
CPENALTY3

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| English Name | EPA Handler ID (Primary Key) |
| Description | Unique RCRA identification number assigned by the implementing State or Region to each RCRA site (e.g., generators; transporters; and treatment, storage, disposal facilities). |
| Data Type and Length | VARCHAR2 (12) |
| Allowed Values | Valid ID as outlined below: The ID can be a minimum of 4 characters and a maximum of 12 characters. The first two characters must be a valid state postal code which corresponds to the state in which the handler is located. Spaces are not allowed. |
| Default Value | |
| Notes | Handlers associated with Navajo Nation should be assigned Handler IDs beginning with 'NN' regardless of where the handler is physically located. |
| English Name | Enforcement Activity Location (Primary Key) |
| Description | Indicates the location of the agency regulating the activity. |
| Data Type and Length | CHAR (2) |
| Allowed Values | State postal code |
| Default Value | |
| Notes | For a list of valid state postal codes, refer to the Column Information Report for LU_STATE. |
| English Name | Enforcement Identifier (Primary Key) |
| Description | Name or number assigned by the implementing agency to identify an enforcement. |
| Data Type and Length | VARCHAR2 (3) |
| Allowed Values | Any non-blank value |
| Default Value | 001 |
| Notes | |
| English Name | Date of Enforcement Action (Primary Key) |
| Description | The date the enforcement action was issued. For all formal actions involving written documents, the date should be the same as the date the document is signed. For an informal action, the date should reflect the date the handler received actual notification. |
| Data Type and Length | DATE |
| Allowed Values | For enforcement actions linked to a violation: Valid date >= Date Violation Was Determined and <= Today in MM/DD/YYYY format For enforcement actions not linked to a violation: Valid date >= January 1, 1980 and <= Today in MM/DD/YYYY format |
| Default Value | |

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| English Name | Enforcement Responsible Agency (Primary Key) |
| Description | Agency responsible for issuing the enforcement action. |
| Data Type and Length | CHAR (1) |
| Allowed Values | E EPA S State |

Default Value

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| English Name | Penalty Type Owner (Primary Key) |
| Description | Indicates the agency that defined the Penalty Type. |
| Data Type and Length | CHAR (2) |
| Allowed Values | HQ Nationally defined |
| Default Value | |

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| English Name | Penalty Type (Primary Key) |
| Description | Code that indicates the type of penalty associated with the penalty amount. |
| Data Type and Length | VARCHAR2 (3) |
| Allowed Values | Nationally-defined value in LU_PENALTY_TYPE |

Existing nationally-defined value:

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| FMP | Final Monetary Penalty |
| FSC | Final SEP Cost |
| PMP | Proposed Monetary Penalty |
| SCR | SEP Credit |
| USM | Up to the Statutory Maximum |
| ZAP | Zero Ability to Pay |

Default Value

Notes

Total Final Settlement is another type of penalty amount that is not included as a value under Penalty Type. The Total Final Settlement must be calculated by summing the amount of the Final Monetary Penalty (FMP) and the amount of the SEP Credit (SCR). For example, a settlement may require the site to send the responsible agency a check for \$80,000 and engage in one or more supplemental environmental projects for which the agency would allow \$20,000 in credit. The Total Final Settlement in this instance would be \$100,000 (\$80,000 from FMP + \$20,000 from SCR).

Enforcement Action / Penalty Type Cross-Reference

100 series (informal actions) / None

- These actions are informal enforcement actions. No penalties should be associated with this type of enforcement action series.

200 series (initial formal actions) and 300 series (final formal actions) / PMP, FMP, FSC, SCR, and ZAP

- These actions may involve penalties or no penalty at all. Since these series are used to track formal enforcement actions from initial filing through to issuance of a final order or agreement and payment of penalty, all penalty code descriptors can be used.

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400 series (civil judicial referrals) / PMP and ZAP

- These actions may or may not involve recommendations for proposed penalties. If a proposed penalty is being included in the referral, it may be captured by using the Proposed Monetary Penalty (PMP). No other code may be associated with 400 series actions.

500 series (initial civil judicial actions) and 600 series (final civil judicial actions) / PMP, FMP, FSC, SCR, and ZAP

- These are the actions taken as a result of referrals made in the 400 series. For the 500 and 600 series, all penalty type codes may be used, as appropriate.

700 series (criminal actions) / FMP and ZAP

- These actions involve enforcement actions to address criminal activity. In criminal actions, program offices will not be aware of any penalties until a fine has been handed down after conviction of the vio

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| English Name | Penalty Amount |
| Description | The dollar amount associated with the penalty type. |
| Data Type and Length | NUMBER (13.2) |
| Allowed Values | 0 - 9,999,999,999.99 |
| Default Value | 0 |
| Notes | The Penalty Amount can be zero only if the Penalty Type is 'ZAP', otherwise, the Penalty Amount must be greater than zero. |
| English Name | User ID of Last Change |
| Description | Records the user ID of the last person to change the data. |
| Data Type and Length | VARCHAR2 (6) |
| Allowed Values | Valid user ID |
| Default Value | Current user ID |
| English Name | Date of Last Change |
| Description | Records the date that the data was last changed. |
| Data Type and Length | DATE |
| Allowed Values | Valid date/time stamp |
| Default Value | Current date and time |

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| English Name | Notes |
| Description | Additional description or information pertaining to the penalty. |
| Data Type and Length | VARCHAR2 (2000) |
| Allowed Values | Any value blank Not provided |
| Default Value | blank |
| Notes | If the Penalty Type is 'ZAP', then an explanation as to why there was an inability to pay the penalty must be provided in the Notes field. The Notes field for Penalty Type 'ZAP' will be enforcement sensitive and will never be released to the public. |

*** End of Report ***