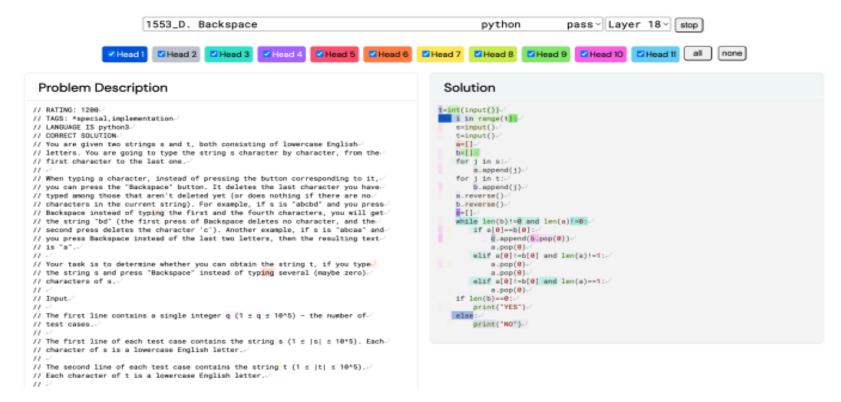
# Lecture 10: More about Transformers and Pretraining

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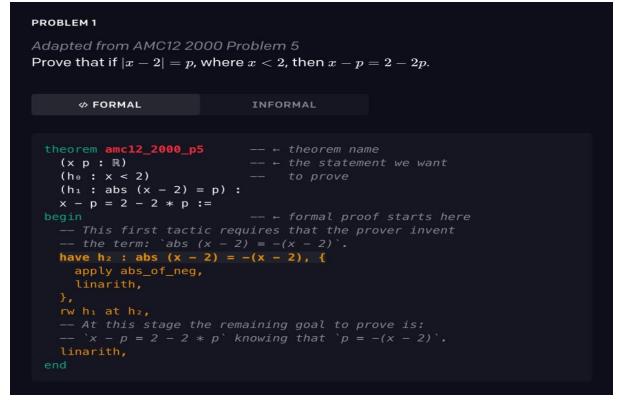
#### Breaking (Transformer) news

• AlphaCode (a pre-trained Transformer-based code generation model) achieved a top 54.3% rating on Codeforces programming competitions



#### More Breaking (Transformer) news

• Pre-trained Transformer-based theorem prover sets new state-of-the-art (41.2% vs. 29.3%) on a collection of challenging math Olympiad questions (miniF2F)

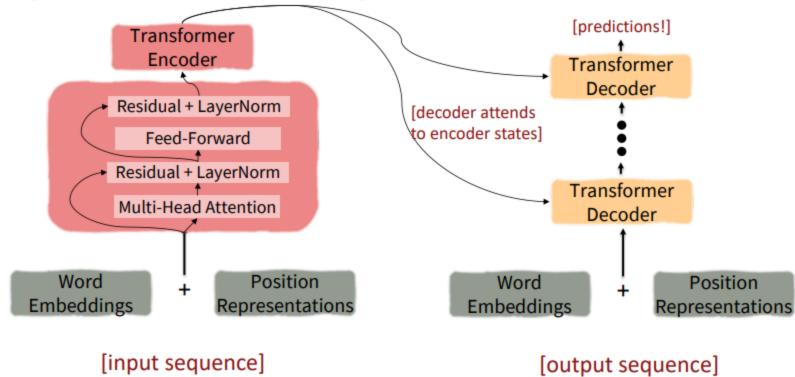


#### Lecture plan

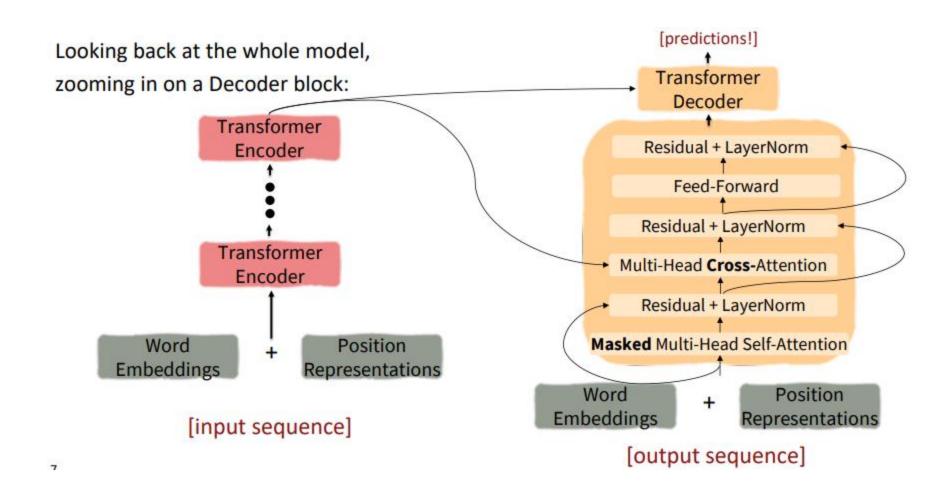
- 1. Quick review of Transformer model
- 2. Brief note on subword modeling
- 3. Motivating model pretraining from word embeddings
- 4. Model pretraining three ways
  - 1. Decoders
  - 2. Encoders
  - 3. Encoder-Decoders

#### 1. Quick review of Transformer model

Looking back at the whole model, zooming in on an Encoder block:



#### 1. Quick review of Transformer model



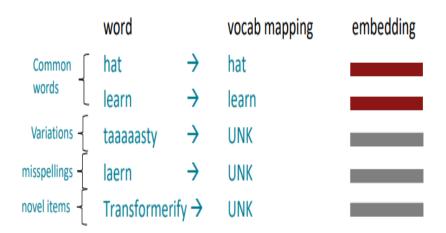
- We assume a fixed vocab of tens of thousands of words, built from the training set. All novel words seen at test time are mapped to a single UNK
- However, finite vocabulary assumptions make even less sense in many languages
- Example
  - low, lower, lowest, strong, stronger, strongest low, strong, er, est
  - Our goal is to save sequences of characters which appear with high frequency, let see BPE algorithm!

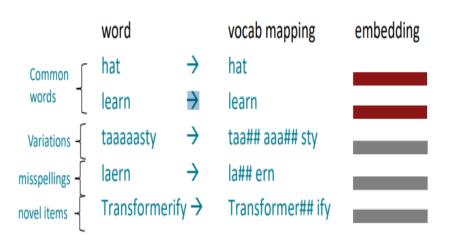
- Subword modeling in NLP encompasses a wide range of methods for reasoning about structure below the word level (parts of words, characters, bytes)
  - The dominant modern paradigm is to learn a vocabulary of parts of words (subword tokens)
  - At training and testing time, each word is split into a sequence of known subwords
- BPE (Byte-Pair Encoding)
  - Start with a vocabulary containing only characters and an "end-of-word" symbol
  - Using a corpus of text, find the most common pair of adjacent characters "a,b"; add subword "ab" to the vocab
  - Replace instances of the character pair with the new subword, repeat until desired vocab size

#### • BPE example:

- ("hug", 10), ("pug", 5), ("pun", 12), ("bun", 4), ("hugs", 5)
- vocab: h u g p n b s
- ("h" "u" "g", 10), ("p" "u" "g", 5), ("p" "u" "n", 12), ("b" "u" "n", 4), ("h" "u" "g" "s", 5)
- "u" followed by "g" occurs 10+5+5=20 times, "ug" is add to the vocabulary
- vocab: h u g p n b s ug
- ("h" "ug", 10), ("p" "ug", 5), ("p" "u" "n", 12), ("b" "u" "n", 4), ("h" "ug" "s", 5)
- "u" followed by "n" occurs 12+4=16 times, "un" is add to the vocabulary
- vocab: vocab: h u g p n b s ug un
- "h" followed by "ug" occurs 10+5=15 times, "hug" is add to the vocabulary
- vocab: vocab: h u g p n b s ug un hug
- ("hug", 10), ("p" "ug", 5), ("p" "un", 12), ("b" "un", 4), ("hug" "s", 5)
- repeat until desired vocab size

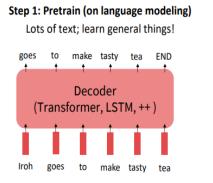
• Result

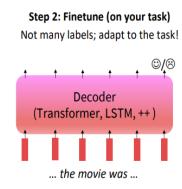




## 3. Motivating model pretraining from word embeddings

- Recall the language modeling task:
  - Model  $P(w_t | w_{1:t-1})$ , the probability distribution over words given their past contexts
  - There's lots of data for this (In English)
- Pretraining through language modeling
  - Train a neural network to perform language modeling on a large amount of text
  - Save the network parameters
- Pretraining can improve NLP applications by serving as parameter initialization





### 4.1 Pretraining decoders

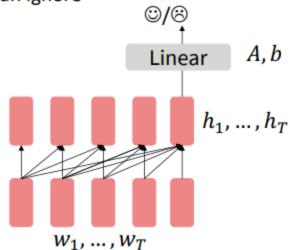
When using language model pretrained decoders, we can ignore that they were trained to model  $p(w_t|w_{1:t-1})$ .

We can finetune them by training a classifier on the last word's hidden state.

$$h_1, ..., h_T = Decoder(w_1, ..., w_T)$$
  
 $y \sim Ah_T + b$ 

Where *A* and *b* are randomly initialized and specified by the downstream task.

Gradients backpropagate through the whole network.



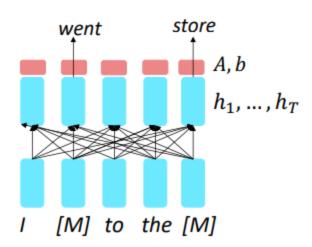
[Note how the linear layer hasn't been pretrained and must be learned from scratch.]

### 4.2 Pretraining encoders

So far, we've looked at language model pretraining. But **encoders get bidirectional context,** so we can't do language modeling!

**Idea:** replace some fraction of words in the input with a special [MASK] token; predict these words.

Only add loss terms from words that are "masked out." If  $\tilde{x}$  is the masked version of x, we're learning  $p_{\theta}(x|\tilde{x})$ . Called **Masked LM**.

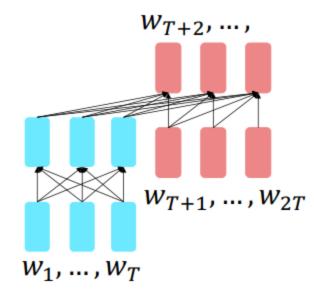


#### 4.3 Pretraining encoder-decoders

For **encoder-decoders**, we could do something like **language modeling**, but where a prefix of every input is provided to the encoder and is not predicted.

$$\begin{aligned} h_1, \dots, h_T &= \operatorname{Encoder}(w_1, \dots, w_T) \\ h_{T+1}, \dots, h_2 &= \operatorname{Decoder}(w_1, \dots, w_T, h_1, \dots, h_T) \\ y_i &\sim Aw_i + b, i > T \end{aligned}$$

The **encoder** portion benefits from bidirectional context; the **decoder** portion is used to train the whole model through language modeling.



THANK YOU

Any question?