

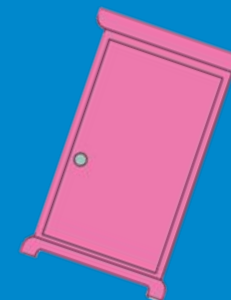
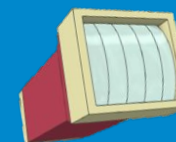
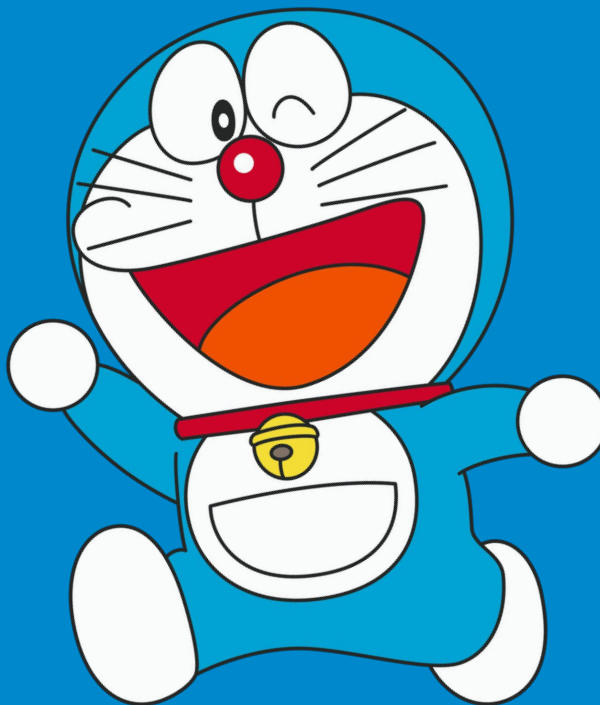
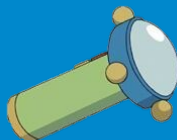


# GAUGES THEORIES



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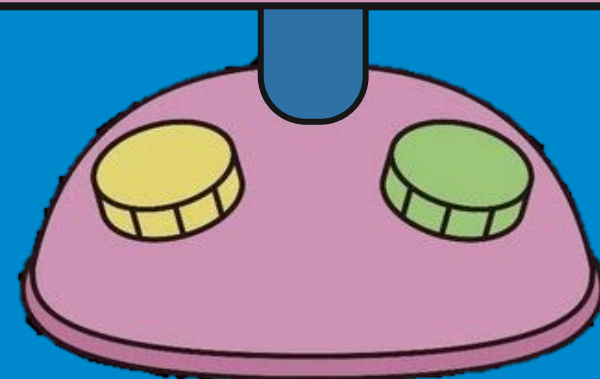
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# Lagrangians in relativistic field theory



|                   | classical mechanics | relativistic field                         |
|-------------------|---------------------|--|
| particle location | position            | some region                                |
| variable          | $x(t), y(t), z(t)$  | $\Phi_i(x, y, z, t)$                       |
| function          | $L(q_i, \dot{q}_i)$ | $\mathcal{L}(\phi_i, \partial_\mu \phi_i)$ |



# Euler-Lagrange

$$\partial_{\mu} \left( \frac{\partial \mathcal{L}}{\partial (\partial_{\mu} \phi_i)} \right) = \frac{\partial \mathcal{L}}{\partial \phi_i} \quad (i = 1, 2, 3, \dots)$$







# Klein-Gordon Lagrangian: Scalar (Spin 0) Field



$$\mathcal{L} = \frac{1}{2} (\partial_\mu \phi)(\partial^\mu \phi) - \frac{1}{2} \left( \frac{mc}{\hbar} \right)^2 \phi^2$$

$$\frac{\partial \mathcal{L}}{\partial(\partial_\mu \phi)} = \partial^\mu \phi$$

$$\frac{\partial \mathcal{L}}{\partial \phi} = - \left( \frac{mc}{\hbar} \right)^2 \phi$$

$$\Rightarrow \partial_\mu \partial^\mu \phi + \left( \frac{mc}{\hbar} \right)^2 \phi = 0$$





# Dirac Lagrangian for a Spinor (Spin 1/2) Field



$$\mathcal{L} = i(\hbar c)\bar{\psi}\gamma^\mu \partial_\mu\psi - (mc^2)\bar{\psi}\psi$$

$$\frac{\partial \mathcal{L}}{\partial(\partial_\mu\bar{\psi})} = 0, \quad \frac{\partial \mathcal{L}}{\partial\bar{\psi}} = i\hbar c\gamma^\mu \partial_\mu\psi - mc^2\psi$$

$$\Rightarrow i\gamma^\mu \partial_\mu\psi - \left(\frac{mc}{\hbar}\right)\psi = 0$$





# Proca Lagrangian for a Vector (Spin 1) Field



$$\mathcal{L} = \frac{-1}{16\pi} (\partial^\mu A^\nu - \partial^\nu A^\mu)(\partial_\mu A_\nu - \partial_\nu A_\mu) + \frac{1}{8\pi} \left(\frac{mc}{\hbar}\right)^2 A^\nu A_\nu$$

$$\frac{\partial \mathcal{L}}{\partial(\partial_\mu A_\nu)} = \frac{-1}{4\pi} (\partial^\mu A^\nu - \partial^\nu A^\mu)$$

$$\frac{\partial \mathcal{L}}{\partial A_\nu} = \frac{1}{4\pi} \left(\frac{mc}{\hbar}\right)^2 A^\nu$$

$$\Rightarrow \partial_\mu (\partial^\mu A^\nu - \partial^\nu A^\mu) + \left(\frac{mc}{\hbar}\right)^2 A^\nu = 0$$





# Proca Lagrangian for a Vector (Spin 1) Field



$$F^{\mu\nu} \equiv \partial^\mu A^\nu - \partial^\nu A^\mu$$

$$\Rightarrow \mathcal{L} = -\frac{1}{16\pi} F^{\mu\nu} F_{\mu\nu} + \frac{1}{8\pi} \left(\frac{mc}{\hbar}\right)^2 A^\nu A_\nu$$

$$\Rightarrow \partial_\mu F^{\mu\nu} + \left(\frac{mc}{\hbar}\right)^2 A^\nu = 0$$







# Maxwell Lagrangian for a Massless Vector Field



$$\mathcal{L} = \frac{-1}{16\pi} F^{\mu\nu} F_{\mu\nu} - \frac{1}{c} \tilde{J}^\mu A_\mu$$

$$\partial_\mu F^{\mu\nu} = \frac{4\pi}{c} J^\nu$$

$$\partial_\nu (\partial_\mu F^{\mu\nu}) = \partial_\nu (4\pi J^\nu)$$

$$\partial_\nu \partial_\mu F^{\mu\nu} = 4\pi \partial_\nu J^\nu$$



$$\partial_\nu J^\nu = 0$$



# Local Gauge Invariance

$$\mathcal{L} = i\hbar c \bar{\psi} \gamma^\mu \partial_\mu \psi - mc^2 \bar{\psi} \psi$$

is invariant under global gauge tr.

$$\psi \rightarrow e^{i\theta} \psi$$

First Term:

$$\bar{\psi}' i \gamma^\mu \partial_\mu \psi' = \bar{\psi} e^{-i\theta} i \gamma^\mu \partial_\mu (e^{i\theta} \psi)$$

Using the product rule, we find:

$$\partial_\mu (e^{i\theta} \psi) = e^{i\theta} \partial_\mu \psi$$

Thus, substituting back we get:

$$= \bar{\psi} e^{-i\theta} i \gamma^\mu (e^{i\theta} \partial_\mu \psi) = \bar{\psi} i \gamma^\mu \partial_\mu \psi$$

Second Term:

$$-m \bar{\psi}' \psi' = -m (\bar{\psi} e^{-i\theta}) (e^{i\theta} \psi) = -m \bar{\psi} \psi$$



# Local Gauge Invariance



But what if the phase factor is different at different space–time points; that is, what *if*  $\theta$  **is** a function of  $x^\mu$ :

$$\psi \rightarrow e^{i\theta(x)}\psi \quad (\text{local gauge transformation}) \quad (1.1.27)$$

$$\partial_\mu \psi \rightarrow \partial_\mu (e^{i\theta(x)}\psi) = e^{i\theta(x)}\partial_\mu \psi + \psi(i\partial_\mu \theta(x))e^{i\theta(x)}$$



not invariant





# Local Gauge Invariance

$$\lambda(x) \equiv -\frac{\hbar c}{q} \theta(x)$$

local gauge tr.

$$\mathcal{L} \rightarrow \mathcal{L} + (q\bar{\psi}\gamma^\mu\psi)\partial_\mu\lambda$$

$$\mathcal{L} = [i\hbar c\bar{\psi}\gamma^\mu\partial_\mu\psi - mc^2\bar{\psi}\psi] - (q\bar{\psi}\gamma^\mu\psi)A_\mu$$



# Local Gauge Invariance

$$\mathcal{L} = [i\hbar c \bar{\psi} \gamma^\mu \partial_\mu \psi - mc^2 \bar{\psi} \psi] - (q \bar{\psi} \gamma^\mu \psi) A_\mu$$



gauge field

$$A_\mu \rightarrow A_\mu + \partial_\mu \lambda$$



invariant







# Local Gauge Invariance

Proca Lagrangian

$$\mathcal{L} = \frac{-1}{16\pi} F^{\mu\nu} F_{\mu\nu} + \frac{1}{8\pi} \left( \frac{m_{AC}}{\hbar} \right)^2 A^\nu A_\nu$$

$F^{\mu\nu} \equiv \partial^\mu A^\nu - \partial^\nu A^\mu$  is invariant

$A^\nu A_\nu$  is not



Evidently, the gauge field must be massless ( $m_A = 0$ ), otherwise local gauge invariance will be lost





# Local Gauge Invariance



if we start with the Dirac Lagrangian, and impose local gauge invariance -> complete Lagrangian

$$\rightarrow \mathcal{L} = [i\hbar c \bar{\psi} \gamma^\mu \partial_\mu \psi - mc^2 \bar{\psi} \psi] + \left[ \frac{-1}{16\pi} F^{\mu\nu} F_{\mu\nu} \right] - [(q \bar{\psi} \gamma^\mu \psi) A_\mu]$$

$$J^\mu = cq(\bar{\psi} \gamma^\mu \psi)$$





# Local Gauge Invariance

difference  $\partial_\mu \psi \rightarrow e^{-iq\lambda/\hbar c} \left[ \partial_\mu - i \frac{q}{\hbar c} (\partial_\mu \lambda) \right] \psi$

covariant derivative  $\mathcal{D}_\mu \equiv \partial_\mu + i \frac{q}{\hbar c} A_\mu$

$$\mathcal{D}_\mu \psi \rightarrow e^{-iq\lambda/\hbar c} \mathcal{D}_\mu \psi$$



# Yang - Mills theory

$$\mathcal{L} = [i\hbar c \bar{\psi}_1 \gamma^\mu \partial_\mu \psi_1 - m_1 c^2 \bar{\psi}_1 \psi_1] + [i\hbar c \bar{\psi}_2 \gamma^\mu \partial_\mu \psi_2 - m_2 c^2 \bar{\psi}_2 \psi_2]$$

$$\psi \equiv \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix} \quad \bar{\psi} = (\bar{\psi}_1 \quad \bar{\psi}_2)$$



$$\mathcal{L} = i\hbar c \bar{\psi} \gamma^\mu \partial_\mu \psi - c^2 \bar{\psi} M \psi$$

where

$$M = \begin{pmatrix} m_1 & 0 \\ 0 & m_2 \end{pmatrix}$$



# Yang - Mills theory

if 2 masses equal

➡  $\mathcal{L} = i\hbar c \bar{\psi} \gamma^\mu \partial_\mu \psi - mc^2 \bar{\psi} \psi$

□ General global inv. (where  $U$  is  $2 \times 2$  unitary matrix)

$$\psi \rightarrow U\psi, \quad U^\dagger U = 1, \quad \bar{\psi} \rightarrow \bar{\psi} U^\dagger$$

We can write (where  $H$  is Hermitian)

$$U = e^{iH}, \quad H = \theta 1 + \tau \cdot a, \quad U = e^{i\theta} e^{i\tau \cdot a}$$

$$\psi \rightarrow e^{i\tau \cdot a} \psi \quad [\text{global SU(2) trans.}]$$

➡ invariant



# Yang - Mills theory

$$\lambda(x) \equiv -(\hbar c/q)\mathbf{a}(x)$$

$$\psi \rightarrow S\psi, \quad \text{where } S \equiv e^{-iq\boldsymbol{\tau} \cdot \lambda(x)/\hbar c} \quad [\text{local } SU(2) \text{ transformation}]$$

$$\partial_\mu \psi \rightarrow S \partial_\mu \psi + (\partial_\mu S)\psi$$

➡ not invariant

# Yang - Mills theory

$$\mathcal{D}_\mu \equiv d_\mu + i \frac{q}{\hbar c} \tau \cdot A_\mu$$

$$\mathcal{D}_\mu \psi = S(\mathcal{D}_\mu \psi)$$



$$\mathcal{L} = i\hbar c \bar{\psi} \gamma^\mu \partial_\mu \psi - mc^2 \bar{\psi} \psi$$

is invariant



# Yang - Mills theory

$$\tau \cdot \mathbf{A}'_\mu = S(\tau \cdot \mathbf{A}_\mu)S^{-1} + i\left(\frac{\hbar c}{q}\right)(\partial_\mu S)S^{-1} \quad S \text{ does not commute with } \tau \cdot \partial_\mu \lambda$$

$$\tau \cdot \mathbf{A}'_\mu \cong \tau \cdot \mathbf{A}_\mu + \frac{iq}{\hbar c} [\tau \cdot \mathbf{A}_\mu, \tau \cdot \lambda] + \tau \cdot \partial_\mu \lambda$$

$$\mathbf{A}'_\mu \cong \mathbf{A}_\mu + \partial_\mu \lambda + \frac{2q}{\hbar c} (\mathbf{A} \times \mathbf{A}_\mu)$$

➡  $\mathcal{L} = i\hbar c \bar{\psi} \gamma^\mu \mathcal{D}_\mu \psi - mc^2 \bar{\psi} \psi = [i\hbar c \bar{\psi} \gamma^\mu \partial_\mu \psi - mc^2 \bar{\psi} \psi] - (q \bar{\psi} \gamma^\mu \tau \psi) \cdot \mathbf{A}_\mu$

is invariant

# Yang - Mills theory

$$\mathbf{A}^\mu = (A_1^\mu, A_2^\mu, A_3^\mu)$$

$$\mathcal{L}_A = -\frac{1}{16\pi} F_1^{\mu\nu} F_{\mu\nu 1} - \frac{1}{16\pi} F_2^{\mu\nu} F_{\mu\nu 2} - \frac{1}{16\pi} F_3^{\mu\nu} F_{\mu\nu 3} = -\frac{1}{16\pi} \mathbf{F}^{\mu\nu} \cdot \mathbf{F}_{\mu\nu}$$

➡

$$\mathcal{L} = [i\hbar c \bar{\psi} \gamma^\mu \partial_\mu \psi - mc^2 \bar{\psi} \psi] - \frac{1}{16\pi} \mathbf{F}^{\mu\nu} \cdot \mathbf{F}_{\mu\nu} - (q \bar{\psi} \gamma^\mu \boldsymbol{\tau} \psi) \cdot \mathbf{A}_\mu$$





# Spontaneous Symmetry - Breaking

- The principle of local gauge invar. works beautifully for the strong and E.M. interactions.
- The application to weak interactions was stymied because gauge fields have to be massless.
- Can we make gauge theory to accommodate massive gauge fields?

**Yes**, by using spontaneous symmetry-breaking and the Higgs mechanism.

- Suppose

$$\mathcal{L} = \frac{1}{2} (\partial_\mu \phi) (\partial^\mu \phi) + e^{-(\alpha \phi)^2}$$







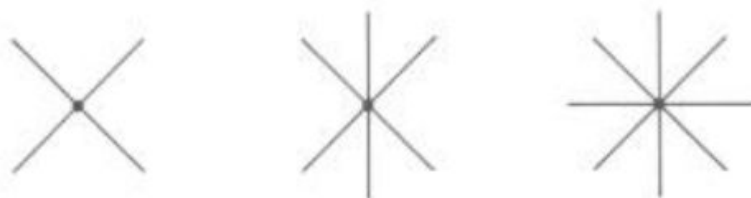
# Spontaneous Symmetry - Breaking

- If we expand the exponential

$$\mathcal{L} = \frac{1}{2}(\partial_\mu \phi)(\partial^\mu \phi) + 1 - \alpha^2 \phi^2 + \frac{1}{2} \alpha^4 \phi^4 - \frac{1}{6} \alpha^6 \phi^6 + \dots$$

the second term looks like the mass term in the K.G. Lagrangian with  $\alpha^2 = \frac{1}{2}m^2$ ,  $m = \sqrt{2}\alpha$

The higher-order terms represent couplings, of the form



This is not supposed to be a realistic theory





# Spontaneous Symmetry - Breaking

- To identify how mass term in a Lagrangian may be disguised, we pick out the term proportional to  $\Phi^2$

$$\mathcal{L} = \frac{1}{2}(\partial_\mu \phi)(\partial^\mu \phi) + \frac{1}{2}\mu^2 \phi^2 - \frac{1}{4}\lambda^2 \phi^4$$

the second term looks like mass, and the third term like an interaction. If that is mass term,  $m$  is imaginary(nonsense)

- Feynman calculus about a perturbation start from the ground state(vacuum) and treat the fields as fluctuations about that state:  $\Phi=0$

But for above Lagrangian,  $\Phi=0$  is not the ground state.  
To determine the true ground state, consider

$$\mathcal{L} = T - U$$







# Spontaneous Symmetry - Breaking

□ SO, 
$$U(\phi) = -\frac{1}{2}\mu^2\phi^2 + \frac{1}{4}\lambda^2\phi^4$$

And the minimum occurs at  $\phi = \pm\mu/\lambda$

□ Introduce a new field variable  $\eta \equiv \phi \pm \mu/\lambda$

In terms of  $\eta$

$$\mathcal{L} = \frac{1}{2}(\partial_\mu\eta)(\partial^\mu\eta) - \mu^2\eta^2 \pm \mu\lambda\eta^3 - \frac{1}{4}\lambda^2\eta^4 + \frac{1}{4}(\mu^2/\lambda)^2$$

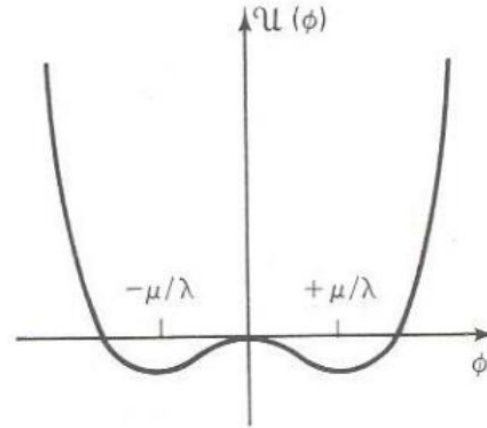
Now second term is a mass term, with the correct sign.

$$m = \sqrt{2}\mu$$





# Spontaneous Symmetry - Breaking



[ graph of  $U(\Phi)$  ]

- The third and fourth terms represent couplings of the form





# Spontaneous Symmetry - Breaking

- From the mass term, the original Lagrangian is even in  $\Phi$

$$\mathcal{L} = \frac{1}{2}(\partial_\mu \phi)(\partial^\mu \phi) + \frac{1}{2}\mu^2 \phi^2 - \frac{1}{4}\lambda^2 \phi^4$$

- The reformulated Lagrangian is not even in  $\eta$
- (the symmetry has been broken)

$$\mathcal{L} = \frac{1}{2}(\partial_\mu \eta)(\partial^\mu \eta) - \mu^2 \eta^2 \pm \mu \lambda \eta^3 - \frac{1}{4}\lambda^2 \eta^4 + \frac{1}{4}(\lambda^2 / \lambda)^2$$

- It happened because the vacuum does not share the symmetry of the Lagrangian







# Spontaneous Symmetry - Breaking

- For example, the Lagrangian with spontaneously broken continuous symmetry

$$\mathcal{L} = \frac{1}{2}(\partial_\mu \phi_1)(\partial^\mu \phi_1) + \frac{1}{2}(\partial_\mu \phi_2)(\partial^\mu \phi_2) + \frac{1}{2}\mu^2(\phi_1^2 + \phi_2^2) - \frac{1}{4}\lambda^2(\phi_1^2 + \phi_2^2)^2$$

(it is invar. under rotations in  $\Phi_1 \Phi_2$  space )

where, 
$$U = -\frac{1}{2}\mu^2(\phi_1^2 + \phi_2^2) + \frac{1}{4}\lambda^2(\phi_1^2 + \phi_2^2)^2$$

The minimum condition

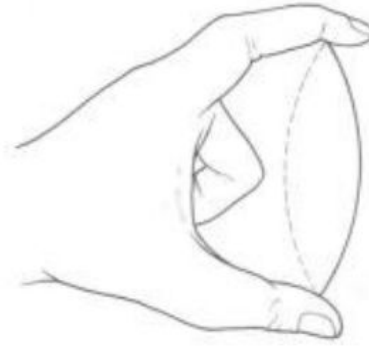
$$\phi_{1\min}^2 + \phi_{2\min}^2 = \mu^2 / \lambda^2$$

We may as well pick,  $\phi_{1\min} = \mu / \lambda, \quad \phi_{2\min} = 0$

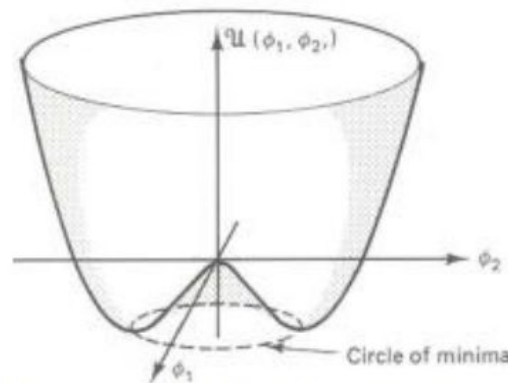




# Spontaneous Symmetry - Breaking



- [ spontaneous symmetry breaking in a plastic strip ]



□

[ the potential function ]





# Spontaneous Symmetry - Breaking

- Introduce new fields

$$\eta \equiv \phi_1 + \mu/\lambda, \quad \xi \equiv \phi_2$$

- Rewriting the Lagrangian in terms of new variables,

$$\mathcal{L} = \left[ \frac{1}{2} (\partial_\mu \eta) (\partial^\mu \eta) - \mu^2 \eta^2 \right] + \left[ \frac{1}{2} (\partial_\mu \xi) (\partial^\mu \xi) \right] + \left[ \mu \lambda (\eta^3 + \eta \xi^2) - \frac{\lambda^2}{4} (\eta^4 + \xi^4 + 2\eta^2 \xi^2) \right] + \frac{\mu^4}{4\lambda^2}$$

- The first term is a free K.G. Lagrangian for the field  $\eta$   
the second term is a free Lagrangian for the field  $\xi$

$$m_\eta = \sqrt{2}\mu, \quad m_\xi = 0$$



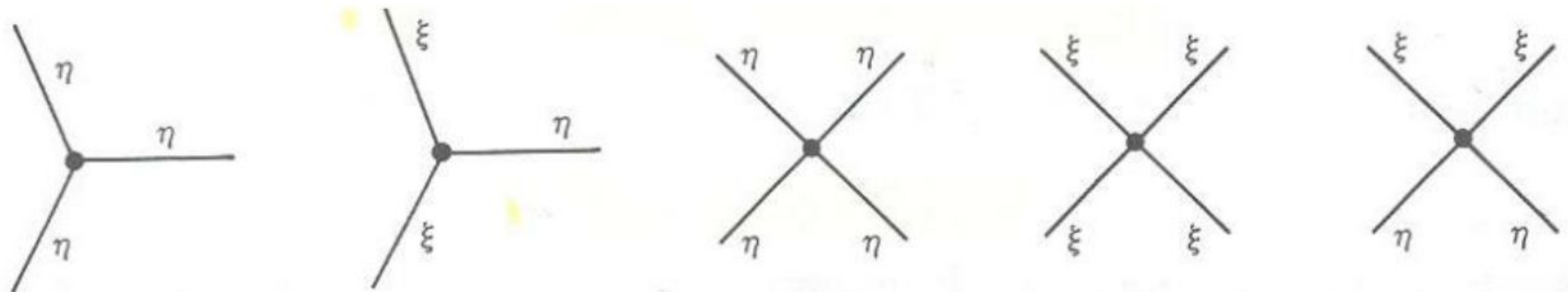




# Spontaneous Symmetry - Breaking



- The third term defines five couplings



- In this form, the Lagrangian doesn't look symmetrical at all  
(the symmetry has been broken by the selection of a particular vacuum state)
- One of the fields( $\xi$ ) is automatically massless





# The Higgs Mechanism

- If we combine the two real fields into a single complex field

$$\phi \equiv \phi_1 + i\phi_2 \quad \phi^* \phi \equiv \phi_1^2 + \phi_2^2$$

$$\mathcal{L} = \frac{1}{2} (\partial_\mu \phi)^* (\partial^\mu \phi) + \frac{1}{2} \mu^2 (\phi^* \phi) - \frac{1}{4} \lambda^2 (\phi^* \phi)^2$$

- The rotational(SO(2)) symmetry that was spontaneously broken becomes invar. under U(1) phase trans.

$$\phi \rightarrow e^{i\theta} \phi$$

- We can make the system invar. under local gauge trans.

$$\phi \rightarrow e^{i\theta(x)} \phi$$

# The Higgs Mechanism

- Replace equations with covariant derivatives

$$\mathbb{D}_\mu \equiv \partial_\mu + iqA_\mu$$

- Thus

$$\mathcal{L} = \frac{1}{2} [(\partial_\mu - iqA_\mu)\phi^*][(\partial_\mu + iqA_\mu)\phi] + \frac{1}{2}\mu^2(\phi^*\phi) - \frac{1}{4}\lambda^2(\phi^*\phi)^2 - \frac{1}{16\pi}F^{\mu\nu}F_{\mu\nu}$$

Define the new fields

$$\eta \equiv \phi_1 - \mu/\lambda, \quad \xi \equiv \phi_2$$

Lagrangian becomes

$$\begin{aligned} \mathcal{L} = & \left[ \frac{1}{2}(\partial_\mu \eta)(\partial^\mu \eta) - \mu^2 \eta^2 \right] + \left[ \frac{1}{2}(\partial_\mu \xi)(\partial^\mu \xi) \right] \\ & + \left[ -\frac{1}{16\pi}F^{\mu\nu}F_{\mu\nu} + \frac{1}{2}\left(\frac{q\mu}{\lambda}\right)^2 A_\mu A^\mu \right] - 2i\left(\frac{q\mu}{\lambda}\right)(\partial_\mu \xi)A^\mu \\ & + \{q[\eta(\partial_\mu \xi) - \xi(\partial_\mu \eta)]A^\mu + \frac{\mu}{\lambda}(q)^2 \eta(A_\mu A^\mu) + \frac{1}{2}(q)^2(\xi^2 + \eta^2)(A_\mu A^\mu) - \lambda\mu(\eta^3 + \eta\xi^2) - \frac{1}{4}\lambda^2(\eta^4 + 2\eta^2\xi^2 + \xi^4)\} + \left(\frac{\mu^2}{2\lambda}\right)^2 \end{aligned}$$

# The Higgs Mechanism

- The first line describes a scalar particle  $m_\eta = \sqrt{2}\mu$  and a massless Goldstone boson ( $\xi$ )
- The second line describes the free gauge field  $A^\mu$ , **it has acquired a mass**  $m_A = 2\sqrt{\pi}\left(\frac{q\mu}{\lambda}\right)$
- The term in curly brackets specifies various coupling of  $\xi, \eta, A^\mu$
- We still have unwanted Goldstone boson ( $\xi$ )

$$-2i\left(\frac{\mu q}{\lambda}\right)(\partial_\mu \xi)A^\mu$$

as interaction, it leads to a vertex of the form





# The Higgs Mechanism

- Writing equation in terms of its real and imaginary parts

$$\begin{aligned}\phi \rightarrow \phi' &= (\cos \theta + i \sin \theta)(\phi_1 + i\phi_2) \\ &= (\phi_1 \cos \theta - \phi_2 \sin \theta) + i(\phi_1 \sin \theta + \phi_2 \cos \theta)\end{aligned}$$

- Pick

$$\theta = -\tan^{-1}(\phi_2 / \phi_1)$$

will render  $\phi'$  real,  $\phi_2' = 0$

In this particular gauge, ( $\xi$  is zero)

$$\begin{aligned}\mathcal{L} &= \left[ \frac{1}{2} (\partial_\mu \eta) (\partial^\mu \eta) - \mu^2 \eta^2 \right] + \\ &+ \left[ -\frac{1}{16\pi} F^{\mu\nu} F_{\mu\nu} + \frac{1}{2} \left( \frac{q\mu}{\lambda} \right)^2 A_\mu A^\mu \right] \\ &+ \left\{ \frac{\mu}{\lambda} (q)^2 \eta (A_\mu A^\mu) + \frac{1}{2} (q)^2 (\eta^2) (A_\mu A^\mu) - \lambda \mu (\eta^3) - \frac{1}{4} \lambda^2 \eta^4 \right\} + \left( \frac{\mu^2}{2\lambda} \right)^2\end{aligned}$$





# The Higgs Mechanism

- We have eliminated the Goldstone boson and the offending term in  $\mathcal{L}$ ; we are left with a single massive scalar  $\eta$  (the Higgs particle) and massive gauge field  $A^\mu$
- A massless vector field carries two degrees of freedom (transverse polarizations). When  $A^\mu$  acquires mass, it picks up a third degree of freedom (longitudinal polarization)

Q: where did this extra degree of freedom come from?

A: it came from the Goldstone boson, which meanwhile disappeared from the theory.

The gauge field ate the Goldstone boson, thereby acquiring both a mass and a third polarization state (**Higgs mechanism**)





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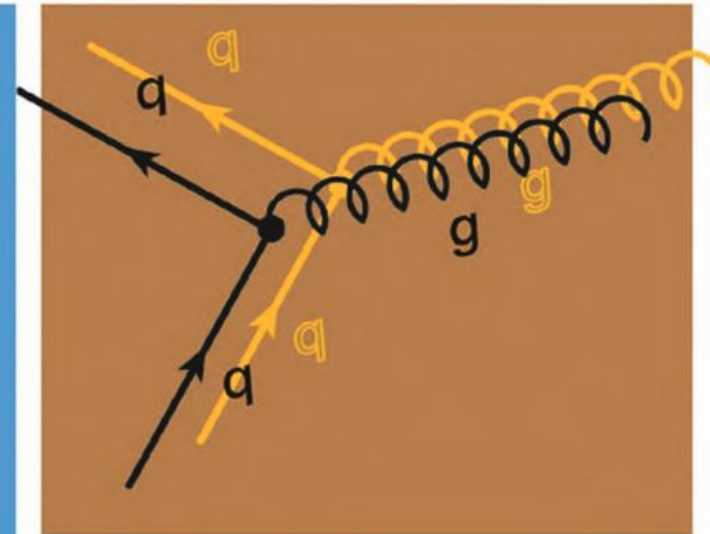
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TEXTBOOK PHYSICS

David Griffiths

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## Introduction to Elementary Particles





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Doraemon

