


# SERIES 65 EXAM

## Practice Question Workbook

### PRACTICE EXAMS

Includes six full-length 130-question practice exams to prepare for the Uniform Investment Adviser Law Examination

 Economic Factors  
Investment Vehicle

 Investment Strategies  
Laws and Regulations

The *Series 65 Exam Practice Question Workbook* provides over 700 comprehensive practice questions to prepare for the demanding three-hour Uniform Investment Adviser Law Examination (Series 65). Master exam topics with intensive practice in the areas you'll find on the test. All questions are test-level difficulty and focused solely on helping you pass. Whether you're challenging the exam for the first time or trying again after an unsuccessful attempt, you will learn the critical skills needed to master the exam.

### THIS INNOVATIVE WORKBOOK INCLUDES:

- Six full-length 130-question practice exams covering the following topics in detail:
  - Economic Factors & Business Information
  - Investment Vehicle Characteristics
  - Client Investment Recommendations & Strategies
  - Laws, Regulations, & Guidelines
- Formulas and keystrokes to help you face the complex calculations with confidence
- Detailed solutions to all practice questions to help you pinpoint your strengths and weaknesses and identify areas in need of further study

### ABOUT THE SERIES 65 EXAM

The Series 65 Exam is a North American Securities Administrators Association (NASAA) exam administered by FINRA. The exam consists of 130 scored questions and covers a wide variety of topics, including federal and state securities regulations, ethical guidelines, types of security products, methods for evaluating securities, securities trading strategies, and the principals of economics. By passing the Series 65 Exam, you will join a vast network of investment professionals and will be eligible to register as an Investment Adviser Representative in certain states.

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