### Lecture 5: Language Models and Recurrent Neural Network

Presenter: Chu Đình Đức

### Lecture Plan

- 1. Language Models
- 2. Recurrent Neural Networks (RNN)

### 1. Language Models

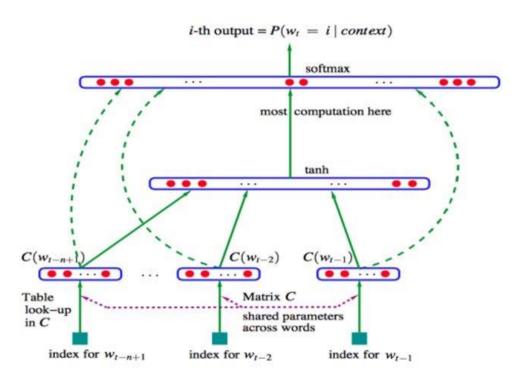
• Language models compute the probability of occurrence of a number of words in a particular sequence

$$P(w_1,...,w_m) = \prod_{i=1}^{i=m} P(w_i|w_1,...,w_{i-1}) \approx \prod_{i=1}^{i=m} P(w_i|w_{i-n},...,w_{i-1})$$

How to compute this probability?

### 1. Language Models

• The first deep neural network architecture model for NLP presented by Bengio et al.



$$\hat{y} = softmax(W^{(2)}tanh(W^{(1)}x + b^{(1)}) + W^{(3)}x + b^{(3)})$$

### 2. RNN

• Unlike the conventional translation models, RNN are capable of conditioning the model on all previous words in the corpus

$$h_t = \sigma(W^{(hh)}h_{t-1} + W^{(hx)}x_{[t]})$$

$$\hat{y}_t = softmax(W^{(S)}h_t)$$

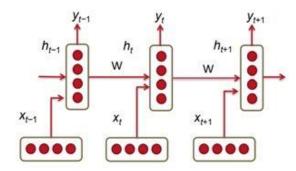


Figure 2: A Recurrent Neural Network (RNN). Three time-steps are shown.

### 2. RNN

• The loss function used in RNN is often the cross entropy error:

$$J^{(t)}(\theta) = -\sum_{j=1}^{|V|} y_{t,j} \times log(\hat{y}_{t,j})$$

• The cross entropy error over a corpus of size T is:

$$J = -\frac{1}{T} \sum_{t=1}^{T} J^{(t)}(\theta) = -\frac{1}{T} \sum_{t=1}^{T} \sum_{j=1}^{|V|} y_{t,j} \times log(\hat{y}_{t,j})$$

# Lecture 6: Simple and LSTM Recurrent Neural Networks

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### Lecture Plan

- 1. Vanishing Gradient & Gradient Explosion Problems
- 2. Deep Bidirectional RNNs
- 3. Application: RNN Translation Model
- 4. Long Short Term Memories (LSTM)
- 5. Gated Recurrent Units (GRU)

## 1. Vanishing Gradient & Gradient Explosion Problems

- Vanishing Gradient: gradients get smaller when going down to the lower layers
- Exploding Gradient: in the other case, gradients get bigger in BP progress

# Vanishing Gradient Gradient Explosion Problems

#### Solution:

• Exploding Gradient:

$$\hat{g} \leftarrow \frac{\partial E}{\partial W}$$
if  $\|\hat{g}\| \ge threshold$  then
$$\hat{g} \leftarrow \frac{threshold}{\|\hat{g}\|} \hat{g}$$
end if

- Vanishing Gradient:
  - Instead of initializing Whh randomly, start off from an identify matrix initialization
  - Use ReLU instead of the sigmoid function: gradient would flow through the neurons whose derivative is 1 without getting attenuated

### 2. BiRNN

• It is possible to make predictions based on future words by having RNN model read through the corpus backwards

$$\overrightarrow{h}_{t} = f(\overrightarrow{W}x_{t} + \overrightarrow{V}\overrightarrow{h}_{t-1} + \overrightarrow{b})$$

$$\overleftarrow{h}_t = f(\overleftarrow{W}x_t + \overleftarrow{V}\overleftarrow{h}_{t+1} + \overleftarrow{b})$$

$$\hat{y}_t = g(Uh_t + c) = g(U[\overrightarrow{h}_t; \overleftarrow{h}_t] + c)$$

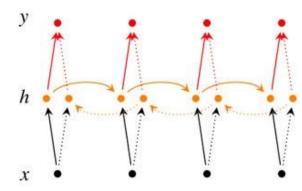


Figure 6: A bi-directional RNN model

### 2. Multi-layer BiRNN

$$\overrightarrow{h}_t^{(i)} = f(\overrightarrow{W}^{(i)} h_t^{(i-1)} + \overrightarrow{V}^{(i)} \overrightarrow{h}_{t-1}^{(i)} + \overrightarrow{b}^{(i)})$$

$$\overleftarrow{h}_t^{(i)} = f(\overleftarrow{W}^{(i)}h_t^{(i-1)} + \overleftarrow{V}^{(i)}\overleftarrow{h}_{t+1}^{(i)} + \overleftarrow{b}^{(i)})$$

$$\hat{y}_t = g(Uh_t + c) = g(U[\overrightarrow{h}_t^{(L)}; \overleftarrow{h}_t^{(L)}] + c)$$

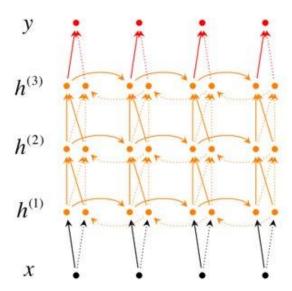


Figure 7: A deep bi-directional RNN with three RNN layers.

### 3. Application: RNN Translation Model

- The first three hidden layer time-steps encode the German language words into some language word features (h3)
- The last two time-steps decode h3 into English word outputs

$$h_t = \phi(h_{t-1}, x_t) = f(W^{(hh)}h_{t-1} + W^{(hx)}x_t)$$
  
 $h_t = \phi(h_{t-1}) = f(W^{(hh)}h_{t-1})$   
 $y_t = softmax(W^{(S)}h_t)$ 

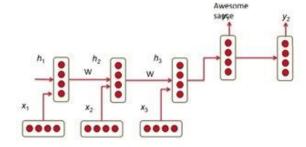
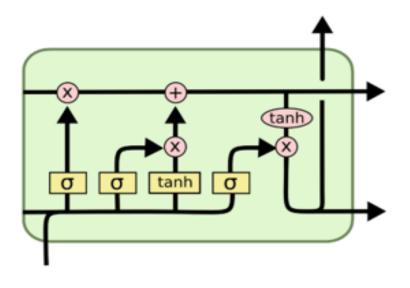


Figure 8: A RNN-based translation model. The first three RNN hidden layers belong to the source language model encoder, and the last two belong to the destination language model decoder.

### 4. LSTM

• How to capture long-term dependencies?

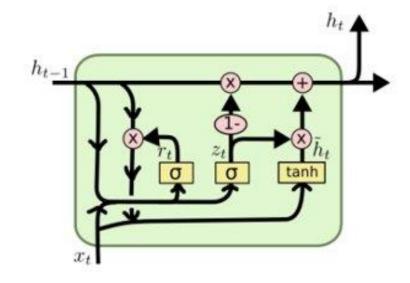
$$i_t = \sigma(W^{(i)}x_t + U^{(i)}h_{t-1})$$
 (Input gate)  
 $f_t = \sigma(W^{(f)}x_t + U^{(f)}h_{t-1})$  (Forget gate)  
 $o_t = \sigma(W^{(o)}x_t + U^{(o)}h_{t-1})$  (Output/Exposure gate)  
 $\tilde{c}_t = \tanh(W^{(c)}x_t + U^{(c)}h_{t-1})$  (New memory cell)  
 $c_t = f_t \circ c_{t-1} + i_t \circ \tilde{c}_t$  (Final memory cell)  
 $h_t = o_t \circ \tanh(c_t)$ 



### 5. GRU

• Capture long-term dependencies simply

$$i_t = \sigma(W^{(i)}x_t + U^{(i)}h_{t-1})$$
 (Input gate)  
 $f_t = \sigma(W^{(f)}x_t + U^{(f)}h_{t-1})$  (Forget gate)  
 $v_t = \sigma(W^{(o)}x_t + U^{(o)}h_{t-1})$  (Output/Exposure gate)  
 $\tilde{c}_t = \tanh(W^{(c)}x_t + U^{(c)}h_{t-1})$  (New memory cell)  
 $c_t = f_t \circ c_{t-1} + i_t \circ \tilde{c}_t$  (Final memory cell)  
 $h_t = o_t \circ \tanh(c_t)$ 



#### THANK YOU!

Any question