

(Quotient) Containers are BNFs

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1 Containers are BNFs

typedecl S — A type/set of shapes.

typedecl U — A type/set of positions. Not present in the container formulation as they work directly with the dependent type $P\ s$ for a fixed shape s . It can be thought of as the dependent sum type $U = (\text{Sum } s : S. P\ s)$

consts $P :: S \Rightarrow U \text{ set}$ — The actual assignments of positions to shapes.

The following type $'a\ F$ is the extension of a container.

We emulate the dependent sum type used in the containers paper using a subtype of the independent product type. $Func\ A\ B$ denotes the set of functions from A to B . Since HOL functions are total any $f \in Func\ A\ B$ is restricted to return some fixed unspecified value outside of the domain A : $\forall x. x \notin A \longrightarrow f\ x = \text{undefined}$. $UNIV$ is the set of all elements of type $'a$. In HOL it is necessarily non-empty.

typedef (**overloaded**) $'a\ F = \{(s :: S, f). f \in Func\ (P\ s)\ (UNIV :: 'a\ \text{set})\}$
by (*auto simp: Func-def*)

setup-lifting *type-definition-F*

Forces a function to be *undefined* outside the given domain (later this will be always $P\ s$ for some fixed shape s)

abbreviation *restr where*
restr A f x $\equiv (if\ x \in A\ then\ f\ x\ else\ \text{undefined})$

lift-definition $map-F :: ('a \Rightarrow 'b) \Rightarrow 'a\ F \Rightarrow 'b\ F$ — Functorial action on the container extension.

is $\lambda g\ (s, f). (s, \text{restr } (P\ s)\ (g \circ f))$
by (*auto simp: Func-def*)

lift-definition $set-F :: 'a\ F \Rightarrow 'a\ \text{set}$ — The elements contained in the container extension.

is $\lambda(s, f). f\ 'P\ s$.

The container extension is a BNF.

```

bnf 'a F
  map: map-F
  sets: set-F
  bd: natLeq +c card-of (UNIV :: U set)
  subgoal by (rule ext, transfer) (auto simp: Func-def)
  subgoal by (rule ext, transfer) (auto simp: Func-def)
  subgoal by (transfer) (auto simp: Func-def)
  subgoal by (rule ext, transfer) (auto simp: Func-def)
  subgoal by (simp add: card-order-csum natLeq-card-order)
  subgoal by (simp add: cinfinite-csum natLeq-cinfinite)
  subgoal apply (transfer, clarsimp)
    apply (rule ordLeq-transitive[OF card-of-image])
    apply (rule ordLeq-transitive[OF - ordLeq-csum2])
    apply simp-all
  done
subgoal for R S
  apply (rule predicate2I, transfer fixing: R S, clarsimp simp: Func-def)
  subgoal for s f g
    by (rule exI[of - restr (P s) (λu. (fst (f u), snd (g u)))])
    (auto simp: relcompp-apply image-subset-iff split-beta fun-eq-iff split: if-splits)
  done
done

```

The relator *rel-F* is defined internally in terms of *map-F* and *set-F*: *rel-F R a b* = $(\exists z. z \in \{x. \text{set-F } x \subseteq \{(x, y). R \ x \ y\} \} \wedge \text{map-F } \text{fst } z = a \wedge \text{map-F } \text{snd } z = b)$.

Moreover, the above **bnf** command proves a wealth of useful BNF properties, including the parametricity of most involved entities:

$$\begin{aligned}
& ((Rb \implies Sd) \implies \text{rel-F } Rb \implies \text{rel-F } Sd) \text{ map-F map-F} \\
& (\text{rel-F } R \implies \text{rel-set } R) \text{ set-F set-F} \\
& ((Sa \implies Sc \implies (=)) \implies \text{rel-F } Sa \implies \text{rel-F } Sc \implies (=)) \text{ rel-F rel-F}
\end{aligned}$$

2 Quotient Containers are BNFs

Quotient Containers additionally allow to identify different elements in the container extension that only differ by certain "allowed" permutations of positions. *G* (for a shape *s*) is some set of allowed permutations (bijections) closed under composition and inverses and containing identity.

The restriction of all functions to *P s* is necessary due to the lack of dependent types.

axiomatization *G* **where**

G-bij: $f \in G \ s \implies \text{bij-betw } f \ (P \ s) \ (P \ s)$ **and**

G-id: $id \in G\ s$ **and**
G-comp: $f \in G\ s \implies g \in G\ s \implies \text{restr } (P\ s) (g\ o\ f) \in G\ s$ **and**
G-inv: $f \in G\ s \implies \text{restr } (P\ s) (\text{the-inv-into } (P\ s)\ f) \in G\ s$

The equivalence relation eq on the container extension that allows to permute positions according to the functions in G .

lift-definition $eq :: 'a\ F \Rightarrow 'a\ F \Rightarrow bool$ **is**
 $\lambda(s1, f1). \lambda(s2, f2). s1 = s2 \wedge (\exists g \in G\ s1. f1 = \text{restr } (P\ s1) (f2\ o\ g))$.

lemma $eq\text{-refl}[simp]$: $eq\ x\ x$
by *transfer* (*auto simp: fun-eq-iff Func-def G-id intro!: bexI[of - id]*)

lemma $eq\text{-sym}$: $eq\ x\ y \implies eq\ y\ x$
apply (*transfer; clarsimp*)
subgoal for $s\ f1\ f2$
apply (*frule G-bij*)
apply (*auto simp: fun-eq-iff Func-def G-inv*
 $f\text{-the-inv-into-f-bij-betw}\ bij\text{-betw-def}\ the\text{-inv-into-into}$
 $intro!: bexI[of - \text{restr } (P\ s) (\text{the-inv-into } (P\ s)\ f2)]$)
done
done

lemma $eq\text{-trans}$: $eq\ x\ y \implies eq\ y\ z \implies eq\ x\ z$
apply (*transfer; clarsimp*)
subgoal for $s\ f1\ f\ g$
apply (*frule G-bij*)
apply (*auto simp: fun-eq-iff Func-def G-comp*
 $f\text{-the-inv-into-f-bij-betw}\ bij\text{-betw-def}\ the\text{-inv-into-into}$
 $intro!: bexI[of - \text{restr } (P\ s) (g\ o\ f)]$)
done
done

The extension of a quotient container is the container extension $'a\ F$, quotiented by eq

quotient-type (overloaded) $'a\ Q = 'a\ F / eq$
by (*intro equivpI reflpI sympI transpI eq-refl | elim eq-sym eq-trans | assumption*)
tion) $+$

lift-definition $map\text{-}Q :: ('a \Rightarrow 'b) \Rightarrow 'a\ Q \Rightarrow 'b\ Q$ — Functorial action on the quotient container extension simply lifted from the container extension.

is $map\text{-}F$
subgoal for $g\ f1\ f2$
supply $F.map\text{-transfer}[transfer\text{-rule}\ del]$
apply (*transfer fixing: g, clarsimp*)
subgoal for $s\ f1\ f2$
apply (*frule G-bij*)
apply (*auto simp: fun-eq-iff Func-def bij-betw-def intro!: bexI[of - f2]*)
done
done

done

lift-definition *set-Q* :: 'a Q \Rightarrow 'a set — The set of elements in the quotient container extension are the ones in the underlying container extension (equivalent container extension elements have equal sets of elements).

is *set-F*

subgoal for *f1 f2*

supply *F.set-transfer*[*transfer-rule del*]

apply (*transfer*, *clarsimp*)

subgoal for *s g f*

apply (*frule G-bij*)

apply (auto simp: *Func-def image-iff bij-betw-def intro: bexI*[*of - f -*])

apply (*metis image-iff*)

done

done

done

The quotient container extension is a BNF.

bnf 'a Q

map: *map-Q*

sets: *set-Q*

bd: *natLeq +c card-of (UNIV :: U set)*

subgoal by (rule *ext*, *transfer*) (auto simp: *F.map-id*)

subgoal by (rule *ext*, *transfer*) (auto simp: *F.map-comp*)

subgoal by (*transfer*) (auto cong: *F.map-cong*)

subgoal by (rule *ext*, *transfer*) (auto simp: *F.set-map*)

subgoal by (simp add: *card-order-csum natLeq-card-order*)

subgoal by (simp add: *cinfinite-csum natLeq-cinfinite*)

subgoal by (*transfer*, *clarsimp*, rule *F.set-bd*)

subgoal for *R S*

apply (rule *predicate2I*, *transfer fixing: R S*, *clarsimp simp: Func-def*)

supply *F.map-transfer*[*transfer-rule del*] *F.set-transfer*[*transfer-rule del*]

apply (*transfer fixing: R S*; *clarsimp*)

subgoal for *f1 s f2 f3 l r g1 g2 g3 g4*

apply (rule *exI*[*of - restr (P s) (λu. (fst (l u), snd (r (the-inv-into (P s) g3 (g2 u))))*])

apply (auto simp: *relcompp-apply image-subset-iff split-beta fun-eq-iff Func-def split: if-splits*)

apply (*smt G-bij bij-betw-def f-the-inv-into-f image-eqI the-inv-into-onto*)

apply (rule *bexI*[*of - restr (P s) (g4 o restr (P s) (restr (P s) (the-inv-into (P s) g3) o g2))*], *clarsimp*)

apply (*metis G-bij bij-betw-def image-eqI the-inv-into-onto*)

apply (*intro G-comp G-inv; assumption*)

done

done

done

As before relator *rel-Q* is defined internally in terms of *map-Q* and *set-Q*:
 $rel-Q\ R\ a\ b = (\exists z. z \in \{x. set-Q\ x \subseteq \{(x, y). R\ x\ y\}\} \wedge map-Q\ fst\ z = a$

$\wedge \text{map-}Q \text{ snd } z = b).$

Moreover, the above **bnf** command proves a wealth of useful BNF properties, including the parametricity of most involved entities:

$$\begin{aligned}
& ((Rb ==> Sd) ==> \text{rel-}Q Rb ==> \text{rel-}Q Sd) \text{ map-}Q \text{ map-}Q \\
& \quad (\text{rel-}Q R ==> \text{rel-set } R) \text{ set-}Q \text{ set-}Q \\
& ((Sa ==> Sc ==> (=)) ==> \text{rel-}Q Sa ==> \text{rel-}Q Sc ==> (=)) \text{ rel-}Q \text{ rel-}Q
\end{aligned}$$