

# Introduction to Natural Language Processing

DSIndy January 2018

# What is NLP?

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- Fundamental goal: *deep understand of broad language*  
Not just string processing or keyword matching!
- End systems that we want to build:
  - Simple: spelling correction, text categorization...
  - Complex: speech recognition, machine translation, information extraction, dialog interfaces, question answering...
  - Unknown: human-level comprehension (is this just NLP?)

# NLP

Natural language processing (NLP) is an area of computer science and artificial intelligence concerned with the interactions between computers and human (natural) languages, in particular how to program computers to process and analyze large amounts of natural language data.

Includes many different techniques for interpreting human language, ranging from statistical and machine learning methods to rules-based and algorithmic approaches.

# **History**

# Some Early NLP History

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- 1950's:
  - Foundational work: automata, information theory, etc.
  - First speech systems
  - Machine translation (MT) hugely funded by military
    - Toy models: MT using basically word-substitution
  - Optimism!
- 1960's and 1970's: NLP Winter
  - Bar-Hillel (FAHQT) and ALPAC reports kills MT
  - Work shifts to deeper models, syntax
    - ... but toy domains / grammars (SHRDLU, LUNAR)
- 1980's and 1990's: The Empirical Revolution
  - Expectations get reset
  - Corpus-based methods become central
  - Deep analysis often traded for robust and simple approximations
  - *Evaluate everything*
- 2000+: Richer Statistical Methods
  - Models increasingly merge linguistically sophisticated representations with statistical methods, confluence and clean-up
  - *Begin to get both breadth and depth*

# Two Generations of NLP

- Hand-crafted Systems – Knowledge Engineering [1950s– ]

- Rules written by hand; adjusted by error analysis
- Require experts who understand both the systems and domain
- Iterative guess-test-tweak-repeat cycle



- Automatic, Trainable (Machine Learning) System [1985s– ]

- The tasks are modeled in a statistical way
- More robust techniques based on rich annotations
- Perform better than rules (Parsing 90% vs. 75% accuracy)

## Early 1950s

- Machine Translation (MT): one of the earliest applications of computers
  - Major players: US and USSR
  - Russian to English and reverse
- Georgetown University, Washington system:
  - translated sample texts in 1954
  - euphoria - a lot of funding, many groups in US, USSR
  - BUT: the system could not scale up

## SHRDLU

- interaction with a robot in a block world.
  - author: Terry Winograd – MIT
- the user can:
  - ask the robot to manipulate the blocks
  - ask it about the blocks configurations
  - ask it about its reasoning
  - update facts
- "understands" language in a limited domain by using syntactic parsing and semantic reasoning
  - large scale grammar of English + parser
  - procedural semantics for words and phrases

# ELIZA

- the first chatterbot – a computer program that mimics human conversation
  - author: Joseph Weizenbaum –Massachusetts Institute of Technology
- simulation of a (Rogerian) therapist
  - user types in some statement or set of statements in natural language
  - ELIZA then analyzes the user's statement and generates some response
- basic technology: pattern matching

USER: You don't argue with me.

ELIZA: WHY DO YOU THINK I DON'T  
ARGUE WITH YOU

## **ALPAC Report**

- assessed research results of groups working on MTs
- conclusions:
  - MT not possible in near future
  - funding should cease for MT!
  - basic research should be supported
- word by word translation does not work, linguistic knowledge is needed

## **John McCarthy**

1956 coined the term “Artificial Intelligence”

1958 created the LISP programming language which is still in use today

## **Shakey**

SRI robot, Shakey, demonstrated combining locomotion, perception and problem solving. 1969

## **Deep Blue**

1997, the first computer chess-playing system to beat a reigning world chess champion, Garry Kasparov .

## **DARPA award given to Stanford in 2005**

driving autonomously for 131 miles along an unrehearsed desert trail

## **Watson**

Q/A system

# **Overview**

## Two trends

An enormous amount of knowledge is now available in machine readable form as natural language text

Conversational agents are becoming an important NLP, as in many areas of AI:

- We're often dealing with ill-defined problems
- We don't often come up with perfect solutions/algorithms
- We can't let either of those facts get in our way

- Issue 1: Lexicon Size
  - Potentially HUGE!
  - Controlling factor: morphology
    - Store base forms (roots/stems)
    - Use morphologic process to generate / analyze
      - E.g. Dog: dog(s); sing: sings, sang, sung, singing, singer,..
- Issue 2: Lexical ambiguity
  - rock: N/V; dog: N/V;
  - “Time flies like a banana”

## Related Fields

- Computational Linguistics

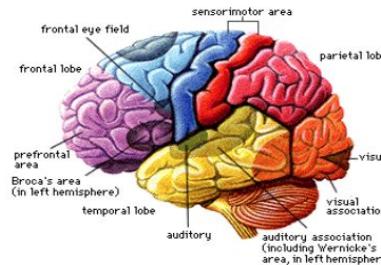
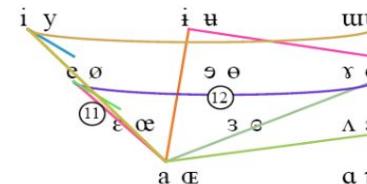
- Using computational methods to learn more about how language works
  - We end up doing this and using it

## • Cognitive Science

- Figuring out how the human brain works
  - Includes the bits that do language
  - Humans: the only working NLP prototype!

## • Speech?

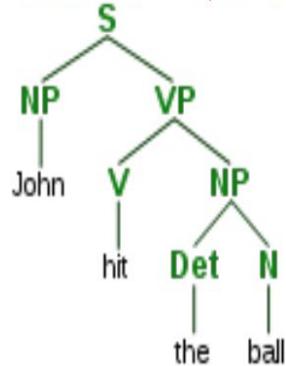
- Mapping audio signals to text
  - Traditionally separate from NLP, converging?
  - Two components: acoustic models and language models
  - Language models in the domain of stat NLP



# Parse trees and grammars

## Parse trees

Given a grammar, a sentence can be represented as a parse tree



## Grammars

Set of re-write rules, e.g.:

$S := NP \ VP$

$NP := \text{noun} \mid \text{pronoun}$

$\text{noun} := \text{intelligence} \mid \text{wumpus} \mid \dots$

$VP := \text{verb} \mid \text{verb} \ NP \mid \dots$

...

- Lexicon:
  - List of legal words in a language
  - Part of speech:
    - noun, verb, adjective, determiner
- Example:
  - Noun -> cat | dog | mouse | ball | rock
  - Verb -> chase | bite | fetch | bat
  - Adjective -> black | brown | furry | striped | heavy
  - Determiner -> the | that | a | an

- What does it mean to know a language?
  - Know the words (lexicon)
    - Pronunciation, Formation, Conjugation
  - Know how the words form sentences
    - Sentence structure, Compositional meaning
  - Know how to interpret the sentence
    - Statement, question,..
  - Know how to group sentences
    - Narrative coherence, dialogue

# NLP Steps

- Pick a problem (usually some disambiguation)
- Get a lot of data (usually a labeled corpus)
- Build the simplest thing that could possibly work
- Repeat:
  - See what the most common errors are
  - Figure out what information a human would use
  - Modify the system to exploit that information
    - Feature engineering
    - Representation design
    - Machine learning/statistics

# Learning Methods for NLP

- **Supervised:** identify hidden units (concepts) of explicit units
  - Syntactic analysis, word sense disambiguation, name classification, relations, categorization, ...
  - Trained from labeled data
- **Unsupervised:** identify relationships and properties of explicit units (terms, docs)
  - Association, topicality, similarity, clustering
  - Without labeled data
- **Semi-supervised:** Combinations

# **Concepts and Tasks**

# Chomsky Hierarchy of Grammar

Regular Grammar

Context Free Grammar

Context Sensitive Grammar

Type 0 Grammar

# Hierarchy of Automata

Finite State Automata

Push Down Automata

Linear Bounded Automata

Turing Machine



Computationally more complex, Less Efficiency

# General Framework of NLP

John runs.

John run+s.  
P-N V 3-pre  
N plu

[  
Pred: RUN  
Agent: John  
]

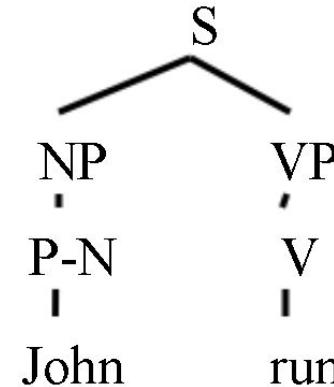
John is a student.  
He runs.

Morphological and  
Lexical Processing

Syntactic Analysis

Semantic Analysis

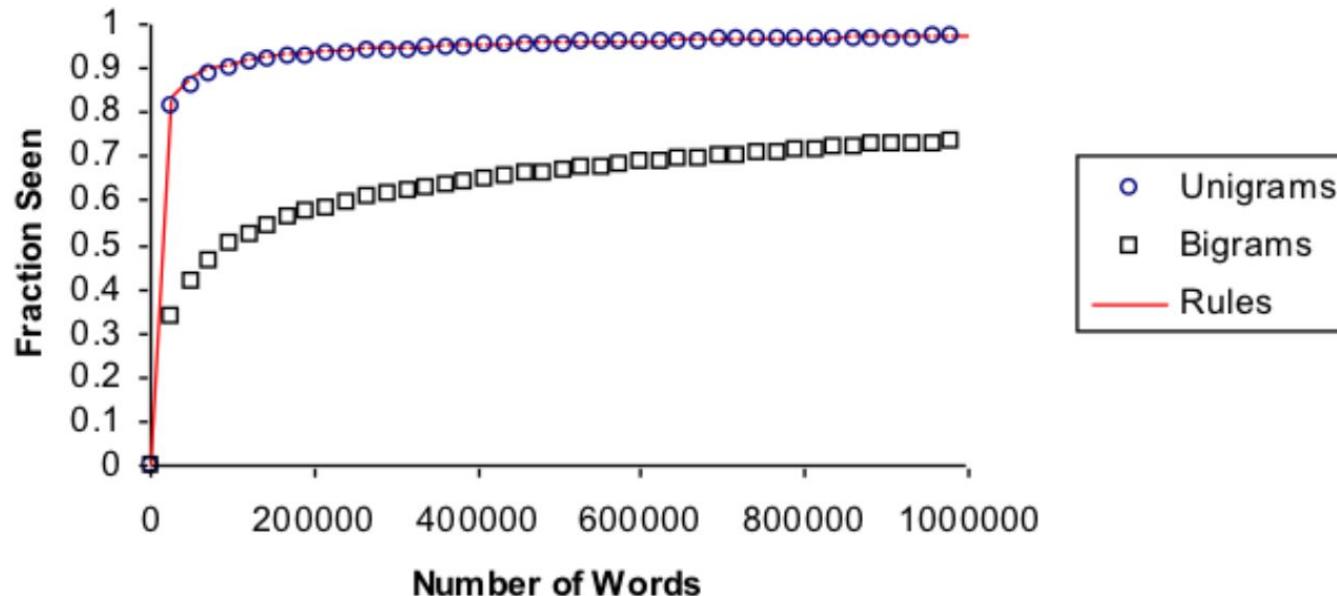
Context processing  
Interpretation



# Problem: Sparsity

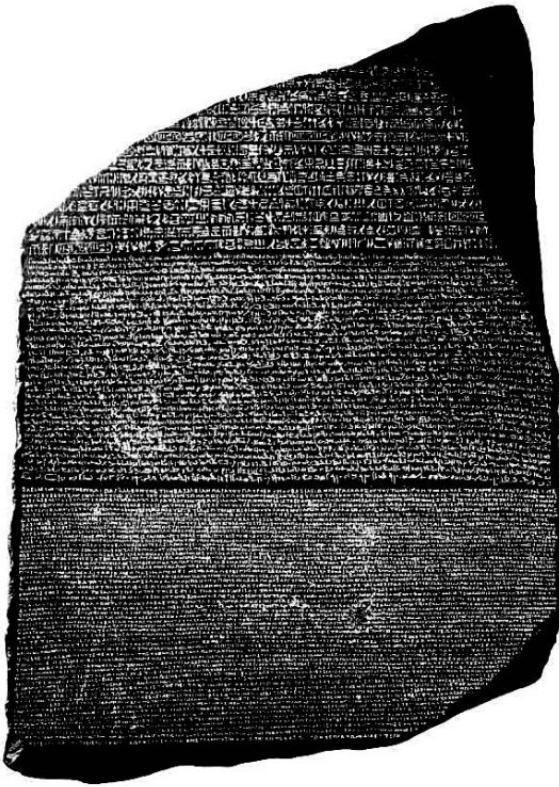
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- However: sparsity is always a problem
- New unigram (word), bigram (word pair), and rule rates in newswire



# Corpora

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A corpus is a collection of text

Often annotated in some way

Sometimes just lots of text

Balanced vs. uniform corpora

## Examples

Newswire collections: 500M+ words

Brown corpus: 1M words of tagged  
“balanced” text

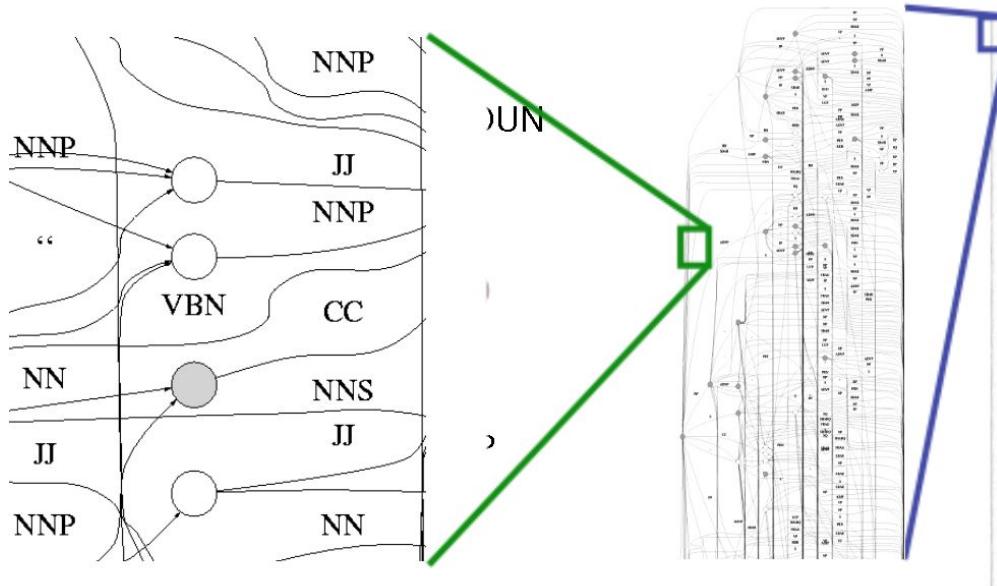
Penn Treebank: 1M words of parsed  
WSJ

Canadian Hansards: 10M+ words of  
aligned French / English sentences

The Web: billions of words of who  
knows what

# Problem: Scale

- People *did* know that language was ambiguous!
- ...but they hoped that all interpretations would be “good” ones (or ruled out pragmatically)
- ...they didn’t realize how bad it would be

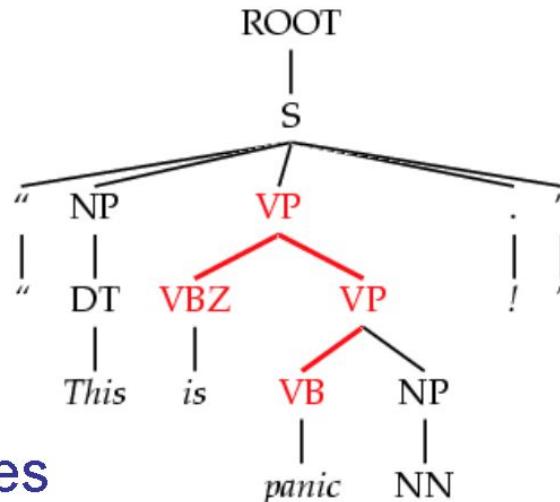


# Dark Ambiguities

*Dark ambiguities*: most structurally permitted analyses are so bad that you can't get your mind to produce them

This analysis corresponds to the correct parse of  
“*This will panic buyers !*”

Unknown words and new usages  
**Solution:** We need mechanisms to focus attention on the best ones, probabilistic techniques do this <sup>*buying*</sup>



# Semantic Ambiguity

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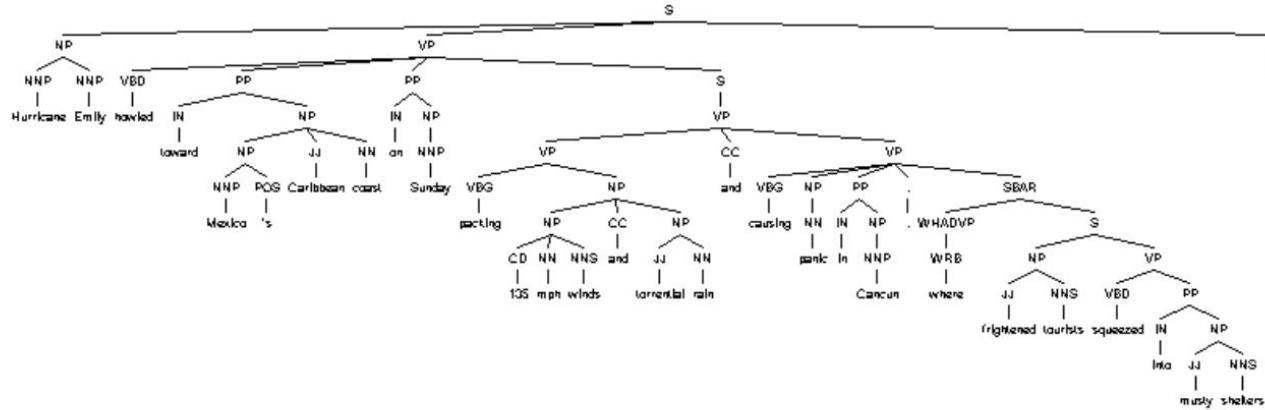
- NLP is much more than syntax!
- Even correct tree structured syntactic analyses don't fully nail down the meaning

*Every morning someone's alarm clock wakes me up*

*John's boss said he was doing better*

- In general, every level of linguistic structure comes with its own ambiguities...

# Syntactic Analysis



Hurricane Emily howled toward Mexico 's Caribbean coast on Sunday packing 135 mph winds and torrential rain and causing panic in Cancun , where frightened tourists squeezed into musty shelters .

- SOTA: ~90% accurate for many languages when given many training examples, some progress in analyzing languages given few or no examples

# Problem: Ambiguities

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- Headlines:
  - Enraged Cow Injures Farmer with Ax
  - Ban on Nude Dancing on Governor's Desk
  - Teacher Strikes Idle Kids
  - Hospitals Are Sued by 7 Foot Doctors
  - Iraqi Head Seeks Arms
  - Stolen Painting Found by Tree
  - Kids Make Nutritious Snacks
  - Local HS Dropouts Cut in Half
- Why are these funny?

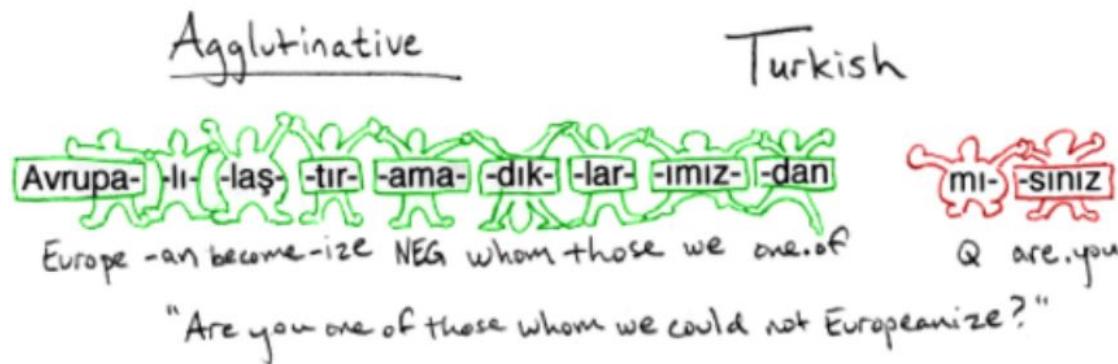
# Coreference

- But **the little prince** could not restrain admiration:
- "Oh! How beautiful **you** are!"
- "Am **I** not?" **the flower** responded, sweetly. "And **I** was born at the same moment as the sun . . ."
- **The little prince** could guess easily enough that **she** was not any too modest--but how moving--and exciting--**she** was!
- "**I** think it is time for breakfast," **she** added an instant later. "If **you** would have the kindness to think of **my** needs--"
- And **the little prince**, completely abashed, went to look for a sprinkling-can of fresh water. So, **he** tended **the flower**.



# Morphology

- The study of internal structures of words and how they can be modified
- Parsing complex words into their components



# Phonetics and phonology

- The study of linguistic sounds and their relations to words

| Das Funkalphabet - German Phonetic Spelling Code<br>compared to the international ICAO/NATO code<br>Listen to AUDIO for this chart! (below) |                |              |
|---|----------------|--------------|
| Germany*  | Phonetic Guide | ICAO/NATO**  |
| A wie Anton   | AHN-tone       | Alfa/Alpha   |
| Ä wie Ärger   | AIR-gehr       | (1)          |
| B wie Berta   | BARE-tuh       | Bravo        |
| C wie Cäsar   | SAY-zar        | Charlie      |
| Ch wie Charlotte  | shar-LOT-tuh   | (1)          |
| D wie Dora  | DORE-uh        | Delta        |
| E wie Emil  | ay-MEAL        | Echo         |
| F wie Friedrich   | FREED-reech    | Foxtrot      |
| G wie Gustav  | GOOS-tahf      | Golf         |
| H wie Heinrich  | HINE-reech     | Hotel        |
| I wie Ida   | EED-uh         | India/Indigo |
| J wie Julius  | YUL-ee-oos     | Juliet       |
| K wie Kaufmann  | KOWF-mann      | Kilo         |
| L wie Ludwig  | LOOD-vig       | Lima         |
| AUDIO 1 > <a href="#">Listen to mp3</a> for A-L   |                |              |
| M wie Martha  | MAR-tuh        | Mike         |
| N wie Nordpol   | NORT-pole      | November     |
| O wie Otto  | AHT-toe        | Oscar        |
| Ö wie Ökonom (2)  | UEH-ko-nome    | (1)          |
| P wie Paula   | POW-luh        | Papa         |
| Q wie Quelle  | KVEL-uh        | Quebec       |
| R wie Richard   | REE-shart      | Romeo        |
| S wie Siegfried (3)   | SEEG-freed     | Sierra       |
| Sch wie Schule  | SHOO-luh       | (1)          |
| ß (Eszett)  | ES-TSET        | (1)          |
| T wie Theodor   | TAY-oh-dore    | Tango        |
| U wie Ulrich  | OOL-reech      | Uniform      |
| Ü wie Übermut   | UEH-ber-moot   | (1)          |
| V wie Viktor  | VICK-tor       | Victor       |
| W wie Wilhelm   | VIL-helm       | Whiskey      |
| X wie Xanthippe   | KSAN-tipp-uh   | X-Ray        |
| Y wie Ypsilon   | IPP-see-lohn   | Yankee       |
| Z wie Zeppelin  | TSEP-puh-leen  | Zulu         |

# Word sense disambiguation

- Figuring out the exact meaning of a word or entity

1. **tie** - neckwear consisting of a long narrow piece of material worn (mostly by men) under a collar and tied in knot at the front; "he stood in front of the mirror tightening his necktie"; "he wore a vest and tie"  


**necktie**  
**bola, bola tie, bolo, bolo tie** - a cord fastened around the neck with an ornamental clasp and worn as a necktie

**bow tie, bow-tie, bowtie** - a man's tie that ties in a bow

**four-in-hand** - a long necktie that is tied in a slipknot with one end hanging in front of the other

**neckwear** - articles of clothing worn about the neck

**old school tie** - necktie indicating the school the wearer attended

**string tie** - a very narrow necktie usually tied in a bow

**Windsor tie** - a wide necktie worn in a loose bow

2. **tie** - a social or business relationship; "a valuable financial affiliation"; "he was sorry he had to sever his ties with other members of the team"; "many close associations with England"  

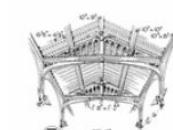

**affiliation, tie-up, association**

**relationship** - a state involving mutual dealings between people or parties or countries

3. **tie** - equality of score in a contest

**equivalence, par, equality, equation** - a state of being essentially equal or equivalent; equally balanced; "on a par with the best"

**deuce** - a tie in tennis or table tennis that requires winning two successive points to win the game

4. **tie** - a horizontal beam used to prevent two other structural members from spreading apart or separating; "he nailed the rafters together with a tie beam"  


**tie beam**

**beam** - long thick piece of wood or metal or concrete, etc., used in construction

# Temporal Information Extraction

- In **1975**, after being fired from Columbia amid allegations that he used company funds to pay for his son's bar mitzvah, **Davis** founded **Arista**
  - Is '1975' related to the employee\_of relation between Davis and Arista?
  - If so, does it indicate START, END, HOLDS... ?
- Each classification instance represents a temporal expression in the context of the entity and slot value.
- We consider the following classes
  - START      *Rob joined Microsoft in 1999.*
  - END        *Rob left Microsoft in 1999.*
  - HOLDS      *In 1999 Rob was still working for Microsoft.*
  - RANGE      *Rob has worked for Microsoft for the last ten years.*
  - NONE       *Last Sunday Rob's friend joined Microsoft.*

# Named-entity recognition

- Identifying pre-defined entity types in a sentence

becas Annotate Help API Widget About Contact

HIGHLIGHT  
All None  
✓ Anatomy  
✓ Disorders  
✓ Chemicals  
✓ Genes and Proteins  
✓ Cellular Components  
✓ Molecular Functions  
✓ Biological Processes  
✓ Ambiguous

In Duchenne muscular dystrophy (DMD), the infiltration of skeletal muscle by immune cells aggravates disease, yet the precise mechanisms behind these inflammatory responses remain poorly understood. Chemotactic cytokines, or chemokines, are considered essential recruiters of inflammatory cells to the tissues. We assayed chemokine and chemokine receptor expression in DMD muscle biopsies (n = 9, average age 7 years) using immunohistochemistry, immunofluorescence, and in situ hybridization. CXCL1, CXCL2, CXCL3, CXCL8, and CXCL11, absent from normal muscle fibers, were induced in DMD myofibers. CXCL11, CXCL12, and the ligand-receptor couple CCL2-CCR2 were upregulated on the blood vessel endothelium of DMD patients. CD68(+) macrophages expressed high levels of CXCL8, CCL2, and CCL5. Our data suggest a possible beneficial role for CXCR1/2/4 ligands in managing muscle fiber damage control and tissue regeneration. Upregulation of endothelial chemokine receptors and CXCL8, CCL2, and CCL5 expression by cytotoxic macrophages may regulate myofiber necrosis.

Load text Export ▾

Annotated 46 concept occurrences in 0.173s.

New to becas? Take the tour »

+ Expand All - Collapse All ⌂ Toggle All Concept Tree

- Anatomy (12)
  - Disorders (4)
    - DMD (1)
    - Duchenne muscular dystrophy (1)
    - infiltration (1)
    - inflammatory responses (1)
  - Chemicals (2)
  - Genes and Proteins (11)
  - Cellular Components (3)
  - Molecular Functions (1)
  - Biological Processes (9)

# Part-of-speech tagging

- Assigning a syntactic tag to each word in a sentence

## Stanford Parser

Please enter a sentence to be parsed:

Surgical resection specimens of 85 invasive ductal carcinomas of 85 women who had undergone 3D ultrasound were included.

Language: English ▾

Sample Sentence

Parse

## Your query

*Surgical resection specimens of 85 invasive ductal carcinomas of 85 women who had undergone 3D ultrasound were included.*

## Tagging

Surgical/NNP resection/NN specimens/NNS of/IN 85/CD invasive/JJ  
ductal/JJ carcinomas/NNS of/IN 85/CD women/NNS who/WP had/VBD  
undergone/VBN 3D/CD ultrasound/NN were/VBD included/VBN ./.

# Sentiment Analysis

- Identifying sentiments and opinions stated in a text

## Customer Reviews

### Speech and Language Processing, 2nd Edition

15 Reviews

|         |  |     |
|---------|--|-----|
| 5 star: |  | (8) |
| 4 star: |  | (3) |
| 3 star: |  | (3) |
| 2 star: |  | (0) |
| 1 star: |  | (1) |

Average Customer Review

(15 customer reviews)

Share your thoughts with other customers

Create your own review

#### The most helpful favorable review

4 of 4 people found the following review helpful

**Great introductions and reference book**

I read the first edition of that book and it is terrific. The second edition is much more adapted to current research. Statistical methods in NLP are more detailed and some syntax-based approaches are presented. My specific interest is in machine translation and dialogue systems. Both chapters are extensively rewritten and much more elaborated. I believe this book is...

[Read the full review >](#)

Published on August 9, 2008 by carheg

› See more [5 star](#), [4 star](#) reviews

Vs.

#### The most helpful critical review

37 of 37 people found the following review helpful

**Good description of the problems in the field, but look elsewhere for practical solutions**

The authors have the challenge of covering a vast area, and they do a good job of highlighting the hard problems within individual sub-fields, such as machine translation. The availability of an accompanying Web site is a strong plus, as is the extensive bibliography, which also includes links to freely available software and resources.

Now for the...

[Read the full review >](#)

Published on April 2, 2009 by P. Nadkarni

› See more [3 star](#), [2 star](#), [1 star](#) reviews

# Question answering

- Answering questions with a short answer



==> what countries speak Spanish

The language Spanish is spoken in Argentina, Aruba, Belize, Bolivia, Brazil, Canada, Cayman Islands, Chile, Colombia, Costa Rica, Cuba, Curacao, Dominican Republic, Ecuador, El Salvador, Equatorial Guinea, Falkland Islands (Islas Malvinas), Gibraltar, Guatemala, Honduras, Mexico, Nicaragua, Panama, Paraguay, Peru, Puerto Rico, Saint Martin, Sint Maarten, Spain, Switzerland, Trinidad and Tobago, United States, Uruguay, Venezuela, and Virgin Islands.

The language Castilian Spanish is spoken in [Spain](#).

# Text Categorization

- Assigning one (or more) pre-defined category to a text

The screenshot shows a PubMed search results page. At the top, there's a navigation bar with 'PubMed' and 'Advanced' buttons. Below the search bar, it says 'Display Settings: Abstract'. To the right, there's a 'Send to:' button with a dropdown menu. The main content area displays a single article entry:

**Nature**, 2014 Mar 20;507(7492):323-8. doi: 10.1038/nature13145. Epub 2014 Mar 12.

**Coupling of angiogenesis and osteogenesis by a specific vessel subtype in bone.**

Kusumbe AP<sup>1</sup>, Ramasamy SK<sup>1</sup>, Adams RH<sup>2</sup>.

[Author information](#)

**Abstract**  
The mammalian skeletal system harbours a hierarchical system of mesenchymal stem cells, osteoprogenitors and osteoblasts sustaining lifelong bone formation. Osteogenesis is indispensable for the homeostatic renewal of bone as well as regenerative fracture healing, but these processes frequently decline in ageing organisms, leading to loss of bone mass and increased fracture incidence. Evidence indicates that the growth of blood vessels in bone and osteogenesis are coupled, but relatively little is known about the underlying cellular and molecular mechanisms. Here we identify a new capillary subtype in the murine skeletal system with distinct morphological, molecular and functional properties. These vessels are found in specific locations, mediate growth of the bone vasculature, generate distinct metabolic and molecular microenvironments, maintain perivascular osteoprogenitors and couple angiogenesis to osteogenesis. The abundance of these vessels and associated osteoprogenitors was strongly reduced in bone from aged animals, and pharmacological reversal of this decline allowed the restoration of bone mass.

**Comment in**  
Bone biology: Vessels of rejuvenation. [Nature. 2014]

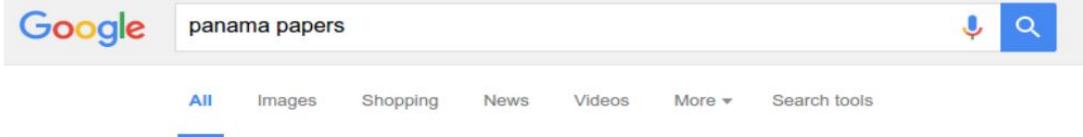
PMID: 24646994 [PubMed - indexed for MEDLINE]

## MeSH Terms

Aging/metabolism  
Aging/pathology  
Animals  
Blood Vessels/anatomy & histology  
Blood Vessels/cytology  
Blood Vessels/growth & development  
Blood Vessels/physiology\*  
Bone and Bones/blood supply\*  
Bone and Bones/cytology  
Endothelial Cells/metabolism  
Hypoxia-Inducible Factor 1, alpha Subunit/metabolism  
Male  
Mice  
Mice, Inbred C57BL  
Neovascularization, Physiologic/physiology\*  
Osteoblasts/cytology  
Osteoblasts/metabolism  
Osteogenesis/physiology\*  
Oxygen/metabolism  
Stem Cells/cytology  
Stem Cells/metabolism

# Information Retrieval

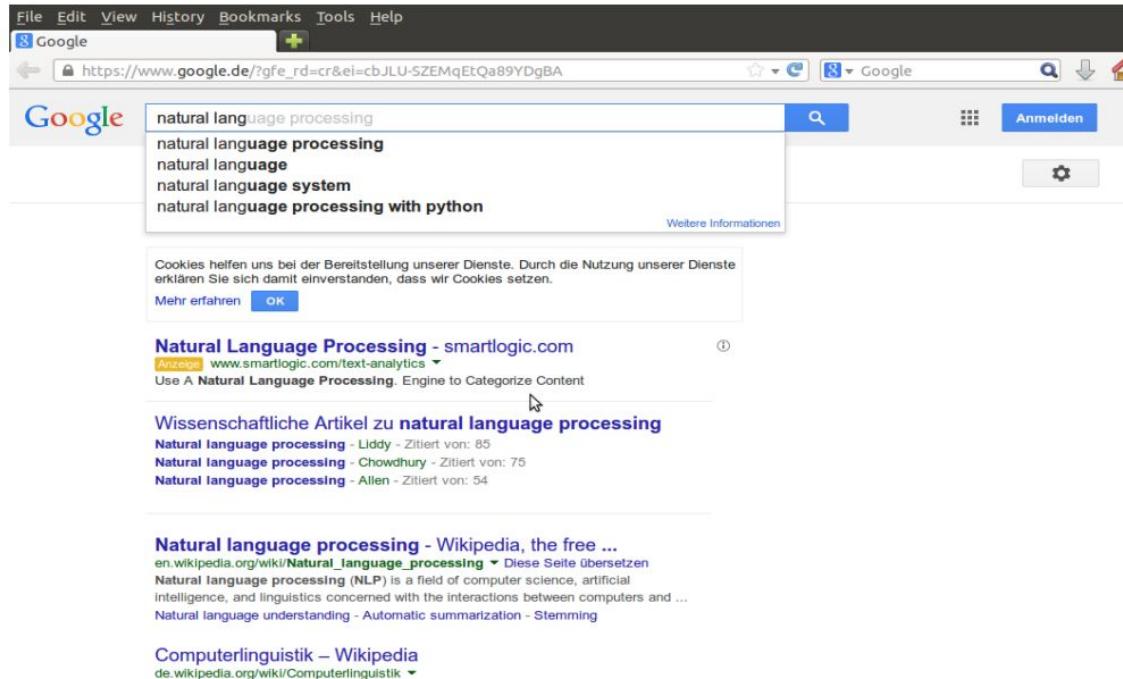
- Finding relevant information to the user's query



A screenshot of a Google search results page. The search bar at the top contains the query "panama papers". Below the search bar, there are several navigation links: All, Images, Shopping, News, Videos, More, and Search tools. A status message indicates "About 88.000.000 results (0,57 seconds)". The first result is a sponsored link from sueddeutsche.de titled "Datenleak Panama Papers - sueddeutsche.de". It includes a snippet of text: "Alle Details zu den Enthüllungen jetzt mit SZ Plus lesen Bleiben Sie informiert · Alle News zum Thema · Immer aktuell". The second result is a link from ICIJ titled "The Panama Papers · ICIJ" with the URL "https://panamapapers.icij.org/". A snippet for this result reads: "Politicians, Criminals and the Rogue Industry That Hides Their Cash.". The third result is a link from Wikipedia titled "Panama Papers - Wikipedia, the free encyclopedia" with the URL "https://en.wikipedia.org/wiki/Panama\_Papers". A snippet for this result reads: "The Panama Papers are a leaked set of 11.5 million confidential documents that provide detailed information about more than 214,000 offshore companies ...". Below these results, under the heading "In the news", is a news item from BBC News titled "Panama Papers: Putin rejects corruption allegations - BBC News". It includes a small thumbnail image of President Putin and a snippet: "President Putin has denied "any element of corruption" over the Panama Papers leaks, ...".

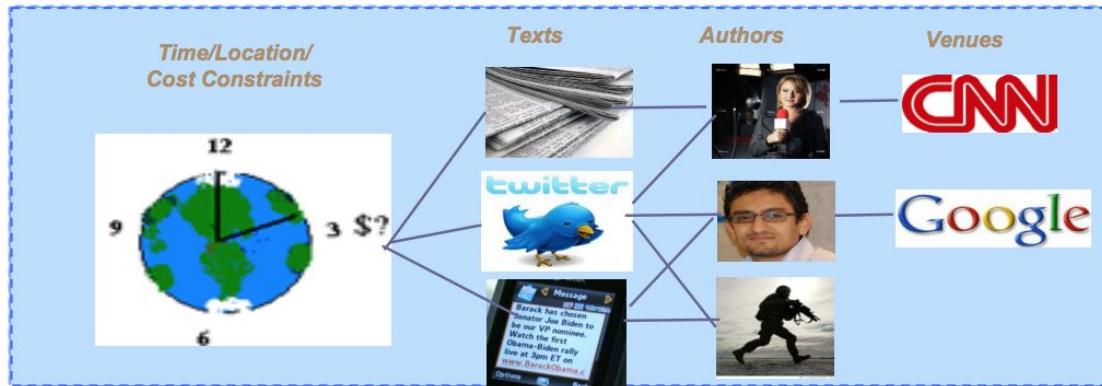
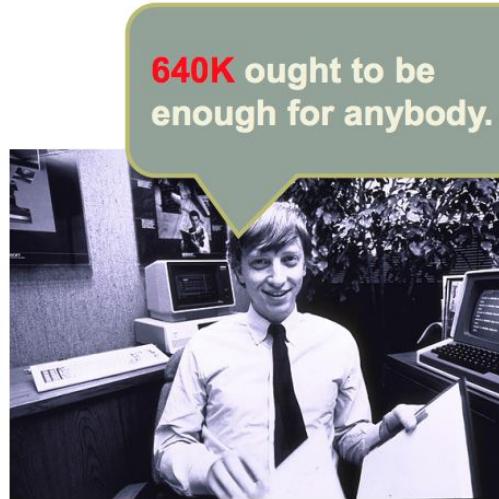
# Word Prediction

- Predicting the next word that is highly probable to be typed by the user



# NLP for Big Data

- Huge Size
  - Google processes 20 PB a day (2008)
  - Wayback Machine has 3 PB + 100 TB/month (3/2009)
  - Facebook has 2.5 PB of user data + 15 TB/day (4/2009)
  - eBay has 6.5 PB of user data + 50 TB/day (5/2009)
- 80% data is unstructured (IBM, 2010)
- More importantly, Heterogeneous



# **Current Work**

# Mobile devices can now answer (some or our) questions and execute commands...



# Jeopardy! World Champion



US Cities: Its largest airport is named for a World War II hero; its second largest, for a World War II battle.



**Semantic tasks** (how would you reduce these to prediction problems?)

Sentiment analysis

Summarization

Information extraction, slot-filling

Discourse analysis

Textual entailment

**Speech:**

Better language modeling (predict next word) – syntax, semantics

Better models of acoustics, pronunciation

fewer speaker-specific parameters

to enable rapid adaptation to new speakers

more robust recognition

emotional speech, informal conversation, meetings

juvenile/elderly voices, bad audio, background noise

Some techniques to solve these:

non-local features

physiologically informed models

dimensionality reduction

## Machine translation:

Best-funded area of NLP, right now

Models and algorithms

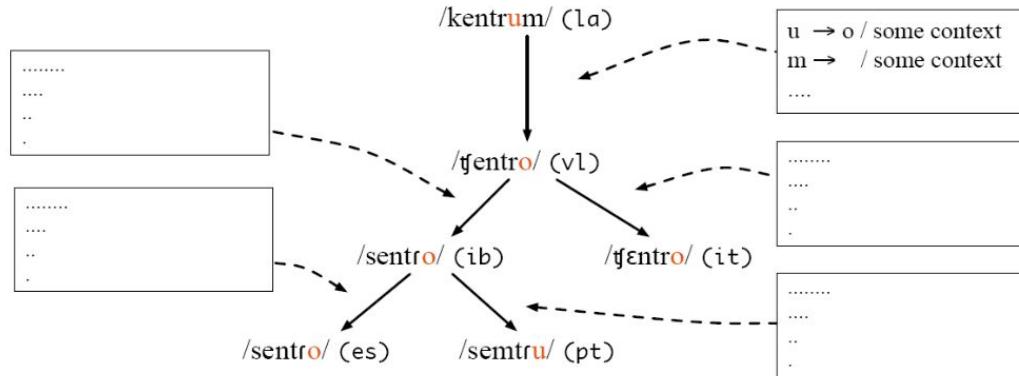
How to incorporate syntactic structure?

“Low-resource” and morphologically complex languages?

# Timeline of Deep Learning

|        |  |   |
|--------|--|---|
| 300 BC | Aristotle                                      | introduced Associationism, started the history of human's attempt to understand brain.  |
| 1873   | Alexander Bain                                 | introduced Neural Groupings as the earliest models of neural network, inspired Hebbian Learning Rule.                                       |
| 1943   | McCulloch & Pitts                              | introduced MCP Model, which is considered as the ancestor of Artificial Neural Model.   |
| 1949   | Donald Hebb                                    | considered as the father of neural networks, introduced Hebbian Learning Rule, which lays the foundation of modern neural network.          |
| 1958   | Frank Rosenblatt                               | introduced the first perceptron, which highly resembles modern perceptron.  |
| 1974   | Paul Werbos                                    | introduced Backpropagation  |
| 1980   | Teuvo Kohonen<br>Kunihiko Fukushima            | introduced Self Organizing Map<br>introduced Neocogitron, which inspired Convolutional Neural Network                                       |
| 1982   | John Hopfield                                  | introduced Hopfield Network   |
| 1985   | Hilton & Sejnowski                             | introduced Boltzmann Machine  |
| 1986   | Paul Smolensky<br>Michael I. Jordan            | introduced Harmonium, which is later known as Restricted Boltzmann Machine<br>defined and introduced Recurrent Neural Network               |
| 1990   | Yann LeCun                                     | introduced LeNet, showed the possibility of deep neural networks in practice  |
| 1997   | Schuster & Paliwal<br>Hochreiter & Schmidhuber | introduced Bidirectional Recurrent Neural Network<br>introduced LSTM, solved the problem of vanishing gradient in recurrent neural networks |
| 2006   | Geoffrey Hinton                                | introduced Deep Belief Networks, also introduced layer-wise pretraining technique, opened current deep learning era.                        |
| 2009   | Salakhutdinov & Hinton                         | introduced Deep Boltzmann Machines  |
| 2012   | Geoffrey Hinton                                | introduced Dropout, an efficient way of training neural networks  |

# Etc: Historical Change



| Gloss     | Latin   | Italian | Spanish | Portuguese |
|-----------|---------|---------|---------|------------|
| Word/verb | verbum  | verbo   | verbo   | verbu      |
| Center    | centrum | centro  | centro  | centro     |

- Change in form over time, reconstruct ancient forms, phylogenies
- ... just an example of the many other kinds of models we can build

# Machine Translation

## "Il est impossible aux journalistes de rentrer dans les régions tibétaines"

Bruno Philip, correspondant du "Monde" en Chine, estime que les journalistes de l'AFP qui ont été expulsés de la province tibétaine du Qinghai "n'étaient pas dans l'illégalité".

**Les faits** Le dalaï-lama dénonce l'"enfer" imposé au Tibet depuis sa fuite, en 1959

**Vidéo** Anniversaire de la rébellion tibétaine : la Chine sur ses gardes



## "It is impossible for journalists to enter Tibetan areas"

Philip Bruno, correspondent for "World" in China, said that journalists of the AFP who have been deported from the Tibetan province of Qinghai "were not illegal."

**Facts** The Dalai Lama denounces the "hell" imposed since he fled Tibet in 1959

**Video** Anniversary of the Tibetan rebellion: China on guard



- Translate text from one language to another
- Recombines fragments of example translations
- Challenges:
  - What fragments? [learning to translate]
  - How to make efficient? [fast translation search]
  - Fluency (next class) vs fidelity (later)

# Summarization

- Condensing documents
- Single or multiple
- Extractive or synthetic
- Aggregative or representative
- Even just shortening sentences
- Very context-dependent!
- An example of analysis with generation

WASHINGTON (CNN) -- President Obama's inaugural address was cooler, more measured and reassuring than that of other presidents making it, perhaps, the right speech for the times.



## STORY HIGHLIGHTS

- Obama's address less stirring than others but more candid, analyst says
- Schneider: At a time of crisis, president must be reassuring
- Country has chosen "hope over fear, unity of purpose over ... discord," Obama said
- Obama's speech was a cool speech, not a hot one, Schneider says

President Obama renewed his call for a massive plan to stimulate economic growth.

[more photos »](#)

Some inaugural addresses are known for their soaring, inspirational language. Like John F. Kennedy's in 1961: "Ask not what your country can do for you. Ask what you can do for your country."

Obama's address was less stirring, perhaps, but it was also more candid and down-to-earth.

"Starting today" the new president said "we must begin

....., ..... said in his first inaugural in 1933, "The only thing we have to fear is fear itself." Or Bill Clinton, who took office during the economic crisis of the early 1990s.

"There is nothing wrong with America that cannot be fixed by what is right with America," Clinton declared at his first inaugural.

[Obama](#), too, offered reassurance.

"We gather because we have chosen hope over fear, unity of purpose over conflict and discord," Obama said.

Obama's call to unity after decades of political division echoed Abraham Lincoln's first inaugural address in 1861. Even though he delivered it at the onset of a terrible civil war, Lincoln's speech was not a call to battle. It was a call to look beyond the war, toward reconciliation based on what he called "the better angels of our nature."

Some presidents used their [inaugural address](#) to set out a bold agenda.

# Question Answering

- Question Answering:
  - More than search
  - Ask general comprehension questions of a document collection
  - Can be really easy: “What’s the capital of Wyoming?”
  - Can be harder: “How many US states’ capitals are also their largest cities?”
  - Can be open ended: “What are the main issues in the global warming debate?”
- SOTA: Can do factoids, even when text isn’t a perfect match

The screenshot shows a Google search results page. At the top, there is a navigation bar with links for Web, Images, Groups, News, Froogle, Local, and more ». Below the navigation bar is a search bar containing the query "any US states' capitals are also their largest cities?". A "Search" button is located to the right of the search bar. The main content area is titled "Web". Below the title, a message states: "Your search - How many US states' capitals are also their largest cities? - did not match any documents." Underneath this message, there is a section titled "Suggestions:" followed by a list of four items:

- Make sure all words are spelled correctly.
- Try different keywords.
- Try more general keywords.
- Try fewer keywords.

At the bottom of the page, there are links to "Google Home", "Business Solutions", and "About Google". Below these links, there is a section titled "capital of Wyoming: Information From Answers.com" with a note: "Note: click on a word meaning below to see its connections and related words. The noun capital of Wyoming has one meaning: Meaning #1 : the capital." There are two green links: "www.answers.com/topic/capital-of-wyoming - 21k - Cached - Similar pages" and "Cheyenne: Weather and Much More From Answers.com". The Cheyenne link includes a phonetic transcription: "Chey·enne ( shē·ān' , -ĕn' )". The page also mentions the state's location: "The capital of Wyoming, in the southeast part of the state near the Nebraska and Colorado borders." There are two more green links at the bottom: "www.answers.com/topic/cheyenne-wyoming - 74k - Cached - Similar pages".

# Information Extraction

---

- Unstructured text to database entries

New York Times Co. named Russell T. Lewis, 45, president and general manager of its flagship New York Times newspaper, responsible for all business-side activities. He was executive vice president and deputy general manager. He succeeds Lance R. Primis, who in September was named president and chief operating officer of the parent.

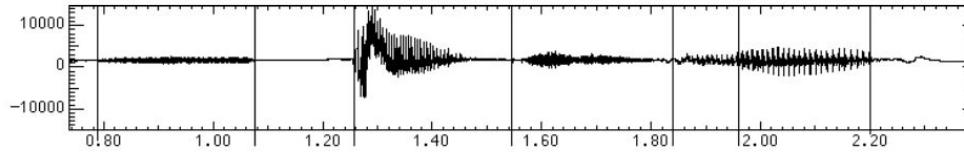
| Person           | Company                  | Post                          | State |
|------------------|--------------------------|-------------------------------|-------|
| Russell T. Lewis | New York Times newspaper | president and general manager | start |
| Russell T. Lewis | New York Times newspaper | executive vice president      | end   |
| Lance R. Primis  | New York Times Co.       | president and CEO             | start |

- SOTA: perhaps 80% accuracy for multi-sentence temples, 90%+ for single easy fields
- But remember: information is redundant!

# Speech Systems

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- Automatic Speech Recognition (ASR)
  - Audio in, text out
  - SOTA: 0.3% error for digit strings, 5% dictation, 50%+ TV

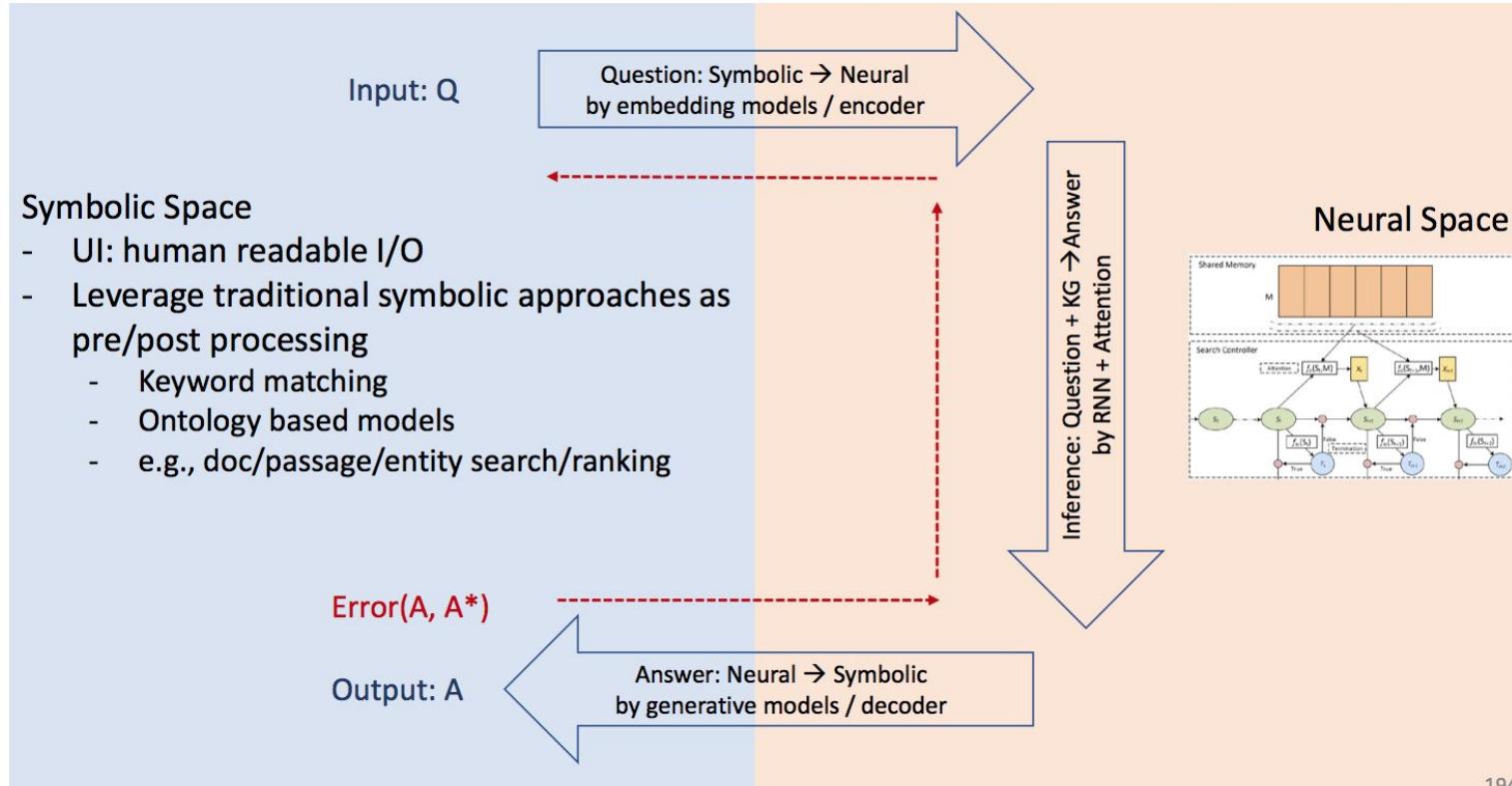


“Speech Lab”

- Text to Speech (TTS)
  - Text in, audio out
  - SOTA: totally intelligible (if sometimes unnatural)



# From symbolic to neural computation



# Symbolic Space

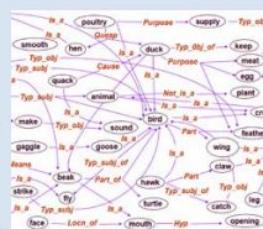
- **Knowledge Representation**
  - *Explicitly* store a BIG but incomplete knowledge graph (KG)
  - Words, relations, templates
  - High-dim, discrete, sparse vectors
- **Inference**
  - Slow on a big KG
  - Keyword/template matching is sensitive to paraphrase alternations
- **Human comprehensible but not computationally efficient**

Sephy Treister, Dr. Löwes, and the sailor cut across the floor. I remember him looking round the room, keeping nothing back but the bearings of the island, and then, with a sigh, as if he had treasures not yet lifted, I take up my pen in the spirit of grace (?)—indeed, I have no pen left. My father kept the Adelheit heron kiss and the brown old seashell which I found on the beach at the old lodging under our roof.

I remember him as it were yesterday, coming into the inn door, his sea-chest following behind him in a hand-barrow. He was a tall, thin, not-brown man, his tarry pigtail falling over the shoulder of his saddlebag, which he had strapped to his scurvy, with black, broken

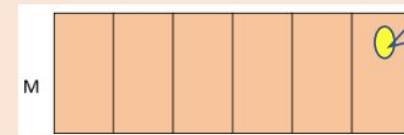
nails, and the sailor cut across the floor. I remember him looking round the room, keeping nothing back but the bearings of the island, and then, with a sigh, as if he had treasures not yet lifted, I take up my pen in the spirit of grace (?)—indeed, I have no pen left. My father kept the Adelheit heron kiss and the brown old seashell which I found on the beach at the old lodging under our roof.

This is a bandy cow," says he in tongue, "and a pleasant sittryed



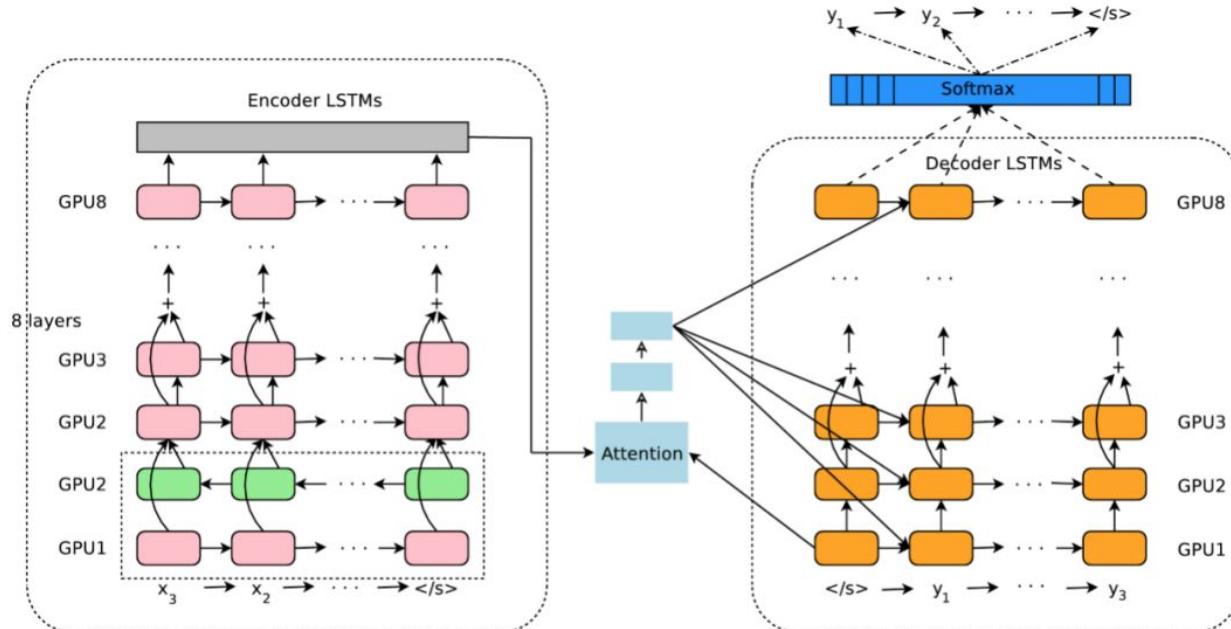
# Neural Space

- **Knowledge Representation**
  - *Implicitly* store entities and structure of KG in a *compact* way that is **more generalizable**
  - Semantic concepts/classes
  - Low-dim, cont., dense vectors shaped by KG
- **Inference**
  - Fast on compact memory
  - Semantic matching is **robust** to paraphrase alternations
- **Computationally efficient but not human comprehensible yet**



“film”, “award”  
film-genre/films-in-this-genre  
film/cinematography  
cinematographer/film  
award-honor/honored-for  
netflix-title/netflix-genres  
director/film  
award-honor/honored-for

# Google's NTM system



- Deep RNNs
- Residual connections
- Bi-directional encoder for first layer
- The use of sub-word units
- Model parallelism

# Gated Recurrent Unit (GRU)

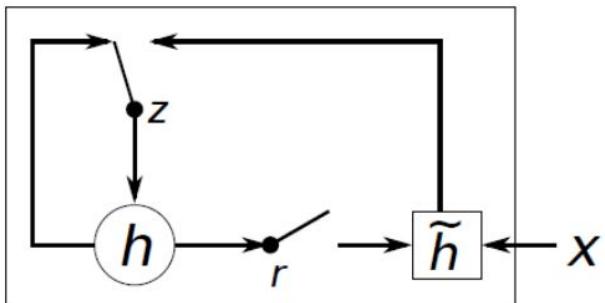


Figure 2: An illustration of the proposed hidden activation function. The update gate  $z$  selects whether the hidden state is to be updated with a new hidden state  $\tilde{h}$ . The reset gate  $r$  decides whether the previous hidden state is ignored. See

$$r_j = \sigma([\mathbf{W}_r \mathbf{x}]_j + [\mathbf{U}_r \mathbf{h}_{\langle t-1 \rangle}]_j)$$

$$z_j = \sigma([\mathbf{W}_z \mathbf{x}]_j + [\mathbf{U}_z \mathbf{h}_{\langle t-1 \rangle}]_j)$$

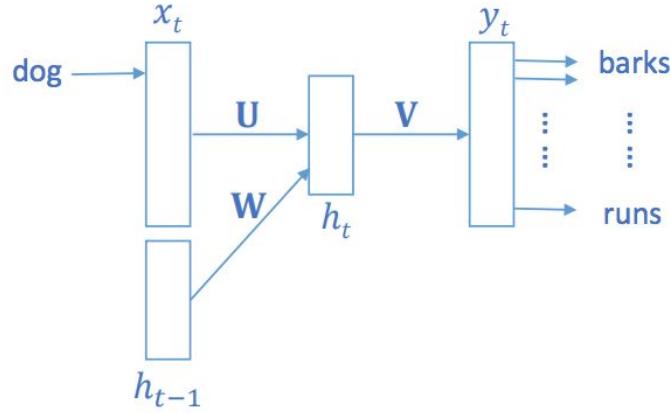
$$\tilde{h}_j^{\langle t \rangle} = \phi([\mathbf{W} \mathbf{x}]_j + [\mathbf{U} (\mathbf{r} \odot \mathbf{h}_{\langle t-1 \rangle})]_j)$$

$$h_j^{\langle t \rangle} = z_j h_j^{\langle t-1 \rangle} + (1 - z_j) \tilde{h}_j^{\langle t \rangle}$$

# Distributed representation of words

- A lot of popular methods for creating word vectors!
  - Vector Space Model [Salton & McGill 83]
  - Latent Semantic Analysis [[Deerwester+ 90](#)]
  - Brown Clustering [[Brown+ 92](#)]
  - Latent Dirichlet Allocation [[Blei+ 03](#)]
  - Deep Neural Networks [[Collobert & Weston 08](#)]
  - DSSM [[Huang+ 13](#)]
  - Word2Vec [[Mikolov+ 13](#)]
  - GloVe [[Pennington+ 14](#)]
- Encode term co-occurrence information
- Measure semantic similarity well

# Recurrent Neural Network (RNN) for Language Modeling



$x_t$ : input one-hot vector at time step  $t$   
 $h_t$ : encodes the history of all words up to time step  $t$   
 $y_t$ : distribution of output words at time step  $t$

$$z_t = \mathbf{U}x_t + \mathbf{W}h_{t-1}$$

$$h_t = \sigma(z_t)$$

$$y_t = g(\mathbf{V}h_t)$$

where

$$\sigma(z) = \frac{1}{1+\exp(-z)}, \quad g(z_m) = \frac{\exp(z_m)}{\sum_k \exp(z_k)}$$

$g(\cdot)$  is called the *softmax* function

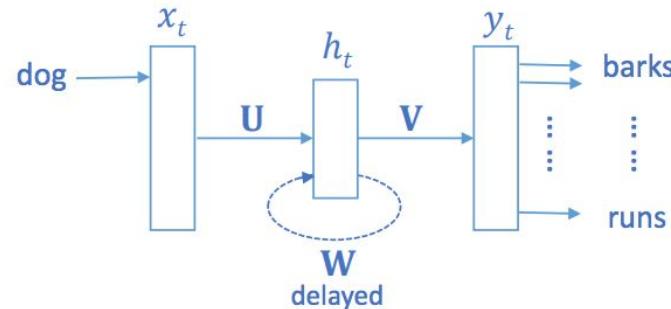


Table 1: Performance of models on WSJ DEV set when increasing size of training data.

| Model              | # words | PPL | WER  |
|--------------------|---------|-----|------|
| KN5 LM             | 200K    | 336 | 16.4 |
| KN5 LM + RNN 90/2  | 200K    | 271 | 15.4 |
| KN5 LM             | 1M      | 287 | 15.1 |
| KN5 LM + RNN 90/2  | 1M      | 225 | 14.0 |
| KN5 LM             | 6.4M    | 221 | 13.5 |
| KN5 LM + RNN 250/5 | 6.4M    | 156 | 11.7 |



M  
tu

Hi  
tu  
Machine-generated (but turker preferred)

a group of motorcycles parked next to a motorcycle

Human-annotated (but turker not preferred)

two girls wearing short skirts and one of them sits on a motorcycle while the other stands nearby

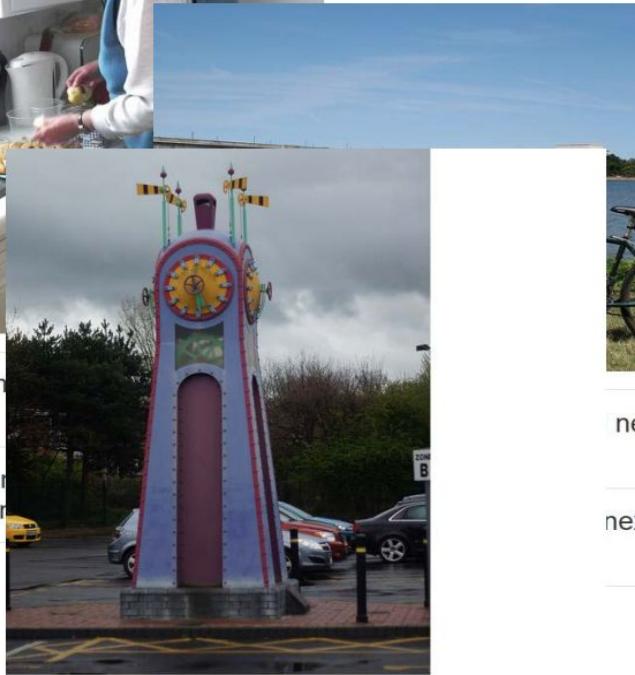


Machine-generated (but turker preferred)

a woman food

Human-annotated (but turker not preferred)

woman kitchen



Machine-generated (but turker preferred)

a clock tower in the middle of the street

Human-annotated (but turker not preferred)

a statue with a clock on it near a parking lot

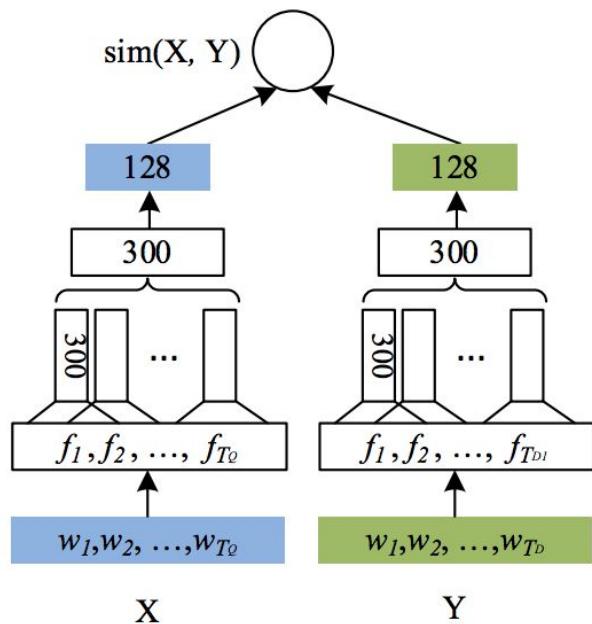
ne

nex

# DSSM: Compute Similarity in Semantic Space

Relevance measured  
by cosine similarity

|                     |       |
|---------------------|-------|
| Semantic layer      | $h$   |
| Max pooling layer   | $\nu$ |
| Convolutional layer | $c_t$ |
| Word hashing layer  | $f_t$ |
| Word sequence       | $x_t$ |



**Learning:** maximize the similarity  
between  $X$  (source) and  $Y$  (target)

**Representation:** use DNN to extract  
abstract semantic representations

**Convolutional and Max-pooling layer:**  
identify key words/concepts in  $X$  and  $Y$

**Word hashing:** use sub-word unit (e.g.,  
letter  $n$ -gram) as raw input to handle  
very large vocabulary

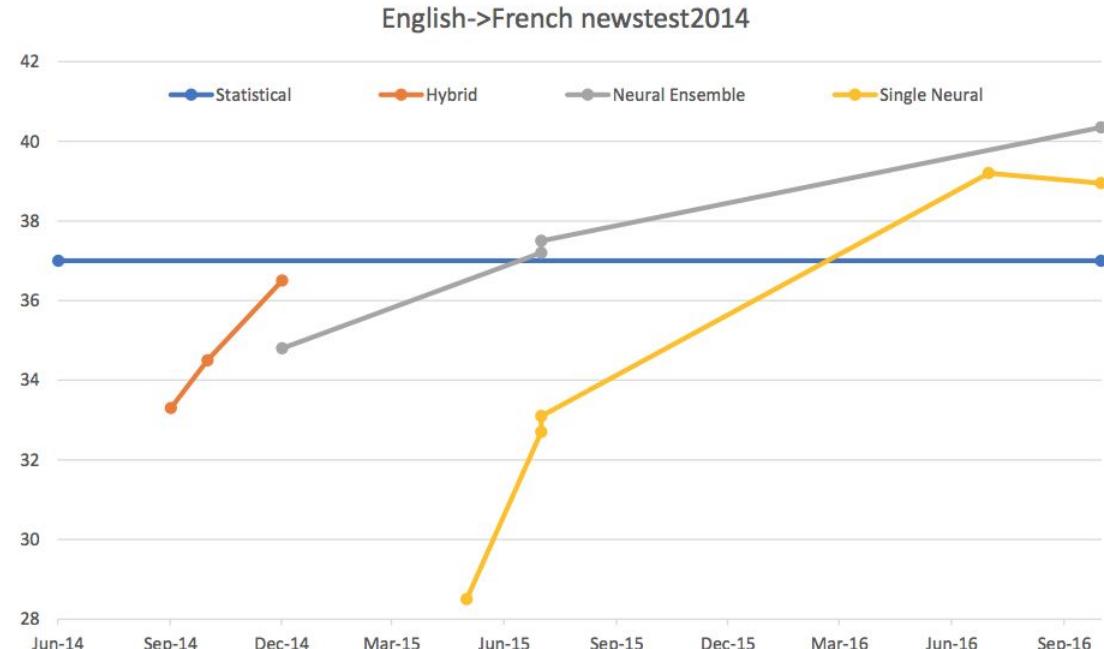
- Classification task – label  $x$  by  $y$ 
  - MLP/CNN/RNN as feature generator
- Ranking task – compute the semantic similarity btw  $x$  and  $y$ 
  - Siamese neural network [Bromley et al. 1993]
  - Deep Semantic Similarity Model (DSSM)
- (Text) Generation task – generate  $y$  from  $x$ 
  - Seq2Seq (RNN/LSTM)
  - Memory Network
- Question answering task
  - Neural machine reading models
- Task-completion dialogue
  - Deep reinforcement learning for dialogue agents

# Recent progress on machine translation (MT): BLEU score of state of the art systems

Statistical MT state of the art is highly engineered and has made little progress in over two years

Chart shows progress in three classes of neural systems

- Hybrid: Add neural models to existing statistical MT system
- Single: Single pure-neural system (to be discussed in Part 3)
- Ensemble: Large ensemble of pure-neural systems



# State of the art results on NLP component tasks

| Task                      | Test set            | Metric   | Best non-neural | Best neural | Source                    |
|---------------------------|---------------------|----------|-----------------|-------------|---------------------------|
| <b>POS tagging</b>        | PTB section 23      | F1       | 97.17           | 97.78       | <a href="#">Andor+ 16</a> |
| <b>Syntactic Parsing</b>  | PTB section 23      | F1       | 90.1            | 93.3        | <a href="#">Dyer+ 16</a>  |
| <b>Dependency parsing</b> | PTB section 23      | F1       | 93.22           | 94.61       | <a href="#">Andor+ 16</a> |
| <b>CCG parsing</b>        | CCGBank test        | F1       | 85.2            | 88.7        | <a href="#">Lee+ 16</a>   |
| <b>Inference (NLI)</b>    | Stanford NLI corpus | Accuracy | 78.2            | 88.3        | <a href="#">Chen+ 16</a>  |

# State of the art results on NLP application-level tasks

| Task                        | Test set                      | Metric            | Best non-neural | Best neural | Source  |
|-----------------------------|-------------------------------|-------------------|-----------------|-------------|---|
| <b>Machine Translation</b>  | Enu-deu newstest16            | BLEU              | 31.4            | 34.8        | <a href="http://matrix.statmt.org">http://matrix.statmt.org</a> |
|                             | Deu-enu newstest16            | BLEU              | 35.9            | 39.9        | <a href="http://matrix.statmt.org">http://matrix.statmt.org</a> |
| <b>Sentiment Analysis</b>   | Stanford sentiment bank       | 5-class Accuracy  | 71.0            | 80.7        | <a href="#">Socher+ 13</a>                                      |
| <b>Question Answering</b>   | WebQuestions test set         | F1                | 39.9            | 52.5        | <a href="#">Yih+ 15</a>   |
| <b>Entity Linking</b>       | Bing Query Entity Linking set | AUC               | 72.3            | 78.2        | <a href="#">Gao+ 14b</a>  |
| <b>Image Captioning</b>     | COCO 2015 challenge           | Turing test pass% | 25.5            | 32.2        | <a href="#">Fang+ 15</a>  |
| <b>Sentence compression</b> | Google 10K dataset            | F1                | 0.75            | 0.82        | <a href="#">Filipova+ 15</a>                                    |
| <b>Response Generation</b>  | Sordoni dataset               | BLEU-4            | 3.98            | 5.82        | <a href="#">Li+ 16a</a>   |

# **Community**

- ACL Anthology (<http://www.aclweb.org/anthology/>)
- All NLP conference and journal papers, free of charge



# ACL Anthology

A Digital Archive of Research Papers in Computational Linguistics

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The ACL Anthology currently hosts over 33,000 papers on the study of computational linguistics and natural language processing. [Subscribe to the mailing list to receive updates](#).

**NEW** The [beta version of the new ACL Anthology goes live](#). It will replace this current version of the Anthology as the default version starting 2015 (don't worry we will let you know).

**NEW** Nov 2014: The [December issue of Computational Linguistics](#) is now available on the Anthology. Also the [Proceedings of the 26th Conference on Computational Linguistics \(CoNLL-2014\)](#), the [Proceedings of the Australasian Language Technology Association Workshop 2014 \(ALTA 2014\)](#) and the [Proceedings of the 4th International Conference on Language Learning \(NLP4CALL\)](#) have also been made available on the Anthology.

## ACL events

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\*Sem/  
SemEval: [98](#) [01](#) [04](#) [07](#) [10](#) [12](#) [13](#) [14](#)

# Current NLP Journals

Computational Linguistics

Journal of Natural Language Engineering  
(JLNE)

Machine Translation

Natural Language and Linguistic Theory

# Current NLP conferences

Association for Computational Linguistics

Coling

EACL (Europe Association for Computational  
Linguistics)

## Journal and conferences

- Journal
  - Computational Linguistics
- Conferences
  - ACL: Association for Computational Linguistics (**ACL'16 in Berlin!**)
  - NAACL: North American Chapter
  - EACL: European Chapter
  - HLT: Human Language Technology
  - EMNLP: Empirical Methods on Natural Language Processing
  - CoLing: Computational Linguistics
  - LREC: Language Resources and Evaluation

**End**



*"The computer is claiming its intelligence  
is real, and ours is artificial."*