

## ACCOUNT STATEMENT

March 1 - March 31, 2023

DAVID SCHOEPEL  
 791 BLACKMOOR CIR  
 NEENAH WI 54956-1484



**Your Financial Advisor**  
 CHAD NEHRING  
 CONCEPTUAL FINANCIAL  
 ADVISORS  
 2561 E. CALUMET ST  
 APPLETON, WI 54915  
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### FROM ASSETMARK TRUST COMPANY

#### Important Updates

**Enclosed is AssetMark's Annual Summary of Material Changes (to its Form ADV Part 2A Appendix 1 Disclosure Brochure).**

AssetMark is embracing electronic delivery (eDelivery) of account documents more than ever before. It's an important part of our service offering that's faster, more secure, more convenient, and better for the environment than mailed paper documents. If you are not already registered for an online eWealthManager® account, please contact your Financial Advisor.

You can also find more information about how to go paperless at <https://wealth.assetmark.com/paperless>.

Your Portfolio Value  
 as of 03/31

**\$566,862.11**

Portfolio Change Since  
 Last Period: -\$1,162.72

This Period

<b>Beginning Portfolio Value</b>	<b>\$568,024.83</b>
Net Deposits & Withdrawals	\$0.00
Portfolio Gain/Loss*	-\$1,162.72
<b>Ending Portfolio Value</b>	<b>\$566,862.11</b>

\* Portfolio Gain/Loss includes change in market value of investments, dividends and interest, less fees.

## Portfolio Summary *(Accounts Included in This Statement)*

	Page	Account #	Beginning Period Value	Net Deposits & Withdrawals	Portfolio Gains/Loss	Ending Period Value
<b>DAVE J SCHOEPEL ROLLOVER IRA</b>						
<i>MULTIPLE STRATEGY ACCOUNT, PROFILE 4, MODERATE GROWTH</i>						
	2	13599623	\$568,024.83	\$0.00	-\$1,162.72	\$566,862.11
<b>TOTAL</b>			<b>\$568,024.83</b>	<b>\$0.00</b>	<b>-\$1,162.72</b>	<b>\$566,862.11</b>

## Your Account Information

Account Value:  
**\$566,862.11**

**Investment Strategy:**  
MULTIPLE STRATEGY ACCOUNT, PROFILE 4, MODERATE GROWTH

**Retirement Information:**  
Year-End Fair Market Value (12/31/2022): \$559,673.99

The year-end fair market value is furnished to the Internal Revenue Service for Individual Retirement Accounts. Your fair market value may change based on transaction or asset valuation adjustments made after 12/31/2022.

**Your Financial Advisor:**  
CHAD NEHRING

## Income Summary

	This Period	Year-To-Date
Interest/Dividends	\$1,468.91	\$1,983.58
Short-term Capital Gains Distributions	\$0.00	\$0.00
Long-term Capital Gains Distributions	\$0.00	\$0.00
<b>TOTAL INCOME</b>	<b>\$1,468.91</b>	<b>\$1,983.58</b>

This summary reflects dividends, interest and other income credited to your Account during this statement period. Dividends posted to your Account after the statement date will be reflected in your next Account statement. This summary does not include accrued interest on any fixed income security purchased or sold, foreign tax paid, or capital gains and losses realized as the result of the sale of securities. That information is provided separately as part of your AssetMark Trust Company year-end tax package (for taxable accounts only).

## Account Holdings and Valuations

Security Name	Symbol	Quantity	Price	Market Value	Cost Basis*	Unrealized Gain/Loss
<b>CASH AND CASH ALTERNATIVE</b>						
CASH PENDING SETTLEMENT		16.850	\$1.00	\$16.85	-	-
FDIC INSURED CASH		9,942.950	\$1.00	\$9,942.95	-	-
<b>EQUITY</b>						
AFLAC INC	AFL	52.000	\$64.52	\$3,355.04	\$3,376.49	-\$21.45
ALPHABET INC CL A	GOOGL	11.000	\$103.73	\$1,141.03	\$1,042.98	\$98.05
APPLE INC	AAPL	26.000	\$164.90	\$4,287.40	\$3,734.51	\$552.89
ARCHER DANIELS MIDLAND COMPANY	ADM	38.000	\$79.66	\$3,027.08	\$3,230.14	-\$203.06
BUILDERS FIRSTSOURCE INC	BLDR	42.000	\$88.78	\$3,728.76	\$2,783.02	\$945.74
CONSOLIDATED EDISON INC	ED	23.000	\$95.67	\$2,200.41	\$2,088.10	\$112.31
CVS HEALTH CORP	CVS	26.000	\$74.31	\$1,932.06	\$2,380.15	-\$448.09
DANAHER CORP	DHR	11.000	\$252.04	\$2,772.44	\$3,039.05	-\$266.61

## Account Holdings and Valuations (Continued)

Security Name	Symbol	Quantity	Price	Market Value	Cost Basis*	Unrealized Gain/Loss
DEVON ENERGY CORP NEW	DVN	12.000	\$50.61	\$607.32	\$692.12	-\$84.80
EXPEDITORS INTL WASH INC	EXPD	30.000	\$110.12	\$3,303.60	\$3,009.48	\$294.12
HARTFORD FINANCIAL SERVICES GROUP INC	HIG	52.000	\$69.69	\$3,623.88	\$3,595.84	\$28.04
KNIGHT SWIFT TRANSPORTATION HOLDINGS INC	KNX	60.000	\$56.58	\$3,394.80	\$3,171.24	\$223.56
LABORATORY CORP OF AMER HOLDINGS NEW	LH	14.000	\$229.42	\$3,211.88	\$3,345.66	-\$133.78
MICROSOFT CORP	MSFT	15.000	\$288.30	\$4,324.50	\$3,931.46	\$393.04
NEXSTAR MEDIA GROUP INC	NXST	13.000	\$172.66	\$2,244.58	\$2,189.11	\$55.47
NRG ENERGY INC NEW	NRG	95.000	\$34.29	\$3,257.55	\$3,069.10	\$188.45
OGE ENERGY CORP	OGE	61.000	\$37.66	\$2,297.26	\$2,370.10	-\$72.84
OLIN CORP NEW	OLN	37.000	\$55.50	\$2,053.50	\$1,891.56	\$161.94
ON SEMICONDUCTOR CORP	ON	37.000	\$82.32	\$3,045.84	\$2,494.71	\$551.13
PBF ENERGY INC CL A	PBF	45.000	\$43.36	\$1,951.20	\$1,798.64	\$152.56
PFIZER INC	PFE	77.000	\$40.80	\$3,141.60	\$3,731.73	-\$590.13
PROCTER & GAMBLE CO	PG	26.000	\$148.69	\$3,865.94	\$3,811.25	\$54.69
REGENERON PHARMACEUTICALS INC	REGN	4.000	\$821.67	\$3,286.68	\$2,653.38	\$633.30
ROBERT HALF INTL INC	RHI	30.000	\$80.57	\$2,417.10	\$2,343.22	\$73.88
SNAP ON INC	SNA	12.000	\$246.89	\$2,962.68	\$2,689.25	\$273.43
STEEL DYNAMICS INC	STLD	26.000	\$113.06	\$2,939.56	\$2,886.18	\$53.38
TEGNA INC	TGNA	73.000	\$16.91	\$1,234.43	\$1,255.63	-\$21.20
UNITED THERAPEUTICS CORP	UTHR	12.000	\$223.96	\$2,687.52	\$2,949.46	-\$261.94
UNUM GROUP	UNM	62.000	\$39.56	\$2,452.72	\$2,440.19	\$12.53
VERTEX PHARMACEUTICALS INC	VRTX	9.000	\$315.07	\$2,835.63	\$2,680.58	\$155.05

### EXCHANGE TRADED FUNDS AND CLOSED END FUNDS

INVESCO OPTIMUM YLD DIVERSIFIED COMMODITY STR K1 ETF	PDBC	319.000	\$14.22	\$4,536.18	\$5,313.52	-\$777.34
ISHARES 1-5 YEAR INVESTMENT GRADE CORP BOND ETF	IGSB	195.000	\$50.54	\$9,855.30	\$10,512.72	-\$657.42
ISHARES 3-7YR TREASURY BOND ETF	IEI	153.000	\$117.65	\$18,000.45	\$19,829.69	-\$1,829.24
ISHARES 5-10 YEAR INVESTMENT GRADE CORP BOND ETF	IGIB	224.000	\$51.28	\$11,486.72	\$13,201.18	-\$1,714.46

## Account Holdings and Valuations (Continued)

Security Name	Symbol	Quantity	Price	Market Value	Cost Basis*	Unrealized Gain/Loss
ISHARES CORE U S AGGREGATE BOND ETF	AGG	107.000	\$99.64	\$10,661.48	\$10,701.62	-\$40.14
ISHARES IBOX \$INVESTMENT GRADE CORP BOND ETF	LQD	99.000	\$109.61	\$10,851.39	\$10,677.34	\$174.05
ISHARES MSCI EUROZONE ETF	EZU	255.000	\$45.08	\$11,495.40	\$11,186.25	\$309.15
ISHARES S&P MID CAP 400 VALUE ETF	IJJ	110.000	\$102.77	\$11,304.70	\$11,584.22	-\$279.52
ISHARES SEMICONDUCTOR ETF	SOXX	25.000	\$444.67	\$11,116.75	\$11,454.20	-\$337.45
ISHARES U S BROKER-DEALERS & SECURITIES EXCHANGES ETF	IAI	121.000	\$91.64	\$11,088.44	\$12,169.51	-\$1,081.07
ISHARES U S HOME CONSTRUCTION ETF	ITB	158.000	\$70.29	\$11,105.82	\$9,480.84	\$1,624.98
ISHARES U S INDUSTRIALS ETF	IYJ	112.000	\$100.16	\$11,217.92	\$11,324.43	-\$106.51
PIMCO ENHANCED SHORT MATURITY ACTIVE ETF	MINT	103.000	\$99.25	\$10,222.75	\$10,216.98	\$5.77
SECTOR ENERGY SELECT SECTOR SPDR ETF	XLE	251.000	\$82.83	\$20,790.33	\$21,093.78	-\$303.45
SECTOR FINANCIAL SELECT SECTOR SPDR ETF	XLF	633.000	\$32.15	\$20,350.95	\$21,229.40	-\$878.45
SECTOR INDUSTRIAL SELECT SECTOR SPDR ETF	XLI	204.000	\$101.18	\$20,640.72	\$20,700.06	-\$59.34
SECTOR TECHNOLOGY SELECT SECTOR SPDR ETF	XLK	74.000	\$151.01	\$11,174.74	\$11,261.92	-\$87.18
SPDR BLOOMBERG 1-3 MONTH T-BILL ETF NEW	BIL	397.000	\$91.82	\$36,452.54	\$36,551.99	-\$99.45
SPDR BLOOMBERG EMERGING MARKETS LOCAL BOND ETF	EBND	103.000	\$21.22	\$2,185.66	\$2,186.88	-\$1.22
SPDR DOUBLELINE TOTAL RETURN TACTICAL ETF	TOTL	134.000	\$41.03	\$5,498.02	\$5,510.24	-\$12.22
SPDR DOW JONES INTL REAL ESTATE ETF	RWX	86.000	\$26.28	\$2,260.08	\$2,505.32	-\$245.24
SPDR INTERMEDIATE TERM TREASURY ETF	SPTI	406.000	\$28.94	\$11,749.64	\$11,755.67	-\$6.03
SPDR PORT EUROPE ETF	SPEU	358.000	\$38.03	\$13,614.74	\$12,516.07	\$1,098.67
SPDR PORTFOLIO DEVELOPED WORLD EX-US ETF	SPDW	1,347.000	\$32.12	\$43,265.64	\$43,885.07	-\$619.43
SPDR PORTFOLIO EMERGING MARKETS ETF	SPEM	530.000	\$34.13	\$18,088.90	\$21,258.88	-\$3,169.98
SPDR PORTFOLIO LONG TERM TREASURY ETF	SPTL	107.000	\$30.88	\$3,304.16	\$3,249.05	\$55.11

## Account Holdings and Valuations (Continued)

Security Name	Symbol	Quantity	Price	Market Value	Cost Basis*	Unrealized Gain/Loss
SPDR PORTFOLIO S&P 400 MID CAP ETF	SPMD	236.000	\$43.94	\$10,369.84	\$8,152.48	\$2,217.36
SPDR PORTFOLIO S&P 500 ETF	SPLG	571.000	\$48.15	\$27,493.65	\$25,935.15	\$1,558.50
SPDR PORTFOLIO S&P 600 SMALL CAP ETF	SPSM	392.000	\$37.77	\$14,805.84	\$15,336.77	-\$530.93
SPDR S&P INTL SMALL CAP ETF	GWX	220.000	\$30.97	\$6,813.40	\$6,935.97	-\$122.57
SPDR SERIES TRUST DOW JONES REIT ETF	RWR	27.000	\$88.78	\$2,397.06	\$2,455.73	-\$58.67
VANGUARD FTSE PACIFIC ETF	VPL	17.000	\$67.82	\$1,152.94	\$1,168.94	-\$16.00
<b>MUTUAL FUNDS</b>						
GUIDEPATH MANAGED FUTURES STRATEGY SERVICE CL	GPMFX	6,361.520	\$8.25	\$52,476.17	\$67,147.38	-\$14,671.21
PIMCO MORTGAGE OPPTYS & BOND INSTL CL	PMZIX	582.803	\$9.42	\$5,490.00	\$5,490.00	\$0.00
<b>TOTAL ACCOUNT HOLDINGS AND VALUATIONS</b>				<b>\$566,862.11</b>	<b>\$574,653.58</b>	<b>-\$17,751.27</b>

\*First-In-First-Out (FIFO) is the default method used to calculate cost basis on all securities.

## Transaction Listing

Date	Transaction Type	Description	Quantity	Price	Amount
03/01/23	DIVIDEND	AFLAC INC 030123			\$22.68
03/01/23	DIVIDEND	CONOCOPHILLIPS 030123			\$15.30
03/01/23	INSURED CASH DEPOSIT – TRANSFER OUT	FDIC INSURED CASH		\$1.00	\$565.02
03/02/23	DIVIDEND	ARCHER DANIELS MIDLAND COMPANY 030223 42			\$18.90
03/02/23	INSURED CASH DEPOSIT – TRANSFER IN	FDIC INSURED CASH		\$1.00	-\$37.98
03/03/23	DIVIDEND	PFIZER INC 030323			\$35.67
03/03/23	INSURED CASH DEPOSIT – TRANSFER IN	FDIC INSURED CASH		\$1.00	-\$18.90
03/06/23	INSURED CASH DEPOSIT – TRANSFER IN	FDIC INSURED CASH		\$1.00	-\$35.67
03/06/23	PURCHASE	ISHARES CORE S&P 500 ETF	14.0000	\$406.79	-\$5,695.10
03/06/23	SALE	SPDR BLOOMBERG 1-3 MONTH T-BILL ETF NEW	-68.0000	\$91.50	\$6,222.22 <sup>3</sup>

## Transaction Listing *(Continued)*

Date	Transaction Type	Description	Quantity	Price	Amount
03/07/23	DIVIDEND	ISHARES CORE U S AGGREGATE BOND ETF 030723 113			\$26.42
03/07/23	DIVIDEND	ISHARES 5-10 YEAR INVESTMENT GRADE CORP BOND ETF 030723			\$60.31
03/07/23	DIVIDEND	ISHARES 1-5 YEAR INVESTMENT GRADE CORP BOND ETF 030723			\$30.42
03/07/23	DIVIDEND	ISHARES 10-20 YR TREASURY BOND ETF 030723			\$29.97
03/07/23	DIVIDEND	ISHARES 3-7YR TREASURY BOND ETF 030723 145			\$27.84
03/07/23	DIVIDEND	ISHARES 0-5 YR HIGH YIELD CORP BOND ETF 030723			\$30.65
03/07/23	DIVIDEND	SPDR PORTFOLIO LONG TERM TREASURY ETF 030723 304			\$20.99
03/07/23	DIVIDEND	SPDR INTERMEDIATE TERM TREASURY ETF 030723			\$2.26
03/07/23	DIVIDEND	SPDR BLOOMBERG EMERGING MARKETS LOCAL BOND ETF 030723			\$8.97
03/07/23	DIVIDEND	SPDR BLOOMBERG HIGH YIELD BOND ETF NEW 030723			\$12.75
03/07/23	DIVIDEND	ISHARES 7-10YR TREASURY BOND ETF 030723 115			\$22.56
03/07/23	DIVIDEND	SPDR BLOOMBERG 1-3 MONTH T-BILL ETF NEW 030723 300			\$76.59
03/08/23	INSURED CASH DEPOSIT – TRANSFER IN	FDIC INSURED CASH		\$1.00	-\$777.70
03/09/23	DIVIDEND	MICROSOFT CORP 030923			\$9.52
03/09/23	INSURED CASH DEPOSIT – TRANSFER IN	FDIC INSURED CASH		\$1.00	-\$99.15
03/09/23	PURCHASE	ISHARES S&P MID CAP 400 VALUE ETF	103.0000	\$105.79	-\$10,895.87
03/09/23	PURCHASE	ISHARES CORE U S AGGREGATE BOND ETF	2.0000	\$97.07	-\$194.14
03/09/23	PURCHASE	ISHARES U S BROKER-DEALERS & SECURITIES EXCHANGES ETF	3.0000	\$96.57	-\$289.70
03/09/23	PURCHASE	INVESCO OPTIMUM YLD DIVERSIFIED COMMODITY STR K1 ETF	1.0000	\$14.07	-\$14.07
03/09/23	PURCHASE	SECTOR FINANCIAL SELECT SECTOR SPDR ETF	586.0000	\$33.73	-\$19,763.47

## Transaction Listing *(Continued)*

Date	Transaction Type	Description	Quantity	Price	Amount
03/09/23	PURCHASE	SECTOR ENERGY SELECT SECTOR SPDR ETF	241.0000	\$84.28	-\$20,312.47
03/09/23	PURCHASE	SPDR PORTFOLIO EMERGING MARKETS ETF	2.0000	\$33.40	-\$66.79
03/09/23	PURCHASE	SPDR SERIES TRUST DOW JONES REIT ETF	1.0000	\$91.01	-\$91.01
03/09/23	PURCHASE	SPDR DOW JONES INTL REAL ESTATE ETF	4.0000	\$26.32	-\$105.28
03/09/23	PURCHASE	SPDR SERIES TRUST S&P RETAIL ETF	169.0000	\$64.93	-\$10,973.37
03/09/23	PURCHASE	SPDR PORTFOLIO S&P 400 MID CAP ETF	2.0000	\$44.73	-\$89.45
03/09/23	PURCHASE	SPDR PORTFOLIO S&P 500 ETF	51.0000	\$46.30	-\$2,361.39
03/09/23	PURCHASE	SPDR BLOOMBERG EMERGING MARKETS LOCAL BOND ETF	1.0000	\$20.66	-\$20.66
03/09/23	PURCHASE	SPDR PORTFOLIO S&P 600 SMALL CAP ETF	176.0000	\$38.77	-\$6,822.87
03/09/23	PURCHASE	SPDR BLOOMBERG 1-3 MONTH T-BILL ETF NEW	62.0000	\$91.57	-\$5,677.34
03/09/23	SALE	ISHARES SEMICONDUCTOR ETF	-1.0000	\$415.82	\$415.82
03/09/23	SALE	ISHARES 7-10YR TREASURY BOND ETF	-115.0000	\$95.55	\$10,988.11 <sup>3</sup>
03/09/23	SALE	ISHARES U S HOME CONSTRUCTION ETF	-1.0000	\$68.46	\$68.46
03/09/23	SALE	ISHARES 10-20 YR TREASURY BOND ETF	-101.0000	\$109.43	\$11,052.01 <sup>3</sup>
03/09/23	SALE	ISHARES MSCI EUROZONE ETF	-1.0000	\$43.72	\$43.72
03/09/23	SALE	SECTOR HEALTHCARE SELECT SECTOR SPDR ETF	-158.0000	\$125.36	\$19,806.52 <sup>3</sup>
03/09/23	SALE	SECTOR CONSUMER STAPLES SELECT SECTOR SPDR ETF	-288.0000	\$71.74	\$20,660.95 <sup>3</sup>
03/09/23	SALE	SECTOR TECHNOLOGY SELECT SECTOR SPDR ETF	-2.0000	\$139.23	\$278.45
03/09/23	SALE	SECTOR INDUSTRIAL SELECT SECTOR SPDR ETF	-5.0000	\$101.87	\$509.33
03/09/23	SALE	SPDR S&P INTL SMALL CAP ETF	-1.0000	\$30.82	\$30.82
03/09/23	SALE	SPDR PORTFOLIO DEVELOPED WORLD EX-US ETF	-5.0000	\$31.37	\$156.86
03/09/23	SALE	SPDR PORT EUROPE ETF	-4.0000	\$37.21	\$148.84
03/09/23	SALE	SPDR PORTFOLIO LONG TERM TREASURY ETF	-188.0000	\$29.67	\$5,577.65 <sup>3</sup>

## Transaction Listing (Continued)

Date	Transaction Type	Description	Quantity	Price	Amount
03/09/23	SALE	SPDR BLOOMBERG HIGH YIELD BOND ETF NEW	-25.0000	\$90.49	\$2,262.19 <sup>3</sup>
03/09/23	SALE	VANGUARD FTSE PACIFIC ETF	-101.0000	\$66.44	\$6,710.11 <sup>3</sup>
03/10/23	DIVIDEND	SNAP ON INC 031023			\$17.82
03/10/23	INSURED CASH DEPOSIT – TRANSFER IN	FDIC INSURED CASH		\$1.00	-\$9.52
03/13/23	DIVIDEND	LABORATORY CORP OF AMER HOLDINGS NEW 031323 13			\$9.36
03/13/23	DIVIDEND	OLIN CORP NEW 031323			\$6.80
03/13/23	INSURED CASH DEPOSIT – TRANSFER IN	FDIC INSURED CASH		\$1.00	-\$1,049.78
03/14/23	INSURED CASH DEPOSIT – TRANSFER IN	FDIC INSURED CASH		\$1.00	-\$16.16
03/15/23	DIVIDEND	CONSOLIDATED EDISON INC 031523			\$18.63
03/15/23	DIVIDEND	OLD REPUBLIC INTL CORP 031523			\$9.31
03/15/23	DIVIDEND	ROBERT HALF INTL INC 031523			\$12.96
03/15/23	PURCHASE	ISHARES S&P MID CAP 400 VALUE ETF	4.0000	\$97.73	-\$390.92
03/15/23	PURCHASE	ISHARES U S BROKER-DEALERS & SECURITIES EXCHANGES ETF	10.0000	\$88.56	-\$885.58
03/15/23	PURCHASE	SPDR INTERMEDIATE TERM TREASURY ETF	378.0000	\$28.97	-\$10,950.24
03/15/23	PURCHASE	SPDR SERIES TRUST S&P RETAIL ETF	6.0000	\$60.65	-\$363.88
03/15/23	SALE	ISHARES CORE U S AGGREGATE BOND ETF	-5.0000	\$99.23	\$496.13
03/15/23	SALE	ISHARES U S HOME CONSTRUCTION ETF	-3.0000	\$66.53	\$199.60
03/15/23	SALE	ISHARES MSCI EUROZONE ETF	-5.0000	\$41.41	\$207.06
03/15/23	SALE	SECTOR TECHNOLOGY SELECT SECTOR SPDR ETF	-2.0000	\$138.58	\$277.15
03/15/23	SALE	SPDR INDEX S&P NORTH AMERICAN NAT RESOURCES ETF	-209.0000	\$49.06	\$10,253.47 <sup>3</sup>
03/16/23	DIVIDEND	PBF ENERGY INC CL A 031623 42			\$8.40
03/16/23	DIVIDEND	PDC ENERGY INC 031623			\$7.60
03/16/23	INSURED CASH DEPOSIT – TRANSFER IN	FDIC INSURED CASH		\$1.00	-\$40.90



## Transaction Listing *(Continued)*

Date	Transaction Type	Description	Quantity	Price	Amount
03/16/23	PURCHASE	AFLAC INC	3.0000	\$62.51	-\$187.52
03/16/23	PURCHASE	CVS HEALTH CORP	5.0000	\$75.83	-\$379.16
03/16/23	PURCHASE	CONOCOPHILLIPS	2.0000	\$95.52	-\$191.04
03/16/23	PURCHASE	DEVON ENERGY CORP NEW	3.0000	\$45.77	-\$137.31
03/16/23	PURCHASE	HARTFORD FINANCIAL SERVICES GROUP INC	5.0000	\$67.95	-\$339.75
03/16/23	PURCHASE	LABORATORY CORP OF AMER HOLDINGS NEW	1.0000	\$219.34	-\$219.34
03/16/23	PURCHASE	OLIN CORP NEW	3.0000	\$51.71	-\$155.12
03/16/23	PURCHASE	SPDR BLOOMBERG 1-3 MONTH T-BILL ETF NEW	112.0000	\$91.70	-\$10,270.49
03/16/23	PURCHASE	TEGNA INC	9.0000	\$15.26	-\$137.34
03/16/23	PURCHASE	UNITED THERAPEUTICS CORP	1.0000	\$219.70	-\$219.70
03/16/23	SALE	APPLE INC	-2.0000	\$155.36	\$310.72
03/16/23	SALE	BUILDERS FIRSTSOURCE INC	-2.0000	\$82.06	\$164.12
03/16/23	SALE	EXPEDITORS INTL WASH INC	-2.0000	\$107.00	\$214.00
03/16/23	SALE	ISHARES CORE S&P 500 ETF	-26.0000	\$396.19	\$10,300.86 <sup>3</sup>
03/16/23	SALE	MICROSOFT CORP	-1.0000	\$274.82	\$274.82
03/16/23	SALE	PROCTER & GAMBLE CO	-2.0000	\$142.50	\$284.99
03/16/23	SALE	VERTEX PHARMACEUTICALS INC	-1.0000	\$299.32	\$299.32
03/17/23	INSURED CASH DEPOSIT – TRANSFER OUT	FDIC INSURED CASH		\$1.00	\$1,141.21
03/17/23	PURCHASE	APPLE INC	1.0000	\$155.39	-\$155.39
03/17/23	PURCHASE	ISHARES CORE S&P 500 ETF	11.0000	\$393.17	-\$4,324.83
03/17/23	PURCHASE	PFIZER INC	4.0000	\$40.09	-\$160.38
03/17/23	PURCHASE	UNITED THERAPEUTICS CORP	1.0000	\$216.55	-\$216.55
03/17/23	SALE	SPDR BLOOMBERG 1-3 MONTH T-BILL ETF NEW	-58.0000	\$91.70	\$5,318.29 <sup>3</sup>
03/20/23	INSURED CASH DEPOSIT – TRANSFER OUT	FDIC INSURED CASH		\$1.00	\$387.94
03/20/23	PURCHASE	ISHARES S&P MID CAP 400 VALUE ETF	3.0000	\$99.14	-\$297.43
03/20/23	PURCHASE	ISHARES IBOXX \$INVESTMENT GRADE CORP BOND ETF	99.0000	\$107.85	-\$10,677.34
03/20/23	PURCHASE	ISHARES U S BROKER-DEALERS & SECURITIES EXCHANGES ETF	1.0000	\$89.45	-\$89.45
03/20/23	PURCHASE	ISHARES U S INDUSTRIALS ETF	2.0000	\$96.49	-\$192.98
03/20/23	PURCHASE	ISHARES MSCI EUROZONE ETF	7.0000	\$42.68	-\$298.76

## Transaction Listing *(Continued)*

Date	Transaction Type	Description	Quantity	Price	Amount
03/20/23	SALE	ISHARES SEMICONDUCTOR ETF	-1.0000	\$428.97	\$428.97
03/20/23	SALE	ISHARES CORE U S AGGREGATE BOND ETF	-3.0000	\$99.10	\$297.29
03/20/23	SALE	ISHARES U S HOME CONSTRUCTION ETF	-2.0000	\$67.91	\$135.82
03/20/23	SALE	SECTOR TECHNOLOGY SELECT SECTOR SPDR ETF	-3.0000	\$143.23	\$429.70
03/20/23	SALE	SPDR INTERMEDIATE TERM TREASURY ETF	-10.0000	\$28.85	\$288.55
03/20/23	SALE	SPDR SERIES TRUST S&P RETAIL ETF	-175.0000	\$60.32	\$10,556.06 <sup>3</sup>
03/21/23	INSURED CASH DEPOSIT – TRANSFER IN	FDIC INSURED CASH		\$1.00	-\$461.14
03/21/23	PURCHASE	KNIGHT SWIFT TRANSPORTATION HOLDINGS INC	3.0000	\$56.48	-\$169.43
03/21/23	PURCHASE	SPDR BLOOMBERG 1-3 MONTH T-BILL ETF NEW	63.0000	\$94.75	-\$5,969.00
03/21/23	SALE	ALPHABET INC CL A	-2.0000	\$104.54	\$209.07
03/21/23	SALE	APPLE INC	-1.0000	\$158.76	\$158.76
03/21/23	SALE	ISHARES CORE S&P 500 ETF	-11.0000	\$399.83	\$4,398.04 <sup>3</sup>
03/21/23	SALE	PBF ENERGY INC CL A	-3.0000	\$42.50	\$127.50
03/21/23	SALE	PROCTER & GAMBLE CO	-1.0000	\$143.00	\$143.00
03/21/23	SALE	UNITED THERAPEUTICS CORP	-1.0000	\$221.53	\$221.53
03/22/23	INSURED CASH DEPOSIT – TRANSFER IN	FDIC INSURED CASH		\$1.00	-\$580.43
03/23/23	DIVIDEND	SECTOR TECHNOLOGY SELECT SECTOR SPDR ETF 032323 77			\$24.29
03/23/23	DIVIDEND	SECTOR FINANCIAL SELECT SECTOR SPDR ETF 032323 586			\$89.43
03/23/23	DIVIDEND	SECTOR ENERGY SELECT SECTOR SPDR ETF 032323 241			\$191.68
03/23/23	DIVIDEND	SECTOR INDUSTRIAL SELECT SECTOR SPDR ETF 032323 200			\$78.97
03/23/23	DIVIDEND	SPDR SERIES TRUST DOW JONES REIT ETF 032323 25			\$15.28
03/23/23	DIVIDEND	SPDR DOW JONES INTL REAL ESTATE ETF 032323 86			\$20.04

## Transaction Listing *(Continued)*

Date	Transaction Type	Description	Quantity	Price	Amount
03/23/23	DIVIDEND	SPDR PORT EUROPE ETF 032323			\$66.01
03/23/23	DIVIDEND	SPDR SERIES TRUST S&P RETAIL ETF 032323 175			\$35.91
03/23/23	DIVIDEND	SPDR PORTFOLIO S&P 500 ETF 032323 533			\$97.45
03/23/23	DIVIDEND	SPDR PORTFOLIO S&P 600 SMALL CAP ETF 032323 375			\$50.33
03/23/23	DIVIDEND	VANGUARD FTSE PACIFIC ETF 032323 102			\$14.88
03/23/23	DIVIDEND	SPDR PORTFOLIO S&P 400 MID CAP ETF 032323 226			\$36.31
03/23/23	INSURED CASH DEPOSIT – TRANSFER OUT	FDIC INSURED CASH		\$1.00	\$880.53
03/23/23	PURCHASE	SPDR DOUBLELINE TOTAL RETURN TACTICAL ETF	134.0000	\$41.12	-\$5,510.24
03/23/23	PURCHASE	SECTOR FINANCIAL SELECT SECTOR SPDR ETF	47.0000	\$31.19	-\$1,465.93
03/23/23	PURCHASE	SECTOR ENERGY SELECT SECTOR SPDR ETF	10.0000	\$78.13	-\$781.31
03/23/23	PURCHASE	SECTOR INDUSTRIAL SELECT SECTOR SPDR ETF	4.0000	\$97.18	-\$388.70
03/23/23	PURCHASE	SPDR SERIES TRUST DOW JONES REIT ETF	2.0000	\$82.45	-\$164.90
03/23/23	PURCHASE	SPDR PORTFOLIO S&P 400 MID CAP ETF	10.0000	\$41.87	-\$418.74
03/23/23	PURCHASE	SPDR PORTFOLIO S&P 500 ETF	38.0000	\$46.54	-\$1,768.33
03/23/23	PURCHASE	SPDR PORTFOLIO S&P 600 SMALL CAP ETF	17.0000	\$36.26	-\$616.40
03/23/23	SALE	INVESCO OPTIMUM YLD DIVERSIFIED COMMODITY STR K1 ETF	-2.0000	\$13.78	\$27.56
03/23/23	SALE	SPDR PORTFOLIO EMERGING MARKETS ETF	-6.0000	\$33.63	\$201.79
03/23/23	SALE	SPDR S&P INTL SMALL CAP ETF	-1.0000	\$30.19	\$30.19
03/23/23	SALE	SPDR PORTFOLIO DEVELOPED WORLD EX-US ETF	-25.0000	\$31.18	\$779.49 <sup>3</sup>
03/23/23	SALE	SPDR PORT EUROPE ETF	-38.0000	\$36.61	\$1,391.07 <sup>3</sup>
03/23/23	SALE	SPDR PORTFOLIO LONG TERM TREASURY ETF	-9.0000	\$30.58	\$275.23
03/23/23	SALE	SPDR INTERMEDIATE TERM TREASURY ETF	-3.0000	\$29.04	\$87.12

## Transaction Listing (Continued)

Date	Transaction Type	Description	Quantity	Price	Amount
03/23/23	SALE	SPDR BLOOMBERG EMERGING MARKETS LOCAL BOND ETF	-8.0000	\$21.13	\$169.04
03/23/23	SALE	SPDR BLOOMBERG 1-3 MONTH T-BILL ETF NEW	-14.0000	\$91.78	\$1,284.91 <sup>3</sup>
03/23/23	SALE	VANGUARD FTSE PACIFIC ETF	-85.0000	\$65.95	\$5,605.79 <sup>3</sup>
03/24/23	INSURED CASH DEPOSIT – TRANSFER IN	FDIC INSURED CASH		\$1.00	-\$684.27
03/27/23	DIVIDEND	KNIGHT SWIFT TRANSPORTATION HOLDINGS INC 032723			\$7.56
03/27/23	INSURED CASH DEPOSIT – TRANSFER OUT	FDIC INSURED CASH		\$1.00	\$1,226.05
03/27/23	PURCHASE	APPLE INC	4.0000	\$158.27	-\$633.08
03/27/23	PURCHASE	KNIGHT SWIFT TRANSPORTATION HOLDINGS INC	3.0000	\$55.49	-\$166.47
03/27/23	PURCHASE	MICROSOFT CORP	2.0000	\$276.49	-\$552.97
03/27/23	PURCHASE	NRG ENERGY INC NEW	5.0000	\$32.26	-\$161.32
03/27/23	PURCHASE	NEXSTAR MEDIA GROUP INC	13.0000	\$168.39	-\$2,189.11
03/27/23	PURCHASE	ON SEMICONDUCTOR CORP	8.0000	\$76.38	-\$611.03
03/27/23	PURCHASE	PBF ENERGY INC CL A	6.0000	\$42.81	-\$256.89
03/27/23	PURCHASE	ROBERT HALF INTL INC	3.0000	\$75.94	-\$227.83
03/27/23	PURCHASE	SNAP ON INC	1.0000	\$238.00	-\$238.00
03/27/23	PURCHASE	STEEL DYNAMICS INC	26.0000	\$111.01	-\$2,886.18
03/27/23	PURCHASE	TEGNA INC	46.0000	\$16.11	-\$741.05
03/27/23	PURCHASE	UNUM GROUP	62.0000	\$39.36	-\$2,440.19
03/27/23	SALE	AFLAC INC	-5.0000	\$63.55	\$317.73
03/27/23	SALE	ALPHABET INC CL A	-12.0000	\$102.50	\$1,230.05 <sup>3</sup>
03/27/23	SALE	ARCHER DANIELS MIDLAND COMPANY	-7.0000	\$78.01	\$546.05
03/27/23	SALE	CVS HEALTH CORP	-17.0000	\$73.69	\$1,252.74 <sup>3</sup>
03/27/23	SALE	CONOCOPHILLIPS	-32.0000	\$97.63	\$3,124.14 <sup>3</sup>
03/27/23	SALE	DANAHER CORP	-1.0000	\$248.50	\$248.50
03/27/23	SALE	DEVON ENERGY CORP NEW	-3.0000	\$48.12	\$144.36
03/27/23	SALE	HARTFORD FINANCIAL SERVICES GROUP INC	-5.0000	\$66.93	\$334.63
03/27/23	SALE	OLD REPUBLIC INTL CORP	-38.0000	\$24.35	\$925.33 <sup>3</sup>
03/27/23	SALE	PDC ENERGY INC	-19.0000	\$61.52	\$1,168.84 <sup>3</sup>
03/27/23	SALE	PFIZER INC	-20.0000	\$40.34	\$806.80 <sup>3</sup>

## Transaction Listing *(Continued)*

Date	Transaction Type	Description	Quantity	Price	Amount
03/27/23	SALE	UNITED THERAPEUTICS CORP	-2.0000	\$215.19	\$430.38
03/28/23	INSURED CASH DEPOSIT – TRANSFER IN	FDIC INSURED CASH		\$1.00	-\$7.56
03/28/23	PURCHASE	ISHARES 3-7YR TREASURY BOND ETF	8.0000	\$117.22	-\$937.75
03/28/23	PURCHASE	PIMCO MORTGAGE OPPTYS & BOND INSTL CL	582.8030	\$9.42	-\$5,490.00
03/28/23	PURCHASE	PIMCO ENHANCED SHORT MATURITY ACTIVE ETF	103.0000	\$99.19	-\$10,216.98
03/28/23	SALE	ISHARES 5-10 YEAR INVESTMENT GRADE CORP BOND ETF	-167.0000	\$50.66	\$8,459.50 <sup>3</sup>
03/28/23	SALE	ISHARES 1-5 YEAR INVESTMENT GRADE CORP BOND ETF	-49.0000	\$50.20	\$2,459.77 <sup>3</sup>
03/28/23	SALE	ISHARES 0-5 YR HIGH YIELD CORP BOND ETF	-134.0000	\$40.67	\$5,449.94 <sup>3</sup>
03/29/23	DIVIDEND	ISHARES S&P MID CAP 400 VALUE ETF 032923 110			\$49.95
03/29/23	DIVIDEND	ISHARES SEMICONDUCTOR ETF 032923 25			\$26.81
03/29/23	DIVIDEND	ISHARES U S BROKER-DEALERS & SECURITIES EXCHANGES ETF 032923			\$51.91
03/29/23	DIVIDEND	ISHARES U S HOME CONSTRUCTION ETF 032923 158			\$22.14
03/29/23	DIVIDEND	ISHARES U S INDUSTRIALS ETF 032923 112			\$30.43
03/29/23	INSURED CASH DEPOSIT – TRANSFER OUT	FDIC INSURED CASH		\$1.00	\$614.63
03/30/23	INSURED CASH DEPOSIT – TRANSFER OUT	FDIC INSURED CASH		\$1.00	\$54.22
03/31/23	DIVIDEND	DEVON ENERGY CORP NEW 033123 12			\$10.68
03/31/23	INTEREST	FDIC INSURED CASH 033123			\$6.17

The preceding section displays activity that occurred in your Account during this reporting period or activity from previous periods that was posted into your Account during this reporting period.

<sup>3</sup>This transaction reflects deductions of transaction fees charged by brokerage firms to offset fees collected by self regulatory organizations.

Additional Information

**Primary Beneficiaries:**  
APRIL L SCHOEPEL: 100%

The Account features and information listed above are provided for your reference and are current as of this printing. Please contact your financial advisor if you wish to make changes to any of these features.

## ASSETMARK TRUST COMPANY

### ACCOUNT STATEMENT

March 1 - March 31, 2023

## Additional Important Information

Please contact your Financial Advisor to discuss questions about your investments or changes in your financial situation or investment objectives or to add/modify account restrictions.

**Third Party Standing Letter of Authorization (SLOA)** - If you have provided AssetMark Trust with standing instructions to give your Financial Advisor the authority to make withdrawals to a third party from your account, we ask that you review and confirm the information provided in the Additional Information section on this statement. Please promptly report any inaccuracy, discrepancy or concern by calling AssetMark at 800-664-5345.

**Customer Service** - Please review your account statement promptly and contact AssetMark immediately to report any inaccuracy, discrepancy, or concerns by calling AssetMark at 800-664-5345 within ten (10) business days after delivery or communication of the account statement. You should reconfirm any oral communications in writing to further protect your rights. This statement will otherwise be considered conclusive.

**Price Information/Total Market Value** - The Total Market Value represents prices obtained from various sources, may be impacted by the frequency with which such prices are reported, and such prices are not guaranteed. Prices received from pricing vendors are generally based on current market quotes, but when such quotes are not available, pricing vendors use a variety of techniques to estimate value. These estimates, particularly for fixed income securities, may be based on certain minimum principal amounts (e.g., \$1 million) and may not reflect all factors that affect the value of the security, including liquidity risk. The prices provided are not firm bids or offers. The Market Value of a security, including those priced at par value, may differ from its purchase price and may not closely reflect the value at which the security may be sold or purchased based on various market factors. The sale or redemption of any fixed income security prior to maturity may result in a loss.

**Information About Your Option Transactions** - Assignments of options are allocated among customer short positions pursuant to a random allocation procedure, and a description is available upon request. Short option positions are liable for assignment any time.

**Cost Basis, Gain/Loss, and Holding Period Information** - AssetMark Trust will report certain cost basis and holding period information to you and to the IRS on Form 1099-B. Cost data and realized gains/losses information provided in this statement is for informational purposes only. Your statement may not reflect all adjustments required for tax purposes and is not an official accounting of gains/ losses. Unless otherwise specified, AssetMark Trust applies the first-in, first-out (FIFO) method to determine gain/loss, unless another method was selected at the time of security disposal for all securities. In connection with each security sold or disposed information surrounding the disposition method used for the purposes of calculating gain or loss will be furnished to you upon written request. Cost basis is adjusted for wash sales on securities with the same CUSIP held in the same account. Customers should consult their tax advisors for further information.

**Account Protection** - AssetMark Trust usually uses Fidelity Brokerage Services LLC and National Financial Services LLC (together "Fidelity") as sub-custodian of securities held in AssetMark Trust accounts. When Fidelity acts as sub-custodian, securities in AssetMark Trust accounts are protected by Securities Investor Protection Corporation (SIPC) insurance up to \$500,000 and additional protection of up to \$500,000. Neither coverage protects against a decline in the market value of securities. For information about which securities are covered by SIPC insurance, call AssetMark at 800-664-5345. For information about SIPC, including the SIPC brochure, please see [www.sipc.org](http://www.sipc.org) or call 202-371-8300. Mutual funds (including money market funds) and/or other securities are not backed or guaranteed by any bank, nor are they insured by the FDIC and involve investment risk including possible loss of principal. An investment in a money market fund is not insured or guaranteed by the FDIC or any other government agency; it is possible to lose money by investing in a money market fund. Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information.

FDIC Insured Cash balances reported in this statement are FDIC-insured up to \$2.5 million per ownership capacity through a network of Program Banks. Deposits made by you with a Program Bank outside of AssetMark's ICD Program may count toward the total FDIC limit. To learn more about FDIC coverage, go to [www.fdic.gov](http://www.fdic.gov). The list of ICD Program Banks is available at [www.assetmark.com/cash](http://www.assetmark.com/cash). SIPC insurance does not cover ICD balances.

### TRANSACTION EXPENSES

**Trade Away Transaction Fee for Individually Managed Accounts** - If a Discretionary Manager of an IMA determines to "step out" or "trade away" a trade fee of \$20.00 may be assessed and will be included in the "Amount" indicated in the Transaction Listing section on this statement. Accounts invested in fixed income investments should expect this \$20 fee on each transaction.

**Foreign Financial Transaction Fee** - Purchases of certain securities will incur a Foreign Financial Transaction Fee that is a financial transaction tax imposed by the sovereign nation where the security is domiciled. Where applicable, the Foreign Financial Transaction Fee will be applied to a specific transaction and will be included in the "Amount" indicated in the Transaction Listing section on this statement. The following information will be furnished to you upon written request with respect to any transaction for which a confirmation was not sent to you: Amount of fees paid in connection with any transaction; and a copy of the transaction confirmation.

## ANNUAL SUMMARY OF MATERIAL CHANGES

April 2023

**Summary of Material Changes**– Your Financial Advisor provides investment services to you through the AssetMark, Inc. Platform. AssetMark is an investment adviser registered with the Securities and Exchange Commission and is required to deliver to you a summary of material changes, as applicable. AssetMark can make interim updates to its disclosure brochure during the year such as updates to policies and practices, a change in the management of your account, or to introduce new product offerings.

Information about AssetMark and the most recent disclosure brochure is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) or at [www.assetmark.com](http://www.assetmark.com). You may also request a copy by contacting us at:

AssetMark, Inc.  
Attention: Adviser Compliance  
1655 Grant Street, 10<sup>th</sup> Floor  
Concord, CA 94520  
800-664-5345  
[advisorcompliance@assetmark.com](mailto:advisorcompliance@assetmark.com)

There have been no material changes since the last Form ADV Part 2A Appendix 1 annual update in March 2022, or the last interim update in October 2022.

The following updates were made, in addition to clarifying edits throughout the Disclosure Brochure:

- Item 4 – Services, Fees and Compensation
  - New Investment Consulting Service for Financial Advisory Firms
- Item 9 – Additional Information
  - Addition of affiliate Adhesion Wealth Advisor Solutions, Inc.