

# CONSTRAINT SATISFACTION PROBLEMS

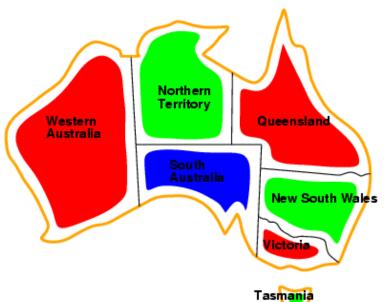
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#### **Outline**

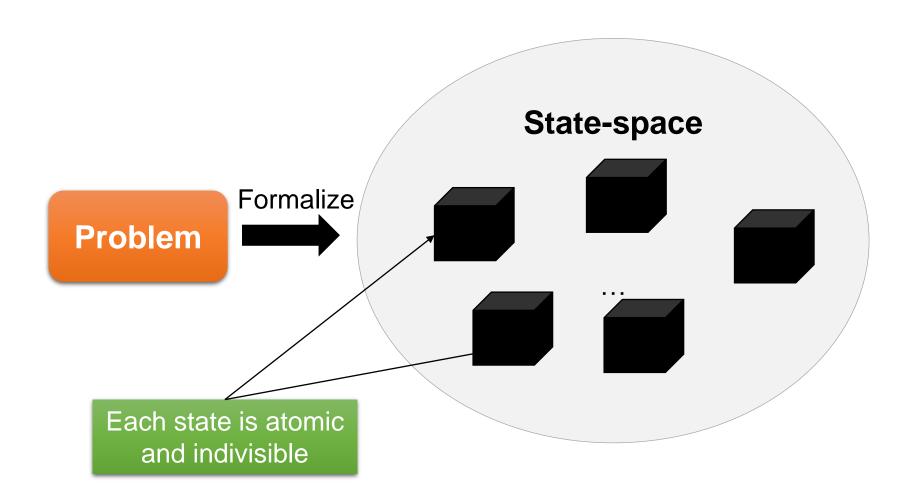
- Constraint satisfaction problems (CSPs)
- Constraint propagation: Inference in CSPs
- Backtracking search for CSPs
- Local search for CSPs
- The structure of problems

# Constraint satisfaction problem

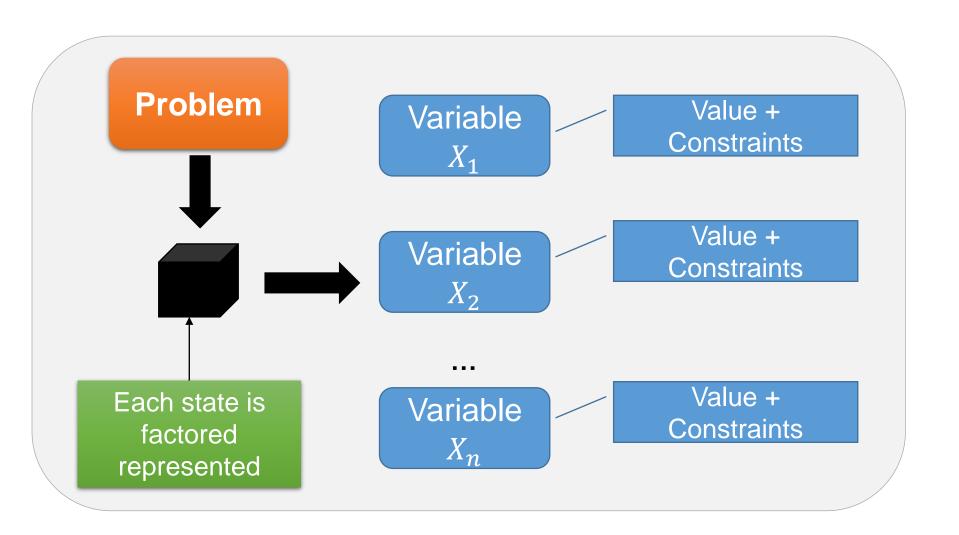
- Defining the Constraint satisfaction problems
- Example problem: Map coloring and Job-shop scheduling
- Variations on the CSP formalism



## State-space search problems



# Constraint satisfaction problems



## Constraint satisfaction problem

- State = a set of variables and each of which has a value
- Solution = each variable has a value that satisfies all the constraints on that variable
- A CSP consists of the following three components

$$X = \{X_1, \dots, X_n\}$$
: a set of variables

 $\mathbf{D} = \{D_1, \dots, D_n\}$ : a set of domains, one for each variable.

•  $D_i = \{v_1, \dots, v_k\}$ : set of allowable values for variable  $X_i$ 

C: a set of constraints that state allowable combinations of values.

#### **Constraints in CSPs**

- Each  $C_i$  consists of a pair  $\langle scope, rel \rangle$ 
  - scope: a tuple of variables that participate in the constraint
  - A relation rel defines the values that participated variables can take
- Assume that both  $X_1$  and  $X_2$  have the domain  $\{A, B\}$
- "Two variables must have different values"
- A relation can explicitly list all tuples satisfying the constraint.
  - E.g.,  $\langle (X_1, X_2), [(A, B), (B, A)] \rangle$
- It can be implicitly an abstract relation that supports two operations
  - Test whether a tuple is a member of the relation
  - Enumerate the members of the relation
  - E.g.,  $\langle (X_1, X_2), X_1 \neq X_2 \rangle$

#### Solutions for CSPs

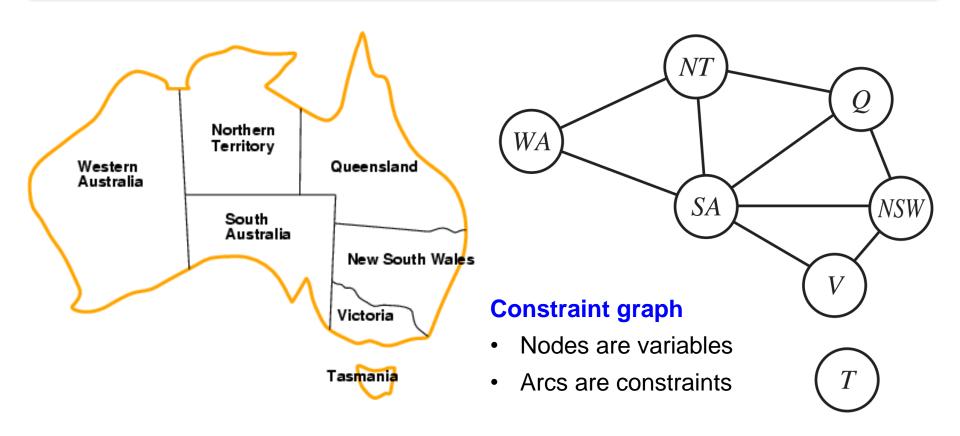
- Each state is defined by an assignment of values to some or all the variables,  $\{X_i = v_i, X_j = v_j, ...\}$ .
- A **solution** to a CSP is a consistent complete assignment.
  - A consistent assignment does not violate any constraints.
  - A complete assignment has every variable assigned, while a partial assignment assigns values to only some variables.







## **Example problem: Map coloring**



 Color each region either red, green, or blue in such a way that no neighboring regions have the same color

## **Example problem: Map coloring**

- Variables:  $X = \{WA, NT, Q, NSW, V, SA, T\}$
- Domains:  $D_i = \{red, green, blue\}$
- Constraints: Adjacent regions must have different colors

$$C = \begin{cases} SA \neq WA, SA \neq NT, SA \neq Q, SA \neq NSW, SA \neq V, \\ WA \neq NT, NT \neq Q, Q \neq NSW, NSW \neq V \end{cases}$$

- where  $SA \neq WA$  is a shortcut of  $\langle (SA, WA), SA \neq WA \rangle$
- SA ≠ WA can be fully enumerated as {(red,green), (red,blue), (green,red), (green,blue), (blue,red), (blue,green)}

Northern Territory

Western

Queensla

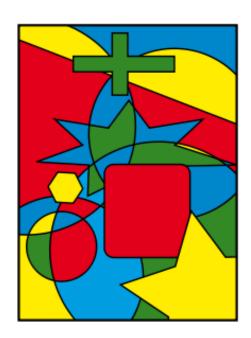
New South Wales

There are many possible solutions

$$\{WA = red, NT = green, Q = red, \\ NSW = green, V = red, SA = blue, T = red\}$$

#### **Aside: The Graph Coloring Problem**

- More general problem than map coloring
- Planar graph = graph in the 2D plane with no edge crossings
- Guthrie's conjecture (1852): Every planar graph can be colored with 4 colors or less.
  - Proved (using a computer) in 1977 (Appel and Haken)



#### Example problem: Job-shop scheduling



#### 15 tasks

- Install axles (front and back)
- Affix all four wheels (right and left, front and back)
- Tighten nuts for each wheel
- Affix hubcaps, and
- Inspect the final assembly
- Some tasks must occur before another, and some tasks can go on at once
  - E.g., a wheel must be installed before the hubcap is put on
- A task takes a certain amount of time to complete.

#### Example problem: Job-shop scheduling

- Variables:  $X = \{Axle_F, Axle_B, Wheel_{RF}, Wheel_{LF}, Wheel_{RB}, Wheel_{LB}, \\ Nuts_{RF}, Nuts_{LF}, Nuts_{RB}, Nuts_{LB}, \\ Cap_{RF}, Cap_{LF}, Cap_{RB}, Cap_{LB}, Inpsect\}$
- Domains: The time that the task starts
- Assume that the tasks,  $T_1$  and  $T_2$ , take duration  $d_1$  and  $d_2$  to complete, respectively
- Precedence constraints: The task  $T_1$  must occur before the task  $T_2$ , i.e.,  $T_1 + d_1 \le T_2$
- Disjunctive constraints: The tasks  $T_1$  and  $T_2$  must not overlap in time, i.e.,  $T_1 + d_1 \le T_2$  or  $T_2 + d_2 \le T_1$

#### Example problem: Job-shop scheduling

- The axles must be in place before the wheels are put on. Installing an axle takes 10 minutes.  $Axle_F + 10 \le Wheel_{RF}$   $Axle_F + 10 \le Wheel_{LF}$ 
  - $Axle_B + 10 \le Wheel_{RB}$   $Axle_B + 10 \le Wheel_{LB}$
- For each wheel, affix the wheel (which takes 1 minute), then tighten the nuts (2 minutes), and finally attach the hubcap (1 minute)

$$\begin{aligned} Wheel_{RF} + 1 &\leq Nut_{RF} \\ Wheel_{LF} + 1 &\leq Nut_{LF} \end{aligned} & Nuts_{RF} + 2 &\leq Cap_{RF} \\ Wheel_{LF} + 1 &\leq Nut_{LF} \end{aligned} & Nuts_{LF} + 2 &\leq Cap_{LF} \\ Wheel_{LB} + 1 &\leq Nut_{LB} \end{aligned} & Nuts_{LF} + 2 &\leq Cap_{LF} \\ Nuts_{LB} + 2 &\leq Cap_{LB} \end{aligned}$$

- Suppose we have four workers to install wheels, but they must share one tool that helps put the axle in place.  $Axle_F + 10 \le Axle_B$  or  $Axle_B + 10 \le Axle_F$
- The inspection comes last and takes 3 minutes  $\rightarrow$  for every variable except Inspect, add a constraint of the form  $X + d_X \leq Inspect$ .
- Finally, suppose there is a requirement to get the whole assembly done in 30 minutes  $\rightarrow$  limit the domain of all variables to  $D_i = \{1, 2, 3, ..., 27\}$ .

#### Why formulate a problem as a CSP?

Many problems intractable in regular state-space search can

be solved quickly with CSP formulation.

E.g., the Australian problem

Search:  $3^5 = 243$  assignments

CSP:  $2^5 = 32$  assignments  $\downarrow 87\%$ 



- Better insights to the problem and its solution
- General-purpose rather than problem-specific heuristics
  - Identify combinations of variable-value that violate the constraints
    - → eliminate large portions of the search space all at once
  - Solutions to complex problems

#### Variations on the CSP formalism

#### Discrete and finite variables

- n variables, domain size  $d \to O(d^n)$  complete assignments
- E.g., map coloring, scheduling with time limits, 8-queens, etc.

#### Discrete, infinite domains

- Sets of integers, strings, etc. E.g., job scheduling without deadlines
- Constraint language: understand constraints without enumeration,
   e.g., StartJob1 + 5 ≤ StartJob3

#### Continuous domains

 Real-world problems often involve continuous domains and even real-valued variables.

#### Real-world CSPs

- Operations research (scheduling, timetabling)
  - Scheduling the time of observations on the Hubble Space Telescope
- Linear programming
  - Constraints must be linear equalities or inequalities → solved in time polynomial in the number of variables.
- Bioinformatics (DNA sequencing)
- Electrical engineering (circuit layout-ing)
- Airline schedules
- Cryptography
- Computer vision: image interpretation

• ...

#### Types of constraints

- Unary constraint: restrict the value of a single variable
  - E.g., the South Australians do not like green  $\rightarrow \langle (SA), SA \neq green \rangle$
- Binary constraint: relate two variables
  - E.g., adjacent regions are of different colors,  $\langle (SA, WA), SA \neq WA \rangle$
- Higher-order constraints: involve three or more variables
  - E.g., Professors A, B, and C cannot be on a committee together
  - Always possible to be represented by multiple binary constraints
- Global constraints: involving an arbitrary number of variables
  - Alldiff = all variables involved must have different values
  - E.g., Sudoku: all variables in a row/column must satisfy an *Alldiff*

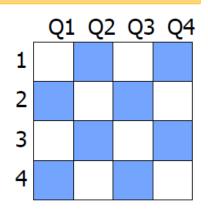
#### **Preference constraints**

- Which solutions are preferred → soft constraints
  - E.g., red is better than  $green \rightarrow$  this can be represented by a cost for each variable assignment
- Constraint optimization problem (COP): a combination of optimization with CSPs → linear programming

# **Examples of toy problems in CSP**

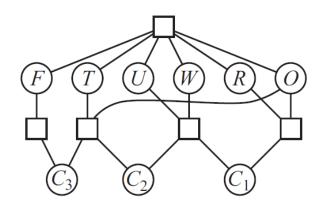
#### 4-Queens Problem

- Variables: Q1, Q2, Q3, Q4
- Domains:  $D = \{1,2,3,4\}$
- Constraints
  - $Qi \neq Qj$  (cannot be in the same row)
  - $Qi Qj \neq i j$  (cannot be in the same diagonal)



#### The Cryptarithmetic

$$\begin{array}{c|cccc}
T & W & O \\
+ & T & W & O \\
\hline
F & O & U & R
\end{array}$$



- Variables: F T U W R O C<sub>1</sub> C<sub>2</sub> C<sub>3</sub>
- Domains: {0,1,2,3,4,5,6,7,8,9}
- Constraints:
  - *Alldiff*(*F*, *T*, *U*, *W*, *R*, *O*)
  - $C_3 = F, T \neq 0, F \neq 0$

• ...

# Constraint propagation

- Node consistency
- Arc consistency
- Path consistency
- K-consistency
- Global constraints



#### **Constraint propagation**

- Constraints help to reduce the number of legal values for a variable → legal values for another variable are also reduced
- Intertwined with search, or done as a preprocessing step
  - Sometimes the preprocessing can solve the whole problem!
- Enforcing local consistency in each part of a graph causes inconsistent values to be eliminated throughout the graph

## **Node consistency**

 A single variable is node-consistent if all the values in the variable's domain satisfy the variable's unary constraints.

The South Australians dislike green, the domain of  $\{SA\}$  will be  $\{red, green, blue\}$ 

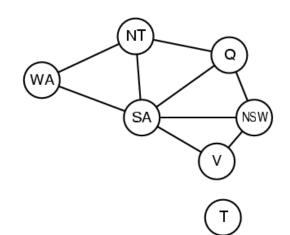


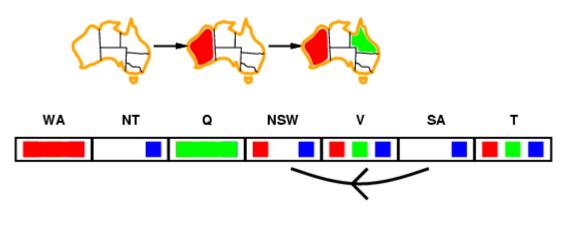
Eliminate all the unary constraints in a CSP

## **Arc consistency**

- A variable in a CSP is arc-consistent if every value in its domain satisfies the variable's binary constraints.
  - E.g., ⟨(X,Y),{(0,0),(1,1),(2,4),(3,9)}⟩, both domains are sets of digits → reduce X's domain to {0, 1, 2, 3} and Y's to {0, 1, 4, 9}
- Arc consistency may have no effect in several cases.
  - E.g., the Australia map, no matter what value chosen for SA (or for WA), there is a valid value for the other variable.

```
{(red,green), (red,blue), (green,red), (green,blue), (blue,red), (blue,green)}
```



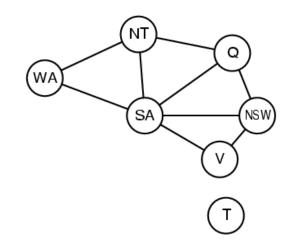


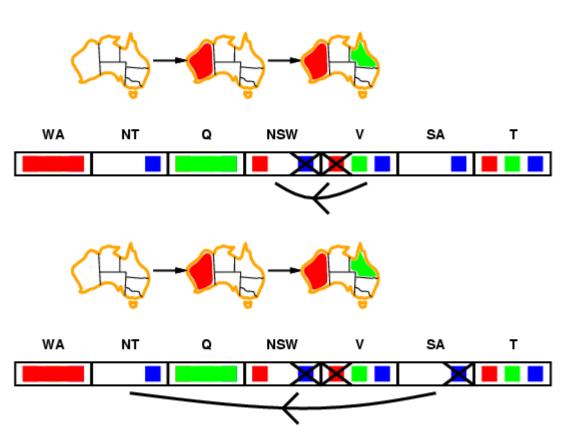
WA NT Q NSW V SA T

Consider state of search after WA and Q are assigned

- $SA \rightarrow NSW$  is consistent if SA = blue and NSW = red
- $NSW \rightarrow SA$  is consistent if NSW = red and SA = blue NSW = blue and SA = ???

Arc-consistency can be made by removing *blue* from *NSW* 





If *X* loses a value, neighbors of *X* need to be rechecked

Continue to propagate constraints

- Check  $V \rightarrow NSW$
- Not consistent for V = red → remove red from V

Arc consistency detects failure earlier than forward checking

## **Arc consistency**

- Run as a preprocessor before the search starts or after each assignment
- AC must be run repeatedly until no inconsistency remains.
- Trade-off
  - Eliminate large (inconsistent) parts of the state-space,
  - Require some overhead to do
  - Generally, more effective than direct search
- Need a systematic method for arc-checking
  - If X loses a value, neighbors of X need to be rechecked.
  - Incoming arcs can become inconsistent, while outgoing arcs stay still.

## The AC-3 algorithm

```
function AC-3(csp) returns false if an inconsistency is found
                                     and true otherwise
  inputs: csp, a binary CSP with components (X, D, C)
  local variables: queue, a queue of arcs, initially all the arcs in csp
  while queue is not empty do
    (X_i, X_i) \leftarrow \text{REMOVE-FIRST}(queue)
    if REVISE(csp, X_i, X_i) then
      if size of D_i = 0 then return false
      for each X_k in X_i.NEIGHBORS - \{X_i\} do
         add (X_k, X_i) to queue
  return true
```

The worst-case complexity is  $O(cd^3)$ 

n: number of variables, each has domain size d, c binary constraints (arc)

## The AC-3 algorithm

```
function REVISE(csp, X_i, X_j) returns true iff we revise the domain of X_i

revised ← false

for each x in D_i do

if no value y in D_j allows (x,y) to satisfy the constraint between X_i and X_j

then

delete x from D_i

revised ← true

return revised
```

# Backtracking search

- Backtracking search
- Variable and value ordering
- Interleaving search and inference: Forward checking



#### CSP as a Search problem

- Let's start with the straightforward approach, then fix it.
- States are defined by the values assigned so far
  - Initial state: empty assignment { }
  - Successor function: assign a value to an unassigned variable that agrees with the current assignment → fail if no legal assignments
  - Goal test: the current assignment is complete
- This is the same for all CSPs
  - Every solution appears at depth n with n variables  $\rightarrow$  use depth-first (or depth-limited) search
  - Given d is the domain size, the branching factor b = (n l)d at depth  $l, n! \cdot d^n$  leaves with only  $d^n$  complete assignments!

#### **Backtracking search**

- Variable assignments are commutative.
  - E.g., [WA = red then NT = green] = [NT = green then WA = red]
- Only need to consider assignments to a single variable at each node  $\rightarrow$  branching factor b = d,  $d^n$  leaves
- Depth-first search: choose values for one variable at a time and backtrack when a variable has no legal values left

# **Backtracking search**

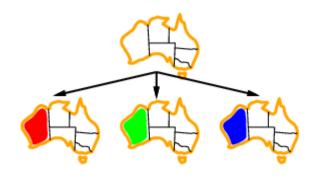
return failure

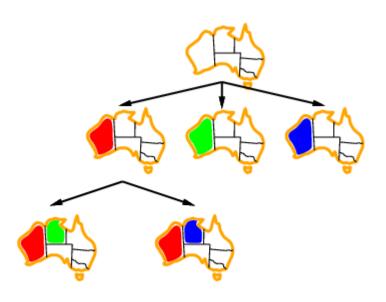
```
function BACKTRACKING-SEARCH(csp) returns a solution, or failure
  return BACKTRACK({ }, csp)
function BACKTRACK(assignment, csp) returns a solution, or failure
  if assignment is complete then return assignment
  var \leftarrow SELECT-UNASSIGNED-VARIABLE(csp)
  for each value in ORDER-DOMAIN-VALUES(var, assignment, csp) do
    if value is consistent with assignment then
                                                        Which variable should
      add {var = value} to assignment
                                                        be assigned next?
      inferences \leftarrow INFERENCE(csp, var, value)
      if inferences ≠ failure then
                                                        In what order should
        add inferences to assignment
                                                        its values be tried?
        result \leftarrow BACKTRACK(assignment, csp)
                                                        What inferences
        if result ≠ failure then
                                                        should be performed?
          return result
    remove {var = value} and inferences from assignment
```

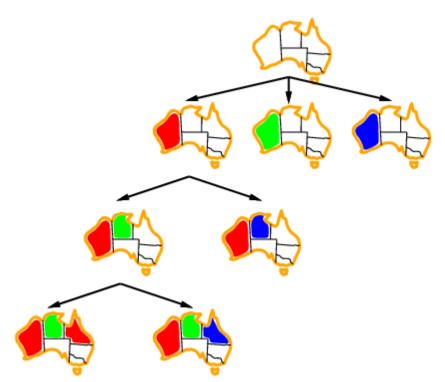
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# Backtracking search: An example



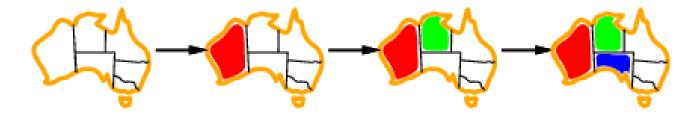






#### Variable and value ordering

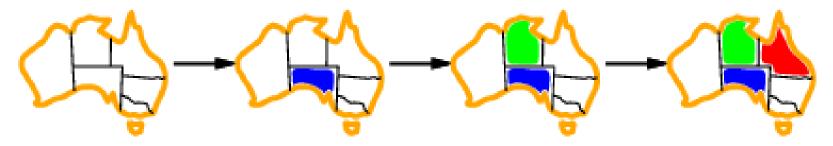
- Minimum-remaining-values (MRV) heuristic: choose the variable with the fewest legal values
  - E.g., after [WA = red, NT = green] only one possible value for SA



- Failure will be detected immediately, avoiding pointless searches
- MRV usually performs better than a random/static ordering, sometimes by a factor of 1,000 or more.

#### Variable and value ordering

- Degree heuristic (DH): choose the variable that involves in the largest number of constraints on other unassigned variables
  - E.g., SA has a highest degree of 5, other variables except T have degrees of 2 or 3.



DH is the tie-breaker among most constrained variables

### Variable and value ordering

 Least constraining value (LCV) heuristic: given a variable, choose the value that leaves the maximum flexibility for subsequent variable assignments



Combining the three heuristics makes 1000 queens feasible

Why should variable selection be fail-first, but value selection be fail-last?

### Inference: Forward checking

- Supervise remaining legal values for unassigned variables
- Terminate search when any variable has no legal values
- MRV heuristic + forward checking → more effective search
- It can detect many inconsistencies but not all of them.
  - Make only the current variable arc-consistent, but do not look ahead and make all the other variables arc-consistent





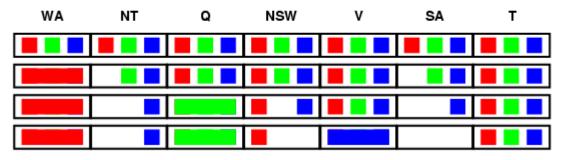
- ✓ Assign  $\{WA = red\}$
- Effects on other variables connected by constraints to WA
  - NT can no longer be red
  - SA can no longer be red

- ✓ Assign  ${Q = green}$
- ✓ Effects on other variables



FC has detected that partial assignment is *inconsistent* with the constraints and backtracking can occur.



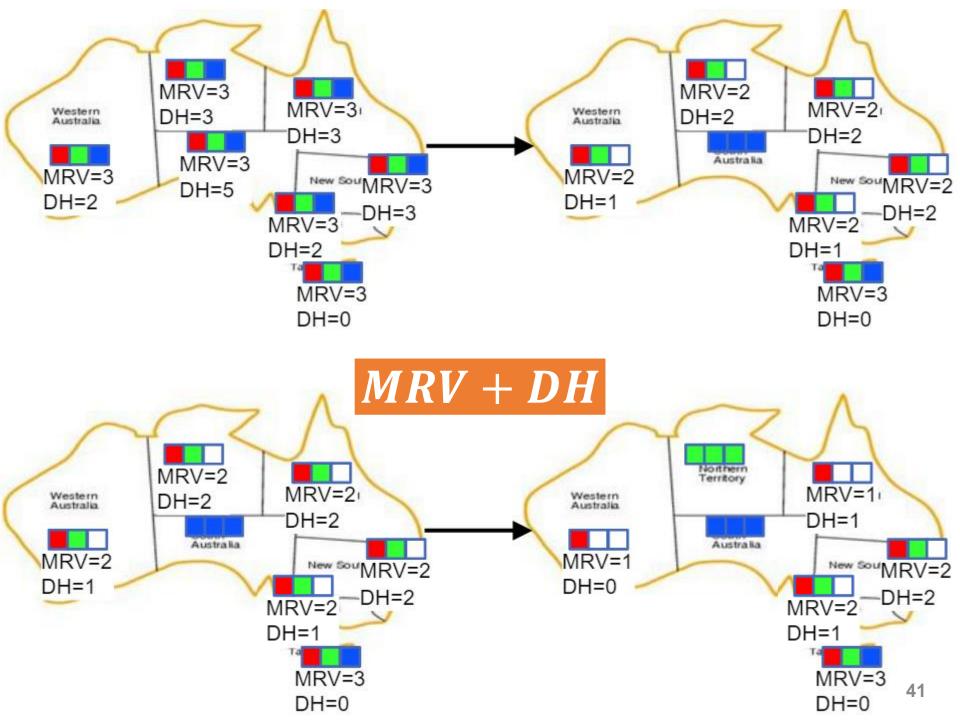


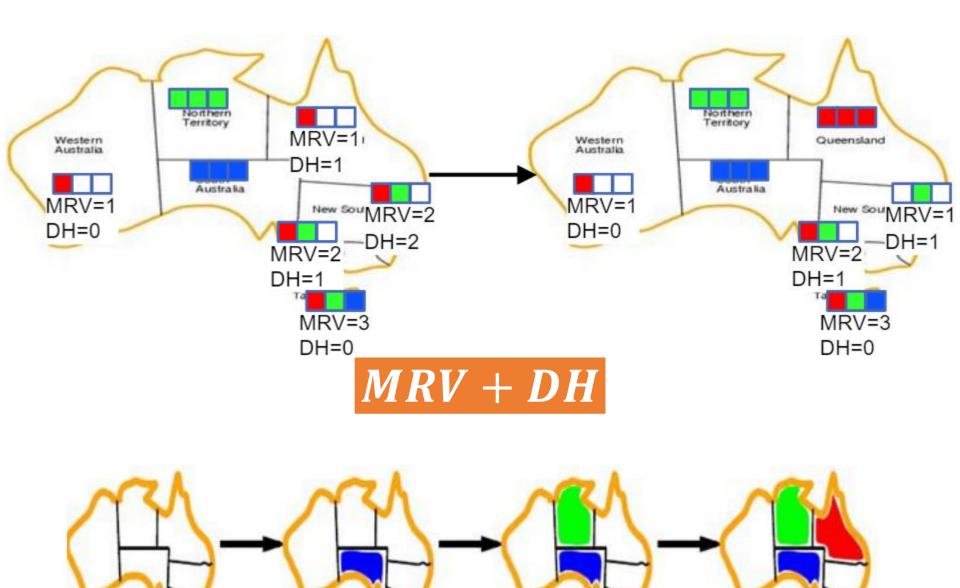
- ✓ Assign  $\{V = blue\}$ 
  - Effects on other variables
     connected by constraints to V
    - NSW can no longer be blue
    - SA is empty

en

### Forward checking vs. Arc consistency

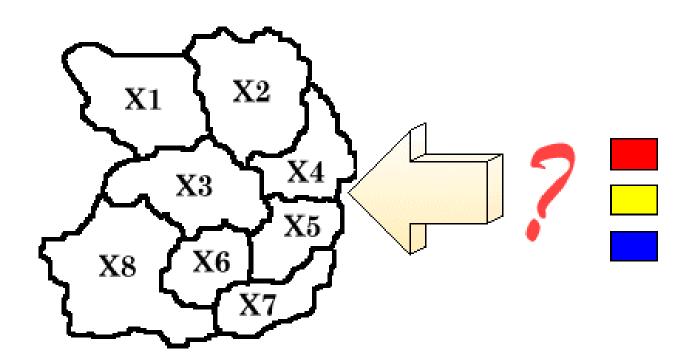
- Given a constraint  $C_{XY}$  between two variables X and Y.
- For any value of X, there is a consistent value that can be chosen for Y such that  $C_{XY}$  is satisfied, and visa versa.
- Arc consistency is directed, which is checked in both directions for two connected variables.
- Forward checking only checks variables that directly connect to the variable being considered.
- Arc consistency is stronger than forward checking





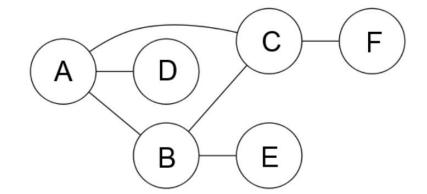
### Quiz 01: Map coloring problem

 Coloring each region either red, yellow, or blue in such a way that no neighboring regions have the same color



### Quiz 02: AC vs. Forward checking

 The graph shown aside is a constraint graph for a CSP that has only binary constraints. Initially, no variables have been assigned.



• For each of the given scenarios, mark all variables for which the specified filtering might result in their domain being changed. Note that every scenario is independent from the others.

# Quiz 02: AC vs. Forward checking

A value is running for	be changed	as a result of	of			
□А	□В	□С	$\Box$ D	□E	□F	
value is as		. Which dor		ecking is run be changed		
□А	□В	□С	$\Box$ D	□E	□F	
A value is assigned to A. Which domains might be changed as a result of enforcing arc consistency after this assignment?						
□А	□В	□С	$\Box$ D	□E	□F	
value is as	ssigned to B	. Which dor		tency is enfo be changed nt to B?		
□А	□В	□С	$\Box$ D	□Е	□F	

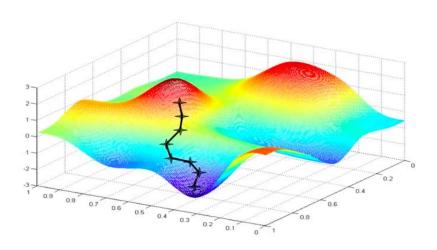
### Quiz 03: Timetable scheduling

- You are scheduling for computer science classes that meet on Mondays,
   Wednesdays and Fridays.
- There are 5 classes and 3 professors who will be teaching these classes.
- You are constrained that each professor can only teach one class at a time.
- The classes are:
  - Class 1 Intro to Programming: meets from 8:00-9:00am
  - Class 2 Intro to Artificial Intelligence: meets from 8:30-9:30am
  - Class 3 Natural Language Processing: meets from 9:00-10:00am
  - Class 4 Computer Vision: meets from 9:00-10:00am
  - Class 5 Machine Learning: meets from 9:30-10:30am
- The professors are:
  - Professor A, who is available to teach Classes 3 and 4.
  - Professor B, who is available to teach Classes 2, 3, 4, and 5.
  - Professor C, who is available to teach Classes 1, 2, 3, 4, and 5.

### Quiz 03: Timetable scheduling

- Formulate this problem as a CSP in which there is one variable per class, stating the domains (i.e., available professors) and constraints.
  - Constraints should be specified formally and precisely but may be implicit rather than explicit.
- Draw the constraint graph associated with your CSP.
- Show the domains of the variables after running arc-consistency on this initial graph (after having already enforced any unary constraints).
- Give one solution to this CSP.

# Local search for CSP



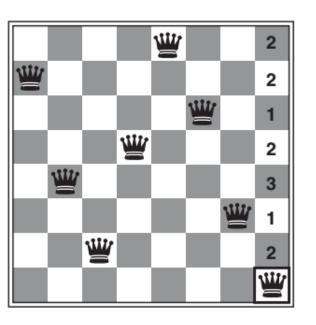
#### Local search for CSPs

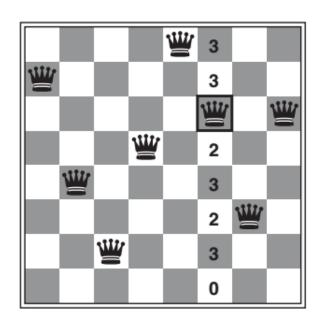
- Complete-state formulation
  - The initial state assigns a value to every variable → violate constraints
  - The search changes the value of one variable at a time → resolve the confliction
- Min-conflicts heuristic: the minimum number of conflicts with other variables
- Min-conflicts is surprisingly effective for many CSPs.
  - Million-queens problem can be solved ~ 50 steps
  - Hubble Space Telescope: the time taken to schedule a week of observations down from 3 weeks (!) to ~10 minutes

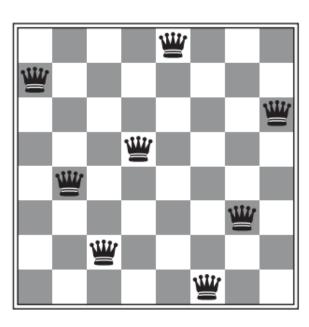
### MIN-CONFLICTS algorithm

```
function MIN-CONFLICTS(csp, max steps) returns a solution or failure
  inputs: csp, a constraint satisfaction problem
          max steps, the number of steps allowed before giving up
  current ← an initial complete assignment for csp
  for i = 1 to max steps do
    if current is a solution for csp then return current
    var \leftarrow a randomly chosen conflicted variable from csp.VARIABLES
    value \leftarrow the value v for var that minimizes CONFLICTS(var, v, current, csp)
    set var = value in current
  return failure
```

### MIN-CONFLICTS: 8-queens







A two-step solution using min-conflicts for an 8-queens problem.

At each stage, a queen is chosen for reassignment in its column.

The number attacking queens (i.e., conflicts) is shown in each square.

The algorithm moves the queen to the min-conflicts square, breaking ties randomly.

#### Local search for CSPs

- The landscape of a CSP under the min-conflicts heuristic usually has a series of plateau.
  - There are millions of variable assignments that are only one conflict away from a solution.
- Plateau search: allow sideways moves to another state with the same score
- Tabu search: keep a small list of recently visited states and forbid the algorithm to return to those states
- Simulated annealing can also be used

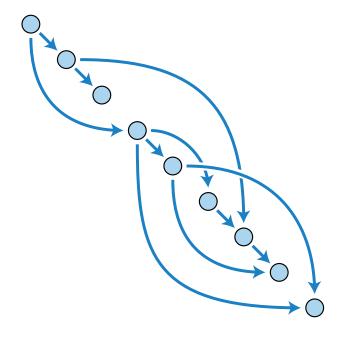
## **Constraint weighting**

- Concentrate the search on the important constraints
- Each constraint is given a numeric weight,  $W_i$ , initially all 1.
- At each step, choose a variable/value pair to change that has the lowest total weight of all violated constraints
- Increase the weight of each constraint that is violated by the current assignment

### Local search in online setting

- Scheduling problems: online setting
  - A weekly airline schedule may involve thousands of flights and tens
    of thousands of personnel assignments
  - The bad weather at one airport can render the schedule infeasible.
- The schedule should be repaired with a minimum number of changes.
  - Done easily with a local search starting from the current schedule
  - A backtracking search with the new set of constraints usually requires much more time and might find a solution with many changes from the current schedule

# The structure of problems



### Independent subproblems

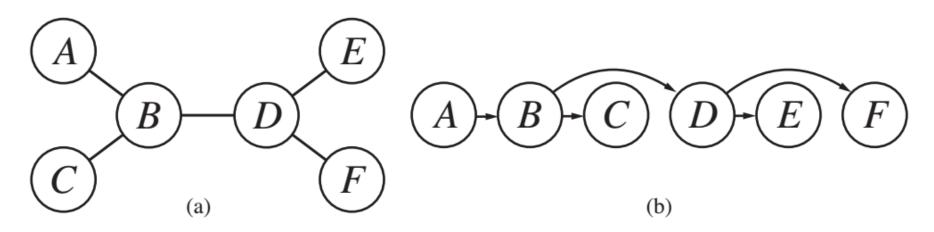
- If assignment  $S_i$  is a solution of  $CSP_i$ , then  $\bigcup_i S_i$  is a solution of  $\bigcup_i CSP_i$ .
  - For example, the Australia map coloring: Tasmania and the mainland
- Suppose each  $CSP_i$  has c variables from n variables.
- Then there are n/c subproblems, each of which takes at most  $d^c$  work to solve.
  - where c is a constant and d is the size of the domain.
- Hence, the total work is  $O(d^c n/c)$ , which is linear in n.
  - Without the decomposition, the total work is  $O(d^n)$ .

#### **Tree-structured CSP**

- A constraint graph is a tree when any two variables are connected by only one path.
- Any tree-structured CSP can be solved in time linear in the number of variables
- **Directed arc consistency** (DAC): A CSP is directed arcconsistent under an ordering of variables  $X_1, X_2, ..., X_n$  iff every  $X_i$  is arc-consistent with each  $X_i$  for i > i.

#### **Tree-structured CSP**

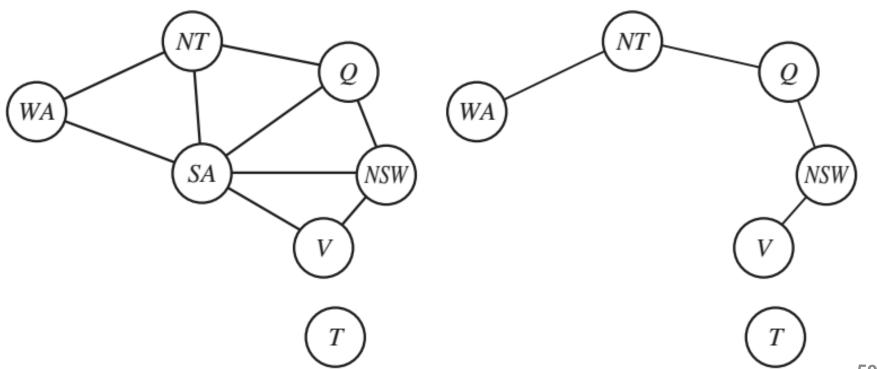
 Topological sort: first pick any variable to be the root of the tree and choose an ordering of the variables such that each variable appears after its parent in the tree.



- (a) The constraint graph of a tree-structured CSP.
- (b) A linear ordering of the variables consistent with the tree with A as the root.

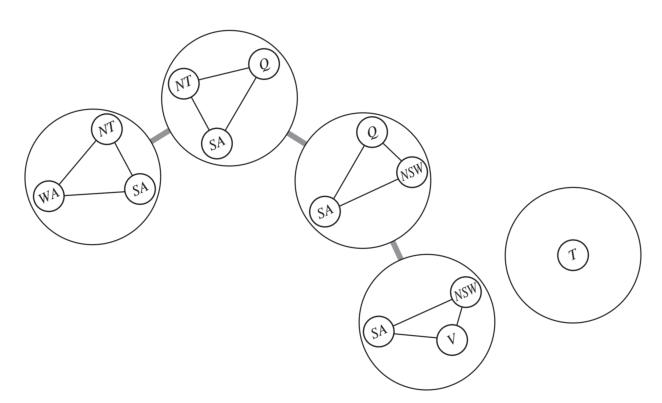
### Reducing graphs to trees

- Assign values to some variables so that the remaining variables form a tree
  - E.g., fix a value for *SA* and delete from other variables' domains any values that are inconsistent with the value chosen for *SA*



### Reducing graphs to trees

- Construct a tree decomposition of the constraint graph into a set of connected subproblems.
- Each subproblem is solved independently and the resulting solutions are then combined.



#### The structure of values

- Consider the map-coloring problem with n colors.
- For every consistent solution, there is a set of n! solutions formed by permuting the color names.
  - E.g., WA, NT, and SA must all have different colors, but there are 3! ways to assign the three colors to these three regions.
- Symmetry-breaking constraint: Impose an arbitrary ordering constraint that requires the values to be in alphabetical order
  - E.g.,  $NT < SA < WA \rightarrow$  only one solution possible:  $\{NT = blue, SA = green, WA = red\}$



# THE END