

# Introduction to Quantum Mechanics

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# Chapter 1

## Quantum States

### 1.1 Amplitudes and Interference

Quantum mechanics is probabilistic. Let us consider fig. 1.1, where a particle has a probability  $P_1(x)$  of travelling through path 1 and ending up at  $x$ , and a probability of  $P_2(x)$  of travelling through path 2 and ending up at  $x$ . Classically, the total probability of the system ending up at  $x$  is,

$$P(x) = P_1(x) + P_2(x), \quad (1.1)$$

however, this is not the case in quantum mechanics. In quantum mechanics, probabilities are determined using *amplitudes*, which, unlike probabilities, can be negative and complex. The probability is then given by,

$$P(x) = |A(x)|^2 = A^*(x)A(x). \quad (1.2)$$

In order to get the total probability of event occurring, we must then add the amplitudes together, or rather **superimpose** them.

Let us write the amplitudes for the two paths,

$$A_1(x) = \sqrt{P_1(x)}e^{i\phi_1} \quad (1.3)$$

$$A_2(x) = \sqrt{P_2(x)}e^{i\phi_2} \quad (1.4)$$

$$A(x) = A_1(x) + A_2(x). \quad (1.5)$$

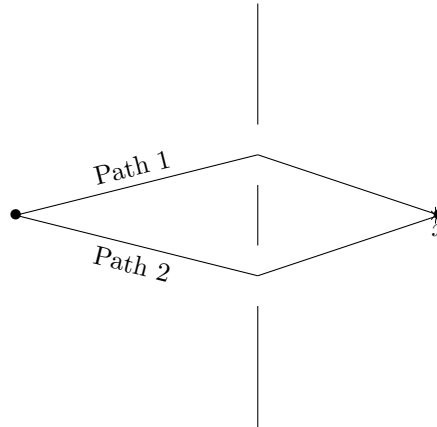


Figure 1.1: An event occurs where a ball can go through one of two paths, each with a different probability  $P_1(x)$  and  $P_2(x)$  of ending up at position  $x$ .

The total probability of the particle ending up at  $x$  is then,

$$\begin{aligned}
 P(x) &= |A(x)|^2 = |A_1(x) + A_2(x)|^2 \\
 &= |\sqrt{P_1}e^{i\phi_1} + \sqrt{P_2}e^{i\phi_2}|^2 \\
 &= (\sqrt{P_1}e^{i\phi_1} + \sqrt{P_2}e^{i\phi_2})(\sqrt{P_1}e^{-i\phi_1} + \sqrt{P_2}e^{-i\phi_2}) \\
 &= P_1 + P_2 + \sqrt{(P_1P_2)} \left( e^{i(\phi_1-\phi_2)} + e^{i(\phi_2-\phi_1)} \right) \\
 &= \underbrace{P_1 + P_2}_{\text{Classical Terms}} + \overbrace{2 \cos(\theta_1 - \theta_2) \sqrt{P_1P_2}}^{\text{Interference Terms}}.
 \end{aligned} \tag{1.6}$$

We see that the approach of adding amplitudes added *interference terms* to the latter half of eq. (1.6). If this term is +ive, we get constructive interference, and if it is -ive, we get destructive interference.

## 1.2 States of Quantum Systems

Wavefunctions as we have met previously are only approximation of quantum mechanics. We often talk about *information* in quantum systems, of which there are two types,

1. **What is the system?** This determines the Hamiltonian of the system.
2. **What state is the system in?** These are the dynamical properties of the system. These describe the quantum state.

We can denote a configuration of a quantum system with using *ket* notation, such that,

$$|\text{configuration}\rangle. \tag{1.7}$$

For a system which can be in more than 1 configuration, its state is in a **superposition** of all possible configurations. For example, the state of flipping a coin is,

$$|\psi\rangle = A_H |H\rangle + A_T |T\rangle \tag{1.8}$$

where  $A_H$  and  $A_T$  are the amplitudes corresponding to flipping a heads or a tails.

We are able to perform a measurement to find out what state a system is in, and when we do so, we **collapse the superposition** into the state that we measured. So, let's say we measured a heads - our state would go from that in eq. (1.8), to

$$|\psi\rangle \rightarrow |H\rangle. \tag{1.9}$$

Further, we require the state of the system to be **normalised**, i.e.,

$$|A_1|^2 + |A_2|^2 = 1. \tag{1.10}$$

Generally, a quantum state  $|\psi\rangle$  can be represented as so,

$$\boxed{|\psi\rangle = \sum_j c_j |j\rangle} \tag{1.11}$$

$$\boxed{\sum_j |c_j|^2 = 1, c_j \in \mathbb{C}} \tag{1.12}$$

### 1.2.1 Transforming a quantum state

Over time a system may evolve, and transform the quantum state. For example, turning the coin over will transform the quantum state of the coin by,

$$|\Psi\rangle \rightarrow A_H |T\rangle + A_T |H\rangle. \tag{1.13}$$

### 1.3 Quantum States as Vectors

Quantum mechanics is formulated from postulates, such as below,

**Postulate 1.** *The quantum state  $|\psi\rangle$  of a system is represented by a normalised vector with complex elements (is a member of a Hilbert space).*

We can then simply say that the inner product of a quantum state vector must equal 1 for it to be normalised. The inner product of a Euclidian space can be defined by the transpose of that vector. I.e, if the vector is  $\mathbf{v}$  with real elements  $v_i$ ,

$$\mathbf{v} \cdot \mathbf{v} = \mathbf{v}^T \mathbf{v} = \sum_i v_i^2. \quad (1.14)$$

and its *norm*  $|\mathbf{v}|$  is the square root of this. However, in Hilbert space (complex vector space), the inner product is defined,

$$\langle \mathbf{v}, \mathbf{v} \rangle = \sum_i |v_i|^2. \quad (1.15)$$

We must then create a new operation which both takes the transpose of the vector and the conjugate of each element. This is known as the **Hermitian conjugate**, represented by,  $\dagger$ . It has the following properties,

$$B^{\dagger\dagger} = B \quad (1.16)$$

$$(AB)^{\dagger} = B^{\dagger} A^{\dagger}. \quad (1.17)$$

#### 1.3.1 Dual of the quantum state

We can take the Hermitian conjugate of the quantum state, known as a *dual*. We denote it using a *Bra*,

$$\boxed{\langle \psi | = |\psi\rangle^{\dagger}}. \quad (1.18)$$

From this, we can clearly see that the norm of  $|\psi\rangle$  is given by,

$$||\psi\rangle| = \sqrt{\psi^{\dagger}\psi} \quad (1.19)$$

where  $\psi$  is the quantum state vector. It is obvious that the norm may also be written by a combination of the bra and the ket,

$$\boxed{\langle \psi | \psi \rangle = \psi^{\dagger} \psi}. \quad (1.20)$$

If eq. (1.20) does not equal 1, then the state is not normalised. If this is the case, the amplitudes must be scaled by a factor of

$$\frac{1}{\sqrt{\langle \psi | \psi \rangle}}$$

#### 1.3.2 Quantum State Overlaps

The inner product between two different quantum states gives their **overlap**. If we have a state  $|\psi\rangle = \sum_i a_i |i\rangle$  and  $|\phi\rangle = \sum_j b_j |j\rangle$ , their overlap (inner product) is denoted,

$$\langle \psi | \phi \rangle = \sum_i a_i^* b_i \quad (1.21)$$

and commutes by,

$$\langle \phi | \psi \rangle = \langle \psi | \phi \rangle^*. \quad (1.22)$$

### 1.3.3 Basis States

Eq. (1.21), carries the implication that, for *configurations* of a quantum state  $|i\rangle$  and  $|j\rangle$ , the overlap is defined,

$$\langle i|j\rangle = \delta_{ik} \quad (1.23)$$

where Kronecker-Delta ( $\delta_{ik}$ ) is defined,

$$\delta_{ik} = \begin{cases} 1 & i = k \\ 0 & i \neq k \end{cases}. \quad (1.24)$$

If a set of configuration states are all,

1. normalised,
2. mutually orthogonal,
3. and span a complete set of mutually exclusive configurations of a quantum state,

they form an *orthonormal basis* for the quantum state of the system.

#### Changing of basis

If a quantum state  $|\psi\rangle$  is defined in terms of a set of basis states  $\{|i\rangle\}$ , we can redefine the quantum state in terms of a new set basis states  $\{|j\rangle\}$  by,

$$|\psi\rangle = \sum_j \langle j|\psi\rangle |j\rangle. \quad (1.25)$$

# Chapter 2

## Measurement

**Postulate 2.** When measuring a system in a quantum state  $|\psi\rangle$  using a measurement basis that includes a configuration  $|\phi\rangle$ , the probability amplitude of the measurement outcome of  $|\phi\rangle$  is  $\langle\psi|\phi\rangle$ .

When performing measurement, we must first specify the *measurement basis*. Suppose we wish to measure the probability amplitude of the outcome  $|\phi_n\rangle$  of a quantum state  $|\psi\rangle$  in the basis  $\{\phi_i\}$ . In the case that the quantum state  $|\psi\rangle$  is defined in the basis  $\{\phi_i\}$ , the measurement of the amplitude is trivial, and we simply read the amplitude off of the quantum state. However, if the quantum state is defined using some other basis  $\{\Phi_i\}$ , the probability amplitude of measuring outcome  $|\phi_n\rangle$  in the measurement basis of  $\{\phi_i\}$  is,

$$A(\text{Outcome } |\phi_n\rangle, \text{ in state } |\psi\rangle) = \langle\phi_n|\psi\rangle \quad (2.1)$$

as long as each element in the measurement basis  $\{\phi_i\}$  is defined in terms of the basis of the quantum state  $\{\Phi_i\}$ .

### 2.1 Stern-Gerlach Experiment

The Stern-Gerlach experiment essentially confirmed quantum mechanics, and the idea that *measurement affects outcomes of future measurement*. Let us consider a particle which is equally likely to be in one of two states. We can measure these states using one of two basis,  $\{|0\rangle, |1\rangle\}$  and  $\{|+\rangle, |-\rangle\}$ . If the particle's initial state is,

$$|\psi\rangle = |+\rangle, \quad (2.2)$$

then we can then make the following measurements,

**Measurement 1:** Using the  $\{|0\rangle, |1\rangle\}$  basis, only particles in state  $|0\rangle$  proceed to the next measurement.

**Measurement 2:** Using the  $\{|+\rangle, |-\rangle\}$  basis, all particles proceed to the next measurement.

**Measurement 3:** Using the  $\{|0\rangle, |1\rangle\}$  basis, only particles in state  $|1\rangle$  proceed to the end of the experiment.

We can perform two forms this experiment: one where we omit measurement 2, and one where we perform all 3 measurements, represented by figs. 2.1 and 2.2 respectively. Let's analyse the results of each variation.

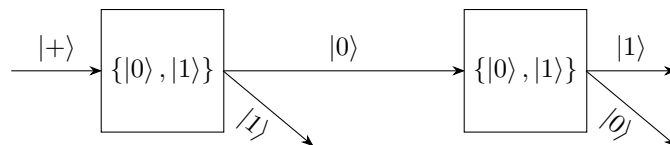


Figure 2.1: A measurement of a particle initially in a  $|+\rangle$  state is measured in a  $\{|0\rangle, |1\rangle\}$  basis, and only particles in state  $|0\rangle$  can proceed. The particles are measured again in the  $\{|0\rangle, |1\rangle\}$  basis, and only particles in state  $|1\rangle$  proceed.



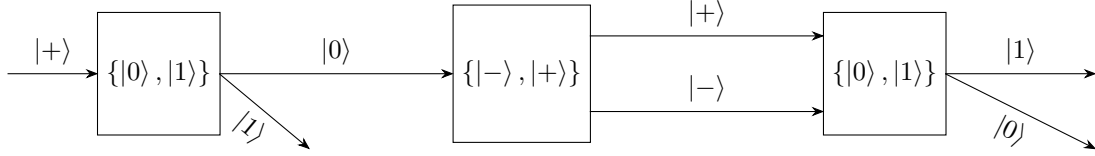


Figure 2.2: As in fig. 2.1, with an additional intermediary measurement, where the particles in a  $|0\rangle$  state are measured in a  $\{|+\rangle, |-\rangle\}$  basis where all particles proceed.

**Omitting measurement 2:** We begin with all particles in a state as in eq. (2.2). During measurement 1, the particles have an equal chance to be in state  $|0\rangle$  or  $|1\rangle$ , and since,

$$|\langle 0|+\rangle|^2 = \frac{1}{2} \quad (2.3)$$

only half of the particles proceed to measurement 3. All particles are now in state  $|0\rangle$ , and since,

$$\langle 0|1\rangle = 0 \quad (2.4)$$

no particles are measured in a  $|1\rangle$  state, so no particles make it to the end of the experiment.

**Performing all measurements:** We have the same scenario as in the first experiment. After passing through the measurement 1, only particles in state  $|0\rangle$  proceed to measurement 2. When we measure the particles in basis  $\{|-\rangle, |+\rangle\}$ , their state will *collapse* to either state. Since  $|-\rangle$  and  $|+\rangle$  are both equally likely, the wave function of half of the particles will go to,

$$|\psi\rangle \rightarrow |+\rangle \quad (2.5)$$

and the other half,

$$|\psi\rangle \rightarrow |-\rangle. \quad (2.6)$$

At measurement 3, the probability of a particle in a  $|-\rangle$  state to collapse to a  $|1\rangle$  state is a half, etc. So, only half of the particles which entered the measurement 3 filter proceed to the end of the experiment. And thus, a quarter of the particles which entered the experiment proceed to the end!

## Significance of the Stern-Gerlach experiment

As we have seen, the addition of an intermediate changes the final outcome of an experiment. We have shown that *measurement alone has an observable effect on a quantum system*.

## 2.2 Operators

A linear operator maps a set of inputs  $\mathbb{A}$  to outputs of the same set, i.e.,

$$\hat{L} \text{ maps } \mathbb{A} \rightarrow \mathbb{A}. \quad (2.7)$$

Linear operators are written in terms of the outerproducts of a given orthonormal basis, where the outer product is  $|\psi\rangle\langle\psi|$ . To transform an operator to a basis  $\{|j\rangle\}$ , we write,

$$\hat{L} = \sum_j \sum_{j'} |j\rangle\langle j| \hat{L} |j'\rangle\langle j'|. \quad (2.8)$$

The identity operator  $\hat{I}$  leaves a state unchanged. The identity operator for a given basis  $\{|j\rangle\}$  is,

$$\hat{I} = \sum_j |j\rangle\langle j|. \quad (2.9)$$

We are able to represent linear operators in a matrix form. This becomes clear when we write,

$$\hat{L} = \sum_{j,k} c_{jk} |j\rangle\langle k| \quad (2.10)$$

so that we can write the elements of the matrix form of  $\hat{L}$  as,

$$L_{jk} = c_{jk}. \quad (2.11)$$

### 2.2.1 Functions of linear operators

We borrow rules from matrices when applying functions to operators, i.e.,

$$\hat{L}^n = \underbrace{\hat{L}\hat{L}\cdots\hat{L}}_{n \text{ times}} \quad (2.12)$$

where  $\hat{L}$  is applied  $n$  times. We can also define more exotic operations such as,

$$e^{\hat{L}} = \sum_{k=0}^{\infty} \frac{1}{k!} \hat{L}^k \quad (2.13)$$

if a function has a given power series expansion. We note that linear operators are non-commutative,

$$\hat{A}\hat{B} \neq \hat{B}\hat{A}. \quad (2.14)$$

### 2.2.2 Eigenstates of linear operators

Every operator has given **eigenstates**  $|v\rangle$  and **eigenvalues**  $\lambda$  which satisfy,

$$\hat{L}|v\rangle = \lambda|v\rangle \quad (2.15)$$

We can define a hermitian operator  $\hat{H}$  which has all the properties of a Hermitian matrix. This operator is diagonal under the basis ascribed by its eigenstates, such that,

$$\hat{H} = \sum_j \lambda_j |v_j\rangle \langle v_j|. \quad (2.16)$$

If we have a function  $f$  which can be expanded by a power series, then,

$$f(\hat{H}) = \sum_j f(\lambda_j) |v_j\rangle \langle v_j| \quad (2.17)$$

## 2.3 Observables and Measurement

The choice of basis will change which **observables** we are able to measure. Every observable  $\mathcal{A}$  has an associated linear operator  $\hat{A}$  which returns its expectation value, such that,

$$\langle \mathcal{A} \rangle = \langle \psi | \hat{A} | \psi \rangle. \quad (2.18)$$

We define  $\hat{A}$ ,

$$\hat{A} = \sum_j A_j |a_j\rangle \langle a_j| \quad (2.19)$$

where  $\{a_j\}$  is a set of configuration states each with a given value  $A_j$ , which in turn define the observable  $\mathcal{A}$ . We can write the configuration of the observable more compactly if we treat each term of the operator as its own linear operator. We define a **projector** to be,

$$\boxed{\hat{A}_j = |a_j\rangle \langle a_j|} \quad (2.20)$$

then the operator is,

$$\boxed{\hat{A} = \sum_j a_j \hat{A}_j}. \quad (2.21)$$

We further require that *linear operators of observables are all Hermitian*.

With the above stated, we can now write postulate 2 more formally.

**Postulate 2.** A measurement  $\mathcal{M}$  of a quantum system is a set of Hermitian linear operators  $\{\hat{M}_j\}$  with non-negative eigenvalues that satisfy,

$$\sum_j \hat{M}_j = \hat{I} \quad (2.22)$$

and the probability of an outcome  $j$  when in state  $|\psi\rangle$  is,

$$\boxed{P(|j\rangle) = \langle \psi_j | \psi_j \rangle}. \quad (2.23)$$

## 2.4 Commutators

We define the *commutator* of a linear operator as,

$$[\hat{A}, \hat{B}] = \hat{A}\hat{B} - \hat{B}\hat{A} \quad (2.24)$$

whose output is a linear operator. If this operator is null, i.e., if  $\hat{A}\hat{B} = \hat{B}\hat{A}$ , we say that the operators  $\hat{A}$  and  $\hat{B}$  commute.

**Theorem.** *If two operators  $\hat{A}$  and  $\hat{B}$  have a complete set of eigenstates and commute, then there exists a set of simultaneous eigenstates for both operators.*

*Proof.* Assuming  $\hat{A}$  has no degenerate eigenvalues. Let  $|v\rangle$  be the eigenstates of  $\hat{A}$  and  $\lambda$  the eigenvalues. We have,

$$\hat{B}\hat{A}|v\rangle = \hat{B}\lambda|v\rangle = \lambda\hat{B}|v\rangle. \quad (2.25)$$

Since  $\hat{A}$  and  $\hat{B}$  commute, we have,

$$\hat{B}\hat{A}|v\rangle = \hat{A}\hat{B}|v\rangle, \quad (2.26)$$

and putting all this together,

$$\hat{A}(\hat{B}|v\rangle) = \lambda(\hat{B}|v\rangle). \quad (2.27)$$

We have that  $\hat{B}|v\rangle$  is an eigenstate of  $\hat{A}$  with eigenvalue  $\lambda$ . Since  $\hat{A}$  is not degenerate, then  $\hat{B}|v\rangle \propto |v\rangle$ , i.e.,  $|v\rangle$  is an eigenvalue of  $\hat{B}$ . Thus, if  $\hat{A}$  is non-degenerate, then the *eigenstates of  $\hat{A}$  are also eigenstates of  $\hat{B}$* .  $\square$

### 2.4.1 Compatibility of Measurement

If an two operators corresponding to two different observables commute, i.e.,  $\mathcal{A}$  and  $\mathcal{B}$  to  $\hat{A}$  and  $\hat{B}$ , then we say that the measurements of those observables are *compatible*, and we can measure them both simulatenously. This is because the operators of the observables correspond to the same eigenbasis.

If two operators are non-commutative, then their measurements are *incompatible*. The amount of information we can know about two given incompatible observables is encapsulated by the uncertainty principle. If we define the standard deviation on an observable as,

$$\begin{aligned} \Delta\mathcal{A} &= \langle\mathcal{A}^2\rangle - \langle\mathcal{A}\rangle^2 \\ &= \langle\psi|\hat{A}^2|\psi\rangle - \left(\langle\psi|\hat{A}|\psi\rangle\right)^2 \end{aligned} \quad (2.28)$$

then the uncertainty principle states,

$$\Delta\mathcal{A}\Delta\mathcal{B} \geq \frac{1}{2} \left| \langle [\hat{A}, \hat{B}] \rangle \right| \quad (2.29)$$

## Chapter 3

# Spin and Real Uses of Quantum Mechanics

All particles have an intrinsic angular momentum (that differs from orbital angular momentum). Every quantum particle has an associated *spin quantum number* which can take non-negative, half integer values (i.e., 0,  $\frac{1}{2}$ , 1,  $\frac{3}{2}$ , etc.) We divide particles into 2 different classes,

- **Fermions:** Half integer spin values.
- **Bosons:** Integer spin values.

The spin of a particle corresponds to an axis. The *spin itself* isn't an observable, but *spin along an axis* is. We can define the spin operator on a given axis for any normalised vector  $\mathbf{n}$  as,

$$\hat{S}_n = n_x \hat{S}_x + n_y \hat{S}_y + n_z \hat{S}_z \quad (3.1)$$

which we can write in the vector form,

$$\hat{\mathbf{S}} = \begin{pmatrix} \hat{S}_x \\ \hat{S}_y \\ \hat{S}_z \end{pmatrix} \quad (3.2)$$

where

$$\hat{S}_n = \hat{\mathbf{S}} \cdot \mathbf{n}. \quad (3.3)$$

The spin quantum number  $s$  restricts the value of spin a particle can have along any given axis. The spin  $S$  of a particle must satisfy,

$$S \leq \hbar s. \quad (3.4)$$

Furthermore, a particle has *quantised* spin values spaced by  $\hbar$ . I.e., a spin-1 particle can have spin values,

$$S = -\hbar, 0, \hbar \quad (3.5)$$

while a spin- $\frac{1}{2}$  particle can have spin values,

$$S = -\frac{1}{2}\hbar, \frac{1}{2}\hbar. \quad (3.6)$$

### 3.1 Spin Operators

We will state properties of spin operators without proof.

**Spin canonical commutation relations** state that for any principle axis  $\{j, k, l\}$ , the spin operators satisfy,

$$[\hat{S}_j, \hat{S}_k] = i\hbar \epsilon_{ijk} \hat{S}_l \quad (3.7)$$

which implies that spin measurements along different axis are incompatible.

### 3.1.1 Spin- $\frac{1}{2}$ Particles

#### Pauli Operators

If we work in a basis  $\{|\uparrow\rangle, |\downarrow\rangle\}$  corresponding to spin states  $\frac{\hbar}{2}$  and  $-\frac{\hbar}{2}$  respectively, and using a cartesian axis, we have,

$$\hat{\mathbf{S}} = \frac{\hbar}{2} \begin{pmatrix} |\uparrow\rangle\langle\downarrow| + |\downarrow\rangle\langle\uparrow| \\ -i|\uparrow\rangle\langle\downarrow| + i|\downarrow\rangle\langle\uparrow| \\ |\uparrow\rangle\langle\downarrow| - |\downarrow\rangle\langle\uparrow| \end{pmatrix}. \quad (3.8)$$

We often use *Pauli operators*  $\{\hat{\sigma}_x, \hat{\sigma}_y, \hat{\sigma}_z\}$  which take the form as in eq. (3.8) without the  $\frac{\hbar}{2}$  factor,

$$\sigma_x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad \sigma_y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \quad \sigma_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \quad (3.9)$$

#### Ladder Operators

These transform spin states by increasing or decreasing the spin along a given axis by 1 unit. We often specify them for the  $z$ -axis.

Let  $|m_s\rangle$  be the eigenstate of  $\hat{S}_z$  corresponding to the eigenvalue  $\hbar m_s$  such that,

$$\hat{S}_z |m_s\rangle = \hbar m_s |m_s\rangle. \quad (3.10)$$

Then, the spin ladder operators  $\hat{S}_+$  and  $\hat{S}_-$  for a spin- $s$  particle are as follows,

$$\hat{S}_+ |m_s\rangle = \hbar \sqrt{s(s+1) - m_s(m_s+1)} |m_s+1\rangle, \quad (3.11)$$

$$\hat{S}_- |m_s\rangle = \hbar \sqrt{s(s+1) - m_s(m_s-1)} |m_s-1\rangle. \quad (3.12)$$

**NOTE:** Ladder operators are not Hermitian  $\implies$  do not correspond to observables. However, it can be shown,

$$\hat{S}_+^\dagger = \hat{S}_-. \quad (3.13)$$

Furthermore, for any spin- $s$ ,

$$\hat{S}_\pm = \hat{S}_x \pm i\hat{S}_y. \quad (3.14)$$

#### Spin Eigenstates

The magnitude of the spin operator is defined,

$$|\hat{\mathbf{S}}|^2 = \hat{S}_x^2 + \hat{S}_y^2 + \hat{S}_z^2. \quad (3.15)$$

Given the relation by eq. (3.14), combined with eq. (3.12) and eq. (3.14), we can show,

$$\hat{S}^2 |m\rangle = \hbar^2 s(s+1) |m\rangle. \quad (3.16)$$

Since this is true for all eigenstates of  $\hat{S}_z$ , *every state* of the system is an eigenstate of  $\hat{S}^2$  with eigenvalues  $\hbar^2 s(s+1)$ . Thus,

$$\hat{S}^2 = \hbar s(s+1) \hat{I}. \quad (3.17)$$

## 3.2 Quantum Hamiltonians

In quantum mechanics, a system's energy is quantised. If the energy of the system is directly measured, the value we find must be consistent with the set described by the *energy spectrum* of the system. We specify this energy spectrum by the Hamiltonian  $\hat{H}$ . The energy spectrum  $\{E_i\}$  corresponds to the associated energy eigenstates  $\{|E_i\rangle\}$ . The eigenvalue equation for these eigenstates takes the form,

$$\hat{H} |E_i\rangle = E_i |E_i\rangle \quad (3.18)$$

which is also known as the *Time-Independent Schrodinger Equation*.

The smallest energy of a system is known as the *ground state* with a corresponding *ground state energy*. All other energy eigenstates are known as *excited states*.

### 3.2.1 Time evolution of a quantum system

We can use the Hamiltonian to describe the time evolution of a quantum system. By the *Time Dependent Schrodinger Equation*,

$$\boxed{i\hbar \frac{d|\psi\rangle}{dt} = \hat{H} |\psi\rangle}. \quad (3.19)$$

If we have a quantum state  $|\psi\rangle = |\psi(t)\rangle$ , and given we know an initial state  $|\psi(t_0)\rangle$ , we can obtain the quantum state at any time  $t$  by solving eq. (3.19). The general solution is given by,

$$|\psi(t)\rangle = \exp\left(-\frac{i\hat{H}(t-t_0)}{\hbar}\right) |\psi(t_0)\rangle. \quad (3.20)$$

The solution for the state is much simpler if we work in the energy eigenbasis. If we work in an energy eigenbasis  $\{|E_i\rangle\}$  in an energy spectrum  $\{E_i\}$ , and the initial state is defined,

$$|\psi(0)\rangle = \sum_i c_i |E_i\rangle \quad (3.21)$$

the general solution for the state at time  $t$  is,

$$|\psi(t)\rangle = \sum_i c_i \exp\left(-\frac{iE_i t}{\hbar}\right) |E_i\rangle. \quad (3.22)$$

From this we can write postulate 3,

**Postulate 3.** *The time evolution of a closed quantum state is governed by the time dependent Schrodinger equation.*

$$i\hbar \frac{d|\psi\rangle}{dt} = \hat{H} |\psi\rangle \quad (3.23)$$

with solution,

$$|\psi(t)\rangle = \exp\left(-\frac{i\hat{H}t}{\hbar}\right) |\psi(0)\rangle \quad (3.24)$$

where  $\hat{H}$  is a hermitian operator known as the Hamiltonian.

### 3.2.2 Time evolution of expectation values

The time evolution of quantum expectation is known as *Ehrenfest's theorem*. We first consider the hermitian conjugate of eq. (3.19),

$$-i\hbar \frac{d\langle\psi|}{dt} = \langle\psi| \hat{H}. \quad (3.25)$$

We have that the expectation value of an observable  $\mathcal{A}$  is given by,

$$\langle\mathcal{A}\rangle = \langle\psi| \hat{A} |\psi\rangle. \quad (3.26)$$

Taking the time derivative,

$$\frac{d\langle\mathcal{A}\rangle}{dt} = \frac{d\langle\psi|}{dt} \hat{A} |\psi\rangle + \langle\psi| \hat{A} \frac{d|\psi\rangle}{dt} + \underbrace{\langle\psi| \frac{d\hat{A}}{dt} |\psi\rangle}_{0, \text{ Operators are static}}. \quad (3.27)$$

By using eqs. (3.19) and (3.25), we can write eq. (3.27) by,

$$\frac{d\langle\mathcal{A}\rangle}{dt} = \frac{i}{\hbar} \langle\psi| [\hat{H}, \hat{A}] |\psi\rangle. \quad (3.28)$$

We then have that if  $\hat{H}$  and  $\hat{A}$  commute, i.e.,  $[\hat{H}, \hat{A}] = 0$ , the expectation of the observable is static.

### 3.3 Composite Systems

We divide a system into component subsystems, and assign each of these their own configuration space. We denote the two states of the subsystems  $|\psi\rangle$  and  $|\phi\rangle$ , then the combined state representing the system,  $|\Psi\rangle$ , is given by,

$$|\Psi\rangle = |\psi\rangle \otimes |\phi\rangle \quad (3.29)$$

where  $\otimes$  is the *tensor product*.

If  $|\psi\rangle$  is in a basis  $\{|e_i\rangle\}$  and  $|\phi\rangle$  is in a basis  $\{|e_j\rangle\}$ , then the basis of the combined state is  $\{|e_i \otimes e_j\rangle\}$  over all possible configurations of  $i$  and  $j$ . This is easily extended for  $n$  subsystems.

We can extend the tensor product to operators. If we have two operators  $\hat{A}_1$  and  $\hat{A}_2$  which act on two different subsystems, we can write the operator acting on the total subsystem,

$$\hat{A} = \hat{A}_1 \otimes \hat{A}_2. \quad (3.30)$$

If we have an operator acting on only a single subsystem, we can find the total operator by performing the tensor product with the identity operator of the other subsystem,

$$\hat{A} = \hat{A}_1 \otimes \hat{I}_2. \quad (3.31)$$

This leads to the fourth postulate,

**Postulate 4.** *The state space of a composite physical system is the tensor product  $\otimes$  of the component physical systems.*

#### 3.3.1 Tensor product mathematics

We can more clearly see how to compute tensor products by considering vector and matrix representations. Suppose two vectors,

$$\mathbf{v} = \begin{pmatrix} v_1 \\ \vdots \\ v_n \end{pmatrix} \quad \mathbf{w} = (w_1 \quad \dots \quad w_m) \quad (3.32)$$

their tensor product is computed by,

$$\mathbf{v} \otimes \mathbf{w} = \begin{pmatrix} v_1 \mathbf{w} \\ \vdots \\ v_n \mathbf{w} \end{pmatrix} = \begin{pmatrix} v_1 w_1 & \dots & v_1 w_m \\ \vdots & \ddots & \vdots \\ v_n w_1 & \dots & v_n w_m \end{pmatrix}. \quad (3.33)$$

Similarly for two matrices,

$$A = \begin{pmatrix} a_{11} & \dots & a_{1j} \\ \vdots & \ddots & \vdots \\ a_{i1} & \dots & a_{ij} \end{pmatrix} \quad B = \begin{pmatrix} b_{11} & \dots & b_{1l} \\ \vdots & \ddots & \vdots \\ b_{kl} & \dots & b_{kl} \end{pmatrix} \quad (3.34)$$

we have,

$$A \otimes B = \begin{pmatrix} a_{11}B & \dots & a_{1j}B \\ \vdots & \ddots & \vdots \\ a_{i1}B & \dots & a_{ij}B \end{pmatrix} = \begin{pmatrix} a_{11}b_{11} & \dots & a_{1j}b_{1l} \\ \vdots & \ddots & \vdots \\ a_{i1}b_{k1} & \dots & a_{ij}b_{kl} \end{pmatrix}. \quad (3.35)$$

**NOTE:** We do not require our vectors or matrices to be the same size in order to perform the tensor product on them.

### 3.4 Entanglement

There are composite states in quantum mechanics which cannot be written as a product of states of each individual system, i.e., that can only be written as a superposition of basis states. These states are known as *entangled*, and the converse case is known as *seperable*.

We can perform measurements on single systems when in a composite system, however we must update the system afterwards. We do this by using projector of the outcome. Suppose  $|\Phi\rangle$ , written in a basis  $\{|e_i\rangle \otimes |e_j\rangle\}$  is our composite system. The probability of an outcome  $|e_1\rangle$  on state 1, we must find the expectation value of  $|e_1\rangle \langle e_1| \otimes \hat{I}$  where  $\hat{I}$  corresponds to the first system. The probability is then,

$$P(|e_1\rangle) = \langle \Psi | e_1 \rangle \langle e_1 | \otimes \hat{I} | \Psi \rangle. \quad (3.36)$$

Post-measurement, we use the projector to update the composite state, such that,

$$|\Psi\rangle \rightarrow |e_1\rangle \langle e_1| \otimes \hat{I} |\Psi\rangle. \quad (3.37)$$

**NOTE:** The state must be renormalised post-update.



## Chapter 4

# Higher Dimensional Systems

### 4.1 Positions and Wavefunctions

Let us consider a particle present in a region in the spacial  $x$ -axis between  $-w$  and  $w$ . Let us consider splitting the  $x$ -axis between these points evenly into  $N$  regions. The width  $\Delta x$  of each region is given by,

$$\Delta x = \frac{2W}{N}. \quad (4.1)$$

Let us denote the basis state corresponding to each region as  $|n\Delta x\rangle$ , where  $-N/2 \leq n \leq N/2 - 1$ . The state of the particle is given by,

$$|\psi\rangle = \sum_{n=-N/2}^{N/2-1} a_{n\Delta x} |n\Delta x\rangle. \quad (4.2)$$

We have that, for small  $\Delta x$ ,  $a_{n\Delta x} \sim a_{(n+1)\Delta x}$ . We then have that the probability of finding a particle in a given region,

$$P(n\Delta x) = |a_{n\Delta x}|^2 \quad (4.3)$$

scaled with  $\Delta x$ . We may wish to consider the probability density, rather than the probability, to describe our system,

$$p(n\Delta x) = \frac{|n\Delta x\rangle}{\Delta x}. \quad (4.4)$$

Let us re-define the un-normalised state,

$$|x_{n\Delta x}\rangle = \frac{|n\Delta x\rangle}{\sqrt{\Delta x}}. \quad (4.5)$$

We then have,

$$\begin{aligned} \langle n\Delta x | n'\Delta x \rangle &= \delta_{nn'} \\ \Rightarrow \langle x_{n\Delta x} | x_{n'\Delta x} \rangle &= \underbrace{\frac{\delta_{nn'}}{\Delta x}}_{\text{Dirac Delta}}. \end{aligned} \quad (4.6)$$

Let us then further define,

$$\psi_{n\Delta x} = \frac{a_{n\Delta x}}{\sqrt{\Delta x}}. \quad (4.7)$$

From eq. (4.2),

$$\langle x_{n\Delta x} | \psi \rangle = \frac{\langle n\Delta x | \psi \rangle}{\sqrt{\Delta x}} = \frac{a_{n\Delta x}}{\sqrt{\Delta x}} = \psi_{n\Delta x}. \quad (4.8)$$

From eq. (4.4), we have that,

$$p(n\Delta x) = |\psi_{n\Delta x}|^2, \quad (4.9)$$

which, in the continuous limit becomes,

$$|\psi\rangle \approx \int dx \psi(x) |x\rangle. \quad (4.10)$$

The overlap of two points on the  $x$ -axis is given by the dirac-delta function,

$$\langle x|x' \rangle = \delta(x - x'). \quad (4.11)$$

Taking the overlap of eq. (4.10) with  $|x\rangle$  yields,

$$\begin{aligned} \langle x|\psi \rangle &= \int dx' \langle x|x' \rangle \psi(x') \\ &= \int dx' \delta(x - x') \psi(x') = \psi(x). \end{aligned} \quad (4.12)$$

The function  $\psi(x)$  is an amplitude density known as the wavefunction. Using it, we are able to obtain the probability of finding the particle between two points,

$$P(x_0 \leq x \leq x_1) = \int_{x_0}^{x_1} dx |\psi(x)|^2 = \int_{x_0}^{x_1} dx |\langle x|\psi \rangle|^2. \quad (4.13)$$

Let us state some properties of the wavefunction,

1. We require the wavefunction to be normalised, such that,

$$|\langle x|\psi \rangle|^2 = 1 \quad (4.14)$$

or alternatively,

$$\int_{-\infty}^{\infty} \psi^*(x) \psi(x) dx = 1. \quad (4.15)$$

2. For a wavefunction to be normalisable, it must satisfy the normalisation condition,

$$\lim_{x \rightarrow \pm\infty} (\psi(x)) = 0 \quad (4.16)$$

3. If  $\psi_1$  and  $\psi_2$  are both allowed, normalisable wavefunctions of a system, then,

$$\psi_3 = \alpha\psi_1 + \beta\psi_2 \quad (4.17)$$

is also an allowed, normalisable wavefunction of the system.

## 4.2 Continuous Operators

Continuous operators are often differential operators. Below are some common continous operators,

1D Position Operator	3D Position Operator	1D Momentum Operator	3D Momentum Operator
$\hat{x} = x$	$\hat{\mathbf{x}} = \mathbf{x}$	$\hat{p}_{1D} = -i\hbar \frac{\partial}{\partial x}$	$\hat{p}_{3D} = -i\hbar \nabla$

it is possible to construct other operators from these 4 basic operators, such as the Hamiltonian,

$$\hat{H} = \frac{\hat{p}^2}{2m} + V(\hat{x}) \quad (4.18)$$

which we can use to construct the continuous Schrodinger wave equation,

$$\hat{H}\psi(x) = E\psi(x) \quad (4.19)$$

### 4.2.1 Commutation relations

The following are the canonical commutation relations,

$$[\hat{x}, \hat{x}] = 0 \quad [\hat{p}, \hat{p}] = 0 \quad [\hat{x}, \hat{p}] = i\hbar. \quad (4.20)$$

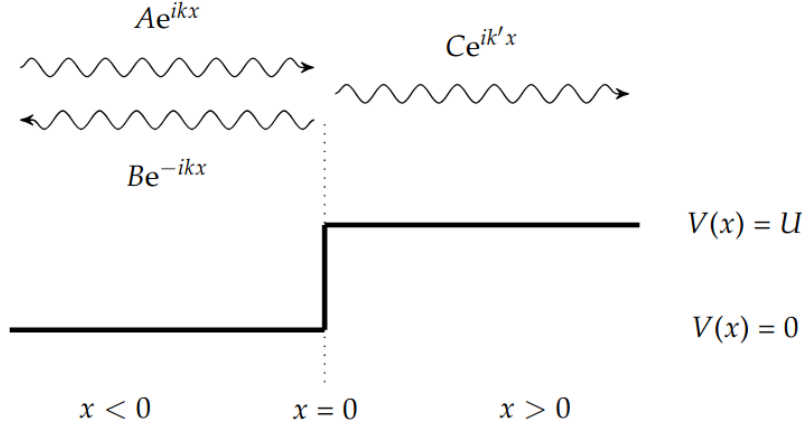


Figure 4.1

### 4.2.2 Hermitian Properties

Continuous operators are also hermitian, i.e.,  $\hat{A}^\dagger = \hat{A}$ . For a discrete system,

$$\langle \psi | \hat{A} \phi \rangle = \langle \psi \hat{A}^\dagger | \phi \rangle = \langle \hat{A} \psi | \phi \rangle \quad (4.21)$$

and similarly for a continuous one,

$$\int_{-\infty}^{\infty} \psi^* \hat{A} \phi \, dx = \int_{-\infty}^{\infty} (\hat{A}^\dagger \psi)^* \phi \, dx = \int_{-\infty}^{\infty} (\hat{A} \psi)^* \phi \, dx. \quad (4.22)$$

## 4.3 Equation of a free particle

If we consider a free particle, i.e., without any potential acting on it, we can write the energy eigen-value equation using the Hamiltonian (eq. (4.18)),

$$-\frac{\hbar^2}{2m} \frac{\partial^2 \psi(x)}{\partial x^2} = E \psi(x). \quad (4.23)$$

If we write  $E$  as,

$$E = \frac{k^2 \hbar^2}{2m} = \frac{p^2}{2m} \quad (4.24)$$

where  $k$  is the wavenumber of our wavefunction. We then obtain a wavefunction for a free particle by a standard solution to the wave equation,

$$\psi(x) = e^{ikx} = e^{\frac{ip}{\hbar}x}. \quad (4.25)$$

NOTE: This wavefunction is different as it is not normalisable. However it is still allowed to exist.

### 4.3.1 Scattering of free particles

Let us now consider a particle beam travelling in space under a potential,

$$V(x) = \begin{cases} 0 & x < 0 \\ U & x \geq 0 \end{cases} \quad (4.26)$$

with an initial wavefunction,

$$\psi(x) = Ae^{ikx} \quad (4.27)$$

where it will encounter a potential step at  $x = 0$ , as in fig 4.1. We will have a reflected solution for  $x < 0$ , and a solution where the wave travels past the potential step. We can sum up this wave equation as,

$$\psi(x) = \begin{cases} Ae^{ikx} + Be^{-ikx} & x < 0 \\ Ce^{ik'x} & x \geq 0 \end{cases}. \quad (4.28)$$

We have a different wavenumber in the region  $x \geq 0$  which is related to the potential. We can define this as,

$$E - U = \frac{\hbar^2 k'^2}{2m} \quad \sqrt{k' = \frac{2m(E - U)}{\hbar^2}}. \quad (4.29)$$

For conservation of energy, we require boundary conditions which state,

$$\psi(0) \text{ is continuous} \quad (4.30)$$

$$\left. \frac{\partial \psi(x)}{\partial x} \right|_0 \text{ is continuous.} \quad (4.31)$$

Applying eq. (4.30),

$$A + B = C \quad (4.32)$$

and applying eq. (4.31),

$$ik(A - B) = ik'C. \quad (4.33)$$

We then have the relations,

$$B = \frac{k - k'}{k + k'} A \quad C = \frac{2k}{k + k'} A. \quad (4.34)$$

It is tempting to use eq. (4.34) in order to describe the probability of the particle beam becoming reflected off the potential step. However, given that this is a particle beam, we must instead consider the particle flux in order to calculate probabilities. These fluxes are equivalent to the *probability current*,

$$j = -\frac{i\hbar}{2m} \left( \psi^* \frac{\partial \psi}{\partial x} - \psi \frac{\partial \psi^*}{\partial x} \right). \quad (4.35)$$

We can then define the Reflection and Transmission ratios by,

$$\mathcal{R} = \frac{j_{\text{ref}}}{j_{\text{inc}}} = \frac{(k - k')^2}{(k + k')^2} \quad \mathcal{T} = \frac{j_{\text{trans}}}{j_{\text{inc}}} = \frac{4kk'}{(k + k')^2} \quad (4.36)$$

which further satisfy  $\mathcal{R} + \mathcal{T} = 1$ .

## 4.4 Bound Particles

### 4.4.1 Infinite Potential Well

Consider the potential,

$$V(x) = \begin{cases} 0 & 0 \leq x \leq L \\ \infty & \text{Otherwise} \end{cases}. \quad (4.37)$$

The Schrodinger equation in this case is identical to that of the free particle,

$$-\frac{\hbar^2}{2m} \frac{\partial}{\partial x} |\psi\rangle = E |\psi\rangle \quad (4.38)$$

but subject to the boundary conditions,

$$\psi(x) = 0 \text{ for } x \leq 0, x \geq L. \quad (4.39)$$

Considering the general solution to the wave equation,

$$\psi(x) = Ae^{ikx} + Be^{-ikx} \quad (4.40)$$

and applying the boundary condition at  $x = 0$ , we find  $A = -B$ , and,

$$\psi(x) = A(e^{ikx} - e^{-ikx}) = 2Ai \sin kx. \quad (4.41)$$

Applying the boundary condition at  $x = L$ , we find that the wavenumber is quantised, so that we have integer number of wavelengths,

$$k = \frac{n\pi}{L} \quad n \in \mathbb{Z}^+. \quad (4.42)$$

We are then able to normalise the wavefunction to,

$$\psi(x) = \sqrt{\frac{2}{L}} \sin(kx). \quad (4.43)$$

We can then find the quantised energy Eigenstates,

$$E_n = \frac{\hbar^2 n^2 \pi^2}{2mL^2} \quad (4.44)$$

which correspond to the normal modes of the wavefunction.

#### 4.4.2 Potential Barriers

For a potential step, we have that there is a probability that the particle will be found within the potential barrier, such that  $\psi(x) \propto e^{-\eta x}$ . However, the particle current in the region inside the barrier is 0.

#### 4.4.3 Finite Potential Wells

For a finite potential well, when the particle is at an energy  $0 < E < -U$ , we find that the general wavefunction is given by odd and even wavefunctions,

$$\psi(x)_{\text{odd}} = \begin{cases} Ae^{\eta x} & x < -a \\ \cos kx & |x| < a \\ Be^{-\eta x} & x > a \end{cases}, \quad \psi(x)_{\text{even}} = \begin{cases} Ae^{\eta x} & x < -a \\ \sin kx & |x| < a \\ -Be^{-\eta x} & x > a \end{cases}. \quad (4.45)$$

we have that,

$$k^2 = \frac{2m(E - U)}{\hbar^2} \quad \nu^2 = -\frac{2mE}{\hbar^2}. \quad (4.46)$$

which we can relate by,

$$\boxed{k^2 + \eta^2 = \frac{2mU}{\hbar^2}}. \quad (4.47)$$

By considering the continuity boundary conditions, we find that,

$$\boxed{k \tan(ka) = \eta}. \quad (4.48)$$

We require both eq. (4.47) and eq. (4.48) to be solutions. However, no analytical solution exists. We must then use a graphical solution, as in figure 4.2. The amount of points of intersection represent the number of solutions for the potential well, which indicate the number of eigenstates.

### 4.5 Expectation Values

Recall the procedure for finding the expectation value of an observable for a discrete system,

$$\langle \hat{x} \rangle = \langle \psi | \hat{x} | \psi \rangle. \quad (4.49)$$

We can extend this to a continuous system,

$$\boxed{\langle \hat{x} \rangle = \int_{-\infty}^{\infty} \psi^* \hat{x} \psi \, dx}. \quad (4.50)$$

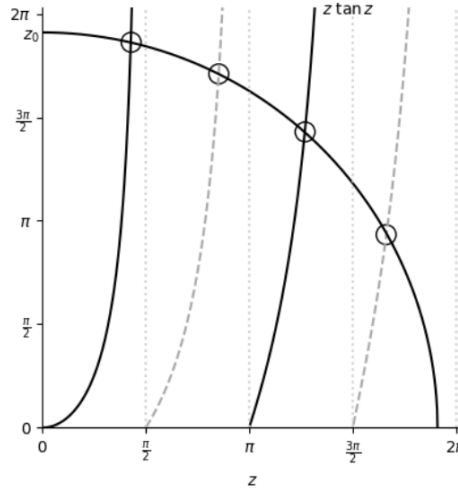


Figure 4.2: Dashes lines represent odd solutions.

#### 4.5.1 Expectation Position of an Infinite Potential Well

Let us state the position expectation value for position (computation is trivial),

$$\frac{L}{2} = \langle \hat{x} \rangle. \quad (4.51)$$

Let us note that the expectation value is independent of the wavenumber, implying that the expectation value for a particle is the same for all energy states.

### 4.6 Time Evolution

If we have an initial wavefunction  $\psi(x) \equiv \psi(x, t = 0)$ , with energy eigenfunctions  $\{\psi_n(x)\}$ , we can rewrite the time independent wavefunction as a sum of weighted eigenfunctions,

$$\psi(x) = \sum_n c_n \phi_n(x) \quad (4.52)$$

where the coefficient  $c_n$  is determined by,

$$c_n = \langle \phi_n | \psi \rangle = \int_{-\infty}^{\infty} \phi_n^* \psi \, dx. \quad (4.53)$$

We can describe the time evolution of the wavefunction by first considering the time evolution of the energy eigenfunctions, which is given trivially by the time-dependent Schrodinger equation, as in the discrete case,

$$\phi_n(x, t) = \phi_n(x) e^{-\frac{i E_n t}{\hbar}} \quad (4.54)$$

and thus, the time evolution of the wavefunction as a whole is given by,

$$\psi(x, t) = \sum_n c_n \phi_n(x, 0) e^{-\frac{i E_n t}{\hbar}}. \quad (4.55)$$

#### 4.6.1 Time evolution of expectation values

We can simply calculate the time evolved expectation value by considering the inner product of the time evolved wavefunction,

$$\langle \hat{x} \rangle(t) = \langle \psi(t) | \hat{x} | \psi(t) \rangle. \quad (4.56)$$

## 4.7 Simple Harmonic Oscillator

The potentials which we have studied are often not as simple. We often wish to study arbitrary potentials  $V(x)$ , however the Hamiltonian concerning arbitrary potentials are very difficult to solve. We often employ approximations of continuous potentials, particularly about stationary points  $x_0$ ,

$$V(x - x_0) = V(x_0) + (x - x_0) \left. \frac{dV}{dx} \right|_{x_0} + \frac{1}{2}(x - x_0)^2 \left. \frac{d^2V}{dx^2} \right|_{x_0} + \dots \quad (4.57)$$

We can neglect the offset of our expansion, which leads to the first term of the expansion to be the quadratic term, which results in the approximation being a *harmonic oscillator*.

We can write the hamiltonina of the harmonic oscillator,

$$\hat{H} = \frac{\hat{p}^2}{2m} + \frac{1}{2}m\omega^2\hat{x}^2. \quad (4.58)$$

This Hamiltonian is very difficult to solve by itself. We can instead reformulate it in terms of the lowering and raising operators, defined by,

$$\hat{a}_+ = \sqrt{\frac{m\omega}{2\hbar}} \left( \hat{x} - i\frac{\hat{p}}{m\omega} \right) \quad \text{Raising} \quad (4.59)$$

$$\hat{a}_- = \sqrt{\frac{m\omega}{2\hbar}} \left( \hat{x} + i\frac{\hat{p}}{m\omega} \right) \quad \text{Lowering} \quad (4.60)$$

Let us notice that  $\hat{a}_+$  is the Hermitian conjauge of  $\hat{a}_-$  and vice versa. Using these definitions, we can rewrite the position and momentum operators,

$$\hat{x} = \sqrt{\frac{\hbar}{2m\omega}} (\hat{a}_+ + \hat{a}_-) \quad \hat{p} = \sqrt{\frac{\hbar m\omega}{2}} (\hat{a}_+ - \hat{a}_-) \quad (4.61)$$

Let us analyse the behaviour of  $\hat{a}_+\hat{a}_-$ ,

$$\begin{aligned} \hat{a}_+\hat{a}_- &= \frac{m\omega}{2\hbar} \left( \hat{x} - \frac{i\hat{p}}{m\omega} \right) \left( \hat{x} + \frac{i\hat{p}}{m\omega} \right) \\ &= \frac{m\omega}{2\hbar} \left( \hat{x}^2 + \frac{i}{m\omega} \underbrace{[\hat{x}\hat{p} - \hat{p}\hat{x}]}_{[\hat{x},\hat{p}]=i\hbar} + \frac{\hat{p}^2}{m^2\omega^2} \right). \end{aligned} \quad (4.62)$$

Thus, we can write the Hamiltonian,

$$\hat{H} = \hbar\omega \left( \hat{a}_+\hat{a}_- + \frac{1}{2} \right). \quad (4.63)$$

Let us now consider the behaviour of the lowering and raising operators. We must impose the ladder termination condition on the lowering operator, which states that when acting on a ground state  $|0\rangle$ ,

$$\hat{a}_- |0\rangle = 0. \quad (4.64)$$

The lowering and raising operators general have a behaviour,

$$\hat{a}_\pm |n\rangle \rightarrow |n \pm 1\rangle. \quad (4.65)$$

Let us keep in mind that  $\langle n|n\rangle = 1$ , so we require the lowering and raising operators to preserve normalisation. We then require,

$$\begin{aligned} \langle n-1|n-1\rangle &= \langle n|\hat{a}_+\hat{a}_-|n\rangle = 1 \\ \langle n|n|n\rangle &= n \langle n|n\rangle = 1 \end{aligned} \quad (4.66)$$

thus the normalisation conditions are,

$$\hat{a}_- |n\rangle = \sqrt{n} |n-1\rangle \quad (4.67)$$

$$\hat{a}_+ |n\rangle = \sqrt{n+1} |n+1\rangle. \quad (4.68)$$

We can define a Hermitian operator  $\hat{N}$ ,

$$\hat{a}_+ \hat{a}_- = \hat{N} \quad (4.69)$$

which behaves,

$$\hat{N} |n\rangle = n |n\rangle \quad (4.70)$$

i.e., it tells you the energy level of the observed state.

#### 4.7.1 Energy Eigenstates of the Simple Harmonic Oscillator

Analysing the Hamiltonian more closely, if we attempt to act on an  $n$ th energy eigenstate,

$$\begin{aligned} \hat{H} |n\rangle &= \hbar\omega \left( \hat{a}_+ \hat{a}_- + \frac{1}{2} \right) |n\rangle \\ &= \hbar\omega \left( n + \frac{1}{2} \right) |n\rangle \end{aligned} \quad (4.71)$$

therefore, the energy of the  $n$ th eigenstate is given by,

$$E_n = \hbar\omega \left( n + \frac{1}{2} \right) \quad n \in \mathbb{Z}^+. \quad (4.72)$$

Let us now analyse the eigenfunctions of the harmonic oscillator. We have that,

$$\hat{a}_- \phi_0(x) = 0 \quad (4.73)$$

where  $\phi_0(x)$  indicates the ground state of the energy eigenfunction. We then have,

$$\begin{aligned} \frac{\partial}{\partial x} \phi_0(x) &= -\frac{m\omega}{\hbar} x \phi_0(x) \\ \implies \phi_0(x) &= A e^{-\alpha x^2} \end{aligned} \quad (4.74)$$

by inspection. By normalising the wavefunction, we find that the ground energy eigenfunction is given by,

$$\phi_0(x) = \left( \frac{m\omega}{\pi\hbar} \right)^{\frac{1}{4}} e^{-\frac{m\omega x^2}{2\hbar}}. \quad (4.75)$$

Subsequent energy eigenfunctions can be found by using the raising operator.

#### 4.7.2 Matrix Treatment of the Simple Harmonic Oscillator

We can find the matrix form of the raising and lowering operators by considering,

$$\begin{aligned} \langle n | \hat{a}_- | m \rangle &= \langle n | \sqrt{m} | m-1 \rangle \\ &= \sqrt{m} \langle n | m-1 \rangle \\ &= \sqrt{m} \delta_{n(m-1)} \end{aligned} \quad (4.76)$$

which we can write in matrix form,

$$a_- = \begin{pmatrix} 0 & \sqrt{1} & 0 & 0 & \cdots \\ 0 & 0 & \sqrt{2} & 0 & \cdots \\ 0 & 0 & 0 & \sqrt{3} & \cdots \\ 0 & 0 & 0 & 0 & \cdots \\ \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix} \quad (4.77)$$



Similarly for the raising operator,

$$a_+ = \begin{pmatrix} 0 & 0 & 0 & 0 & \cdots \\ \sqrt{1} & 0 & 0 & 0 & \cdots \\ 0 & \sqrt{2} & 0 & 0 & \cdots \\ 0 & 0 & \sqrt{3} & 0 & \cdots \\ 0 & 0 & 0 & \ddots & \\ \vdots & \vdots & \vdots & & \ddots \end{pmatrix} \quad (4.78)$$

From this, we can find the matrix form of the momentum operator by eq. (4.61),

$$p = \sqrt{\frac{\hbar m \omega}{2}} \begin{pmatrix} 0 & -i\sqrt{1} & 0 & 0 & \cdots \\ i\sqrt{1} & 0 & -i\sqrt{2} & 0 & \cdots \\ 0 & i\sqrt{2} & 0 & -i\sqrt{3} & \cdots \\ 0 & 0 & i\sqrt{3} & 0 & \cdots \\ \vdots & \vdots & \vdots & & \ddots \end{pmatrix} \quad (4.79)$$

## 4.8 First Order Perturbation Theory

For non-trivial potentials, we can make a first order approximation if the potential can be described as a trivial potential summed with a perturbation. For a potential with energy levels  $E_n$  which can be approximated some 0<sup>th</sup> order potential with energy levels  $E_n^{(0)}$  and energy eigenstates  $|n^{(0)}\rangle$ , plus some perturbation  $\hat{H}$ , the energy levels of the potential is given by,

$$E_n = E_n^{(0)} + \langle n^{(0)} | \hat{H} | n^{(0)} \rangle. \quad (4.80)$$

# Appendix A

## Matrix Mathematics

### A.1 Eigenvalues and Eigenvectors

For a matrix  $A$ , there exists a set of eigenvectors  $\{\mathbf{v}_i\}$  which are *invariant* under the transformation by the matrix, other than by scaling of the set of eigenvalues  $\{\lambda_j\}$ . This can be satisfied by,

$$A\mathbf{v} = \lambda\mathbf{v}. \quad (\text{A.1})$$

If two or more eigenvectors share an eigenvalue they are *degenerate*.

Suppose a matrix  $A$  with eigenvalue  $\{\lambda_j\}$  and eigenvectors  $\{\mathbf{v}_i\}$ .  $\forall$  scalars  $a$ ,

$$A\mathbf{v} + aI\mathbf{v} = (\lambda + a)\mathbf{v}. \quad (\text{A.2})$$

We can then calculate eigenvalues by,

$$\det\{A - \lambda I\} = 0 \quad (\text{A.3})$$

from which the corresponding eigenvectors can be found.

If a matrix  $A$  and its eigenvalues  $\lambda$  is raised to some power  $n$ , then the eigenvalues of the exponentiated matrix correspond to  $\lambda$  raised to the power  $n$ . Or,

$$A^n\mathbf{v} = \lambda^n\mathbf{v}. \quad (\text{A.4})$$

For a diagonalised matrix, its eigenvalues correspond to the values on the diagonal, and its eigenvectors are the basis vectors of the space.

### A.2 Hermitian Matrices

A Hermitian matrix  $H$  is one that is invariant under a Hermitian conjugate, i.e.,

$$H^\dagger = H. \quad (\text{A.5})$$

Property 1: *The eigenvalues of a Hermitian matrix are real.*

*Proof.* Consider a Hermitian matrix  $H$ . Take the Hermitian conjugate of its eigenvectors,

$$H^\dagger\mathbf{v}^\dagger = \lambda^*\mathbf{v}^\dagger. \quad (\text{A.6})$$

Since  $H$  is hermitian, then,

$$\mathbf{v}^\dagger H = \lambda^*\mathbf{v}^\dagger. \quad (\text{A.7})$$

Now consider,

$$\mathbf{v}^\dagger H\mathbf{v} = \lambda\mathbf{v}^\dagger\mathbf{v} \quad (\text{A.8})$$

and taking the conjugate,

$$\mathbf{v}^\dagger H\mathbf{v} = \lambda^*\mathbf{v}^\dagger\mathbf{v}. \quad (\text{A.9})$$

We can equate eqs. (A.8) and (A.9),

$$\lambda\mathbf{v}^\dagger\mathbf{v} = \lambda^*\mathbf{v}^\dagger\mathbf{v}. \quad (\text{A.10})$$

If  $\mathbf{v}$  is non-trivial,  $\lambda = \lambda^* \therefore \lambda \in \mathbb{R}$ . □

Property 2: *The eigenvectors of a Hermitian matrix corresponding to different eigenvalues are orthogonal.*

*Proof.* Let  $\lambda_1$  and  $\lambda_2$  be the eigenvalues of a Hermitian matrix  $H$ , with eigenvectors  $\mathbf{v}_1$  and  $\mathbf{v}_2$  respectively. We have,

$$\mathbf{v}_2^\dagger H \mathbf{v}_1 = \lambda_1 \mathbf{v}_2^\dagger \mathbf{v}_1 \quad (\text{A.11})$$

$$\mathbf{v}_1^\dagger H \mathbf{v}_2 = \lambda_2 \mathbf{v}_1^\dagger \mathbf{v}_2. \quad (\text{A.12})$$

Taking the Hermitian conjugate of eq. (A.12),

$$\mathbf{v}_2^\dagger H \mathbf{v}_1 = \lambda_2 \mathbf{v}_2^\dagger \mathbf{v}_1. \quad (\text{A.13})$$

Equating eqs. (A.11) and (A.13),

$$\lambda_1 \mathbf{v}_2^\dagger \mathbf{v}_1 = \lambda_2 \mathbf{v}_2^\dagger \mathbf{v}_1. \quad (\text{A.14})$$

Given  $\lambda_1 \neq \lambda_2$ , we require  $\mathbf{v}_2^\dagger \mathbf{v}_1 = 0 \therefore \mathbf{v}_1$  and  $\mathbf{v}_2$  are orthogonal.  $\square$

A consequence of property 2 is that a Hermitian matrix can always be diagonalised under a basis transformation.

## Appendix B

# Postulates of Quantum Mechanics

Below are all the postulates of quantum mechanics, stated in full.

1. The quantum state  $|\psi\rangle$  of a system is represented by a normalised vector with complex elements.
2. A measurement  $\mathcal{M}$  of a quantum system is a set of Hermitian linear operators  $\{\hat{M}_i\}$  with non-negative eigenvalues that satisfy

$$\sum_i \hat{M}_i = \hat{I}. \quad (\text{B.1})$$

The Born rule states that the probability of obtaining an outcome  $i$  when in state  $\psi$  is,

$$P(i) = \langle \psi | \hat{M}_i | \psi \rangle. \quad (\text{B.2})$$

3. The time evolution of a closed quantum system is governed by the Schrödinger equation,

$$i\hbar \frac{d|\psi\rangle}{dt} = \hat{H} |\psi\rangle \quad (\text{B.3})$$

where  $\hat{H}$  is a Hermitian operator known as the Hamiltonian. The Schrödinger equation has solution,

$$|\psi(t)\rangle = \exp\left(-i\frac{\hat{H}(t-t_0)}{\hbar}\right) |\psi(t_0)\rangle. \quad (\text{B.4})$$

4. The state space of a composite physical system is the tensor product  $\otimes$  of the component physical systems.