

# Predicting Heart Failure from Risk Factor Data

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## Introduction (Witlie)

For our project, we aimed to create a model that can predict the event of death as a result of heart failure from clinical patient data.

- ▶ Binary response variable “DEATH\_EVENT”, value of 1 indicating patient deceased (0 otherwise)
- ▶ 12 risk factor variables
  - ▶ 5 binary: anemia status, diabetes status, high blood pressure status, sex, and smoking status
  - ▶ 7 numerical: age, creatine phosphokinase level, ejection fraction, platelet concentration, serum creatine level, serum sodium level, and length of follow-up period

# Motivation (Neha)

- ▶ Investigating heart failure, a condition impacting millions worldwide, and exploring its complex causes and contributing factors.
- ▶ Spotting patterns between risk factors and how heart failure progresses to get a better understanding.

# Linearity and Normality (Neha)

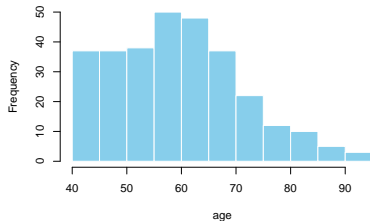
## Normality

- ▶ Objective: Assess whether continuous predictor variables are normally distributed.
- ▶ Variables analyzed: Age, Creatinine Phosphokinase, Ejection Fraction, Platelets, Serum Creatinine, Serum Sodium.
- ▶ Testing residuals ensures the model fits the data well and detects patterns that might indicate a need for model improvement.
- ▶ Most variables were either right or left skewed, showing low normality, except for platelets and serum sodium, which were closer to normal
- ▶ Tests performed:

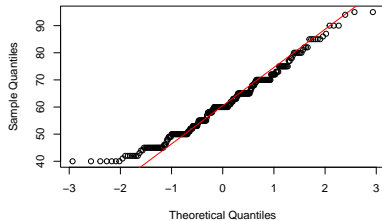
Shapiro-Wilk Test:  $p\text{-values} < 0.05$  for all variables  $\rightarrow$  None follow a normal distribution.

# Graphs

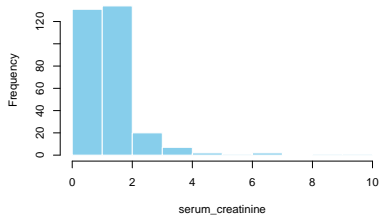
**Histogram of age**



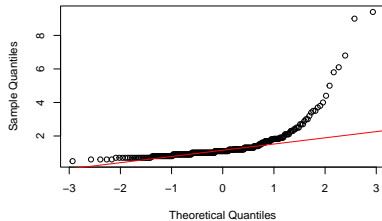
**Q-Q Plot of age**



**Histogram of serum\_creatinine**



**Q-Q Plot of serum\_creatinine**

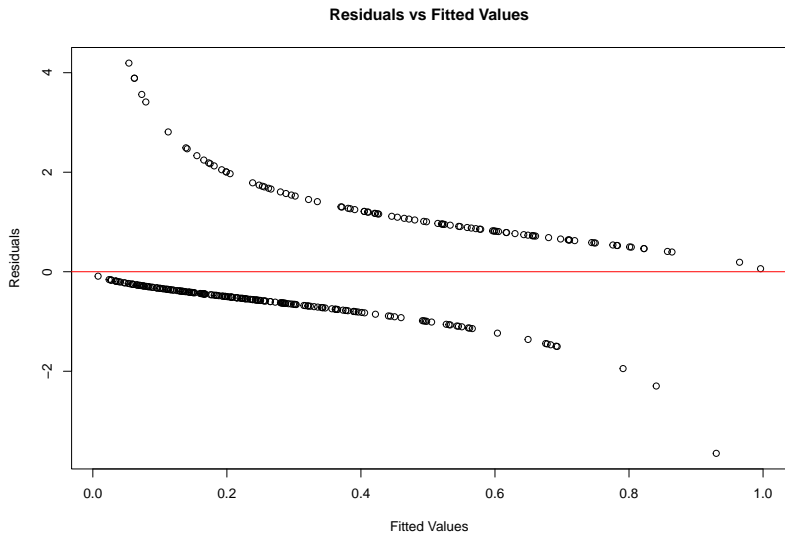


# Linearity

- ▶ Linearity assumes a straight-line relationship between the predictor variables and the log odds of the outcome in logistic regression
- ▶ Fitted linear trendlines show non linear relationships for most variables.
- ▶ Logistic regression results:

The residuals exhibit a curved pattern, indicating that the relationship between the predictors and the response variable may not be linear.

# Graphs



## Polynomial Model to Test Linearity

- ▶ Polynomial models allow us to model nonlinear relationships between predictors and the outcome
- ▶ The polynomial model identified several significant predictors of the likelihood of a death event, including age, serum creatinine, ejection fraction, and time. However, many other predictors, such as platelets, serum sodium, and factors like anaemia, diabetes, and smoking, were found to be statistically insignificant

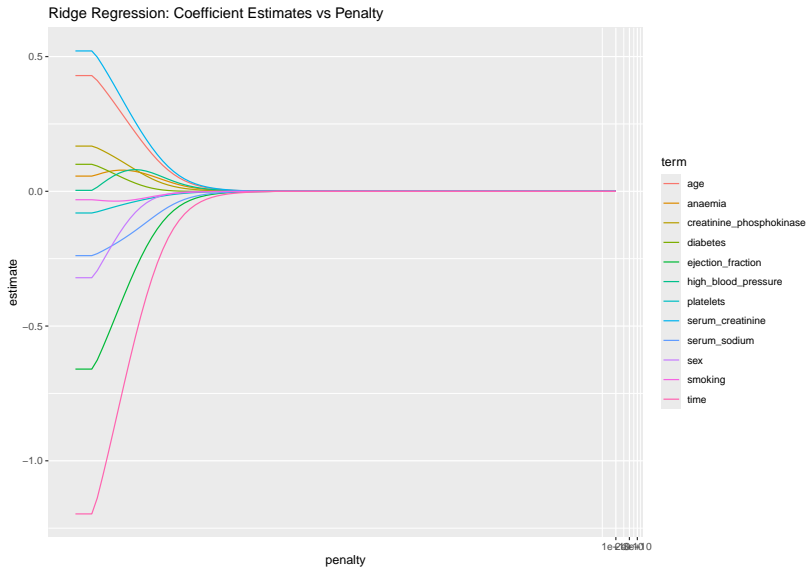


# Logistic Regression (Witlie)

Logistic regression was an obvious first choice in tackling this binary classification problem. I created 3 models to assess predictive performance with different regularization methods.

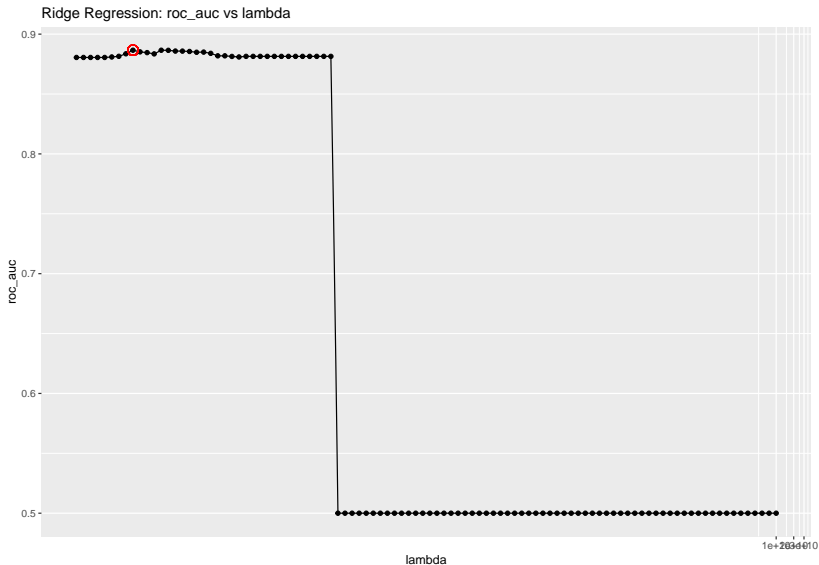
- ▶ Ridge Regression
- ▶ Lasso
- ▶ No regularization

# Ridge Regression



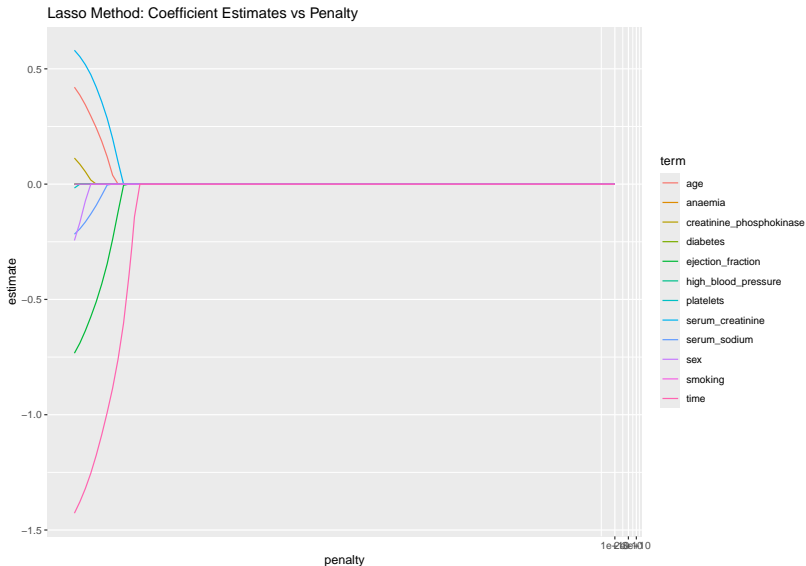
# Ridge Regression

Using 10-fold cross-validation, I found the lambda with the highest ROC-AUC value of 0.887 was  $\lambda = 0.0933$



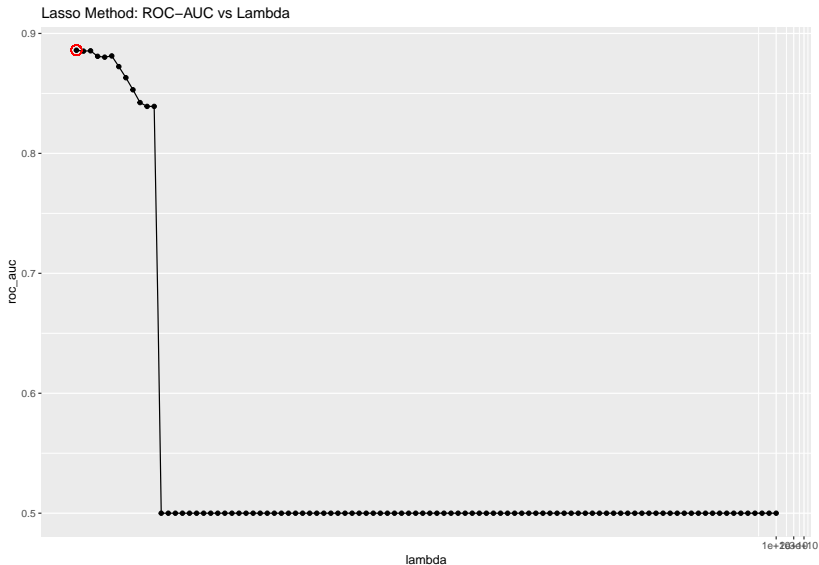
# Lasso

Lasso shows a steeper dropoff of coefficient estimates as a result of feature reduction



# Lasso

Using 10-fold cross validation, I found the lambda with the highest ROC-AUC value of 0.886 was  $\lambda = 0.01$



# Results

- ▶ No Regularization:
- ▶ Ridge Regression:
- ▶ Lasso:

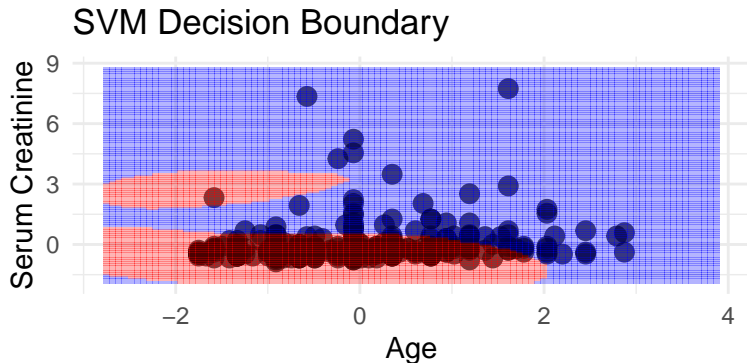
# Support Vector Machine

We began by finding the accuracy rating of 81.7% and a prediction matrix

```
##           Actual
## Predicted  0   1
##           0 36   6
##           1   5 13
## [1] "Accuracy:  0.817"
```

## SVM Decision Boundry

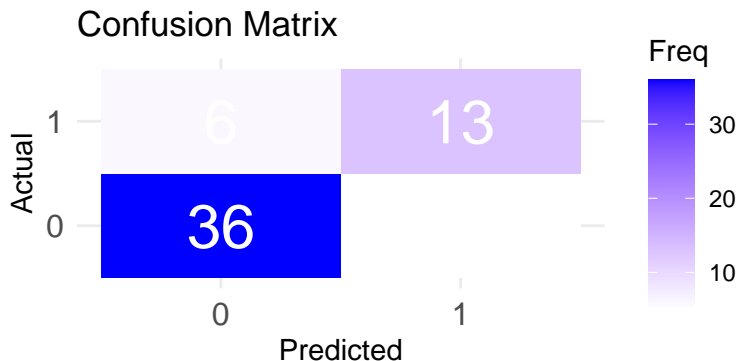
The SVM Boundry analyzes the age vs serum creatinine using the death effect for males vs females. We can see that overall serum creatinine has little effect on the death effect especially in males. Meanwhile age and serum creatinine has a large response on females.





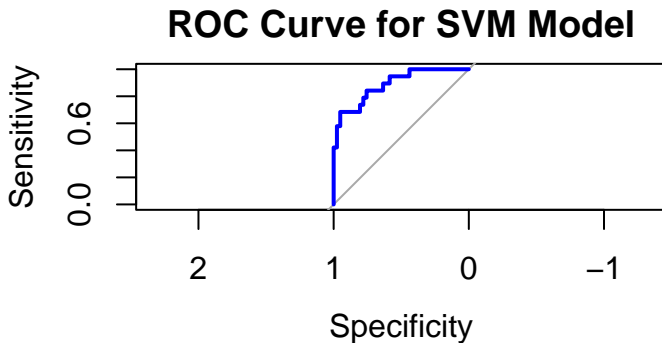
# SVM Confusion Matrix

The Confusion Matrix is used to support our model's predictions, we can see the majority of true labels correctly matched with the predicted labels, indicating high accuracy.



## SVM ROC Curve

The SVM model, tuned with  $C = 0.5$  and  $\text{sigma} = 0.0562$ , had an ROC of 0.86, a sensitivity of 86%, and a specificity of 66%.



# Random Forest

We began by finding the accuracy and a prediction matrix

```
## [1] 0
```

```
##           Actual
```

```
## Predicted  0  1
```

```
##           0 39  4
```

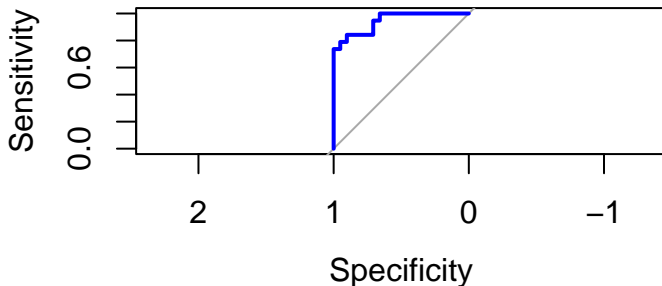
```
##           1  2 15
```

```
## Accuracy:  0.9
```

## RF ROC Curve

The Random Forest model performed best with  $m_{try} = 2$ , giving an ROC of 0.91. It was effective at identifying true positives (91% sensitivity) but had a moderate specificity of 69%.

### ROC Curve for Random Forest Model



Overall, the Random Forest model performed better, especially in terms of overall accuracy and detecting positive cases.

# Results

- ▶ None of the three logistic regression models performed well. The model without regularization performed very similarly to those which implemented ridge regression and lasso, indicating that regularization is unnecessary for this data.
- ▶ The SVM model provided more insight in making predictions however still wasn't the best option.
- ▶ The Random Forest provides a sound approach to prediction as they use multiple trees and reduce risk of overfitting. This also contains the highest accuracy score with an ROC Curve that confirms it's true positive rate is the highest among it's competitors.
- ▶ Thank you!

## References

Davide Chicco, Giuseppe Jurman: Machine learning can predict survival of patients with heart failure from serum creatinine and ejection fraction alone. BMC Medical Informatics and Decision Making 20, 16 (2020). <https://bmcmmedinformdecismak.biomedcentral.com/articles/10.1186/s12911-020-1023-5>