

# A Computational Platform for Gene Expression Analysis

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# Abstract

The advent of next generation sequencing methods has revolutionized the field of molecular biology in the past few years. Nowadays, we are able to produce enormous amounts of biological information, both quickly and at low cost. As such, tools have to evolve accordingly, in order to cope with such large volumes of information. In this report we discuss the usage of computer tools capable of conducting gene expression profiling based on information obtained through RNA Sequencing techniques, applied to a specific set of biological problems. In particular, we present the idealization process and implementation details of a web platform capable of addressing these problems, as well as the actual platform prototype. The prototype's functionality is showcased with a real case study, produced in collaboration with biology researchers. This report also includes a literature review, covering both the biological and technical aspects of the work, with special emphasis in machine learning techniques applied to data mining tasks. Lastly, we review the work done and results obtained so far and outline the possible future of the web platform.



# Resumo

O advento das técnicas de sequenciação de nova geração revolucionou o campo da biologia molecular nos últimos anos. Hoje em dia somos capazes de produzir enormes quantidades de informação biológica rapidamente e a baixo custo. Assim sendo, as ferramentas devem também evoluir, a fim de lidarem com estas extensas quantidades de informação. Neste relatório discutimos o uso de ferramentas informáticas capazes de analisar perfis de expressão génica com base em informação obtida através de técnicas de *RNA Sequencing*, aplicadas a um conjunto específico de problemas biológicos. Em particular, apresentamos o processo de idealização e os detalhes de implementação de uma plataforma *web* capaz de resolver estes problemas, assim como o protótipo funcional dessa plataforma. As funcionalidades deste protótipo são demonstradas através de um caso de estudo real, produzido em colaboração com investigadores da área da biologia. Este relatório inclui também uma revisão da literatura, cobrindo os aspetos biológicos e técnicos deste trabalho, com um ênfase especial em técnicas de aprendizagem máquina aplicadas a tarefas de *data mining*. Por fim, revemos todo o trabalho efetuado e os resultados obtidos até ao momento e delineamos as possibilidades futuras para a plataforma *web*.





# Acknowledgements

<TODO>

I'd like to thank the academy...

</TODO>

Diogo André Rocha Teixeira



*“ Often people, especially computer engineers, focus on the machines. They think, "By doing this, the machine will run faster. By doing this, the machine will run more effectively. By doing this, the machine will something something something." They are focusing on machines. But in fact we need to focus on humans, on how humans care about doing programming or operating the application of the machines. We are the masters. They are the slaves. ”*

Yukihiro Matsumoto



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# Abbreviations

|         |   |
|---------|---|
| API     | Application Programming Interface   |
| AUC     | Area Under the Curve  |
| BLAST   | Basic Local Alignment Search Tool   |
| cDNA    | Complementary DNA   |
| CSS     | Cascading Style Sheets  |
| DBMS    | Database Management System  |
| DNA     | Deoxyribonucleic Acid   |
| GUI     | Graphical User Interface  |
| HTML    | HyperText Markup Language   |
| IBMC    | Institute for Molecular and Cell Biology ( <i>Instituto de Biologia Molecular e Celular</i> ) |
| ILP     | Inductive Logic Programming   |
| K-NN    | K-Nearest-Neighbors   |
| mRNA    | Messenger RNA   |
| NCBI    | National Center for Biotechnology Information   |
| NGS     | Next Generation Sequencing  |
| RBP     | RNA Binding Protein   |
| RNA     | Ribonucleic Acid  |
| RNA-Seq | RNA Sequencing  |
| ROC     | Receiver Operating Characteristic   |
| SAM     | Sequence Alignment/Map  |
| SVM     | Support Vector Machine  |
| rRNA    | Ribosomal RNA   |
| tRNA    | Transfer RNA  |
| WTSS    | Whole Transcriptome Shotgun Sequencing  |



# Chapter 1

## 2 Introduction

4 Molecular biology is a branch of biology that studies biological activities of living beings, at a  
6 molecular level. The grounds for this field of study were set in the early 1930s, although it only  
8 emerged in its modern form in the 1960s, with the discovery of the structure of DNA. Among  
10 the processes studied by this branch of biology is gene expression. Gene expression (further  
12 explained in Chapter 2) is the process by which DNA molecules are transformed into useful genetic  
products, typically proteins, which are essential for living organisms. This knowledge is not only  
important in fields like evolutionary or molecular biology, but has crucial applications in fields  
such as medicine. One example of such an application is the usage of gene expression analysis in  
the diagnosis and treatment of cancer patients [PASH03].

With the advent of NGS (*Next Generation Sequencing*) techniques, researchers have at their  
14 disposal huge amounts of sequencing data, that is not only cheaper and faster to produce, but  
also more commonly available. This data can then be used to obtain relevant information about  
16 organisms' gene expression. But, as the cost of sequencing genomes was reduced, the cost of  
processing such information was increased. NGS techniques tend to produce much smaller reads<sup>1</sup>  
18 than previously used techniques, presenting a more complicated problem, from a computational  
standpoint [Wol13].

### 20 1.1 Domain Problem

Despite its great advancements in the past decades, molecular biology is still a relatively new  
22 subject and, as such, there are still some unknowns and partial knowledge in this area. In respect  
to gene expression, some mechanisms of this intricate process are yet to be fully understood. One  
24 such mechanism is the one that regulates the transcription speed of RNA. One of the objectives  
of the thesis is to understand how the final sequences of a gene's exons are responsible for the  
26 speed at which the exons themselves are transcribed. The other objective is to understand how

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<sup>1</sup>A *read* is a single fragment of a genome/transcriptome, obtained through sequencing techniques.

RNA-binding protein (RBP) manipulation can be used to better understand an organism's gene expression. This are, however, complex tasks that can be further decomposed in the three main problems that will be addressed in the thesis, namely: 2

- Sequencing read alignment against a reference genome and differential expression analysis between samples of different individuals (of the same species). This is effectively one of the most complex problems addressed in the thesis. We will use data obtained through a sequencing method called RNA Sequencing<sup>2</sup>. Further insight about this method will be given in Chapter 2, with particular emphasis for tools used to align and analyze this data (Section ??). 4 6 8
- Gene enrichment and RBP analysis. This part of the work aims to collect as much relevant information as possible about the particular genes being studied at the time, to help biologists better understand their function. RBP knowledge is particularly important for gene manipulation and a great tool for better understanding gene expression, as will be further described in Chapter 2. 10 12 14
- Further analysis of the produced data, using machine learning techniques applied to data mining, specifically to clustering analysis. These techniques will be employed in an effort to try to give biologists more relevant information about gene expression, uncovering possible relationships in the retrieved information. This topic will be developed in Section 2.3. 16 18

Solving these problems requires the use of computational tools. As such, the development of a computer system (or multiple systems) to tackle these problems emerges as a secondary objective of the thesis. The details of the idealization of this system will be presented in Chapter 3, while its concrete implementation will be discussed in Chapter 4. 20 22

## 1.2 Motivation and Objectives

Gene expression analysis is essential for modern day molecular biology. Among many of the possible applications of this information, we can highlight: better classification and diagnosis of diseases, assessing how cells react to a specific treatment, and others. 24 26

While nowadays powerful computational tools exist to target almost any biology problem, many of those tools require a very specific set of technical skills and have a steep learning curve. Possibly the most important motivation behind this thesis, and ultimately its main objective, is to provide researchers with powerful yet simple and user friendly tools. This means developing a system simple enough that any user can learn to operate it in a few minutes with minimal effort, but sufficiently advanced to suit the user's research needs. 28 30 32

Another typical problem that biology researchers face nowadays is information dispersion and the repetitive and lengthy task of compiling that information. Researchers frequently have to manually join information originating from a multitude of different platforms, which use inconsistent 34

---

<sup>2</sup>RNA Sequencing is also referred to as *Whole Transcriptome Shotgun Sequencing*, or WTSS.

formats and notations. Our second objective is therefore to provide a system that is able to take  
 2 this burden off the user, making the process faster and simpler.

### 1.3 Project

#### 4 NOTES

- Add chapter/section references.

6  
 8 The project itself revolves around the development of a prototype computer system, capable  
 of solving the aforementioned problems. Due to the complexity of the complete system, its de-  
 velopment followed a module based organization (further described in Chapter 3). Therefore, the  
 10 envisioned system architecture is divided into three major components, to wit:

**Differential expression analysis pipeline** is responsible for aligning reads against a reference  
 12 genome and compare contrasts between different samples. The pipeline is based on the  
 preexisting iRAP pipeline. The pipeline's capabilities are further enhanced with both job  
 14 configuration automation and differential expression results consolidation (combining re-  
 sults from multiple differential expression tools).

16 **RNA-binding protein analysis workflow** aggregates information about RBPs from multiple bi-  
 ologic web databases (Ensembl, NCBI, UniProt, etc.) and organizes it in ways that are  
 18 useful to biology researchers. Moreover, this information is clustered using data mining  
 techniques, in order to reveal groups of genes and RBPs that may hold biologic relevance.

20 **Web platform** is responsible for storing and managing genetic data, coordinating interaction be-  
 tween the other components of the system and providing a web interface for user interaction.  
 22 This component is based mainly on typical web technologies, that is, a document based  
 database for data storage (MongoDB), a web framework for business logic implementa-  
 24 tion (Padrino) and web markup and styling languages for interface implementation (HTML,  
 CSS).

### 26 1.4 Structure of the Report

#### NOTES

28 - Update this last.

30 Besides the introduction chapter, this document is composed by three additional chapters.  
 Chapter 2 introduces some basic biology and RNA-Seq concepts, that are essential to understand  
 32 the problems with which this document deals. Furthermore, we describe the main techniques used  
 for genome/transcriptome sequencing and assembly, their differences, applications and the tools  
 34 and data formats typically used in those areas. Lastly, we give some insight about data mining

## Introduction

algorithms and how they will be applied in the context of the project. Chapter ?? outlines the main steps in the development of the project (and the respective software prototype) and attempts to provide a feasible schedule for the work's execution. It also presents the datasets that will be studied and used in this work, their origins and features, as well as the validation methods that will be used to ascertain the quality of our results. Chapter ?? sums up the what has been defined in the report, emphasizing the problem that the thesis addresses and the work that will be executed towards solving that problem. It will also give a brief idea of what are the expected results at the end of the project.



## Chapter 2

# 2 State-of-the-Art

4 In this chapter we will begin by making a more in depth presentation of the process of gene  
expression. This will be followed by a literature and state of the art review in the fields of read  
6 alignment, differential expression analysis and data mining. We will present the tools used in  
the development of the analysis pipelines and the web platform. Lastly, we review some result  
8 evaluation techniques and relevant data representation formats for genetic information.

### 2.1 Biological Base Concepts

10 Before dwelling in the details of the state of the art that are on the foundation of the thesis, it is  
important to explain some concepts of the domain of molecular biology.

#### 12 2.1.1 Gene Expression

As explained in Chapter 1, gene expression is the mechanism by which an organism's DNA can  
14 be expressed into functional genetic products, like proteins. This process starts with the genetic  
code, or nucleotide sequence, of each gene. Different genes in an organism's DNA are responsible  
16 for the creation of different genetic products. The process of gene expression itself is composed  
by two main stages, transcription and translation [[GEN](#)].

18 Transcription is the stage at which genetic data in the form of DNA is used to synthesize RNA,  
being this the process that concerns the thesis' main question. Several different types of RNA are  
20 produced by this process, including mRNA (which specifies the sequences of amino acids that  
form a protein), rRNA and tRNA, both later used in the translation stage. Simplifying a gene's  
22 structure, it can be seen as composed by two types of sequences, introns and exons, as seen in  
Figure 2.1.

24 The exons are useful in the gene expression process, being also known as coding regions.  
Introns, on the other hand, are not used in the process. They are present in an early stage mRNA  
26 molecule, the precursor mRNA, but are later removed (or spliced) in the final molecule before the

## State-of-the-Art

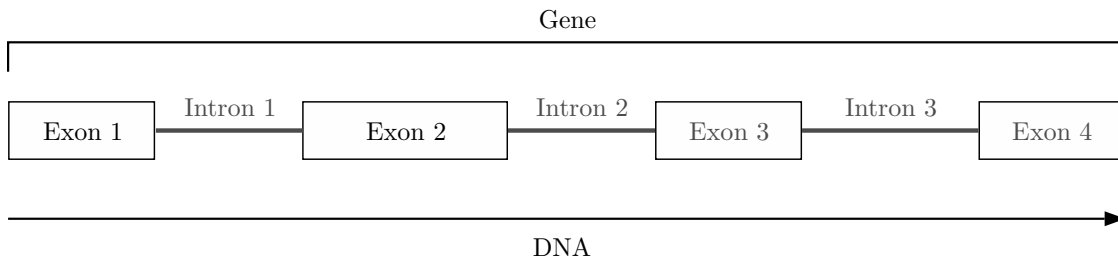


Figure 2.1: Overall structure of a gene, with its different areas (simplified).

translation stage [GEN]. Figure 2.2 illustrates the removal of introns from the mRNA molecule, during the splicing process.

2

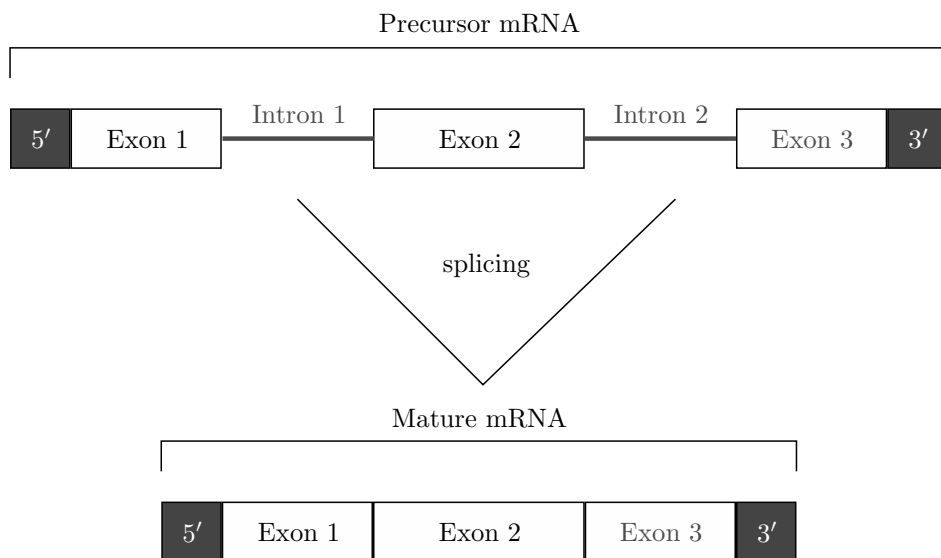


Figure 2.2: The removal (splicing) of introns from the precursor mRNA, during the transcription process.

After the conclusion of the transcription process comes the translation process. In this process, the synthesized mRNA is used to specify the sequence of amino acids that constitute the particular protein being produced. The other types of RNA molecules (rRNA and tRNA) are also used in this stage of the gene expression process.

4

6

### 2.1.2 RNA-Binding Proteins

RNA-binding proteins, also referred to as RBP, regulate every aspect of the RNA metabolism, including pre-mRNA splicing, mRNA transport, location, stability and translation control [CWD09, MMNMMN13, SH07, SH09], as shown in Figure 2.3.

8

10

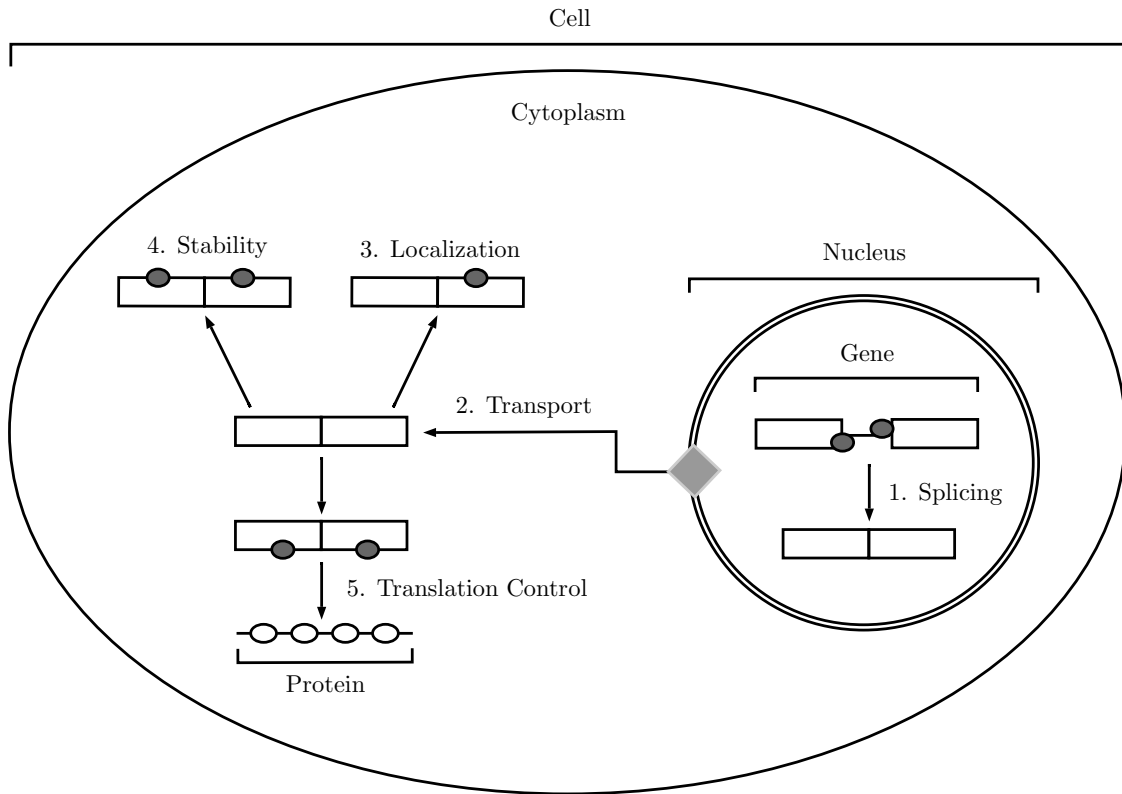


Figure 2.3: Diagram of a typical cell showing the multiple roles of RBPs in post transcriptional processes [JM11]. The grey ellipses represent RBPs. The numbered text represents the different processes in which RBPs take part. Multiple RBPs can bind with a single RNA at one or more locations, creating an abundance of different combinations and possibilities in every step of the RNA metabolism.

The binding of RBPs to RNA depends on different RNA-sequence specificities and affinities.

2 This aspect, coupled with the existence of hundreds of RBPs in an organism, gives rise to a plethora of different combinations and outcomes to the RNA metabolism.

4 RBPs regulate gene expression in health and disease, and mutations affecting the function of RBPs may cause several diseases [CWD09]. Therefore, understanding the binding patterns of  
6 RBPs during a particular biological process is crucial to get insight into that process, both during health and disease conditions.

### 8 2.1.3 Sequencing

Obtaining genetic information is done experimentally, by employing a sequencing technique. For  
10 quite some time this process was carried out using the Sanger's and other similar sequencing methods  
12 large projects like the Human Genome Project (HGP) consuming roughly thirteen years and US\$ 3 billion. These limitations were so severe that, other than the realm of human genetics, this kind

of study was restricted to model organisms, such as the fruit fly and mouse genomes [Wol13]. The past few years have seen the appearance and rise in popularity of the NGS techniques. These techniques differ from the more classical ones by producing larger amounts of information, at lower cost. They are also typically more cost effective than previous techniques and can be easily employed by single laboratories, which has greatly contributed to their popularity.

The rise in popularity and availability of NGS techniques, coupled with the importance of RNA knowledge in understanding gene expression, led to the appearance of RNA-Seq. RNA-Seq makes use of these newly available deep-sequencing techniques to profile complete transcriptomes. This is, however, a difficult task to accomplish. NGS techniques produce shorter reads than their older counterparts, being that “(...) *transcriptome assembly from billions of RNA-Seq reads (...) poses a significant informatics challenge*” [MW11, p. 671].

Although this thesis does not deal with the problems of sequencing techniques, it is important to indicate that the read data sets that were used resulted from NGS techniques, in particular RNA-Seq. As such, suitable tools for this particular type of data were used.

#### 2.1.4 Transcriptome Assembly

Transcriptome assembly is the process by which experimentally obtained RNA data reads can be organized and merged together in a partial or complete transcriptome. As stated above, the advent of next generation sequencing techniques, with their reduced costs, greatly increased the availability of transcript sequencing data.

For years, microarrays were the standard tool available for examining features of the transcriptome and global patterns of gene expression [Wol13]. However, microarrays are typically more oriented towards assembly against existing reference data, hence limiting its application to species with well known reference genomes. This is impractical, as NGS techniques allow to cheaply obtain genetic information of previously non-studied species. This is one of the reasons that led to the inception of RNA-Seq. Contrary to microarrays, RNA-Seq techniques are able to wield results that are suitable for both reference guided assembly and *de novo* assembly approaches [WL09]. *De novo* or exploratory assembly has captured the interest of researchers in the past few years, leading to the appearance of multiple RNA-Seq tools that are capable of making this type of assembly without a reference genome [FEB<sup>+</sup>11]. Transcriptome assembly was not performed during this thesis, as its main focus in terms of the RNA-Seq process is read alignment and differential expression analysis.

## 2.2 RNA-Seq Analysis

### 2.2.1 RNA-Seq Pipeline

The analysis of RNA-Seq data is a complex process, with multiple stages. As such, in order to produce relevant results one needs to use a pipeline. An analysis pipeline is a set of tools, chained together in such a way that the output of one tool becomes the input to the succeeding tool.

A typical RNA-Seq analysis pipeline is composed by six essential stages [Fas12] (see Figure 2.4):

**Read quality control and improvement** is a pre-processing stage. It comprises the usage of quality control tools, whose function is to trim bad quality data, in order to improve the overall quality of the data set. Other than direct data manipulation, this stage might produce some statistical data about the reads, that can later be used to better drive the succeeding stages.

**Sample contamination checking** is also a pre-processing stage. As read data is obtained experimentally, it is not uncommon for contamination of the samples to occur. Bacterial contaminations, such as *E. coli*, are fairly common and can sometimes skew the analysis results. In some cases it is possible to detect these contaminations and remove the affected data, hopefully improving the final results.

**Read alignment** is the stage in which reads are positioned against a reference sequence. This sequence can either be a known and annotated reference genome (typically a combination of a FASTA file and a GTF file) or an assembled transcriptome, either assembled *de novo* or against a reference genome (see Section 2.1.4). This alignment will allow to assess gene abundance in later stages of the pipeline.

**Quantification** is the stage where transcript abundance is determined/estimated (gene expression). This involves counting the number of occurrences of certain transcripts in the read data. Typically this stage produces transcript count tables, that can later be used for differential expression analysis.

**Differential expression** is the stage where transcript abundances between different samples are compared. As such, the produced count data is used to predict differences between transcript abundances between two or more samples, effectively demonstrating differences in gene expression. A common task for differential expression analysis is the comparison between a control and a mutated sample.

**Result reporting** is the final stage in most pipelines. In this stage the resulting data is organized and represented in a manner that is useful to the user. This usually involves producing plots, tables and reports. Some pipelines may perform additional task before or after this stage, like gene set enrichment.

Note that this is only a generic conception of a RNA-Seq analysis pipeline. In practice new stages can be added and other can be removed, to better suit the experiment at hand and the available data. In the given example (Figure 2.4) there is an additional stage, gene model parsing, that is only applied when the read are aligned against an annotated genome.

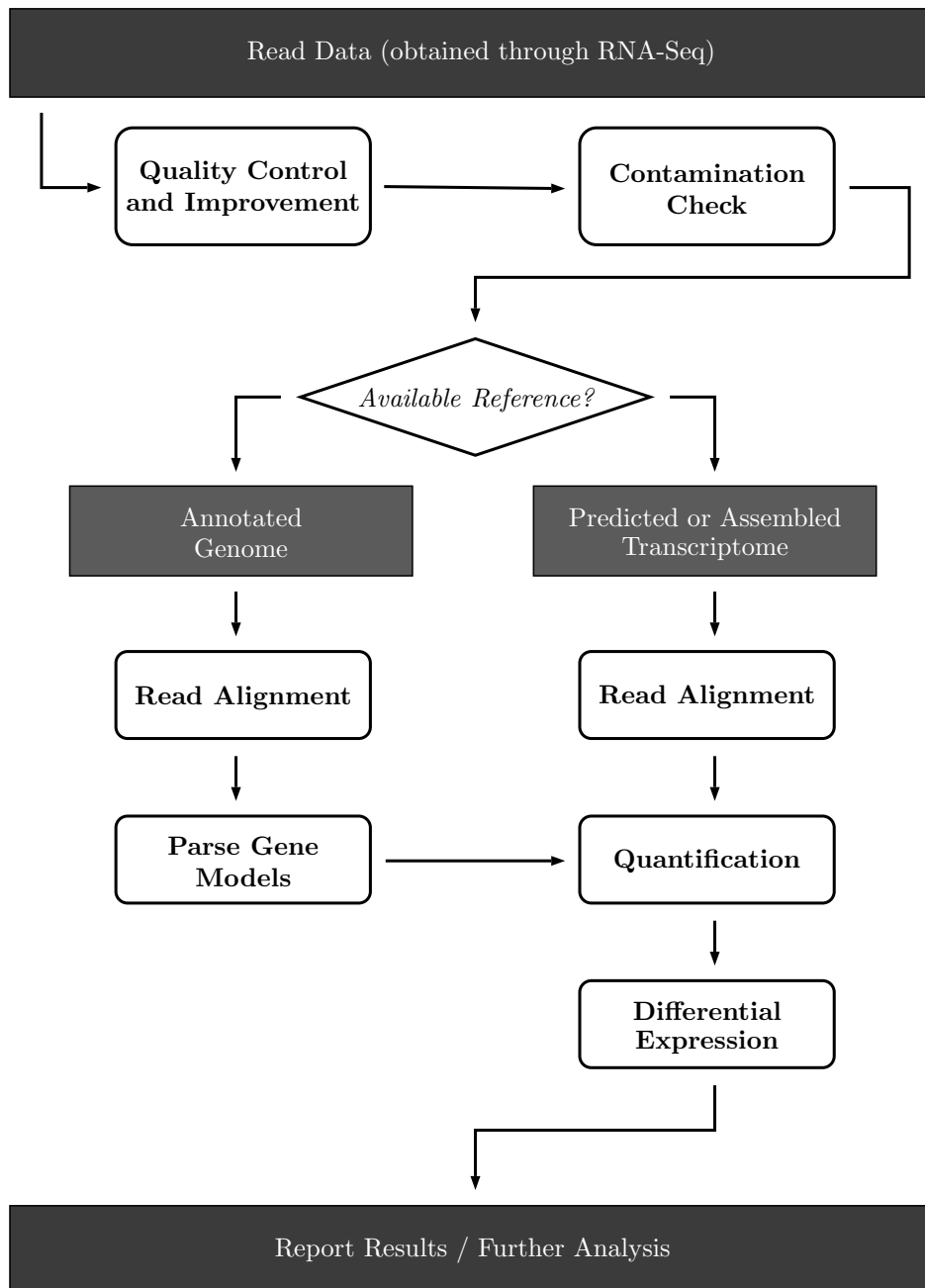


Figure 2.4: Representation of a standard RNA-Seq analysis pipeline [Fas12]. The analysis workflow has a slight variation depending on whether an annotated genome or an assembled transcriptome are used as reference for read alignment. Although this is a standard representation of the stages of RNA-Seq analysis, stages can be added or removed as needed to suit a particular assay.

## iRAP

iRAP is a RNA-Seq analysis pipeline. It implements a workflow similar to the one described above, albeit with some differences (see Figure 2.5). iRAP also allows some stages of the analysis to be skipped. Differential expression analysis is one such stage. This particular analysis will only

2

4

be performed at user request. The gene set enrichment stage (Figure 2.5, in dashed line) is also optional. This stage uses Piano [VNN13], an R package capable of conducting gene set analysis using various statistical methods, from different gene level statistics and a wide range of gene-set collections. However, this stage will not be analysed in depth, as gene set enrichment was not performed in this thesis.

One of the major strengths of iRAP is the ability to choose the tools that are used in each stage. This allows for a vast array of pipeline customization possibilities, making it easy to adapt it to a particular experiment. Below we will present a set of tools, integrated in iRAP, that were used during this thesis.

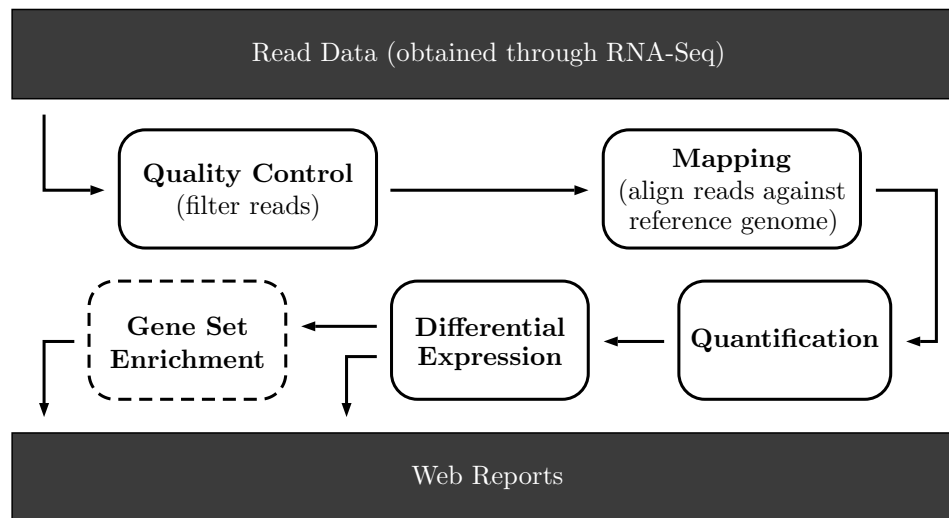


Figure 2.5: iRAP RNA-Seq data analysis pipeline. Note that the gene enrichment step (in dashed line) is optional and was not used.

### 2.2.2 RNA Sequencing Read Alignment and Analysis Tools

Below we present some bioinformatic tools, used to support the multiple steps of the RNA Sequencing read alignment and data analysis process. It is important to note that none of these tools were used separately, but rather as parts of an analysis pipeline (also described below).

#### Tuxedo Suite

The Tuxedo suite is a free, open-source collection of applications that has been widely adopted as analysis toolset for fast alignment of short reads. It is composed by four separate tools, Bowtie, TopHat, Cufflinks and CummRbund, briefly reviewed below. These tools are extensively used for RNA Sequencing analysis. Although the applications are made for command line execution, there are several workflow managers, like Galaxy<sup>1</sup>, that easily integrates with the suite, providing a web interface for its use. Note that not all components of the Tuxedo Suite were used.

<sup>1</sup><http://galaxyproject.org/>

**Bowtie.** Bowtie is an ultrafast, memory-efficient short read aligner [LTP<sup>+</sup>09]. Bowtie is typically used to build a reference index for the genome of the organism being studied, for posterior use by other tools, like TopHat. It can also output alignments in the standard SAM format, allowing Bowtie to interoperate with tools like SAM Tools. However, it should not be used as a general purpose alignment tool, as it was created and is more effective when aligning short read sequences against large reference genomes.

**TopHat.** TopHat is a fast splice junction mapper for RNA Sequencing reads [TPS09]. It uses Bowtie as the underlying alignment tool, using its results and a FASTA formatted reference genome to identify splice junctions between exons.

**Cufflinks.** Cufflinks assembles transcripts, estimates their abundances, and tests for differential expression and regulation in RNA Sequencing samples [TWP<sup>+</sup>10]. It uses the SAM or BAM formatted files as input, typically the ones produced by TopHat, outputting GTF files as a result.

**CummeRbund.** Lastly, CummeRbund<sup>2</sup> is an R package (see Section ??) designed to help the visualization and analysis of Cufflinks' RNA Sequencing output. As such, it is not directly involved in the transcriptome alignment process. It takes the various output files from Cufflinks and uses them to build a SQLite database describing appropriate relationships between genes, transcripts, etc. This database is later used to convert that data to R objects which allows them to be used the included plotting functions, as well as in other commonly used data visualizations.

## HTSeq

HTSeq is a programming framework used for processing data resulting from next generation sequencing methods [APH14], developed in Python. While many tools can efficiently align reads, sometimes data needs to be manipulated before being passed to those tools. This data can either be badly formatted (or "dirty") or simply in a format different from the one that is needed. The latter is a particularly common problem when trying to pass the results of one tool to the one that succeeds it in the pipeline. HTSeq is useful to easily create scripts that accomplish this task, acting as a "glue" between tools.

HTSeq provides parsers for many popular formats for representing genetic information (see Section 2.2.5). In addition, it ships with two standalone scripts, HTSeq-QA and HTSeq-Count. HTSeq-QA is used to provide an initial assessment of the quality of sequencing runs, producing plots with that information. HTSeq-Count takes a SAM/BAM file and GTF/GFF file containing gene models. It then counts, for each gene, how many aligned reads overlap that gene's exons.

<sup>2</sup><http://compbio.mit.edu/cummeRbund/>



### 2.2.3 Differential Expression Analysis Tools

Below we describe the tools that were used for differential expression analysis. These tools are integrated in the iRAP pipeline, and make are used in its fourth stage.

#### DESeq

DESeq in an R package (see Section ??), included in the Bioconductor super package [AH10]. DESeq takes count data generated from RNA-Seq analysis assays. As count data is discrete and skewed, it is not well approximated by a normal distribution. DESeq solves this problem by applying a test based on the negative binomial distribution, which can reflect these properties. This method has a much higher power to detect differential expression.

#### edgeR

edgeR in an R package (see Section ??), included in the Bioconductor super package [RMS10]. It provides methods for the statistical analysis of count data from comparative experiments on next generation sequencing platforms, among which is RNA-Seq, the most common source of data used with edgeR. It has many characteristics in common with the previously mentioned DESeq, as it also uses negative binomial models (among others) to distinguish biological from technical variation. Later we describe how both tools can be used together to produce better results.

### 2.2.4 File Manipulation and Pre-processing Tools

Sometimes data is badly formatted or otherwise in a format that is not compatible with a specific tool. This is particularly frequent when passing data between two different tools in a pipeline. As such, we need some intermediate tools that are able to easily manipulate and transform data, making it useful again. Below we present some tools that can be used to accomplish this task.

#### SAM Tools

SAM Tools<sup>3</sup> is a library package designed for parsing and manipulating alignment files in the SAM/BAM format [LHW<sup>+</sup>09] (see Section 2.2.5). SAM Tools has two separate implementations, one in C and the other in Java, with slightly different functionality. Beyond manipulation of SAM and BAM files, this package is able to convert between other read alignment formats, sort and merge alignments and show them in a text-based viewer.

#### FASTX

FASTX<sup>4</sup> (FASTX-Toolkit) is a collection of command line tools for pre-processing short read files. These short read files can be either in FASTA or FASTQ format. FASTX is used to manipulate these files before the aligning stage, in order to produce better results. It includes tools to convert

<sup>3</sup><http://samtools.sourceforge.net/>

<sup>4</sup>[http://hannonlab.cshl.edu/fastx\\_toolkit/](http://hannonlab.cshl.edu/fastx_toolkit/)

files from FASTQ to FASTA format, assess statistics about the reads, filter and remove sequences based on their quality, among others. Although the toolkit contains only command line based tools, some of them are already integrated in the Galaxy web based workflow manager.

## FastQC

FastQC<sup>5</sup> is a tool for quality control for NGS data, implemented in Java. Its main objective is to find errors and problematic areas in this information. FastQC accepts FASTQ, SAM and BAM files, and is able to report results both inside the tool itself and by exporting HTML files. These reports contain, among other information, summary graphs and table that allow quick access to the data. FastQC can either be used as a standalone tool with its graphical interface, or as part of an analysis pipeline.

### 2.2.5 Relevant Standard File Formats

As expected, the great diversity of RNA-Seq tools brings with it a wealth of file formats. Some of these formats are developed from the ground up to satisfy a specific need, while others are mere contextual adaptations or specializations of already established formats. Below we will present a few of the most popular and widely spread file formats, talking about their basic structure, the types of data they represent and their applications. Some examples of this file formats can be consulted in Appendix C.

## FASTA

FASTA is the standard line and character sequence format used by NCBI [NCB], using this last organization's character code conventions. It is a simple format, that can be used to easily store data represented by character sequences, like nucleotide (DNA, RNA) or amino acid (protein) sequences. This file format is widely use to store sequencing reads, DNA/RNA sequences and other character sequences in database systems. Its simplicity makes it extremely easy to manipulate and parse, presenting also an attractive solution for data transfer between different tools.

## FASTQ

FASTQ is used to store store character sequences, typically nucleotide sequences [CFG<sup>+</sup>10]. It is quite similar to the standard FASTA format, in respect to the manner in which character sequences are represented. However, for every sequence, there is a second sequence of equal length, representing the quality scores of the original sequence. These quality scores are also represented as single characters, taking values between and including ASCII-33 to ASCII-126. It is typically used in the same situations as the FASTA format, when quality scores are available/relevant.

<sup>5</sup><http://www.bioinformatics.babraham.ac.uk/projects/fastqc/>

## SAM and BAM

2 The SAM format is a text format for storing sequence alignment data [Lab]. It is widely used to  
store mapping information between sequencing reads and a given reference genome. This sort of  
4 information is typically the product of sequencing alignment tools, that consume sequencing reads  
from FASTQ files and align them with a reference genome.

6 The BAM format contains exactly the same information as the SAM format and the same rules  
apply for both formats. The difference between both formats lies in their encoding. While SAM is  
8 a text based format, BAM is a binary format. This means that BAM sacrifices human readability  
for increased machine processing performance, as it is more efficient to work with compressed  
10 and indexed binary data.

## VCF

12 VCF is a text file format used to store gene sequence variants [Smi13]. In the past few years,  
as larger and larger genome sequencing projects became more common (like the 1000 Genomes  
14 Project<sup>6</sup>), storing such large amounts of information became a serious concern. To address these  
concerns the VCF format was created. Instead of storing the complete genome, VCF stores only  
16 the variations (and their respective positions) of newly sequenced genomes relatively to a known  
reference genome, typically in a compressed text file. As such, it is a format often used when  
18 building genome databases.

## GFF and GTF

20 GFF is a text based file format to store gene features [San11]. Many genome assembly tools  
execute this process in two separate steps: feature detection for identification of specific regions  
22 (exons, introns, etc.) and genome assembly, using those features as reference. However, often  
times it is beneficial to decouple these two steps, using different and more efficient tools for each.  
24 As such, the GFF format emerged as a protocol for feature information transfer between tools.

The GTF format is similar to the GFF format, in which it is based. It is also used in similar  
26 situations. However, GTF builds on top of GFF, defining additional conventions, specific to the  
domain of genetic information. Despite their initial relation, both formats continue to be developed  
28 individually.

## 2.3 Data Mining

30 Data mining is the process of “*extracting or “mining” knowledge from large amounts of data*”  
[HKP06, p. 5]. As such, it consists of a set of techniques that can be used to find interesting  
32 patterns in large data sets, that translate in newfound knowledge. Data mining borrows techniques

---

<sup>6</sup>The 1000 Genomes Project, started back in 2008, is an international effort to establish the most comprehensive catalogue to date of human genetic variations.

from multiple fields, such as artificial intelligence, machine learning, statistics, and database systems [CEF<sup>+</sup>12]. Its ultimate goal is to combine all those techniques and transform large and (apparently) meaningless sets of data into understandable and useful information. Thus, data mining was motivated by the perspective of harnessing the abundance of data, that characterizes today's information systems, to produce meaningful knowledge.

Because of their large quantities of input data, data mining tasks are usually totally, or at least partially, automated. As such, there are several algorithms for these tasks and tools that implement such algorithms, as presented in Section 2.3.2 and Section 2.3.4, respectively.

We can divide data mining into main types: descriptive data mining and predictive data mining [FPSS96]. Descriptive data mining is focused on finding the underlying structure of a given set of data. Instead of predicting future values, it concerns the intrinsic structure, relations and interconnectedness of the data being analyzed, presenting its interesting characteristics without having any predefined target. On the other hand, predictive data mining is used to predict explicit values, based on patterns determined from the data set. With predictive data mining we try to build models using known data and use those models as a base to predict future behavior.

As we're seeing, data mining does not represent a single type problem. In fact there are several different types of problems that can be addressed by data mining techniques. Each of these problems may require a different data mining method. A brief review of the most common methods is given below.

**Classification** is a method that tries to generalize the already known structure of a data set, so that it applies to new data sets. In other words, with classification we try to learn a function that is capable of mapping our data into predefined classes.

**Regression** tries to learn a function that models relationships between variables in the data set. That function can latter be used to find real value predictions of future behavior of the same or similar data sets.

**Clustering** consists in identifying a finite set of categories or clusters of similar values, to describe the data set. As such, it is used without prior knowledge about data structure.

**Summarization** provides a more compact representation of a subset of data, in a way that the summarized data retains the central points of the original data. This can be accomplished in several different ways, like using report generation or multivariate visualization techniques.

**Dependency modeling** finds a model which describes relationships between variables, revealing their dependencies.

**Change and deviation detection** tries to discover the most significant changes in the data, when compared with previously measured data. This method is useful to find interesting data variations or data errors.

Note that due to the nature of the work of this thesis we will focus on clustering analysis techniques. As such, the following sections will contain a more in depth review of these methods, along with descriptions of the used algorithms and tools.

### 2.3.1 Clustering Techniques

As explained above, clustering is the process of grouping data into classes or *clusters*, in such a way that objects inside a cluster are very similar to each other, while being as different as possible from objects in other clusters [HKP06]. These similarities (and dissimilarities) are assessed based on the attributes of each object using a comparison method, often times a distance function. Clustering is used in situations where the classes contained in the data set are unknown, either because they are difficult to determine or because such an assessment would be too costly.

However, data clustering as a process is highly dependent on the data being analysed. For example, while some data sets can be easily clustered using “spherical” clusters, other can only be represented by “concave” clusters. In other words, “*the notion of “cluster” cannot be precisely defined*” [EC02], it needs to adapt to the problem at hand. This multitude of different interpretations of the cluster notion led to the appearance of many different clustering methods and algorithms. There are five major categories in which we can classify clustering methods: partitioning methods; hierarchical methods; density-based methods; grid-based methods; and model-based methods [HKP06].

#### Partitioning Methods

Partitioning methods are based around the construction of partitions of the data set. Each one of the constructed partitions represents a data cluster. Given a dataset with  $n$  elements, and a number  $k$  of clusters, the correct application of these methods must verify two conditions: (1) each partition must contain at least one object ( $k \leq n$ ), and (2) a single object of the data set must only belong to one partition [HKP06].

Given a number  $k$  of clusters (typically chosen *a priori*), an iterative relocation is used in order to successively reclassify objects and hopefully improving their clustering [Mad12]. These methods try to maximize cohesion between objects in the same partition, while assuring that clusters are as distant as possible between themselves. In other words, the elements within a partition should be as similar or “close” as possible between them, while being as different as possible from elements in other groups.

Achieving the optimal partitioning would require the exhaustive enumeration and combination of all possible clusters. This is, of course, impractical and even unfeasible in some situations. As such, most partitioning methods adopt some sort of heuristic evaluation of their clusters’ quality. For example, the *k-means* algorithm uses the mean value of the objects in a cluster to represent the same cluster; the *k-medoids* algorithm, which is centroid based, used an object that is roughly at the center of the cluster to represent it. Typically these methods work well with “spherical” clusters, but may falter if clusters have more complex shapes (“concave” shaped clusters, for example).

## Hierarchical Methods

These methods create an hierarchical division between objects in the data set. This hierarchy is represented as a tree structure. There are two strategies for hierarchical analysis, the *divisive* strategy and the *agglomerative* strategy [HKP06]. The *divisive* strategy consists of putting all objects in the same cluster and then divide them in multiple clusters, based on their distance. This process is repeated iteratively, until every object is in its own cluster. Inversely, the *agglomerative* strategy starts by putting each object in its own clustering, and then iteratively merges clusters until all objects are part of one cluster.

One notable weak point of hierarchical methods is that calculated results are irreversible, that is, once an objects is attributed to a cluster, that result will not be evaluated again. This may lead to incorrect labeling of some objects. These problems can be minimized by pre-processing the data set. Note that this single pass evaluation gives hierarchical methods good computational performance.

## Density-based Methods

Unlike the previous methods that rely on the notion of *distance* between objects, density-based methods are based on the notion of *cluster density* [HKP06]. The basic idea of these methods is to keep growing a given cluster while the number of objects in its vicinity (or *density*) exceeds a certain user defined threshold. Density-based methods are particularly useful to discover clusters with irregular shapes. However, algorithms implementing these kind of analysis, like DBSCAN, are usually very computationally heavy, both in terms of processing power and memory usage (although optimizations exist).

## Grid-based Methods

Grid-based methods transform the data set object space into a finite number of cells, forming a grid structure [HKP06, Mad12]. All clustering operations are then conducted using the grid representation of the data set. Algorithms that implement this strategy are usually high-performance, as execution times are dependent on the number of cells in each dimension of the grid, rather than on the number of objects in the data set.

## Model-based Methods

Model-based methods work by hypothesizing a mathematical model for each cluster and then find the objects that best fit those models [Mad12]. These methods typically follow the assumption that objects are distributed by clusters according to an underlying statistical probability. This also makes it possible for the number of clusters to be automatically determined, based on such statistics [HKP06].

### 2.3.2 Clustering Algorithms

## NOTES

2 - Talk about the used algorithms.

4 In this project we will be concerned with the classification side of data mining. Below, we will review some algorithms that can be used in classification problems.

### 6 Inductive Logic Programming

## NOTES

8 - Still seems relevant, later refer that it wasn't possible to conduct ILP clustering due to lack of time/problems with tools.

10

ILP is a subfield of machine learning that uses first order logic to represent both data and models [LD98]. ILP induces hypotheses (models) from examples and background knowledge. Examples are of two types: instances of the concept to be “learned” and non-instances of the concept. Background knowledge is a set of predicates encoding all information that the experts find useful to construct the models. ILP might be used to tackle several machine learning and data mining problems, like classification, regression and clustering.

The first and most important motivation for ILP systems is that they overcome the representation limitations of attribute-value learning systems, such as the previously mentioned data mining algorithms. Attribute-value systems base their representations of data in table based representations. Although effective in many situations, these representation is not very expressive and might not even be feasible for certain problems [BM95]. The second motivation for ILP is that by using a logical representation, the hypotheses are understandable and interpretable by humans, being therefore useful to explain the phenomenons that produce the data. This representation also means that background knowledge can be represented and employed in the induction process, in contrast to attribute-value models, where this information is difficult to represent.

26 Despite these advantages, ILP cannot be applied indiscriminately to any classification or regression problem. ILP systems are typically very heavy when it comes to computational resource consumption and run for long periods of time [FCSC03].

### 2.3.3 Model Evaluation Procedures and Measures

## NOTES

30 - No longer relevant.

32 - Explain internal and external evaluation.

- Explain that due to the novelty of our analysis we will merely focus on internal analysis.

34 - Describe some internal analysis measures (don't forget silhouettes).

### 2.3.4 Clustering Tools

Except in rare cases of very specific problems, it typically makes no sense for someone to implement any data mining algorithm that they might need. In fact, today we have lots of data mining tools (many of which are free), that already implement many of those algorithms. These tools are usually customizable, making it easy to adapt them to most problems. Below we'll briefly review some of the most popular data mining tools, that apply to the specific needs of this thesis.

#### RapidMiner

##### NOTES

- Still relevant.
- Refer that RapidMiner and Weka were only used for testing purposes, and are not part of the final solutions.
- Refer other relevant R packages.

RapidMiner<sup>7</sup> is a complete solution for data mining problems. It is available as a standalone GUI based application, as seen in Figure 2.6. It is a commercial application, although its core and earlier versions are distributed under an open source license and it offers a free version, beyond its multiple paid versions. Being one of the most popular data mining tools used today, its applications span several domains, including education, training, industrial and personal applications, among others. Its functionality can also be easily extended through the use of plugins<sup>8</sup>, reflecting in an increased value for this tool. One such example in the area of bioinformatics is the integration plugin between RapidMiner and the Taverna<sup>9</sup> open source workflow management system [JEF11].

#### Weka

Weka<sup>10</sup> is an open source tool that collects several machine learning algorithms and allows its user to easily apply those algorithms to data mining tasks [HNF<sup>+</sup>09]. Created at the University of Waikato, New Zealand in 1997 (the current version was completely rewritten in 1997, despite the first iteration of the tool being developed as early as 1993), it is still in active development to date. Weka supports several common data mining tasks, like data preprocessing, classification, clustering, regression and data visualization. Its core libraries are written in Java and allow for an easy integration of its data mining algorithms in pre-existing code and applications. Other than that, Weka can be used directly through a command line/terminal or through one of its multiple GUIs (Figure 2.7). Its simple API and well-structured architecture allow it to be easily extended by users, should they need new functionalities.

<sup>7</sup><http://www.rapidminer.com/>

<sup>8</sup>Plugin is a software module that adds new functionality to an existing software application. Plugins are typically dependent on the platform they extend and can't be used as standalone tools.

<sup>9</sup><http://www.taverna.org.uk/>

<sup>10</sup><http://www.cs.waikato.ac.nz/ml/weka/>



## State-of-the-Art

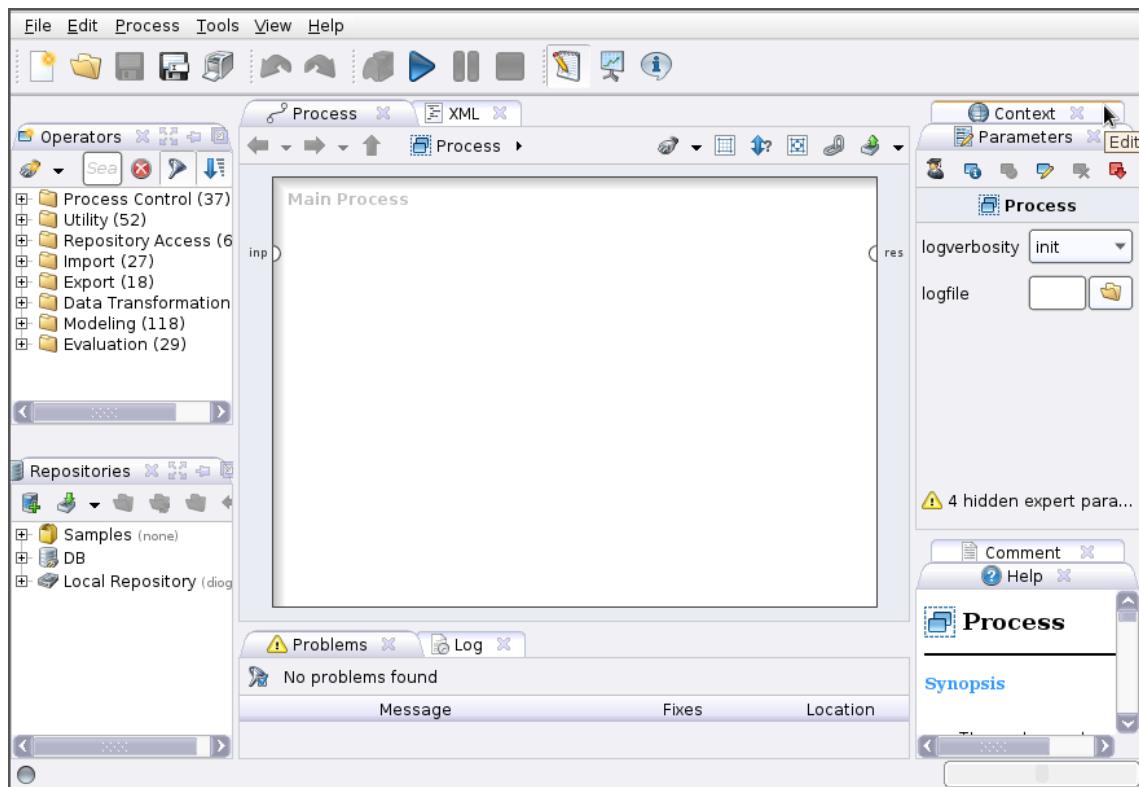


Figure 2.6: RapidMiner user interface.



Figure 2.7: Weka interface selection.

## R Language

- <sup>2</sup> R<sup>11</sup> is a free programming language and software environment for statistical computing and graphics generation. Originally developed by Ross Ihaka and Robert Gentleman at the University of
- <sup>4</sup> Auckland, New Zealand in 1993 [Iha98], it is still under active development. R is typically used by statisticians and data miners, either for direct data analysis or for developing new statistical

<sup>11</sup><http://www.r-project.org/>

software [FA05].

R is an implementation of the S programming language<sup>12</sup>, borrowing some characteristics from the Scheme programming language. It is core is written in a combination of C, Fortran and R itself. It is possible directly manipulate R objects in languages like C, C++ and Java. R can be used directly through the command line or through several third party graphical user interfaces like Deducer<sup>13</sup>. There are also R wrappers for several scripting languages.

R provides several different statistical and graphical techniques, including linear and nonlinear modeling, classical statistical tests, time-series analysis, classification, clustering, among others. It can also be used to produce publication-quality static graphics. Tools like Sweave [Lei02] allow users to embed R code in L<sup>A</sup>T<sub>E</sub>X documents, for complete data analysis.

**Bioconductor Package.** Bioconductor is a free and open source set of tools for genomic data analysis, in the context of molecular biology [Lei02]. It is primarily based on R. It is under active development, with two stable releases each year. Counting with more than seven hundred different packages, it is the most comprehensive set of genomic data analysis tools available for the R programming language. It also provides a set of tools to read and manipulate several of the most common file formats used in molecular biology oriented applications, including FASTA, FASTQ, BAM and GFF.

## 2.4 Chapter Conclusions

### NOTES

- Remove the uncertainty part, talk about what was done.

In this chapter we gave a brief introduction of the molecular biology concepts that serve as base of the thesis. We also reviewed the concepts on RNA-Seq and data mining and presented short analyses of concrete tools that will likely be used during the project.

At this moment we do not possess all the necessary information about the data set that will be used in the data mining phase of the project. We are unable, at the present, to determine the nature of our data, which means that we cannot predict whether it could be modeled by classification algorithms. As such, we presented ILP as an alternative approach for this situation. In case ILP techniques become in fact necessary, further work will include a more profound and complete revision.

<sup>12</sup>S is an object oriented statistical programming language, appearing in 1976 at Bell Laboratories.

<sup>13</sup><http://www.deducer.org/pmwiki/index.php>

## Chapter 3

# <sup>2</sup> Solution Description

### <sup>4</sup> NOTES

- <sup>6</sup> - Talk about iRAP and how the web interface for analysis.
- <sup>6</sup> - Talk about PBS Finder, how it is standalone and it's web interface.
- Talk about integration of both tools, and the idealized complete system (a diagram would be nice
- <sup>8</sup> :) ).

## Solution Description

## Chapter 4

# <sup>2</sup> Implementation

### <sup>4</sup> NOTES

- <sup>6</sup> - Talk about iRAP configuration, the result combination tool and more.
- <sup>6</sup> - Talk about PBS Finder configuration, requisites and analysis flow (show that analysis flow diagram).
- <sup>8</sup> - Talk about platform extensibility, deployment alternatives and more.

## Implementation

## **Chapter 5**

### **<sup>2</sup> Case Study**

## Case Study



## Chapter 6

### 2 **Conclusions**

#### 4 **NOTES**

- Check PDIS conclusion.
- 6 - Talk about finishing iRAP's web integration and further exploration of its results.
- Talk about full automated integration between both tools.

8

## Conclusions

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# Appendix A

## <sup>2</sup> Glossary

This brief glossary was based on a similar work by Robert Lyons [[Lyo98](#)].

|                   |  |
|-------------------|--|
| cDNA              | DNA which has been reverse transcribed using RNA as a template.  |
| Cytoplasm         | The protoplasm of a cell contained within the cell membrane, but excluding the nucleus. It helps to move materials around the cell and is also responsible for dissolving cellular waste.  |
| Exon              | The portions of a genomic DNA sequence which will be represented in the final, mature mRNA. Exons may include coding sequences, the 5' untranslated region or the 3' untranslated region.  |
| Expression        | To “express” a gene is to cause it to function. A gene which encodes a protein will, when expressed, be transcribed and translated to produce that protein. A gene which encodes an RNA rather than a protein (for example, a rRNA gene) will produce that RNA when expressed. |
| <sup>4</sup> Gene | A unit of DNA which performs one function. Usually, this is equated with the production of one RNA or one protein. A gene contains coding regions, introns, untranslated regions and control regions.  |
| Genome            | The total DNA contained in each cell of an organism. There are somewhere in the order of a hundred thousand genes, including coding regions, 5' and 3' untranslated regions, introns, 5' and 3' flanking DNA.  |
| Intron            | Introns are portions of genomic DNA which are transcribed (and thus present in the primary transcript) but which are later spliced out. Thus, they are not present in the mature mRNA.   |
| mRNA              | “Messenger RNA” contains sequences coding for a protein. The term mRNA is used only for a mature transcript (with all introns removed), rather than the primary transcript in the nucleus.   |
| Nucleus           | The nucleus is a membrane enclosed part of the cell. It contains the cell's genetic information, in the form of DNA and RNA molecules.   |

## Glossary

|                    |   |
|--------------------|---|
| rRNA               | “Ribosomal RNA” describes any of several RNAs which become part of the ribosome, and thus are involved in translating mRNA and synthesizing proteins.   |
| Shotgun cloning    | The process of randomly shearing an organism’s genomic DNA and cloning it into a suitable vector, resulting in a genomic library.   |
| Shotgun sequencing | Sequencing the DNA library created by shotgun cloning.  |
| Transcription      | The process of copying DNA to produce an RNA transcript. This is the first step in the expression of any gene. The resulting RNA will produce the desired protein molecule by the process of translation. |
| Translation        | The process of decoding a strand of mRNA, thereby producing a protein based on the code.  |
| tRNA               | “Transfer RNA” represents one of a class of rather small RNAs used by the cell to carry amino acids to the enzyme complex (the ribosome) which builds proteins, using an mRNA as a guide.                 |



## Appendix B

### 2 iRAP Example Configuration

```
4  # =====
6  # Name of the experiment. (no spaces)
6  # All files produced by irap will be placed in a folder with the given name.
   name=myexp
8
   # =====
10  # Name of the species.
   species=homo_sapiens
12
   # =====
14  # FASTA file with the reference genome.
   reference=Homo_sapiens.GRCh37.66.dna.fa
16
   # =====
18  # GTF file with the annotations.
   gtf_file=Homo_sapiens.GRCh37.66.gtf
20
   # =====
22  # iRAP options (may be provided in the command line).

24  # Mapper
   # mapper=<pick one supported by iRAP>
26
   # Quantification method
28  # quant_method=<pick one supported by iRAP>

30  # Differential expression method
   # de_method=<pick one supported by iRAP>
32

   # Gene set enrichment (GSE) analysis
34  # gse_tool=piano

36  # Check data (reads) quality (on|off)
```

## iRAP Example Configuration

```

# qual_filtering=on
2

# Trim all reads to the minimum read size after quality trimming (y|n)
# (only applicable if qual_filtering is on)
4

# trim_reads=y
6

# Minimum base quality accepted (default is 10)
# min_read_quality=10
8

# Contamination check (cont_index parameter). Reads that likely originate from
# organisms other than the one under study can be discarded during
# pre-processing of the reads. This is done by aligning the reads to the
# genomes of organisms that might be a source of contamination and discard
# those that map with a high degree of fidelity. By default iRAP will check if
# the data is contaminated by e-coli. An example to create a contamination
# "database" is provided in the examples/ex_add2contaminationDB.sh script. The
# value of the parameter should be the file name prefix of the bowtie index
# files.
10
12
14
16
18

# Disable contamination check
# cont_index=no
20

# Default value
# cont_index=$(data_dir)/contamination/e_coli
22
24

#####
# Miscellaneous options
26

# Number of threads that may be used by iRAP
# max_threads=1
28
30

# Exon level quantification (y|n)
# exon_quant=y
32
34

# Transcript level quantification (y|n)
# transcript_quant=y
36

# =====
# Full or relative path to the directory where all the data can be found.
data_dir=data
38
40

# =====
# Only necessary if you intend to perform Differential Expression analysis.
42
44

# Contrasts
contrasts=purpleVsPink purpleVsGrey
46

# Definition of each contrast
purpleVsPink=Purple Pink
48

```

## iRAP Example Configuration

```
purlpleVsGrey=Purple Grey
2
# Groups definition
4 Purlple=myLib1 myLib2
  Pink=myLib3
6  Grey=myLib4

8 # Technical replicates
  technical.replicates="myLib1,myLib2;myLib3;mylib4"
10
# =====
12 # Data
  # *_rs      => read size
14  # *_qual    => quality encoding (33|64)
  # *_sd      => standard deviation
16  # *_ins     => insert size

18  myLib1=f1.fastq
  myLib1_rs=75
20  myLib1_qual=33

22  myLib2=f2.fastq
  myLib2_rs=75
24  myLib2_qual=33

26  myLib3=f3_1.fastq f3_2.fastq
  myLib3_rs=50
28  myLib3_qual=33
  myLib3_ins=350
30  myLib3_sd=60

32  myLib4=f4_1.fastq f4_2.fastq
  myLib4_rs=50
34  myLib4_qual=33
  myLib4_ins=350
36  myLib4_sd=60

38 # List the names of your single-end (se) and paired (pe) libraries
  se=myLib1 myLib2
40  pe=myLib3 myLib4
```

## iRAP Example Configuration

# Examples of Biological Information Files

```
6      HD VN:1.0 SO:coordinate  
      @SQ SN:seq1 LN:5000  
8      @SQ SN:seq2 LN:5000  
      @CO Example of SAM/BAM file format.  
10     B7_591:4:96:693:509 73 seq1 1 99 36M * 0 0  
      CACTAGTGGCTCATTGTAAATGTTGGTTTAACTCG <<<<<<<<<<<<<<<<;<<<<<<<<5<<<<<;<;7  
12     MF:i:18 Aq:i:73 NM:i:0 UQ:i:0 H0:i:1 H1:i:0  
      EAS54_65:7:152:368:113 73 seq1 3 99 35M * 0 0  
14     CTAGTGGCTCATTGTAAATGTTGGTTTAACTCGT <<<<<<<<<0<<<<655<<7<<<<9<<3/:<6): MF:i:  
      :18 Aq:i:66 NM:i:0 UQ:i:0 H0:i:1 H1:i:0  
16     EAS51_64:8:5:734:57 137 seq1 5 99 35M * 0 0 AGTGGCTCATTGTAAATGTTGGTTTAACTCGTCC  
      <<<<<<<<<<7;71<<;<;<7;<<3);3*8/5 MF:i:18 Aq:i:66 NM:i:0 UQ:i:0 H0:i:1  
18     H1:i:0  
      B7_591:1:289:587:906 137 seq1 6 63 36M * 0 0  
20     GTGGCTCATTGTAAATTTTTGTTTAACTCTCTCT (-&----,----)-), '--)---',+-,),','*,  
      MF:i:130 Aq:i:63 NM:i:5 UQ:i:38 H0:i:0 H1:i:0  
22     EAS56_59:8:38:671:758 137 seq1 9 99 35M * 0 0  
      GCTCATTGTAAATGTTGGTTTAACTCGTCCATGG <<<<<<<<<<<<<<;<;7<<<<<<<7<<;:<5% MF:i:  
24     :18 Aq:i:72 NM:i:0 UQ:i:0 H0:i:1 H1:i:0  
      EAS56_61:6:18:467:281 73 seq1 13 99 35M * 0 0  
26     ATTGTAAATGTGTGGTTTAACTCGTCCCTGGCCCA <<<<<<<<;<<<8<<<<<<;8::6/686&;(16666 MF:i:  
      :18 Aq:i:39 NM:i:1 UQ:i:5 H0:i:0 H1:i:1  
28     EAS114_28:5:296:340:699 137 seq1 13 99 36M * 0 0  
      ATTGTAAATGTGTGGTTTAACTCGTCCATGGCCCAG <<<<<;<<<<;<;<<<<<<<<<<8<8<3<8;<;<0;  
30     MF:i:18 Aq:i:73 NM:i:0 UQ:i:0 H0:i:1 H1:i:0
```

## C.2 VCF Example

```
##fileformat=VCFv4.0
##fileDate=20090805
##source=myImputationProgramV3.1
##reference=1000GenomesPilot-NCBI36
##phasing=partial
##INFO=<ID=NS,Number=1,Type=Integer,Description="Number of Samples With Data">
##INFO=<ID=DP,Number=1,Type=Integer,Description="Total Depth">
##INFO=<ID=AF,Number=.,Type=Float,Description="Allele Frequency">
##INFO=<ID=AA,Number=1,Type=String,Description="Ancestral Allele">
##INFO=<ID=DB,Number=0,Type=Flag,Description="dbSNP membership, build 129">
##INFO=<ID=H2,Number=0,Type=Flag,Description="HapMap2 membership">
##FILTER=<ID=q10,Description="Quality below 10">
##FILTER=<ID=s50,Description="Less than 50% of samples have data">
##FORMAT=<ID=GT,Number=1,Type=String,Description="Genotype">
##FORMAT=<ID=GQ,Number=1,Type=Integer,Description="Genotype Quality">
##FORMAT=<ID=DP,Number=1,Type=Integer,Description="Read Depth">
##FORMAT=<ID=HQ,Number=2,Type=Integer,Description="Haplotype Quality">
#CHROM POS ID REF ALT QUAL FILTER INFO
20 14370 rs6054257 G A 29 PASS NS=3;DP=14;AF=0.5;DB;H2
GT:GQ:DP:HQ 0|0:48:1:51,51 1|0:48:8:51,51 1/1:43:5:.,.
20 17330 . T A 3 q10 NS=3;DP=11;AF=0.017
GT:GQ:DP:HQ 0|0:49:3:58,50 0|1:3:5:65,3 0/0:41:3
20 1110696 rs6040355 A G,T 67 PASS NS=2;DP=10;AF=0.333,0.667;AA=
T;DB GT:GQ:DP:HQ 1|2:21:6:23,27 2|1:2:0:18,2 2/2:35:4
20 1230237 . T . 47 PASS NS=3;DP=13;AA=T
GT:GQ:DP:HQ 0|0:54:7:56,60 0|0:48:4:51,51 0/0:61:2
20 1234567 microsat1 GTCT G,GTACT 50 PASS NS=3;DP=9;AA=G
GT:GQ:DP 0/1:35:4
```

## C.3 FASTQ Example

```
@SRR014849.1 EIXKN4201CFU84 length=93
GGGGGGGGGGGGGGGCTTTTTTGTGGTGAACCGAAAGG
GTTTGAATTTCAAACCTTTTCGGTTTCCAACCTTCCAA
AGCAATGCCAATA
+SRR014849.1 EIXKN4201CFU84 length=93
3+&$#"7F@71,'";C?,B;?6B;:EA1EA
1EA5'9B:?:#9EA0D@2EA5':>5?:%A;A8A;?9B;D@
/=<?7=9<2A8==
```

## C.4 FASTA Example

```

2      >gi|5524211|gb|AAD44166.1| cytochrome b [Elephas maximus maximus]
4      LCLYTHIGRNIYYGSYLYSETWNTGIMLLITMATAFMGYVLPWQMSFWGATVITNLFSAIPYIGTNLV
6      EWIWGGFSVDKATLNRFFAFHFILPFTMVALAGVHLTFLHETGSNNPLGLTSDSDKIPFHPYTIKDFLG
8      LLILILLLLLLLALLSPDMLGDPDNHMPADPLNTPLHIKPEWYFLFAYAILRSVPNKLGGVLAFLSIVIL
      GLMPFLHTSKHRSMMLRPLSQALFWTLTMDLLTLTWIGSQPVEYPYTIIGQMASILYFSIILAFLPIAGX
      IENY

```

## C.5 GTF/GFF Example

```

12      ## GFF
      ##gff-version 3
14      ##sequence-region   ctg123 1 1497228
      ctg123 . gene          1000   9000   .   +   .   ID=gene00001;Name=EDEN
16      ctg123 . TF_binding_site 1000   1012   .   +   .   ID=tfbs00001;Parent=gene00001
      ctg123 . mRNA          1050   9000   .   +   .   ID=mRNA00001;Parent=gene00001;Name=
18      EDEN.1
      ctg123 . mRNA          1050   9000   .   +   .   ID=mRNA00002;Parent=gene00001;Name=
20      EDEN.2
      ctg123 . mRNA          1300   9000   .   +   .   ID=mRNA00003;Parent=gene00001;Name=
22      EDEN.3
      ctg123 . exon          1300   1500   .   +   .   ID=exon00001;Parent=mRNA00003
24      ctg123 . exon          1050   1500   .   +   .   ID=exon00002;Parent=mRNA00001,
      mRNA00002
26      ctg123 . exon          3000   3902   .   +   .   ID=exon00003;Parent=mRNA00001,
      mRNA00003
28
      -----
30
      ## GTF
32      140   Twinscan   inter          5141   8522   .   -   .   gene_id "";
      transcript_id "";
34      140   Twinscan   inter_CNS      8523   9711   .   -   .   gene_id "";
      transcript_id "";
36      140   Twinscan   inter          9712   13182  .   -   .   gene_id "";
      transcript_id "";
38      140   Twinscan   3UTR           65149  65487   .   -   .   gene_id "
      140.000"; transcript_id "140.000.1";
40      140   Twinscan   3UTR           66823  66992   .   -   .   gene_id "
      140.000"; transcript_id "140.000.1";
42      140   Twinscan   stop_codon      66993  66995   .   -   0   gene_id "
      140.000"; transcript_id "140.000.1";
44

```