Grounding vision through experimental manipulation

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Experimentation is crucial to human progress at all scales, from society as a whole to a young infant in its cradle. It allows us to elicit learning episodes suited to our own needs and limitations. This paper develops active strategies for a robot to acquire visual experience through simple experimental manipulation. The experiments are oriented towards determining what parts of the environment are physically coherent – that is, which parts will move together, and which are more or less independent. We argue that following causal chains of events out from the robot's body into the environment allows for a very natural developmental progression of visual competence, and relate this idea to results in neuroscience.

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1. The elusive object

Sensory information is intrinsically ambiguous, and very distant from the world of well-defined objects in which humans believe they live. What criterion should be applied to distinguish one object from another? How can perception support such a phenomenon as figure-ground segmentation? Consider the example in Figure 1. It is immediately clear that the drawing on the left is a cross, perhaps because

0	0	1	0	0
0	0	1	0	0
1	1	1	1	1
0	0	1	0	0
0	0	1	0	0

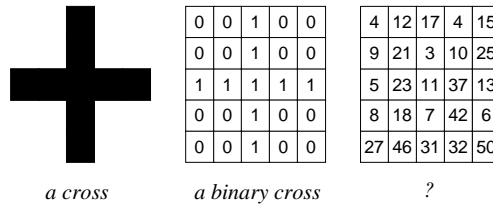| 4 | 12 | 17 | 4 | 15 |
9	21	3	10	25
5	23	11	37	13
8	18	7	42	6
27	46	31	32	50

a cross   *a binary cross*   ?

Figure 1. Three examples of crosses, following Manzotti and Tagliasco (2001). The human ability to segment objects is not general-purpose, and improves with experience.

we already have a criterion, which allows segmenting on the basis of the intensity difference. It is slightly less clear that the zeros and ones on the middle panel are still a cross. What can we say about the array on the right? If we are not told, and we do not have the criterion to perform the figure-ground segmentation, we might think this is just a random collection of numbers. But if we are told that the criterion is "prime numbers vs. non-prime" then a cross can still be identified.

While we have to be inventive to come up with a segmentation problem that tests a human, we don't have to go far at all to find something that baffles our robots. Figure 2 shows a robot's-eye view of a cube sitting on a table. At first glance this seems simple enough, but many rules of thumb used in machine vision for automatic object segmentation fail in this particular case. And even an experienced human observer, diagnosing the cube as a separate object based perhaps on its shadow and subtle differences in the surface texture of the cube and table, could in fact be mistaken – perhaps some malicious researcher is up to mischief. The only way to find out for sure is to take action, and start poking and prodding. As early as 1734, Berkeley observed that:

> ...objects can only be known by touch. Vision is subject to illusions, which arise from the distance-size problem... Berkeley (1972)

In this paper, we provide support for a more nuanced proposition: that while it is true that vision is full of illusions, these illusions evaporate when the robot can reach out and come into contact with objects – even if it has no sense of touch! While certainly touch is an important sense, we show that simply involving objects in a causal chain events initiated by the robot itself is enough to wipe away much of the ambiguity that will plague a passive observer.
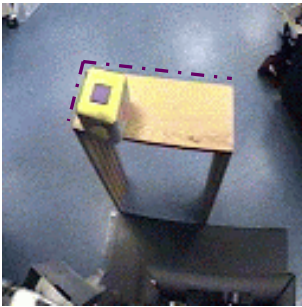

Figure 2. A cube on a table. The edges of the table and cube happen to be aligned (dashed line), the colors of the cube and table are not well separated, and the cube has a potentially confusing surface pattern.

2. Grounding vision in action

Much of computer vision is passive in nature, with the emphasis on watching the world but not participating in it. There are advantages to moving beyond this to exploit dynamic regularities of the environment Ballard (1991). A robot has the potential to examine its world using causality, by performing probing actions and learning from the response. Tracing chains of causality from motor action to perception (and back again) is important both to understand how the brain deals with sensorimotor coordination and to implement those same functions in an artificial system, such as a humanoid robot. And, as a practical matter, the ability to perform "controlled experiments", such as tapping an object lightly, is crucial to getting to grips with an otherwise complex and uncertain world.

Figure 3 illustrates three levels of causal complexity we would like the robot to probe. The simplest causal chain that the robot experiences is the perception of its own actions. The temporal aspect is immediate: visual information is tightly synchronized to motor commands. We use this strong correlation to identify parts of the robot body – specifically, the end-point of the arm.

Once this causal connection is established, we can go further and use it to actively explore the boundaries of objects. In this case, there is one more step in the causal chain, and the temporal nature of the response may be delayed since initiating a reaching movement doesn't immediately elicit consequences in the environment.

In this paper, we propose that such causal probing can be arranged in a developmental sequence leading to a manipulation-driven representation of objects. We present results for three important steps along such a sequence, and describe how we plan to proceed. We argue that following this causal chain outwards will allow us to approach the representational power of "mirror neurons" Fadiga et al. (2000), where a connection is made between our own actions and the actions of another.
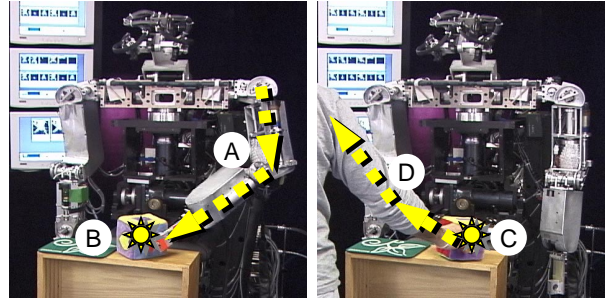

Figure 3. On the left, the robot establishes a causal connection between commanded motion and its own manipulator (A), and then probes its manipulator's effect on an object (B). The object then serves as a literal "point of contact" (C) to link robot manipulation with human manipulation (on the right, D), as is required for a mirror-neuron-like representation.

3. Objects and actions in the human brain

The example of the cross composed of prime numbers is a novel (albeit unlikely) type of segmentation in our experience as adult humans. We might imagine that in our infancy, we had to initially form a set of criteria to solve the object identification/segmentation problem in more mundane circumstances. We ask the question of whether we can discover these criteria during ontogenesis.

Humans and a small number of other primates are unique in their ability to manipulate their environment using tools. Our capacities are mirrored in the brain by the size of the cortex controlling them. Neuroscience has shown that our brains possess large cortical areas devoted to the control of manipulation – not surprising, given that encephalization is believed to have evolved for the purpose of adaptively controlling action Maturana and Varela (1998).

A useful conceptual schema holds that visual information follows two distinct pathways in the brain, namely, the dorsal and the ventral Ungerleider and Mishkin

(1982); Milner and Goodale (1995). The dorsal pathway controls action directly and pragmatically; conversely, the ventral takes care of more conceptual skills such as object recognition. Of course it is important to remember, when making this dichotomy, that the two pathways are not completely segregated but rather complement each other and interact in different ways Jeannerod (1997).

Objects are thought to maintain a double "identity" depending on whether they are used in perceptual or in motor tasks. The concept of size, for example, might be represented multiple times in different brain areas. Observation of agnosic patients Jeannerod (1997) shows an even more complicated relationship than the simple dorsal/ventral dichotomy would suggest. Althought some patients could not grasp generic objects (e.g. cylinders), they could correctly preshape the hand to grasp known objects (e.g. a lipstick); interpreted in terms of the two-pathway system, this implies that the ventral representation of the object can supply the dorsal system with size information. What we consciously perceive as "size" is rather a collection of different percepts interacting in a complicated way, and under pathological circumstances they can be separated from each other. One of the "identities" of objects is thus connected to motor performance.

That such pathways develop and are not completely innate is suggested by the results of Kovacs (2000). She has shown that perceptual grouping is slow to develop and continues to improve well beyond early childhood (14 years). Long-range contour integration was tested and this work elucidated how this ability develops to enable extended spatial grouping. These results further suggest that the development of action might precede that of categorization: it is well enstablished that by 4 months of age infants can process complex motion stimuli, depth, and color. Roughly at the same age reaching becomes more consistent. That is, action comes first supported by the pragmatic use of diverse sensory modalities; perception conversely is a long developing process. More studies are needed though to ascertain how the dorsal pathway (action) influences the ventral (perception) both in situations like those already mentioned, and during ontogenesis.

The dorsal stream connects the parietal lobe to the premotor cortex, which project heavily onto the primary motor cortex to eventually control movements. For many years the premotor cortex was considered just another big motor area. New studies Jeannerod (1997) have demonstrated that this is not the case. In fact, researchers have identified neurons in the area F5 of the frontal cortex Fadiga et al. (2000) that are activated in two situations: *i)* when acting onto an object (e.g. grasping), and *ii)* when looking at the same object (visual response). Their firing pattern was quite specific, building a link between the size of the object and the applied grasp type (e.g. a small object requires a precision grip).

These neurons were called canonical. This was quite an astonishing discovery because area F5 was believed to be only a motor area. A possible interpretation is that the brain stores a representation of objects in motor terms, and uses these representations to generate an appropriate response to objects (the concept of Gibsonian affordances translated in neural terms Gibson (1977)).

The gap from object manipulation to hand gesture production/recognition is small. In fact F5 contains another class of neurons called mirror neurons. A mirror neuron responds in two situations: *i)* when executing a manipulative gesture, and *ii)* when observing somebody else executing the same action. These neurons provide a link between the observation of somebody else's and our own actions. Beside the

recognition of manipulative actions, they seem to support imitative behaviors. An intriguing theory proposed by Rizzolatti and Arbib Rizzolatti and Arbib (1998) associates mirror neurons to language.

Another important class of neurons in premotor cortex is found in area F4 Fogassi et al. (1996). While F5 is more concerned with the distal muscles (i.e. the hand), F4 controls more proximal muscles (i.e. reaching). A subset of neurons in F4 has a somatosensory, visual and motor receptive field. The visual receptive field (RF) extends in 3D from a given body part, for example, the forearm. The somatosensory RF is usually in register with the visual one. Finally, motor information is integrated into the representation by maintaining the RF anchored to the correspondent body part (the forearm in this example) irrespective of the relative position of the head and arm.

4. Objects and actions in robotic systems

Certainly, vision and action are intertwined at a very basic level in humans. While an experienced adult can interpret visual scenes perfectly well without acting upon them, linking action and perception seems crucial to the developmental process that leads to that competence. While not focusing on development, many researchers in machine vision have adopted the view that vision and action need to be tightly integrated for functional reasons. Their work is loosely termed "active vision".

A vision system is said to be *active* if it is embedded within a physical platform that can act to improve perceptual performance. For example, a robot's cameras might servo a rapidly moving target to stabilize the image and keep the target in view. In fact, active vision is often equated with moving cameras, although in this paper we use it in a broader sense of any controllable resource recruited to serve vision (including, in our case, arm motion).

Historically, a number of logically distinct ideas are often associated with active vision. The first is that vision should be approached within the the context of an overall task or purpose Aloimonos et al. (1987). If an observer can engage in controlled motion, it can integrate data from frame to frame to solve problems that are ill-posed statically. Well-chosen motion can simplify the computation required for widely studied vision problems, such as stereo matching Bajcsy (1988); Ballard (1991). These interwoven ideas about active vision are teased apart in Tarr and Black (1994).

5. Our experimental platform

This work is implemented on the robot Cog, an upper torso humanoid Brooks et al. (1999). Cog has two arms, each of which has six degrees of freedom. The joints are driven by series elastic actuators Williamson (1999). The arm is not designed to enact trajectories with high fidelity. For that a very stiff arm is preferable. Rather, it is designed to perform well when interacting with a poorly characterized environment, where collisions are frequent and informative events. Cog runs an attentional system consisting of a set of pre-attentive filters sensitive to motion, color, and binocular disparity. The different filters generate information on the likelihood that something interesting is happening in a certain region of the image. A voting mechanism is used to "decide" what to attend and track next. The pre-attentive filters
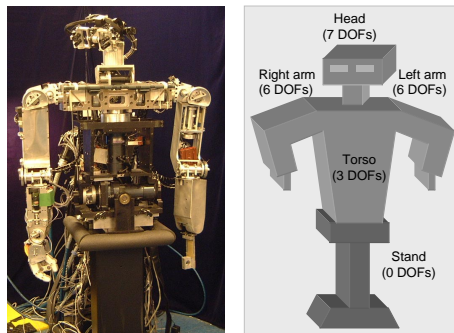
Figure 4. Degrees of freedom (DOFs) of the robot Cog. The arms terminate either in a primitive "flipper" or a four-fingered hand. The head, torso, and arms together contain 22 degrees of freedom.

are implemented on a space-variant imaging system, which mimics the distribution of photoreceptors in the human retina. The attentional system uses vision and non-visual sensors (e.g. inertial) to generate a range of oculomotor behaviors. Examples are saccades, smooth pursuit, vergence, and the vestibulo-ocular reflex (VOR).

6. Perceiving the body in action

Motion of the arm may generate optic flow directly through the changing projection of the arm itself, or indirectly through an object that the arm is in contact with. While the relationship between the optic flow and the physical motion is likely to be complex, the correlation in time of the two events should be exceedingly precise. This time-correlation can be used as a "signature" to identify parts of the scene that are being influenced by the robot's motion, even in the presence of other distracting motion sources. In this section, we show how this tight correlation can be used to localize the arm in the image without any prior information about visual appearance.

Reaching out

The first step towards manipulation is to reach objects within the workspace. If we assume targets are chosen visually, then ideally we need to also locate the end-effector visually to generate an error signal for closed-loop control. Some element of open-loop control is necessary since the end-point may not always be in the field of view (for example, when it is in its the resting position), and the overall reaching operation can be made faster with a feed-forward contribution to the control.

The simplest possible open loop control would map directly from a fixation point to the arm motor commands needed to reach that point Metta et al. (1999) using a stereotyped trajectory, perhaps using postural primitives Mussa-Ivaldi and Giszter (1992). If we can fixate the end-effector, then it is possible to learn this map by exploring different combinations of direction of gaze vs. arm position Marjanović et al. (1996); Metta et al. (1999). So locating the end-effector visually is key both to closed-loop control, and to training up a feed-forward path. We shall demonstrate that this localization can be performed without knowledge of the arm's appearance, and without assuming that the arm is the only moving object in the scene.
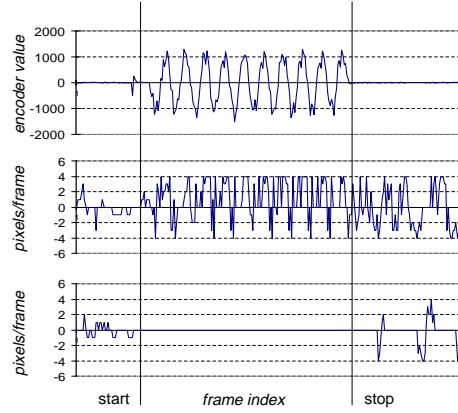
Figure 5. An example of the correlation between optic flow and arm movement. The traces show the movement of the wrist joint (upper plot) and optic flow sampled on the arm (middle plot) and away from it (lower plot). As the arm generates a repetitive movement, the oscillation is clearly visible in the middle plot and absent in the lower. Before and after the movement the head is free to saccade, generating the other spikes seen in the optic flow.

Localizing the arm visually

The robot is not a passive observer of its arm, but rather the initiator of its movement. This can be used to distinguish the arm from parts of the environment that are more weakly affected by the robot. The arm of a robot was detected in Marjanović et al. (1996) by simply waving it and assuming it was the only moving object in the scene. We take a similar approach here, but use a more stringent test of looking for optic flow that is correlated with the motor commands to the arm. This allows unrelated movement to be ignored. Even if a capricious engineer were to replace the robot's arm with one of a very different appearance, and then stand around waving the old arm, this detection method will not be fooled.

The actual relationship between arm movements and the optic flow they generate is complex. Since the robot is in control of the arm, it can choose to move it in a way that bypasses this complexity. In particular, if the arm rapidly reverses direction, the optic flow at that instant will change in sign, giving a tight, clean temporal correlation. Since our optic flow processing is coarse (a 16×16 grid over a 128×128 image at 15 Hz), we simply repeat this reversal a number of times to get a strong correlation signal during training. With each reversal the probability of correlating with unrelated motion in the environment goes down.

Figure 5 shows an example of this procedure in operation, comparing the velocity of the arm's wrist with the optic flow at two positions in the image plane. A trace taken from a position away from the arm shows no correlation, while conversely the flow at a position on the wrist is strongly different from zero over the same period of time. Figure 6 shows examples of detection of the arm and rejection of a distractor.
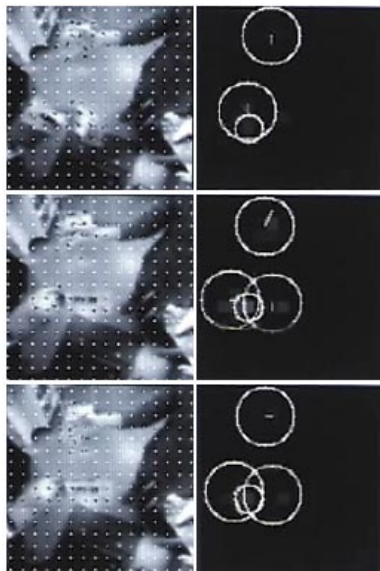
Figure 6. Detecting the arm/gripper through motion correlation. The robot's point of view and the optic flow generated are shown on the left. On the right are the results of correlation. Large circles represent the results of applying a region growing procedure to the optic flow. Here the flow corresponds to the robot's arm and the experimenter's hand in the background. The small circle marks the point of maximum correlation, identifying the regions that correspond to the robot's own arm.
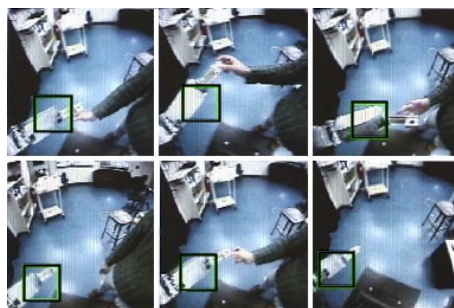

Figure 7. Predicting the location of the arm in the image as the head and arm change position. The rectangle represents the predicted position of the arm using the map learned during a twenty-minute training run. The predicted position just needs to be sufficiently accurate to initialize a visual search for the exact position of the end-effector.

Localizing the arm using proprioception

The localization method for the arm described so far relies on a relatively long "signature" movement that would slow down reaching. This can be overcome by training up a function to estimate the location of the arm in the image plane from proprioceptive information (joint angles) during an exploratory phase, and using that to constrain arm localization during actual operation. Figure 7 shows the resulting behavior after about twenty minutes of real-time learning.

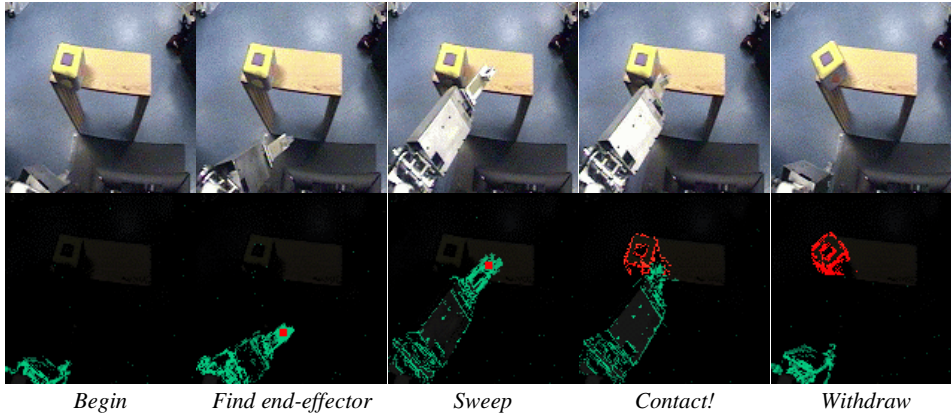| Begin | Find end-effector | Sweep | Contact! | Withdraw |

Figure 8. The upper sequence shows an arm extending into a workspace, tapping an object, and retracting. This is an exploratory mechanism for finding the boundaries of objects, and essentially requires the arm to collide with objects under normal operation, rather than as an occasional accident. The lower sequence shows the shape identified from the tap using simple image differencing and flipper tracking.

7. Perceiving actions on objects

When the arm enters into contact with an object, one of several outcomes are possible. If the object is large, heavy, or otherwise unyielding, motion of the arm may simply be resisted without any visible effect. Such objects are of little interest, except in their role as obstacles, since the robot will not be able to manipulate them. But if the object is smaller, it is likely to move a little in response to the nudge of the arm. This movement will be temporally correlated with the time of impact, and will be connected spatially to the end-effector – constraints that are not available in passive scenarios Birchfield (1999). If the object is reasonably rigid, and the movement has some component in parallel to the image plane, the result is likely to be a flow field whose extent reflects the physical boundaries of the object. This visible response to the robots action can be used to refine its model of the object's extent, which may be inaccurate. For the example scene in Figure 2 (a cube sitting on a table), the small inner square on the cube's surface pattern might be selected as a target. The robot can certainly reach towards this target, but grasping it would prove difficult without a correct estimate of the object's physical extent. In this section we show how the robot can experimentally determine an object's extent using the same idea of correlated motion used earlier to detect its own arm.

Figure 8 shows how a "poking" movement can be used to refine a target. During a poke operation, the arm begins by extending outwards from the resting position. The end-effector (or "flipper") is localized as the arm sweeps rapidly outwards, using the heuristic that it lies at the highest point of the region of optic flow swept out by the arm in the image (the head orientation and reaching trajectory are controlled so that this is true). The arm is driven outward into the neighborhood of the target which we wish to define, stopping if an unexpected obstruction is reached. If no obstruction is met, the flipper makes a gentle sweep of the area around the target. This minimizes the opportunity for the motion of the arm itself to cause confusion; the motion of the flipper is bounded around the endpoint whose location we know
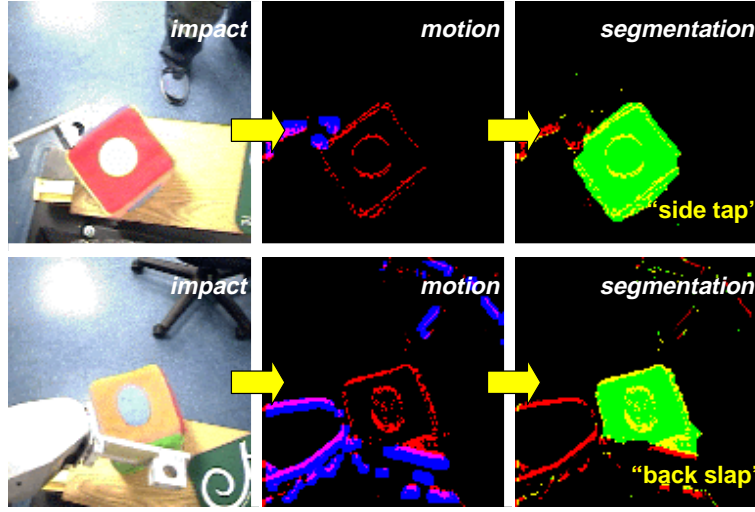
Figure 9. Cog batting a cube around. The top row shows the flipper poking an object from the side, turning it slightly. The second row shows Cog batting an object away. The images in the first column are frames prior to a collision. The second column shows the actual impact. The third column shows the motion signal at the point of contact. The bright regions in the images in the final column show the segmentations produced for the object.

from tracking during the extension phase, and can be subtracted easily. Flow not connected to the end-effector can be ignored as a distractor. Figure 9 shows more detailed results, including examples of the actual segmented region assigned to the object. In the absence of strong texture there may be little motion signature in the interior of the object, so we recruit a maximum-flow algorithm due to Boykov and Kolmogorov (2001) to fill in such regions efficiently.

The poking operation gives clear results for a rigid object that is free to move. What happens for non-rigid objects and objects that are attached to other objects? Here the results of poking are likely to be more complicated to interpret – but in a sense this is a good sign, since it is in just such cases that the idea of an object becomes less well-defined. Poking has the potential to offer an operational theory of "objecthood" that is more tractable than a vision-only approach might give, and which cleaves better to the true nature of physical assemblages. The idea of a physical object is rarely completely coherent, since it depends on where you draw its boundary and that may well be task-dependent. Poking allows us to determine the boundary around a mass that moves together when disturbed, which is exactly what we need to know for manipulation. As an operational definition of object, this has the attractive property of breaking down into ambiguity in the right circumstances – such as for large interconnected messes, floppy formless ones, liquids, and so on.

Detecting the point of collision

Two components – detecting the moment of impact, and extracting as much data as possible from the frames around it.

There are particular periods when the robot is attentive and fixating. When this is so, it can detect visually when a collision occurs between a moving object and a previously stationary object in view. The principal task, then, is keep the motion of the moving object distinct from that of the impacted object.

Brief introduction to image differencing and background subtraction. Stationary camera assumption to facilitate pixel modeling. Don't want to keep head stationary, but can fixate for significant periods.

Image differencing is a very simple technique for detecting motion by simply subtracting successive frames from a camera and looking for pixel-level differences. A moving object that has some contrast with the background it is moving over will generate such differences. Of course, pixel differences can also be generated by changes in illumination, cast shadows, computer monitors, movement of the camera itself, etc. A related technique called background modeling tries to estimate the appearance of the fixed, stationary background of a scene, and then subtract the current view from the reference to detect new foreground. While these techniques are not ideally suited to a moving platform like our robot, they are short periods during which they can be useful. In particular, when the robot is fixating a target, we can do this.

Within the context of the robot fixating a target, we try to detect the moment of impact precisely, so we can apply the (relatively) slow segmentation optimization to a narrow interval of the video input and maintain close to real-time performance. A moving manipulator colliding with an object will accelerate it, if it is not too massive. If the object is rigid, the motion of the manipulator will be transmitted through it. This transmission can be detected as a spreading motion that is not plausibly generated by the manipulator itself.

Some assumptions that may fail: object not too heavy; object at least semi-rigid; manipulator not moving above a certain speed; manipulator not casting shadows on the object itself. When the robot is poking the object itself, it can control some of this. If the object iself is troublesome, then we potentially diagnose this, or just ignore it.

Fixate target   *Track visual motion…*   *(…including cast shadows)*   *Detect moment of impact*   *Separate arm, object motion*   *Segment object*
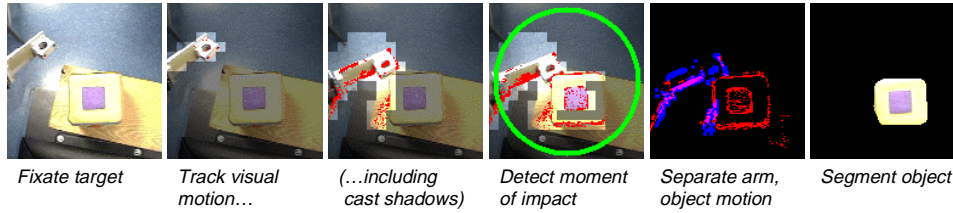
Figure 10. The moment of impact is detected visually by the sudden expansion of motion away from the arm. Motion before and after contact is compared to gather information for segmentation.

8. Perceiving actors on objects

How could a robot find human arms and hands in the environment without any prior knowledge of their appearance? We could imagine segmenting any moving objects
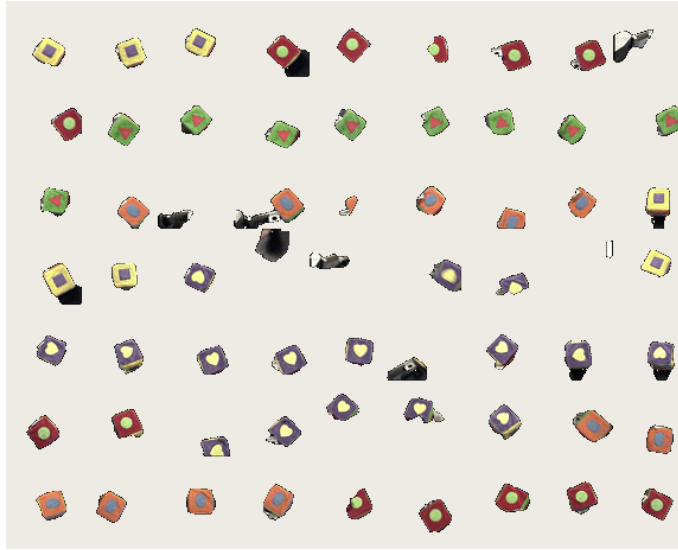
Figure 11. Sample results

in the scene, and relying on the heuristic that hands are often the fastest moving objects around. Another approach is possible in our situation. If the robot can detect when an impact event occurs, it can collect segmentations of the object that caused the impact. The set of objects that habitually trigger the motion of other objects is not a bad operational definition of a manipulator, and should include the human hand/arm, and the robot's own arm. See Figure 12.
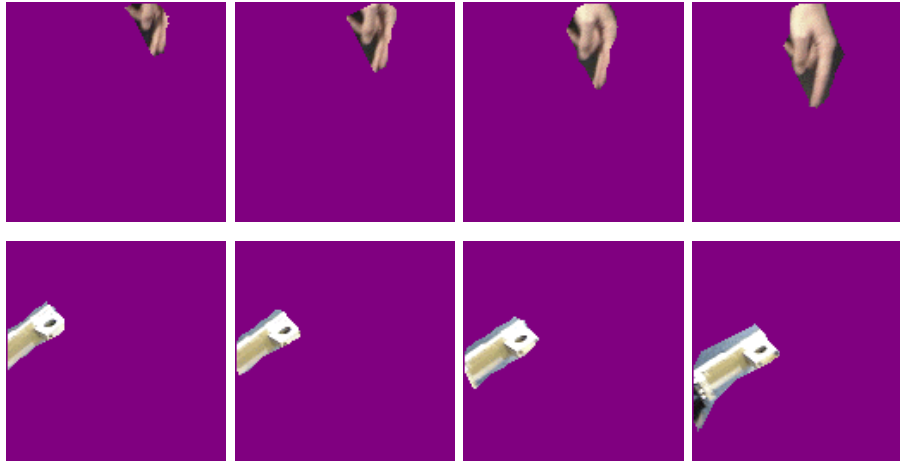

Figure 12. Early experiments on segmenting the robot arm, or a human hand poking an object the robot is familiar with, by working backwards from a collision event.
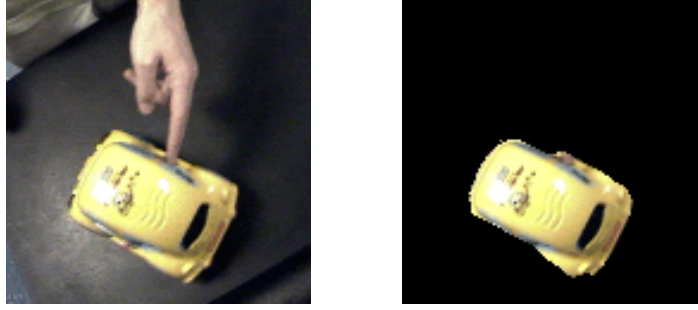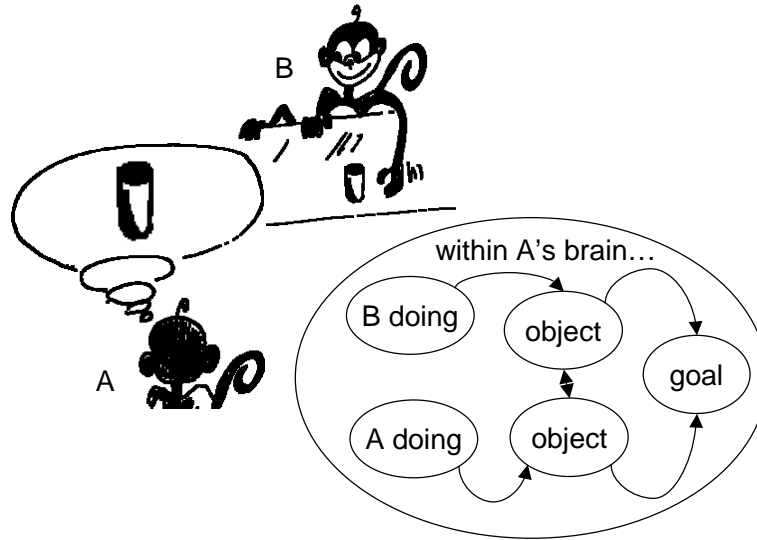
Figure 13. A poke by hand

Figure 14. Mirror neurons and causality: from the observer's point of view (A), understanding B's action means mapping it onto the observer's own motor repertoire. If the causal chain leading to the goal is already in place (lower branch of the graph) then the acquisition of a mirror neuron for this particular action/object is a matter of building and linking the upper part of the chain to the lower one. There are various opportunities to reinforce this link either at the object level, at the goal level or both.

9. Developing mirror neurons

Poking moves us one step outwards on a causal chain away from the robot and into the world, and gives a simple experimental procedure for segmenting objects. There are many possible elaborations of this method (some are mentioned in the conclusions), all of which lead to a vision system that is tuned to acquiring data about an object by seeing it manipulated by the robot. An interesting question then is whether the system could extract useful information from seeing an object manipulated by someone else. In the case of poking, the robot needs to be able to estimate the moment of contact and to track the arm sufficiently well to distinguish it from the object being poked. We are interested in how the robot might learn to do this. One approach is to chain outwards from an object the robot has poked. If

someone else moves the object, we can reverse the logic used in poking – where the motion of the manipulator identified the object – and identify a foreign manipulator through its effect on the object. Poking is an ideal testbed for future work on this, since it is much simpler than full-blown object manipulation and would only require a very simple model of the foreign manipulator to work.

There is considerable precedent in the biological literature for a strong connection between viewing object manipulation performed by either oneself or another Wohlscläger and Bekkering (2002). Also the role of object in the understanding of action performed by others has been investigated Woodward (1998). In a series of experiments Woodward and colleagues elucidated the contribution that seeing an object makes for 5, 6, and 9 month old infants. They provided evidence that the object and the goal-directness of the action represent an important component in the understanding of the intentions of others.

At the neural level, we already mentioned the presence of neurons in F5 that have a very specific response when an object is either fixated or manipulated (canonical neurons). Grossly simplifying, we might think of canonical neurons as an association table of grasp/manipulation (action) types with object (vision) types.

F5 also contains mirror neurons. These neurons, as we described before, respond when either watching somebody else performing a manipulative action or when actually manipulating an object. They can be thought of as a second-level association map which links together the observation of a manipulative action performed by somebody else with the neural representation of one's own action.

The question of whether a mirror-like representation can be autonomously developed by the robot (or a human for that matter) can then be answered. The association map can be constructed by identifying when the goal and the object are the same irrespective of who is the actor. Actions that lead to the same consequences are thus part of the same equivalence class. This is exactly what mirror neurons represent.

Figure 14 shows this causal chain in action. There are a series of interesting behaviors that can be realized based on mirror neurons. Mimicry is an obvious application, since it requires just this type of mapping between other and self in terms of motor actions. Another important application is the prediction of future behavior from current actions, or even inverting the causal relation to find the action that most likely will get to the desired consequence.

10. Discussion and Conclusions

In this paper, we showed how causality can be probed at different levels by the robot. Initially the environment was the body of the robot itself, then later a carefully circumscribed interaction with the outside world. This is reminiscent of Piaget's distinction between primary and secondary circular reactions Ginsburg and Opper (1978). Objects are central to interacting with the ouside world. We raised the issue of how an agent can autonomously acquire a working definition of objects.

The number of papers written on techniques for visual segmentation is vast. Methods for characterizing the shape of an object through tactile information are also being developed, such as shape from probing Paulos (1999) or pushing Moll and Erdmann (2001). But while it has long been known that motor strategies can aid vision Ballard (1991), work on active vision has focused almost exclusively on

moving cameras. There is much to be gained by bringing a manipulator into the equation, as we have shown in this paper.

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Acknowledgements

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Maybe need to add a general chit-chat about grounding and embodiment a la Rodders.