

## Homework 2

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### Problem 1

#### Algorithm

Let  $A$  be the integer representation of Alice's bitstring, let  $B$  be the integer representation of Bob's bitstring.

Let  $k = \sqrt{2n}$

1. Alice picks  $k \cdot \log n$  distinct primes  $p_i \in [2..2n \cdot \log n]$ ,  $i \in [1..k]$
2. Bob picks  $k$  primes  $q_i \in [2..2n \cdot \log n]$ ,  $i \in [1..k]$
3. Alice computes and send all pairs  $(p_i, A \bmod p_i)$  and Bob all pairs  $(q_i, B \bmod q_i)$  for  $i \in [1..k]$  to Charlie.
4. Charlie looks for one pair where  $p_i = q_j$  for some  $i, j \in [1..k]$ . If such a pair exists, Charlie returns whether the second element of the respective pair is equal. Otherwise Charlie returns  $A \neq B$ .

#### Communication

Since Alice sends  $k \log n \in \mathcal{O}(\sqrt{n} \log n)$  and each pair has  $\mathcal{O}(\log n)$  size (see lecture), the total number of bits for the communication is in  $\mathcal{O}(\sqrt{n} \cdot \log^2 n)$ .

#### Correctness

Let  $A$  and  $B$  be arbitrary.

- Case  $A = B$

If Alice and Bob have a prime in common, the algorithm correctly outputs  $A = B$  since  $A \bmod p = B \bmod p$ .

Otherwise, the algorithm makes a mistake. The probability for having no prime in common can be bound by using the birthday paradox.

$$\Pr[\text{No primes in common}] = \Pr[\text{Bob draws non of Alice's primes}] \leq$$

$$\leq \left(1 - \frac{k \cdot \log n}{2n \cdot \log n}\right)^k = \left(1 - \frac{1}{\sqrt{2n}}\right)^{\sqrt{2n}} \leq \frac{1}{e}$$

By repeating  $\ln n$  times, the error probability can be reduced to  $1 - n$ . The communication increases to  $\mathcal{O}(\sqrt{n} \cdot \log^3 n)$ .

The success probability is therefore  $1 - \frac{1}{n}$

- Case  $A \neq B$

The algorithm only fails, if there are some  $i, j$  such that  $p_i = q_j$  and  $A \bmod p = B \bmod p$ .

The probability of having such  $i, j$  is less than 1.

By revising the algorithm from the lecture, the probability of  $A \bmod p = B \bmod p$  given  $A \neq B$  can be bounded by  $\frac{1}{2}$ .

$$\Pr[A \bmod p = B \bmod p \mid A \neq B] = \frac{\text{number of distinct prime divisors of } |A-B|}{\text{number of distinct primes in } [2..n \log n]}$$

$$\leq \frac{n}{\frac{2n \log n}{\log(2n \log n)}} \leq \frac{1}{2}$$

Thus, the algorithm fails with probability less equal than  $1 \cdot \frac{1}{2}$ . By repeating  $\log_2 n$  times, the error probability can be reduced to  $1 - n$ . The communication increases to  $\mathcal{O}(\sqrt{n} \cdot \log^3 n)$ .

The success probability is therefore  $1 - \frac{1}{n}$

Thus, the algorithm is outputs the correct answer with probability at least  $1 - \frac{1}{\text{poly}(n)}$ .

## Problem 2

First consider the case, where  $S$  and  $T$  only differ at one element, i.e.  $|T| = |S| - 1$ .

Consider the following algorithm:

1. Alice calculates the sum of all her elements  $S_A$  and sends it to Bob
2. Bob calculates the sum over all his elements  $S_B$
3. Bob outputs  $S_A - S_B$

$S_A$  is at most  $\frac{n \cdot (n+1)}{2} \leq n^2$  and can therefore be decoded with  $2 \cdot \log n$  bits.

Since there is only one element  $x \in S$  that is not in  $T$ , the difference of the sums must equal  $x$ . Therefore the algorithm outputs an element in  $S \setminus T$ .

Now, let  $T$  and  $S$  be arbitrary subsets of  $[1..n]$  with  $T \subset S$ .

Let  $d = |S| - |T|$ , let  $n^*$  be the smallest power of 2 such that  $n^* \geq n$ .

Since Alice does not know  $d$ , she executes the following algorithm:

For  $p \in \{1, \frac{1}{2}, \frac{1}{4}, \dots, \frac{1}{n^*}\}$ :

1. Bob and Alice choose  $V \subset [1..n]$ ,  $\forall i \in [1..n] : i \in V$  with probability  $p$ , from their shared source of randomness
2. Alice computes  $S_A^* = \text{sum}(S \cap V)$
3. Alice computes and sends  $(S_A^*, |S \cap V|)$
4. Bob: computes  $S_B^* = \text{sum}(S \cap T)$
5. If  $|S \cap V| = |T \cap V| + 1$ : Bob returns  $S_A^* - S_B^*$

*Note:* Instead of sending individual messages, all messages can be combined to one. Bob does his calculations after receiving this one message.

For one iteration the communication is in  $\mathcal{O}(\log n)$  bits, since both  $S_A^*$  and  $|A \cap S|$  require less than  $2 \cdot \log n$  bits. In total, there are  $\log n^*$  repetitions, thus the total communication is in  $\mathcal{O}(\log^2 n)$ .

Since  $(T \cap V) \subset (S \cap V)$ , the results from the previous algorithm can be used. With this, a correct result is always returned if  $|S \cap V| = |T \cap V| + 1$ .

Thus we only need to show, that the probability of  $|S \cap V| = |T \cap V| + 1$  is high enough for at least one iteration.

If  $|S| - |T| = 1$ , the algorithm succeeds with probability 1 in the first iteration. Otherwise the following analysis can be made:

There is one iteration where  $p = \frac{1}{2^k}$  for each  $k \in [2.. \log n^*]$ . Let  $k'$  be such that  $2^{k'-1} < d \leq 2^{k'}$ . Let

$c = 2^{k'}$ . Then  $\frac{c}{2} < d \leq c \Leftrightarrow \frac{c}{2} + 1 \leq d \leq c$ .

$\Pr[\text{Picking exactly 1 of the } d \text{ elements when } p = 1/c]$

$\geq \Pr[\text{Picking exactly 1 of } c/2 + 1 \text{ elements when } p = 1/c] =$  (by binomial distribution)

$$= \binom{c/2+1}{1} \cdot \frac{1}{c} \cdot \left(1 - \frac{1}{c}\right)^{c/2} = (c/2 + 1) \cdot \frac{1}{c} \cdot \sqrt{\left(1 - \frac{1}{c}\right)^c} \geq \frac{1}{2} \cdot \sqrt{\left(1 - \frac{1}{c}\right)^c} \geq \text{(by using calculus and } c \geq 2)$$

$$\geq \frac{1}{2} \sqrt{\frac{1}{4}} = \frac{1}{4}$$

Therefore, the probability of failure is at most  $1 - \frac{1}{4} = \frac{3}{4}$ .

Instead of executing the algorithm once, we execute it  $9 \cdot \log(1/\delta)$  times. The total communication is now in  $\mathcal{O}(\log^2 n \log(1/\delta))$ .

$$\Pr[\text{failure}] \leq \left(\frac{3}{4}\right)^{9 \cdot \log(1/\delta)} = e^{9 \cdot \log(1/\delta) \cdot \log(3/4)} \leq e^{-\log(1/\delta)} = e^{\log \delta} = \delta$$

Thus, the success probability is at least  $1 - \delta$ .

### Problem 3

First consider the case, where all colors are available for a given node  $v$ . Since  $\Delta$  is the maximum degree in  $G$ ,  $v$  has at most  $\Delta$  neighbors. In the worst case, those  $\Delta$  neighbors all need to be colored with different colors. Even so, there are still  $2\Delta - \Delta = \Delta$  colors remaining to color  $v$ . Thus, there are at least  $\Delta$  good colors for  $v$ .

For every node  $2\log n$  colors are picked uniformly at random. The following steps calculate a lower bound for the probability of picking at least one good color for every node.

Let  $v \in V$  be arbitrary.

$$\Pr[\text{Picking a good color for } v \text{ with one trial}] \geq \frac{\Delta}{2\Delta} = \frac{1}{2}$$

$$\Rightarrow \Pr[\text{Picking a bad color for } v \text{ with one trial}] \leq \frac{1}{2}$$

$$\Rightarrow \Pr[\text{Picking only bad colors for } v \text{ (with } 2\log n \text{ trials)}] \leq \left(\frac{1}{2}\right)^{2\log n} = 2^{-2\log n} = n^{-2} = \frac{1}{n^2}$$

$$\Rightarrow \Pr[\text{Some node picks only bad colors}] \leq n \cdot \frac{1}{n^2} = \frac{1}{n}$$

$$\Rightarrow \Pr[\text{All nodes pick at least one good color}] = 1 - \Pr[\text{Some node picks only bad colors}] \geq 1 - \frac{1}{n}$$

Therefore, the probability that a  $(2\Delta)$ -coloring of the graph, such that every vertex is assigned only one of the colors it has sampled, exists with probability at least  $1 - 1/n$ .

## Problem 4

### Simple randomized algorithm

#### Algorithm

1. Assign every  $v \in V$  with  $p = \frac{1}{2}$  to  $U$
2. Return  $U$

#### Analysis

By definition, there is some maximum directed cut in  $G$  (not necessarily unique). Let  $E_{max}$  be the set of all edges in that cut.

The probability of any edge  $(i, j) \in E_{max}$  being in the cut that the algorithm generates, can be calculated as following:

$$\Pr[(i, j) \text{ part of cut}] = \Pr[i \in U] \cdot \Pr[j \in W] = \Pr[i \in U] \cdot \Pr[j \notin U] = \frac{1}{2} \cdot \frac{1}{2} = \frac{1}{4}$$

Thus, this algorithm yields a 4-approximation.

### Integer linear program

$z_{ij}$  is 1 if the edge  $(i, j) \in E$  is part of the cut, otherwise 0.

$x_i$  is 1 if  $i \in U$ , otherwise 0.

Maximizing  $\sum_{(i,j) \in E} z_{ij}$  will therefore maximize the number of edges going from  $U$  to  $W$ .

Every  $i \in V$  is either part of  $U$  or not, there is no in between. This is enforced by  $\forall i \in V, x_i \in \{0, 1\}$ .

$z_{ij}$  can be 1 only if  $i \in U$  and  $j \in W$ , otherwise it needs to be 0. This is strictly enforced by the three constraints  $\forall (i, j) \in E, z_{ij} \leq x_i$ ,  $\forall (i, j) \in E, z_{ij} \leq 1 - x_j$  and  $\forall (i, j) \in E, 0 \leq z_{ij} \leq x_i$  combined with the fact, that  $x_i \in \{0, 1\}$

Therefore the ILP correctly models the maximum directed cut problem

### LP relaxation

The constraint  $\forall i \in V, x_i \in \{0, 1\}$  is changed to  $\forall i \in V, x_i \in [0, 1]$ .

*Claim.* The integrality gap for the complete graph converges to 2, as  $|V| \rightarrow \infty$ .

*Proof.* For the complete graph, assigning  $x_i = 0.5, i \in V$  and assigning  $z_{i,j} = 0.5, (i, j) \in E$  for the LP relaxed problem yields  $\frac{|E|}{2}$ .

However, the best ILP solution is assigning half of the nodes to  $U$ , which converges to  $\frac{|E|}{4}$  for the complete graph, as  $|V| \rightarrow \infty$ .

Thus the integrality gap equals 2.

## Rounding scheme

The proposed rounding scheme returns a valid directed cut by construction, since every vertex is either assigned to  $U$  or not  $U$  (i.e.  $W$ ).

Let  $(i, j) \in E$  be arbitrary.

$$\Pr[(i, j) \text{ in cut}] = \Pr[i \in U, j \notin U]$$

$$= \left(\frac{1}{4} + \frac{x_i}{2}\right) \cdot \left[1 - \left(\frac{1}{4} + \frac{x_j}{2}\right)\right] = \left(\frac{1}{4} + \frac{x_i}{2}\right) \cdot \left(\frac{3}{4} - \frac{x_j}{2}\right) = \left(\frac{1}{4} + \frac{x_i}{2}\right) \cdot \left(\frac{1}{4} + \frac{1-x_j}{2}\right)$$

$$\geq \left(\frac{1}{4} + \frac{z_{ij}}{2}\right) \cdot \left(\frac{1}{4} + \frac{z_{ij}}{2}\right) = \frac{1}{16} + \frac{z_{ij}}{4} + \frac{z_{ij}^2}{4} = \frac{1}{16} - \frac{z_{ij}}{4} + \frac{z_{ij}^2}{4} + \frac{z_{ij}}{2} = \left(\frac{1}{4} - \frac{z_{ij}}{2}\right)^2 + \frac{z_{ij}}{2}$$

$$\geq \frac{z_{ij}}{2}$$

With this probability the expected value of number of edges going from  $U$  to  $W$  can be calculated.

$$\mathbb{E}[\text{Total number of edges going from } U \text{ to } W] = \sum_{(i,j) \in E} 1 \cdot \Pr[(i, j) \text{ in cut}]$$

$$\geq \sum_{(i,j) \in E} \frac{z_{ij}}{2} = \frac{1}{2} \cdot \underbrace{\sum_{(i,j) \in E} z_{ij}}_{OPT_{LP}} \geq \frac{1}{2} \cdot OPT$$

Thus, the proposed scheme yields a 2-approximation. Therefore, the integrality gap is at most 2.

**Problem 5**

We use the same LP relaxation as described in the lecture.

Let  $j \in [1..n]$  be an arbitrary element.

From the lecture, we know:

$$\Pr[j \text{ is not covered}] \leq \frac{1}{e}$$

Instead of repeating  $\log n$  times to get a valid solution, we now use a different approach:

Repeat  $\log \Delta$  times to get a better probability:

$$\Pr[j \text{ is not covered after } \Delta \text{ tries}] \leq \left(\frac{1}{e}\right)^{\log \Delta} = \frac{1}{\Delta}$$

After that, for every uncovered element, pick the set with the smallest weight (greedy).

Suppose  $OPT = \{S_1, \dots, S_k\}$  is an optimal solution. Every  $S_i$  of the solution covers at most  $\Delta$  elements. Thus, the cost of picking sets by the greedy step is at most  $\Delta$  times worse than the optimal solution.

Therefore, in total the expected cost increases by  $\Delta \cdot \frac{1}{\Delta} = 1$  when doing the greedy step. We get a  $(\log \Delta)$ -approximation.