



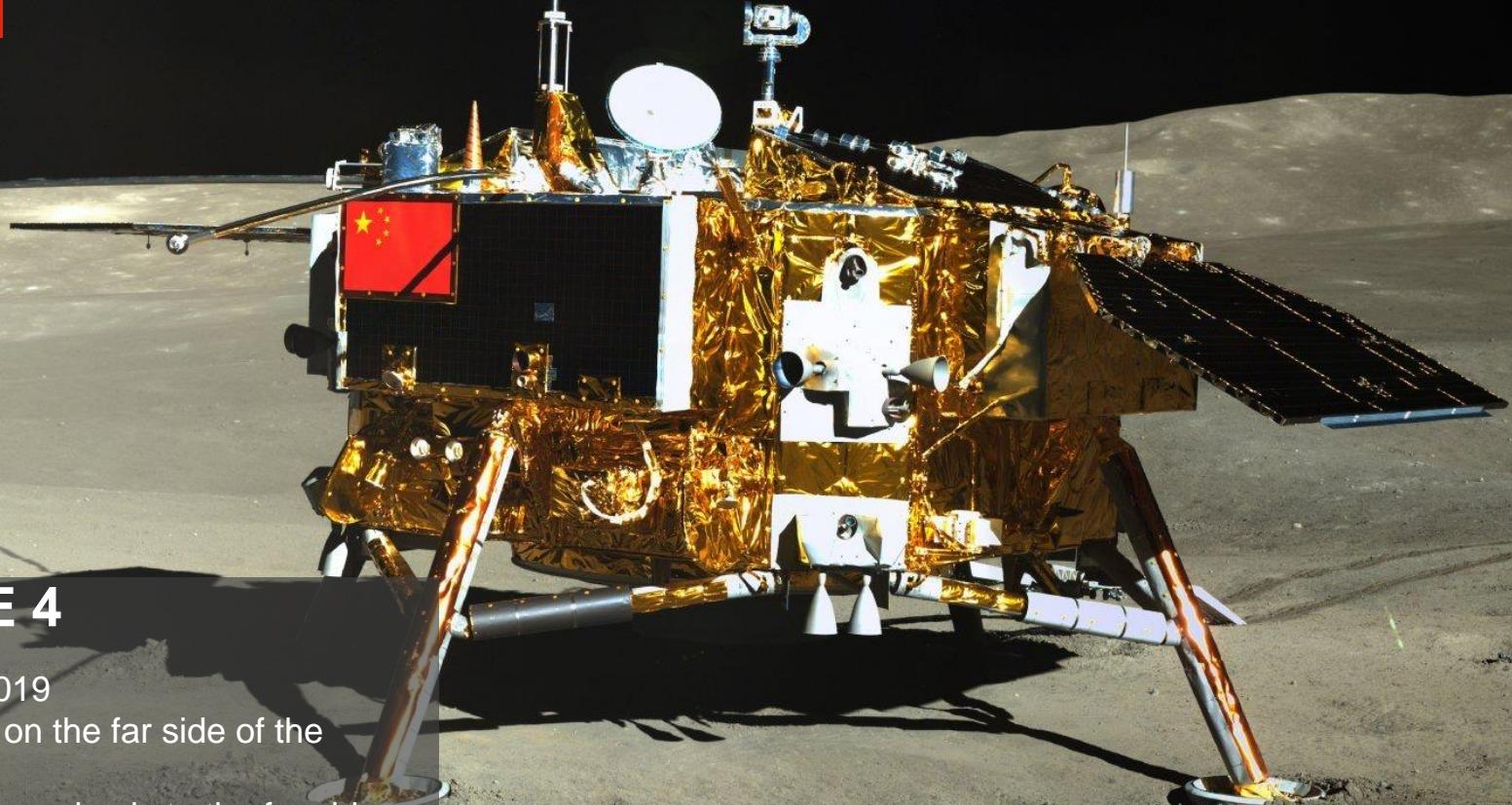
**UNSW**  
SYDNEY | Australia's  
Global  
University

## School of Minerals and Energy Resources Engineering

# Off-Earth Mining



# Recently in the news...



## CHANG'E 4

- 3 January 2019
- Soft landing on the far side of the Moon
- Third country and only to the far side



## New American Exploration Partnerships



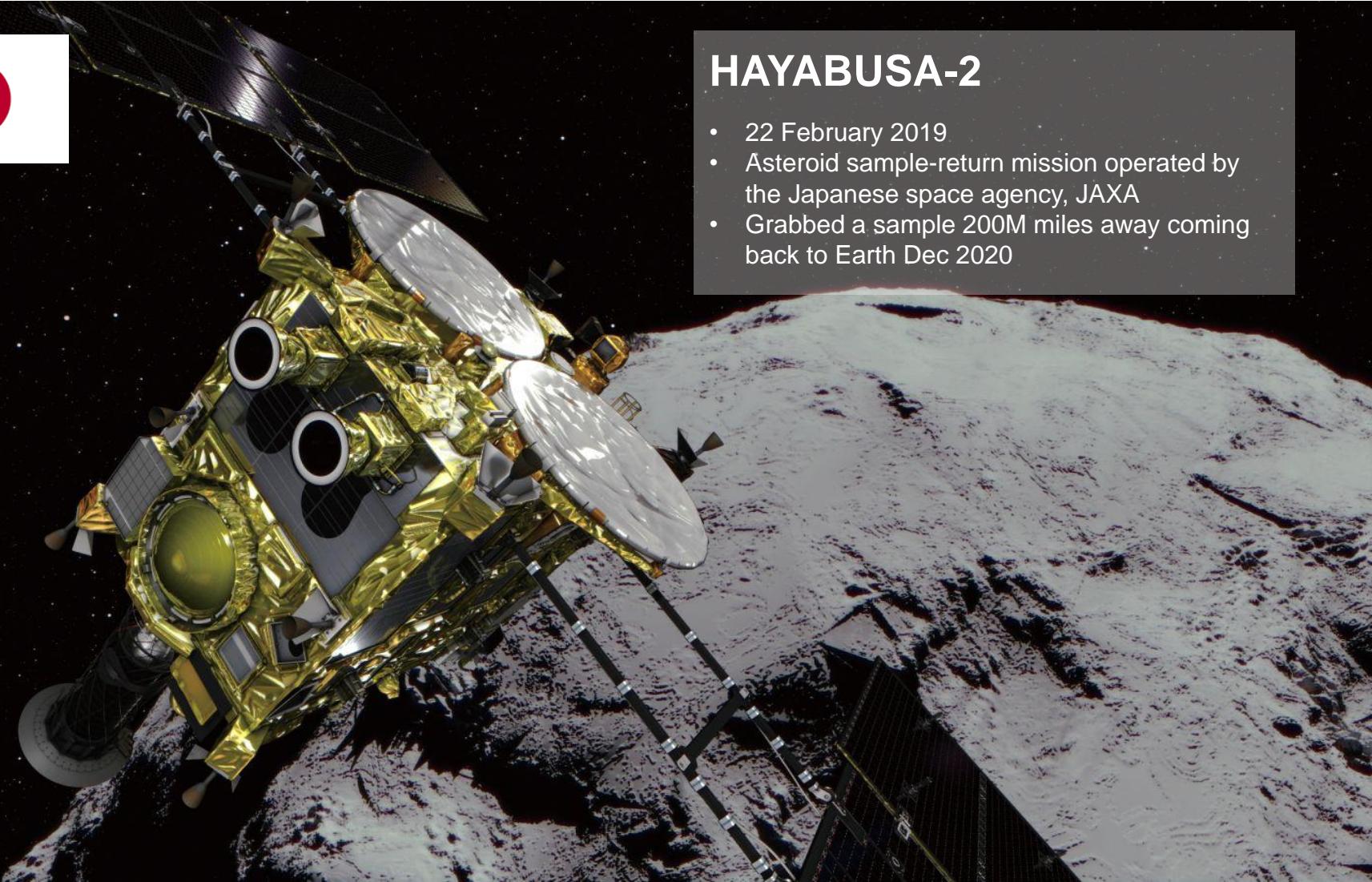
EXPLORE  
MOON to MARS



### NASA CLPS

- 9 Companies Selected
- \$2.3 Billion approved in NASA Budget
- 12 payloads ready to go for 2020

# HAYABUSA 2



## HAYABUSA-2

- 22 February 2019
- Asteroid sample-return mission operated by the Japanese space agency, JAXA
- Grabbed a sample 200M miles away coming back to Earth Dec 2020

# SPACE IL



## SPACE IL (crashed!)

- 22 February 2019
- Google Lunar XPRIZE finalist
- Launched on a SpaceX Falcon 9 rocket



# Off-Earth MINING

- Engineers like to overcome challenges.
  - gravity, inertia, energy, materials handling, friction, temperature and so on ...
- What mining method will we be using?
- None of the current methods will be applicable.
  - May be strip mining for lunar regolith as in surface coal.



# The Motivation

- “**Where she be, there she be**” - there are valuable minerals to be mined, adventurous humans will arrive in crowds – even combatting with extreme conditions and excessive risks!
- An **abundance of valuable resources** that can feed our technologically driven society,
- the necessity and demand of discovering new places that **our society can colonise**,
- the development of new technologies and processes to enable these missions will **create spin-off technologies** that can be used in our terrestrial operations.



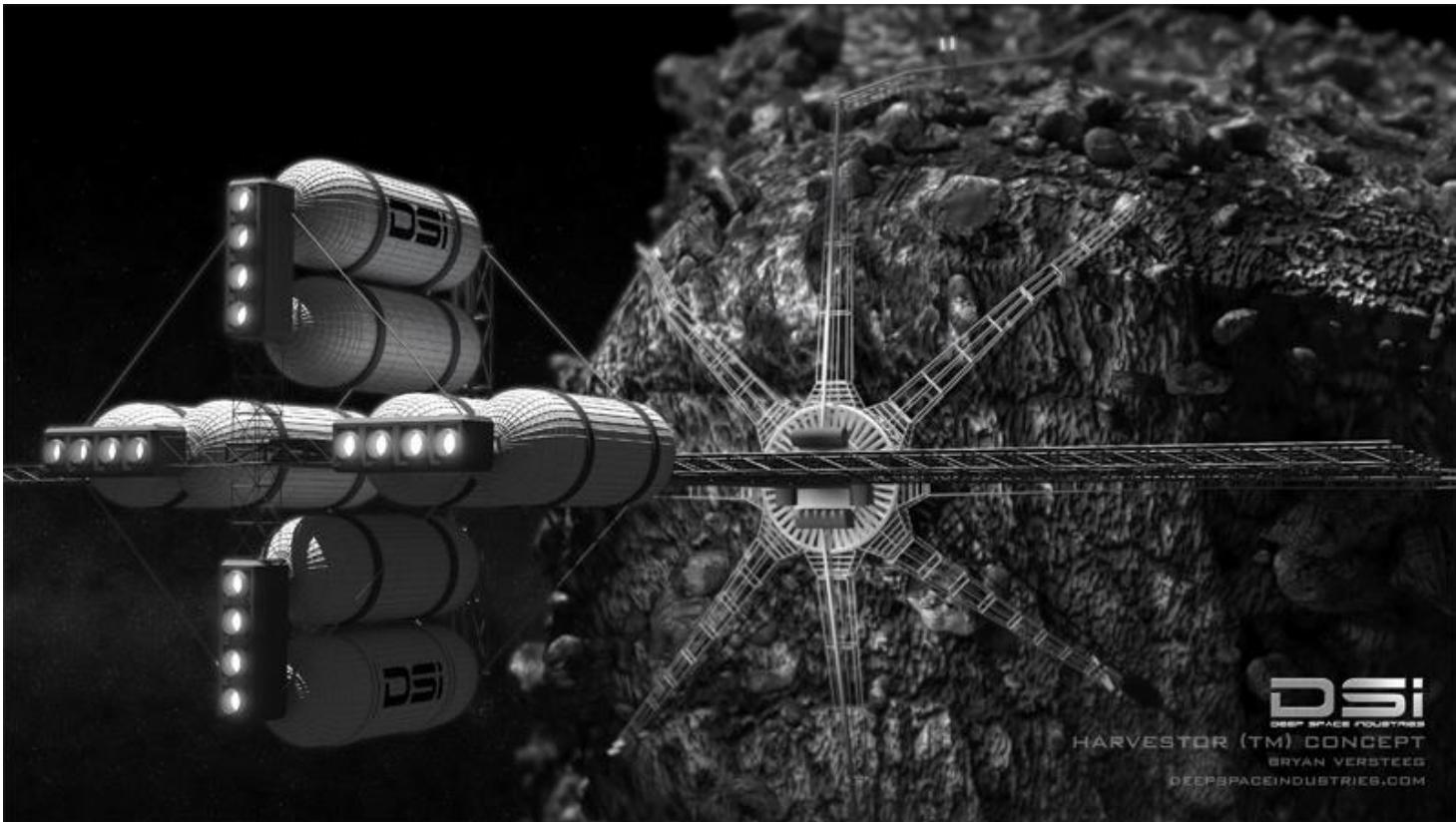
# *Target Bodies for Off-Earth Mining*

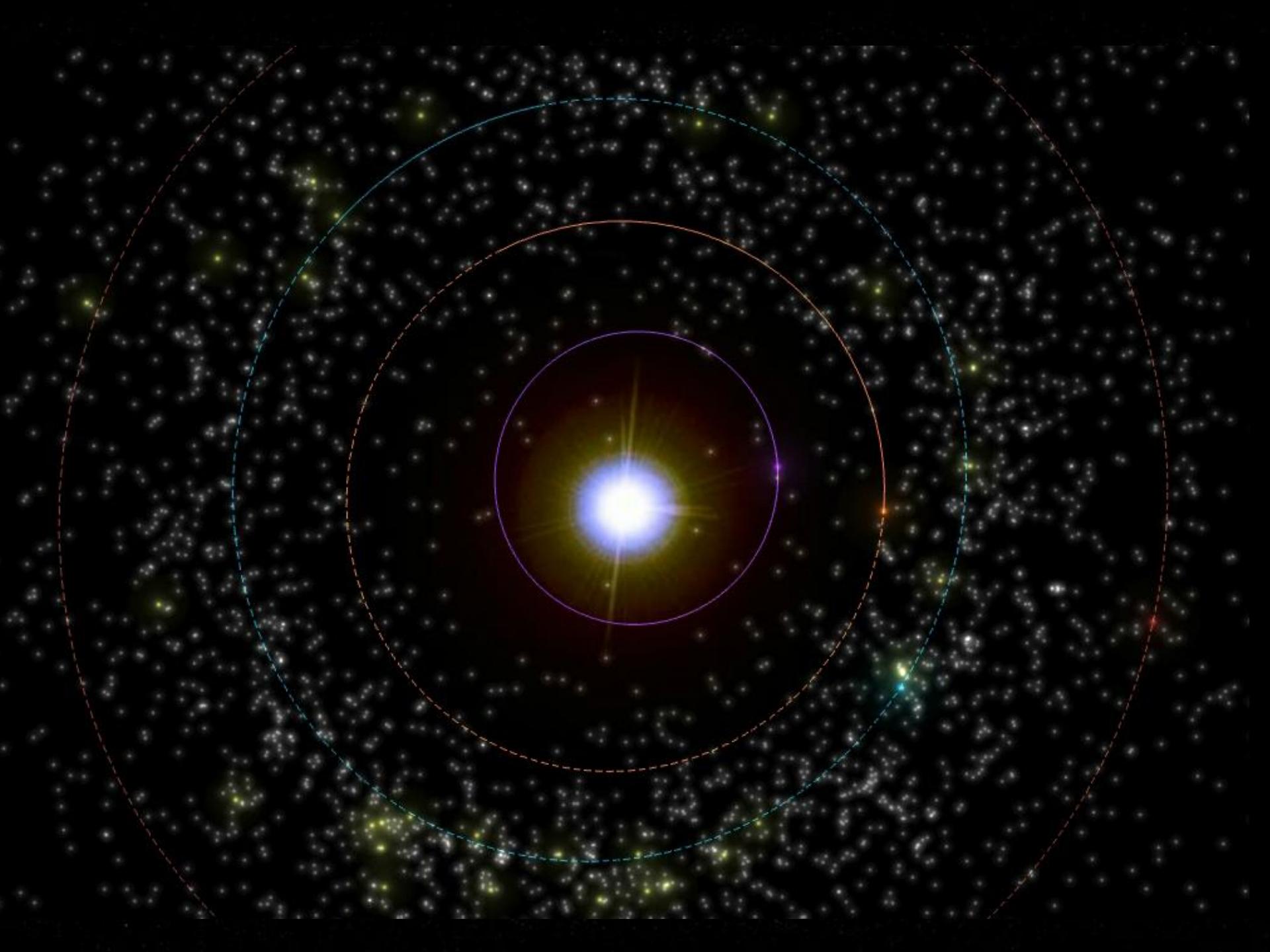
Commercial opportunities will include the mining of

- Asteroids,
- Comets,
- the Moon,
- Mars, and
- Mars' Moons



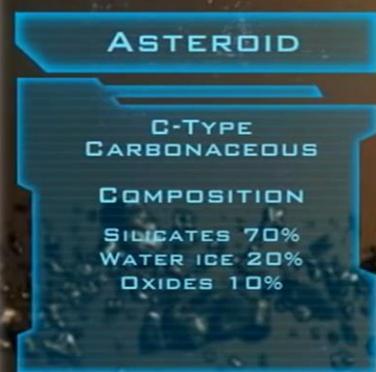
# Asteroids & Comets







about 4 times as much value in platinum-group metals as gold in metal asteroids.



<http://deepspaceindustries.com>

# *An investigation into the feasibility of mining off-earth minerals, with a focus on sourcing from asteroids by G Craig, S Saydam & A Dempster*

1986 DA

M-type asteroid 88% Fe, 10% Ni, 0.5% Co

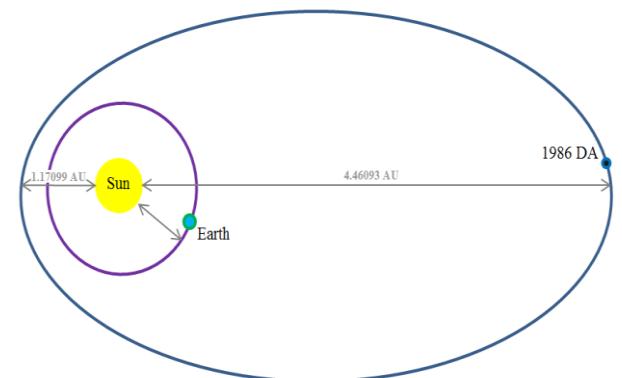
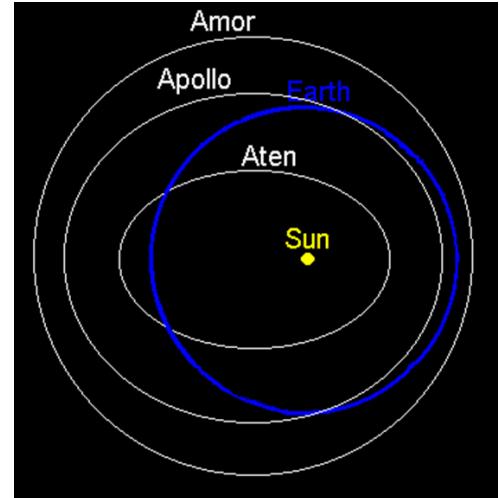
(Ingebretsen, 2001).

- It is essentially made up of “*naturally occurring stainless steel*”

2.3 km diameter.

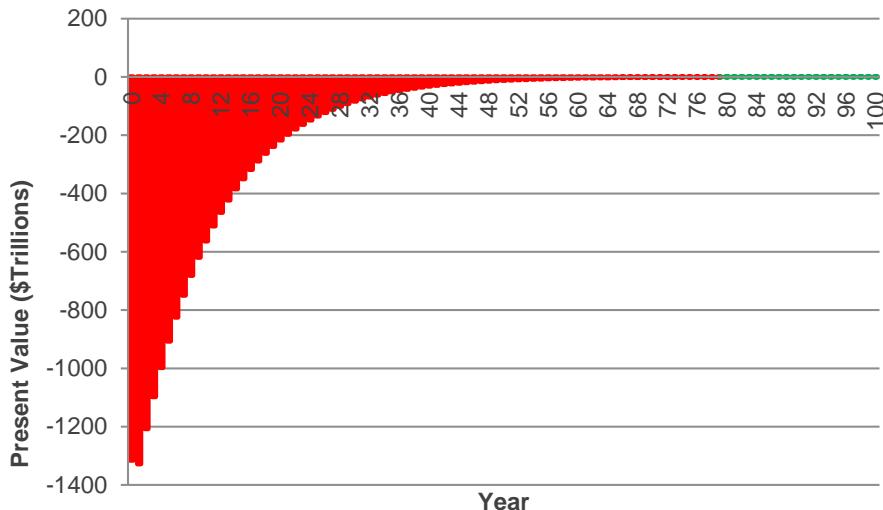
0.5 Astronomical Units (AU) away (75Mkm) from Earth, periodically.

Approximately, 8 months to travel between Earth and the asteroid, similar to the Mars.

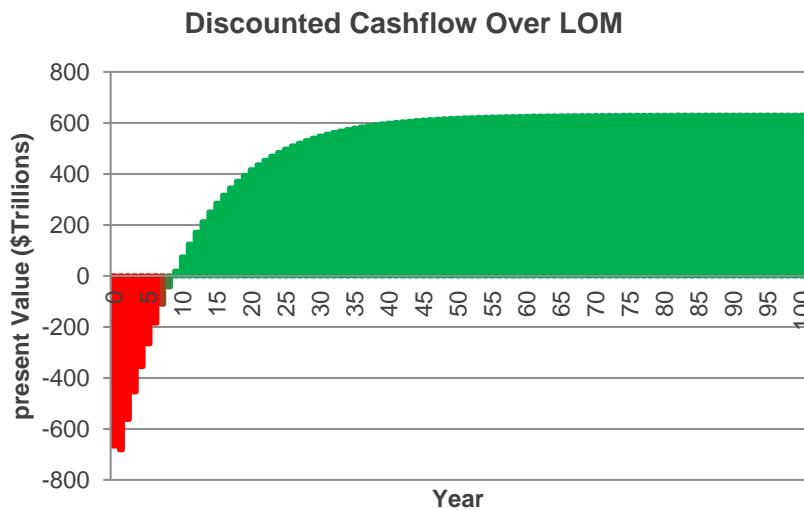


*An investigation into the feasibility of mining off-earth minerals, with a focus  
on sourcing from asteroids by G Craig, S Saydam & A Dempster  
Case Study 1: 80% of mined product is sold*

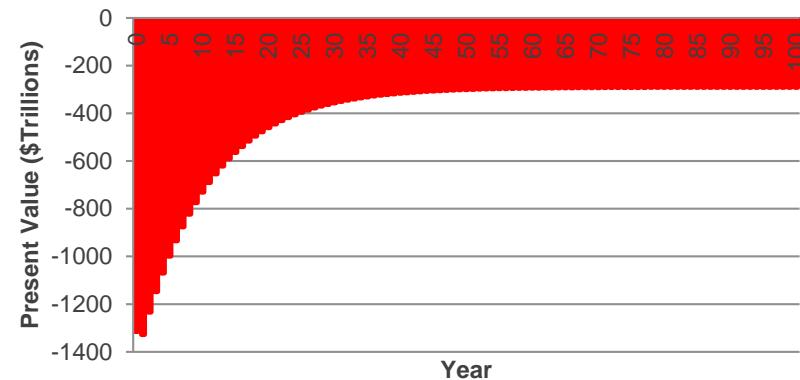
**Base Case: 100% returns to Earth**



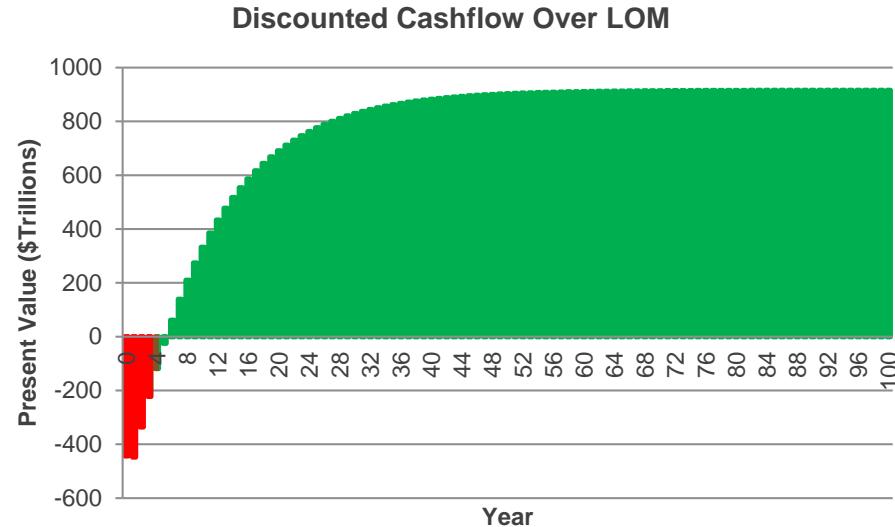
*Case study 2: Asteroid is half the distance from Earth*



**Discounted Cashflow Over LOM**



*Case Study 3: Market is near 1986 DA*





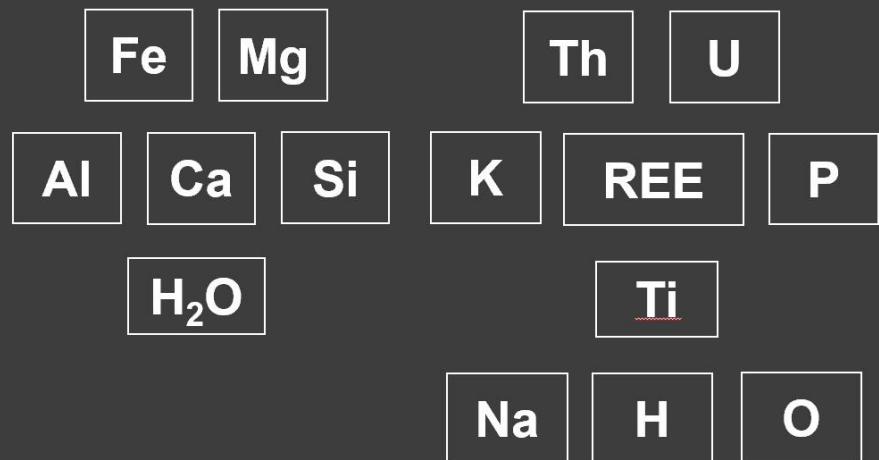
# The Moon and its Dormant Potential

i s p a c e

Resources on the Lunar Surface

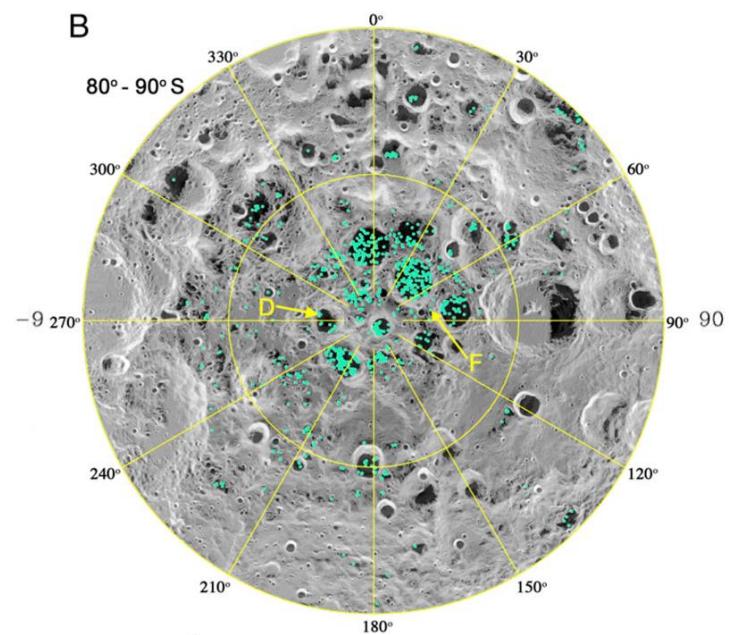
## The Moon is Rich in Resources

ispace is aware through all the missions from NASA, ESA, JAXA, among others, about the abundance of resources on the moon including;



# The Moon

## Resource Maps



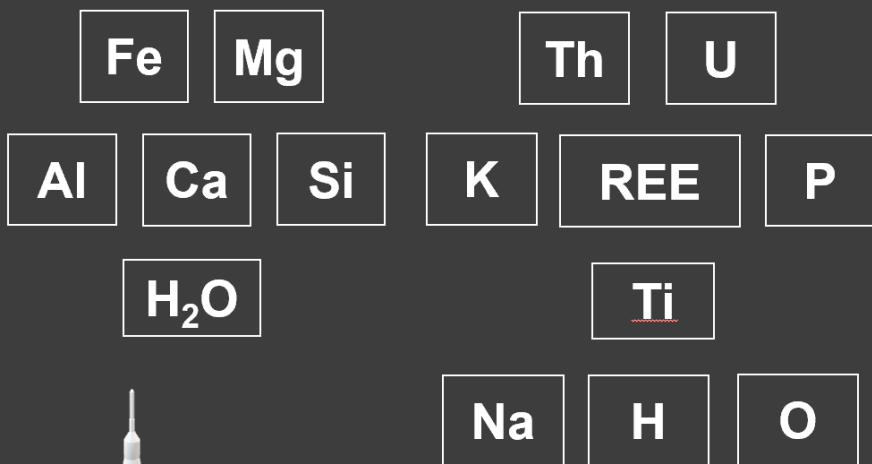
**Estimated 3-6 billion tons of H<sub>2</sub>O**

(Shuai Lia et al., 2018)

The Moon is rich in resources

## Resources on the Lunar Surface

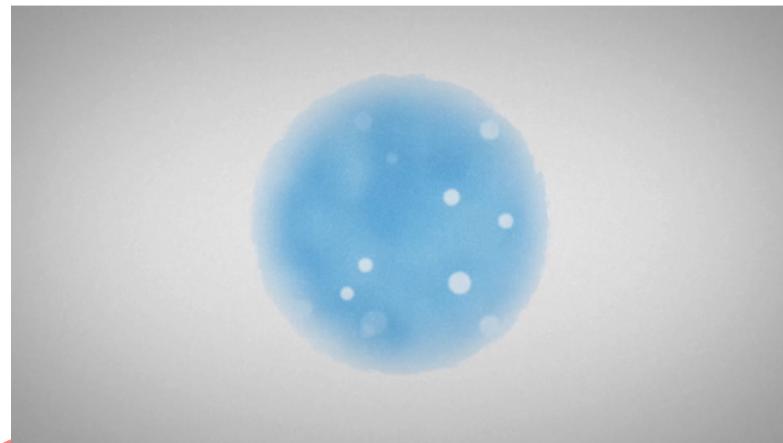
i space is aware through all the mission from NASA, ESA, JAXA, among others, about all the abundant resources on the moon including;



H<sub>2</sub>O can be used for **rocket propellant**, drinkable water, and oxygen for life support. **ULA (United Launch Alliance)** has offered to pay **3,500 US\$/kg** of fuel (H<sub>2</sub>O) in LEO, and **500 US\$/kg** on the moon.

# The Moon

## Resource Maps



# Initial Potential Propellant Customers

## Space Agencies and Rocket Companies:

- ULA (United Launch Alliance)
- Lockheed Martin
- Boeing
- NASA
- ESA
- ROSCOSMOS
- JAXA
- SPACE X
- VIRGIN GALACTIC
- ROCKET LAB
- AIRBUS

## Customers at different orbits/locations:

- LEO
- GEO
- EML1
- Moon

Commercial Lunar Propellant Architecture: A Collaborative Study of Lunar Propellant Production (David Kornuta et al, 2018)

Table 11: Cost of propellant from Earth or the Moon

	From Earth	From Moon
Earth Surface	\$ 1/kg	-
LEO	\$ 4,000/kg	\$3,000/kg
GTO	\$ 8,000/kg	\$1,500/kg
GEO	\$16,000/kg	\$1,500/kg
EML1	\$12,000/kg	\$1,000/kg
Lunar Surface	\$36,000/kg	\$500/kg

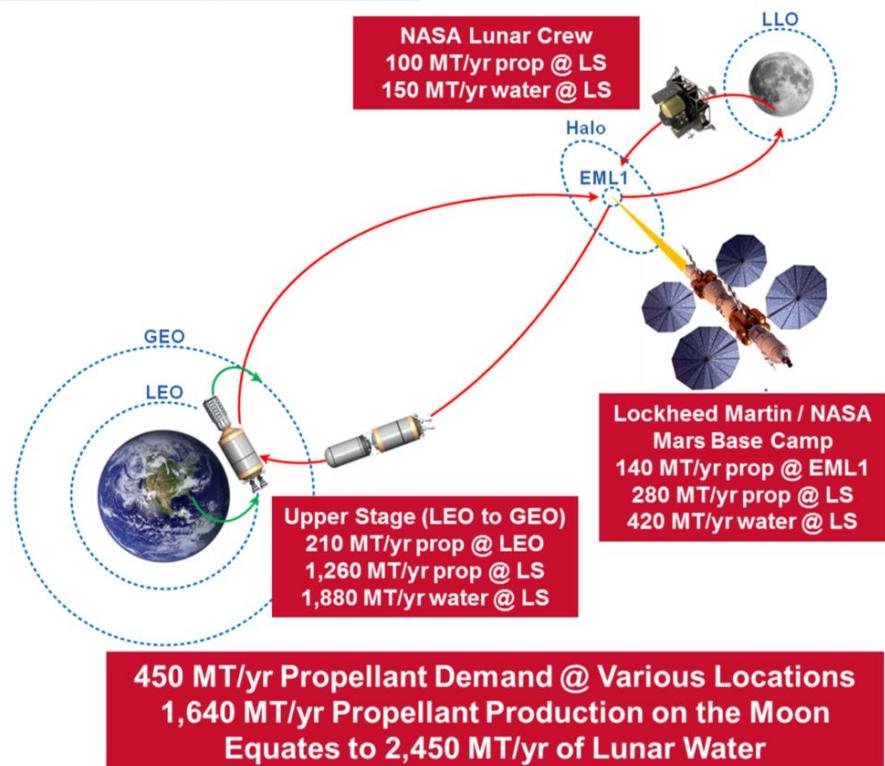


Figure 68: Initial Lunar Propellant Customers

i s p a c e

# Exploring and Mining on The Moon Environment

- Develop Completely **New Technology**
- Co-develop Currently Needed Technology



No atmosphere  
No ( O, N, C )



Temperatures  
Day Time ( 127 C )  
Night Time ( -173 C )  
Craters ( -238 C )



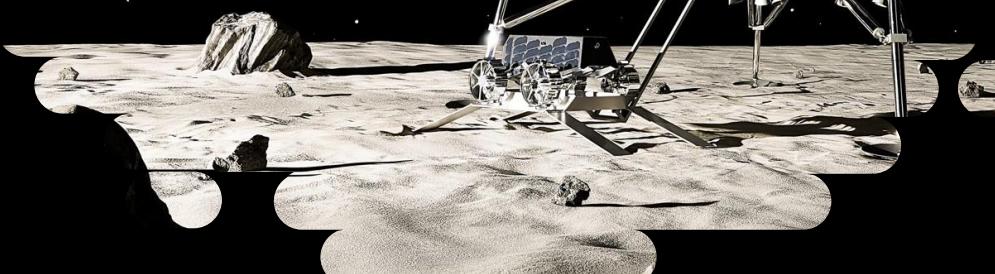
Hard and  
Abrasive Material



Gravity (1/6 of Earth)



Lunar Day / Night  
13.5 Earth Days / Nights





i space

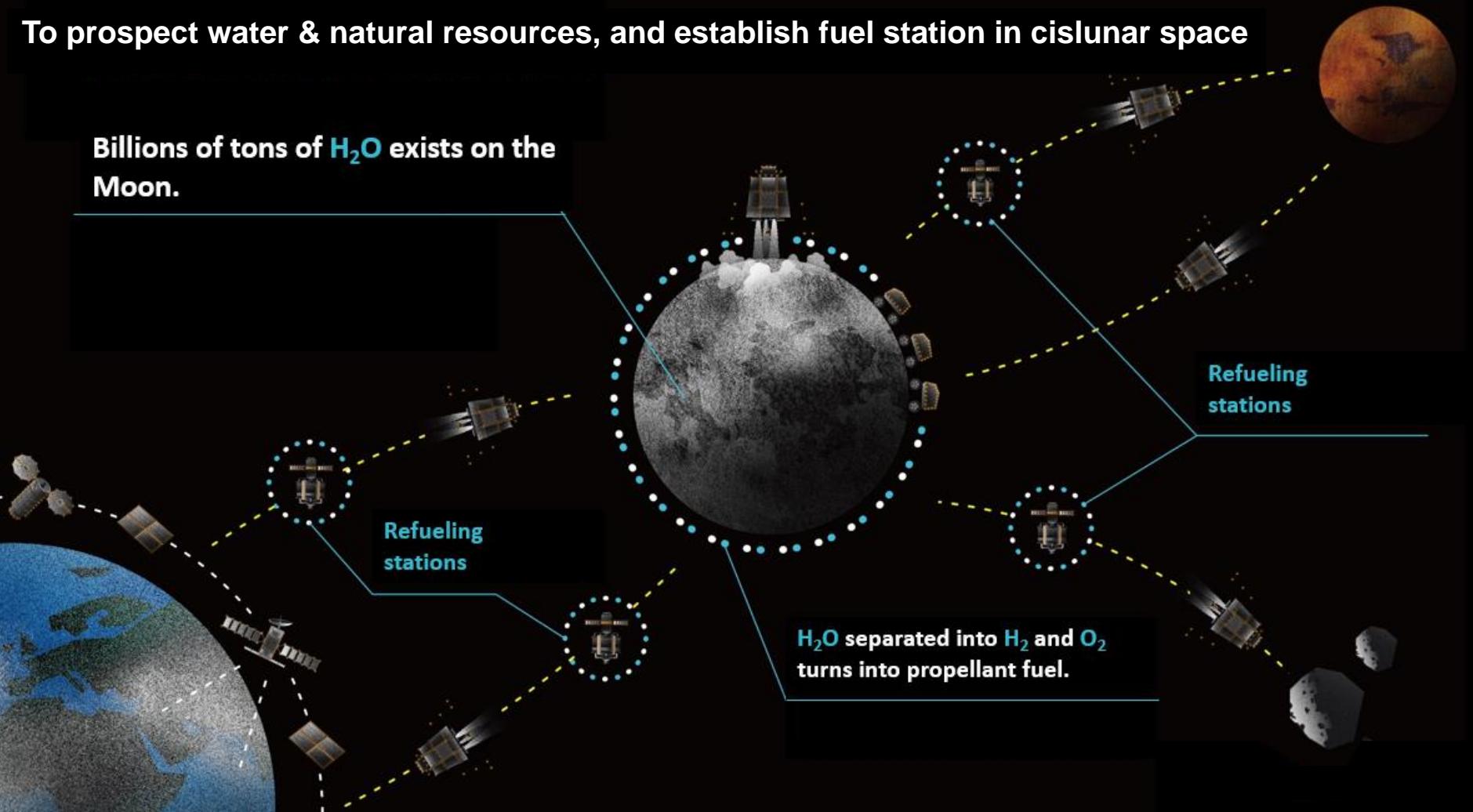
To expand our social and  
economic sphere to the Moon





To prospect water & natural resources, and establish fuel station in cislunar space

Billions of tons of  $H_2O$  exists on the Moon.

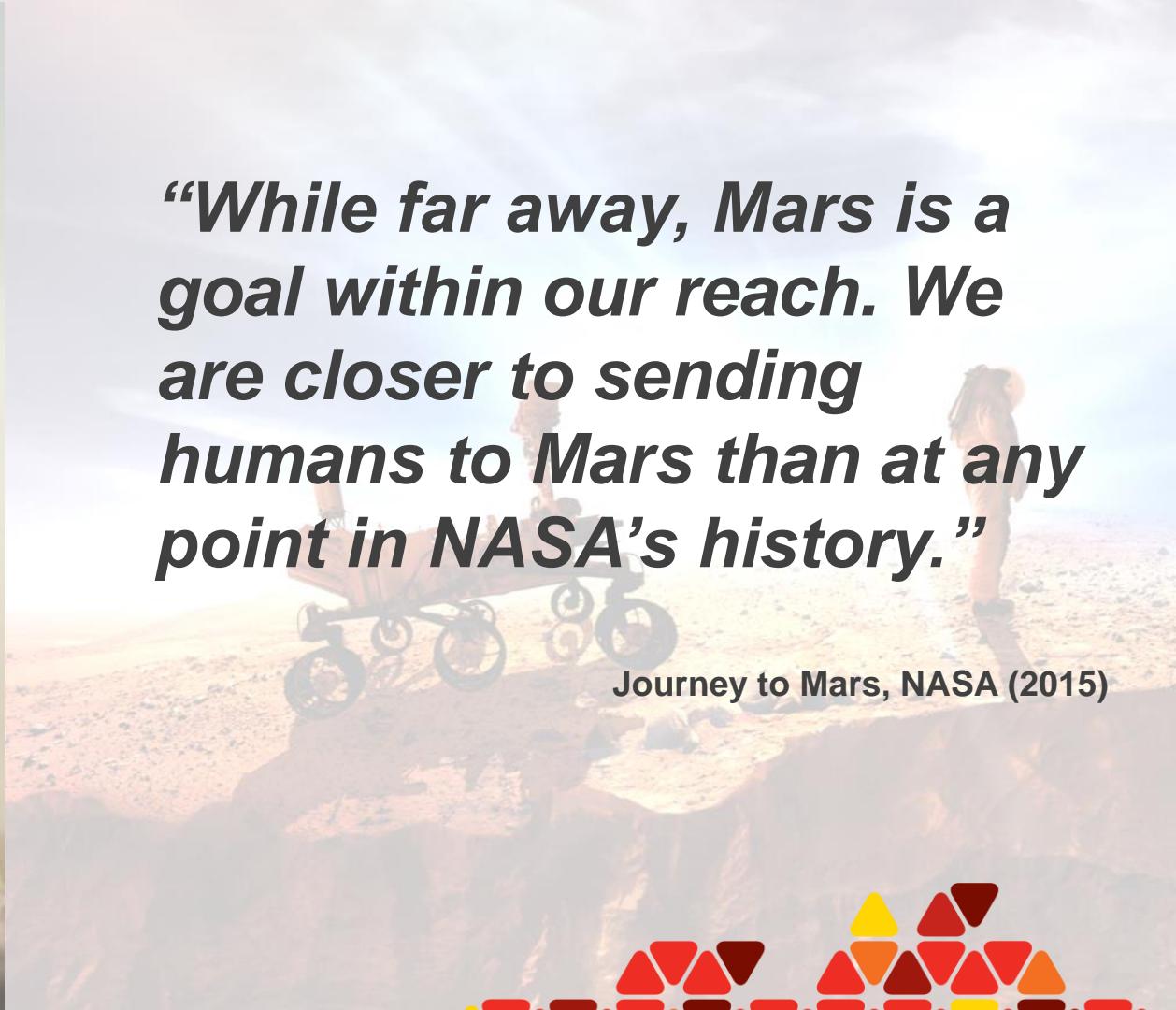




EXPLORATION



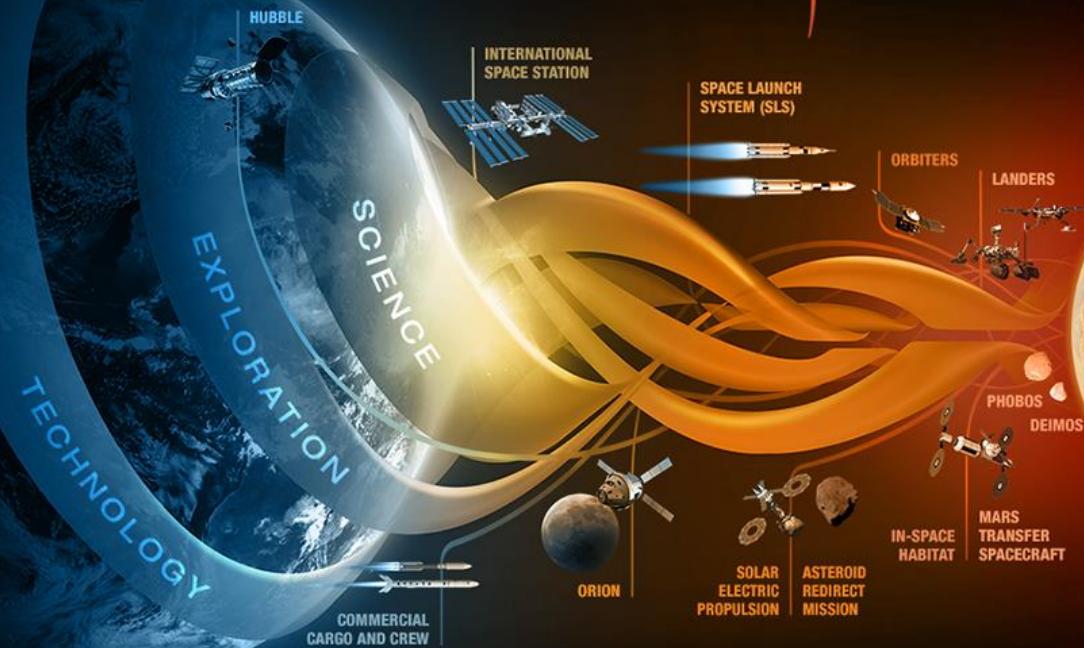
***“While far away, Mars is a goal within our reach. We are closer to sending humans to Mars than at any point in NASA’s history.”***



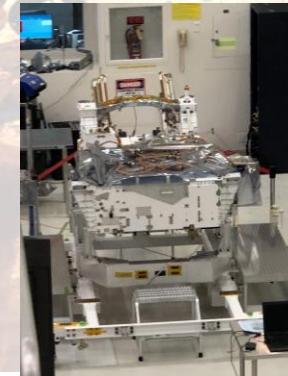
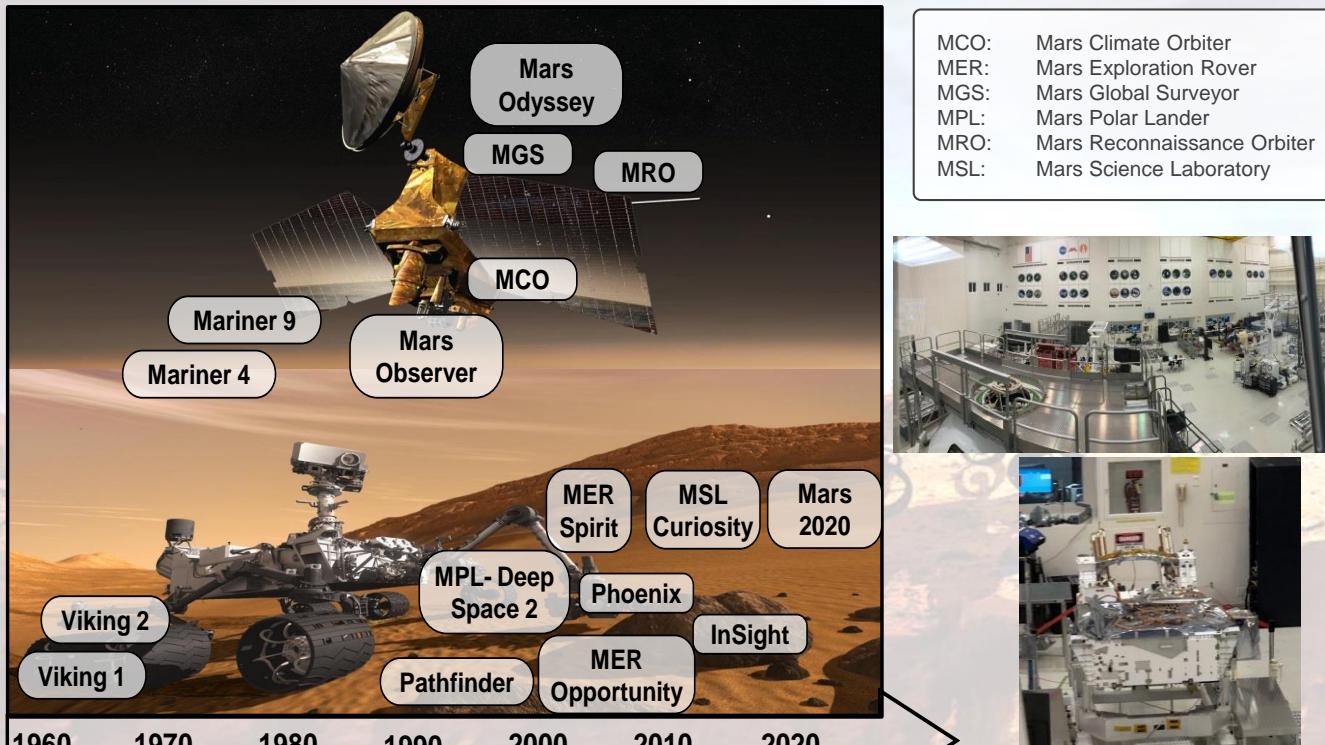
Journey to Mars, NASA (2015)



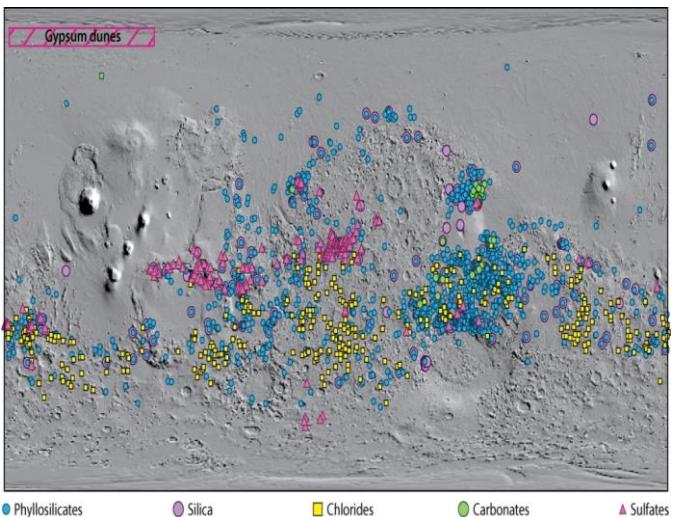
# JOURNEY TO MARS



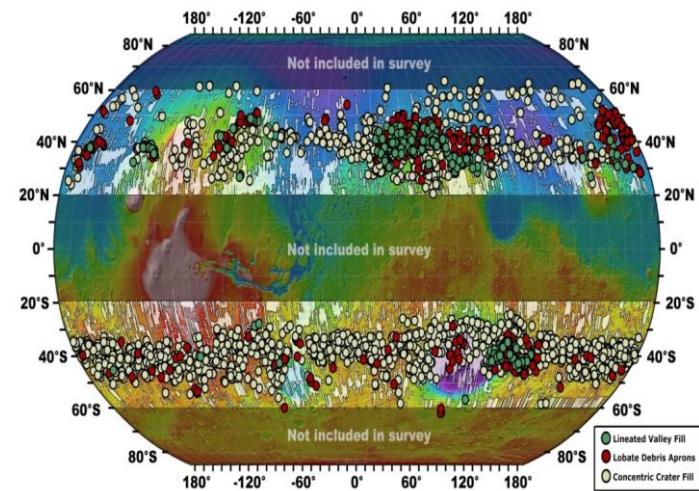
# Mars Exploration Evolution



# Location of Water Resources on Mars



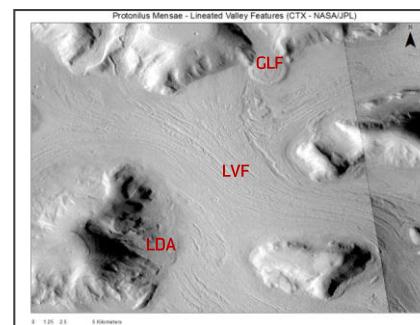
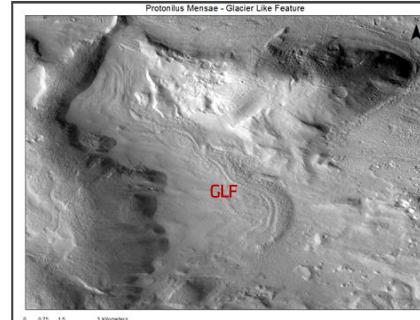
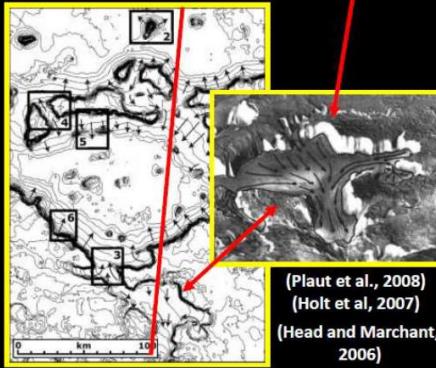
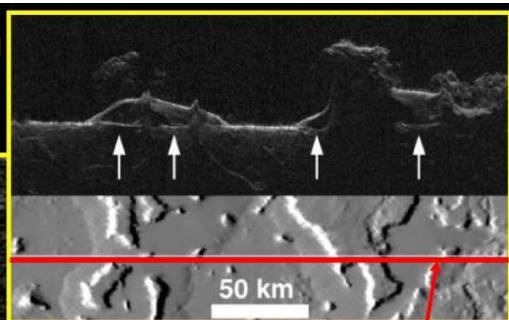
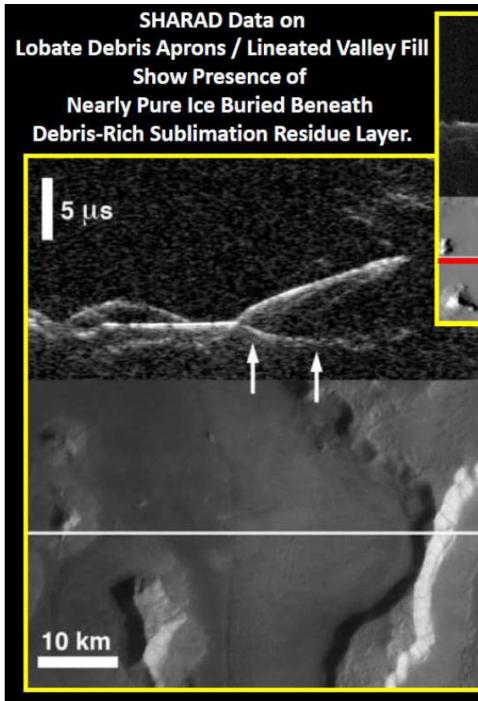
A master compilation of hydrated mineral detections for Mars  
From Ehlmann and Edwards (2014)



Location of identified mid-latitude glacial landforms  
From Dickson et al., 2012

Source: S Casanova

# Debris Covered Glaciers



Lobate Debris Aprons (LDA) &  
Lineated Valley Fill (LVF)

Source: S Casanova

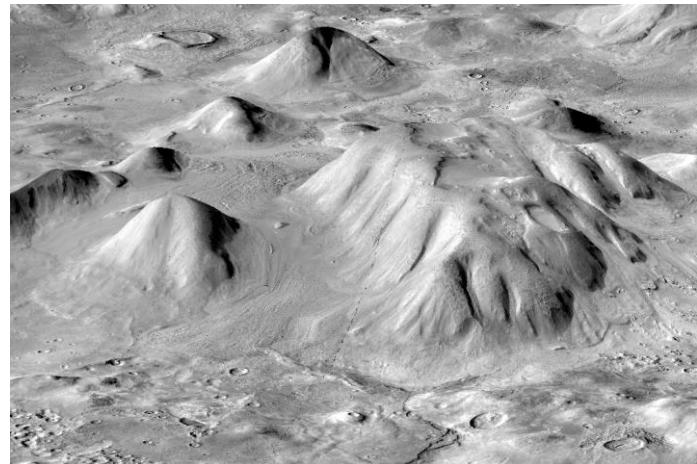
# Variability of Martian Terrain



Kimberley Formation - Gale Crater (Credit: NASA/JPL)



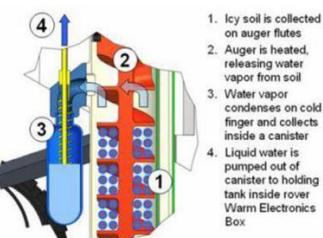
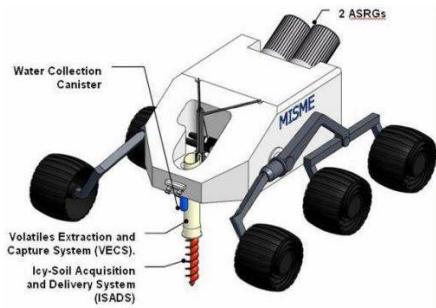
Namib Dune - Gale Crater (Credit: NASA/JPL)



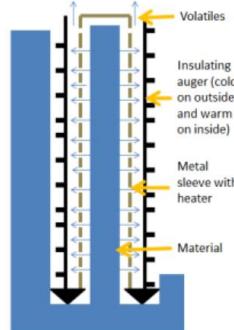
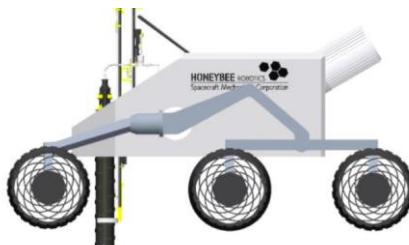
Mid-latitude - Debris Covered Glaciers of the Protonilus  
Mensae 3D visualisation of HRSC DTM (h2908\_0000.da4) (2x  
exaggeration) with CTX draped imagery  
(P03\_002190\_2213\_XN41N307W &  
J03\_045797\_XN\_42N307W)

Source: S Casanova

# Proposed Water Excavation and Extraction Designs



Honeybee Robotics - Mobile in-situ water Extractor (MISWE)  
(Zacny et. al. 2015 )



Honeybee Robotics – Planetary Volatiles Exploration  
Corer (PvEX Core) (Zacny et. al. 2016)



RASSOR Prototype Excavator (NASA - KSC)

Source: S Casanova

# Off-Earth Mining Research @ UNSW

- Initiated through
  - 2008, 2011, 2015 Int'l Future Mining Conference Series
  - 2013, 2015, 2017 Off-Earth Mining Forum Series
- *An Integrated Economics Model for ISRU in Support of A Mars Colony with JPL.*
- UNSW invested \$50k to purchase a seismic recording system which is used at JPL.
- *Comprehensive Modelling for Off-Earth Mining Optimization and Resource Processing – Phase 1 with Ascentech, KSC, Virginia Tech.*
- UNSW has an agreement with JPL/NASA – A PhD student has 1-Year exchange opportunity in JPL every year.
- *Luxembourg Government - iSpace –*

Australian Centre for Space Engineering Research (ACSER)



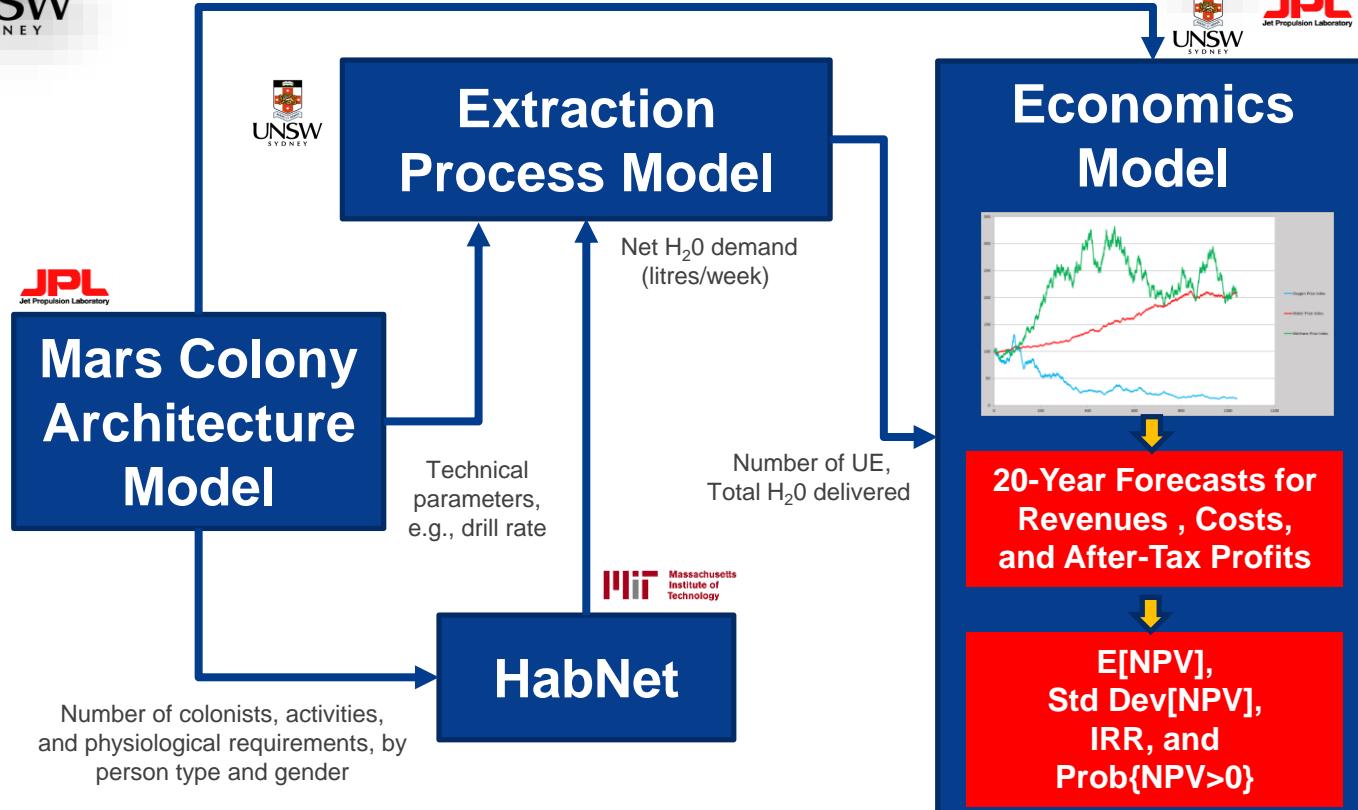


## AN INTEGRATED ECONOMICS MODEL FOR *IN-SITU* RESOURCE UTILISATION (ISRU) IN SUPPORT OF A MARS COLONY

Robert Shishko, Rene Fradet, Sydney Do, Serkan Saydam, Carlos Tapia Cortez,  
Andrew Dempster, Jeff Coulton



Jet Propulsion Laboratory  
California Institute of Technology



UE = Unit Equipment; NPV = Net Present Value; IRR = Internal Rate of Return

# WEM<sup>3</sup>

Simulates, optimises and assess the performance of Martian mining operations from a conceptual engineering point of view.

Works based on the open pit mining production and fleet optimisation techniques.

WEM3

 **UNSW**  
AUSTRALIA

**WEM<sup>3</sup>**  
*Water Extraction - Mars Mining Model*

 **NASA** Jet Propulsion Laboratory  
California Institute of Technology

**Start**

**Setting**

**Optimisation**

**MISWE**

TRP

TBM

PP

**Resources**

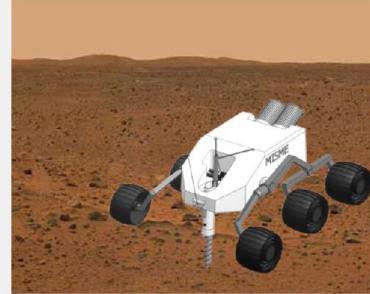
HubNet

**Equipment**

Mine Configuration

Availability

**Mobile In Situ Water Extractor**



Mobile In Situ Water Extractor (MISWE) is a small mobile surface mining rover that uses an auger drill for selective excavation. It can collect regolith in any environment that its motion and drilling system can handle. It is outfitted with an Icy-Soil Acquisition and Delivery System (ISADS) and a Volatiles Extraction and Capture System (VECS) for water extraction.

Dimensions and weight are equated with the Mars Science Laboratory (MSL) Curiosity Rover.

**Dimensions**

Length	3	(m)
Width	2.7	(m)
Height	2.3	(m)
Weight	899	(kg)

**Speed**

Top	4.6	(cm/s)
Average	1	(cm/s)

**Drilling**

Diameter	Min	Max	(mm)
Lenght	0.3	1.5	(m)
ROC	0.4	(cm/min)	
Bar tie time	0.5	(min)	
Bar lift time	100	(cm/min)	
Discharging time	2	(min)	

**Processing**

Power	75	(watt)
Water Storage Capacity	3	(l)
Water Recovery	0.499	(%)



**UNSW**  
SYDNEY

# WEM<sup>3</sup> Configuration – Equipment Setting

WEM3

 **UNSW**  
AUSTRALIA

**WEM<sup>3</sup>**  
*Water Extraction - Mars Mining Model*

 **NASA** Jet Propulsion Laboratory  
California Institute of Technology

**Start**

**Setting**

**Optimisation**

**Resources**

HubNet

Equipment

Mine Configuration

Availability

**MISWE**

TRP

TBM

PP

**Transporter**



Transporter is a mobile equipment to hauling regolith from the mine site to processing facilities and vice versa. Transporter dimension, weight, and speed are equated with the [Mars Science Laboratory \(MSL\)](#) - Curiosity Rover. The payload capacity was established in a range that fluctuates between 15% and 25% of the total weight of MSL- Curiosity Rover.

It is assumed that the transporter has a perfect loading match to operate either with MISWEs or TBMs.

**Dimensions**

Length	3	(m)
Width	2.7	(m)
Height	2.3	(m)
Weight	899	(kg)

**Save**

**Reset**

**Speed**

Top	4.6	(cm/s)
Average	2.8	(cm/s)

**Payload**

Volume	0.1	(m <sup>3</sup> )
Mass	0	(kg)

**Manoeuvre Time**

Parking	0.5	(min)
Loading	2	(min)
Discharging	4	(min)

**Slip**

Slope Angle	Up	Down	Cross
2.5°	-0.05	0.01	-0.025
5°	-0.07	0.025	-0.04
10°	-0.2	0.11	-0.09
15°	-0.65	0.26	-0.25

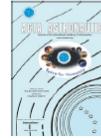
Acta Astronautica 138 (2017) 53–67

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Acta Astronautica

journal homepage: [www.elsevier.com/locate/actaastro](http://www.elsevier.com/locate/actaastro)

 ELSEVIER



Mars Colony *in situ* resource utilization: An integrated architecture and economics model

Robert Shishko Principal Systems Engineer/Economist, Project Systems Engineering & Formulation Section <sup>a</sup>, René Fradet Director, Engineering and Science Directorate <sup>a</sup>, Sydney Do Systems Engineer, Project Systems Engineering & Formulation Section <sup>a</sup>, Serkan Saydam Associate Professor and Research Director, School of Mining Engineering <sup>b</sup>, Carlos Tapia-Cortez, Ph.D. Graduate Student <sup>b</sup>, Andrew G. Dempster Director, Australian Centre for Space Engineering Research (ACSER) <sup>c</sup>, Jeff Coulton Senior Lecturer <sup>d</sup>

<sup>a</sup> Jet Propulsion Laboratory, California Institute of Technology, Pasadena, CA, 91109, USA  
<sup>b</sup> School of Mining Engineering, UNSW, Sydney, 2052, Australia  
<sup>c</sup> Australian Centre for Space Engineering Research, UNSW, Sydney, 2052, Australia  
<sup>d</sup> UNSW Business School, University of New South Wales, Sydney, 2052, Australia

**A B S T R A C T**

This paper reports on our effort to develop an ensemble of specialized models to explore the commercial potential of mining water/ice on Mars in support of a Mars Colony. This ensemble starts with a formal systems architecting framework to describe a Mars Colony and capture its artifacts' parameters and technical attributes. The resulting database is then linked to a variety of "downstream" analytic models. In particular, we integrated an extraction process (i.e., "mining") model, a simulation of the colony's environmental control and life support infrastructure known as HabNet, and a risk-based economics model. The mining model focuses on the technologies associated with *in situ* resource extraction, processing, storage and handling, and delivery. This model computes the production rate as a function of the systems' technical parameters and the local Mars environment. HabNet simulates the fundamental sustainability relationships associated with establishing and maintaining the colony's population. The economics model brings together market information, investment and operating costs, along with measures of market uncertainty and Monte Carlo techniques, with the objective of determining the profitability of commercial water/ice *in situ* mining operations. All told, over 50 market and technical parameters can be varied in order to address "what-if" questions, including colony location.

**WEM<sup>3</sup> is available on:**  
<https://www.engineering.unsw.edu.au/mining-engineering/wem3>



# Comprehensive Modelling for Off-Earth Mining Optimization and Resource Processing M<sup>2</sup>O<sup>2</sup>



*S Saydam, C Tapia Cortez (UNSW); L Sibille, Ascentech & KSC (NASA);  
M Karmis, S Schafrik, M Karfakis, Aaron Noble (VTech)*

- An SBIR – STTR grant – Phase 1, collaboratively achieved with UNSW, Virginia Tech, Ascentech and Kennedy Space Centre.
- The project applied our previous project outcomes to develop a comprehensive model for optimizing a mining operation to support a crew of max 10 people.

**WELCOME TO**

**NASA Mars Mining Operation Optimizer (M<sup>2</sup>O<sup>2</sup>)**

*Version 1 of the Space Resources Utilization Simulator (SRUS 1.01)*

**Start**









## M<sup>2</sup>O<sub>2</sub>

Simulates Martian mining operation for regolith extraction and water production.

Assesses and compares technical requirements of Martian mining missions.

Uses geological, mineralogical and topographical data.



NASA (2017)

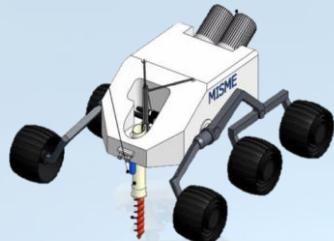
<https://www.nasa.gov/feature/langley/university-students-mine-for-water-at-nasa-s-mars-ice-challenge>

# Off Earth Mining Technology

## Prospective

MISWE

HONEYBEE ROBOTICS



Rassor

SWAMP WORKS  
NASA KENNEDY SPACE CENTER



TBM

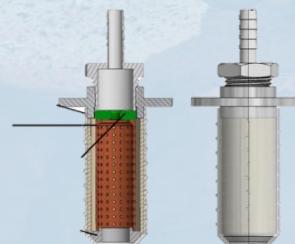


Rover/  
Transporter

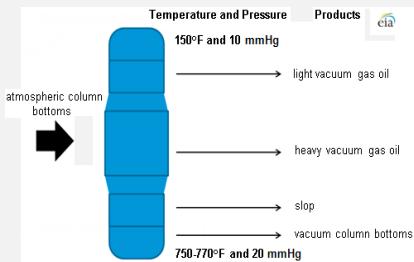


Corer

HONEYBEE ROBOTICS



Vacuum Distillation



# “Wilde”

January 2019: Wilde  
Shadowed craters of the moon

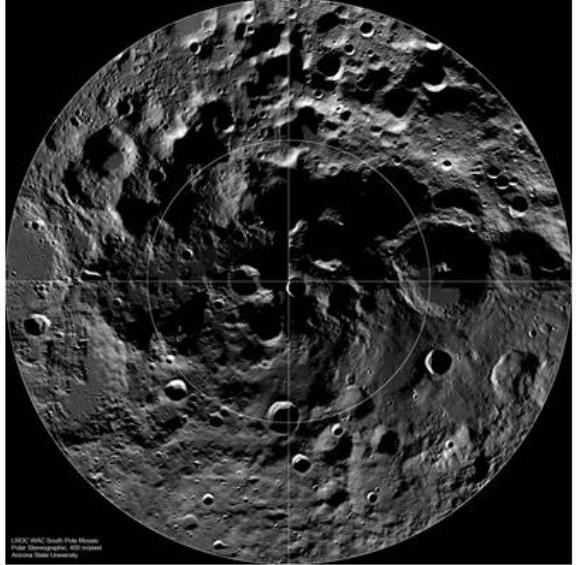
**“To reduce the risk perceived by a potential investor in a space resources venture”**

Missions: Orbiter, Lander/Rover

UNSW Engineering

Australian Centre for Space Engineering Research (ACSER)

Mining water on the moon: the Wilde\* Project



LROC-WAC South Pole Mosaic  
Polar stereographic, 400 Impact  
Astronomical Survey University

**Scope**

This project has as its end goal a mission to process water from the permanently shadowed craters at moon's poles. That mission must be commercially viable, so only viable mission designs, methodologies and technologies are to be considered. The initial stages of the project are aimed at reducing the risk perceived by e.g. mining companies so that investment in such a mission can proceed. Initially, the mission is proposed for Shackleton crater, at the Moon's south pole.

Tweets by @ACSERUNSW

ACSER UNSW @ACSERUNSW Nice new video from @Science\_Academy on moon mining science.org.au/curious/videos/... but they don't mention Wilde: acser.unsw.edu.au/mining-water-o... 3m

Mining the Moon Explore the science behind th... science.org.au

ACSER UNSW @ACSERUNSW PhD candidate Ben Southwell is presenting 2 posters on GNSS reflectometry simultaneously at IGARSS in Yokohama, Japan. Let's hope that coffee is strong. 3m

Embed View on Twitter