

## k-Nearest Neighbors

CSC 461: Machine Learning

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## Instance-based learning

- Class of learning methods
  - ✓ also called **lazy learning**
- No need to learn any **explicit hypothesis**
- **Training** is trivial (just store instances)
- **Predicting** new labels is where computation happens

what is the computational complexity of training?

## Nearest Neighbor Classification

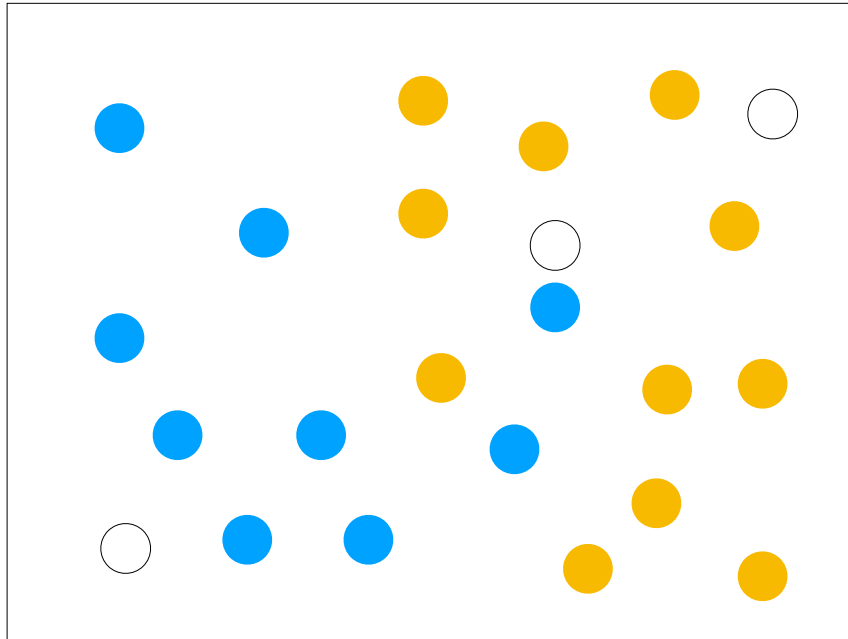
## Nearest neighbor classification

- Training examples are vectors with a class label

$$x_i \in \mathbb{R}^d \quad y_i \in \{1, \dots, C\}$$

- Learning
  - ✓ **store** all training examples
- Prediction
  - ✓ predict the label of the new example as the label of its **closest point** in the training set

what is the computational complexity of predicting a new label?



# k-Nearest Neighbors

## k-nearest neighbors

### ► Prediction for a test point $x$

- ✓ recover a subset  $S_x$  (**k nearest neighbors to  $x$** )

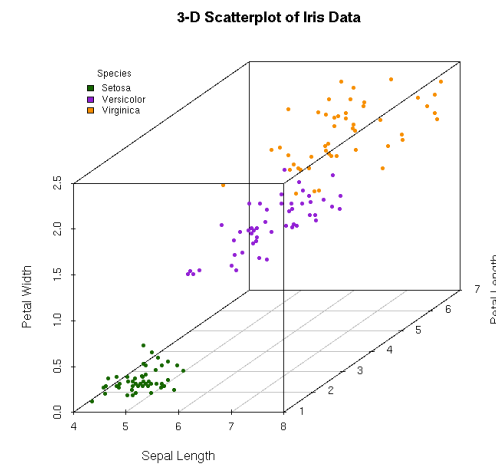
$$S_x \subseteq \mathcal{D} \text{ s.t. } |S_x| = k$$

$$\forall (\mathbf{x}', y') \in \mathcal{D} \setminus S_x$$

$$D(\mathbf{x}, \mathbf{x}') \geq \max_{(\mathbf{x}'', y'') \in S_x} D(\mathbf{x}, \mathbf{x}'')$$

- ✓ take a **majority vote (mode)** (classification)
- ✓ calculate the **average** (regression)

## Classification example



<https://spin.atomicobject.com/2013/05/06/k-nearest-neighbor-racket/>

## Distance

$$D(a, b) = \left( \sum_{i=1}^d |a_i - b_i|^p \right)^{1/p}$$

minkowski

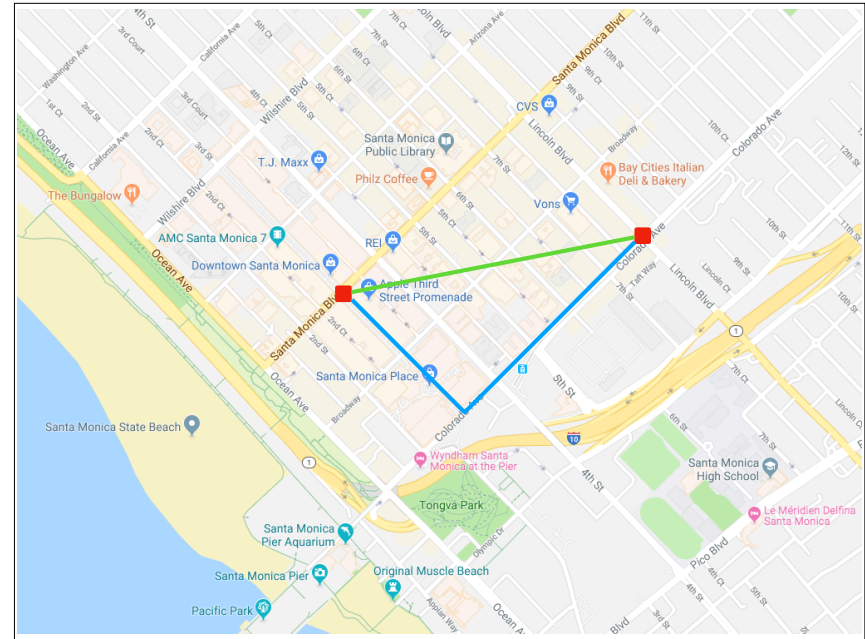
$a \in \mathbb{R}^d, b \in \mathbb{R}^d$

$p = 1?$  manhattan

$p = 2?$  euclidean

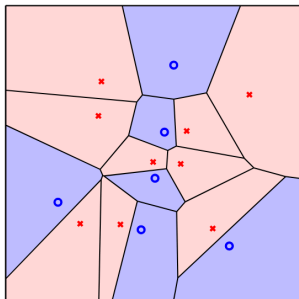
$p = \infty?$  chebyshev

could also use other  
distances (for  
different input  
spaces)



## What is the decision boundary?

- Is k-NN building an explicit decision boundary?
  - ✓ not really, but it can be inferred

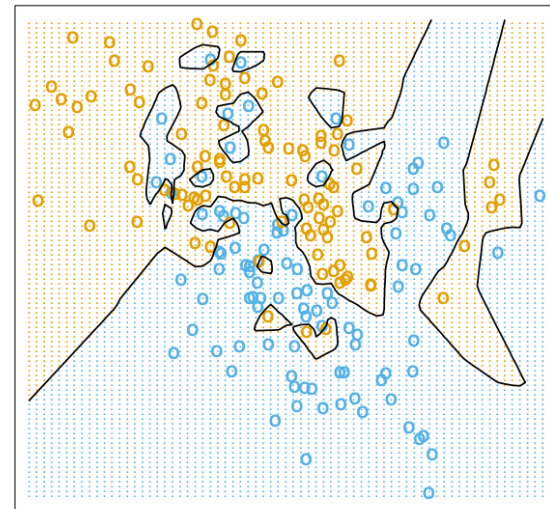


Nearest neighbor Voronoi tessellation

<http://www.cs.rpi.edu/~magdon/courses/LFD/Slides/SlidesLect16.pdf>

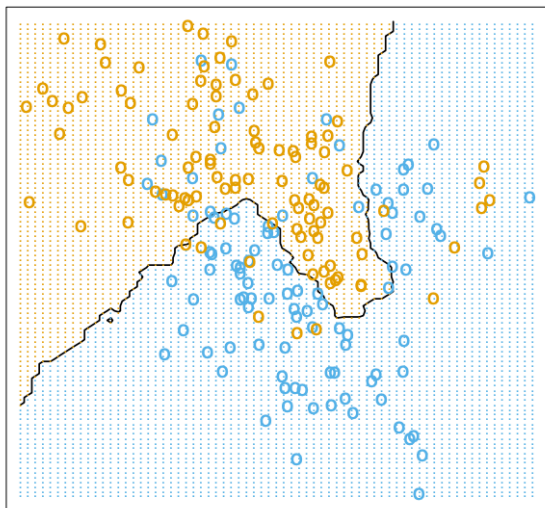
is the diagram  
sensitive to k?  
what about the  
distance function?

1-Nearest Neighbor Classifier



Elements of Statistical Learning (2nd Ed.) c Hastie, Tibshirani & Friedman 2009 Chap 2

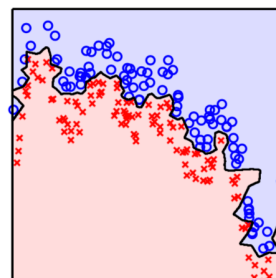
### 15-Nearest Neighbor Classifier



Elements of Statistical Learning (2nd Ed.) c Hastie, Tibshirani & Friedman 2009 Chap 2

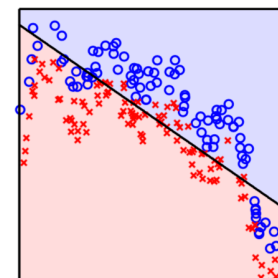
## kNN vs linear models

NN-rule



no parameters  
expressive/flexible  
 $g(\mathbf{x})$  needs data  
generic, can model anything

Linear Model

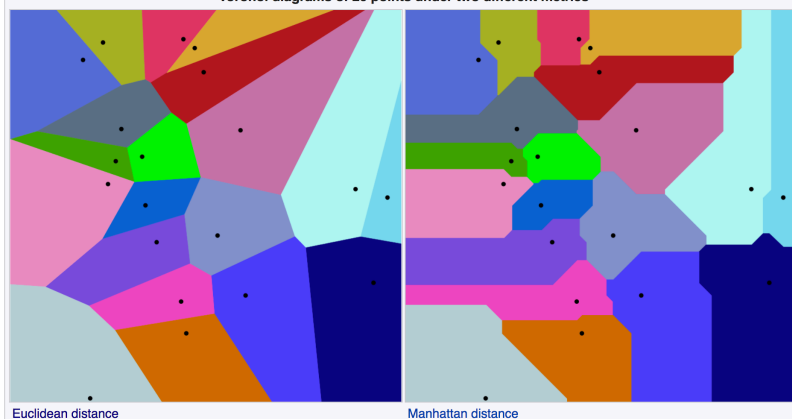


$(d + 1)$  parameters  
rigid, always linear  
 $g(\mathbf{x})$  needs only weights  
specialized

<http://www.cs.rpi.edu/~magdon/courses/LFD-Slides/SlidesLect16.pdf>

## Euclidean vs Manhattan

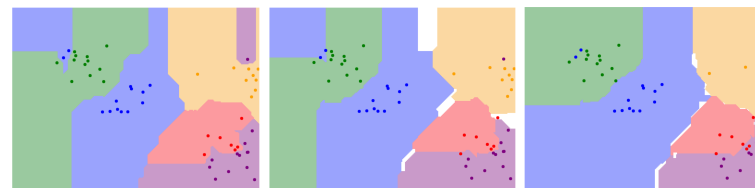
Voronoi diagrams of 20 points under two different metrics



[https://en.wikipedia.org/wiki/Voronoi\\_diagram](https://en.wikipedia.org/wiki/Voronoi_diagram)

## Hyperparameters

L1

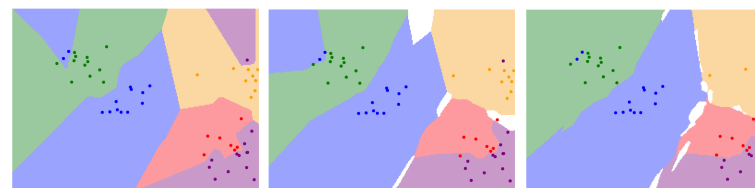


k=1

k=3

k=7

L2



<http://vision.stanford.edu/teaching/cs231n-demos/knn>

## Hyperparameters

- ▶ The number of neighbors  **$k$** 
  - ✓ too small, sensitive to noise
  - ✓ too large, neighborhood includes points from other classes
- ▶ **Distance** function
- ▶ How to find a value that may generalize better?  
use Cross-Validation for parameter tuning

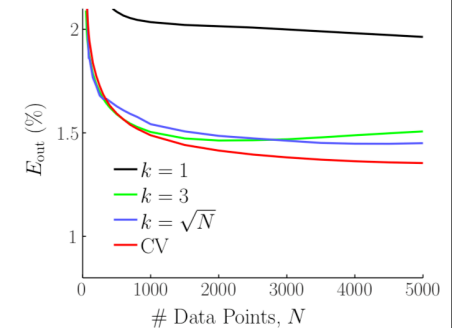
## Choosing $k$

1.  $k = 3$ .

2.  $k = \lceil \sqrt{N} \rceil$ .

3. Validation or cross validation:

$k$ -NN rule hypotheses  $g_k$  constructed on training set, tested on validation set, and best  $k$  is picked.



<http://www.cs.rpi.edu/~magdon/courses/LFD-Slides/SlidesLect16.pdf>

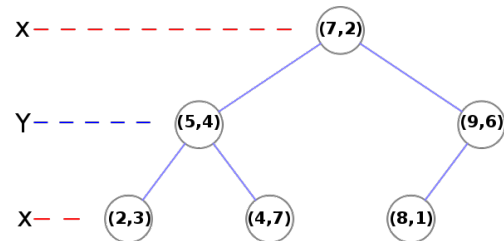
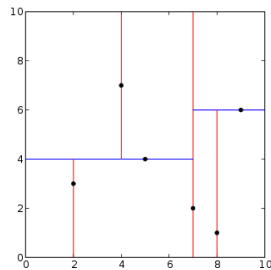
## Additional Remarks

## Weighted k-NN

- ▶ Can weight the votes according to distance
  - ✓ for example:

$$w = \frac{1}{d^2}$$

## More efficient search



k-d Trees

## Final comments

- ▶ No assumptions about **P**
  - ✓ adapts to data density
- ▶ Cost of learning is zero
  - ✓ unless a **kd-tree** or other data structures are used
- ▶ Need to normalize/scale the data
  - ✓ features with larger ranges dominate distances (automatically becoming more important)
  - ✓ be careful: sometimes range matters

## Final comments

- ▶ Irrelevant or correlated attributes add noise to distance
  - ✓ may want to drop them
- ▶ Prediction is computationally expensive
  - ✓ can use **kd-trees** or **hashing techniques** like Locality Sensitive Hashing (LSH)
- ▶ Curse of dimensionality
  - ✓ data required to generalize grows exponentially with dimensionality
  - ✓ distances less meaningful in higher dimensions