{P(\text{features})}$$

- Note that the formulas for P(spam | features) and P(ham | features) have the same denominator, P(features).
- Thus, we can find the larger probability just by comparing numerators:
  - $\triangleright$   $P(\text{spam}) \cdot P(\text{features} \mid \text{spam}).$
  - $\triangleright$   $P(\text{ham}) \cdot P(\text{features} \mid \text{ham}).$

# Naive Bayes for spam classification

#### **Discussion Question**

We need to determine four quantities:

- 1. P(features | spam).
- 2. P(features | ham).
- 3. *P*(spam).
- 4. P(ham).

Which of these probabilities should add to 1?

- a) 1, 2
- b) 3, 4
- c) Both (a) and (b).
- d) Neither (a) nor (b).

# Estimating probabilities with training data

► To estimate *P*(spam), we compute

$$P(\text{spam}) \approx \frac{\text{# spam emails in training set}}{\text{# emails in training set}}$$

► To estimate P(ham), we compute

$$P(\text{ham}) \approx \frac{\text{# ham emails in training set}}{\text{# emails in training set}}$$

▶ What about P(features | spam) and P(features | ham)?

# **Assumption of conditional independence**

▶ Note that *P*(features | spam) looks like

$$P(x^{(1)} = 0, x^{(2)} = 1, ..., x^{(d)} = 0 \mid \text{spam})$$

- Recall: the key assumption that the Naive Bayes classifier makes is that the features are conditionally independent given the class.
- ► This means we can estimate P(features | spam) as

$$P(x^{(1)} = 0, x^{(2)} = 1, ..., x^{(d)} = 0 \mid \text{spam})$$
  
= $P(x^{(1)} = 0 \mid \text{spam}) \cdot P(x^{(2)} = 1 \mid \text{spam}) \cdot ... \cdot P(x^{(d)} = 0 \mid \text{spam})$ 

#### **Concrete example**

- Dictionary: "prince", "money", "free", and "just".
- Dataset of 5 emails (red are spam, green are ham):
  - "I am the prince of UCSD and I demand money."
  - "Tapioca Express: redeem your free Thai Iced Tea!"
  - ► "DSC 10: free points if you fill out CAPEs!"
  - "Click here to make a tax-free donation to the IRS."
  - "Free career night at Prince Street Community Center."

#### **Concrete example**

New email to classify: "Download a free copy of the Prince of Persia."

#### Uh oh...

What happens if we try to classify the email "just what's your price, prince"?

# **Smoothing**

Without smoothing:

$$P(x^{(i)} = 1 \mid \text{spam}) \approx \frac{\text{\# spam containing word } i}{\text{\# spam containing word } i + \text{\# spam not containing word } i}$$

► With smoothing:

$$P(x^{(i)} = 1 \mid \text{spam}) \approx \frac{(\text{\# spam containing word } i) + 1}{(\text{\# spam containing word } i) + 1 + (\text{\# spam not containing word } i) + 1}$$

When smoothing, we add 1 to the count of every group whenever we're estimating a conditional probability.

#### **Concrete example with smoothing**

What happens if we try to classify the email "just what's your price, prince"?

#### **Modifications and extensions**

- ► Idea: Use pairs (or longer sequences) of words rather than individual words as features.
  - This better captures the dependencies between words.
  - It also leads to a much larger space of features, increasing the complexity of the algorithm.

#### **Modifications and extensions**

- ► Idea: Use pairs (or longer sequences) of words rather than individual words as features.
  - This better captures the dependencies between words.
  - It also leads to a much larger space of features, increasing the complexity of the algorithm.
- Idea: Instead of recording whether each word appears, record how many times each word appears.
  - This better captures the importance of repeated words.

# **Summary**

#### Summary, next time

- Smoothing gives a way to make better predictions when a feature has never been encountered in the training data.
- ► The Naive Bayes classifier can be used for text classification, using the bag-of-words model.
- Next time: measuring performance of classifiers using precision and recall.