

**The University of Alabama in Huntsville**  
**Electrical & Computer Engineering Department**  
**CPE 431 01**  
**Test 2 Solution**  
**Fall 2012**

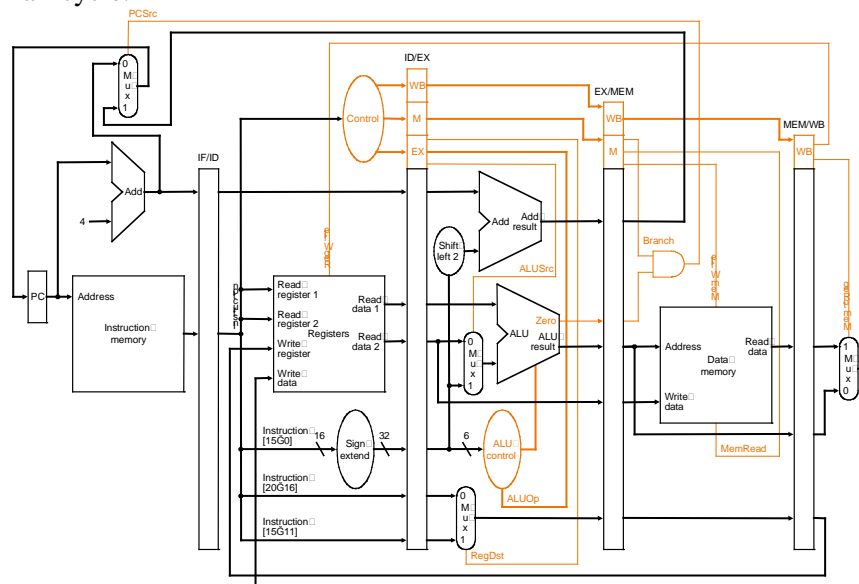
1. (1 point) **\_Loop unrolling\_** is a technique in which multiple copies of a loop body are made and instructions from different iterations are scheduled together.
2. (1 point) **\_Superscalar\_** is an advanced pipelining technique that enables the processor to execute more than one instruction per clock cycle.
3. (1 point) The **\_miss penalty\_** is the time required to fetch a block into a level of the memory hierarchy from the lower level.
4. (1 point) Getting a missing data item early from the internal resources of the pipeline is called **\_forwarding\_**.
5. (1 point) **\_T\_**(True or False) The number of sets in a fully associative cache is one.
6. (10 points) It is possible to have an even greater cache hierarchy than two levels. Consider a processor with the following parameters.

Base CPI, no memory stalls	Processor speed	Main memory access time	First-level cache miss rate	Second-level cache, direct-mapped speed	Local miss rate with second-level cache, direct-mapped	Third-level cache, eight-way set associative speed	Local miss rate with third-level cache, eight-way set associative
2.0	3 GHz	75 ns	5 %	15 cycles	30 %	50 cycles	35 %

Calculate the CPI for the processor given that the Memory accesses/instruction = 1.36 and that hits in the L1 cache incur no miss stalls.

$$\begin{aligned}
 \text{CPI} &= \text{CPI}_{\text{base}} + \text{CPI}_{\text{miss\_penalty}} \\
 &= \text{CPI}_{\text{base}} + \text{Memory accesses per instruction}(\text{CPI}_{\text{L1\_Hit}} + \text{L1}_{\text{miss\_rate}}(\text{L2}_{\text{hit\_time}} + \\
 &\quad \text{L2}_{\text{miss\_rate}}(\text{L3}_{\text{hit\_time}} + \text{L3}_{\text{miss\_rate}} * \text{Main Memory access time})) \\
 &= 2.0 + 1.36(0 + 0.05(15 \text{ cycles} + 0.3(50 \text{ cycles} + 0.35 * 75 \text{ ns} * 3 * 10^9 \text{ cycles/s})) \\
 &= 2.0 + 1.36(0.0 + 0.05(15 + 0.3(50 + 78.75))) \\
 &= 2.0 + 1.36(0.05(15 + 38.625)) \\
 &= 2.0 + 1.36(2.68125) \\
 &= 2.0 + 3.6465 \\
 &= 5.65
 \end{aligned}$$

7. (10 points) Consider executing the following code on a pipelined datapath like the one shown except that 1) it supports  $j$  instructions that complete in the ID stage, and 2) it has MEM/WB forwarding only. The register file does support writing in the first half cycle and reading in the second half cycle.



```

sort:      addi $sp, $sp, -20
           sw   $ra, 16($sp)
           sw   $s3, 12($sp)
           sw   $s2, 8($sp)
           sw   $s1, 4($sp)
           sw   $s0, 0($sp)
           add  $s2, $a0, $zero
           add  $s3, $a1, $zero
           add  $s0, $zero, $zero
for1tst:   slt  $t0, $s0, $s3
           beq  $t0, $zero, exit1
           addi $s1, $s0, -1
for2tst:   slt  $t0, $s1, $zero
           bne  $t0, $zero, exit2
           add  $t1, $s1, $s1
           add  $t1, $t1, $t1
           add  $t2, $s2, $t1
           lw   $t3, 0($t2)
           => lw   $t4, 4($t2)
           slt  $t0, $t4, $t3
           beq  $t0, $zero, exit2
           add  $a0, $s2, $zero
           add  $a1, $s1, $zero
           jal  swap
           addi $s1, $s1, -1
           j    for2tst
exit2:     addi $s0, $s0, 1
           j    for1tst
exit1:     lw   $s0, 0($sp)
           lw   $s1, 4($sp)
           lw   $s2, 8($sp)
           lw   $s3, 12($sp)
           lw   $ra, 16($sp)
           addi $sp, $sp, 20
           jr   $ra

```

If the `lw $t4` instruction six instructions after the `for2tst` label begins executing in cycle 1 and the `beq $t0, $zero, exit2` is taken, what instructions are found in each of the five stages of the pipeline in the 12<sup>th</sup> cycle? Show the instructions being executed in each stage of the pipeline during each cycle.

Cycle	IF	ID	EX	MEM	WB
1	lw \$s4				
2	slt \$t0	lw \$s4			
3	beq \$t0	slt \$t0	lw \$s4		
4	beq \$t0	slt \$t0	bubble	lw \$s4	
5	add \$a0	beq \$t0	slt \$t0	bubble	lw \$s4
6	add \$a0	beq \$t0	bubble	slt \$t0	bubble
7	add \$a1	add \$a0	beq \$t0	bubble	slt \$t0
8	jal swap	add \$a0	add \$a0	beq \$t0	bubble
9	addi \$s0	bubble	bubble	bubble	beq \$t0
10	j for1tst	addi \$s0	bubble	bubble	bubble
11	lw \$s0	j for1tst	addi \$s0	bubble	bubble
12	slt \$t0	bubble	j for1tst	addi \$s0	bubble

8. (10 points) Consider the case of a seven-deep pipeline where the branch is resolved at the end of the fourth stage for unconditional branches and at the end of the sixth cycle for conditional branches. The program run on this pipeline has the following branch frequencies (as percentages of all instructions) are as follows:

Conditional branches                      30%  
 Jumps and calls                            15%  
 Conditional branches                      70% are taken

Assuming that the CPI of the program, neglecting branch hazards, is 1.0, how much slower is the real number, when branch hazards are considered?

**First, determine the penalties involved for the conditional and unconditional branches**

#### Conditional Branches

after 5	after 4	after 3	after 2	after 1	branch	
target	after 5	after 4	after 3	after 2	after 1	branch

#### Unconditional Branches

after 3	after 2	after	branch			
target	after 3	after 2	after 1	branch		

**So, the penalty for conditional branches is five clock cycles and the penalty for unconditional branches is three clock cycles.**

$$\begin{aligned}
 \text{CPI}_{\text{branch hazards}} &= \text{CPI}_{\text{perfect}} + \text{Conditional branches taken} * \text{penalty} + \\
 &\quad \text{Unconditional branches taken} * \text{penalty} \\
 &= 1.0 + 0.3 * 0.7 * 5 + 0.15 * 3 = 1 + 1.05 + 0.45 = 2.5
 \end{aligned}$$

$$\begin{aligned}
 \text{Slowdown} &= \text{CPI}_{\text{branch hazards}} / \text{CPI}_{\text{perfect}} = 2.5 / 1 \\
 \text{CPI}_{\text{branch hazards}} &\text{ is a slowdown of } 2.5
 \end{aligned}$$

9. (25 points) a) (5 points) Consider the following loop executing on a MIPS pipeline with full forwarding. Calculate the number of cycles it takes to execute this loop, neglecting pipeline fill cycles. b) (15 points) Unroll the loop so that 3 iterations of the loop are executed at once and schedule the unrolled code on a 2-issue pipeline in which any instruction can be issued in any slot. c) (5 points) Calculate the speedup from the original loop to the unrolled loop scheduled on a 2-issue pipeline.

```

    addi    $t1, $s0, 360
Loop: lw    $s1, 0($t1)
      add   $s2, $s2, $s1
      sw    $s2, 0($t1)
      addi  $t1, $t1, -4
      bne   $t1, $s0, Loop

```

89 iterations \* (5 instructions + 1 cycle data hazard stall (lw \$s1 – add \$s2) + 1 cycle control hazard stall) + 1 iteration (5 instructions + 1 cycle data hazard stall) = 89 \* 7 + 6 = 629 cycles

```

Loop:  lw    $s1, 0($t1)
      add   $s2, $s2, $s1
      sw    $s2, 0($t1)
      lw    $s1, -4($t1)
      add   $s2, $s2, $s1
      sw    $s2, -4($t1)
      lw    $s1, -8($t1)
      add   $s2, $s2, $s1
      sw    $s2, -8($t1)
      addi  $t1, $s0, Loop

```

Cycle	Issue Slot 1	Issue Slot 2
1	lw \$s1, 0(\$t1)	lw \$t8, -4(\$t1)
2	lw \$t9, -8(\$t1)	addi \$t1, \$t1, -12
3	add \$s2, \$s2, \$s1	
4	sw \$s2, 12(\$t1)	add \$s2, \$s2, \$t8
5	sw \$s2, 8(\$t1)	add \$s2, \$s2, \$t9
6	bne \$t1, \$s0, Loop	sw \$s2, 4(\$t1)
7		

c. 29 iterations \* (6 instructions + 1 cycle control hazard stall) + 1 iteration (6 instructions) = 29 \* 7 + 6 = 209

Speedup = 629 cycles/209 cycles = 3.0

10. (10 points) The following code is written in MATLAB, where elements within the same column are stored contiguously. Consider each variable and answer whether it exhibits spatial locality and whether it exhibits temporal locality. A, B, and C are all arrays of integers 8000 by 8000.

```
for I=1:8000
    for J=1:8
        A(I,J) = B(J,1) + A(J, I) + C(1, I);
```

Variable	I	J	A(I,J)	B(J,1)	A(J,I)	C(1,I)
Spatial	Unknown	Unknown	No, elements are accessed by row	Yes, elements are accessed by column	Yes, elements are accessed by column	No, all elements are part of row 1
Temporal	Yes, used 64000 times to access array elements	Yes, used 64000 times to access array elements	No, a different element is accessed each time	Yes, used 8000 times, once each 8 times	No, a different element is accessed each time	Yes, each item is referenced 8 times in a row

11. (15 points) Here is a series of address references given as byte addresses: 0, 4, 16, 131, 232, 160, 1024, 30, 140, 3100, 179, 2180. Assuming a direct-mapped cache with four-word blocks and a total size of 32 words that is initially empty and uses LRU, (a) label each reference in the list as a hit or a miss and (b) show the entire history of the cache.

$$32\text{words} \times \frac{1\text{block}}{4\text{words}} \times \frac{1\text{set}}{1\text{blocks}} = 8\text{sets}$$

	Index	Block	Offset	Byte	Offset	
0	000_0000_0	000	00	00		m
4	000_0000_0	000	01	00		h
16	000_0000_0	001	00	00		m
131	000_0000_1	000	00	11		m
232	000_0000_1	110	10	00		m
160	000_0000_1	010	00	00		m
1024	000_0100_0	000	00	00		m
30	000_0000_0	001	11	10		h
140	000_0000_1	000	11	00		m
3100	000_1100_0	001	11	00		m
179	000_0000_1	011	00	11		m
2180	000_1000_1	000	01	00		m

0	MEM[0:15], MEM[128:143], MEM[1024:1039], MEM[128:143], MEM[2176:2191]
1	MEM[16:31], MEM[3088:3103]
2	MEM[160:175]
3	MEM[176:191]
4	
5	
6	MEM[224:239]
7	

12. (15 points) As described in Section 5.4, virtual memory uses a page table to track the mapping of virtual addresses to physical addresses. This exercise shows how this table must be updated as addresses are accessed. The following table is a stream of virtual addresses as seen on a system. Assume 8 KB pages, a four-entry fully associative TLB, and true LRU replacement. If pages must be brought in from disk, increment the next largest page number.

12948, 49419, 46814, 13975, 40004, 12707, 52236

These are byte addresses. The page offset is  $\log_2 8K = 13$ . The virtual page number can be obtained by dividing the byte address by 8KB (8192) and taking the integer part of the result.

	VPN	TLB?	PT?	PF?
12948	1	M	M	Y
49419	6	M	M	Y
46814	5	M	H	N
13975	1	H		
40004	4	M	H	N
12707	1	H		
52236	6	H		

TLB

Valid	Tag	Physical Page Number
1	<del>11</del> , 6	<del>12</del> , 14
1	7, 5	4, 11
1	3, 4	6, 9
0, 1	4, 1	9, 13

Page table

	Valid	Physical page or in disk
0	1	5
1	0, 1	Disk, 13
2	0	Disk
3	1	6
4	1	9
5	1	11
6	0, 1	Disk, 14
7	1	4
8	0	Disk
9	0	Disk
10	1	3
11	1	12