

Machine Learning Potential Function Generation for ab initio Electronic Structure Calculations

UNIC School of Science and Engineering

Duong Hoang and Paul Rulis

Division of Energy, Matter, and Systems at the University of Missouri - Kansas City

Introduction

First-principles electronic structure calculations play a vital role in condensed matter materials physics research and its many related fields, but they are well-known for being computationally demanding because of their typical $O(N^3)$ scaling rate. In recent years a range of methods—including machine learning based approaches—have been developed to try to overcome the tremendous roadblock of the algorithmic complexity scaling problem [1].

In this poster we present progress implementing and applying local atomic descriptors for training neural networks to predict the electronic potential function of amorphous silicon. Our target application is the density functional theory (DFT) based orthogonalized linear combination of atomic orbitals (OLCAO) method [2]. The OLCAO potential function is expressed as a linear combination of atom-centered Gaussian functions. The local environment of each atom is characterized using the bispectrum components. The bispectrum components (descriptors) and potential function coefficients are used as the input/output training pairs for a neural network.

OLCAO Potential Function

The total electronic potential function of the crystal, V_{cry} , is expressed as a sum of atom-centered potential functions, V_B . Each atom-centered potential function is expressed as a sum of spherical Gaussian functions. Each Gaussian function, i, in the set of all Gaussian functions has a fixed (α^i) coefficient but a variable (A^i) coefficient in its functional form: $A_i e^{-\alpha_i r^2}$. The crystal potential function can be visualized as in Figure 1 and the potential function for an individual atom can be evaluated and plotted as in Figure 2. **Project goal: Predict the** A_i **coefficients using a suitable neural network.**

$$V_{cry}(\vec{r}) = \sum_{B} V_{B}(\vec{r} - \overrightarrow{t_{B}}), \qquad V_{B}(\vec{r}) = \sum_{i=1}^{N_{A}} A_{i}e^{-\alpha_{i}r^{2}}$$

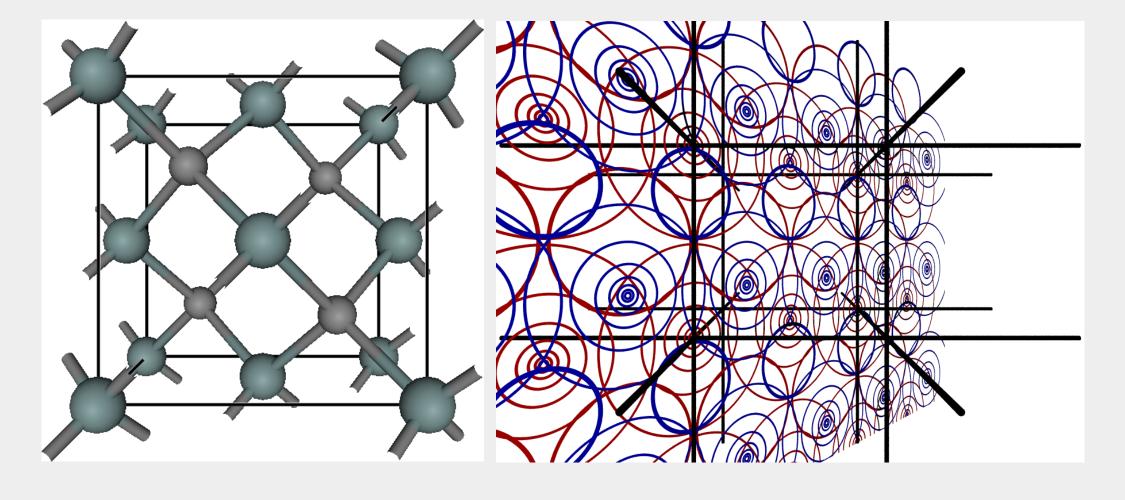


Figure 1: (Left) Ball and stick model of crystalline Silicon Carbide with large balls for the Silicon (Si) and small balls for the C. (Right) A cross sectional slice of the potential function for Silicon Carbide showing the atom centered spherical Gaussians at each atomic site.

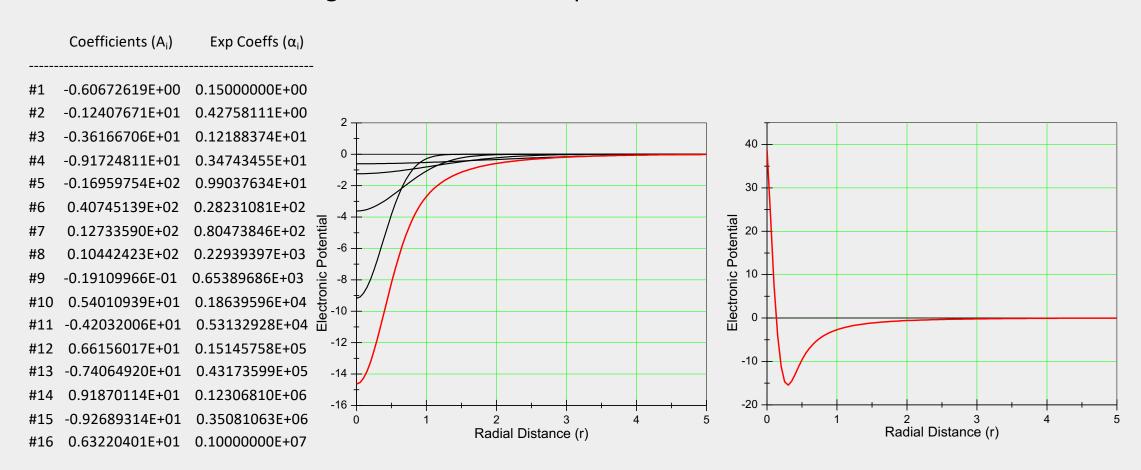


Figure 2: (Left) Table of the numerical values of the A^i and α^i exponential coefficients for the Si potential function; (Middle) The first four potential functions are plotted (black lines) and summed together (red line); (Right) All Gaussian functions for one Si atomic site are summed together.

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Proposed Neural Network Framework

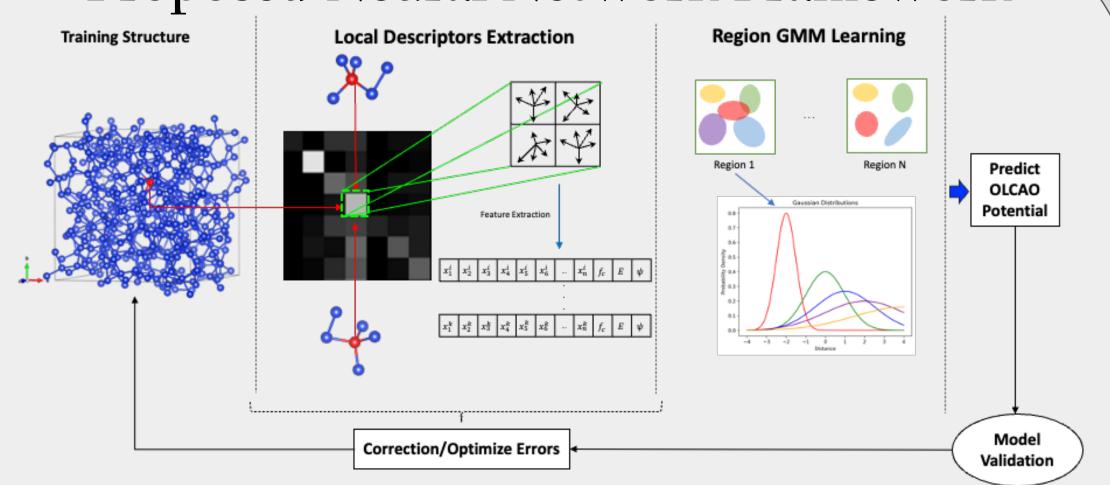


Figure 3: Conceptual framework for a proposed neural network

Bispectrum Components

Local density neighbor function

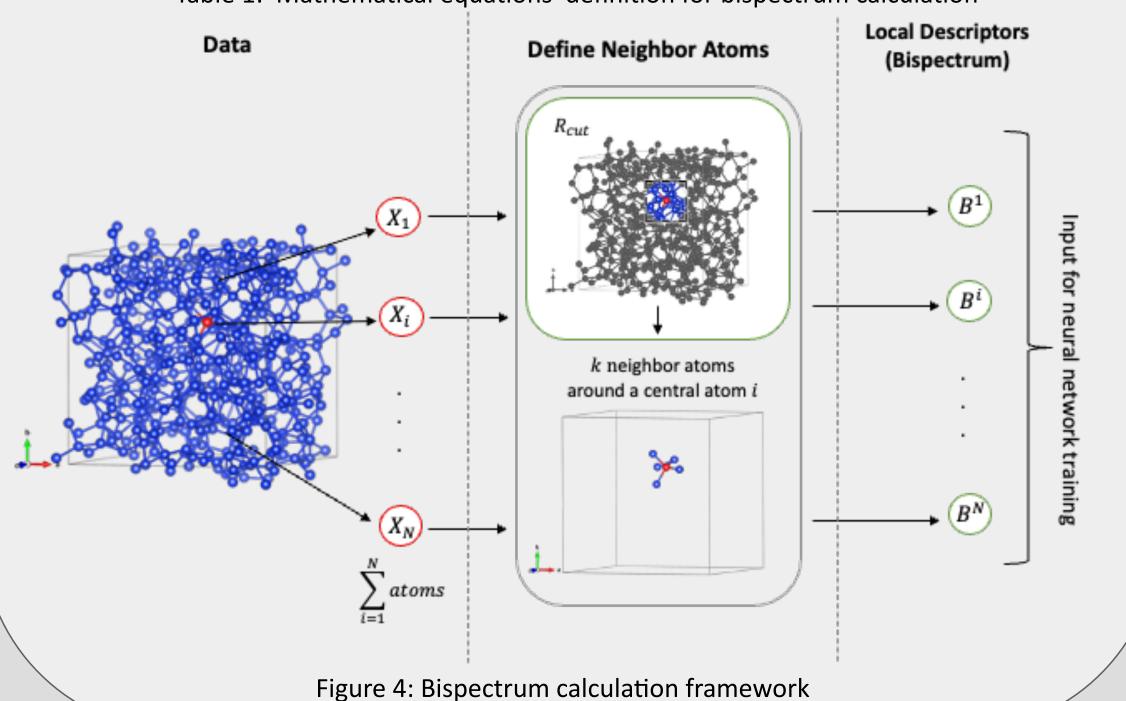
Geometrical Environment	Map 3D Environment to 3-sphere Surface
$\rho_i(r) = \delta(r) + \sum_{r_{ik} < R_{cut}}^k f_c(r_{ik}) \omega_k \delta(r - r_{ik})$	$\rho_i(r) = \sum_{j=0}^{\infty} \sum_{m,m'=-j}^{j} u_{m,m'}^{j} . U_{mm'}^{j} (\theta_0, \theta, \phi)$
Con:Not invariant with respect to rotations.Pro:Exact description of the local geometry.	Pro: - Coefficients "u" can. Con: - An expansion that must be truncated Not invariant with respect to rotations.

Bispectrum SO(4)

$$B_{j_1, j_2, j} = \sum_{m_1, m'_1}^{j_1} \sum_{m_2, m'_2 = -j_2}^{j_2} \sum_{m, m'}^{j_2} = \sum_{m'}^{j_2} (u_{mm'}^j)^* H_{j_1 m_1 m'_1, j_2 m_2 m'_2}^{j_m} u_{m_1 m'_1}^{j_2} u_{m_2 m'_2}^{j_2}$$

Equation	Definition
$u_{m,m'}^{j} = \left\langle U_{m,m'}^{j} \rho \right\rangle$	Expansion of density coefficient function is related to the probability density of local atom orientations in the material.
$C_{j_1m_1j_2m_2}^{jm} \equiv \langle j_1m_1j_2m_2 jm\rangle$	Clebsch Gordan coefficient, represents the probability amplitude that j_1 and j_2 with projections m_1 . and m_2 are coupled into angular momentum j with a projection m .
$C_{j_1m_1j_2m_2}^{jm} \times C_{j_1m'_1j_2m'_2}^{jm'} \equiv H_{j_1m_1m'_1,j_2m_2m'_2}^{jm}$	Coupling coefficients in four-dimensional spherical harmonic are analogous to the Clebsch-Gordan coefficients in two dimensions.

Table 1: Mathematical equations definition for bispectrum calculation



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Systems

A collection of different pure silicon models are used for computing bispectrum components and used them as training input feature for ML model training.

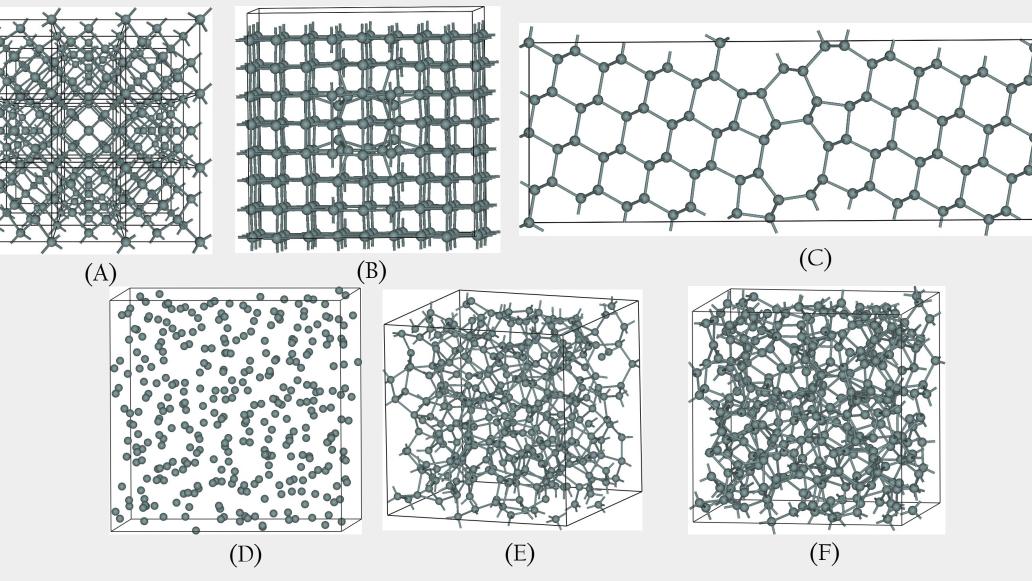
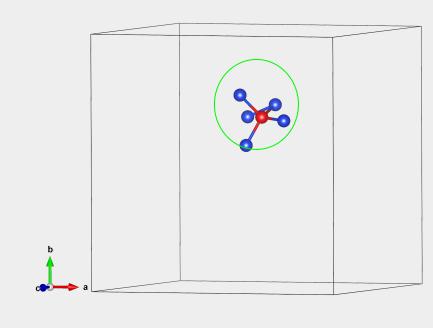
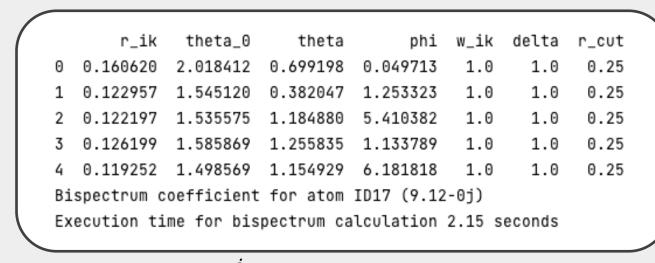


Figure 4: Six different models of pure silicon. (A) Crystalline silicon; (B) Crystalline silicon I4 model with four self-interstitials; (C) A model of silicon with a passive defect; (D - F) Identically structured models of pure silicon with uniform scaling of bond lengths from largest (D) to the standard bond length (E) to compressed bond lengths (F).

Preliminary Results





 $B_{j_1=2,j_2=\frac{1}{2},j=5/2}^i \approx 9.12$

Figure 6: Bispectrum calculation for a center atom i, k = 5 neighbor atoms in amorphous silicon cell

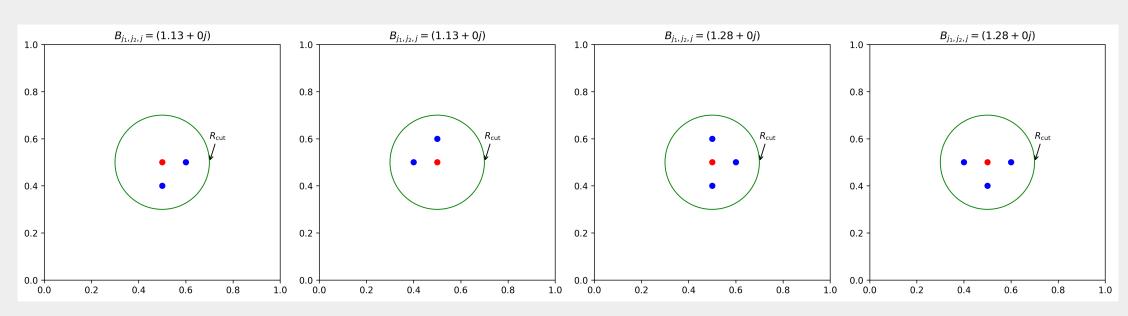


Figure 6: Bispectrum calculation with modified neighbor atom positions

Conclusions and Future Work

In this work, we developed a computer program to automatically generate bispectrum components for a single-element system in a periodic unit cell. We investigated the symmetric properties of the bispectrum components, which align with the proof established in Ref. [4]. However, further development and testing of the program are necessary before it can be applied to multiple-element systems. A key challenge lies in extending the expansion density function to include proper weighting for different elements.

Now that the bispectrum component has been computed for single element systems, the next step will be to develop an appropriate ML model to link the bispectrum component and the OLCAO potential function coefficients. Without careful and detailed understanding of the ML model, the accuracy of the ML potential for OLCAO will be limited. The proposed framework is based on techniques developed for computer vision whereby the local, medium-range, and long-range (global) properties are encoded as input descriptors for each atom. While in most cases, electrons are "short-sighted", the proposed method could address novel long range electronic structure properties.

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