

# Advanced Dynamics

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# Chapter 1

## Preliminary Knowledge

### 1.1 Einstein Summation Convention

We may think of all quantities as tensors with different **ranks**. The rank of a tensor determines how many indices it has. **Scalars** have a rank of 0, **vectors** have a rank of 1, **matrices** have a rank of 2, and all other tensors can have a rank of some  $N$ .

Often in theoretical physics, tensors are shown using index notation. This is shown below,

Scalars	$A$
Vectors	$A_i$
Matrices	$A_{ij}$
Tensors	$A_{i_1, i_2, \dots, i_N}$

The Einstein summation convention allows us to write summations of tensors more compactly. Such that,

$$C = \sum_i A_i B_i \quad (1.1)$$

can be written instead as,

$$C = A_i B_i. \quad (1.2)$$

This notation follows certain rules,

1. Indices which are summed over must **only appear twice**.
2. Free indices on each side of an equation must be the same.

Valid and invalid cases of this convention are shown below,

Valid	Invalid
$C_j = A_{ij} B_i$	$C_i = A_{ij} B_{jk}$
$C_i = D_i A_j B_j$	$C_i = A_j B_j$

In this notation, the expression in (1.3) would expand as so,

$$(A_i B_i)^2 = \left( \sum_i A_i B_i \right) \left( \sum_j A_j B_j \right) = A_i B_i A_j B_j. \quad (1.3)$$

### 1.2 Vectors and Tensors

The aim in this section is to create a general way of defining co-ordinate systems. Before we do this, we must introduce 2 special functions and their identities, discussed in the sections below.

### 1.2.1 Kronecker Delta Function $\delta_{ij}$

This function is **isotropic**, meaning it is the same in all co-ordinate frames. It is a matrix defined as,

$$\delta_{ij} = \begin{cases} 1, & i = j \\ 0, & i \neq j \end{cases}. \quad (1.4)$$

This function has the following rules,

1. **Symmetry.**

$$\delta_{ij} = \delta_{ji} \quad (1.5)$$

2. **Index Contraction.**

$$\delta_{ij}\delta_{jk} = \delta_{ik} \quad (1.6)$$

3. **Index Transfer.**

$$A_{ij}\delta_{ik} = A_{jk} \quad (1.7)$$

4. **Equal Indices Summation.**

$$\delta_{ii} = N \rightarrow \text{Where } N \text{ is the rank of the tensor.} \quad (1.8)$$

### 1.2.2 Levi-Civite Symbol $\epsilon_{ijk}$

This function is also **isotropic**, meaning it is the same in all co-ordinate frames. This function is defined as,

$$\epsilon_{ijk} = \begin{cases} +1, & \text{Cyclic Permutations} \Rightarrow (1, 2, 3), (2, 3, 1), (3, 1, 2) \\ -1, & \text{Anticyclic Permutations} \Rightarrow (3, 2, 1), (1, 3, 2), (2, 1, 3) \\ 0, & i = j \text{ or } i = k \text{ or } j = k \text{ or } i = j = k \end{cases} \quad (1.9)$$

The product  $\epsilon_{ijk}\epsilon_{lmn}$  can be written in terms of  $\delta$ . We consider the tensor of values  $(i, j, k)$  to remain in that order, while considering all permutations of  $(l, m, n)$  and considering their values. So,

$$\begin{aligned} \epsilon_{ijk}\epsilon_{lmn} = & +\delta_{il}\delta_{jm}\delta_{kn} + \delta_{im}\delta_{jn}\delta_{kl} + \delta_{in}\delta_{jl}\delta_{km} \\ & - \delta_{in}\delta_{jm}\delta_{kl} - \delta_{il}\delta_{jn}\delta_{km} - \delta_{im}\delta_{jl}\delta_{kn}. \end{aligned} \quad (1.10)$$

### 1.2.3 General Coordinate Systems

Let us consider two co-ordinate systems,  $S$  and  $S'$ , with basis vectors  $\mathbf{e}_i$  and  $\mathbf{e}'_i$ . The bases are **orthonormal**, so we can define,

$$\mathbf{e}_i \cdot \mathbf{e}_j = \delta_{ij} \quad \mathbf{e}_i \times \mathbf{e}_j = \epsilon_{ijk}\mathbf{e}_k \quad (1.11)$$

$$\mathbf{e}'_i \cdot \mathbf{e}'_j = \delta_{ij} \quad \mathbf{e}'_i \times \mathbf{e}'_j = \epsilon_{ijk}\mathbf{e}'_k \quad (1.12)$$

for right-handed co-ordinate systems.

Let us now consider a vector  $\mathbf{A}$  defined as  $A_i$  in  $S$  and  $A'_i$  in  $S'$ , and,

$$\mathbf{A} = A_i\mathbf{e}_i = A'_j\mathbf{e}'_j. \quad (1.13)$$

We can dot expression (1.13) with  $\mathbf{e}'_k$  to get,

$$\begin{aligned} A'_j\mathbf{e}'_j \cdot \mathbf{e}'_k &= A_i\mathbf{e}_i \cdot \mathbf{e}'_k, \\ A_j\delta_{jk} &= A_i\mathbf{e}_i \cdot \mathbf{e}'_k. \end{aligned} \quad (1.14)$$

We can define

$$L_{ik} = \mathbf{e}_i \cdot \mathbf{e}'_k \quad (1.15)$$

where  $L_{ik}$  is the rotation matrix allowing for the conversion from  $S$  to  $S'$ . Further, by applying (1.7), we get an expression,

$$A'_k = L_{ik}A_i \quad (1.16)$$

which is a key property of all vectors. We can further deduce, by taking  $|\mathbf{A}'|^2$  and asserting  $|\mathbf{A}'|^2 \equiv |\mathbf{A}|^2$ , we obtain,

$$L_{ik}L_{jk} = \delta_{ij}, \quad (1.17)$$

this implied that the matrix is orthogonal, meaning,

$$LL^T = I. \quad (1.18)$$

We can extend (1.16) to tensors of all ranks. The conversion between frames of a rank  $N$  tensor is given by,

$$A'_{i_1, \dots, i_N} = L_{i_1, j_1} \cdots L_{i_N, j_N} A_{j_1} A_{j_2}. \quad (1.19)$$

Since  $\delta_{ij}$  and  $\epsilon_{ijk}$  are the same in all frames, we are able to define,

$$\begin{aligned} \mathbf{A} \cdot \mathbf{B} &= \delta_{ij} A_i B_j = A_i B_i \\ \mathbf{A} \times \mathbf{B} &= \mathbf{e}_i \cdot \epsilon_{ijk} A_j B_k \\ (\mathbf{A} \times \mathbf{B})_i &= \epsilon_{ijk} A_j B_k \end{aligned} \quad (1.20)$$

### 1.3 Newton's Laws and Inertial Frames

For non-relativistic velocities,

$$\begin{aligned} \mathbf{x}' &= \mathbf{x} - \mathbf{v}t, \\ t' &= t. \end{aligned} \quad (1.21)$$

Newton's laws of motion are,

**Law 1.** *there exists at least one inertial frame with respect to which a point mass moves in a straight line,*

**Law 2.** *force is the rate of change of linear momentum, that is,  $\mathbf{F} = \dot{\mathbf{p}} = m\mathbf{a}$ ,*

**Law 3.** *if a body A exerts a force  $\mathbf{F}_{AB}$  on body B, then body B exerts a force  $\mathbf{F}_{BA} = -\mathbf{F}_{AB}$  on body A.*

We must further remember that the angular momentum is,

$$\mathbf{L} = \mathbf{r} \times \mathbf{p} = m\mathbf{r} \times \mathbf{v} = m\mathbf{r} \times \dot{\mathbf{r}}, \quad (1.22)$$

and torque ( $\mathbf{M}$ ) is given by the rate of change of angular momentum,

$$\mathbf{M} = \dot{\mathbf{L}} \quad (1.23)$$

### 1.4 2D Polar Co-Ordinates

$$\mathbf{x} = r\hat{\mathbf{r}} \quad (1.24)$$

$$\mathbf{v} = \dot{r}\hat{\mathbf{r}} + r\dot{\theta}\hat{\boldsymbol{\theta}} \quad (1.25)$$

$$\mathbf{a} = (\ddot{r} - r\dot{\theta}^2)\hat{\mathbf{r}} + (r\ddot{\theta} + 2\dot{r}\dot{\theta})\hat{\boldsymbol{\theta}} \quad (1.26)$$

### 1.5 2 Body Problems

This problem relates to masses under a central force. When dealing with 2 body problems, it is always best to approach the problem using the reduced mass,  $\mu$ ,

$$\mu = \frac{m_1 m_2}{M} \quad (1.27)$$

where  $M = m_1 + m_2$ . We define the position of the centre of mass as,

$$\mathbf{R} = \frac{m_1 \mathbf{r}_1 + m_2 \mathbf{r}_2}{M}, \quad (1.28)$$

where  $\mathbf{r}_1$  and  $\mathbf{r}_2$  are the positions of the two bodies. Their relative position,  $\mathbf{r}$  is given by,

$$\mathbf{r} = \mathbf{r}_2 - \mathbf{r}_1. \quad (1.29)$$

We can define  $\mathbf{r}_1$  and  $\mathbf{r}_2$  in terms of the masses,  $\mathbf{R}$ , and  $\mathbf{r}$ , as well as the positions relative to the centre of mass,  $\mathbf{r}'_1$  and  $\mathbf{r}'_2$ ,

$$\mathbf{r}_1 = \mathbf{R} + \frac{m_2}{M}\mathbf{r}, \quad \mathbf{r}_2 = \mathbf{R} - \frac{m_1}{M}\mathbf{r}, \quad (1.30)$$

$$\mathbf{r}'_1 = \frac{m_2}{M}\mathbf{r}, \quad \mathbf{r}'_2 = -\frac{m_1}{M}\mathbf{r}. \quad (1.31)$$

By finding equations of motion, we are able to derive key formulas for the 2 body problem,

$$\mathbf{F} = \mu \dot{\mathbf{v}} \quad (1.32)$$

$$\mathbf{p}'_1 = \mu \mathbf{v} \quad (1.33)$$

$$\mathbf{p}'_2 = -\mu \mathbf{v} \quad (1.34)$$

$$\mathbf{P}_{\text{TOT}} = m_1 \mathbf{v}_1 + m_2 \mathbf{v}_2 = M \mathbf{V} \quad (1.35)$$

$$\mathbf{L}_{\text{TOT}} = M \mathbf{R} \times \mathbf{V} + \mu \mathbf{r} \times \mathbf{v} \quad (1.36)$$

$$\dot{\mathbf{L}}_{\text{TOT}} = 0 \quad (1.37)$$

$$K_{\text{TOT}} = \frac{1}{2} M \mathbf{V}^2 + \frac{1}{2} \mu \mathbf{v}^2 \quad (1.38)$$

## Chapter 2

# Non-Inertial Frames of Reference

### 2.1 Non-Inertial Reference Frames

Consider two reference frames,  $S$  and  $S'$  as in figure 2.1. The transformation equations between position

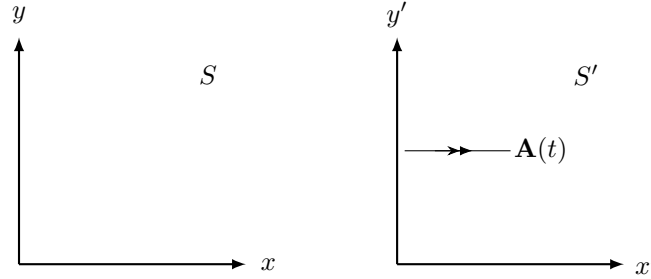


Figure 2.1: Two reference frames.  $S$  is an inertial reference frame, while  $S'$  is a non-inertial reference frame with an acceleration  $\mathbf{A}(t)$ .

co-ordinates are given by,

$$\mathbf{x}'(t) = \mathbf{x}(t) - \mathbf{X}(t), \quad (2.1)$$

where  $\mathbf{X}(t)$  is the position origin  $O'$  relative to  $O$ . Differentiating this, we can get an acceleration transformation equation,

$$\mathbf{a}'(t) = \mathbf{a}(t) - \mathbf{A}(t). \quad (2.2)$$

We can apply Newton's second law. We can say that in the frame  $S$ , there is a force acting on a particle such that,

$$\mathbf{F} = m\mathbf{a}(t), \quad (2.3)$$

which we can rewrite as,

$$\mathbf{F} = m(\mathbf{a}' + \mathbf{A}). \quad (2.4)$$

If we define  $\mathbf{F}' = m\mathbf{a}'$  as the force acting on a particle as seen by an observer in  $S'$ . Further, we can write this as,

$$\mathbf{F}' = \mathbf{F} - m\mathbf{A}. \quad (2.5)$$

This implies that the observer observes the actual force acting on a particle, in addition to a **fictitious force** due to the acceleration of the frame, defined as,

$$\mathbf{F}_{\text{FICT}} = -m\mathbf{A}. \quad (2.6)$$

We can then write succinctly,

$$\mathbf{F}' = \mathbf{F} + \mathbf{F}_{\text{FICT}}. \quad (2.7)$$



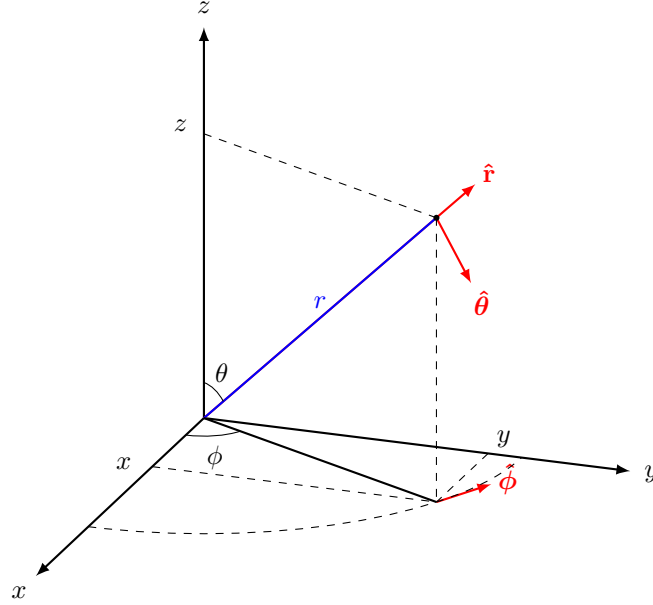


Figure 2.2: Spherical polar co-ordinates.

## 2.2 Spherical Polar Co-Ordinates

We can define spherical polar coordinates  $(r, \theta, \phi)$  in terms of Cartesian coordinates,

$$x = r \sin \theta \cos \phi \quad y = r \sin \theta \sin \phi \quad z = r \cos \theta, \quad (2.8)$$

and vice versa,

$$r = \sqrt{x^2 + y^2 + z^2} \quad \theta = \arccos \frac{z}{r} \quad \phi = \arctan \frac{y}{x}. \quad (2.9)$$

We can define the basis vectors by,

$$\mathbf{e}_r = \sin \theta (\cos \phi \mathbf{e}_x + \sin \phi \mathbf{e}_y) + \cos \theta \mathbf{e}_z \quad (2.10)$$

$$\mathbf{e}_\theta = \cos \theta (\cos \phi \mathbf{e}_x + \sin \phi \mathbf{e}_y) - \sin \theta \mathbf{e}_z \quad (2.11)$$

$$\mathbf{e}_\phi = -\sin \phi \mathbf{e}_x + \cos \phi \mathbf{e}_y, \quad (2.12)$$

and vice versa,

$$\mathbf{e}_x = \cos \phi (\sin \theta \mathbf{e}_r + \cos \theta \mathbf{e}_\theta) - \sin \phi \mathbf{e}_\phi \quad (2.13)$$

$$\mathbf{e}_y = \sin \phi (\sin \theta \mathbf{e}_r + \cos \theta \mathbf{e}_\theta) + \cos \phi \mathbf{e}_\phi \quad (2.14)$$

$$\mathbf{e}_z = \cos \theta \mathbf{e}_r - \sin \theta \mathbf{e}_\theta. \quad (2.15)$$

This coordinate system is important when considering rotating frames of reference.

### 2.2.1 The Angular Momentum Vector

Let's consider a stationary frame  $S$  with basis vectors  $\mathbf{e}_i = \{\mathbf{e}_x, \mathbf{e}_y, \mathbf{e}_z\}$  and a rotating frame  $S'$  with basis vectors  $\mathbf{e}'_i = \{\mathbf{e}_r, \mathbf{e}_\theta, \mathbf{e}_\phi\}$ . By differentiating, we can show,

$$\dot{\mathbf{e}}_r = \dot{\theta} \mathbf{e}_\theta + \dot{\phi} \sin \theta \mathbf{e}_\phi \quad (2.16)$$

$$\dot{\mathbf{e}}_\theta = -\dot{\theta} \mathbf{e}_r + \dot{\phi} \cos \theta \mathbf{e}_\phi \quad (2.17)$$

$$\dot{\mathbf{e}}_\phi = -\dot{\phi} (\sin \theta \mathbf{e}_r + \cos \theta \mathbf{e}_\theta). \quad (2.18)$$

We can then try and relate these to the **angular velocity vector**,  $\boldsymbol{\omega} = \omega \hat{\mathbf{n}}$  where  $\hat{\mathbf{n}}$  is the direction the axis of rotation is pointing in. By asserting that  $\theta$  rotates about  $\mathbf{e}_\phi$  and that  $\phi$  rotates about  $\mathbf{e}_z$ , we can write,

$$\boldsymbol{\omega} = \dot{\phi} (\cos \theta \mathbf{e}_r - \sin \theta \mathbf{e}_\theta) + \dot{\theta} \mathbf{e}_\phi. \quad (2.19)$$

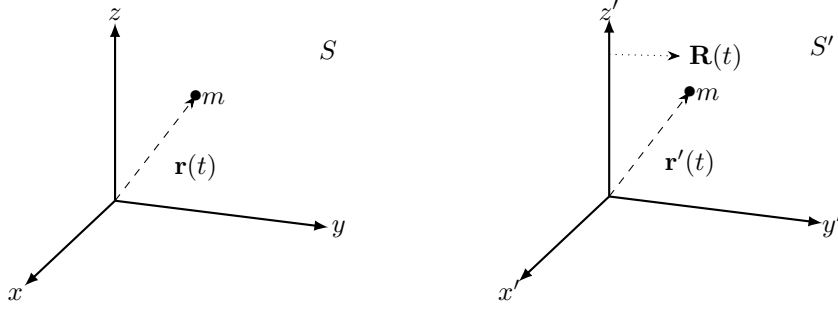


Figure 2.3: Two reference frames, one mouldy.

We are then able to write succinctly,

$$\boldsymbol{\omega} = \omega'_k \mathbf{e}'_k \quad (2.20)$$

where

$$\omega'_k = \begin{cases} \dot{\phi} \cos \theta & k = 1 \\ -\dot{\phi} \sin \theta & k = 2 \\ \dot{\theta} & k = 3. \end{cases} \quad (2.21)$$

By taking the cross product of the angular velocity and basis vector, we find that,

$$\dot{\mathbf{e}}'_i = \boldsymbol{\omega} \times \mathbf{e}'_i = \omega'_k \mathbf{e}'_k \times \mathbf{e}'_i \quad (2.22)$$

Thus, by the application of (1.11), the rate of change of any basis vector in a rotating frame is given by,

$$\dot{\mathbf{e}}'_i = \epsilon_{jik} \mathbf{e}'_j \omega'_k. \quad (2.23)$$

## 2.3 The Coriolis Force

First, we will find a way to write the time derivative of vectors using the angular velocity vector. Consider an arbitrary vector  $\mathbf{A} = A_i(t) \mathbf{e}_i = A_j(t) \mathbf{e}_j(t)$  in a reference frame  $S$  with stationary basis vectors, and a rotating frame  $S'$  with time-dependent basis vectors. Now, we will consider the time derivative,

$$\begin{aligned} \dot{\mathbf{A}} &= \dot{A}_i \mathbf{e}_i = \dot{A}'_j \mathbf{e}'_j + A'_j \dot{\mathbf{e}}'_j \\ &= \dot{A}'_j \mathbf{e}'_j + A'_j \boldsymbol{\omega} \times \mathbf{e}'_j \\ &= \dot{\mathbf{A}}|_S = \dot{\mathbf{A}}|_{S'} + \boldsymbol{\omega} \times \mathbf{A}. \end{aligned}$$

We define the derivatives measured relative to the basis vectors of each frame as,

$$\dot{\mathbf{A}}|_S = \dot{A}_i \mathbf{e}_i \quad \dot{\mathbf{A}}|_{S'} = \dot{A}'_i \mathbf{e}'_i. \quad (2.24)$$

We will now consider a mass  $m$  at some point in the two frames, as in figure 2.3. We will state,

$$\mathbf{r}(t) = \mathbf{R}(t) + \mathbf{r}'(t). \quad (2.25)$$

Differentiating to obtain velocity, we get

$$\begin{aligned} \mathbf{v} = \dot{\mathbf{r}}|_S &= \dot{\mathbf{R}}|_S + \dot{\mathbf{r}}'|_S \\ &= \dot{\mathbf{R}}|_S + \dot{\mathbf{r}}'|_{S'} + \boldsymbol{\omega} \times \mathbf{r}' \\ &= \dot{\mathbf{R}}|_S + \mathbf{v}' + \boldsymbol{\omega} \times \mathbf{r}', \end{aligned} \quad (2.26)$$

where,

$$\dot{\mathbf{R}}|_S \rightarrow \text{Relative velocity of } S \text{ in } S'. \quad \boldsymbol{\omega} \times \mathbf{r}' \rightarrow \text{Velocity induced by rotation.} \quad (2.27)$$

We will now obtain acceleration, but first note,

$$\begin{aligned} \dot{\boldsymbol{\omega}}|_{S'} &= \dot{\boldsymbol{\omega}}|_S + \boldsymbol{\omega} \times \boldsymbol{\omega} \\ &= \dot{\boldsymbol{\omega}}|_S. \end{aligned} \quad (2.28)$$

Now, differentiating equation (2.26),

$$\begin{aligned}
 \mathbf{a} = \dot{\mathbf{v}}|_S &= \ddot{\mathbf{R}}|_S + \dot{\mathbf{v}}'|_S + \dot{\boldsymbol{\omega}} \times \mathbf{r}' + \boldsymbol{\omega} \times \dot{\mathbf{r}}'|_S \\
 &= \ddot{\mathbf{R}}|_S + \dot{\mathbf{v}}|_{S'} + \boldsymbol{\omega} \times \mathbf{v}' + \dot{\boldsymbol{\omega}} \times \mathbf{r}' + \boldsymbol{\omega} \times (\mathbf{v}' + \boldsymbol{\omega} \times \mathbf{r}') \\
 &= \ddot{\mathbf{R}}|_S + \mathbf{a}' + 2\boldsymbol{\omega} \times \mathbf{v}' + \boldsymbol{\omega} \times (\boldsymbol{\omega} \times \mathbf{r}').
 \end{aligned} \tag{2.29}$$

We drop the angular acceleration term as it is rarely ever considered in problems.

We now wish to consider Newton's second law. We understand that in non-inertial frames,

$$\mathbf{F} + \mathbf{F}_{\text{FICT}} = \mathbf{F}' = m\mathbf{a}'. \tag{2.30}$$

Thus, we can get an expression for the fictitious force,

$$\mathbf{F}_{\text{FICT}} = -m\ddot{\mathbf{R}}|_S - 2m\boldsymbol{\omega} \times \mathbf{v}' - m\boldsymbol{\omega} \times (\boldsymbol{\omega} \times \mathbf{r}'). \tag{2.31}$$

Breaking down this equation,

$$-m\ddot{\mathbf{R}}|_S \rightarrow \text{Force due to the relative acceleration between frames.} \tag{2.32}$$

$$-2m\boldsymbol{\omega} \times \mathbf{v}' \rightarrow \text{Coriolis force.} \tag{2.33}$$

$$-m\boldsymbol{\omega} \times (\boldsymbol{\omega} \times \mathbf{r}') \rightarrow \text{Centrifugal force.} \tag{2.34}$$

## Chapter 3

# Conservative Forces and Gravitation

We must first understand some vector calculus. We can define the **grad** operator as,

$$\nabla = \mathbf{e}_i \frac{\partial}{\partial r_i}. \quad (3.1)$$

We can define the **curl** of a vector as,

$$\nabla \times \mathbf{v} = \mathbf{e}_i \epsilon_{ijk} \frac{\partial v_k}{\partial r_j}. \quad (3.2)$$

We can define the **div** of a vector as,

$$\nabla \cdot \mathbf{v} = \frac{\partial v_i}{\partial r_i}. \quad (3.3)$$

### 3.1 Work

We know,

$$W_{AB} = \int_A^B \mathbf{F} \cdot d\mathbf{r} = \frac{1}{2} (|\mathbf{v}_B|^2 - |\mathbf{v}_A|^2), \quad (3.4)$$

thus,

$$\Delta K = \int_A^B \mathbf{F} \cdot d\mathbf{r} = - \int_A^B dU = -\Delta U. \quad (3.5)$$

and when the kinetic and potential energy is summed, the expression is equal to a constant. We can then define,

$$dU = \frac{\partial U}{\partial x} dx + \frac{\partial U}{\partial y} dy + \frac{\partial U}{\partial z} dz \quad (3.6)$$

which can be written succinctly,

$$dU = \nabla U \cdot d\mathbf{r}. \quad (3.7)$$

### 3.2 Conservative Forces

We can consider the curl of a conservative force,

$$\nabla \times \mathbf{F} = \mathbf{e}_i \epsilon_{ijk} \frac{\partial}{\partial r_j} \left( \frac{\partial U}{\partial r_k} \right). \quad (3.8)$$

We can write,

$$\epsilon_{ijk} \frac{\partial^2 U}{\partial r_j \partial r_k} = \epsilon_{ikj} \frac{\partial^2 U}{\partial r_k \partial r_j} = -\epsilon_{ijk} \frac{\partial^2 U}{\partial r_j \partial r_k} = 0. \quad (3.9)$$

Hence, we can deduce a conservative force must meet the condition,

$$\nabla \times \mathbf{F} \equiv 0. \quad (3.10)$$

### 3.3 Finding potential from a force

We understand that,

$$\mathbf{F} = -\nabla U. \quad (3.11)$$

Thus, for given  $\mathbf{F}$  in the form,

$$F_i = A_i \mathbf{e}_i \quad (3.12)$$

we can equate it to  $\nabla U$  as,

$$(\nabla U)_i = \frac{\partial U}{\partial r_i} \mathbf{e}_i = A_i \mathbf{e}_i = F_i \quad (3.13)$$

and, for each component,

$$\frac{\partial U}{\partial r_i} = A_i \implies U = \int A_i dr_i \quad (3.14)$$

### 3.4 Central Forces

A central force is one such that it only depends on  $r = |\mathbf{r}|$  and is in the direction of  $\hat{\mathbf{r}}$ , such that,

$$\mathbf{F}(r) = f(r)\hat{\mathbf{r}}. \quad (3.15)$$

For a conservative force, we can define the potential as,

$$U(\mathbf{r}) - U(\mathbf{r}_0) = \int_{r_0}^r f(r') dr'. \quad (3.16)$$

#### 3.4.1 Newtonian Gravity

Newtonian gravity is a conservative central force, such that,

$$\mathbf{F} = -G_N \frac{Mm}{r^2} \hat{\mathbf{r}} \quad U = -G_N \frac{Mm}{r}. \quad (3.17)$$

We are able to work backwards and find the force using the potential using,

$$\mathbf{F} = -\nabla U. \quad (3.18)$$

For Newtonian gravity this is,

$$\mathbf{F} = G_N Mm \nabla \left( \frac{1}{r} \right). \quad (3.19)$$

#### Gradient of a linear function

$$\nabla g(r) = \mathbf{e}_i \frac{\partial g}{\partial r_i} = \mathbf{e}_i \frac{\partial g}{\partial r} \frac{\partial r}{\partial r_i}, \quad (3.20)$$

We want to consider the derivative with respect to  $r^2 = r_j r_j$  with respect to  $r_i$ ,

$$2r \frac{\partial r}{\partial r_i} = \frac{\partial r_j}{\partial r_i} r_j + r_j \frac{\partial r_j}{\partial r_i} = \delta_{ij} r_j + r_j \delta_{ij} = 2r_i, \quad (3.21)$$

thus,

$$\frac{\partial r}{\partial r_i} = \frac{r_i}{r} = \hat{r}_i, \quad (3.22)$$

so,

$$\nabla g(r) = \frac{\partial g}{\partial r} \hat{\mathbf{r}}. \quad (3.23)$$

This produces the expected result.

We can then define the gravitational field strength,  $g(\mathbf{r})$ , and the gravitational potential,  $\Phi(r)$ ,

$$g(\mathbf{r}) = \frac{1}{m} \mathbf{F} = -\nabla \Phi \quad (3.24)$$

$$\Phi(r) = \frac{1}{m} U. \quad (3.25)$$

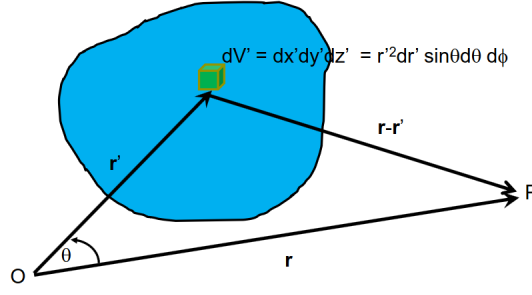


Figure 3.1

### 3.5 Calculating Gravitational Potentials

Let us consider an infinitesimal mass,

$$dM = \rho(\mathbf{r}') dV' \quad (3.26)$$

where  $\mathbf{r}'$  is the vector from the origin to the infinitesimal mass point. We can then find the infinitesimal potential at some point  $\mathbf{r}$  due to  $dM$ ,

$$d\Phi(\mathbf{r}) = -G_N \frac{dM(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|}. \quad (3.27)$$

Let us analyse  $|\mathbf{r} - \mathbf{r}'|$  more closely. We can find that,

$$\begin{aligned} |\mathbf{r} - \mathbf{r}'|^2 &= r^2 + (r')^2 - 2\mathbf{r} \cdot \mathbf{r}' \\ &= r^2 + (r')^2 - 2rr' \cos \theta, \end{aligned} \quad (3.28)$$

which is the cosine rule for a triangle between O,  $\mathbf{r}$ , and  $\mathbf{r}'$ , as in figure 3.1. This is important as it allows us to integrate the potential over a volume using polar coordinates. And thus, to find the total potential at a point  $\mathbf{r}$ , we integrate (3.27) over a volume,

$$\Phi(\mathbf{r}) = -G_N \int_V \frac{\rho(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} dV'. \quad (3.29)$$

**NOTE:**  $\Phi$  satisfies the Poisson equation,  $\nabla^2 \Phi = 4\pi G_N \rho$ , where  $\nabla^2 = \nabla \cdot \nabla$ .

#### 3.5.1 Important Integral

We will soon prove Newton's shell theorem, but we must first compute an important integral,

$$I(a, b) = \int_0^\pi \frac{\sin \theta}{(a^2 + b^2 - 2ab \cos \theta)^{1/2}} d\theta. \quad (3.30)$$

This results in,

$$I(a, b) = \frac{1}{ab} = \left\{ [(a+b)^2]^{\frac{1}{2}} - [(a-b)^2]^{\frac{1}{2}} \right\} \quad (3.31)$$

which can be simplified as,

$$I(a, b) = \begin{cases} \frac{2}{a} & a > b \\ \frac{2}{b} & b < a \end{cases} \quad (3.32)$$

#### 3.5.2 Newton's Shell Theorem

Let us consider a spherically symmetric shell of mass  $M$  and radius  $R$ . We know,

$$\sigma = \frac{M}{4\pi R^2} \quad dA' = R^2 \sin \theta d\theta d\phi. \quad (3.33)$$

So, the potential is given by,

$$\begin{aligned}\Phi(\mathbf{r}) &= -\frac{G_N M}{4\pi R^2} \int_0^{2\pi} d\phi \int_0^\pi \frac{R^2 \sin \theta}{(r^2 + R^2 - 2rR \cos \theta)^{\frac{1}{2}}} d\theta \\ &= -\frac{G_N M}{2} I(r, R).\end{aligned}\tag{3.34}$$

We must now consider the cases where our point is **outside** the shell ( $r > R$ ) and **inside** the shell ( $r < R$ ),

$$\Phi(\mathbf{r}) = \begin{cases} -\frac{G_N M}{r} & r > R \\ -\frac{G_N M}{R} & r < R \end{cases}\tag{3.35}$$

We can see that for  $r < R$ , the gravitational potential is constant. This implies that inside the shell there is no net force, as they all balance out due to the spherical symmetry of the shell.

### 3.5.3 Spherically symmetric mass distribution

We will now calculate the potential of a spherically symmetric mass distribution, with density,

$$\rho(\mathbf{r}) = \begin{cases} \rho(r) & r < R \\ 0 & r > R \end{cases}\tag{3.36}$$

We can integrate over an infinitesimal potential,

$$d\Phi(\mathbf{r}) = -G_N \frac{\rho(\mathbf{r}')}{(r^2 + (r')^2 - 2rr' \cos \theta)^{\frac{1}{2}}} dV',\tag{3.37}$$

for each case.

For  $r > R$ ,

$$\begin{aligned}\Phi(\mathbf{r}) &= -G_N \int_0^R \rho(r')(r')^2 dr' \int_0^{2\pi} d\phi \int_0^\pi \frac{\sin \theta}{(r^2 + (r')^2 - 2rr' \cos \theta)^{\frac{1}{2}}} d\theta \\ &= -2\pi G_N \int_0^R \rho(r')(r')^2 dr' I(r, r'). \\ r > R &\implies r' < r \implies = -\frac{4\pi G_N}{r} \int_0^R \rho(r')(r')^2 dr' = \frac{G_N M_{\text{tot}}}{r}\end{aligned}\tag{3.38}$$

which is consistent with the potential due to a point particle.

Now considering the case  $r < R$ , we will be required to split the integral, such that we have one limit  $0 \rightarrow r$  and then  $r \rightarrow R$ . Thus we obtain an integral,

$$\Phi(\mathbf{r}) = -4\pi G_N \left\{ \frac{1}{r} \int_0^r \rho(r')(r')^2 dr' + \int_r^R \rho(r') r' dr' \right\}.\tag{3.39}$$

Let us take the derivative with respect  $r$  in order to get an expression for the force within the mass and to get better intuition into what is happening in this setup,

$$\begin{aligned}\frac{d\Phi(\mathbf{r})}{dr} &= -4\pi G_N \left\{ -\frac{1}{r^2} \int_0^r \rho(r')(r')^2 dr' \right\} \\ &= \frac{G_N M(r)}{r^2},\end{aligned}\tag{3.40}$$

where  $M(r)$  is the mass inside the radius  $r$ . Thus, the force inside the mass is,

$$\frac{1}{m} \mathbf{F} = -\frac{d\Phi}{dr} \hat{\mathbf{r}} = -\frac{G_N M(r)}{r^2} \hat{\mathbf{r}}\tag{3.41}$$

as expected.

### 3.6 Motion in a central potential

In this section, we will be deriving the equations of motion required in order to be able to work in a centre-of-mass frame of 2 bodies under a **central force**. This is useful as we know that the centre-of-mass frame is inertial. We can further simplify problems by always setting  $\dot{\mathbf{R}} = 0$ . Further, the equation of motion we can derive turns the 3 dimensional problem into a 1 dimensional one, only dependent of  $r$ .

We will then define a specific general potential such that,  $U \propto \frac{1}{r}$ , analogous to electrostatics and Newtonian gravity, by which we will be able to prove Kepler's laws:

**Law 1.** *Planetary orbits around the sun are elliptical with the sun at one of 2 foci.*

**Law 2.** *Lines between the planet and the sun sweep out equal areas in equal intervals.*

**Law 3.** *The orbital period of a planet squared is proportional to the cube of the semi-major axis.*

#### 3.6.1 Energy in a Central Potential

Let us recall that the force in the COM frame is,

$$\mu \ddot{\mathbf{r}} = \mathbf{F} = -\frac{dU}{dr} \hat{\mathbf{r}}. \quad (3.42)$$

We understand that  $\mathbf{r} \cdot \mathbf{r} = r^2 \implies \mathbf{A} \cdot \dot{\mathbf{r}} = A r \dot{r}$ , thus,  $\hat{\mathbf{r}} \cdot \dot{\mathbf{r}} = \dot{r}$ . Then, taking the dot product of (3.42) and  $\dot{\mathbf{r}}$  yields,

$$\mu \dot{\mathbf{r}} \cdot \ddot{\mathbf{r}} = -\dot{r} \frac{dU}{dr} = -\frac{dU}{dt}, \quad (3.43)$$

**NOTE:**  $\dot{\mathbf{r}} \cdot \ddot{\mathbf{r}} = \frac{1}{2} \frac{d}{dt} [\dot{\mathbf{r}}^2]$ , thus we can integrate,

$$-U = \frac{1}{2} \mu \int \frac{d}{dt} [\dot{\mathbf{r}}^2] dt = \frac{1}{2} \dot{\mathbf{r}}^2 + E. \quad (3.44)$$

where  $E$  is the constant of integration. We can then rearrange to get the expression,

$$E = \frac{1}{2} \mu \dot{\mathbf{r}}^2 + U(\mathbf{r}), \quad (3.45)$$

implying that the energy of the system is constant.

#### 3.6.2 Angular Momentum

We know the angular momentum in a COM frame is given by,

$$\mathbf{L} = \mu \mathbf{r} \times \dot{\mathbf{r}}. \quad (3.46)$$

Calculating the torque shows,

$$\frac{d\mathbf{L}}{dt} = \mu (\dot{\mathbf{r}} \times \dot{\mathbf{r}} + \mathbf{r} \times \ddot{\mathbf{r}}) = \mathbf{0} \quad (3.47)$$

thus the angular momentum is constant, and thus is conserved in a COM frame.

Further, computing the dot product of angular momentum with  $\dot{\mathbf{r}}$  yields,

$$\mathbf{L} \cdot \dot{\mathbf{r}} = \mu \mathbf{r} \times \dot{\mathbf{r}} \cdot \dot{\mathbf{r}} = 0, \quad (3.48)$$

thus the angular momentum is perpendicular to velocity, implying the motion is in a plane.

We can use plane polar co-ordinates to describe the motion. This allows us to rewrite,

$$E = \frac{1}{2} \mu (\dot{r}^2 + r^2 \dot{\theta}^2) + U(r), \quad \mathbf{L} = \mu \mathbf{r} \times (\dot{r} \mathbf{e}_r + r \dot{\theta} \mathbf{e}_\theta) = \mu r^2 \dot{\theta} \mathbf{e}_z, \quad (3.49)$$

and conclude the magnitude of  $\mathbf{L}$  is a constant,

$$L = \mu r^2 \dot{\theta}. \quad (3.50)$$



Thus, we can rewrite the total energy of our system as,

$$E = \frac{1}{2}\mu\dot{r}^2 + \frac{L^2}{2\mu r^2} + U(\mathbf{r}) = \frac{1}{2}\mu\dot{r}^2 + U_{\text{eff}}(\mathbf{r}) \quad (3.51)$$

where,

$$U_{\text{eff}}(r) = \frac{L^2}{2\mu r^2} + U(\mathbf{r}). \quad (3.52)$$

Thus, (3.51) is the general equation of motion of a system under a central potential.

### Kepler's Second Law

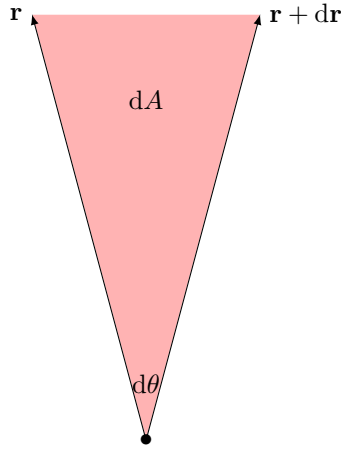


Figure 3.2

We are able to prove this by the conservation of angular momentum we have derived. Let us consider an infinitesimal area  $dA$  (figure 3.2). The area under this element is,

$$dA = \frac{1}{2}r^2 d\theta. \quad (3.53)$$

The change in time is then,

$$\frac{dA}{dt} = \frac{1}{2}r^2\dot{\theta} = \frac{L}{2\mu} \quad (3.54)$$

which is constant, thus proving Kepler's second law as a consequence of the conservation of angular momentum. As we have not made any assumptions about the potential, we can also say that Kepler's second law is true for all systems under a central force.

### 3.6.3 Trajectory of a COM system under a specific potential

We will attempt to find an expression for  $r(\theta)$ , or the trajectory of the system. We will want to find an equation of motion which is easily solved. Firstly, let us define,

$$\frac{1}{r} = u \quad (3.55)$$

where,

$$\dot{u} = -\frac{\dot{r}}{r^2} = -\dot{r}u^2, \quad \dot{\theta} = \frac{Lu^2}{\mu} \quad (3.56)$$

follows. We can then derive an equation of motion,

$$\left(\frac{du}{d\theta}\right)^2 = \left(\frac{\dot{u}}{\dot{\theta}}\right)^2 = \left(\frac{\mu}{L}\right)^2 \dot{r}^2 = \frac{2\mu}{L^2} \left[ E - U\left(\frac{1}{u}\right) \right] - u^2, \quad (3.57)$$

where we can define a specific general potential,

$$U(r) = -\frac{\alpha}{r}, \quad (3.58)$$

where  $\alpha$  is analogous to  $G_N m_1 m_2$  for newtonian gravity or  $\frac{q_1 q_2}{4\pi\epsilon_0}$ . Thus,

$$\left(\frac{du}{d\theta}\right)^2 = \frac{2\mu}{L^2}(E + \alpha u) - u^2. \quad (3.59)$$

We can then define,

$$r_0 = \frac{L^2}{\alpha\mu} \quad u_0 = \frac{1}{r_0} \quad \varepsilon^2 = 1 + \frac{2L^2 E}{\alpha^2 \mu}, \quad (3.60)$$

so we can further reduce the equation of motion,

$$\left(\frac{du}{d\theta}\right)^2 = \varepsilon^2 u_0^2 - (u - u_0)^2. \quad (3.61)$$

Finally, we define

$$\bar{u} = \frac{u - u_0}{\varepsilon u_0}, \quad (3.62)$$

to arrive at a trivial differential equation,

$$\frac{d\bar{u}}{d\theta} = \sqrt{1 - \bar{u}^2}. \quad (3.63)$$

Before we integrate, we must choose an appropriate substitution for  $\bar{u}$ . We understand,

- $\frac{du}{d\theta} \propto -\dot{r}$ .
- It is convenient to choose a condition such that  $\bar{u} = 0$  at  $\theta = \frac{\pi}{2}$  as that is when the trajectory crosses the axis.

Thus,  $\bar{u} = \cos \theta$ . This yields the solution,

$$r(\theta) = \frac{r_0}{1 + \varepsilon \cos \theta}. \quad (3.64)$$

**NOTE:** This is only a solution for a potential in the form  $U \propto -\frac{1}{r}$ .

Converting (3.64) into Cartesian co-ordinates, we find,

$$r + \varepsilon x = r_0 \quad (3.65)$$

and,

$$\begin{aligned} x^2 + y^2 &= r_0^2 - 2\varepsilon r_0 x + \varepsilon^2 x^2 \\ \implies (1 - \varepsilon^2)x^2 + y^2 + 2\varepsilon r_0 x &= r_0^2, \end{aligned} \quad (3.66)$$

which for  $0 \leq \varepsilon < 1$ , rearranges to,

$$\frac{\left(x + \frac{\varepsilon r_0}{1 - \varepsilon}\right)^2}{\left(\frac{r_0}{1 - \varepsilon^2}\right)^2} + \frac{y^2}{\left(\frac{r_0}{\sqrt{1 - \varepsilon^2}}\right)^2} = 1. \quad (3.67)$$

Now, defining,

$$a = \frac{r_0}{1 - \varepsilon^2}, \quad b = \frac{r_0}{\sqrt{1 - \varepsilon^2}}, \quad X = x + \frac{\varepsilon r_0}{1 - \varepsilon}, \quad Y = y \quad (3.68)$$

we obtain,

$$\frac{X^2}{a^2} + \frac{Y^2}{b^2} = 1 \quad (3.69)$$

which is the equation for an ellipse, thus proving Kepler's first law. We can then ascribe physical meaning to some constants which we have defined,

$$a \implies \text{Semi major axis} \quad (3.70)$$

$$b \implies \text{Semi minor axis} \quad (3.71)$$

$$\varepsilon \implies \text{Eccentricity of orbit} \quad (3.72)$$

$$r_0 \implies \text{Radius when orbit is a circle/} \quad (3.73)$$

We can obtain further identities,

$$b = a\sqrt{1 - \varepsilon^2} \quad \varepsilon^2 = 1 - \left(\frac{b}{a}\right)^2 \quad (3.74)$$

We note that,

$$1 - \varepsilon^2 = -2\frac{Er_0}{\alpha}, \quad (3.75)$$

implying that  $E < 0$ . Given the limits we imposed on  $\varepsilon$ , we can also state that the energy of the system must be in the limits,

$$-\frac{\alpha}{2r_0} \leq E < 0, \quad (3.76)$$

### Kepler's Third Law

If we integrate the expression of Kepler's second law with time, (3.54), we can obtain an expression for the period,

$$\frac{LT}{2\mu} = A = \pi ab = \pi a^2 \sqrt{1 - \varepsilon^2}. \quad (3.77)$$

We can then derive, given that  $r_0 = \frac{L^2}{\alpha\mu}$ , that,

$$\frac{L}{\mu} = \left(\frac{\alpha}{\mu}\right)^{\frac{1}{2}} r_0^{\frac{1}{2}} = \left(\frac{\alpha}{\mu}\right)^{\frac{1}{2}} a^{\frac{1}{2}} \sqrt{1 - \varepsilon^2} \quad (3.78)$$

Thus,

$$T = 2\pi \frac{\mu}{L} a^2 \sqrt{1 - \varepsilon^2} = 2\pi a^{\frac{3}{2}} \left(\frac{\mu}{\alpha}\right)^{\frac{1}{2}} \quad (3.79)$$

We can rewrite this,

$$T^2 = \frac{4\pi^2\mu}{\alpha} a^3 \implies \text{Kepler's Third Law} \quad (3.80)$$

## 3.7 Motion in Effective Potential

We previously solved an equation of motion using an expression of potential for a specific form of potential. The total energy is in the form, (3.51) and the effective potential is (3.52). We will think about this equation of motion more analytically by analysing the potential curve, and identifying stationary points.

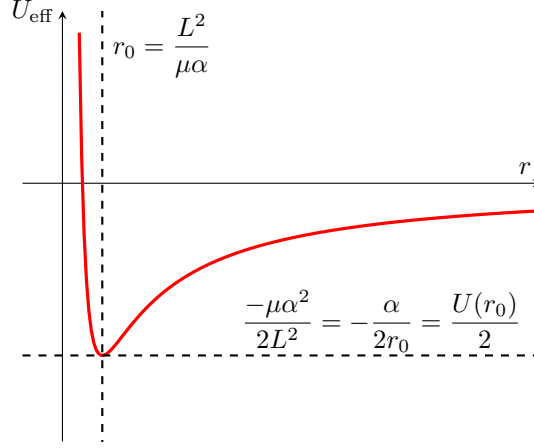
### 3.7.1 $\alpha > 0$

Let us take the derivative of effective potential,

$$\frac{dU_{\text{eff}}(r)}{dr} = -\frac{L^2}{\mu r^3} + \frac{\alpha}{r^2}. \quad (3.81)$$

We can then deduce there will be a stationary point is,

$$\frac{L^2}{\alpha\mu} = r_0. \quad (3.82)$$

Figure 3.3: Graph of effective potential for  $\alpha > 0$ .

We can then take the second derivative,

$$\frac{d^2 U_{\text{eff}}(r)}{dr^2} = \frac{1}{r^4} \left( \frac{3L^2}{\mu} - 2\alpha r \right) \quad (3.83)$$

which we can evaluate for  $r = r_0$  as,

$$\frac{d^2 U_{\text{eff}}(r)}{dr^2} = \frac{L^2}{\mu r_0^4} > 0. \quad (3.84)$$

We can then conclude that this is a minimum stationary point. We can then plot the effective potential with  $r$  to obtain a graph (see figure 3.3). We can then analyse this for different energies.

1.  $E = -\frac{\mu\alpha^2}{2L^2}$ ,  $\varepsilon = 0$

The particle is at minimum potential. Thus,

- $\implies$  Stable circular orbit at  $r = r_0$ .
- $\implies$  There may be small oscillations in the orbit with an equivalent spring constant of,

$$k = \frac{L^2}{\mu r_0^4}. \quad (3.85)$$

2.  $-\frac{\mu\alpha^2}{2L^2} < E < 0$ ,  $0 < \varepsilon < 1$

This will result in the particle rolling around the bottom of the potential, but not having enough energy to escape the orbit completely or fall into the centre.

For energies  $E \geq 0$ , and  $\varepsilon \geq 1$ , particles follow hyperbolic motion, which is discussed in a later section.

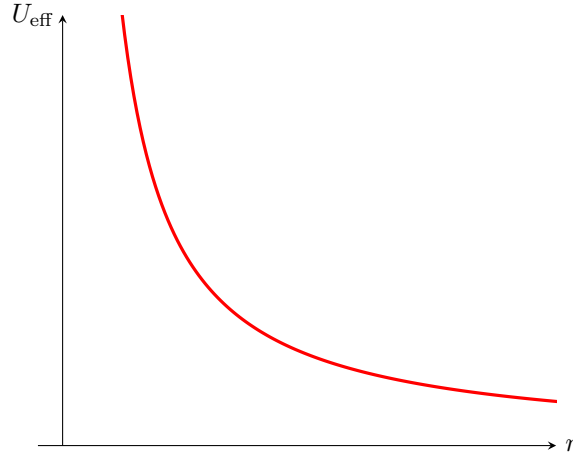
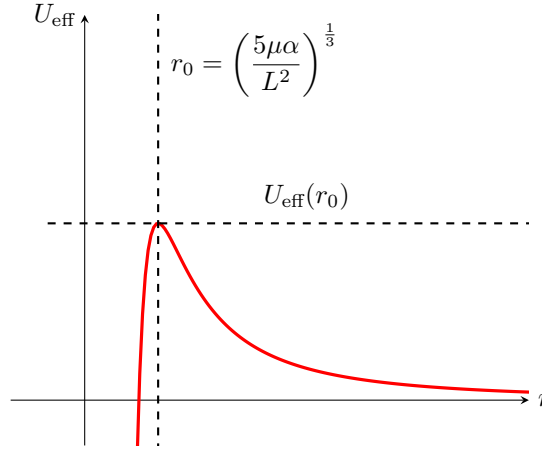
### 3.7.2 $\alpha < 0$

This does not occur in Newtonian gravity, but can occur in electrostatics. There are no stationary points, thus all energies result in hyperbolic motion and there is no stability. The particle will simply hit the potential barrier, then bounce back. I.E., motion is always a hyperbola.

### 3.7.3 Stability in Circular Orbits

If we consider the Taylor expansion about  $r_0$  of the stationary orbit, we will get an equation of simple harmonic motion, where,

$$k = \frac{d^2 U_{\text{eff}}}{dr^2}. \quad (3.86)$$

Figure 3.4: Graph of effective potential for  $\alpha < 0$ .Figure 3.5: Graph of unstable, effective potential for  $\alpha > 0$ .

If  $\frac{d^2 U_{\text{eff}}}{dr^2} > 0$ , our orbit is stable, but unstable is  $\frac{d^2 U_{\text{eff}}}{dr^2} < 0$ .

**Stable orbits** are able to trap particles in potential wells, leading to oscillatory/orbital motion.

**Unstable orbits** are such that if a particle's orbit deviates from  $r = r_0$ , it's motion will change dramatically. A particle with  $E < U_{\text{eff}}(r_0)$  will come from infinity, but will be unable to break past the potential barrier. A particle which comes from  $r < r_0$  will simply fall into  $r = 0$  (the centre of the orbit). A particle which has energy  $E > U_{\text{eff}}(r_0)$  will also simply fall into the centre. Figure 3.5 shows an example of a case where  $U(r) = -\frac{\alpha}{r^5}$ .

## 3.8 Conic Sections

We are now going to consider solutions for motion where  $\varepsilon \geq 1$

### 3.8.1 $\varepsilon = 0$

In this case, the motion is a parabola in the form,

$$y^2 = r_0^2 - 2r_0x. \quad (3.87)$$

### 3.8.2 $\varepsilon > 0$

In this case, we can complete the square on the expression (3.66),

$$\left(x + \frac{\varepsilon r_0}{1 - \varepsilon^2}\right)^2 + \frac{y^2}{1 - \varepsilon^2} = \frac{r_0^2}{(1 - \varepsilon^2)^2}. \quad (3.88)$$

In this case, the coefficient of  $y^2$  is negative, so we must make the transformation,

$$(X, Y) = \left(x - \frac{\varepsilon r_0}{\varepsilon^2 - 1}, y\right) = (x - \sqrt{a^2 + b^2}, y), \quad (3.89)$$

where we define,

$$a = \frac{r_0}{\varepsilon^2 - 1} \quad b = \frac{r_0}{\sqrt{\varepsilon^2 - 1}} \quad \varepsilon = 1 + \left(\frac{b}{a}\right)^2. \quad (3.90)$$

We then get a curve,

$$\frac{X^2}{a^2} - \frac{Y^2}{b^2} = 1 \quad (3.91)$$

which is in the general form of a hyperbola, with asymptotes,

$$Y = \pm \left(\frac{b}{a}\right) X \quad (3.92)$$

### 3.8.3 Generating conic sections

All of the possible curves under a central potential have the form of a conic section. We are able to generate these through the use of a **directrix**.

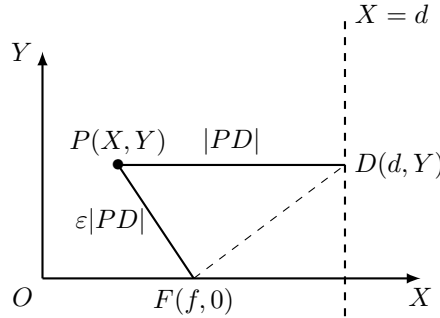


Figure 3.6: General directrix

We can first define a focus point,  $F(f, 0)$ , and the directrix  $D$  to be the vertical line generated by the locuses of points  $(d, Y)$ . We then demand  $|PF| = \varepsilon|PD|$ , which implies,

$$\begin{aligned} (X - f)^2 + Y^2 &= \varepsilon^2(X - d)^2 \\ \implies (1 - \varepsilon^2)X^2 + Y^2 - 2(f - \varepsilon^2 d^2)X &= \varepsilon^2 d^2 - f^2. \end{aligned} \quad (3.93)$$

which is in the same form as (3.66).

Then, by choosing various values for the focal point and directrix, we can generate different conic sections. The choices we must make in order to generate various conic sections are shown in table 3.1. We should also elaborate on a new variable presented called the **semi-latus rectum**  $\ell$ , which is the value of  $Y$  when  $X = f$ . For ellipses and hyperbolae, this is given by,  $\ell = r_0$ .

	Ellipse	Hyperbola	Parabola
$f$	$(a^2 - b^2)^{\frac{1}{2}}$	$(a^2 + b^2)^{\frac{1}{2}}$	$a$
$\ell$	$\frac{b^2}{a}$	$\frac{b^2}{a}$	$2a$
$\varepsilon$	$\left[1 - \left(\frac{b}{a}\right)^2\right]^{\frac{1}{2}}$	$\left[1 + \left(\frac{b}{a}\right)^2\right]^{\frac{1}{2}}$	$1$
$d$	$\pm \frac{a}{\varepsilon}$	$\pm \frac{a}{\varepsilon}$	$-a$

Table 3.1: Table of choices to generate conic sections.

# Chapter 4

## Rigid Body Motion

### 4.1 Properties of a Rigid Body

**Definition** (Rigid Body). *A system of particle's in which the distance between them does not change regardless of the forces acting, such that their coordinates are,*

$$\mathbf{r}^{(k)} = r_i^{(k)} \mathbf{e}_i \quad (4.1)$$

such that,

$$r_{ij} = \left| \mathbf{r}^{(i)} - \mathbf{r}^{(j)} \right| \quad (4.2)$$

is constant.

We only need 3 particles/reference points in order to describe a 3 dimensional rigid body. These points must not be in a straight line. These are the constraints of the body, and they will have 6 degrees of freedom corresponding to 3 translations and 3 rotations.

We can further define the following properties of a rigid body composed of a discrete number of particles,  $N$ . We can convert the discrete forms into continuous ones by using the *continuum limit*, taking  $dM = \rho dV$ .

$$M = \begin{cases} \sum_{k=1}^N m_k & \text{Discrete} \\ \int \rho dM & \text{Continuous} \end{cases} \implies \text{Total Mass} \quad (4.3)$$

$$\mathbf{R} = \begin{cases} \frac{1}{M} \sum_{k=1}^N m_k \mathbf{r}^{(k)} & \text{Discrete} \\ \frac{1}{M} \int \rho \mathbf{r} dV & \text{Continuous} \end{cases} \implies \text{Position of centre of mass} \quad (4.4)$$

$$\mathbf{P}^{\text{tot}} = \begin{cases} \sum_{k=1}^N m_k \dot{\mathbf{r}}^{(k)} & \text{Discrete} \\ \int \rho \dot{\mathbf{r}} dV & \text{Continuous} \end{cases} \implies \text{Total linear momentum} \quad (4.5)$$

$$\mathbf{L}^{\text{tot}} = \begin{cases} \sum_{k=1}^N m_k \mathbf{r}^{(k)} \times \dot{\mathbf{r}}^{(k)} & \text{Discrete} \\ \int \rho (\mathbf{r} \times \dot{\mathbf{r}}) dV & \text{Continuous} \end{cases} \implies \text{Total angular momentum} \quad (4.6)$$

$$T^{\text{tot}} = \begin{cases} \frac{1}{2} \sum_{k=1}^N m_k \left| \dot{\mathbf{r}}^{(k)} \right|^2 & \text{Discrete} \\ \frac{1}{2} \int \rho |\dot{\mathbf{r}}|^2 dV & \text{Continuous} \end{cases} \implies \text{Total kinetic energy} \quad (4.7)$$



## 4.2 Moment of Inertia Tensor

Let us recall,

$$(\mathbf{A} \times \mathbf{B}) \times \mathbf{C} = (\mathbf{A} \cdot \mathbf{C})\mathbf{B} - (\mathbf{B} \cdot \mathbf{C})\mathbf{A} \quad (4.8)$$

$$\mathbf{C} \times (\mathbf{A} \times \mathbf{B}) = (\mathbf{B} \cdot \mathbf{C})\mathbf{A} - (\mathbf{A} \cdot \mathbf{C})\mathbf{B} \quad (4.9)$$

$$(\mathbf{A} \times \mathbf{B}) \cdot (\mathbf{C} \times \mathbf{D}) = (\mathbf{A} \cdot \mathbf{C})(\mathbf{B} \cdot \mathbf{D}) - (\mathbf{A} \cdot \mathbf{D})(\mathbf{B} \cdot \mathbf{C}) \quad (4.10)$$

and,

$$\dot{\mathbf{r}}^{(k)}|_S = \dot{\mathbf{r}}^{(k)}|_{S'} + \boldsymbol{\omega} \times \mathbf{r}^{(k)}. \quad (4.11)$$

We can then define  $S'$  to be the frame where  $\dot{\mathbf{r}}^{(k)}|_{S'} = 0$ . Substituting (4.11) into (4.6) then gives,

$$\mathbf{L}^{(tot)} = \sum_{k=1}^N m_k \mathbf{r}^{(k)} \times (\boldsymbol{\omega} \times \mathbf{r}^{(k)}) = \sum_{k=1}^N m_k \left[ \left| \mathbf{r}^{(k)} \right|^2 \boldsymbol{\omega} - (\mathbf{r}^{(k)} \cdot \boldsymbol{\omega}) \mathbf{r}^{(k)} \right], \quad (4.12)$$

then rewriting this in index notation,

$$\begin{aligned} L_i^{(tot)} &= \sum_{k=1}^N m_k \left[ \left| \mathbf{r}^{(k)} \right|^2 \omega_i - r_j^{(k)} \omega_j r_i^{(k)} \right] \\ &= I_{ij} \omega_j \end{aligned} \quad (4.13)$$

where  $I_{ij}$  is the moment of inertia tensor *about the origin*,

$$I_{ij} = \begin{cases} \sum_{k=1}^N m_k \left[ \left| \mathbf{r}^{(k)} \right|^2 \delta_{ij} - r_i^{(k)} r_j^{(k)} \right] & \text{Discrete} \\ \int \rho (r^2 \delta_{ij} - r_i r_j) dV & \text{Continuous} \end{cases} \quad (4.14)$$

**NOTE:** When talking about the moment of inertia it, must always be *about a point with respect to some axis*.

Let us now write  $\mathbf{r}^{(k)} = \mathbf{R} + \mathbf{r}'^{(k)}$ , where  $\mathbf{r}'^{(k)}$  is the position of the  $k$ th particle with respect to the centre of mass, which must satisfy,

$$\sum_{k=1}^N m_k \mathbf{r}'^{(k)} \equiv 0. \quad (4.15)$$

Let us now substitute these into expressions for (4.5) and (4.6). Superscript "com" indicates the centre of mass and "rot" indicates the rotating frame.

$$\begin{aligned} \mathbf{P}^{tot} &= \sum_{k=1}^N m_k (\dot{\mathbf{R}} + \boldsymbol{\omega} \times \mathbf{r}'^{(k)}) = \left( \sum_{k=1}^N m_k \right) \dot{\mathbf{R}} + \boldsymbol{\omega} \times \left( \sum_{k=1}^N m_k \mathbf{r}'^{(k)} \right) \\ &= M \dot{\mathbf{R}} \equiv \mathbf{P}^{com} \end{aligned} \quad (4.16)$$

$$\begin{aligned} \mathbf{L}^{tot} &= \sum_{k=1}^N m_k (\mathbf{R} + \mathbf{r}'^{(k)}) \times (\dot{\mathbf{R}} + \boldsymbol{\omega} \times \mathbf{r}'^{(k)}) \\ &= \sum_{k=1}^N m_k \left[ \mathbf{R} \times \dot{\mathbf{R}} + \mathbf{r}'^{(k)} \times \dot{\mathbf{R}} + \mathbf{R} \times (\boldsymbol{\omega} \times \mathbf{r}'^{(k)}) + \mathbf{r}'^{(k)} \times (\boldsymbol{\omega} \times \mathbf{r}'^{(k)}) \right] \\ &= \mathbf{R} \times M \dot{\mathbf{R}} + \sum_{k=1}^N \left[ m_k \mathbf{r}'^{(k)} \times (\boldsymbol{\omega} \times \mathbf{r}'^{(k)}) \right] \\ &= \mathbf{L}^{com} + \mathbf{L}^{rot} \end{aligned} \quad (4.17)$$

We define,

$$L_i^{com} = M \epsilon_{ijk} R_j \dot{R}_k = \epsilon_{ijk} R_k P_k^{com} \quad (4.18)$$

$$L_i^{rot} = I'_{ij} \omega_j \quad (4.19)$$

where  $I'_{ij}$  is the moment of inertia about the centre of mass, given by,

$$I'_{ij} = \sum_{k=1}^N m_k \left[ \left| \mathbf{r}'^{(k)} \right|^2 \delta_{ij} - r_i'^{(k)} r_j'^{(k)} \right] \neq I_{ij}. \quad (4.20)$$

We can also express the total kinetic energy as,

$$\begin{aligned} T^{\text{tot}} &= \frac{1}{2} \sum_{k=1}^N m_k \left| \dot{\mathbf{R}} + \boldsymbol{\omega} \times \mathbf{r}'^{(k)} \right|^2 \\ &= \frac{1}{2} \sum_{k=1}^N m_k \left[ \left| \dot{\mathbf{R}} \right|^2 + \dot{\mathbf{R}} \cdot \boldsymbol{\omega} \times \mathbf{r}'^{(k)} + \left| \boldsymbol{\omega} \times \mathbf{r}'^{(k)} \right|^2 \right] \\ &= \frac{1}{2} M \dot{\mathbf{R}}^2 + \frac{1}{2} \sum_{k=1}^N m_k \left| \boldsymbol{\omega} \times \mathbf{r}'^{(k)} \right|^2 \\ &= T^{\text{com}} + T^{\text{rot}} \end{aligned} \quad (4.21)$$

where we define,

$$T^{\text{com}} = \frac{1}{2} M \left| \dot{\mathbf{R}} \right|^2 \quad (4.22)$$

$$T^{\text{rot}} = \frac{1}{2} \sum_{k=1}^N m_k \left\{ \left| \boldsymbol{\omega} \right|^2 \left| \mathbf{r}'^{(k)} \right|^2 - \left| \mathbf{r}'^{(k)} \cdot \boldsymbol{\omega} \right|^2 \right\} = \frac{1}{2} \omega_i I_{ij} \omega_j. \quad (4.23)$$

### Calculating the moment of inertia tensor

The moment of inertia integral can be given in matrix form,

$$\mathbf{I} = \int dM \begin{pmatrix} y^2 + z^2 & -xy & -xz \\ -yx & z^2 + x^2 & -yz \\ -zx & -zy & x^2 + y^2 \end{pmatrix} \quad (4.24)$$

## 4.3 Parallel axis theorem - Steiner's Theorem

Let us consider a collection of particles whose position vector  $\mathbf{r}^{(k)}$ , which can be written as,

$$\mathbf{r}^{(k)} = \mathbf{R} + \mathbf{r}'^{(k)}. \quad (4.25)$$

We can then deduce,

$$\left| \mathbf{r}^{(k)} \right|^2 = \left| \mathbf{R} \right|^2 + 2\mathbf{R} \cdot \mathbf{r}'^{(k)} + \left| \mathbf{r}'^{(k)} \right|^2 \quad (4.26)$$

$$r_i^{(k)} r_j^{(k)} = R_i R_j + R_i r_j'^{(k)} + R_j r_i'^{(k)} + r_i'^{(k)} r_j'^{(k)}. \quad (4.27)$$

Let us recall (4.15). This then implies,

$$I_{ij} = M \left( \left| \mathbf{R} \right|^2 \delta_{ij} - R_i R_j \right) + I'_{ij}, \quad (4.28)$$

where, in this final formula given by (4.28),  $\mathbf{R}$  is the position about which  $I_{ij}$  is, and  $I'_{ij}$  is the moment of inertia about the centre of mass.

### Perpendicular Axis Theorem

We will consider a lamina such that,

$$dM = \sigma(x, y) \quad r_i = \{x, y, 0\}. \quad (4.29)$$

then,

$$I_{ij} = \iint \sigma(x, y) dx dy \begin{pmatrix} y^2 & -xy & 0 \\ -xy & x^2 & 0 \\ 0 & 0 & x^2 + y^2 \end{pmatrix}. \quad (4.30)$$

It is then clear,

$$I_{11} + I_{22} = I_{33}. \quad (4.31)$$

## 4.4 The Principles Axis

We can relate the angular momentum between two frames  $S$  and  $S'$  by a rotation matrix  $O_{ij}$ ,

$$L'_i = O_{ij}L_j \quad (4.32)$$

such that  $O_{ij}$  satisfies,

$$O_{ik}O_{jk} = \delta_{ij}. \quad (4.33)$$

If the angular momentum is in the form  $L_i = I_{ij}\omega_j$  in all frames of reference, then,

$$L'_i = I'_{ij}\omega'_j \implies O_{ij}L_j = O_{ij}I_{jk}\omega_k = I'_{ij}O_{jk}\omega_k \quad (4.34)$$

where  $I'_{ij}$  is the moment of inertia in  $S'$ .

This must be true for all  $\omega_k$ , such that,

$$\begin{aligned} O_{ij}I_{jk} &= I'_{ij}O_{jk} \implies O_{lk}O_{ij}I_{jk} = I'_{ij}O_{jk}O_{lk} = I'_{ij}\delta_{jl} = I'_{il} \\ \implies I'_{il} &= O_{ij}O_{lk}I_{jk} \implies \text{2 rotation matrices } \therefore \text{I is a rank 2 tensor} \end{aligned} \quad (4.35)$$

### 4.4.1 Diagonalization of symmetric matrices

**Theorem.** Any real, symmetric, square matrix  $A$  is diagonalizable, such that there exists a matrix  $P$  where,

$$P^{-1}AP = D = \text{diag}(d^{(1)}, d^{(2)}, \dots, d^{(3)}) \quad (4.36)$$

where  $n$  is the dimension of the matrix.

In order to construct  $P$  and  $D$  we must find the *Eigenvalues* and *Eigenvectors* of  $A$ . Let us recall, to setup an Eigenvalue problem by considering,

$$A\mathbf{E}^{(k)} = \lambda^{(k)}\mathbf{E}^{(k)} \quad (4.37)$$

and finding the non-trivial solutions when the determinant is 0, such that,

$$\det\{A - \lambda\mathbb{1}_n\} = 0 \quad (4.38)$$

where  $\lambda^{(k)}$  are the Eigenvalues,  $\mathbf{E}^{(k)}$  are the Eigenvectors, and  $\mathbb{1}_n$  is an identity matrix of dimension  $n$ .

Solving then yields,

$$P = (\mathbf{E}^{(1)}, \mathbf{E}^{(2)}, \dots, \mathbf{E}^{(n)}) \quad D = \text{diag}(\lambda^{(1)}, \lambda^{(2)}, \dots, \lambda^{(n)}) \quad (4.39)$$

We able to choose our Eigenvectors to be orthonormal, such that,

$$\mathbf{E}^{(k)} \cdot \mathbf{E}^{(m)} = \delta_{km} \quad (4.40)$$

which leads to *orthogonal diagonalisation*, by whereby,

$$\begin{aligned} P^{-1} &= P^T; PP^T = \mathbb{1}_n \\ \implies P &\text{ is orthogonal.} \end{aligned} \quad (4.41)$$

Further, orthogonal diagonalisation implies that,

$$A = PDP^T = \sum_{k=1}^n \lambda^{(k)} \mathbf{E}^{(k)} (\mathbf{E}^{(k)})^T, \quad (4.42)$$

and thus, for any vector  $\mathbf{x}$ ,

$$\mathbf{x}^T A \mathbf{x} = \sum_{k=1}^n \lambda^{(k)} \left( (\mathbf{E}^{(k)})^T \mathbf{x} \right)^2 \quad (4.43)$$

#### 4.4.2 Diagonalization of the moment of inertia tensor

The moment of inertia tensor is symmetric, and can thus be diagonalized. We can then write a diagonalized moment of inertia tensor,

$$\hat{I}_{ij} = \begin{pmatrix} I^{(1)} & 0 & 0 \\ 0 & I^{(2)} & 0 \\ 0 & 0 & I^{(3)} \end{pmatrix} \quad (4.44)$$

where  $I^{(n)}$  are the Eigenvalues of the moment of inertia tensor. The moment of inertia tensor will then be given by,

$$I = P \hat{I} P^T. \quad (4.45)$$

What we have essentially done by diagonalizing the moment of inertia tensor is rotated our frame of reference so we use the Eigenvectors of  $I$  as the basis vectors. We are then working in the **principle axis** of the rigid body. If we call this new frame  $S'$ , we can rewrite the angular momentum as,

$$\mathbf{L}' = \hat{I} \boldsymbol{\omega}' \quad (4.46)$$

where,

$$\mathbf{L}' = P^T \mathbf{L} \quad \boldsymbol{\omega}' = P^T \boldsymbol{\omega} = \begin{pmatrix} (\mathbf{E}^{(1)})^T \boldsymbol{\omega} \\ (\mathbf{E}^{(2)})^T \boldsymbol{\omega} \\ (\mathbf{E}^{(3)})^T \boldsymbol{\omega} \end{pmatrix}. \quad (4.47)$$

By (4.43), we obtain an expression for kinetic energy in terms of the Eigenvalues and Eigenvectors of the moment of inertia tensor,

$$T = \frac{1}{2} \sum_{k=1}^3 I^{(k)} \left[ (\mathbf{E}^{(k)})^T \boldsymbol{\omega} \right]^2. \quad (4.48)$$

### 4.5 Euler's Equation

We are able to relate the rate of change of angular momentum to torque,  $\mathbf{M}$ . Defining  $S$  as a static inertia frame and  $S'$  as the fixed body, rotating frame, we have,

$$\begin{aligned} \mathbf{M} &= \dot{\mathbf{L}}|_S = \dot{\mathbf{L}}|_{S'} + \boldsymbol{\omega} \times \mathbf{L} \\ &= I \dot{\boldsymbol{\omega}} + \boldsymbol{\omega} \times (I \boldsymbol{\omega}) \end{aligned} \quad (4.49)$$

which is known as Euler's equation. Since we can always diagonalise the moment of inertia, we can rewrite the angular momentum as,

$$\mathbf{L} = I \boldsymbol{\omega} = \begin{pmatrix} I^{(1)} \omega_1 \\ I^{(2)} \omega_2 \\ I^{(3)} \omega_3 \end{pmatrix} \quad (4.50)$$

and

$$I \dot{\boldsymbol{\omega}} = \begin{pmatrix} I^{(1)} \dot{\omega}_1 \\ I^{(2)} \dot{\omega}_2 \\ I^{(3)} \dot{\omega}_3 \end{pmatrix}. \quad (4.51)$$

Thus, we can rewrite Euler's equations as,

$$\begin{aligned} M_1 &= I^{(1)} \dot{\omega}_1 + (I^{(3)} - I^{(2)}) \omega_2 \omega_3 \\ M_2 &= I^{(2)} \dot{\omega}_2 + (I^{(1)} - I^{(3)}) \omega_3 \omega_1 \\ M_3 &= I^{(3)} \dot{\omega}_3 + (I^{(2)} - I^{(1)}) \omega_1 \omega_2 \end{aligned} \quad (4.52)$$

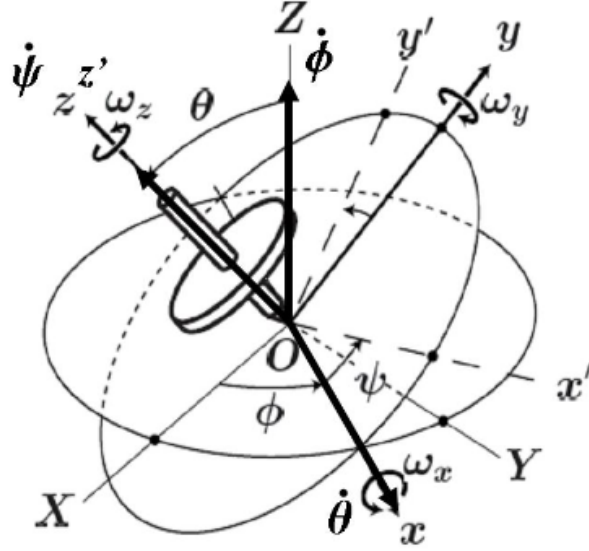


Figure 4.1: A diagram showing a spinning top as well as all of the Euler angles.  $\psi$  is the spin,  $\phi$  is the precession, and  $\theta$  is the nutation.

## 4.6 Free Rotation of a Symmetric Top

Free rotation occurs when  $\dot{\boldsymbol{\omega}} = \mathbf{M} = 0$ . For the case when  $\boldsymbol{\omega}$  is parallel to  $\mathbf{L}$ ,  $\boldsymbol{\omega}$  is an Eigenvector of the moment of inertia tensor.

Let us assume that the rigid body is symmetric about the axis such that  $I_{11} = I_{22} = I$ . We will not assume time-independent motion about the 2 other axis. Euler's equations for free rotation cause us to have to accept that,  $\dot{\omega}_3 \equiv 0$ , thus we can set

$$\omega_3 = \omega_t \quad (4.53)$$

constant, such that  $\omega_t$  is the angular velocity of the top. We can then define,

$$\dot{\omega}_1 = -\Omega\omega_2 \quad \dot{\omega}_2 = \Omega\omega_1 \quad (4.54)$$

where

$$\Omega = \left( \frac{I^{(3)} - I}{I} \right) \omega_t. \quad (4.55)$$

By taking another time derivative, we obtain the differential equation,

$$\ddot{\omega}_1 = -\Omega^2\omega_1 \quad (4.56)$$

whose solutions are,

$$\omega_1 = A \cos(\Omega t + \phi) \quad \omega_2 = A \sin(\Omega t + \phi). \quad (4.57)$$

Thus, the angular velocity in the principle axis frame is given by,

$$\boldsymbol{\omega} = \begin{pmatrix} \omega_1 \\ \omega_2 \\ \omega_3 \end{pmatrix} = (A \cos(\Omega t + \phi)) \mathbf{E}^{(1)} + (A \sin(\Omega t + \phi)) \mathbf{E}^{(2)} + \omega_t \mathbf{E}^{(3)}. \quad (4.58)$$

Further, we have shown that  $\omega_1^2 + \omega_2^2 = A^2$  is a constant of motion.

We are now interested how the spinning top behaves in the lab frame. Let us consider the angular momentum and rotational kinetic energy,

$$L_i = I_{ij}\omega_j \quad T_R = \frac{1}{2}\omega_i I_{ij}\omega_j = \frac{1}{2}\omega_i L_i = \frac{1}{2}\boldsymbol{\omega} \cdot \mathbf{L}. \quad (4.59)$$

Since  $T_R$  is constant, so must  $\boldsymbol{\omega} \cdot \mathbf{L}$ . We can then explicitly show,

$$|\boldsymbol{\omega}| = \sqrt{A^2 + \omega_t^2} \quad |\mathbf{L}| = \sqrt{I^2 A^2 + (I^{(3)})^2 \omega_t^2} \quad \boldsymbol{\omega} \cdot \mathbf{L} = I A^2 + I^{(3)} \omega_t^2 \quad (4.60)$$

are all constant. This implies that the angle  $\boldsymbol{\omega}$  and  $\mathbf{L}$  is constant. So, we can choose  $\mathbf{L}$  to simply be in the "up" direction, such that,

$$\mathbf{L} = L\mathbf{e}_3, \quad (4.61)$$

$\boldsymbol{\omega}$  will then rotate about  $\mathbf{L}$ , mainting a constant angle as mentioned previously. However,  $\mathbf{L}$  is not constant in the body-fixed frame, as  $\mathbf{E}_3$  changes with time.

Let us attempt to visualise the evolution of  $\mathbf{E}_3$  in the lab frame. Let us write,

$$\mathbf{L} - I\boldsymbol{\omega} = (I^{(3)} - I)\omega_t \mathbf{E}_3 \quad (4.62)$$

which implies that  $\mathbf{L}$ ,  $\boldsymbol{\omega}$ , and  $\mathbf{E}_3$  lie in a plane. We then write,

$$\boldsymbol{\omega} = \frac{L}{I}\mathbf{e}_3 - \left(\frac{I^{(3)} - I}{I}\right)\omega_t \mathbf{E}_3 = \frac{L}{I}\mathbf{e}_3 - \Omega \mathbf{E}_3. \quad (4.63)$$

Let us now define  $\Theta$  as the angle fixed between  $\mathbf{E}_3$  and  $\mathbf{L}$ , and  $\Phi \equiv \Phi(t)$  to be the angle of rotation about the third axis. We can write,

$$\mathbf{E}_3 = \cos \Theta \mathbf{e}_3 + \sin \Theta (\cos \Phi \mathbf{e}_1 + \sin \Phi \mathbf{e}_2) \quad (4.64)$$

and, since  $\Theta$  is constant and  $\Phi$  changes with time,

$$\dot{\mathbf{E}}_3 = \dot{\Phi} \sin \Theta (-\sin \Phi \mathbf{e}_1 + \cos \Phi \mathbf{e}_2) \quad (4.65)$$

thus,  $\dot{\mathbf{E}}_3 = \boldsymbol{\omega} \times \mathbf{E}_3$ , and,

$$\dot{\mathbf{E}}_3 = \frac{L}{I} \mathbf{e}_3 \times \mathbf{E}_3 = \frac{L}{I} \sin \Theta (\cos \Phi \mathbf{e}_2 - \sin \Phi \mathbf{e}_1). \quad (4.66)$$

Combining (4.65) and (4.66), we see that  $\dot{\Phi} = \frac{L}{I}$  when  $\Theta \neq 0$ . So, given  $\Theta \neq 0$ , we can rewrite (4.63) as,

$$\boldsymbol{\omega} = \dot{\Phi} \mathbf{e}_3 - \Omega \mathbf{E}_3.$$

**ASIDE:** The case where  $\Theta = 0$  corresponds to fixed roation about the principle axis  $\mathbf{E}_3$ .

We can deduce,

$$\mathbf{L} \cdot \mathbf{E}_3 = L \cos \Theta = I^{(3)} \omega_t. \quad (4.67)$$

From which we can deduce  $\boldsymbol{\omega}$  and  $\mathbf{E}_3$  precess about  $\mathbf{L}$  in the lab frame with a constant frequency, given by,

$$\Omega_p = \dot{\Phi} = \frac{L}{I} = \frac{I^{(3)} \omega_t}{I \cos \Theta}. \quad (4.68)$$

To clarify, the top spins with frequency  $\omega_t$  about the symmetric axis, which is in turn precesses around  $\mathbf{L}$  with frequency  $\Omega_p$ . The body will appear to "wobble". The body will have two ways of wobbling. We can attempt to visualise this by considering a "space cone", whose axis is fixed, and a "body cone" which moves with the body and rolls around on the space cone, as in figure 4.2.

The body may exhibit *prolate* roation if  $I^{(3)} < I$ , so the body cone rolls on the outside of the space cone.

The body may also exhibit *oblate* procession when  $I^{(3)} > I$  and the body cone rolls about the inside of the space cone.

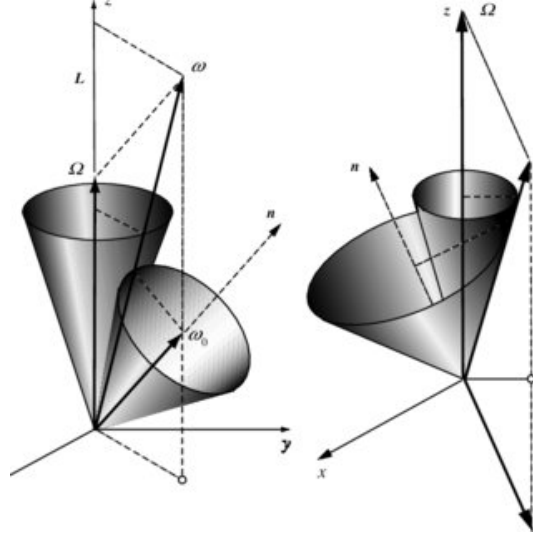


Figure 4.2: Two modes of wobbling of a rigid body.

#### 4.6.1 Intermediate Axis Theorem

Let us consider a spinning top with no symmetry, i.e. relaxing the condition that  $I_1 = I_2$ . The motion is defined by a constant angular velocity  $\omega_t$  but with small perturbations in all directions, such that,

$$\boldsymbol{\omega} = \begin{pmatrix} \delta\omega_1 \\ \delta\omega_2 \\ \omega_t + \delta\omega_3 \end{pmatrix}. \quad (4.69)$$

Substituting this into Euler's equations implies that  $\delta\dot{\omega}_3 = 0$ , so,

$$I_1 \delta\dot{\omega}_1 = (I_2 - I_3) \omega_t \delta\omega_2 \quad (4.70)$$

$$I_1 \delta\dot{\omega}_2 = (I_3 - I_1) \omega_t \delta\omega_1 \quad (4.71)$$

If we differentiate  $\delta\omega_1$  and substitute for  $\delta\dot{\omega}_2$ , we deduce,

$$\delta\ddot{\omega}_1 + \Omega^2 \delta\omega_1 = 0 \quad (4.72)$$

where

$$\Omega^2 = \frac{(I_3 - I_2)(I_3 - I_1)}{I_1 I_2} \omega_t^2 \quad (4.73)$$

and similarly for  $\omega_2$ . From this, we can determine that there is only stable motion when the rate of change of angular velocity opposes angular velocity, i.e.,  $\Omega^2 > 0$ , and,

$$I_3 > I_1 \quad \text{AND} \quad I_3 > I_2 \quad (4.74)$$

OR

$$I_3 < I_1 \quad \text{AND} \quad I_3 < I_2 \quad (4.75)$$

The motion is then unstable for,

$$I_2 > I_3 > I_1 \quad \text{or} \quad I_1 > I_3 > I_2. \quad (4.76)$$

So, spin about the intermediate axis is unstable. This is the intermediate axis theorem.

## 4.7 Gyroscopes

A gyroscope can be thought of as a heavy spinning top, such that gravity and other external forces act. These forces are:

- **Gravity**,  $\mathbf{F}_g = m\mathbf{g}$ , which acts throughout the centre of mass at  $\mathbf{r} = R\mathbf{e}_r$ .
- **Contact force**, the point of contact will be assumed to be fixed and will impart no torque.

The torque due to gravity is then,

$$\mathbf{M} = \mathbf{r} \times m\mathbf{g} = mgR \sin \theta \mathbf{e}_\phi. \quad (4.77)$$

The polar angles are used to describe the orientation of the principal axis which in turn point in the direction of  $\mathbf{e}_r$ . These angles are  $\psi$ ,  $\theta$ , and  $\phi$ , as in figure 4.1, and are known as Euler angles. Let us note,

$$\psi \implies \text{Angle of spinning top,} \quad (4.78)$$

$$\phi \implies \text{Angle of precession,} \quad (4.79)$$

$$\theta \implies \text{Angle between precession axis and spin axis.} \quad (4.80)$$

Since the torque only acts in  $\mathbf{e}_\phi$ , angular momentum will be conserved in other directions. The top is further symmetric, such that the moments of inertia are,

$$I_1 = I_2 = I \quad \text{and} \quad I_3. \quad (4.81)$$

If we recall (2.19), since the top spins with angular velocity  $\dot{\psi}$ ,

$$\boldsymbol{\omega} = (\dot{\phi} \cos \theta + \dot{\psi})\mathbf{e}_r - \dot{\phi} \sin \theta \mathbf{e}_\theta + \dot{\theta} \mathbf{e}_\phi, \quad (4.82)$$

thus we can write the angular momentum,

$$\mathbf{L} = I_3(\dot{\phi} \cos \theta + \dot{\psi})\mathbf{e}_r + I(\dot{\theta} \mathbf{e}_\phi - \dot{\phi} \sin \theta \mathbf{e}_\theta). \quad (4.83)$$

Newton's second law gives us  $\dot{\mathbf{L}} = \mathbf{M}$ , thus,

$$\frac{1}{2} \frac{d}{dt} |\mathbf{L}|^2 = \mathbf{M} \cdot \mathbf{L}. \quad (4.84)$$

If  $\theta$  is constant,  $\mathbf{M} \cdot \mathbf{L} = 0$  and  $|\mathbf{L}|$  is constant. We can then compute,

$$\begin{aligned} \dot{\mathbf{L}} &= I_3(\ddot{\phi} \cos \theta - \dot{\phi} \dot{\theta} \sin \theta + \ddot{\psi})\mathbf{e}_r \\ &\quad + \left[ I_3(\dot{\phi} \cos \theta + \dot{\psi})\dot{\phi} \sin \theta + I(\ddot{\theta} - \dot{\phi}^2 \sin \theta \cos \theta) \right] \mathbf{e}_\phi \\ &\quad + \left[ I_3(\dot{\phi} \cos \theta + \dot{\psi})\dot{\theta} - I(\ddot{\theta} \sin \theta + 2\dot{\phi} \dot{\theta} \cos \theta) \right] \mathbf{e}_\theta. \end{aligned} \quad (4.85)$$

Let us appreciate that the moment of the force only applies to the  $\mathbf{e}_\phi$  term. Now, by applying Newton's second law and using (4.77), we write,

$$\ddot{\phi} \cos \theta - \dot{\phi} \dot{\theta} \sin \theta + \ddot{\psi} = 0 \quad (4.86)$$

$$I_3(\dot{\phi} \cos \theta + \dot{\psi})\dot{\phi} \sin \theta + I(\ddot{\theta} - \dot{\phi}^2 \sin \theta \cos \theta) = 0 \quad (4.87)$$

$$I_3(\dot{\phi} \cos \theta + \dot{\psi})\dot{\theta} - I(\ddot{\theta} \sin \theta + 2\dot{\phi} \dot{\theta} \cos \theta) = mgR \sin \theta \quad (4.88)$$

From (4.86),

$$\frac{d}{dt} (\dot{\phi} \cos \theta + \dot{\psi}) = 0 \implies \dot{\phi} \cos \theta + \dot{\psi} \equiv \text{const} \quad (4.89)$$

which implies that the angular velocity vector along the spin axis of the top is,

$$\omega_3 = \dot{\phi} \cos \theta + \dot{\psi}. \quad (4.90)$$

Similarly, for (4.87),

$$I_3 \omega_3 \dot{\theta} - \frac{I}{\sin \theta} \frac{d}{dt} (\dot{\phi} \sin^2 \theta) = 0 \quad (4.91)$$



implying,

$$I_3\omega_3 \cos \theta + I\dot{\phi} \sin^2 \theta = bI \equiv \text{const.} \quad (4.92)$$

Rearranging (4.92),

$$\dot{\phi} = \frac{bI - I_3\omega_3 \cos \theta}{I \sin^2 \theta} = \frac{b - a \cos \theta}{\sin^2 \theta} \quad (4.93)$$

for,

$$a = \frac{I_3\omega_3}{I} = \frac{L}{I} \quad (4.94)$$

Let us now define,

$$L = Ia \qquad \beta = \frac{2mgR}{I}. \quad (4.95)$$

We can rewrite (4.88) as,

$$\ddot{\theta} = \sin \theta \left( \frac{1}{2}\beta - a\dot{\phi} + \dot{\phi}^2 \cos \theta \right) \quad (4.96)$$

thus the system can be specified in terms of the parameters  $a$ ,  $b$ , and  $\beta$ . However, we are assuming constant  $\theta$ , so  $\dot{\theta} = \ddot{\theta} = 0$ . So, let us consider a case where  $\theta = \theta_0$  and  $\dot{\psi} = \omega_s$ ,  $\dot{\phi} = \Omega_p$  is constant, where,

$$\Omega_s = \frac{I}{I_3}a - \Omega_p \cos \theta_0 \quad (4.97)$$

and  $\Omega_p$  is a solution to,

$$\cos \theta \Omega_p^2 - a\Omega_p + \frac{1}{2}\beta = 0 \quad (4.98)$$

as by (4.96). The solution to (4.98) is given by,

$$\Omega_p = \frac{a}{2 \cos \theta_0} \left[ 1 \pm \left( 1 - \frac{2\beta \cos \theta_0}{a^2} \right)^{\frac{1}{2}} \right] \quad (4.99)$$

which has two solutions when,

$$\cos \theta_0 < \frac{a^2}{2\beta} = \frac{I_3^2 \omega_3^2}{4mgRI}. \quad (4.100)$$

There are then two conditions on the motion,

1. If  $a^2 < 2\beta$ , there exists a critical angle,

$$\theta_{\text{crit}} = \cos^{-1} \left( \frac{a^2}{2\beta} \right) \text{ where } \theta_0 > \theta_{\text{crit}}. \quad (4.101)$$

2. For steady precession, the solution to (3.60) must be real, and thus,

$$\omega_3 > \frac{\sqrt{4mgRI \cos \theta_0}}{I_3} \quad (4.102)$$

then the top will fall over.

We can investigate the motion further by analysing solutions in the limit,

$$\frac{2\beta \cos \theta_0}{a^2} \ll 1 \quad (4.103)$$

which is the equivalent to a light gyroscope. This results two roots,

$$+ \quad \implies \quad \Omega_p^{\text{fast}} = \frac{a}{\cos \theta_0} = \frac{I_3\omega_3}{I \cos \theta_0} = \frac{L}{I \cos \theta_0}. \quad \text{Free precession} \quad (4.104)$$

$$- \quad \implies \quad \Omega_p^{\text{slow}} = \frac{\beta}{2a} = \frac{mgR}{I_3\omega_3} = \frac{mgR}{L}. \quad (4.105)$$

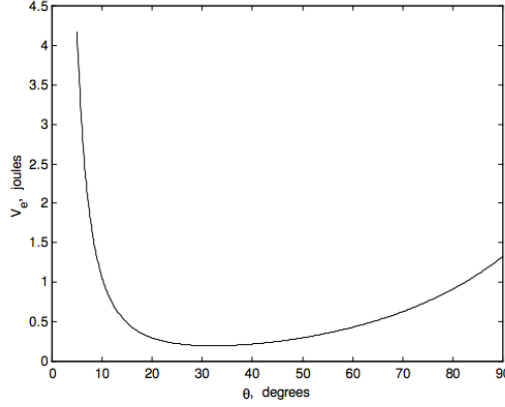


Figure 4.3: A plot of the effective potential of a gyroscope.

The fast solution corresponds to the limit where  $\omega_t$  is large, resulting in a frequency  $\Omega_p \sim \omega_3$ , such that the gyroscope behaving as a free spinning top. The slow solution corresponds to gyroscopic precession, where  $\mathbf{L}$  can be taken as parallel to  $\mathbf{e}_3$ , and rotates to compensate for gravitational torque about the pivot.

We can further analyse the energy in the system. The rotational kinetic energy satisfies  $\dot{T}_R = \boldsymbol{\omega} \cdot \mathbf{M}$ . Computing this in our case,

$$\boldsymbol{\omega} \cdot \mathbf{M} = mgR\dot{\theta} \sin \theta = -mgR \frac{d}{d\theta} \cos \theta \quad (4.106)$$

from which we can deduce,

$$E = T_R + V = T_R + mgR \cos \theta \quad (4.107)$$

is constant. We can rewrite this as,

$$E = \frac{1}{2} I_3 (\dot{\phi} \cos \theta + \dot{\psi})^2 + \frac{1}{2} I (\dot{\theta}^2 + \dot{\phi}^2 \sin^2 \theta) + mgR \cos \theta. \quad (4.108)$$

Let us now define,

$$\bar{E} = \frac{E - \frac{1}{2} I_3 \omega_3^2}{I} = \frac{1}{2} \dot{\theta}^2 + \frac{1}{2} \left( \frac{b - a \cos \theta}{\sin \theta} \right)^2 + \frac{mgR}{I} \cos \theta \quad (4.109)$$

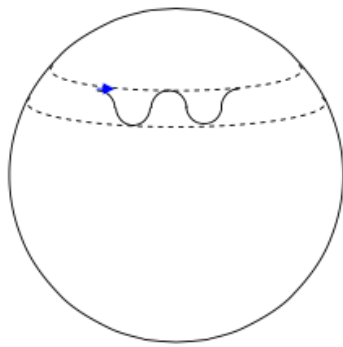
so, we can define an effective potential such that  $\bar{E} = \frac{1}{2} \dot{\theta}^2 + U_{\text{eff}}(\theta)$ ,

$$U_{\text{eff}} = \frac{1}{2} \left[ \left( \frac{b - a \cos \theta}{\sin \theta} \right)^2 + \beta \cos \theta \right]. \quad (4.110)$$

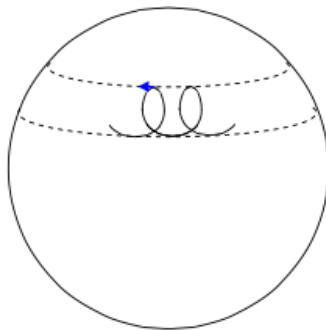
We have thus found a way to describe the effective motion of the gyroscope in 1 dimension. An example of a plot of this potential is shown in figure 4.3.

A basic analysis is laid out below:

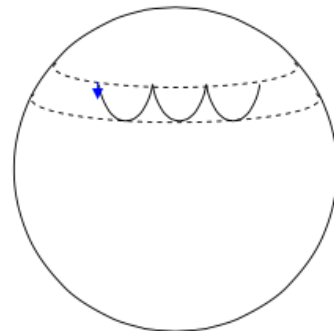
- The initial state of the system is governed by conserved quantities.
- The motion of  $\theta$  takes place between  $\theta_1 < \theta < \theta_2$  which can be computed by setting  $\dot{\theta} = 0$ .
  - The particle will *nutate* between  $\theta_1$  and  $\theta_2$ . This nutation can occur in different nutation modes, which depend on sign of  $\Omega_p$  and initial conditions.
  - Figure 4.4a shows unidirectional precession.
  - Figure 4.4b shows looping precession.
  - Figure 4.4c shows cuspidal precession.
- Uniform precession occurs when  $\dot{\theta} = \ddot{\theta} = 0$  at  $\theta = \theta_0$ , which occurs at  $\bar{E} = U_{\text{eff}}$ ,  $\dot{U}_{\text{eff}} = 0$ .
- We can impose  $\theta = 0$  as a solution, so long as (4.102) is met.



(a) This is unidirectional precession



(b) This is loop precession.



(c) This is cuspidal precession.

Figure 4.4: Figures showing different nutation modes.

# Chapter 5

## Special Relativity

Let us recall Einstein's postulates,

1. The laws of physics are the same for all inertial observers,
2. The speed of light in a vacuum is the same for all inertial observers.

Based on these postulates, we can get the Lorentz transformations for two frames  $S$  and  $S'$  moving relative to each other along the  $x$  direction,

$$ct' = \gamma \left( ct - \frac{v}{c}x \right) \quad (5.1)$$

$$x' = \gamma (x - vt) \quad (5.2)$$

$$y' = y \quad (5.3)$$

$$z' = z \quad (5.4)$$

where,

$$\gamma = \frac{1}{\sqrt{1 - \frac{v^2}{c^2}}}. \quad (5.5)$$

We further have that,

$$c^2t^2 - \mathbf{x}^2 = c^2t'^2 - x'^2 - y'^2 - z'^2 \quad (5.6)$$

is Lorentz invariant. Further, mechanical quantities are changed,

$$E = \gamma mc^2 \quad \mathbf{p} = \gamma m\mathbf{v} \quad K = (\gamma - 1)mc^2. \quad (5.7)$$

We can also define the relative velocity between frames,

$$V = \frac{v_1 + v_2}{1 + \frac{v_1 v_2}{c^2}}. \quad (5.8)$$

### 5.1 Group Theory

We define a group  $(G, \bullet)$  which is a set of elements  $G = \{a, b, c, \dots\}$  and a composition  $\bullet$ . A group must have the following properties,

- **Closure:**  $\forall a, b \in G, c = a \bullet b, c \in G$ .
- **Associativity:**  $\forall a, b, c \in G, a \bullet (b \bullet c) = (a \bullet b) \bullet c$ .
- **Identity:**  $\exists e \in G$  such that  $e \bullet a = a \bullet e = a \forall a \in G$ .
- **Inverse:**  $\forall a \in G \exists a^{-1} \in G$  such that  $a^{-1} \bullet a = a \bullet a^{-1} = e$ .

A group is also said to be **abelian** if  $\forall a, b \in G, a \bullet b = b \bullet a$ .

In this course we are interested in the General Linear group over the real numbers covering all  $n$ -dimensional linear transformations,

$$\mathbb{GL}(n, \mathbb{R}) = \{\text{Real } n \times n \text{ matrices with determinant } \neq 0\} \quad (5.9)$$

and its subsets. In particular,

1.  $O(n) = \{M \in \mathbb{GL}(n, \mathbb{R}) : MM^T = M^T M = I\} \rightarrow$  the group of orthogonal  $n \times n$  matrices.
2.  $SO(n) = \{M \in O(n) : \det M = 1\} \rightarrow$  the special orthogonal group that are rotation matrices.

Orthogonal matrices maintain the inner product of two product, hence the length and angle between vectors. Let us consider two vectors  $\mathbf{a}$  and  $\mathbf{b}$  which are rotated by  $M \in O(n)$ ,

$$\mathbf{a}' = M\mathbf{a} \quad \mathbf{b}' = M\mathbf{b}. \quad (5.10)$$

Now using Einstein summation convention,

$$\mathbf{a}' \cdot \mathbf{b}' = a'_i b'_i = M_{ij} a_j M_{ik} b_k = \delta_{jk} a_j b_k = a_j b_j = \mathbf{a} \cdot \mathbf{b}. \quad (5.11)$$

## 5.2 Measuring distances

We understand how distances are calculated in regular 3D Euclidian space. If we consider  $\mathbf{y} = \mathbf{x} + d\mathbf{x}$ , where  $d\mathbf{x}$  is an infinitesimal vector connecting two points, then

$$(ds)^2 = |d\mathbf{x}|^2 = dx_1^2 + dx_2^2 + dx_3^2 = \delta_{ij} dx^i dx^j, \quad (5.12)$$

where  $ds$  is the infinitesimal distance. We have written this in terms of the Kronecker delta function, which represents a **metric** of  $n$ -dimensional Euclidian space. We can generalise the idea of a metric to any vector space,

$$(ds)^2 = g_{ij}(\mathbf{x}) dx^i dx^j. \quad (5.13)$$

### 5.2.1 Distances in special relativity

In special relativity, we adapt distance to the *interval* between two events. We can use the *position 4-vector* defined by,

$$X^\mu = (ct, \mathbf{x}) = (ct, x, y, z), \quad (5.14)$$

to then define the spacetime interval,

$$(ds)^2 = g_{\mu\nu}(X^\mu) dX^\mu dX^\nu. \quad (5.15)$$

The case when  $g_{\mu\eta}$  is dependent on  $X^\mu$ . The position independent spacetime metric is known as the **Minkowski metric**, defined by,

$$\eta_{\mu\nu} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix}, \quad (5.16)$$

and the line element is then given by,

$$(ds)^2 = c^2(dt)^2 - (dx)^2 - (dy)^2 - (dz)^2. \quad (5.17)$$

## 5.3 4-vectors

We have previously shown that the dot product is invariant under rotations. We would wish to define an inner product for Minkowski spacetime which behaves similarly to the Euclidian dot product. Let us denote a 4-vector as,  $\tilde{\mathbf{A}} = A^\mu \mathbf{e}_\mu$  where  $\mathbf{e}_\mu$  are the basis vectors. Now, attempting to calculate the inner product of  $\tilde{\mathbf{A}}$  and  $\tilde{\mathbf{B}}$ ,

$$\tilde{\mathbf{A}} \cdot \tilde{\mathbf{B}} = A^\mu \mathbf{e}_\mu \cdot A^\nu \mathbf{e}_\nu \quad (5.18)$$

we can then state our orthonormality relation as,

$$\tilde{\mathbf{e}}_\mu \cdot \tilde{\mathbf{e}}_\nu = \eta_{\mu\nu}, \quad (5.19)$$

which allows us to rewrite the spacetime inner product,

$$\tilde{\mathbf{A}} \cdot \tilde{\mathbf{B}} = \eta_{\mu\nu} A^\mu B^\nu = A^0 B^0 - A^i B^i. \quad (5.20)$$

This relation allows us to define other 4-vectors.

Firstly, if we define **proper time** between two time-like separated intervals to be  $d\tau$ , then

$$c^2(d\tau)^2 = (ds)^2 = c^2(dt)^2 - (d\mathbf{x})^2, \quad (5.21)$$

we can define the **4-velocity**,

$$U^\mu = \frac{dX^\mu}{d\tau} = \left( c \frac{dt}{d\tau}, \frac{d\mathbf{x}}{d\tau} \right). \quad (5.22)$$

We further find the relations,

$$\gamma = \frac{dt}{d\tau} \qquad \eta_{\mu\nu} U^\mu U^\nu = c^2. \quad (5.23)$$

We define the **4-momentum**,

$$P^\mu = \left( \frac{E}{c}, \mathbf{p} \right) \quad (5.24)$$

and for a particle with mass  $m$ ,

$$P^\mu = m \frac{dX^\mu}{d\tau} = m U^\mu. \quad (5.25)$$

### 5.3.1 Plane waves

The plane wave equation has a solution,

$$f(t, \mathbf{x}) = f_0 \exp[i(\omega t - \mathbf{k} \cdot \mathbf{x})] \quad (5.26)$$

with a dispersion relation  $\omega = c|\mathbf{k}|$ .  $\phi = \omega t - \mathbf{k} \cdot \mathbf{x}$  should be Lorentz invariant since waves should stay the same shape in all frames. We can then define the **wave 4-vector** as,

$$k^\mu = \left( \frac{\omega}{c}, \mathbf{k} \right), \quad (5.27)$$

which can be related to the 4-momentum  $P^\mu = \hbar k^\mu$  via wave particle duality, where the solution to the wave equation is,

$$f = f_0 \exp[i\eta_{\mu\nu} k^\mu X^{\nu}] \quad (5.28)$$

with dispersion relation  $\eta_{\mu\nu} k^\mu k^\nu = 0$ .

## 5.4 Covariant and contravariant indices

**Covariant components** are written with indices at the bottom of the symbol, i.e.,  $\eta_{\mu\nu}$ .

**Contravariant components** are written with indices at the top of the symbol, i.e.,  $\eta^{\mu\nu}$  (which often indicates the inverse of a matrix).

We can define  $\eta^{\mu\nu}$  as the inverse metric, such that  $\eta_{\mu\nu} \eta^{\mu\nu} = \delta_\mu^\nu$ , where  $\delta_\mu^\nu$  is the Kronecker-delta with Lorentz indices (acting as an identity matrix).

**NOTE:** This property is important for general metrics, i.e., the covariant multiplied by the contravariant is equal to the identity. However, we are only concerned with the Minkowski metric in this course.

There is a concrete mathematical basis for these definitions, with contravariant vectors living in *vector space* and covariant vectors living in *dual space*. However, the main thing we are concerned with is that the inverse metric acts as an index lowering or raising operator. We can define,

$$A_\mu = \eta_{\mu\nu} A^\nu \quad (5.29)$$

then,

$$\eta^{\mu\alpha} A_\alpha = \eta^{\mu\alpha} \eta_{\alpha\beta} A^\beta = \delta^\mu_\beta A^\beta = A^\mu. \quad (5.30)$$

From this we can conclude,

$$\eta_{\mu\nu} \rightarrow \text{Lowering operator} \quad \eta^{\mu\nu} \rightarrow \text{Raising operator}. \quad (5.31)$$

Thus, we can define,

$$A^\mu = (A^0, \mathbf{A}) = (A^0, A^1, A^2, A^3) \quad A_\mu = (A_0, A_1, A_2, A_3) \quad (5.32)$$

we can then see that

$$A_0 = A^0 \quad \text{and} \quad A^i = -A_i \quad (5.33)$$

This allows us to succinctly write the Minkowski inner product as,

$$\tilde{\mathbf{A}} \cdot \tilde{\mathbf{B}} = \eta_{\mu\nu} A^\mu B^\nu = A_\mu B^\mu = A^\mu B_\mu. \quad (5.34)$$

### 5.4.1 New summation convention rules

We have thus added additional rules to the summation convention:

1. Summed indices must either be "up" or "down",
2. There must be the same number of up and down indices on each side of the equation.

### 5.4.2 Covariant and contravariant 4-vectors

We define the covariant and contravariant spacetime position 4-vector as,

$$X_\mu = (ct, -\mathbf{x}) \quad X^\mu = (ct, \mathbf{x}) \quad (5.35)$$

where  $X_\mu = \eta_{\mu\nu} X^\nu$ . Similarly,

$$U^\mu = \gamma(c, \mathbf{v}) \quad \rightarrow \quad U_\mu = \gamma(c, -\mathbf{v}) \quad (5.36)$$

$$P^\mu = \left( \frac{E}{c}, \mathbf{p} \right) \quad \rightarrow \quad P_\mu = \left( \frac{E}{c}, -\mathbf{p} \right) \quad (5.37)$$

$$k^\mu = \left( \frac{\omega}{c}, \mathbf{k} \right) \quad \rightarrow \quad k_\mu = \left( \frac{\omega}{c}, -\mathbf{k} \right) \quad (5.38)$$

### Covariance and contravariance in Euclidian space

The Euclidian metric is given by  $\delta_{ij}$ . The covariant  $\rightarrow$  contravariant operation is,

$$A_i = \delta_{ij} A^j = A^i \quad (5.39)$$

so, the covariant and contravariant components are equal, so the distinction is not required.

## 5.5 Lorentz and Poincaré symmetries

We showed that the Euclidian inner product is invariant under  $O(3)$  transformations (i.e., orthogonal symmetry). We are able to replace this concept with Lorentz symmetry. Let us consider the Lorentz transformation in the  $x$ -direction (for  $\beta = \frac{v}{c}$ ),

$$X'^\mu = \begin{pmatrix} ct' \\ x' \\ y' \\ z' \end{pmatrix} = \begin{pmatrix} \gamma & -\gamma\beta & 0 & 0 \\ -\gamma\beta & \gamma & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} = \Lambda^\mu_\nu X^\nu \quad (5.40)$$

Table 5.1:  $\Lambda^\mu_\nu$  identities.

$ \begin{aligned} A'^\mu &= \Lambda^\mu_\nu A^\nu \\ \Lambda^\alpha_\mu \Lambda^\beta_\nu \eta_{\alpha\beta} &= \eta_{\mu\nu} \\ \Lambda^T \eta \Lambda &= \eta \\ \det(\Lambda) &= 1 \end{aligned} $
---

The matrix  $\Lambda^\mu_\nu$  is a member of the Lorentz symmetry group  $SO(1, 3)$  and can be thought of as a rotation in Minkowski spacetime.

Given how we have defined the action of the group (row 1, table 5.1) on contravariant vectors, we can write the covariant transformation in by applying the appropriate metrics,

$$A'_\alpha = (\eta_{\alpha\mu} \Lambda^\mu_\nu \eta^{\nu\beta}) A_\beta \quad (5.41)$$

and we see that  $\eta_{\alpha\mu} \Lambda^\mu_\nu \eta^{\nu\beta}$  is the Lorentz transformation for the covariant components. However, we can simplify this. We have essentially written down  $\eta \Lambda \eta^{-1}$ , however,

$$\eta \Lambda = (\Lambda^T)^{-1} \eta \quad (5.42)$$

and

$$(\Lambda^T)^{-1} = \eta \Lambda \eta^{-1}. \quad (5.43)$$

We can then rewrite (5.41),

$$A_\alpha = \left[ (\Lambda^T)^{-1} \right]_\alpha^\beta A_\beta = (\Lambda^{-1})^\beta_\alpha A_\beta \quad A^\alpha = \Lambda^\alpha_\beta A^\beta \quad (5.44)$$

From this, we can prove whether certain quantities are Lorentz invariant.

### 5.5.1 $SO(1, 3)$ group

The  $SO(1, 3)$  group is known as the Lorentz group. It includes 3 spatial rotations and 3 Lorentz boosts. In principle, we have

$$\Lambda^\mu_\nu = \Lambda^\mu_\nu(\theta, \hat{\mathbf{n}}, \beta) \quad (5.45)$$

which corresponds to a rotation by an angle  $\theta$  about an axis defined by  $\hat{\mathbf{n}}$  and a velocity  $\mathbf{v} = \beta c$ .

#### Rotations

We can consider a general rotation,

$$\Lambda^0_0 = 1, \quad \Lambda^0_i = \Lambda^i_0 = 0, \quad \Lambda^i_j = (\delta^i_j - \hat{n}^i \hat{n}_j) \cos \theta - \epsilon^i_{jk} \hat{n}^k \sin \theta + \hat{n}^i \hat{n}_j \quad (5.46)$$

where  $\hat{\mathbf{n}}$  is a Euclidian vector.

#### Boosts

A general Lorentz Boost is given by,

$$\Lambda^0_0 = \gamma, \quad \Lambda^0_i = -\gamma \beta_i, \quad \Lambda^i_0 = -\gamma \beta^i, \quad \Lambda^i_j = \delta^i_j + (\gamma - 1) \frac{\beta^i \beta_j}{|\beta|^2} \quad (5.47)$$

We are able to extend the Lorentz symmetry group to translational and spatial invariance through the Poincare group.



## 5.6 Lorentz transformations as rotations in hyperbolic space-time

We have talked before how rotations in Euclidian space are those about the  $x, y$ , and/or  $z$  coordinates, about a *real* angle  $\theta$ . Minkowski was able to show that Lorentz transformations were actually rotations about a *complex* angle in *hyperbolic* spacetime (what we now call Minkowski space), such that all events exist on a hyperbola which is invariant under Lorentz transformations. This is talked about in more detail in a later section.

Understanding that Lorentz transformations are hyperbolic rotations, we can write,

$$\cosh \alpha = \gamma \qquad \sinh \alpha = \gamma \beta \qquad (5.48)$$

supposing our complex angle is denoted by  $\alpha$ . We can then rewrite  $\Lambda^\mu{}_\nu$  in (5.40) as (for the 2D case),

$$\Lambda^\mu{}_\nu = \begin{pmatrix} \cosh \alpha & -\sinh \alpha \\ -\sinh \alpha & \cosh \alpha \end{pmatrix}. \qquad (5.49)$$

We can reaffirm our refactoring of the Lorentz transformations if we look at the identity,

$$\cosh^2 \alpha - \sinh^2 \alpha = \gamma^2 (1 - \beta^2) = 1. \qquad (5.50)$$

## 5.7 Symmetry and conservation laws

We will now discuss certain symmetries in physics and their consequences.

### Time Translation Invariance

This is a symmetry by which a law is invariant under the transformation

$$t \rightarrow t' = t + t_0 \qquad (5.51)$$

where  $t_0$  is constant. This occurs in Newton's second law when the force has no explicit time dependence, i.e.,  $\mathbf{F} = -k\mathbf{r}$ , as  $dt = dt'$ .

### Spatial Translation

This is when a law is invariant under,

$$\mathbf{r} \rightarrow \mathbf{r}' = \mathbf{r} + \mathbf{r}_0. \qquad (5.52)$$

Newtonian gravity follows this symmetry.

### Rotational Invariance

This is where a law is invariant under a rotation. This includes the Lorentz force in electricity and magnetism.

### 5.7.1 Noether's Theorem

**Theorem.** *There is a conserved quantity associated with every symmetry in a physical system.*

Conversely, the existence of a conserved quantity implies symmetry.

Given that Euclidian space has  $\mathbb{R} \times SO(3)$  symmetry due to spatial and rotational invariance. This implies the conservation of,

- energy due to time translation invariance,
- momentum due to spatial translation invariance,
- angular momentum due to rotational invariance.

### 5.7.2 Symmetry and conservation in Minkowski space

Minkowski space has  $\mathbb{R}^{1,3} \times SO(1, 3)$  symmetry, associated with 10 conserved quantities, e.g., 4-momentum.

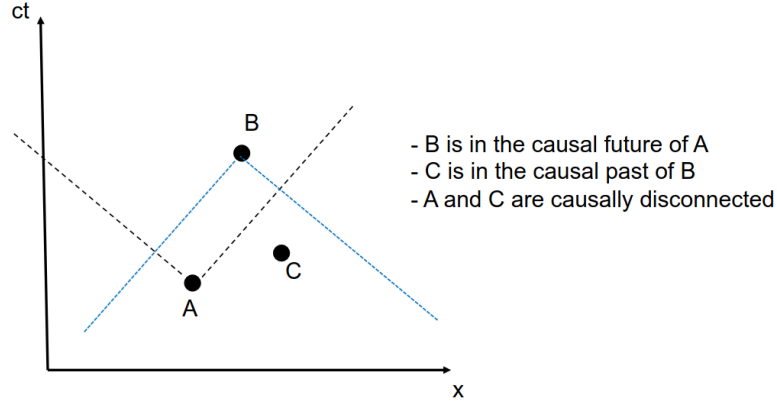


Figure 5.1: Spacetime diagram to illustrate the concept of causality.

## 5.8 Causality

Causality is the concept that events occur in the same order regardless of reference frame. Special relativity obeys this concept.

---

*Proof.* Consider 2 events with separation  $\Delta x$  and  $\Delta t$  in space and time in a frame  $S$ . Moving to a reference frame  $S'$ ,

$$L\Delta t \rightarrow c\Delta t' = \gamma(c\Delta t - \beta\Delta x) \quad (5.53)$$

Let us assert that  $\Delta t > 0$  and  $0 < \beta < 1$ .

Assume that  $\Delta t' < 0$ , i.e. reverse the order of the events. Thus,

$$\frac{\beta\Delta x}{c} > \Delta t \implies \Delta x > \frac{c\Delta t}{\beta} > c\Delta t. \quad (5.54)$$

$\implies$  events would have needed to travel a greater distance than light could have travelled in the given time interval. Nothing can travel faster than the speed of light *implies* contradiction.  $\square$

---

Consider figure 5.1, which is a  $1 + 1$  spacetime diagram (1 dimension of space, 1 dimension of time). We see that  $B$  is in the causal future of  $A$  since it is in the future light cone of  $A$ . Both  $A$  and  $C$  are in the causal past of  $B$ .  $A$  and  $C$  are said to be causally disconnected.

## 5.9 Spacetime diagrams and the light cone

Spacetime events live on hyperparabolas, such that regardless of frame, all events exist on a hyperparabola  $c^2\tau^2 = c^2t^2 \pm x^2$  regardless of frame. A hyperbolic Lorentz transformation/rotation allows for changing of frames.

Let us now suppose an event occurs at the origin of a spacetime diagram. The causal past and causal future is bounded by lines  $x = \pm ct$ . We then define,

- **Time-like separation:** events in the causal past/future, with the condition  $\Delta\tau^2 > 0$ .
- **Space-like separation:** events which are causally separated/acausal, with the condition  $\Delta\tau^2 < 0$ .
- **Null separation:** events which lie on the lines  $x = \pm ct$ , also referred to as null, with the condition  $\Delta\tau^2 = 0$ .

What we have discussed referred to just 1 dimension of space and time, but these concepts still apply to higher dimensions. In the cases of higher dimensions, hyperbolae become hyperboloids, and points become world lines.

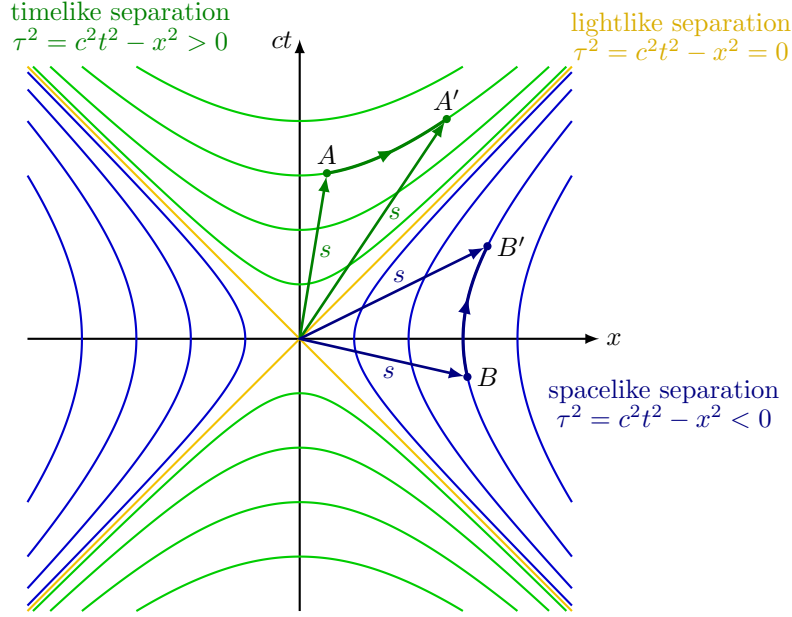


Figure 5.2

## 5.10 Propagation of massless particles

Let us define properties of particles under relativity. Massless particles hold the following quantities,

$$E = c|\mathbf{p}| \qquad E = \frac{hc}{\lambda} = hf. \quad (5.55)$$

From this, we can say particles have a wavelength,

$$\lambda = \frac{h}{|\mathbf{p}|}. \quad (5.56)$$

We can express this in terms of the wavenumber,

$$|\mathbf{k}| = \frac{2\pi}{h} \quad (5.57)$$

with angular frequency,

$$\omega = 2\pi f \quad (5.58)$$

such that,

$$\mathbf{p} = \hbar\mathbf{k} \qquad E = \hbar c|\mathbf{k}| = \hbar\omega. \quad (5.59)$$

### 5.10.1 Doppler shifts and aberration

Given a massless particle's energy, we can write its 4-momentum as,

$$P^\mu = (|\mathbf{p}|, \mathbf{p}) \quad (5.60)$$

in two spatial dimensions on the  $x - y$  plane. More concretely, if the momentum vector makes an angle  $\theta$  to the horizontal,

$$\begin{aligned} P^\mu &= p(1, \cos \theta, \sin \theta) \\ &= \frac{E}{c}(1, \cos \theta, \sin \theta). \end{aligned} \quad (5.61)$$

Now consider a setup like in figure 5.3. We are considering a source emitting photons isotropically,

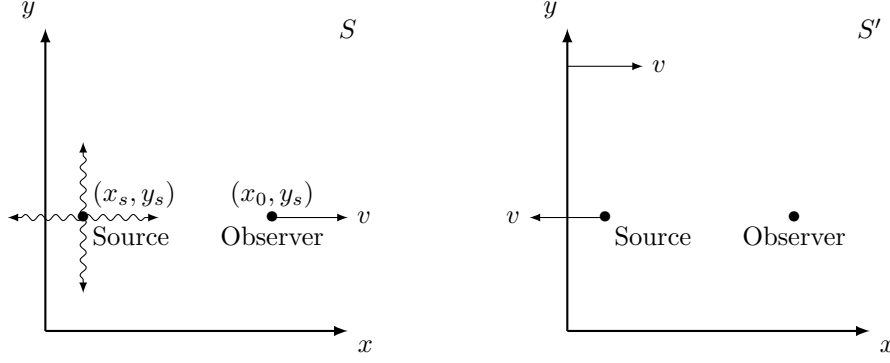


Figure 5.3

where the observer is moving away from the source at a velocity  $v$  along the  $x$ -axis in a frame  $S$ . Let us consider a frame  $S'$  moving at velocity  $v$  perpendicular to the  $x$ -axis of  $S$  such that the observer is stationary and the source is moving away from them at velocity  $v$ . Assuming  $x_0 > x_s$  and  $v > 0$ , we have,

$$P'^{\mu} = \Lambda^{\mu}_{\nu} P^{\nu} = \begin{pmatrix} \gamma & -\gamma\beta & 0 & 0 \\ -\gamma\beta & \gamma & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \frac{E}{c} & p_x & p_y & p_z \end{pmatrix}. \quad (5.62)$$

From this, we can deduce,

$$E' = \gamma(E - vp_x) \quad p'_x = \gamma\left(p_x - \frac{vE}{c^2}\right) \quad p'_y = p_y \quad p'_z = p_z \quad (5.63)$$

By (5.61), we can rewrite these as,

$$E' = \gamma(E - vp \cos \theta) \quad (5.64)$$

$$p' \cos \theta' = p'_x = \gamma\left(p \cos \theta - \frac{vE}{c^2}\right) \quad (5.65)$$

$$p' \sin \theta' = p'_y = p \sin \theta. \quad (5.66)$$

Given (5.64), we have,

$$p' = \gamma p \left(1 - \frac{v}{c} \cos \theta\right). \quad (5.67)$$

Given the energy momentum relation in (5.55), we can rewrite (5.67) as, if we say  $p \propto f$  and  $p' \propto f'$ .

$$\frac{f'}{f} = \gamma \left(1 - \frac{v}{c}\right) = \left(\frac{1 - \frac{v}{c}}{1 + \frac{v}{c}}\right)^{-\frac{1}{2}} \quad (5.68)$$

which is the equation of **longitudinal doppler shift**.

### Transverse doppler shifting

Let us now consider the apparent angle seen by the observer. The observer sees the angle of light change, given by,

$$\cos \theta' = \frac{\cos \theta - \frac{v}{c}}{1 - \frac{v}{c} \cos \theta} \quad (5.69)$$

$$\sin \theta' = \frac{\sin \theta}{\gamma \left(1 - \frac{v}{c} \cos \theta\right)}. \quad (5.70)$$

In the limit that  $v \rightarrow -c$ , i.e., the observer moving towards the source, approaching the speed of light, we find,

$$\cos \theta' \rightarrow 1 \quad \sin \theta' \rightarrow 0. \quad (5.71)$$

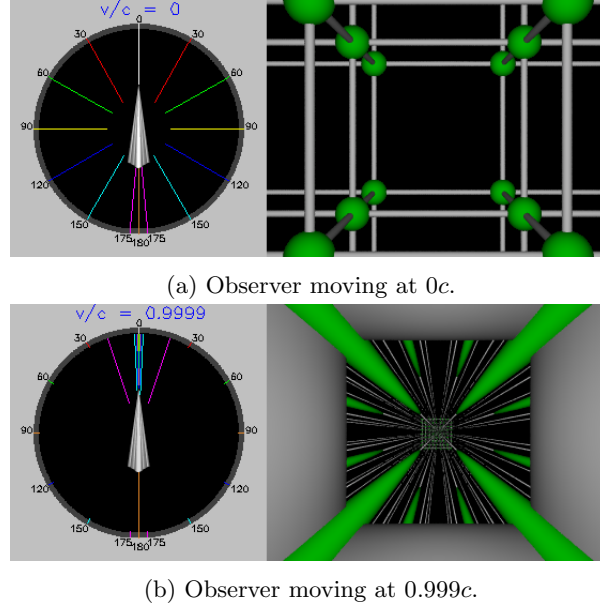


Figure 5.4: Figure showcasing relativistic aberration.

Assuming  $\theta' = \frac{\pi}{2}$ , we have that  $\cos \theta = \frac{v}{c}$ , meaning that,

$$f' = \frac{f}{\gamma} \quad (5.72)$$

which is known as **transverse doppler shifting**.

### Relativistic aberration

Let us now consider a case where we assume no angle. We can derive,

$$\cos \theta' = 1 - \frac{\Delta v}{c} \tan^2 \left( \frac{1}{2} \theta \right) + \mathcal{O} \left[ \left( \frac{\Delta v}{c} \right)^2 \right]. \quad (5.73)$$

This means that photons experience aberration towards the observer as the observer moves towards the source, as can be seen in figure 5.4.

### Flux due to relativistic aberration

In addition to aberration, the observer would experience an increase of flux of the photons as they all concentrate on one point. We can say that the source emits photons at a rate  $\dot{N}$ . Thus, the photons per solid per unit time are,

$$\frac{dN}{d\Omega dt} = \frac{\dot{N}}{4\pi}. \quad (5.74)$$

Let us consider a frame  $S'$  moving along  $x$  with  $y$  rotated by an azimuthal angle  $\phi$ , unaffected by the Lorentz transformation. The flux of photons in  $S'$  is given by,

$$\begin{aligned} \frac{dN}{d\Omega' dt} &= \frac{N}{(\cos \theta') d\phi'} = \frac{d \cos \theta}{d \cos \theta'} \frac{dN}{d\Omega dt} \\ \Rightarrow \frac{dN}{d\Omega' dt} &= \frac{\dot{N}}{4\pi} \frac{\left[ 1 - \left( \frac{v}{c} \right)^2 \right]}{\left( 1 + \frac{v}{c} \cos \theta' \right)^2}. \end{aligned} \quad (5.75)$$

For  $\theta' \approx 0$  (i.e., the limit of  $v \rightarrow -c$ ),

$$\frac{dN}{d\Omega' dt} = \frac{\dot{N}}{4\pi} \left( \frac{1 - \frac{v}{c}}{1 + \frac{v}{c}} \right) \sim \frac{\dot{N}c}{2\pi \Delta v} \quad (5.76)$$

when  $v = -c + \Delta v$ . In the limit  $\Delta v \rightarrow 0$ , we find that flux  $\rightarrow \infty$ .

## 5.11 Relativistic collisions

We will now consider the collision of 2 particles in 2-2 scattering. The particles begin in an initial state (1, 2), and enter a final state (A, B).

We will be working in a kinematic frame. We impose kinematic restraints, i.e., those given by conservation of 4-momentum,

$$\tilde{\mathbf{P}}_1 + \tilde{\mathbf{P}}_2 = \tilde{\mathbf{P}}_A + \tilde{\mathbf{P}}_B \quad (5.77)$$

which further implies energy conservation and 3-momentum conservation, if we recall (5.24). We further have *on shell* conditions, which imply,

$$\tilde{\mathbf{P}}_i \cdot \tilde{\mathbf{P}}_i = (m_i c)^2 \text{ for } i = \{1, 2, A, B\} \quad (5.78)$$

which gives  $\tilde{\mathbf{P}}_i^2 = 0$  for massless particles. Taking the inner product on (5.77), we find,

$$\begin{aligned} (\tilde{\mathbf{P}}_A + \tilde{\mathbf{P}}_B)^2 &= (\tilde{\mathbf{P}}_1 + \tilde{\mathbf{P}}_2)^2 \\ \implies \tilde{\mathbf{P}}_A \cdot \tilde{\mathbf{P}}_B &= \tilde{\mathbf{P}}_1 \cdot \tilde{\mathbf{P}}_2 + \frac{1}{2} \Delta c^2 \end{aligned} \quad (5.79)$$

for,

$$\Delta = m_1^2 + m_2^2 - m_A^2 - m_B^2. \quad (5.80)$$

### 5.11.1 Solving collision problems

Let us consider what information is required for this collision problem. We will assume we know all initial conditions. Given that there are a total of  $2n + 4$  unknown quantities for  $n$  spatial dimensions, the tools we have at our disposal are,

- Energy conservation  $\rightarrow 1$  equation,
- Momentum conservation  $\rightarrow n$  equations,
- On shell conditions for the final state  $\rightarrow 2$  equations.

Thus, we have  $n + 3$  equations when we must solve for  $2n + 4$  unknowns. It is then not possible to find a complete solution to the collision problem without additional information! In fact, the only way to solve this is if the problem occurs in 1 dimension where  $m_A$  and  $m_B$  are known. Clearly, we need more tools for solving relativistic collision problems.

### 5.11.2 Colinear photons

If we consider  $N$  colinear photons with 4 momentum,

$$P_i^\mu = \frac{E_i}{c} (1, 1, 0, 0). \quad (5.81)$$

The total 4 momentum is then given by,

$$P_{\text{tot}}^\mu = \frac{\sum_i E_i}{c} (1, 1, 0, 0). \quad (5.82)$$

Thus, we can treat the collection of colinear photons as a single photon with energy  $\sum_i E_i$ .

### 5.11.3 Zero momentum frames and invariant mass

We are always able to choose a coordinate frame where the total 3-momentum is along the  $x$  direction,

$$P_{\text{tot}}^\mu = \left( \frac{E}{c}, p, 0, 0 \right). \quad (5.83)$$

Let us make a Lorentz transformation along the  $x$ -direction so that,

$$E' = \gamma(E - vp) \quad p' = \gamma \left( p - \frac{vE}{c^2} \right) = 0 \quad (5.84)$$

which requires that,

$$\frac{v}{cp} = \frac{cp}{E} < 1 \quad (5.85)$$

which is how the *centre of momentum frame* is defined. We can further define take the inner product on (5.83) and define,

$$\tilde{\mathbf{P}}_{\text{tot}} \cdot \tilde{\mathbf{P}}_{\text{tot}} = \eta_{\mu\nu} P_{\text{tot}} P_{\text{tot}} = (m_{\text{inv}})^2 > 0 \quad (5.86)$$

where  $m_{\text{inv}}$  is the *invariant mass* of the system. For non-zero invariant mass, we have  $\frac{v}{c} < 1$ .

### Further on invariant mass

We can further define the invariant mass of a system by,

$$(m_{\text{inv}})^2 = \left( \frac{1}{c} \sum_i E_i \right)^2 + \left( \sum_i \mathbf{p}_i \right)^2 \quad (5.87)$$

which is conserved for *elastic* collisions and is the same in all frames.

### Threshold conditions

In the centre of momentum frame, we have that,

$$m_{\text{inv}} = \frac{1}{c} \sum_i E_i = \sum_i \sqrt{|\mathbf{p}_i|^2 + (m_i c)^2} \geq c \sum_i m_i. \quad (5.88)$$

The system is said to be *at threshold* when  $\mathbf{p}_i = 0, \forall i$ . I.e., when the system is saturated. The threshold condition can be used to check if a system (i.e., the solution we found) is kinematically allowed.

# Appendix A

## Examples

### A.1 $r_{\min}$ and $r_{\max}$

We get turning points in  $r$  when  $\dot{r} = 0$ . Thus, by (3.51) and by defining  $u = \frac{1}{r}$ , we are able to obtain the quadratic,

$$\frac{L^2}{2\mu}u^2 + U(r) - E = 0. \quad (\text{A.1})$$

For a central potential in the form,  $U(r) = -\frac{\alpha}{r} = -\alpha u$ , we obtain solutions,

$$u = \frac{\alpha \pm \sqrt{\alpha^2 + \frac{2EL^2}{\mu}}}{\frac{L^2}{\mu}}. \quad (\text{A.2})$$

Let us now recall (3.60),

$$u_0 = \frac{\alpha\mu}{L^2} \quad \varepsilon^2 = 1 + \frac{2L^2E}{\alpha\mu}, \quad (\text{A.3})$$

we can then conclude,

$$r_{\min} = r(1 - \varepsilon) \quad r_{\max} = r(1 + \varepsilon). \quad (\text{A.4})$$

### A.2 Matrix Decomposition

**Theorem.** Any matrix  $\mathcal{M}$  can be written as the sum of its symmetric,  $\mathcal{S}$ , and anti-symmetric,  $\mathcal{T}$ , components such that,

$$\mathcal{M} = \mathcal{S} + \mathcal{T}. \quad (\text{A.5})$$

*Proof.* Consider,

$$\begin{aligned} (\mathcal{M} + \mathcal{M}^T)_{ij} &= \mathcal{M}_{ij} + \mathcal{M}_{ij}^T = \mathcal{M}_{ji}^T + \mathcal{M}_{ji} = (\mathcal{M}^T + \mathcal{M})_{ji} = (\mathcal{M} + \mathcal{M}^T)_{ji} \\ \implies (\mathcal{M} + \mathcal{M}^T) &\text{ is symmetric } \implies \mathcal{S} = 2(\mathcal{M} + \mathcal{M}^T). \end{aligned} \quad (\text{A.6})$$

Then, consider,

$$\begin{aligned} (\mathcal{M} - \mathcal{M}^T)_{ij} &= \mathcal{M}_{ij} - \mathcal{M}_{ij}^T = \mathcal{M}_{ji}^T - \mathcal{M}_{ji} = (\mathcal{M}^T - \mathcal{M})_{ji} = -(\mathcal{M} - \mathcal{M}^T)_{ji} \\ \implies (\mathcal{M} - \mathcal{M}^T) &\text{ is anti-symmetric } \implies \mathcal{T} = 2(\mathcal{M} - \mathcal{M}^T). \end{aligned} \quad (\text{A.7})$$

Now,

$$\begin{aligned} \mathcal{M} &= \frac{1}{2}\mathcal{M} + \frac{1}{2}\mathcal{M} + \frac{1}{2}\mathcal{M}^T - \frac{1}{2}\mathcal{M}^T = \frac{1}{2}(\mathcal{M} + \mathcal{M}^T) + \frac{1}{2}(\mathcal{M} - \mathcal{M}^T) \\ &= \mathcal{S} + \mathcal{T} \end{aligned} \quad (\text{A.8})$$

□



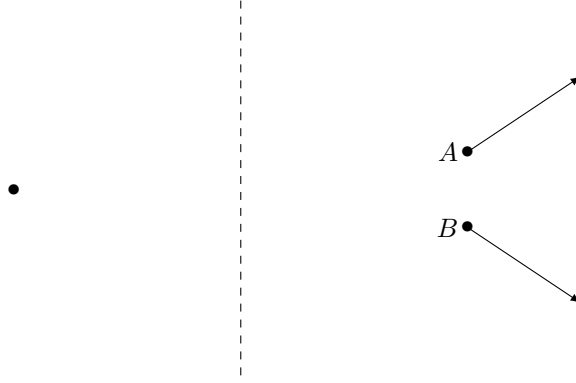


Figure A.1

### A.3 Massive particle decay

Consider the decay of a massive particle at rest to 2 particles,  $A$  and  $B$ , as in figure A.1. This is known as a 1-2 process. The 4 momentum of the initial state is given by,

$$P^\mu = (m_1, c, 0, 0). \quad (\text{A.9})$$

By conservation of 4 momentum, we have,

$$\tilde{\mathbf{P}} = \tilde{\mathbf{P}}_A + \tilde{\mathbf{P}}_B. \quad (\text{A.10})$$

Given the shell conditions, we can take the dot product of both sides of (A.10),

$$\begin{aligned} \tilde{\mathbf{P}} \cdot \tilde{\mathbf{P}} &= \left( \tilde{\mathbf{P}}_A \cdot \tilde{\mathbf{P}}_B \right)^2 \\ \implies \tilde{\mathbf{P}}_A \cdot \tilde{\mathbf{P}}_B &= \tilde{\mathbf{P}}^2 - \tilde{\mathbf{P}}_A^2 - \tilde{\mathbf{P}}_B^2 \\ &= (m_1 c)^2 - (m_A c)^2 - (m_B c)^2 = \Delta c^2. \end{aligned} \quad (\text{A.11})$$

By substituting (A.10) into (A.11), we obtain,

$$\left( \tilde{\mathbf{P}} - \tilde{\mathbf{P}}_A \right) \cdot \tilde{\mathbf{P}}_A = \frac{1}{2} \Delta c^2 \quad \left( \tilde{\mathbf{P}} - \tilde{\mathbf{P}}_B \right) \cdot \tilde{\mathbf{P}}_B = \frac{1}{2} \Delta c^2 \quad (\text{A.12})$$

and

$$\tilde{\mathbf{P}} \cdot \tilde{\mathbf{P}}_A = m_1 E_A \quad \tilde{\mathbf{P}} \cdot \tilde{\mathbf{P}}_B = m_1 E_B. \quad (\text{A.13})$$

From this, we obtain solutions,

$$\frac{E_A}{c^2} = \frac{\frac{1}{2} \Delta + m_A^2}{m_1} \quad \frac{E_B}{c^2} = \frac{\frac{1}{2} \Delta + m_B^2}{m_1}. \quad (\text{A.14})$$

We can now check our answer is kinematically allowed. Given that the initial state had zero 3-momentum, the same must hold in the final state. We then have,

$$m_{\text{inv}} c = m_1 c = \frac{E_A + E_B}{c} = \sqrt{|\mathbf{p}_A|^2 + (m_A c)^2} + \sqrt{|\mathbf{p}_B|^2 + (m_B c)^2} \geq m_A c + m_B c \quad (\text{A.15})$$

which holds at threshold.

## Appendix B

# Moments of Inertia

### B.1 Solid Cylinder

We will consider a solid cylinder about its centre of mass of radius  $R$  and a height  $h$ . Let us define,

$$dM = \rho dV = \rho r dr d\theta dz \quad \rho = \frac{M}{\pi R h}. \quad (\text{B.1})$$

We use coordinates,

$$x = r \cos \theta \quad y = r \sin \theta. \quad (\text{B.2})$$

Then,

$$\begin{aligned} \mathbf{I} &= \rho \int_0^R r dr \int_0^{2\pi} d\theta \int_{-\frac{1}{2}h}^{\frac{1}{2}h} \begin{pmatrix} r^2 \sin^2 \theta & -r^2 \cos \theta \sin \theta & rz \cos \theta \\ -r^2 \sin \theta \cos \theta & z^2 + r^2 \cos^2 \theta & -rz \sin \theta \\ -rz \cos \theta & -rz \sin \theta & r^2 \end{pmatrix} dz \\ &= M \begin{pmatrix} \frac{1}{4}R^2 + \frac{1}{12}h^2 & 0 & 0 \\ 0 & \frac{1}{4}R^2 + \frac{1}{12}h^2 & 0 \\ 0 & 0 & \frac{1}{2}R^2 \end{pmatrix} \end{aligned} \quad (\text{B.3})$$

### B.2 Cylindrical Shell

Similarly to A.1,

$$dM = \sigma dA = \sigma R d\theta dz \quad \sigma = \frac{M}{2\pi R h}. \quad (\text{B.4})$$

Then,

$$\begin{aligned} \mathbf{I} &= \rho \int_0^R r dr \int_0^{2\pi} d\theta \int_{-\frac{1}{2}h}^{\frac{1}{2}h} \begin{pmatrix} r^2 \sin^2 \theta & -r^2 \cos \theta \sin \theta & rz \cos \theta \\ -r^2 \sin \theta \cos \theta & z^2 + r^2 \cos^2 \theta & -rz \sin \theta \\ -rz \cos \theta & -rz \sin \theta & r^2 \end{pmatrix} dz \\ &= M \begin{pmatrix} \frac{1}{2}R^2 + \frac{1}{12}h^2 & 0 & 0 \\ 0 & \frac{1}{2}R^2 + \frac{1}{12}h^2 & 0 \\ 0 & 0 & R^2 \end{pmatrix} \end{aligned} \quad (\text{B.5})$$

### B.3 Solid Sphere

We will assume a uniform density. Then,

$$I_{ij} = \frac{2}{5}MR^2\delta_{ij}. \quad (\text{B.6})$$

### B.4 Spherical Shell

Once again assuming uniform density,

$$I_{ij} = \frac{2}{3}MR^2\delta_{ij}. \quad (\text{B.7})$$

## B.5 Solid cone

Assuming uniform density, the moment of inertia about the apex is,

$$I_{ij} = \frac{3}{5} M \text{diag} \left( h^2 + \frac{1}{4} R^2, h^2 + \frac{1}{4} R^2, \frac{1}{2} R^2 \right). \quad (\text{B.8})$$

## B.6 Ellipsoid

A solid ellipsoid with semi-radii  $(a, b, c)$  has a moment of inertia about its centre of mass,

$$I_{ij} = \frac{1}{M} \text{diag} (b^2 + c^2, c^2 + a^2, a^2 + b^2). \quad (\text{B.9})$$

**NOTE:** For an ellipsoid, we have,

$$dV = abc r^2 \sin \theta dr d\theta d\phi. \quad (\text{B.10})$$

## B.7 Thin Rod

This method using the parallel axis theorem. Let us consider a rod of length  $L$ , then

$$dM = \mu d\ell \quad \mu = \frac{M}{L} \quad \mathbf{r} = (x, y, z) = \ell(0, 1, 0). \quad (\text{B.11})$$

We can then deduce,

$$\mathbf{I}' = \mu \int_{-\frac{1}{2}L}^{\frac{1}{2}L} d\ell \text{diag}(\ell^2, 0, \ell^2) = \frac{1}{12} ML^2 \text{diag}(1, 0, 1). \quad (\text{B.12})$$

We can then calculate the moment of inertia about one end of the rod. We have,

$$\mathbf{R} = \frac{1}{2} L \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} \quad (\text{B.13})$$

then,

$$|\mathbf{R}|^2 \delta_{ij} - R_i R_j = \frac{1}{4} L^2 \text{diag}(1, 0, 1) \quad (\text{B.14})$$

so,

$$\mathbf{I} = \frac{1}{12} ML^2 \text{diag}(1, 0, 1) + \frac{1}{4} ML^2 \text{diag}(1, 0, 1) = \frac{1}{3} ML^2 \text{diag}(1, 0, 1). \quad (\text{B.15})$$

## Appendix C

# Rotating Reference Frames on Earth

We will be considering a non-inertial reference frame on the surface of the earth, with the  $x$ -axis pointing east,  $y$ -axis pointing north, and the  $z$ -axis pointing "up" or towards space. We will then define the following vectors (using Cartesian basis vectors),

$$\boldsymbol{\omega} = \omega \begin{pmatrix} 0 \\ \cos \lambda \\ \sin \lambda \end{pmatrix} \quad \mathbf{g} = \begin{pmatrix} 0 \\ 0 \\ -g \end{pmatrix}. \quad (\text{C.1})$$

### C.1 Pendulum at rest

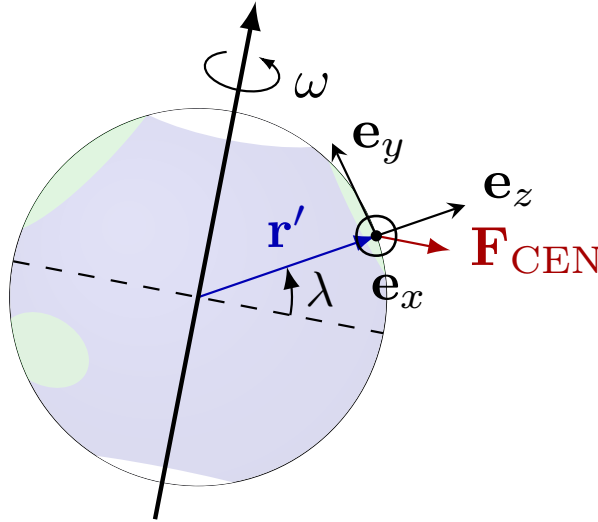


Figure C.1: Visualisation of the centrifugal force.

The only inertial force acting on objects at rest in a rotating frame is the centrifugal force. This force has the effect of reducing the weight of an observer on earth. However, this force will act radially outwards from the axis of rotation ( $\mathbf{e}_r$ ) rather than in  $\mathbf{e}_z$ .

We will now consider a pendulum at rest at some inclination on the earth, with a position vector,

$$\mathbf{r}' = \begin{pmatrix} 0 \\ 0 \\ R_E \end{pmatrix}. \quad (\text{C.2})$$

The centrifugal force will cause the pendulum to be slightly deflected by an angle  $\alpha$  as the centrifugal force does not point in its weight.

We understand that the centrifugal acceleration is,

$$\frac{1}{m}\mathbf{F}_{\text{CEN}} = \boldsymbol{\omega} \times (\boldsymbol{\omega} \times \mathbf{r}') \quad (\text{C.3})$$

which we can rewrite using the identity,

$$\mathbf{A} \times (\mathbf{B} \times \mathbf{C}) = (\mathbf{A} \cdot \mathbf{C})\mathbf{B} - (\mathbf{A} \cdot \mathbf{B})\mathbf{C}, \quad (\text{C.4})$$

then,

$$\frac{1}{m}\mathbf{F}_{\text{CEN}} = \omega^2 \mathbf{r}' - (\boldsymbol{\omega} \cdot \mathbf{r}')\boldsymbol{\omega} = \omega^2 R_E \begin{pmatrix} 0 \\ -\sin \lambda \cos \lambda \\ \cos^2 \lambda \end{pmatrix}. \quad (\text{C.5})$$

We can then consider the effective gravity felt by the observer in the non-inertial frame,

$$\begin{aligned} \mathbf{g}_{\text{EFF}} &= \mathbf{g} + \frac{1}{m}\mathbf{F}_{\text{CEN}} \\ &= \mathbf{g} - \begin{pmatrix} 0 \\ -\omega^2 R_E \sin \lambda \cos \lambda \\ \omega^2 R_E \cos^2 \lambda \end{pmatrix} = \begin{pmatrix} 0 \\ \omega^2 R_E \sin \lambda \cos \lambda \\ -g + \omega^2 R_E \cos^2 \lambda \end{pmatrix}. \end{aligned} \quad (\text{C.6})$$

We then obtain the magnitude and angle by usual means, approximating where appropriate,

$$\begin{aligned} |\mathbf{g}_{\text{EFF}}| &= g \left( 1 - \frac{2\omega^2 R_E}{g} \cos^2 \lambda + \left( \frac{\omega^2 R_E}{g} \right)^2 \cos^2 \lambda \right)^{\frac{1}{2}} \\ &\approx g \left( 1 - \frac{\omega^2 R_E}{g} \cos^2 \lambda \right) \end{aligned} \quad (\text{C.7})$$

$$\begin{aligned} \tan \alpha &= \frac{\omega^2 R_E \sin \lambda \cos \lambda}{-g + \omega^2 R_E \cos^2 \lambda} = \frac{\omega^2 R_E}{g} \sin \lambda \cos \lambda \left( 1 - \frac{\omega^2 R_E}{g} \cos^2 \lambda \right)^{-1} \\ \alpha &\approx \frac{R_E \omega^2}{g} \sin \lambda \cos \lambda \end{aligned} \quad (\text{C.8})$$

## C.2 Particle falling to earth

We will consider a particle falling to Earth from a height  $h$  from rest under free fall, only considering its motion within the non-inertial frame. We will define the position vector of the object as,

$$\mathbf{r}(t) = \begin{pmatrix} x(t) \\ y(t) \\ z(t) \end{pmatrix} \equiv \begin{pmatrix} x \\ y \\ z \end{pmatrix} \equiv \mathbf{r} \quad (\text{C.9})$$

whose initial conditions are given by,

$$\mathbf{r}(0) = \begin{pmatrix} 0 \\ 0 \\ R_E + h \end{pmatrix} \quad \dot{\mathbf{r}}(0) = \mathbf{0}. \quad (\text{C.10})$$

The equation of motion is given as follows,

$$\ddot{\mathbf{r}} = \mathbf{g} - 2\boldsymbol{\omega} \times \dot{\mathbf{r}} + \omega^2 \mathbf{r} - (\mathbf{r} \cdot \boldsymbol{\omega})\boldsymbol{\omega}. \quad (\text{C.11})$$

The timescale of the rotation of the earth is much larger than the timescale of free fall under gravity. It is then useful to define a new timescale, along with an angular velocity unit vector

$$T = \omega t \quad \hat{\boldsymbol{\omega}} = \frac{\boldsymbol{\omega}}{\omega}. \quad (\text{C.12})$$

This allows us to rewrite the equation of motion,

$$\frac{d^2 \mathbf{r}}{dT^2} = \frac{\mathbf{g}}{\omega^2} - 2\hat{\boldsymbol{\omega}} \times \frac{d\mathbf{r}}{dT} + \mathbf{r} - (\mathbf{r} \cdot \hat{\boldsymbol{\omega}})\hat{\boldsymbol{\omega}}. \quad (\text{C.13})$$

We can then write the equations of motion for each component,

$$\frac{d^2x}{dT^2} = x - 2 \left( \frac{dz}{dt} \cos \lambda - \frac{dy}{dT} \sin \lambda \right) \quad (\text{C.14})$$

$$\frac{d^2y}{dT^2} = y - 2 \frac{dx}{dT} \sin \lambda - \cos \lambda (y \cos \lambda + z \sin \lambda) \quad (\text{C.15})$$

$$\frac{d^2z}{dT^2} = z - \frac{g}{\omega^2} - 2 \frac{dx}{dT} \cos \lambda - \sin \lambda (y \cos \lambda + z \sin \lambda) \quad (\text{C.16})$$

It is very difficult to solve these equations generally, however a power series solution is valid as in most real-world scenarios,

$$T \ll 1$$

. First, we define,

$$\begin{aligned} \mathbf{r}(T) &= \mathbf{r}(0) + T \frac{\dot{\mathbf{r}}(t)}{\omega} + \mathbf{A}T^2 + \mathbf{B}T^3 + \dots \\ &= \begin{pmatrix} 0 \\ 0 \\ R_E + h \end{pmatrix} + \begin{pmatrix} A_1 \\ A_2 \\ A_3 \end{pmatrix} T^2 + \begin{pmatrix} B_1 \\ B_2 \\ B_3 \end{pmatrix} T^3. \end{aligned} \quad (\text{C.17})$$

The solutions are found by differentiating the equations and splitting them into their component parts, then substituting back into equations (C.14), (C.15), and (C.16) in order to find the values of  $\mathbf{A}$  and  $\mathbf{B}$ . Performing this leads to the solutions,

$$x \approx \frac{1}{3} g \omega t^3 \left( 1 - \frac{(R_E + h) \omega^2}{g} \right) \cos \lambda, \quad (\text{C.18})$$

$$y \approx -\frac{1}{2} (R_E + h) \omega^2 t^2 \cos \lambda \sin \lambda, \quad (\text{C.19})$$

$$z \approx R_E + h - \frac{1}{2} g t^2 \left( 1 - \frac{(R_E + h) \omega^2}{g} \cos^2 \lambda \right), \quad (\text{C.20})$$

which are valid up to  $t \sim T^3$ . However, these solutions can be further simplified if,

$$\frac{R_E + h}{\omega^2} \ll 1, \quad (\text{C.21})$$

which is the equivalent of ignoring the centrifugal force. In this case, the solutions reduce to,

$$x \approx \frac{1}{3} g \omega t^3 \cos \lambda, \quad (\text{C.22})$$

$$y \approx 0, \quad (\text{C.23})$$

$$z \approx R_E + h - \frac{1}{2} g t^2. \quad (\text{C.24})$$

## Appendix D

# Gram-Schmidt Process

This allows us to create a set of orthonormal basis vectors, given that a set of vectors is not already orthogonal.

Let's consider a real euclidean vector space  $\mathbb{R}^n$ , with basis vectors  $\{\mathbf{v}^{(1)}, \mathbf{v}^{(2)}, \dots, \mathbf{v}^{(n)}\}$ , which we will not assume to be orthonormal. We wish to convert these to basis vectors  $\{\mathbf{u}^{(1)}, \mathbf{u}^{(2)}, \dots, \mathbf{u}^{(n)}\}$ . If we consider the inner product  $\langle \mathbf{x}, \mathbf{y} \rangle$ , which in our cases is simply the dot product,  $\mathbf{x} \cdot \mathbf{y}$ , we obtain a way to orthonormalise these vectors. For  $n = 1$ ,

$$\mathbf{u}^{(n)} = \mathbf{v}^{(n)} \tag{D.1}$$

and for  $n > 1$ ,

$$\mathbf{u}^{(n)} = \mathbf{v}^{(n)} - \sum_{k=1}^{n-1} \frac{\mathbf{v}^{(n)} \cdot \mathbf{u}^{(k)}}{\mathbf{u}^{(k)} \cdot \mathbf{u}^{(k)}} \mathbf{u}^{(k)} \tag{D.2}$$

## Appendix E

# Proofs with Einstein Notation

### E.1 $\nabla \cdot (\nabla \times \mathbf{A}) = 0$

*Proof.*

$$\begin{aligned}\nabla \times \mathbf{A} &= \epsilon_{ijk} \partial_j A_k \mathbf{e}_i \\ \nabla \cdot (\nabla \times \mathbf{A}) &= \partial_i \epsilon_{ijk} \partial_j A_k \\ &= \frac{1}{2} (\epsilon_{ijk} \partial_j \partial_i A_k + \epsilon_{ijk} \partial_j \partial_i A_k) \\ &= \frac{1}{2} (\epsilon_{ijk} \partial_j \partial_i A_k - \epsilon_{jik} \partial_j \partial_i A_k) \\ i \rightarrow j, j \rightarrow i &= \frac{1}{2} (\epsilon_{ijk} \partial_j \partial_i A_k - \epsilon_{ijk} \partial_i \partial_j A_k) \\ &= \frac{1}{2} (\epsilon_{ijk} \partial_j \partial_i A_k - \epsilon_{ijk} \partial_j \partial_i A_k) = 0\end{aligned}$$

□