

NAME: Dilara Nur MEMIS

Solution for Problem 1 (Recurrences) Give an asymptotic tight bound for $T(n)$ in each of the following recurrences. Assume that $T(n)$ is constant for $n \leq 2$. No explanation is needed.

We can apply Master Theorem for this question (for the first 3 parts). We shall write recurrence relations in the form of $T(n) = aT(n/b) + f(n)$ where $a \geq 1$ and $b > 1$ and $f(n)$ is a given function.

(a) $T(n) = 2T(n/2) + n^3$

$$a = 2, b = 2, f(n) = n^3$$

$$n^{\log_b a} = n^{\log_2 2} = n$$

$$f(n) = \Omega(n^{\log_2 2 + e}) \text{ for some constant } e > 0 \text{ and } 2f(n/2) \leq c * f(n) \text{ for } c < 1.$$

$$\text{Therefore, } T(n) = \Theta(f(n)) = \Theta(n^3).$$

(b) $T(n) = 7T(n/2) + n^2$

$$a = 7, b = 2, f(n) = n^2$$

$$n^{\log_b a} = n^{\log_2 7}$$

$$n^2 = O(n^{\log_2 7 - e}) \text{ for some constant } e > 0. \text{ In other words, } n^{\log_b a} \text{ grows polynomially larger than } f(n). \text{ Therefore, } T(n) = \Theta(n^{\log_2 7}).$$

(c) $T(n) = 2T(n/4) + \sqrt{n}$

$$a = 2, b = 4, f(n) = \sqrt{n}$$

$$n^{\log_b a} = n^{\log_4 2} = \sqrt{n}$$

$$\text{Then } f(n) = \Theta(n^{\log_b a}).$$

$$\text{Therefore } T(n) = \Theta(n^{\log_b a} * \log n) = \Theta(\sqrt{n} * \log n).$$

(d) $T(n) = T(n-1) + n$

Since $b = 1$ we can not apply Master Theorem for this equation.

Instead, we can expand the equation as follows:

$$\begin{aligned} T(n) &= T(n-1) + n \\ &= T(n-2) + (n-1) + n \\ &= T(n-3) + (n-2) + (n-1) + n \end{aligned}$$

$$= T(0) + n + (n-1) + (n-2) + (n-3) + \dots + 1$$

Provided that $T(0)$ is constant, total is:

$$1 + 2 + 3 + \dots + (n-2) + (n-1) + n = (n * (n-1))/2 = \Theta(n^2)$$

QUESTION 2:

- (a) **(20 points)** According to the cost model of Python, the cost of computing the length of a string using the function `len` is $O(1)$, and the cost of finding the maximum of a list of k numbers using the function `max` is $O(k)$. Based on this cost model:

- (i) What is the best asymptotic worst-case running time of the naive recursive algorithm shown in Figure 1? Please explain.

```
def lcs(X, Y, i, j):
    if (i == 0 or j == 0):
        return 0
    elif X[i-1] == Y[j-1]:
        return 1 + lcs(X, Y, i-1, j-1)
    else:
        return max(lcs(X, Y, i, j-1), lcs(X, Y, i-1, j))
```

Figure 1: A recursive algorithm to compute the LCS of two strings

ANSWER: Let $c[i, j]$ be length of LCS of $X[0 : i]$ and $Y[0 : j]$. Then recurrence equation of the algorithm is as follows:

$$c[i, j] = \begin{cases} 0 & \text{if } i = 0 \text{ or } j = 0 \\ c[i-1, j-1] + 1 & \text{if } i, j > 0 \text{ and } x[i] = y[j] \\ \max(c[i, j-1], c[i-1, j]) & \text{if } i, j > 0 \text{ and } x[i] \neq y[j] \end{cases}$$

Worst-case happens when the two strings do not have any common characters. In such a case, following part of the function will be executed in every step except the cases $i = 0$ or $j = 0$:

```
else:
    return max(lcs(X, Y, i, j-1), lcs(X, Y, i-1, j))
```

Then recursive equation of worst-case:

$$T(n, m) = T(n - 1, m) + T(n, m - 1) + \Theta(1).$$

We will check every possible subsequences of one of the strings and check whether it is also a subsequence of the other one. However, we will compute the same $T(i, j)$ values for many times since we do not keep the results. This involves a lot of redundant work.

Application of Substitution Method:

1. Guess of Complexity: Since Y has 2^n different subsequences, we will check X for each of them. Each check will take $\Theta(m)$ time (using one of fast string matching algorithms). So, our guess is $T(n, m)$ is $\Theta(2^n * m)$.

2. Proof by Induction: We need to show that $\exists c, n_0 \geq 0$ such that $\forall n \geq n_0, T(n, m) \leq c * (2^n * m)$.

Base case: $T(0, i)$ or $T(j, 0)$. In all such cases $T(i, j) = 0$, so we can choose c large enough to satisfy required inequality.

Induction step: Assume $T(a, b) \leq 2^a * b$ for all cases except the one $a = n$ & $b = m$.

- $T(n, m) = T(n - 1, m) + T(n, m - 1) + \Theta(1).$
- $T(n, m) \leq c * 2^{n-1} * m + c * 2^n * (m - 1) + \Theta(1)$
- $T(n, m) \leq c * 2^{n-1} (m + 2 * (m - 1)) + \Theta(1)$
- $T(n, m) \leq c * 2^{n-1} * m * (3 - 2/m) + \Theta(1)$
- $T(n, m) \leq c * 2^n * m * (3 - 2/m) - c * 2^{n-1} * m * (3 - 2/m) + \Theta(1)$

Therefore, $T(n, m) \leq c * 2^n * m$. The best asymptotic worst-case running time of the naive recursive algorithm is: $T(n, m) = \Theta(2^n * m)$ (assuming $n > m$).

- (ii) What is the best asymptotic worst-case running time of the recursive algorithm with memoization, shown in Figure 2? Please explain.

ANSWER:

Application of Substitution Method:

```

def lcs(X, Y, i, j):
    if c[i][j] >= 0:
        return c[i][j]

    if (i == 0 or j == 0):
        c[i][j] = 0
    elif X[i-1] == Y[j-1]:
        c[i][j] = 1 + lcs(X, Y, i-1, j-1)
    else:
        c[i][j] = max(lcs(X, Y, i, j-1), lcs(X, Y, i-1, j))
    return c[i][j]

```

Figure 2: A recursive algorithm to compute the LCS of two strings, with memoization

1. **Guess of Complexity:** In this algorithm, we will compute $T(i, j)$ for all possible values of i and j again. But we will compute each $T(i, j)$ only once since we keep the results in a table c .

We know that $0 \leq i \leq m$ and $0 \leq j \leq n$.

Therefore, there are $m * n$ combinations for $T(i, j)$ s in total. Each $T(i, j)$ will take constant time to compute since we basically compare previously found two numbers in the worst-case. Then, complexity of the algorithm should be:

$$T(n, m) = \Theta(n * m).$$

2. **Proof by Induction:** We need to show that $\exists c, n_0 \geq 0$ such that $\forall n \geq n_0$, $T(n, m) \leq c * (m * n)$.

Base case: $T(0, i)$ or $T(j, 0)$. In all such cases both sides of the equation will be 0. So, base case holds.

Induction step: Assume $T(a, b) \leq c * a * b$ for all cases except the one $a = n$ & $b = m$.

- $T(n, m) = T(n-1, m) + T(n, m-1) + \Theta(1)$.
- $T(n, m) \leq c * (n-1) * m + c * n * (m-1) + \Theta(1)$
- $T(n, m) \leq c * n * m - m - n + \Theta(1)$
- $T(n, m) \leq c * n * m - (m + n) + \Theta(1)$

$m + n > 0$. Therefore, $T(n, m) \leq c * m * n$. The best asymptotic worst-case running time of the algorithm with memoization is: $T(n, m) = \Theta(n * m)$.

- (b) **(30 points)** Implement these two algorithms using Python. For each algorithm, determine its scalability experimentally by running it with different lengths of strings, in the worst case.

- (i) Fill in following table with the running times in seconds.

Algorithm	$m = n = 5$	$m = n = 10$	$m = n = 12$	$m = n = 15$	$m = n = 16$
Naive	0.0011	0.4264	5.9327	350.53	1354.04
Memoization	6.43e-5	0.00024	0.00035	0.00054	0.00066

Specify the properties of your machine (e.g., CPU, RAM, OS) where you run your programs.

Machine Properties:

Storage: 256 GB SS

Processor: Intel Core i5 (7th Gen) Processor

Ram 8 GB DDR4 RAM

Operating System: Windows 10

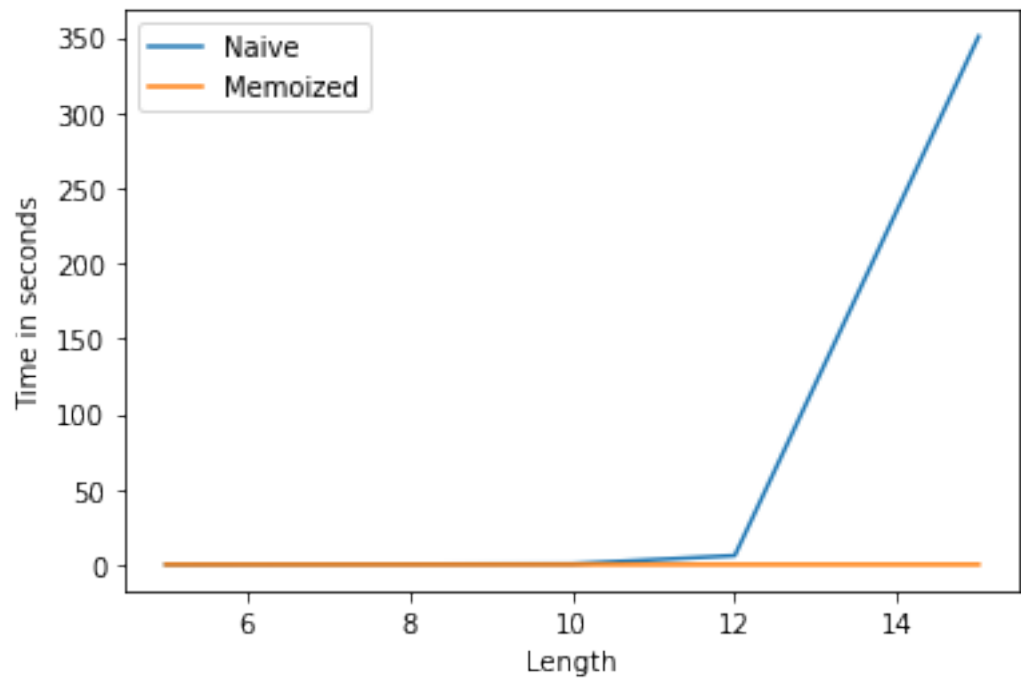
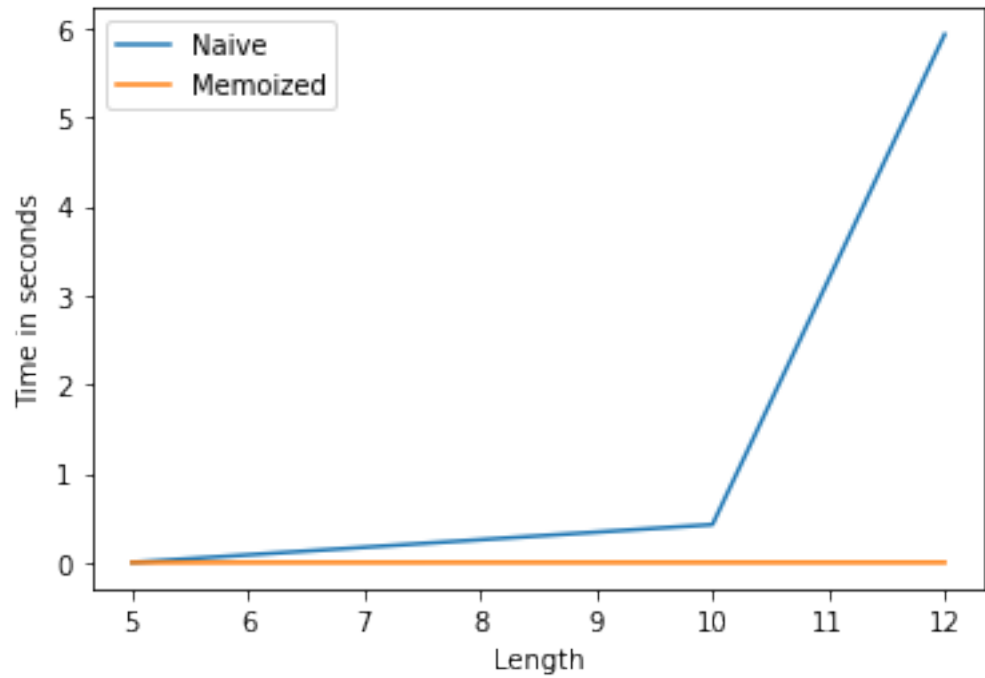
- (ii) Plot these experimental results in a graph.

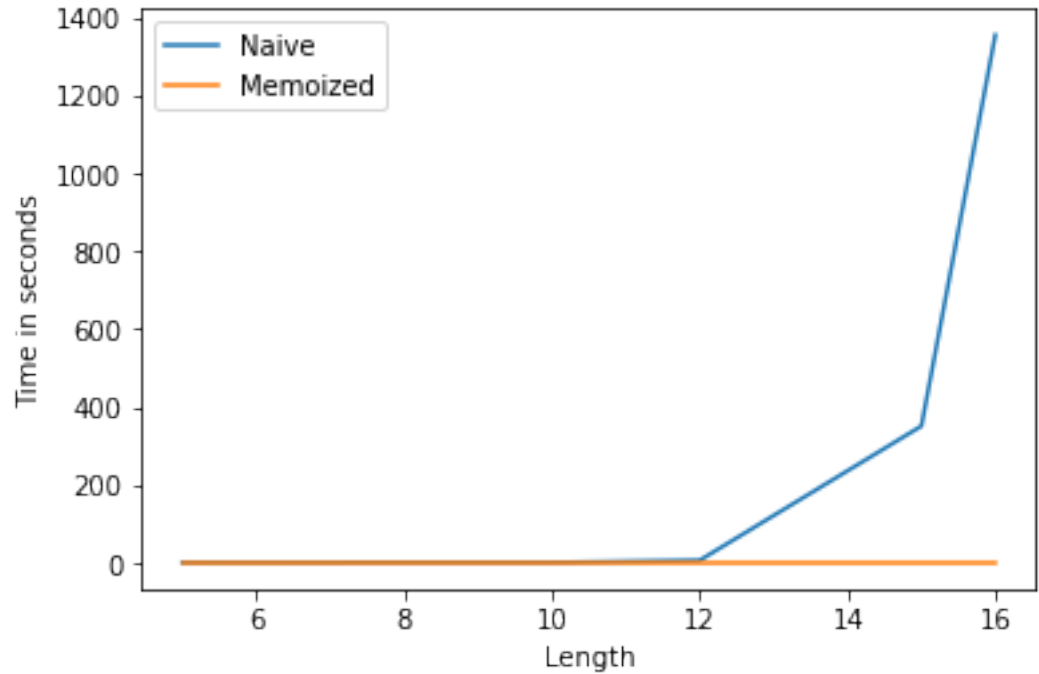
You can see 3 different graphs below:

1. The first one shows the time each algorithm requires for $n = 5, 10, 12$. As it is seen, difference between required times of two algorithms is much smaller for n values smaller than 10.

2. Second graph shows times for $n = 5, 10, 12$ and 15.

3. Third graph shows times for $n = 5, 10, 12, 15, 16$. As it can be seen, difference is increasing with a rate which is also increasing, This implies that the naive algorithm grows much faster than the other one.



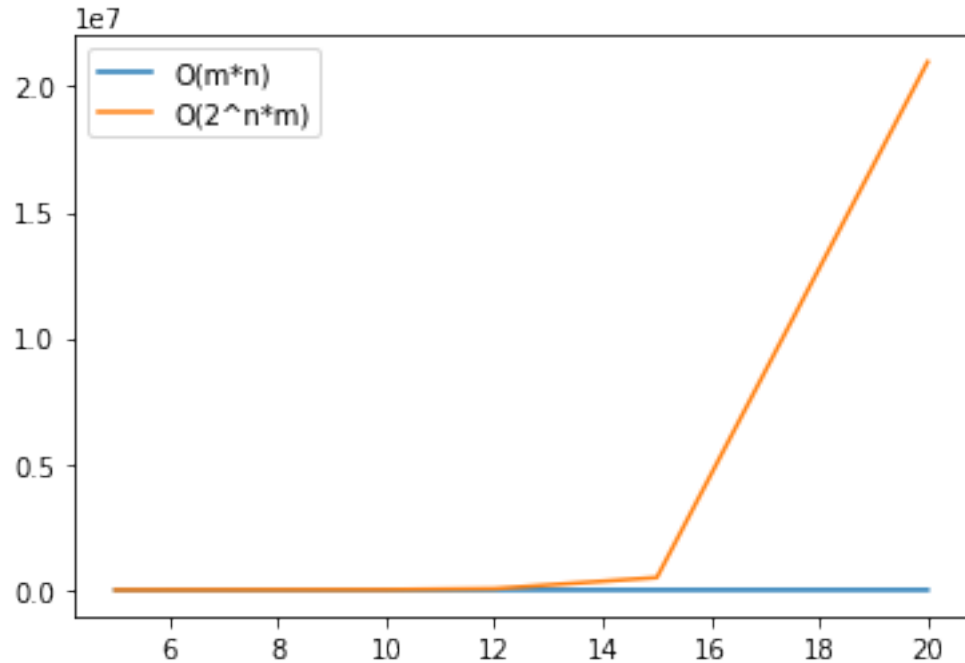


- (iii) Discuss the scalability of the algorithms with respect to these experimental results. Do the experimental results confirm the theoretical results you found in (a)?

Answer: Experimental results confirm the theoretical result I've found.

For instance; when $n = m = 5$, the time memoized algorithm requires is approximately 0.0000643. Since the complexity is $\Theta(n * m)$, we expect the time for $n = m = 10$ to be 4 times of this value. ($10^2/5^2 = 4$) $0.0000643 * 4 = 0.0002572 \approx 0.00024$ (the time I've found is 0.00024).

You can see the graph of functions $m*n$ and 2^n*m for values 5,10,12,15,20 and realize how similar they grow with plot of our results below.



(c) **(40 points)** For each algorithm, determine its average running time experimentally by running it with randomly generated DNA sequences of length $m = n$. For each length 5, 10, 15, 20, 25, you can randomly generate 30 pairs of DNA sequences, using Sequence Manipulation Suite.¹

(i) Fill in following table with the average running times in seconds (μ), and the standard deviation (σ).

Algorithm	$m = n = 5$		$m = n = 10$		$m = n = 12$		$m = n = 15$		$m = n = 20$	
	μ	σ	μ	σ	μ	σ	μ	σ	μ	σ
Naive	3.20e-5	2.17e-5	0.0046	0.0046	0.0235	0.0222	0.5138	0.6105	46.531	57.814
Memoization	1.48e-5	4.359e-6	5.84e-5	9.328e-6	8.703e-5	3.4110e-5	0.00013	1.434e-5	0.00022	2.687e-5

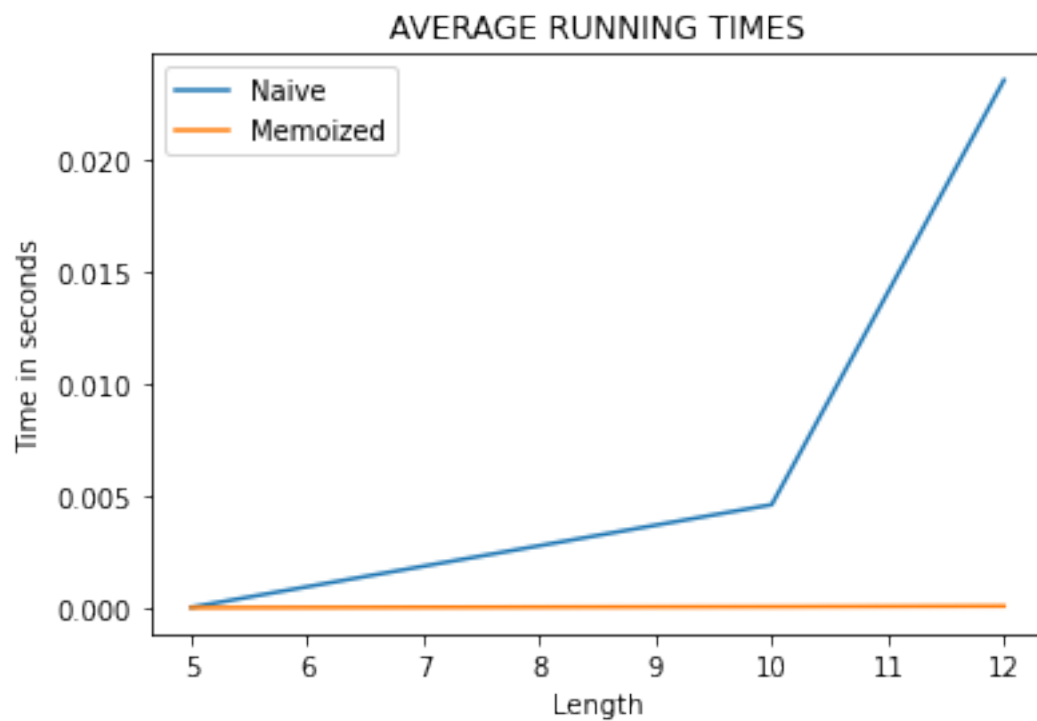
(ii) Plot these experimental results in a graph.

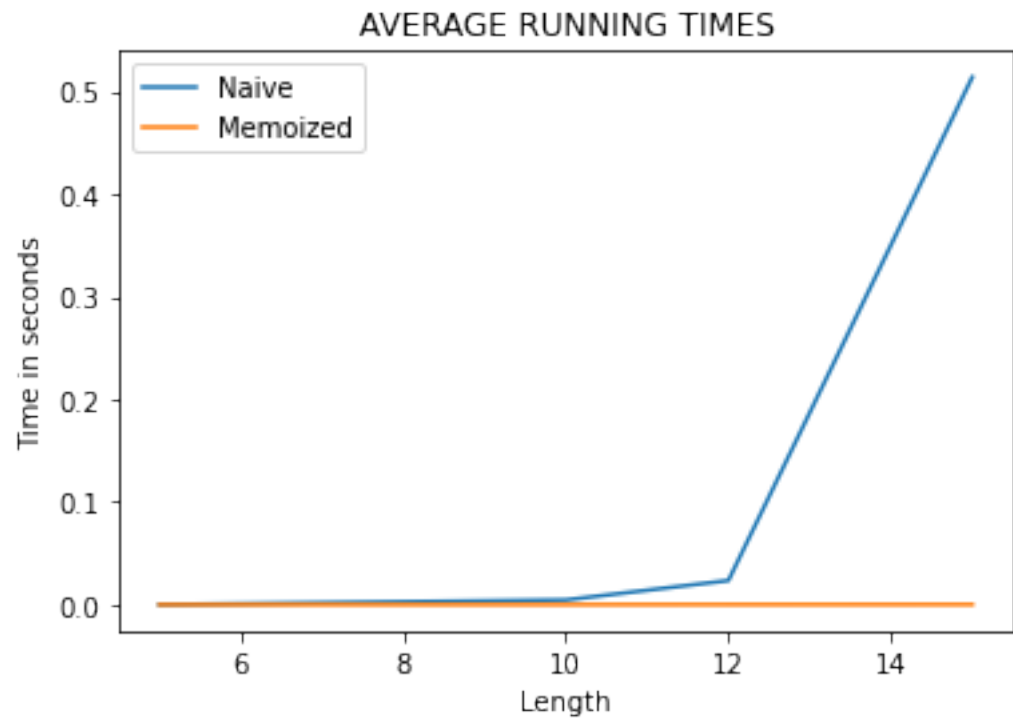
You can see 3 different graphs below:

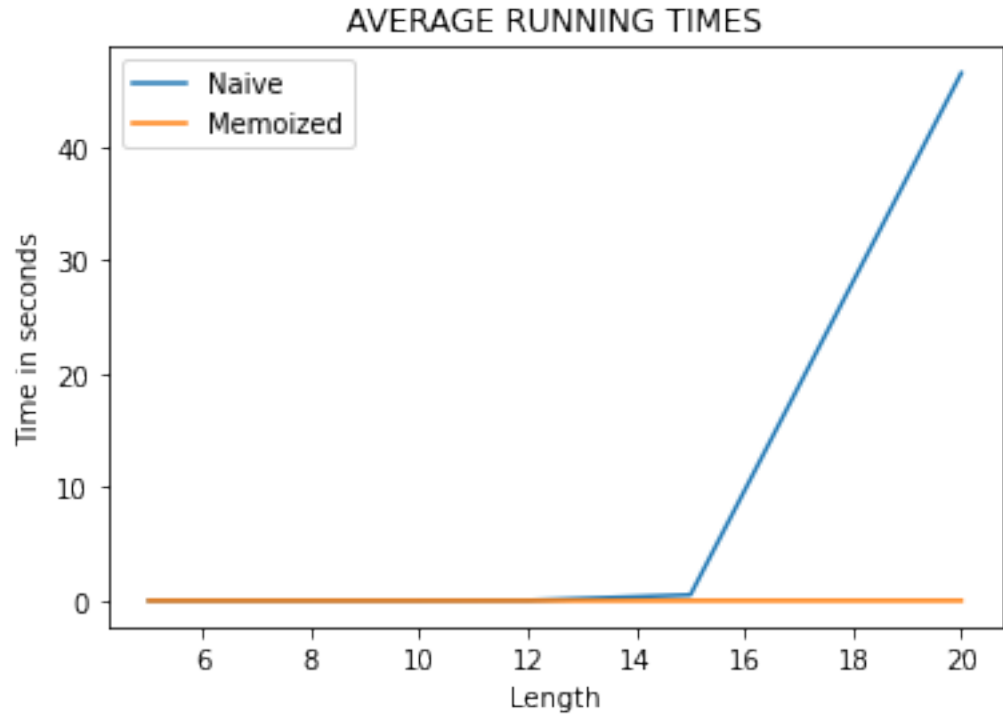
1. The first one shows the time each algorithm requires for $n = 5, 10, 12$. As it is seen, difference between required times of two algorithms is much smaller for n values smaller than 10.

¹https://www.bioinformatics.org/sms2/random_dna.html.

2. Second graph shows times for $n = 5, 10, 12$ and 15.
3. Third graph shows times for $n = 5, 10, 12, 15, 20$. As it can be seen, difference is increasing with an increasing rate. This implies that the naive algorithm grows much faster than the other one.







- (iii) Discuss how the average running times observed in your experiments grow, compared to the worst case running times observed in (b).

ANSWER: Average running times grows much slower than the worst case running times observed in (b). For example; $n = m = 15$ requires 0.022 seconds in average with naive algorithm whereas it requires 350 seconds in the worst-case. Similarly, $m = n = 10$ requires 0.00024 seconds in the worst case with memoization, but it requires only 0.0000584 seconds in average case. There is a big difference between worst-case and average case. However, we can still say that the naive algorithm grows much faster than the memoized algorithm both in worst-case and average case. We can also say that the naive algorithm still grows exponentially and memoized algorithm still grows polynomially in average case.