

Nonexistence of Wandering domain for strongly dissipative infinite renormalizable Hénon-like maps

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Outline

- Motivation

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- Introduction to infinite renormalizable Hénon-like map
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 - Why the method break down in dimension-two (Hénon)
 - Higher dimension solution

Wandering Interval

Definition (Wandering interval)

Let $I \subset \mathbb{R}$ (or $I = \mathbb{S}^1$) and $f : I \rightarrow I$ be continuous. A nonempty connected open subinterval $J \subset I$ is a **wandering interval** of f if

- ① $f^m(J) \cap f^n(J) = \emptyset$ for all $m \neq n$
- ② the orbit of J does not tend to a periodic orbit

Characteristic:

- Does not tend to go back to itself
- Have the same dynamical behavior

Real Dimension One

Solves the classification problem

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- Circle diffeomorphism

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regularity

C^{1+bv} (1932 Denjoy)

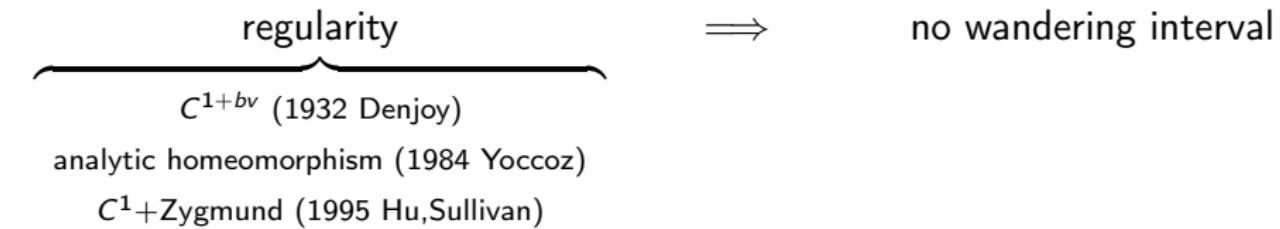
analytic homeomorphism (1984 Yoccoz)

$C^1 + \text{Zygmund}$ (1995 Hu,Sullivan)

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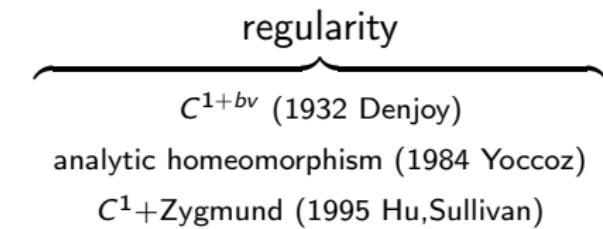
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no wandering interval

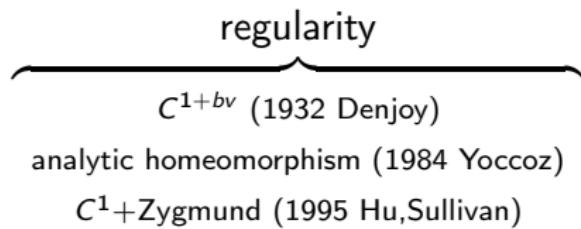
1881 Poincaré

\implies conjugate to rigid rotation

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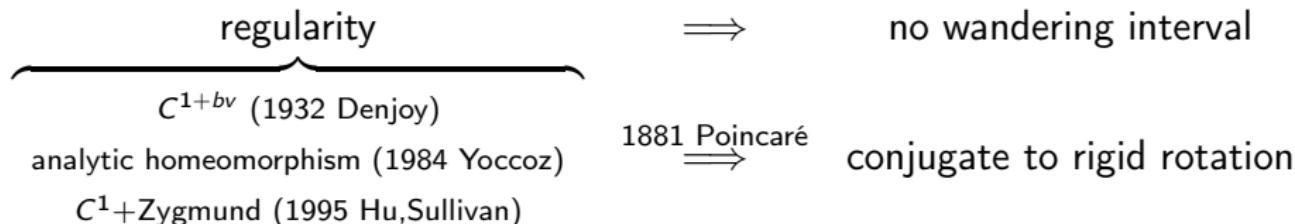
\Rightarrow conjugate to rigid rotation

- Unimodal map

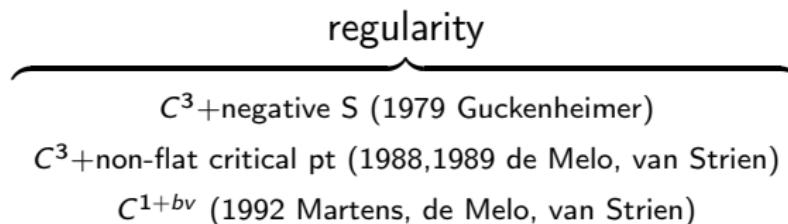
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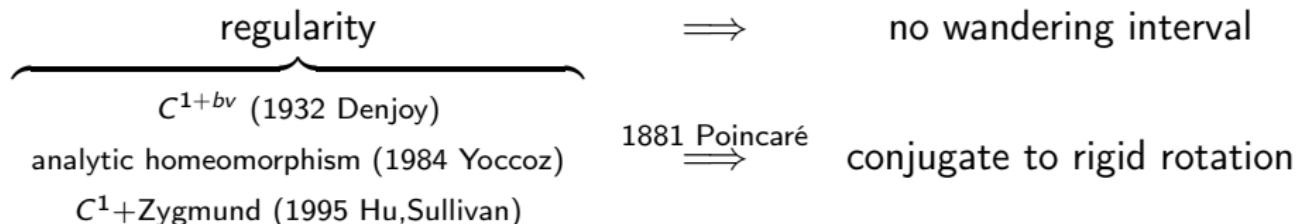
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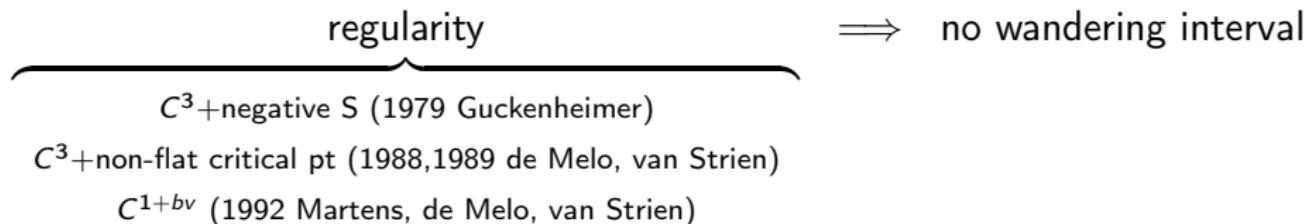
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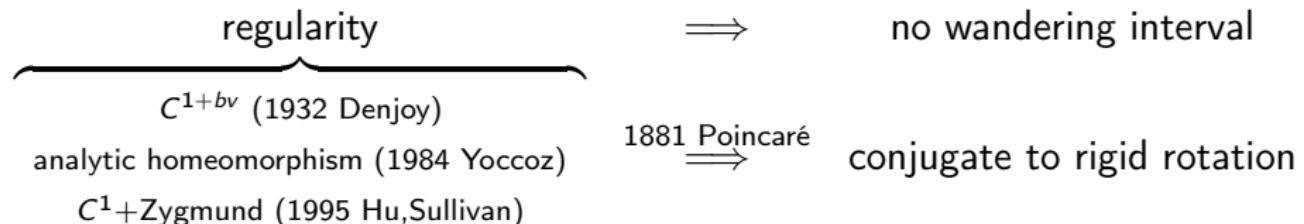
- Unimodal map



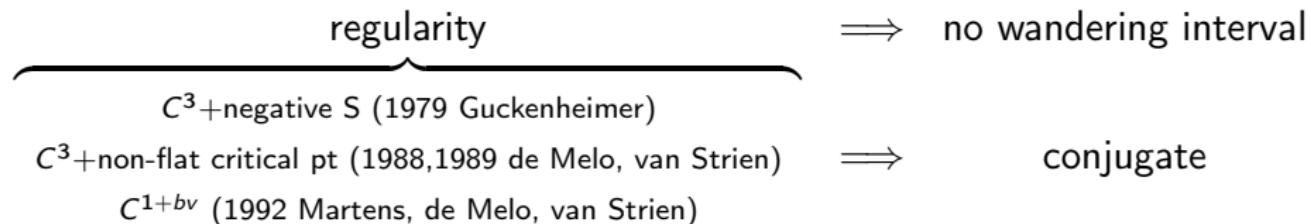
Real Dimension One

Solves the classification problem

- Circle diffeomorphism



- Unimodal map



Complex Dimension One

$f : \hat{\mathbb{C}} \rightarrow \hat{\mathbb{C}}$ is a **rational map**:

$$f(z) = \frac{p(z)}{q(z)}$$

Definition

The **Fatou set** of f (roughly speaking) consists of points with the property that all nearby values behave similarly under repeated iteration of the function (from Wikipedia)

Definition

A Fatou component is **wandering** if it is **not eventually periodic**.

Complex Dimension One

Theorem (1985 Sullivan)

A rational map of deg ≥ 2 does not have wandering Fatou component.

Classification of periodic Fatou components U

- ① U contains an attracting periodic point
- ② U is parabolic
- ③ U is a Siegel disc
- ④ U is a Herman ring

Complex Dimension One

- Transcendental entire map
 - Non-existence:
 - 1986 Goldberg, Keen
 - 1992 Eremenko, Lyubich
 - 2013 Mihaljević-Brandt, Rempe-Gillen
 - Existence
 - 1976, 1984 Baker
 - 1984 Herman
 - 1985 Sullivan
 - 1987 Eremenko, Lyubich
 - 2015 Bishop
 - 2015 Fagella, Godillon, Jarque

Real Higher Dimension Results

- Classification problem fails
 - 1975, 1979 Harrison (fail in any two level of differentiability)
- Existence by non-hyperbolic phenomenon
 - 2011 Colli, Vargas (C^∞ diffeomorphisms on surface)
 - 2017 Kiriki, Soma (C^r -close to Hénon maps, $r < \infty$)
 - 2016 Kiriki, Nakano, Soma (C^1 -close to diffeomorphism on 3-manifold)

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- Generalization of Denjoy's theory
 - Disc (1994 Bonatti, Gambaudo, Lion, Tresser)
 - Torus (1996 Norton, Sullivan) (2017 Navas) (1993, 1995 Mc Swiggen)

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 - Disc (1994 Bonatti, Gambaudo, Lion, Tresser)
 - Torus (1996 Norton, Sullivan) (2017 Navas) (1993, 1995 Mc Swiggen)
- Conditions between wandering domain and entropy
 - 2010 Kwakkel, Markovic (on surface, wandering domain of generic shape $\Rightarrow 0$ entropy)
- No wandering domain
 - 1991 Norton (compact 2-manifold)
 - 1994 Bonatti, Gambaudo, Lion, Tresser (disc)

Complex Higher Dimension

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 - 2014 Lilov (super-attracting)
 - 2017 Peters, Smit (subhyperbolic attracting)
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- Problem on Complex Hénon map is still widely open
 - 2015 Bedford (Survey)

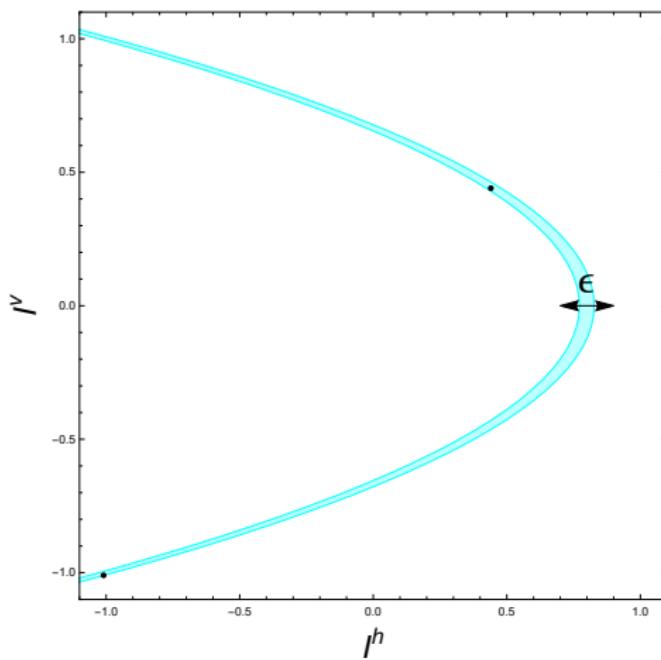
Hénon-like Maps

Hénon-like map = Unimodal map + perturbation

A **Hénon-like map** is a continuous map $F : I^h \times I^v \rightarrow \mathbb{R}^2$ with the form

$$F(x, y) = (\underbrace{f(x)}_{\text{unimodal}} - \underbrace{\epsilon(x, y)}_{\text{perturbation}}, x)$$

In this talk, F satisfies some regularity conditions that allows us to define renormalization (F : real analytic,...)



Jacobian

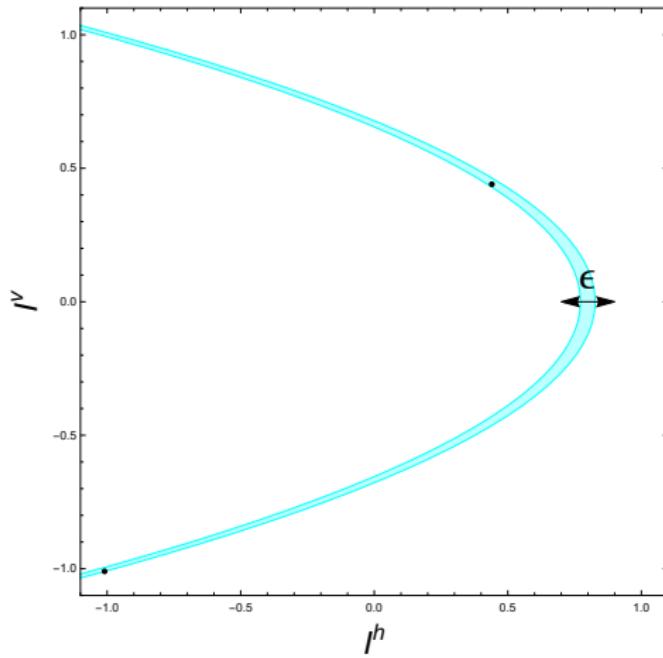
Hénon-like map = Unimodal map + perturbation

$$F(x, y) = \left(\underbrace{f(x)}_{\text{unimodal}} - \underbrace{\epsilon(x, y)}_{\text{perturbation}}, x \right)$$

- The Jacobian

$$J = \det(DF) = \frac{\partial \epsilon}{\partial y}$$

- Size of $J \approx$ Size of ϵ



Examples

- A Hénon-like map

$$F(x, y) = (\underbrace{f(x)}_{\text{unimodal}} - \underbrace{\epsilon(x, y)}_{\text{perturbation}}, x)$$

- **Degenerate (unimodal) case:** $\epsilon = 0$

Reduces to the one-dimension unimodal map.

The behavior is fully described by the dynamics of the unimodal map.

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- **Classical Hénon family:**

$f(x)$: quadratic polynomial

$\epsilon(x, y) = by$, b is a constant

Dynamics on the Partition

- A Hénon-like map $F : I^h \times I^\nu \rightarrow \mathbb{R}^2$

$$F(x, y) = (\underbrace{f(x)}_{\text{unimodal}} - \underbrace{\epsilon(x, y)}_{\text{perturbation}}, x)$$

- Study the dynamics: Need a domain D that makes F a self-map

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- Renormalization: First returning map on a subset $C \subset D$

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- Study the dynamics: Need a domain D that makes F a self-map
- Renormalization: First returning map on a subset $C \subset D$
- Define a partition on a subset of $I^h \times I^v$ by using the local stable manifolds of its two saddle fixed points

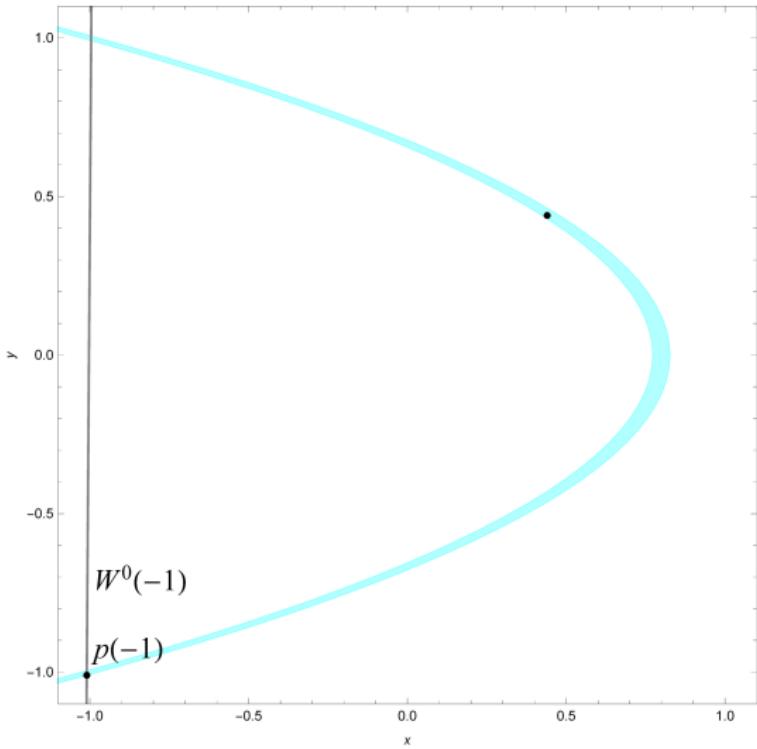
Partition for Hénon-like maps

Assume that ϵ is small

$$F(x, y) = (f(x) - \epsilon(x, y), x)$$

- ① Local Stable Manifold
of the fixed point with
Positive Multiplier

[2011, M. Lyubich and M. Martens]



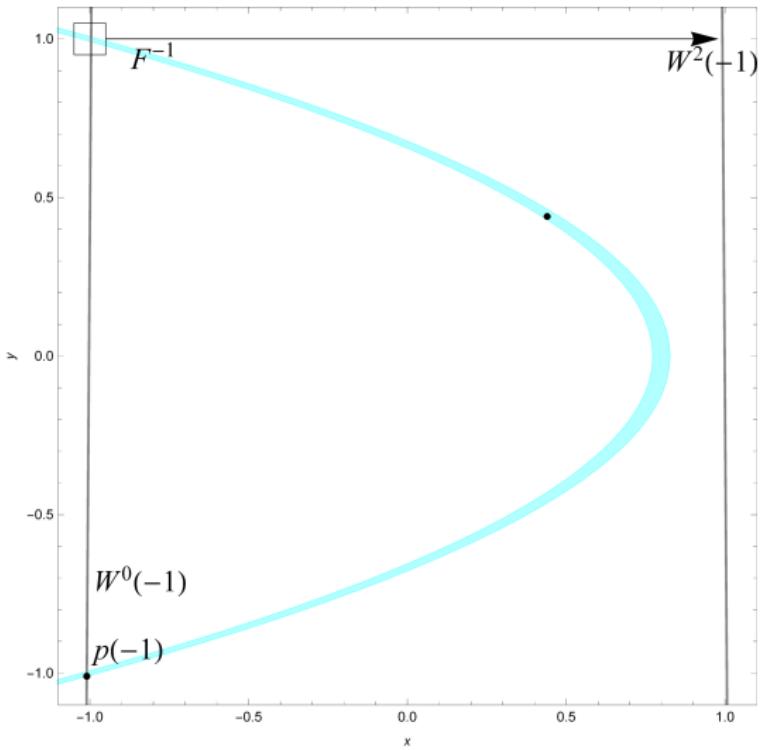
Partition for Hénon-like maps

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$$F(x, y) = (f(x) - \epsilon(x, y), x)$$

- ① Local Stable Manifold
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- ② Take the preimage

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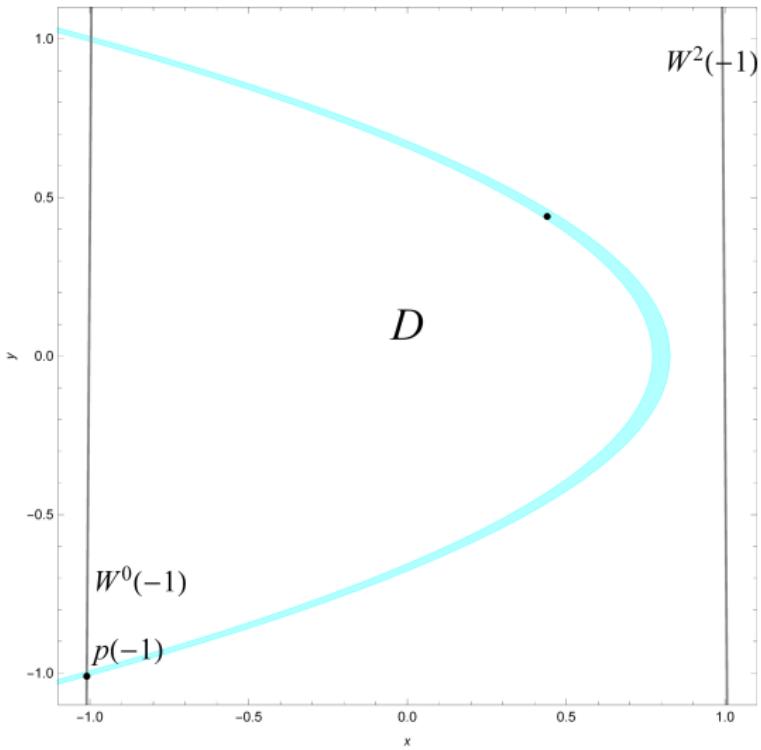
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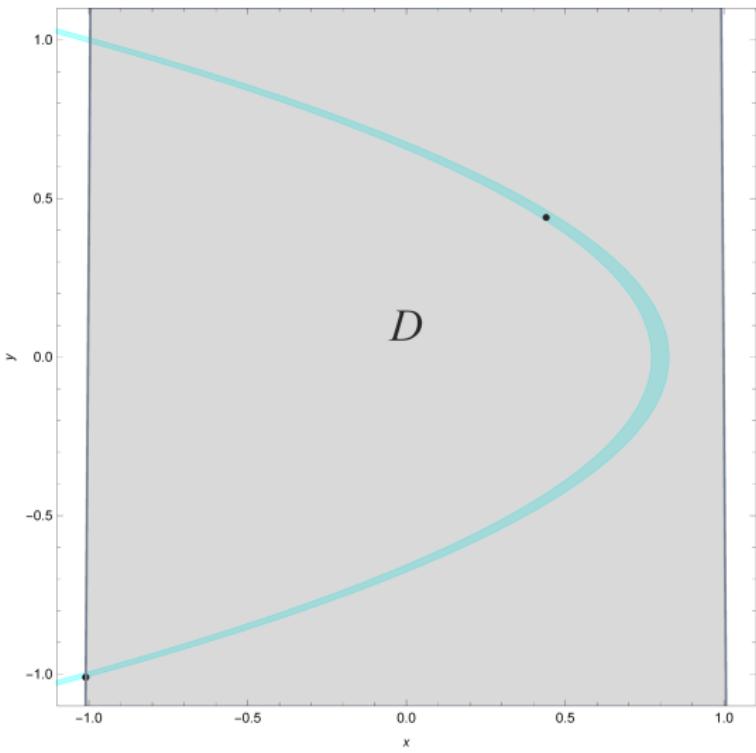
- ① Local Stable Manifold of the fixed point with Positive Multiplier
- ② Take the preimage
- ③ Set D be the set in between

[2011, M. Lyubich and M. Martens]



Dynamics on the Partition

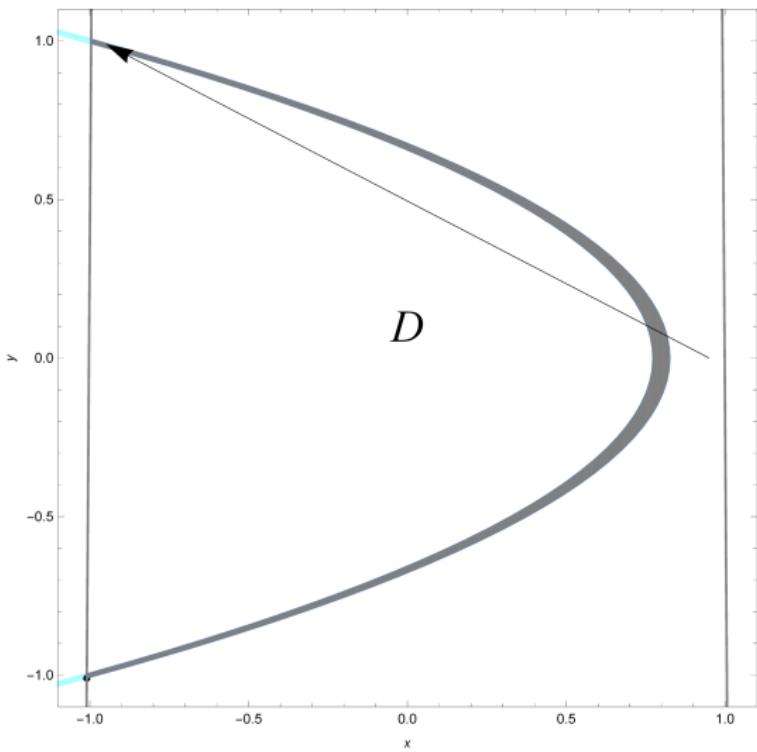
D



Dynamics on the Partition

$D \rightarrow D$

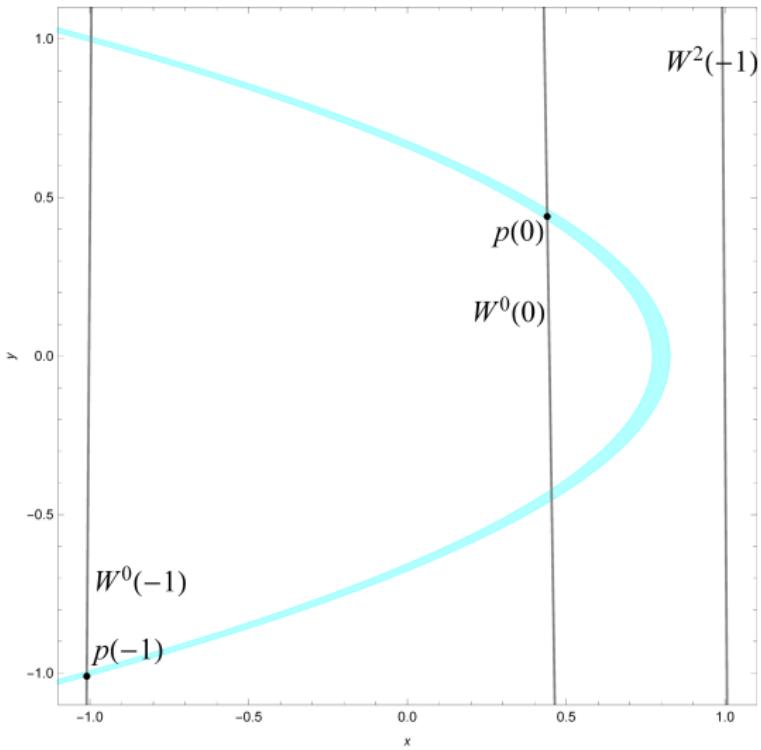
F is a self map on D



Partition for Hénon-like maps

- ① Local Stable Manifold of the fixed point with Negative Multiplier

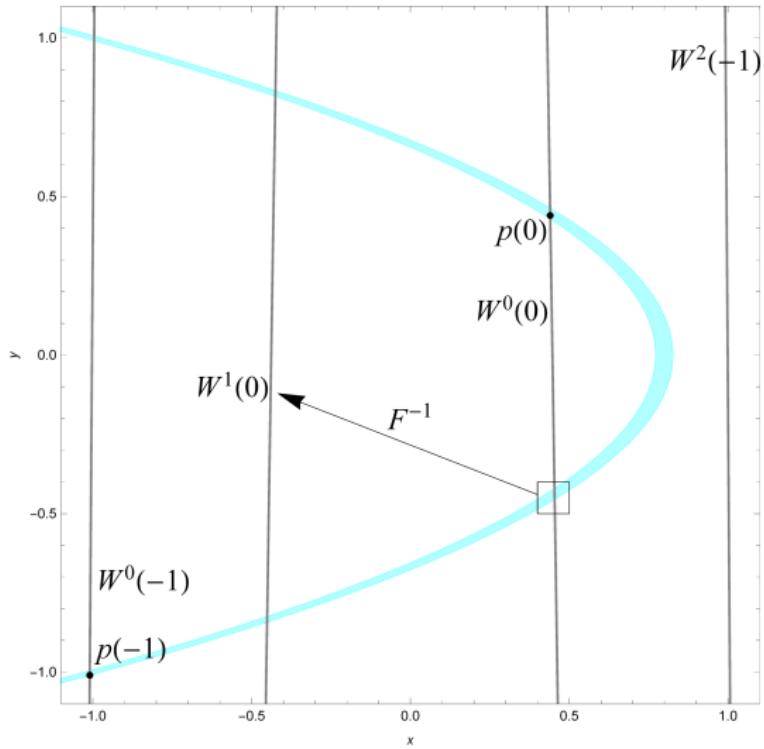
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Partition for Hénon-like maps

- ① Local Stable Manifold of the fixed point with Negative Multiplier
- ② Take the preimage

[2011, M. Lyubich and M. Martens]



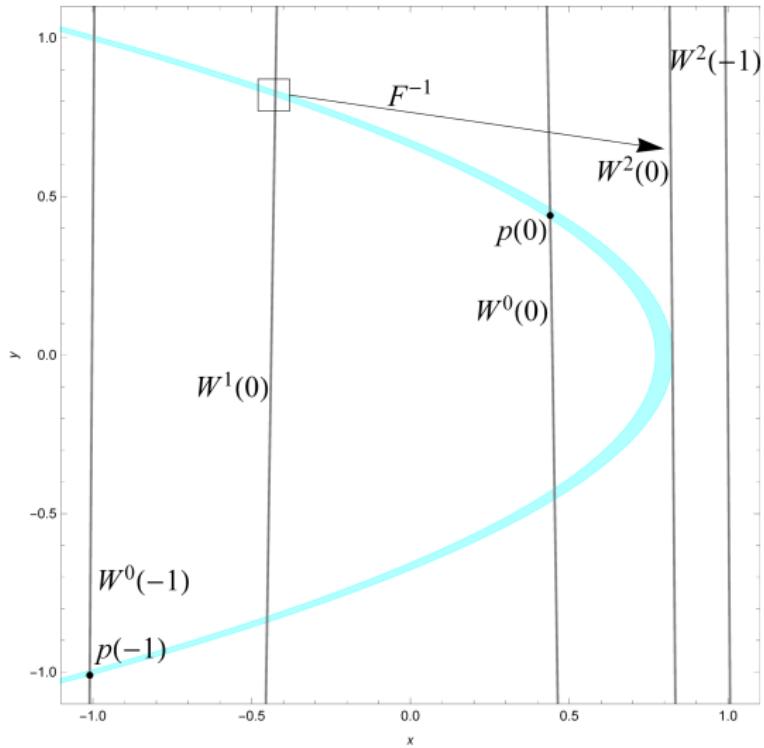
Partition for Hénon-like maps

- ① Local Stable Manifold of the fixed point with Negative Multiplier

- ② Take the preimage

- ③ Take the preimage

[2011, M. Lyubich and M. Martens]



Partition for Hénon-like maps

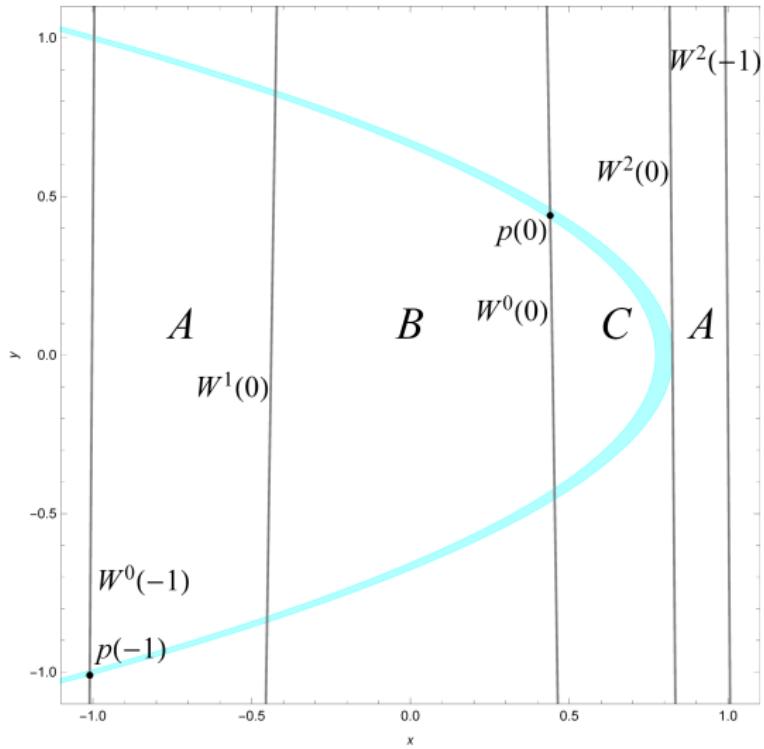
① Local Stable Manifold
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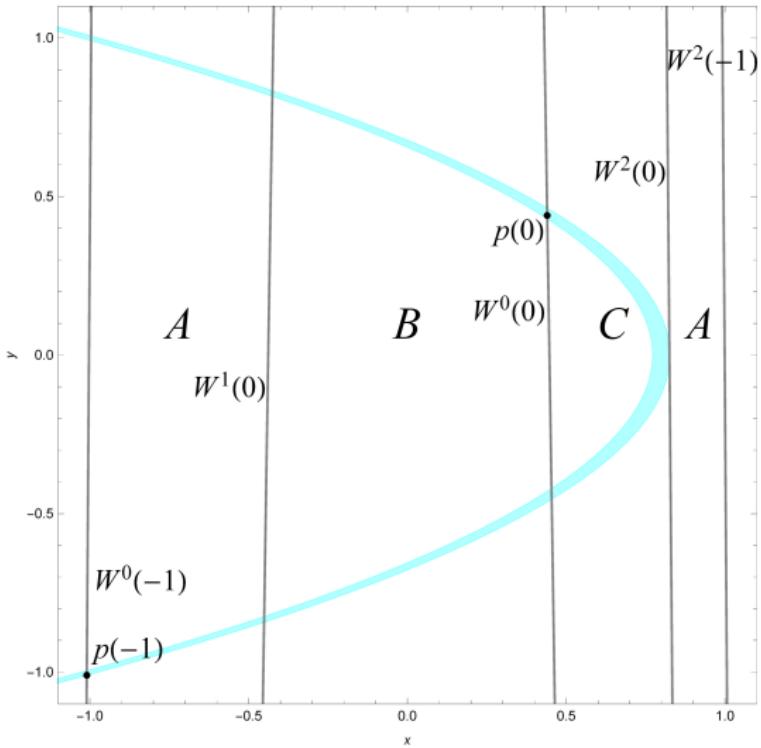
④ Set **A**, **B**, **C**, **A** be the
set in **between**

[2011, M. Lyubich and M. Martens]



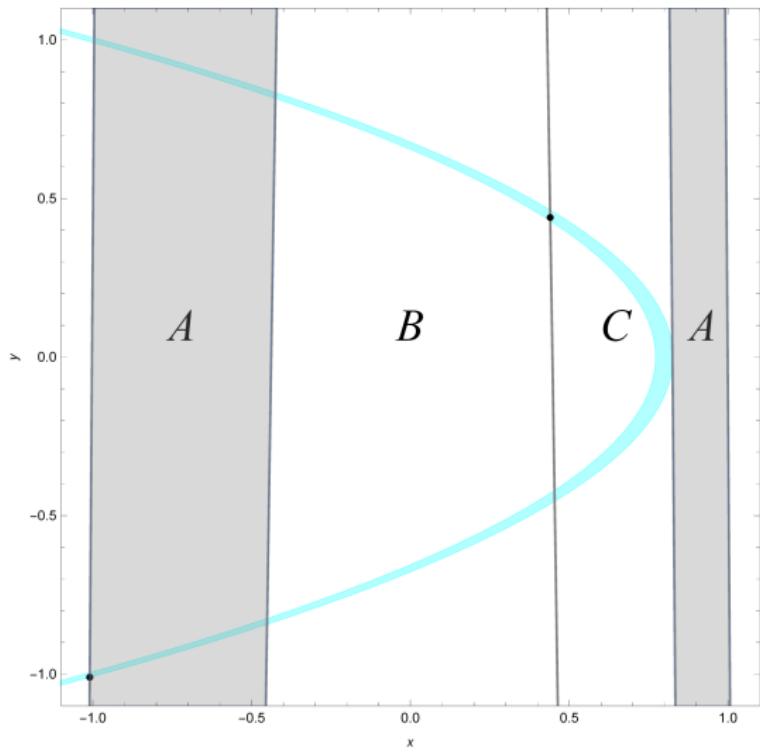
Partition for Hénon-like maps

- **unimodal** (degenerate) case: $\epsilon = 0$
 - $F(x, y) = (f(x), x)$
 - preimages of the fixed points
 - local stable manifolds are vertical lines determined by the preimages



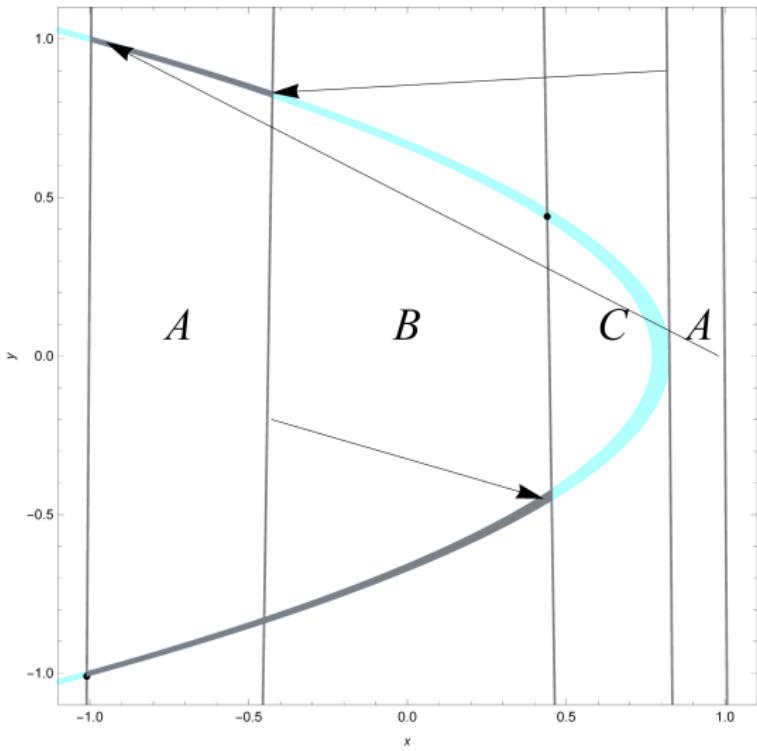
Dynamics on the Partition

A

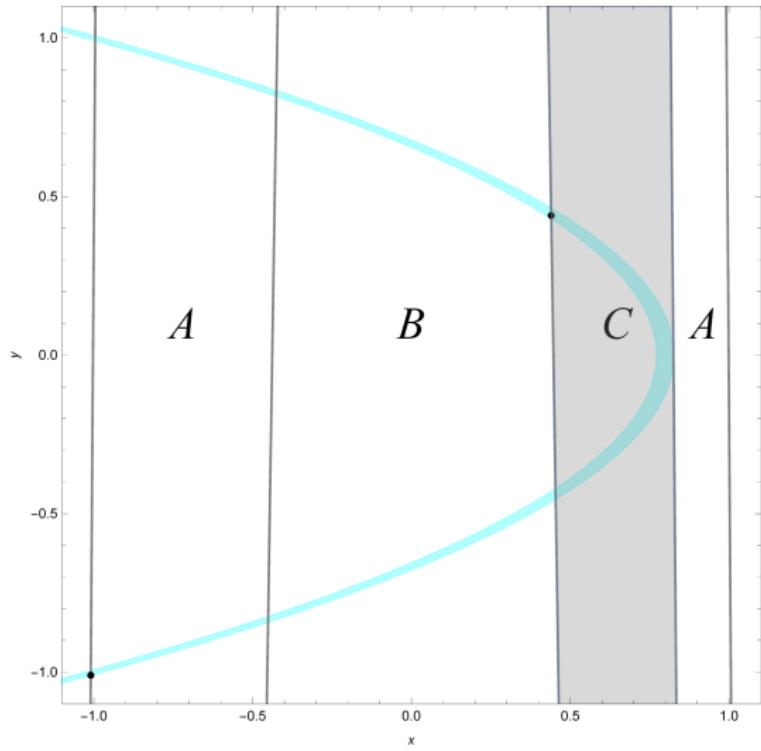
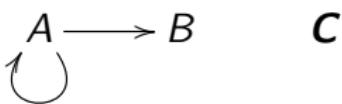


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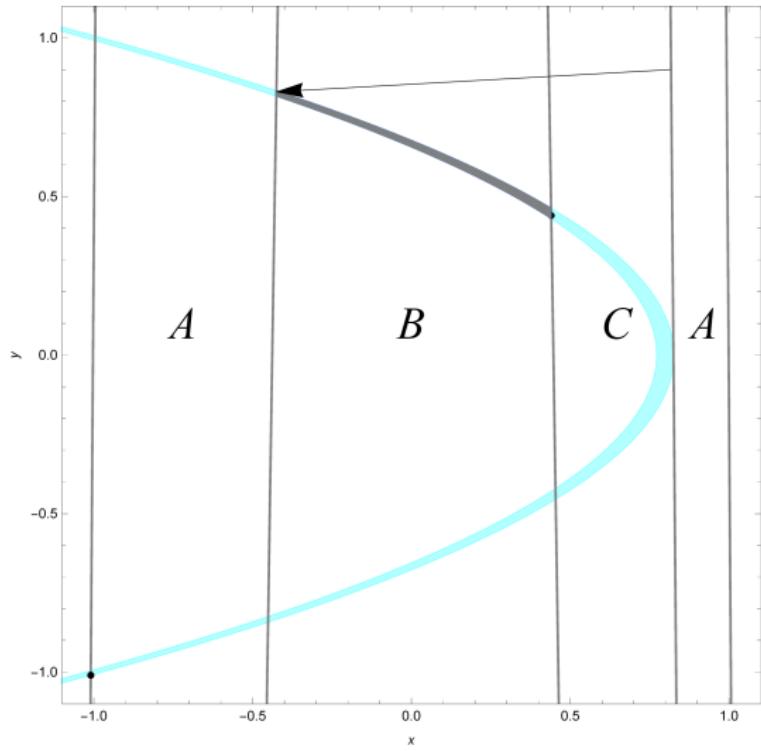
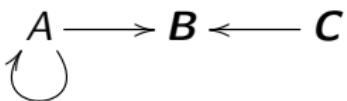
A \longrightarrow **B**



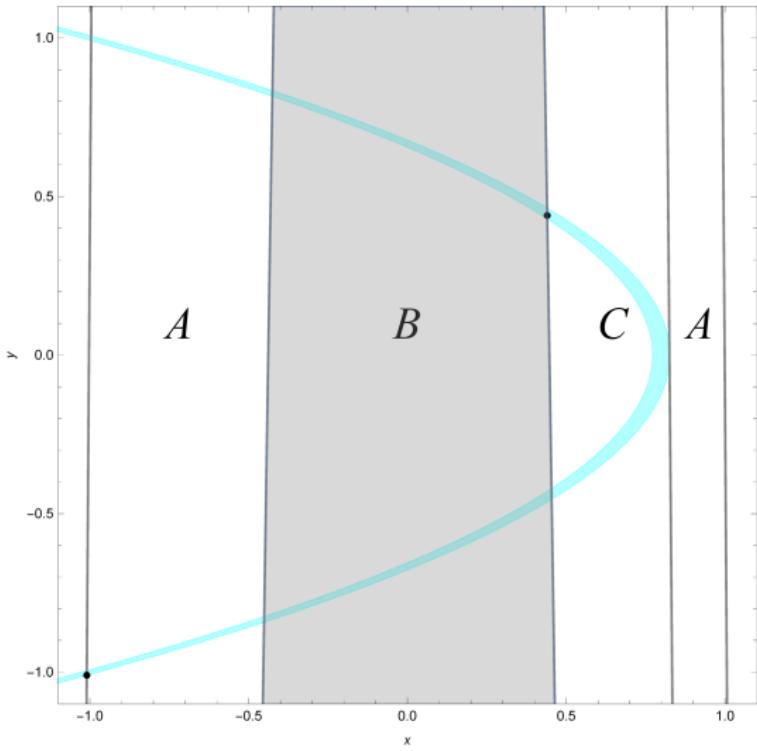
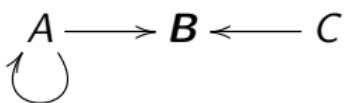
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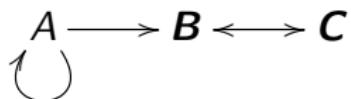
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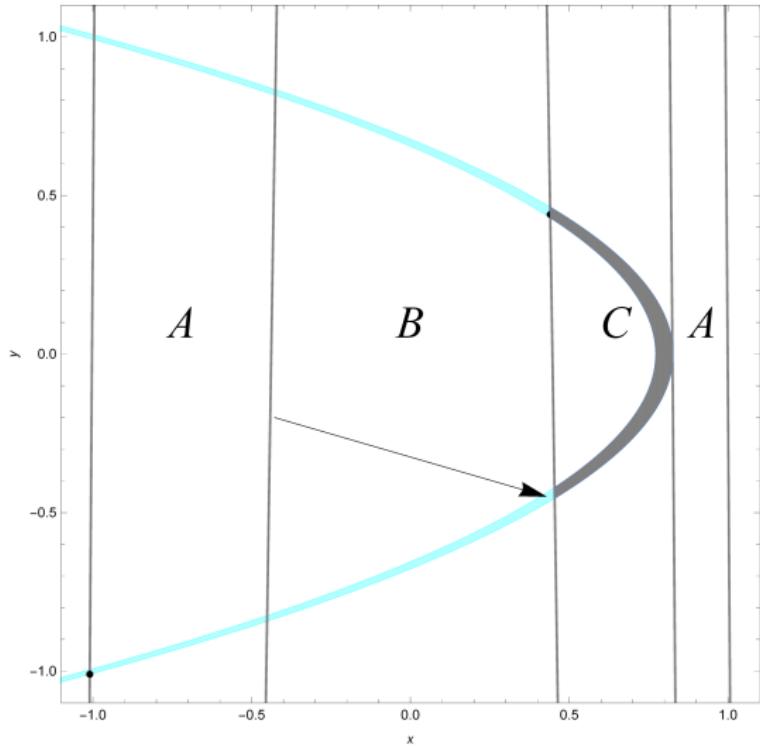


Dynamics on the Partition



A Hénon-like map F is
(period-doubling)
renormalizable if

$$F(B) \subset C.$$



Nonlinear rescaling and the Renormalization operator

- For a Hénon-like map

$$F(x, y) = (h_y(x), x) = (f(x) - \epsilon(x, y), x)$$

- Unfortunately, the second iterate

$$F^2(x, y) = (h_x(h_y(x)), \underbrace{h_y(x)}_{\text{not } x})$$

is not a Hénon-like map

Nonlinear rescaling and the Renormalization operator

[2005, A. de Carvalho, M. Lyubich, and M. Martens]

- ① For small ϵ , CLM introduced a **nonlinear rescaling** ϕ that turns the first return map on C into a Hénon-like map
 - x-direction preserves orientation
 - y-direction reverses orientation & affine

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- ② The **renormalization** $\hat{F} = RF = \phi \circ F^2 \circ \phi^{-1}$ is a Hénon-like map

$$\hat{F}(x, y) = \left(\underbrace{\hat{f}(x)}_{\text{unimodal}} - \underbrace{\hat{\epsilon}(x, y)}_{\text{perturbation}}, x \right)$$

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- ① Unimodal: ϵ -close to the renormalized unimodal map

$$\|\hat{f} - R_c f\| \leq c \|\epsilon\|$$

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- ② Perturbation: has order ϵ^2

$$\|\hat{\epsilon}\| \leq c \|\epsilon\|^2$$

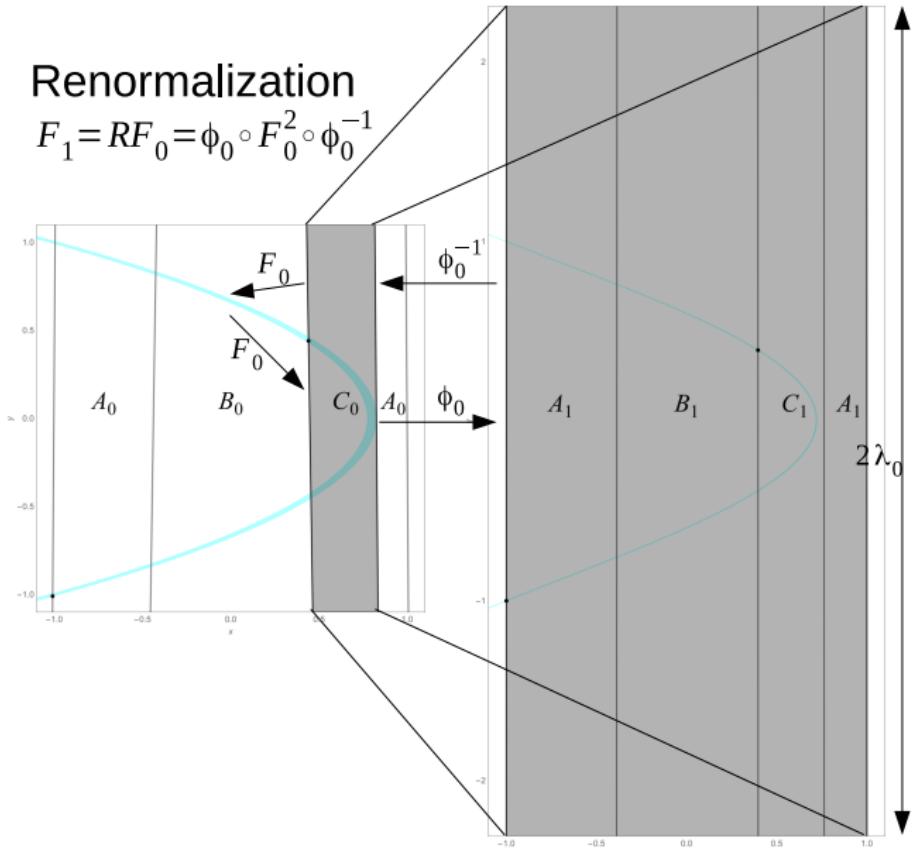
Nonlinear rescaling and the Renormalization operator

Renormalization

$$\begin{array}{ccc} D_1 & \xrightarrow{F_1} & D_1 \\ \phi_0 \uparrow & & \uparrow \phi_0 \\ C_0 & \xrightarrow{F_0^2} & C_0 \end{array}$$

Renormalization

$$F_1 = RF_0 = \phi_0 \circ F_0^2 \circ \phi_0^{-1}$$



Infinite Renormalizable Hénon-like maps

- $F \xrightarrow{\text{renormalizable}} RF \xrightarrow{\text{renormalizable}} R^2F \rightarrow \dots$
- If F can be renormalized infinite many times, we say that F is **infinite renormalizable**

Properties for Infinite renormalizable Hénon-like maps

[2005, A. de Carvalho, M. Lyubich, and M. Martens]

Summary for infinite renormalizable Hénon-like maps with **small** ϵ

- $F_n = R^n F = \text{unimodal part } f_n + \text{perturbation part } \epsilon_n$

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unimodal $f_n \rightarrow g$ **geometrically**

$$\|f_n - g\| < c\rho^n \|F - G\|$$

- g : unimodal map, $R_c g = g$
- $G(x, y) = (g(x), x)$

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perturbation $\epsilon_n \rightarrow 0$ **super exponentially**

$$\|\epsilon_{n+t}\| < (c \|\epsilon_n\|)^{2^t}$$

Scope

In this talk, we focus on Hénon-like maps that are:

- ① non-degenerate ($\epsilon \neq 0$, $\frac{\partial \epsilon}{\partial y} \neq 0$)
- ② strongly dissipative (ϵ : small)
- ③ infinite (periodic doubling) renormalizable

Dynamics and Wandering Domain

Theorem (2011, M. Lyubich and M. Martens)

F : strongly dissipative infinite (period-doubling) renormalizable Hénon-like map. Then $\omega(x)$ is one of the following

- ① periodic orbit of period 2^n
- ② the attracting Cantor set

Dynamics and Wandering Domain

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F : strongly dissipative infinite (period-doubling) renormalizable Hénon-like map. Then $\omega(x)$ is one of the following

- ① periodic orbit of period 2^n
- ② the attracting Cantor set

- All points belongs to
 - ① the basin (stable manifold) of a periodic point, or
 - ② the basin of the Cantor set

Dynamics and Wandering Domain

Theorem (2011, M. Lyubich and M. Martens)

F : strongly dissipative infinite (period-doubling) renormalizable Hénon-like map. Then $\omega(x)$ is one of the following

- ① periodic orbit of period 2^n
- ② the attracting Cantor set

- All points belongs to
 - ① the basin (stable manifold) of a periodic point, or
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- Q: How large is each of those basin?
 - Size of the stable manifold for the periodic points?

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Theorem (2011, M. Lyubich and M. Martens)

There exist an infinite renormalizable Hénon-like map such that the stable lamination is nowhere laminar

Dynamics and Wandering Domain

Definition

Assume that $F : D \rightarrow D$ is a non-degenerate Hénon-like map. A nonempty connected open set $J \subset D$ is a **wandering domain** of F if J does not intersect any of the stable manifolds for periodic points.

This means that a wandering domain is an open subset of the attracting Cantor set.

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Theorem (Main Theorem, arXiv:1705.05036)

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Corollary

The union of the stable manifolds for the periodic points is dense.

Iteration and rescaling of wandering domain

- Assume that $F : D \rightarrow D$ is a Hénon-like map and J is a wandering domain.
- Properties:

Iteration J : wandering domain $\implies F(J)$: wandering domain

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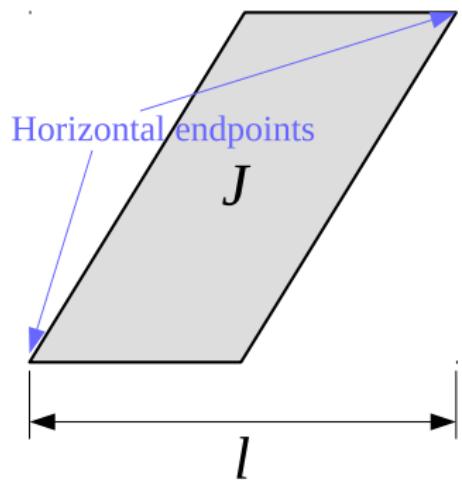
Subset nonempty open subset of a wandering domain is also a
wandering domain

Horizontal size

- Horizontal size l of J

$$l(J) = \sup \{ |x_1 - x_2| \}$$

for $(x_1, y_1), (x_2, y_2) \in J$.



General Idea of the Proof

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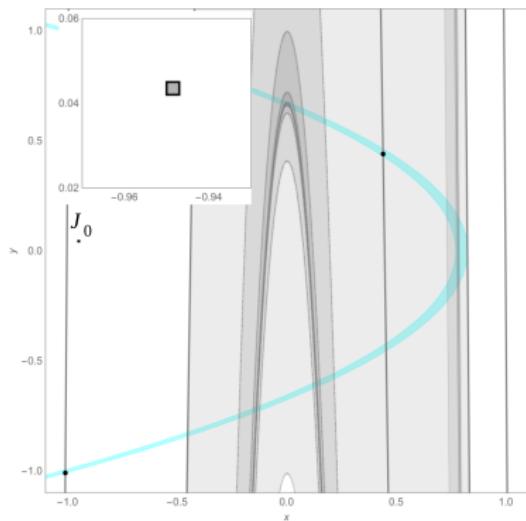
General Idea of the Proof

- Motivated by unimodal maps
- **Prove by contradiction:** Assume J is a wandering domain
- Construct a sequence of wandering domain J_n by **iteration** and **rescaling**
- **Goal:** Prove that $I_n \rightarrow \infty$

General Idea of the Proof

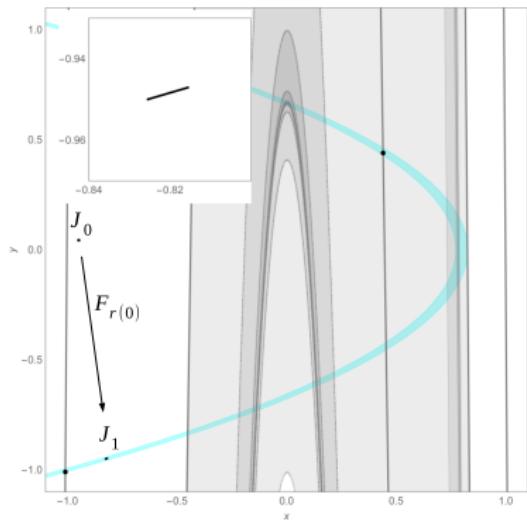
Prove by contradiction.

Assume that F has an wandering domain $J_0 \subset D$



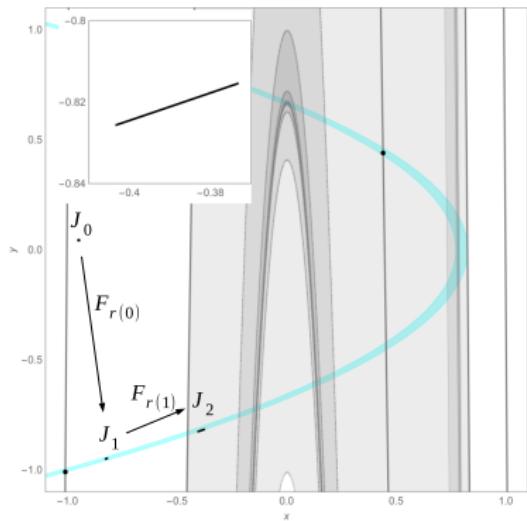
General Idea of the Proof

$J_0 \subset A_{r(0)}$: iterate $J_0 \xrightarrow{F_{r(0)}} J_1$



General Idea of the Proof

$J_1 \subset A_{r(1)}$: iterate $J_1 \xrightarrow{F_{r(1)}} J_2$

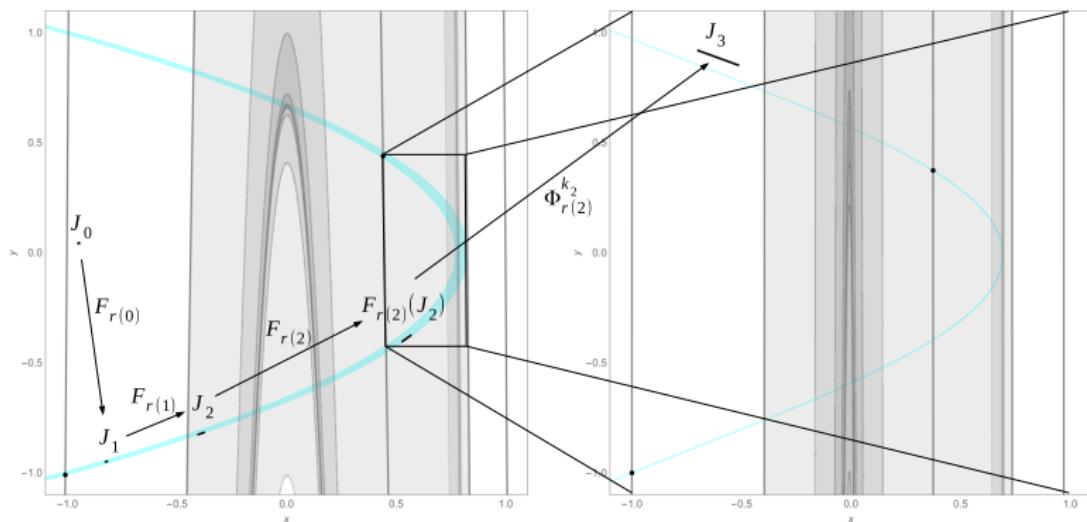


General Idea of the Proof

$J_2 \subset B_{r(2)}$: iterate then rescale as many times as possible

$$J_2 \xrightarrow{F_{r(2)}} F_{r(2)}(J_2) \xrightarrow{\Phi_{r(2)}^{k_2}} J_3$$

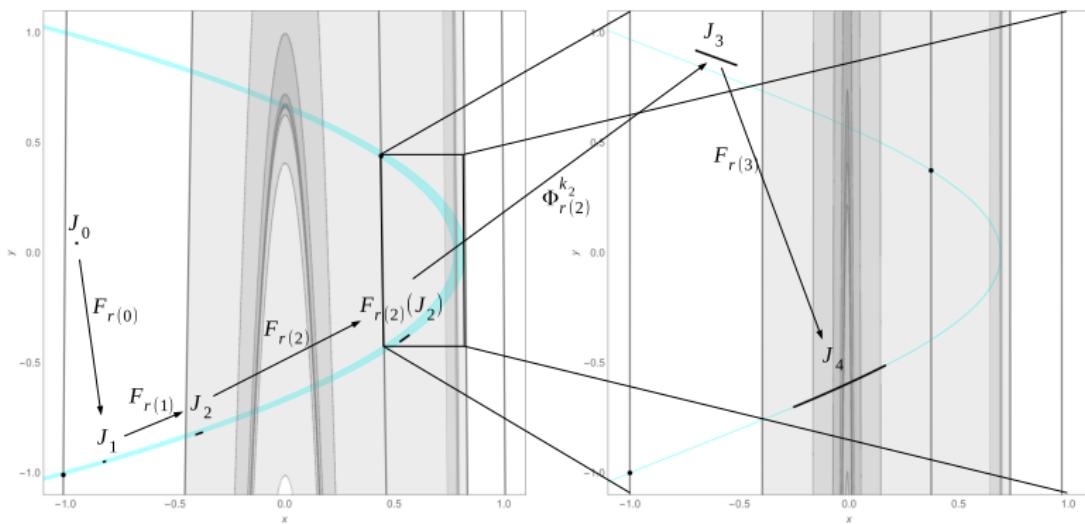
1 step=iteration+rescaling (if rescaling happens)



General Idea of the Proof

$J_3 \subset A_{r(3)}$: iterate $J_3 \xrightarrow{F_{r(3)}} J_4$

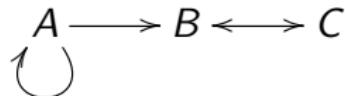
The horizontal size is too large that it intersects with some stable manifolds!
Contradiction!



General Idea of the Proof

- Goal: Want to show ($E > 1$)

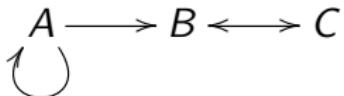
$$l_{n+1} \geq El_n$$



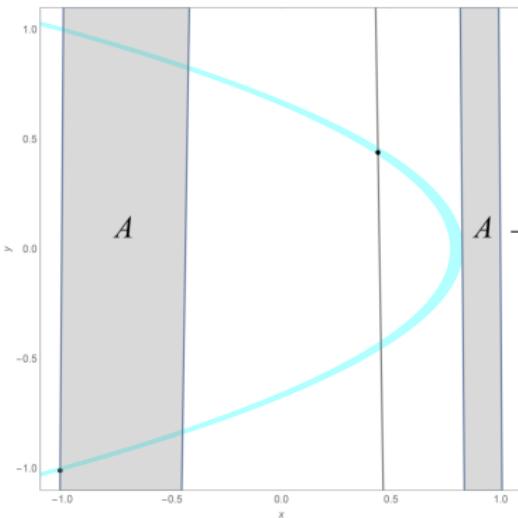
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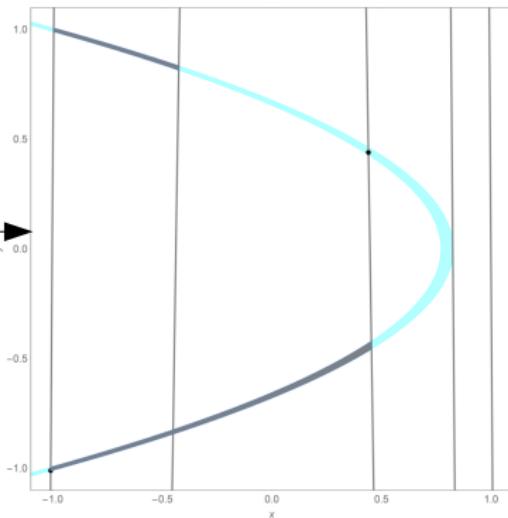
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- $A \rightarrow A$ or B : ✓



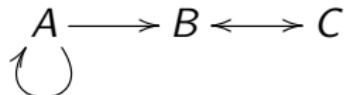
F



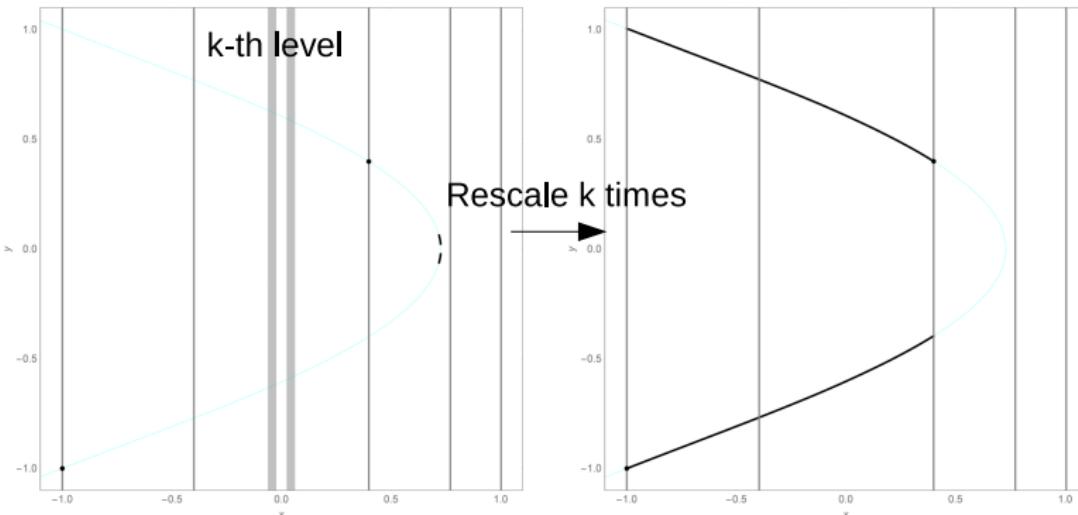
General Idea of the Proof

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$$I_{n+1} \geq EI_n$$



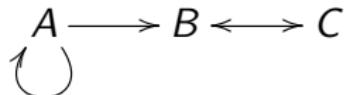
- $A \rightarrow A$ or B : ✓
- $B \xrightarrow{\text{contract}} C \xrightarrow{\text{rescale}} A$ or B : ✓ in the unimodal case



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- $A \rightarrow A$ or B : ✓
- $B \xrightarrow{\text{contract}} C \xrightarrow{\text{rescale}} A$ or B : ✓ in the unimodal case
- $B \xrightarrow{\text{contract}} C \xrightarrow{\text{rescale}} A$ or B : False in the Hénon case

Difficulty

- What makes it different between the **Hénon** and **unimodal** case?
 - Why does the expansion argument **fails** in the Hénon case?
 - How **small** will the horizontal size become when the expansion fails?

Difficulty

- What makes it different between the **Hénon** and **unimodal** case?
 - Why does the expansion argument **fails** in the Hénon case?
 - How **small** will the horizontal size become when the expansion fails?
- Two features make Hénon-like maps different from the unimodal maps
 - **Good and Bad regions**
 - **Thickness**

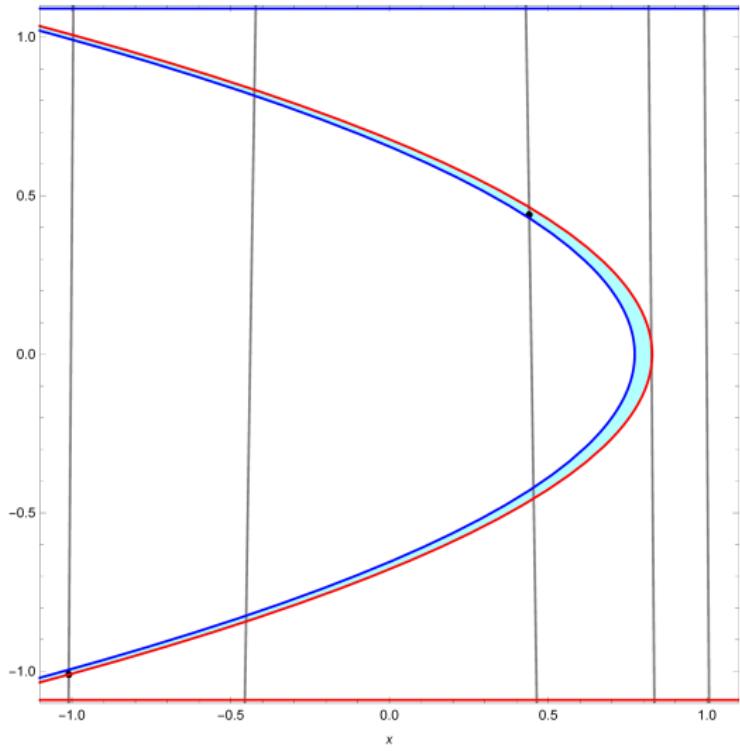
Bad News

- Assume the simple case

$$\epsilon(x, y) = ay$$

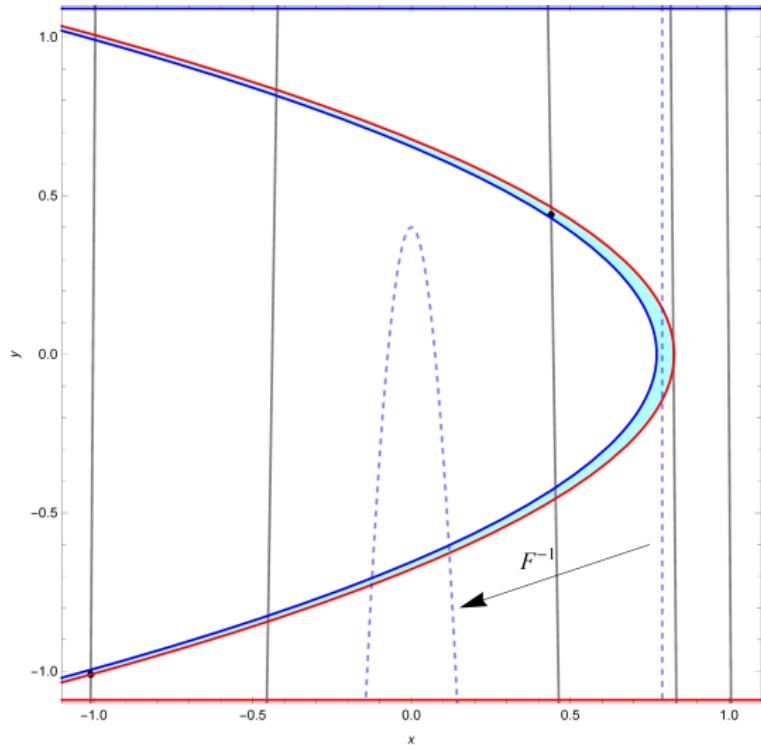
for $a > 0$. i.e.

$$F(x, y) = (f(x) - ay, x)$$



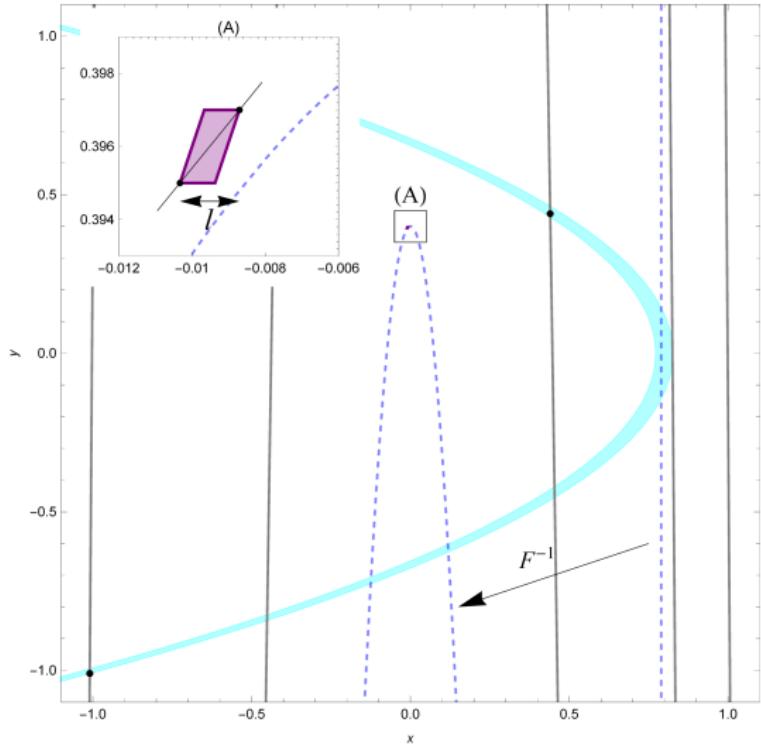
Bad News

- Draw a vertical line that intersects the image once
- Take the preimage of the vertical line



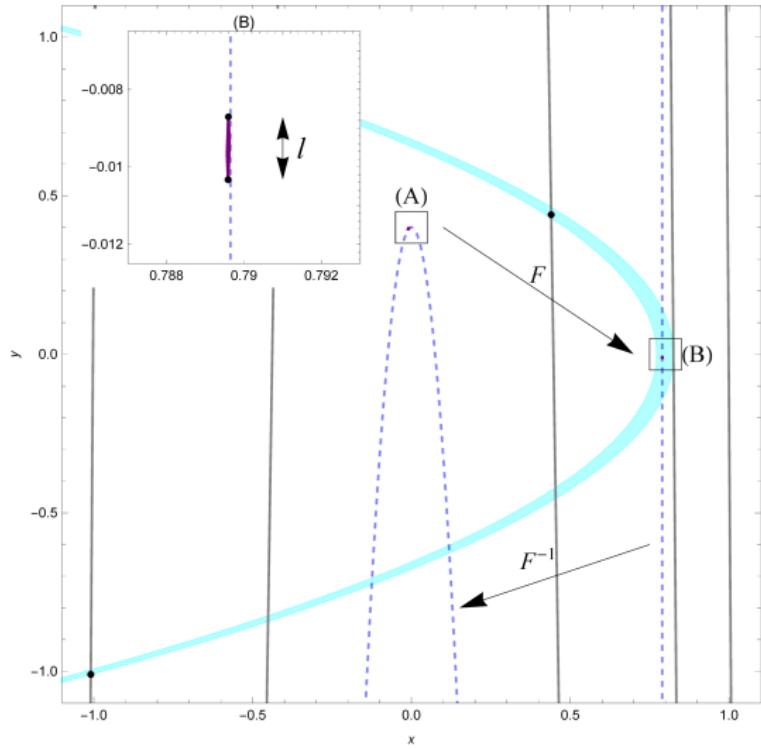
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- If the horizontal endpoints of a wandering domain is parallel to the preimage, then the image of the horizontal endpoints ~ 0 .



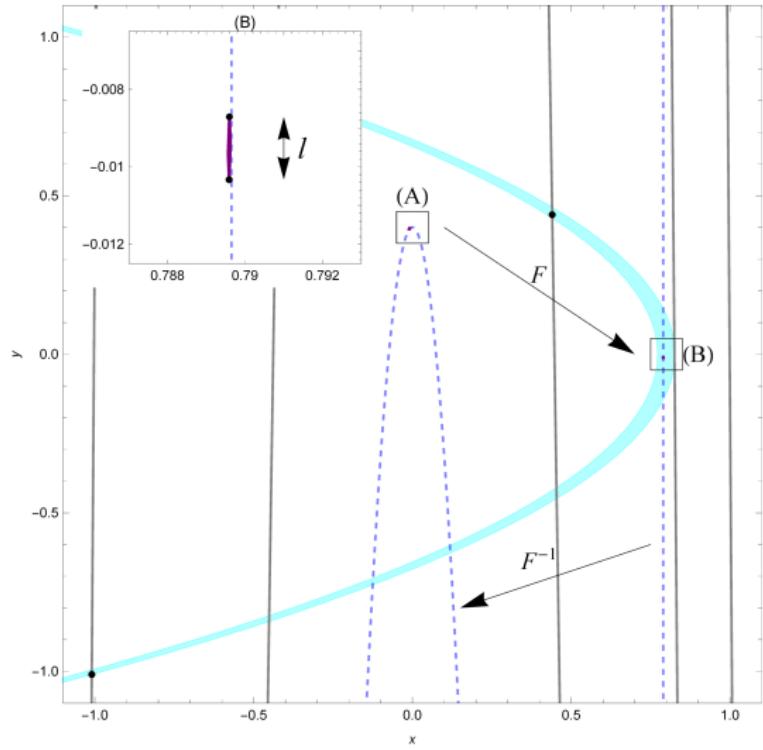
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Bad News

- Want to avoid “vertical line intersects the image once”
- Size of the image is $\|\epsilon_n\|$



Good and Bad regions

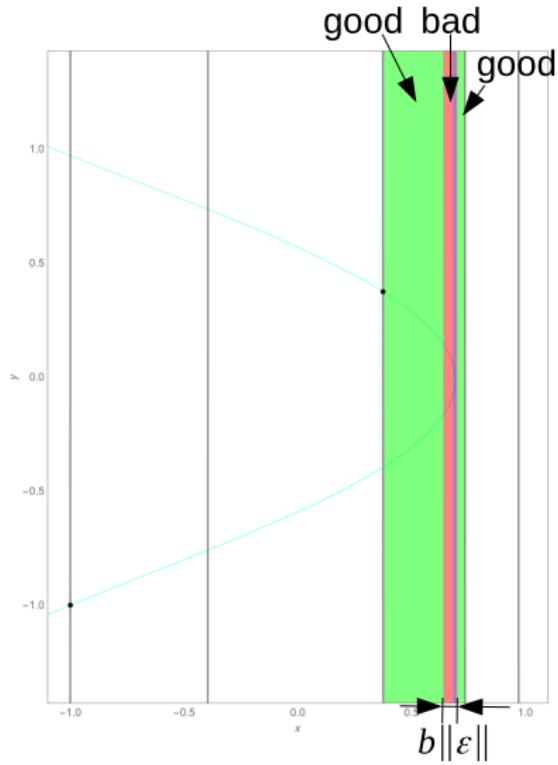
Let $b > 0$ be a large number and $F_n = R^n F$.

- **Bad region** in C_n :

Vertical strip in C that covers the
“intersection once” area

Width: $\sim \|\epsilon_n\|$

of rescale: $\sim \|\epsilon_n\|^{-1}$



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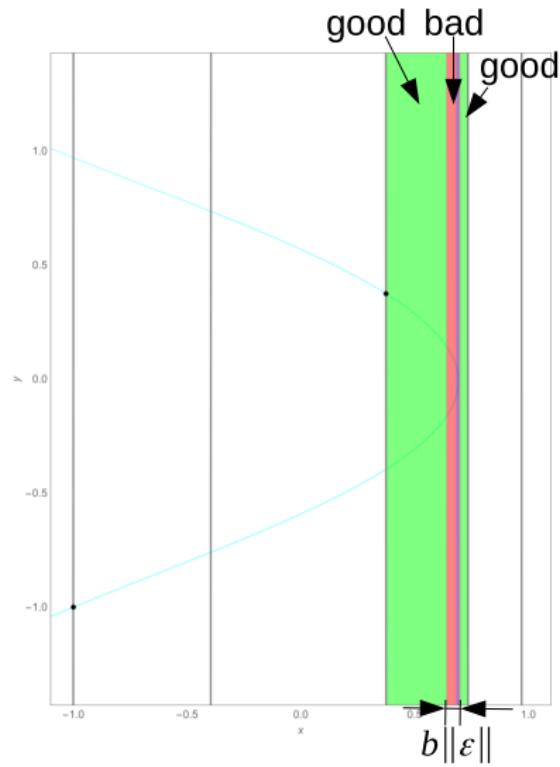
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Complement of the bad region in C_n



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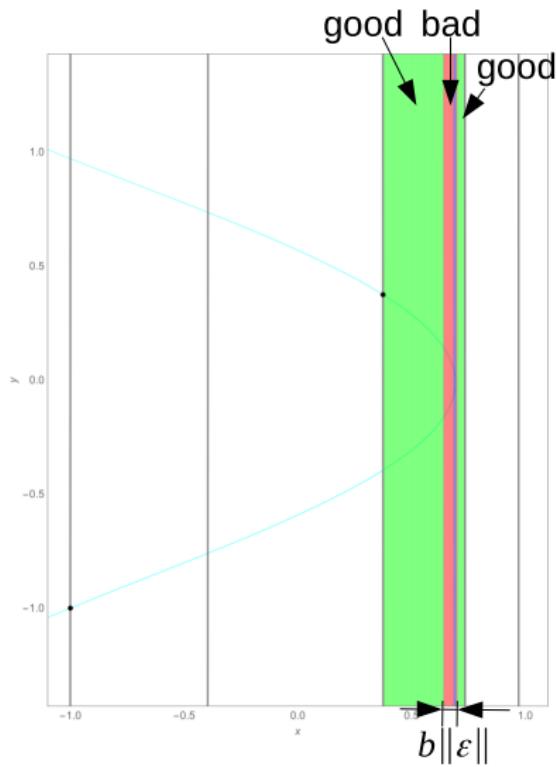
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- “Good and Bad regions” is a dimension-two feature

Degenerate Hénon-like maps does
NOT have bad region

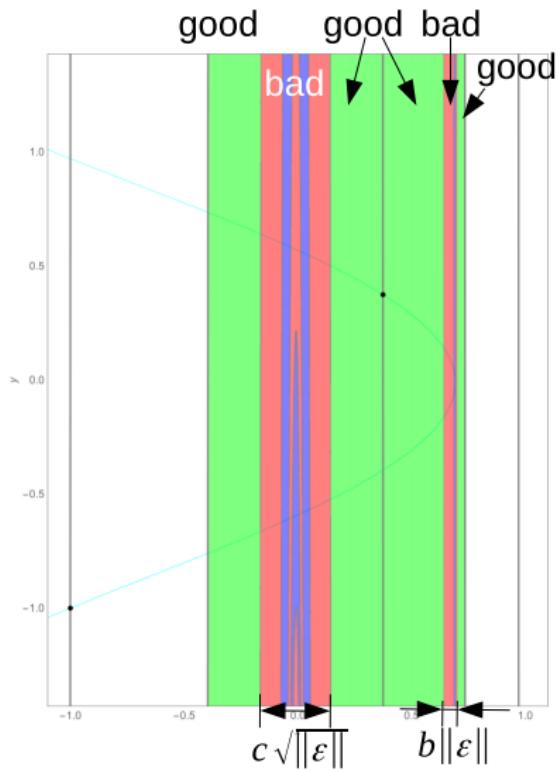


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Good and Bad regions

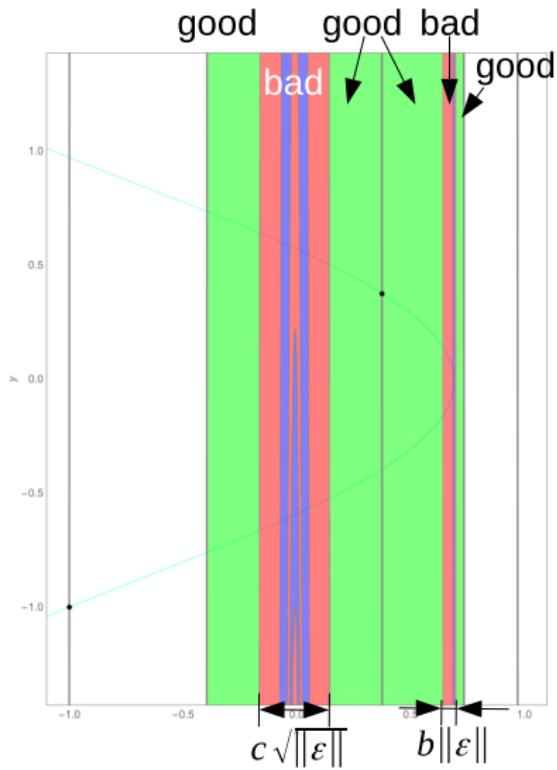
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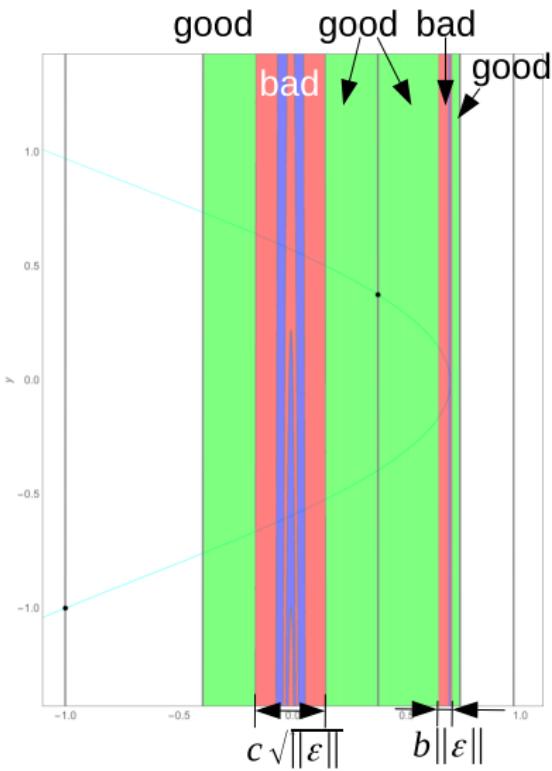
Preimage of the good region in C



Properties for the Good and Bad regions

- **Good region is GOOD (like unimodal)**
 - Dynamics: Horizontal size expands

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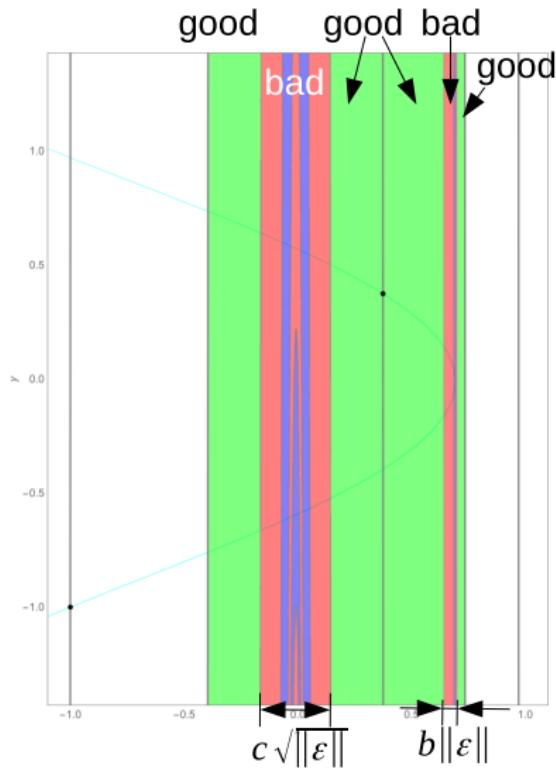
- **Bad region is BAD (unlike unimodal)**

- Dynamics: Horizontal size may NOT expand

$$I_{n+1} \not\geq EI_n$$

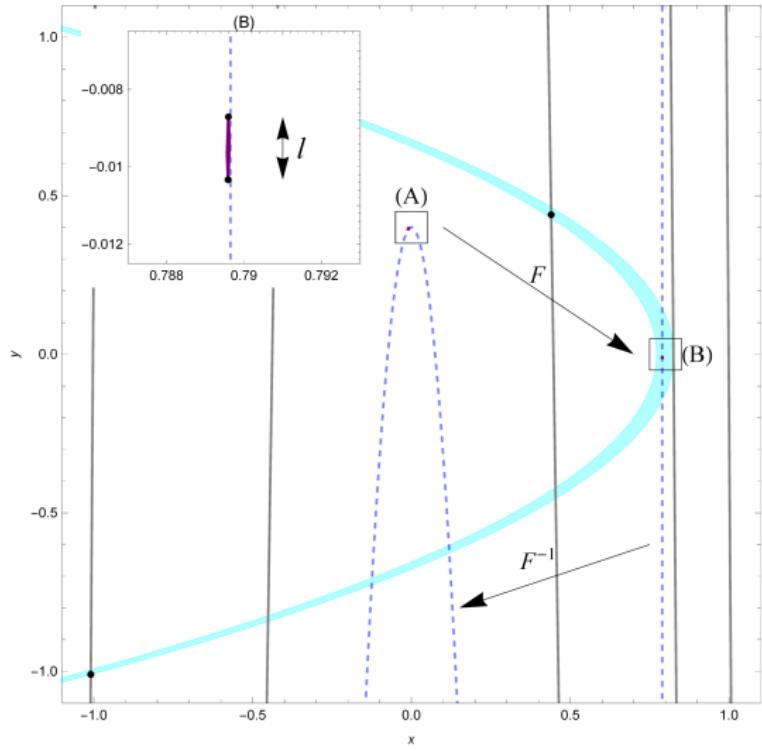
The contraction can be as strong as possible!

$$\frac{I_{n+1}}{I_n} \searrow 0$$



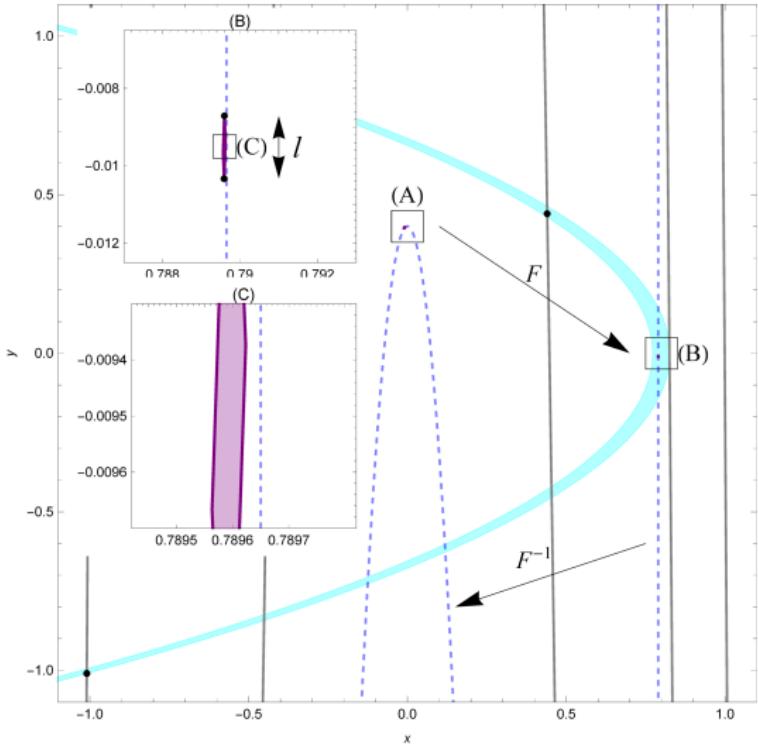
Bad News

- How **small** can the horizontal size be after the wandering domain enters the bad region?



Bad News

- How **small** can the horizontal size be after the wandering domain enters the bad region?
- area/cross-section determines the horizontal size

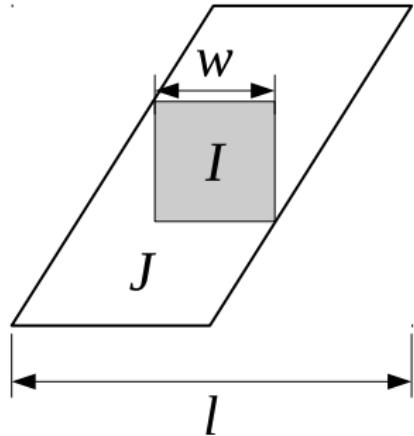


Thickness

square a closed set $I \subset \mathbb{R}^2$ with horizontal and vertical sides of the same length

thickness $w(J)$ the side of the “largest” square subset of J

- Thickness measures the size of horizontal cross-section

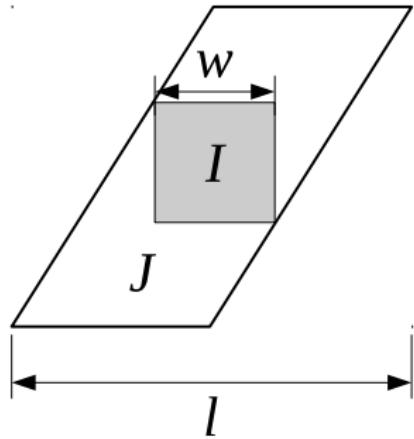


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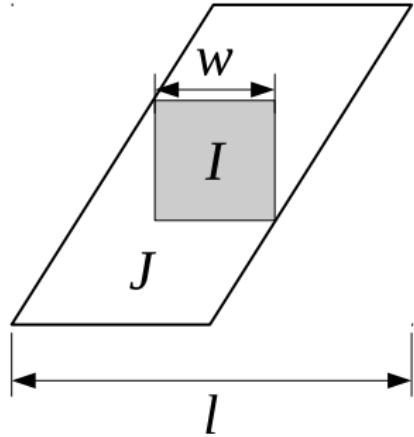


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- Thickness is also a dimension-two feature (characterized by the area)

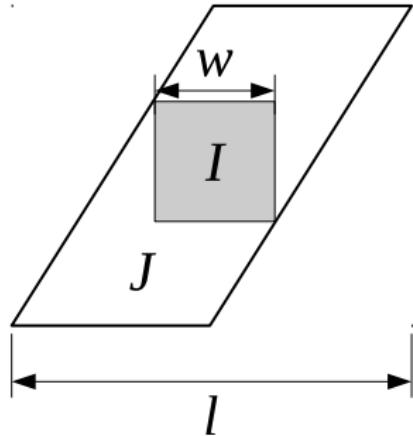


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- Contraction \cong Jacobian of $F \cong \|\epsilon\|$



Relation Between Horizontal Size with Thickness

Square

Good

$$l_0^{(1)}$$

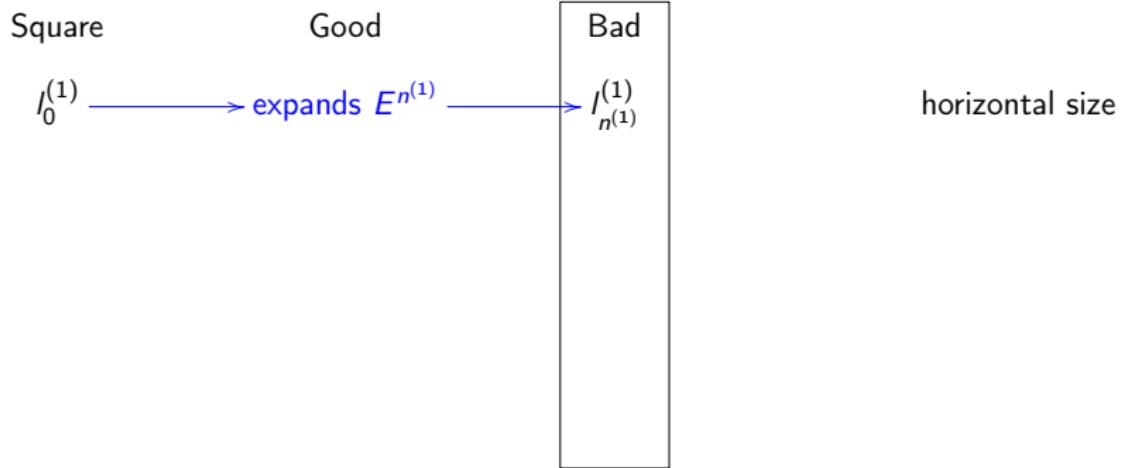
Bad

horizontal size



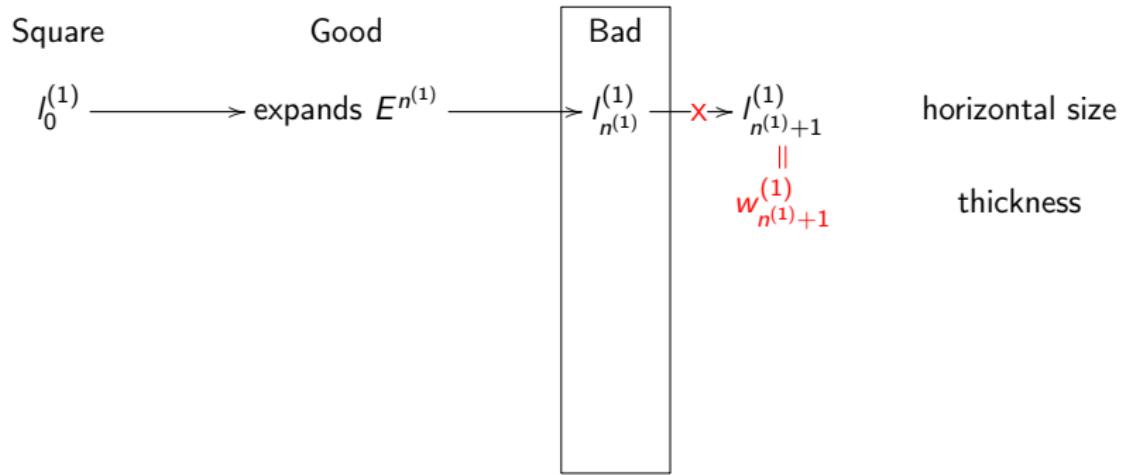
- Start from a Square

Relation Between Horizontal Size with Thickness



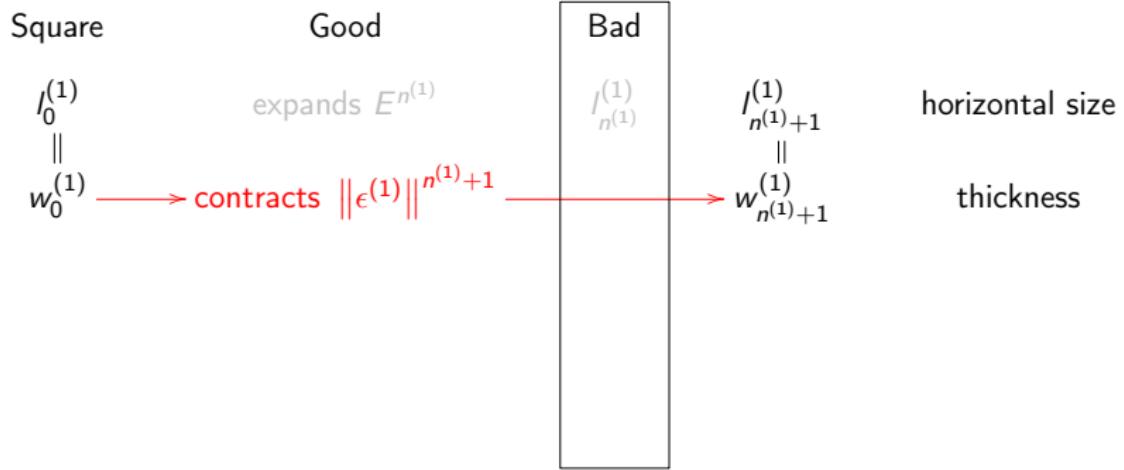
- Start from a Square
- Horizontal size **Expands** uniformly

Relation Between Horizontal Size with Thickness



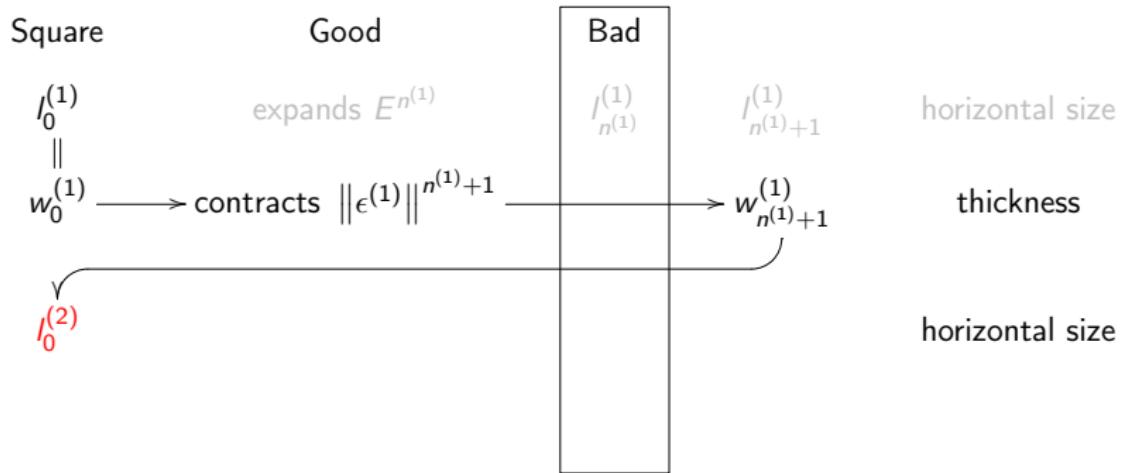
- Horizontal size **false** to expands
- **Thickness** determines the Horizontal size

Relation Between Horizontal Size with Thickness



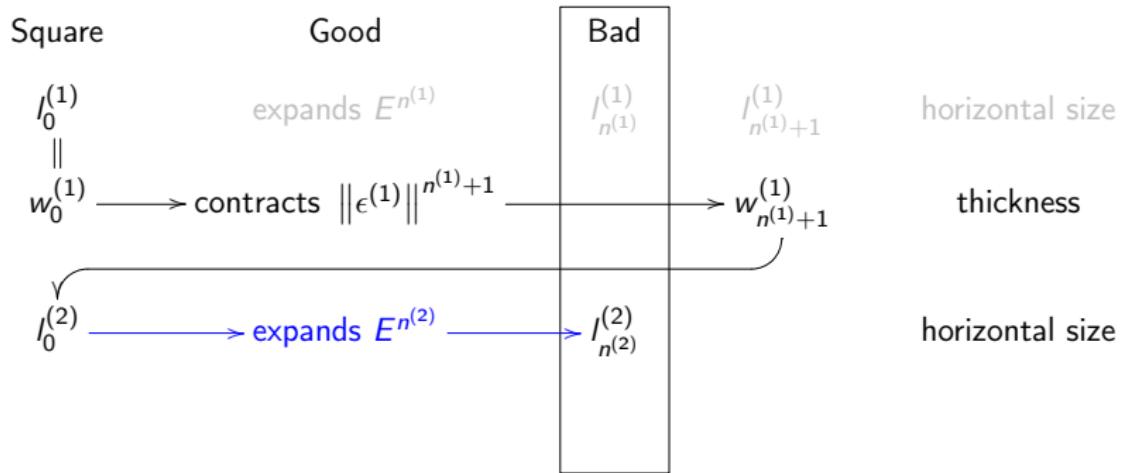
- Thickness **Contracts** by $\|\epsilon^{(1)}\|$

Relation Between Horizontal Size with Thickness



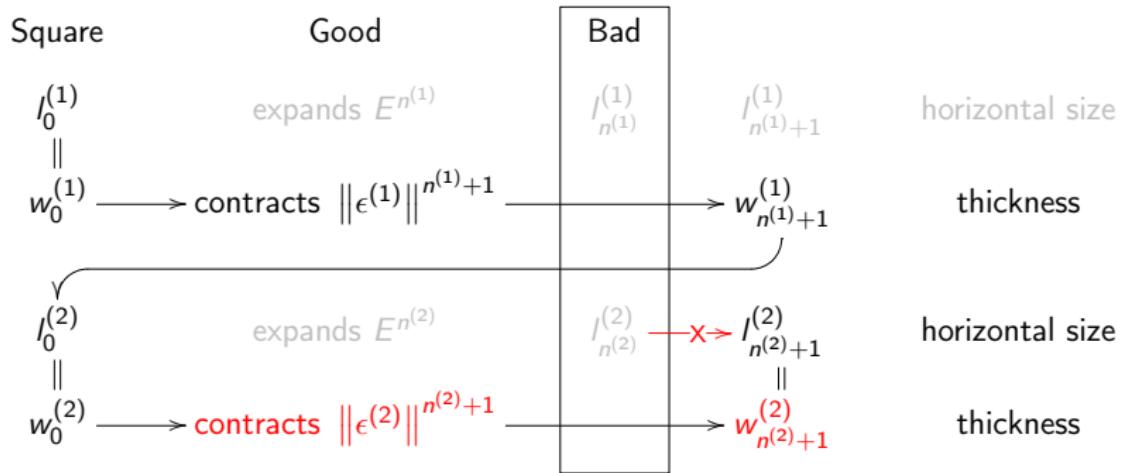
- Thickness **Contracts** by $\|\epsilon^{(1)}\|$
- Select a Largest Square and start a new row

Relation Between Horizontal Size with Thickness



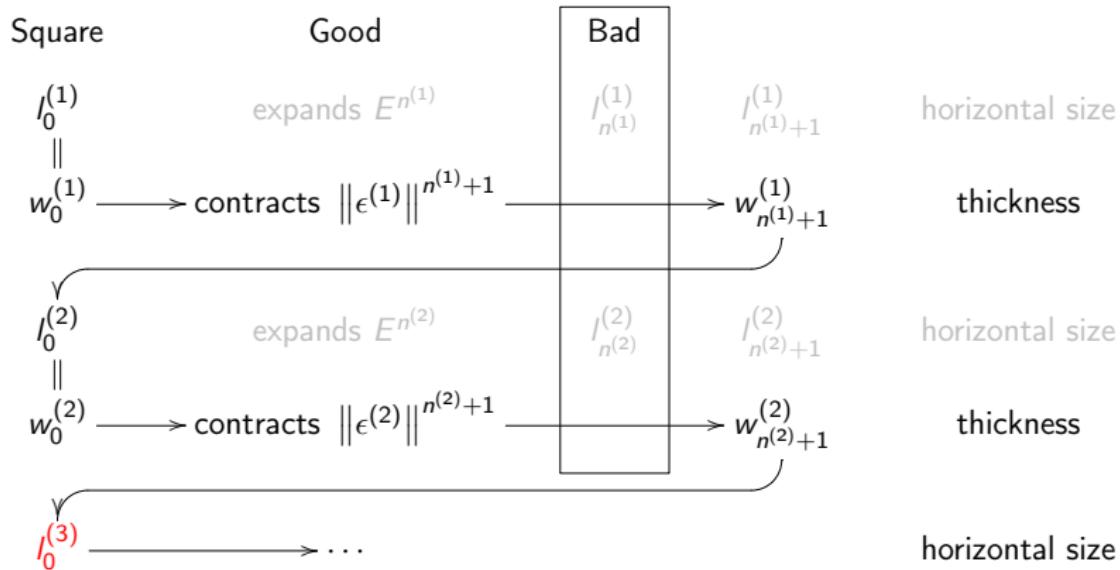
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Relation Between Horizontal Size with Thickness



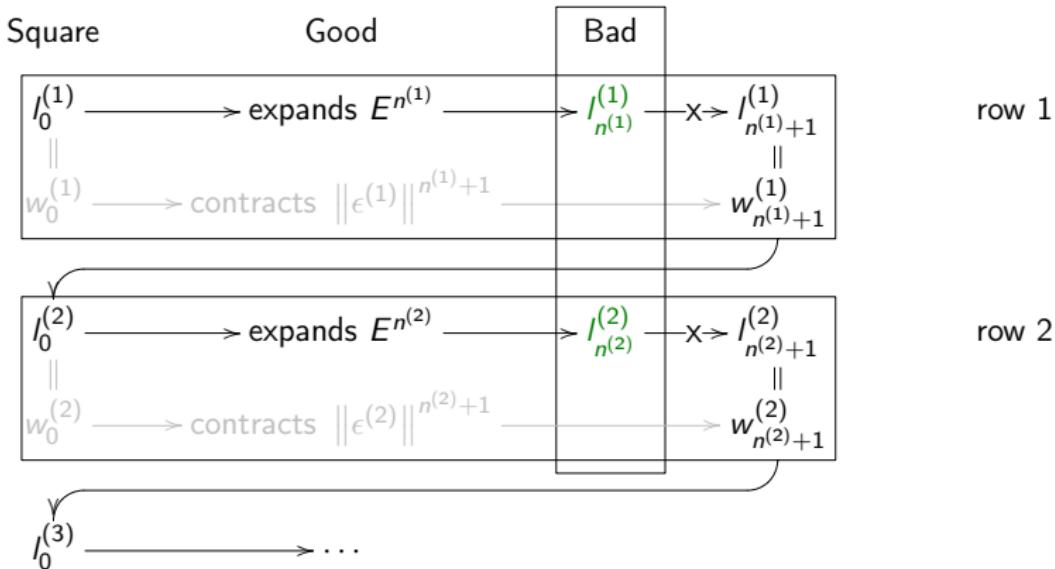
- Horizontal size is determined by the **Thickness**

Relation Between Horizontal Size with Thickness



- Continue to add new rows until the sequence of wandering domain stops to enter the bad region

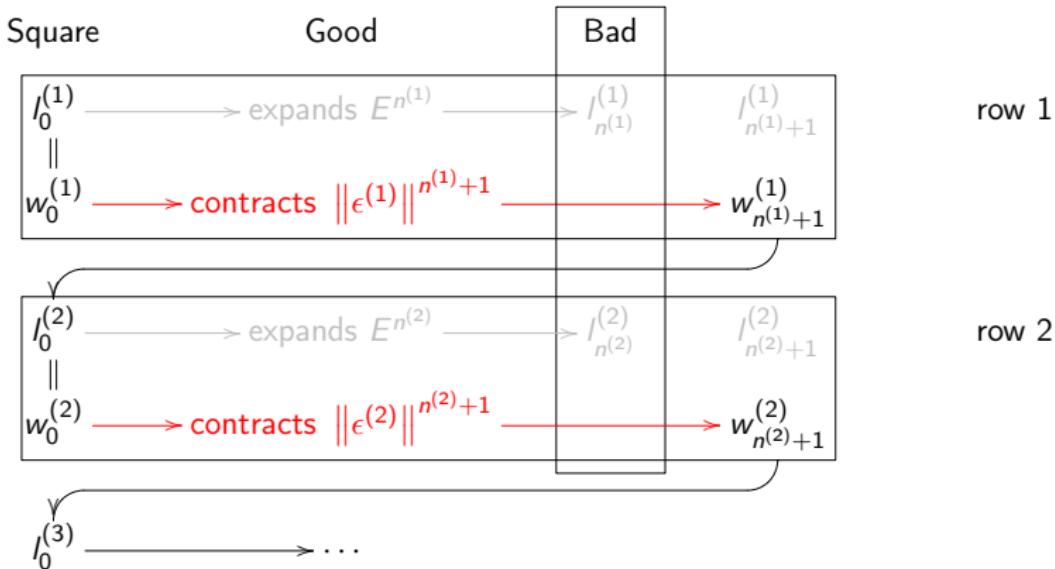
Relation Between Horizontal Size with Thickness



Conclusion:

- Row = Enter the Bad Region once

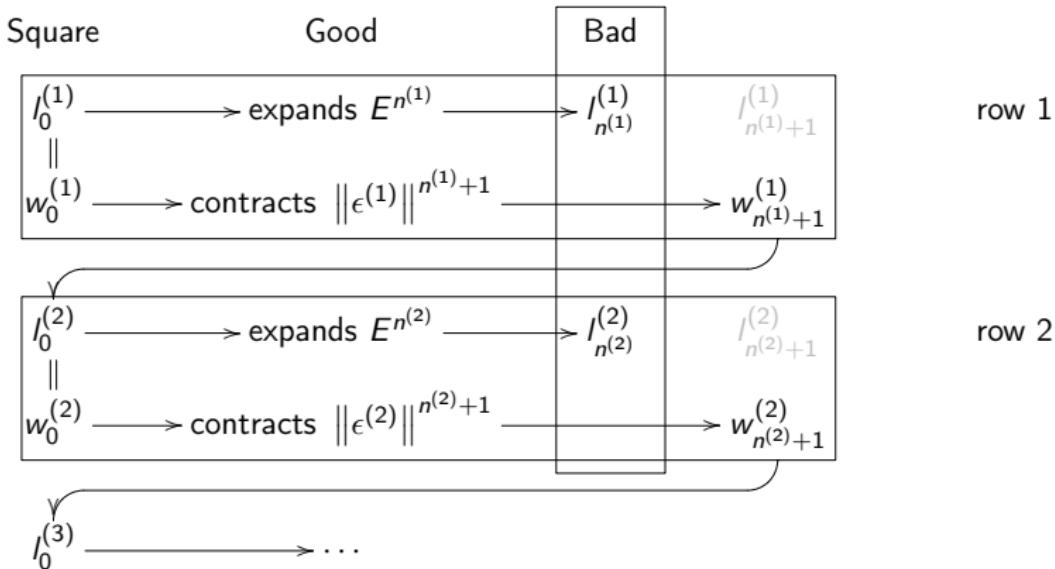
Relation Between Horizontal Size with Thickness



Conclusion:

- Row = Enter the Bad Region **once**
- Enter the Bad Region = strong **Contraction** on Horizontal Size

Relation Between Horizontal Size with Thickness

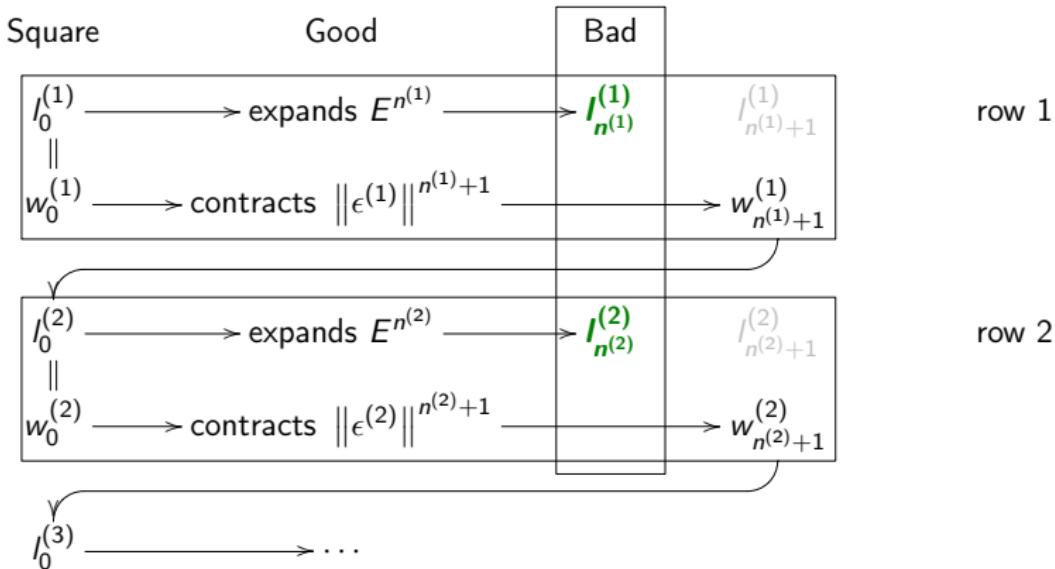


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Key: can only enter the bad region at most Finitely many times!

Relation Between Horizontal Size with Thickness



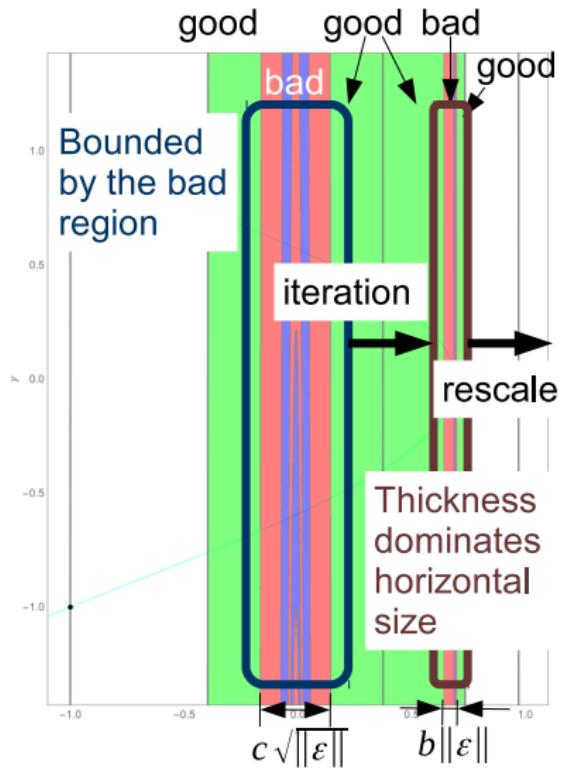
Conclusion:

- Row = Enter the Bad Region **once = Bounded** by the Bad Region
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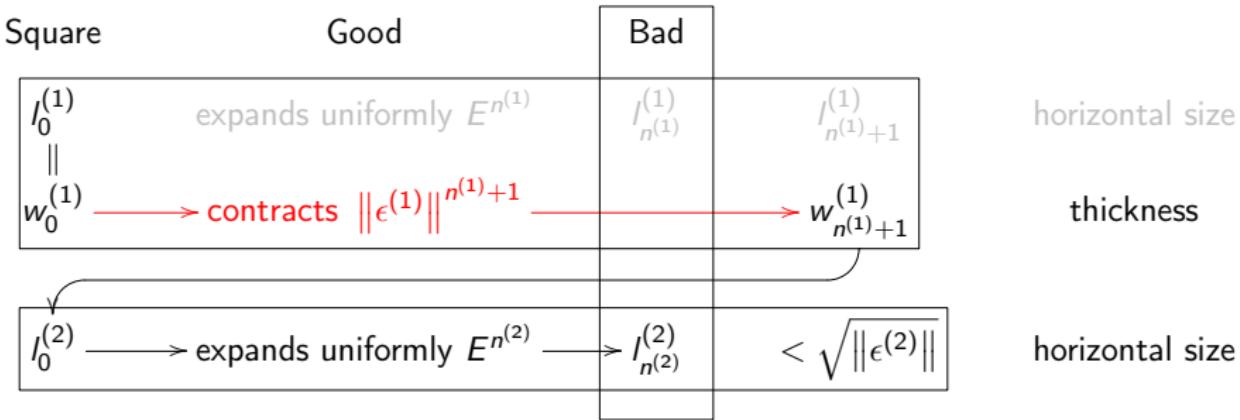
Key: can only enter the bad region at most Finitely many times!

Good and Bad regions

- **Bad region in B_n :**
Preimage of the bad region in C
Width: $\sim \sqrt{\|\epsilon_n\|}$
- Horizontal size is bounded by $\sqrt{\|\epsilon_n\|}$

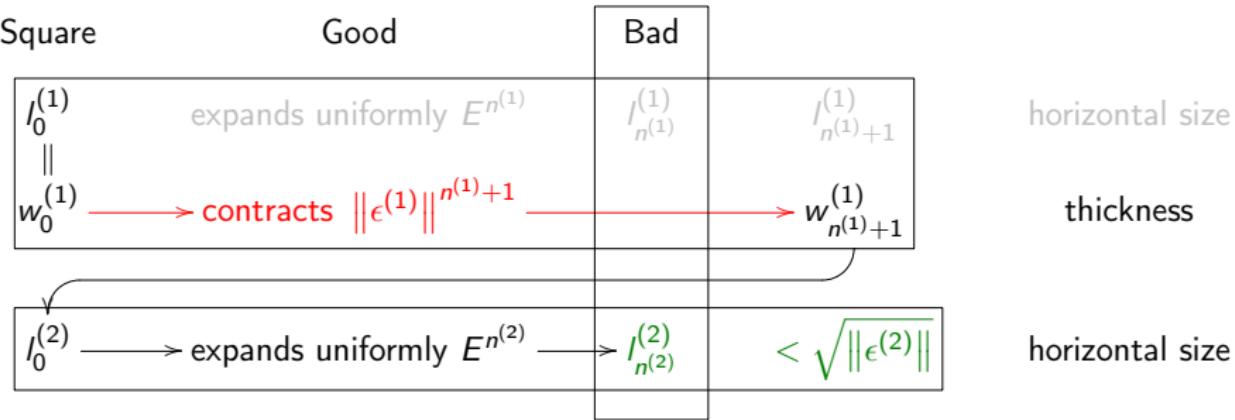


Two Row Lemma



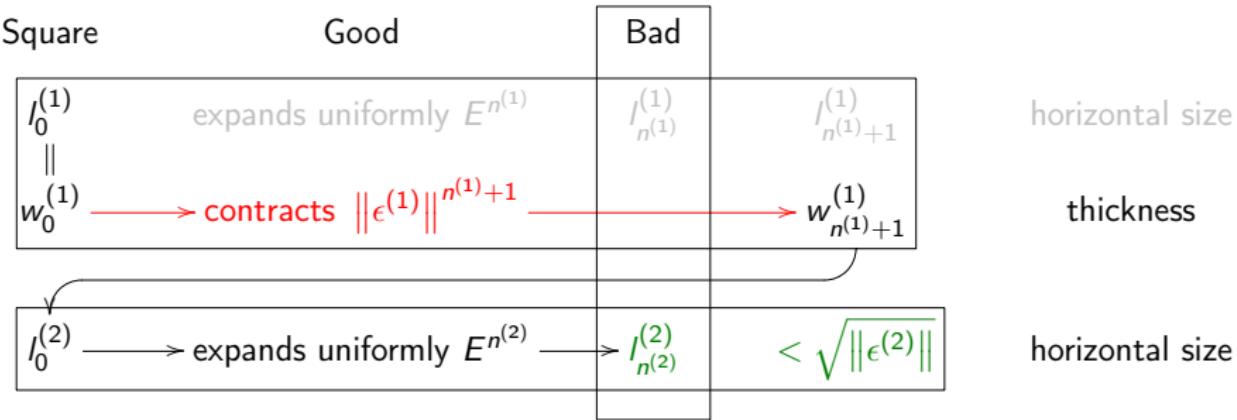
- Contraction of thickness: $\|\epsilon^{(1)}\|$

Two Row Lemma



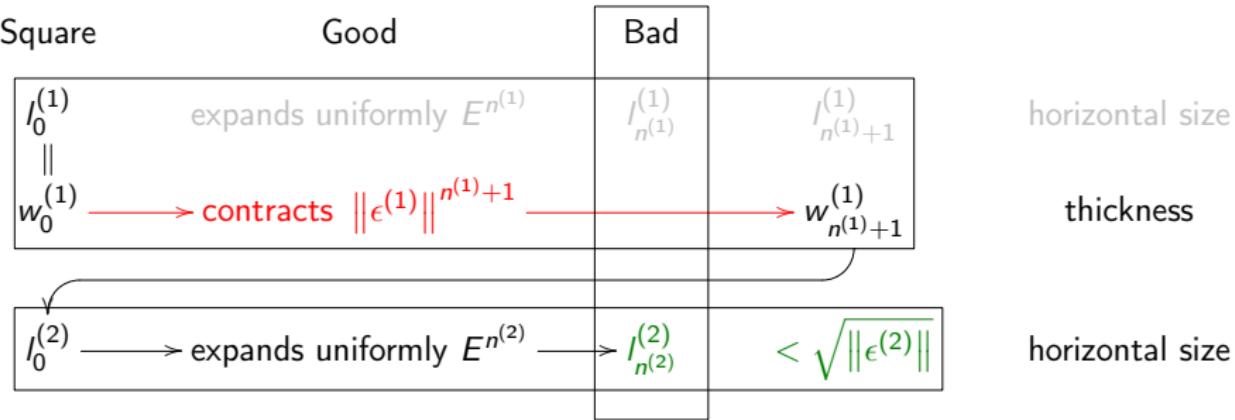
- Contraction of thickness: $\|\epsilon^{(1)}\|$
- Size of Bad Region: $\sqrt{\|\epsilon^{(2)}\|} = \|\epsilon^{(1)}\|^{2\# \text{ of rescaling}}$

Two Row Lemma



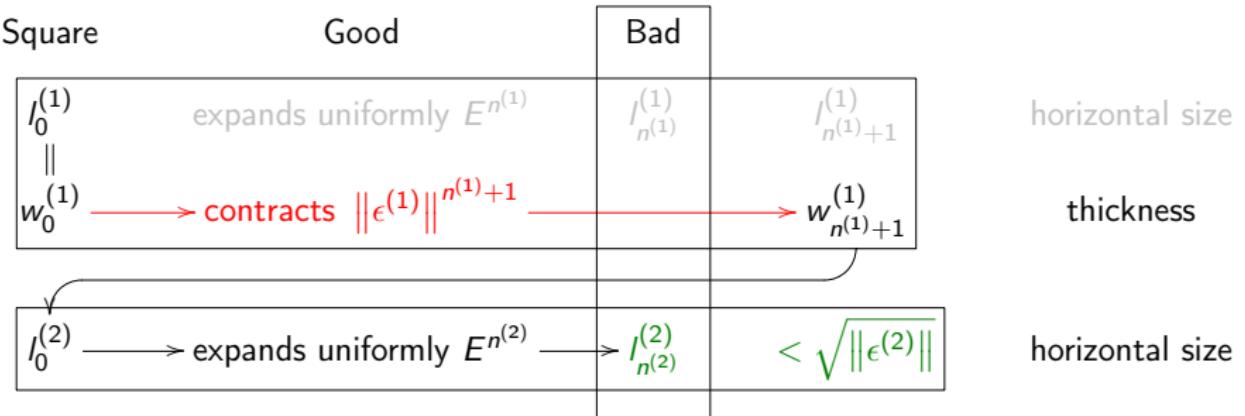
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Two Row Lemma



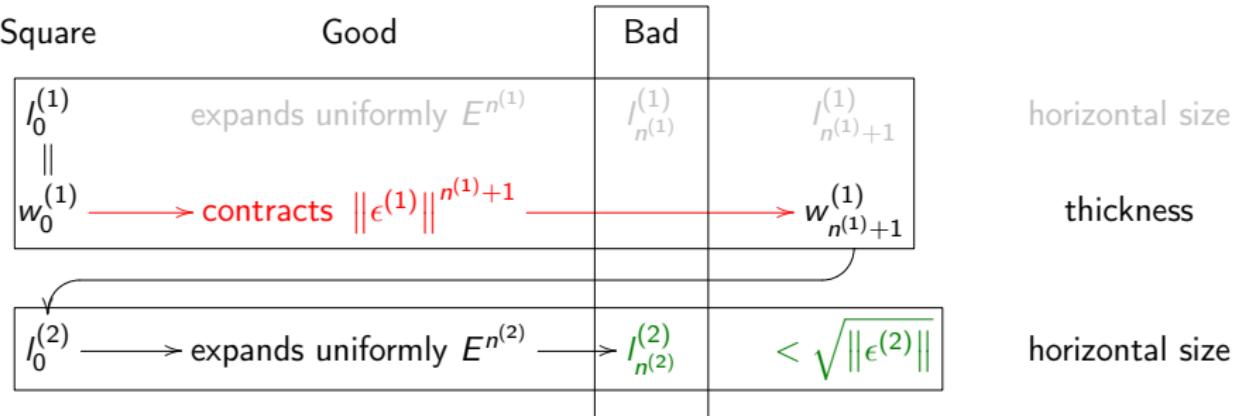
- Contraction of thickness: $\|\epsilon^{(1)}\|$
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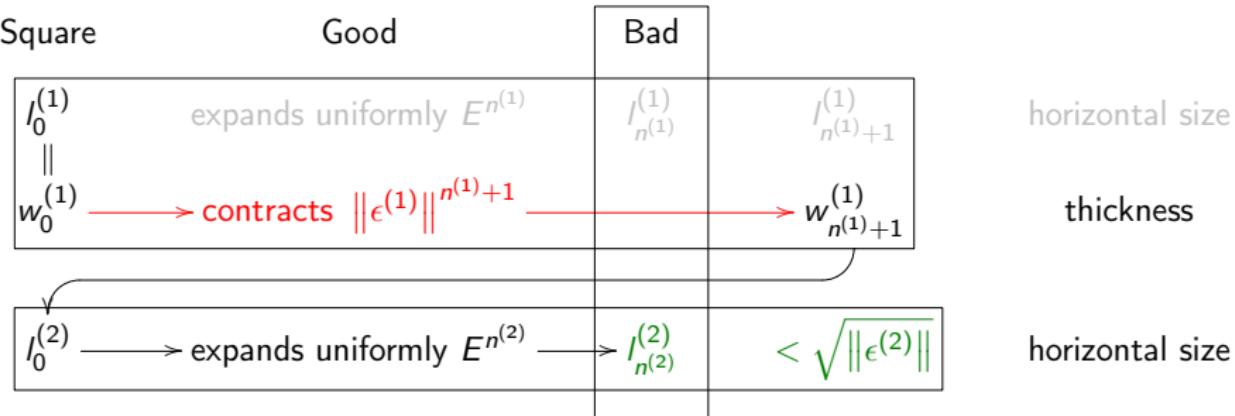
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- Roughly speaking: **Bad Region** contracts **Faster** than the **Thickness**

Two Row Lemma



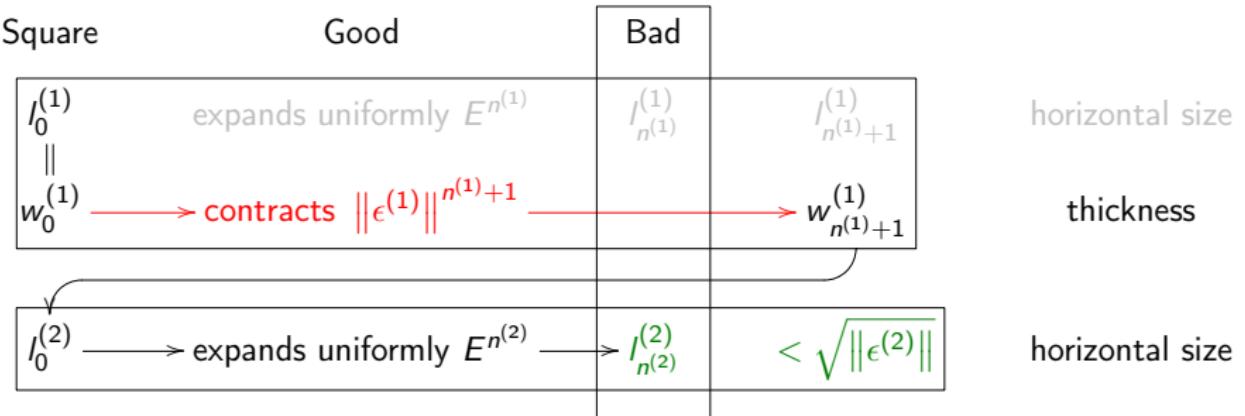
- Contraction of thickness: $\|\epsilon^{(1)}\|$
- Contraction of the bad region: $\frac{\sqrt{\|\epsilon^{(2)}\|}}{\sqrt{\|\epsilon^{(1)}\|}} = \|\epsilon^{(1)}\| \|\epsilon^{(1)}\|^{-1 - \frac{1}{2}}$
- Roughly speaking: **Bad Region** contracts **Faster** than the **Thickness**
- Need to introduce $n^{(1)}$: time span in the good region

Two Row Lemma



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- $n^{(1)} \geq \infty$ if enters the bad region ∞ times (contradiction)

Two Row Lemma



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- Roughly speaking: **Bad Region** contracts **Faster** than the **Thickness**
- $n^{(1)} \geq \infty$ if enters the bad region ∞ times (contradiction)
- This shows: enter the bad region at most **Finitely** many times!

Nonexistence of wandering domain

Summary

- Prove by contradiction: assume a wandering domain J

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- Study the change of horizontal size I_n
 - Good region: I_n expands (∞ times)
 - Bad region: I_n contracts (finite times)

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 - Good region: I_n expands (∞ times)
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Theorem (Main Theorem, arXiv:1705.05036)

A strongly dissipative infinite (period-doubling) renormalizable Hénon-like map does not have wandering domains