

Mathematical Logic

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Nesse exercício consideraremos apenas interpretações sobre uma linguagem que contém apenas um símbolo de relação binária p e nenhum símbolo de função. Também não especificaremos as funções de designação, já que ϕ_1, ϕ_2 e ϕ_3 não possuem variáveis livres, logo seus significados semânticos não dependem das funções de designação.

A interpretação \mathfrak{A}_r cujo domínio é \mathbb{N} e $p^{\mathfrak{A}_r} = \emptyset$ vacuosamente satisfaz ϕ_2 e ϕ_3 . Contudo, \mathfrak{A}_r não satisfaz ϕ_1 , já que $(0, 0) \notin p^{\mathfrak{A}_r}$, por exemplo.

Defina agora a interpretação \mathfrak{A}_s cujo domínio é \mathbb{N} e $p^{\mathfrak{A}_s}$ é a relação \leq usual nos naturais. A relação \leq é reflexiva e transitiva, contudo não é simétrica, já que por exemplo $4 \leq 5$ mas $\neg(5 \leq 4)$. Ou seja, temos $(4, 5) \in p^{\mathfrak{A}_s}$ mas $(5, 4) \notin p^{\mathfrak{A}_s}$. Dessa forma, \mathfrak{A}_s satisfaz ϕ_1 e ϕ_3 , mas não ϕ_2 .

Por fim, considere a relação \mathfrak{A}_t cujo domínio é $\{1, 2, 3\}$ e $p^{\mathfrak{A}_t}$ é

$$\{(1, 1), (2, 2), (3, 3), (0, 1), (1, 2), (1, 0), (2, 1)\}.$$

Claramente $p^{\mathfrak{A}_t}$ é reflexiva e simétrica. Contudo, $p^{\mathfrak{A}_t}$ não é transitiva, já que $(0, 1) \in p^{\mathfrak{A}_t}$, $(1, 2) \in p^{\mathfrak{A}_t}$ mas $(0, 2) \notin p^{\mathfrak{A}_t}$. Dessa forma, \mathfrak{A}_t satisfaz ϕ_1 e ϕ_2 , mas não ϕ_3 .

1 Propositional Logic

Definition 1.1. Let $Vars_P := \{P_n : n \in \mathbb{N}\}$ be the set of the symbols P_1, P_2, \dots , each called a propositional variable. We define the **language of propositional logic** as $\mathcal{L}_P := Vars_P \cup \{\rightarrow, \neg\}$.

Definition 1.2. Let ϕ be an \mathcal{L}_P string. We say that ϕ is a **propositional formula** (also called **p-formula**) if and only if

1. ϕ is a propositional variable, or
2. $\phi \equiv (\alpha \rightarrow \beta)$ where α and β are propositional formulas, or
3. $\phi \equiv (\neg\alpha)$ and α is a propositional formula.

Definition 1.3. An **assignment function** is any function with domain $Vars_P$ and codomain $\{T, F\}$. Given an assignment function s , we define the function \bar{s} whose domain is the set of all p-formulas and codomain is $\{T, F\}$ as follows:

$$\bar{s}(\phi) := \begin{cases} s(\phi) & \phi \in \text{Vars}_P, \\ F & \phi \equiv (\neg\alpha) \text{ and } \bar{s}(\alpha) = T, \\ F & \phi \equiv (\alpha \rightarrow \beta) \text{ and } \bar{s}(\alpha) = T \text{ and } \bar{s}(\beta) = F, \\ T & \text{otherwise.} \end{cases}$$

Also, if Σ is a set of p -formulas, we say that s **satisfies** Σ if and only if $\bar{s}(\sigma) = T$ for every $\sigma \in \Sigma$. Otherwise, we say that s **does not satisfy** Σ . If there is some assignment function s' that satisfies Σ , we say that Σ is **satisfiable**.

Definition 1.4. Let ϕ be a p -formula. If $\bar{s}(\phi) = T$ for every assignment function s , we say that ϕ is a **tautology**. On the other hand, if $\bar{s}(\phi) = F$ for every assignment function s , we call ϕ a **contradiction**. In particular, we define \top as the tautology $(P_1 \rightarrow (P_1 \rightarrow P_1))$ and \perp as the contradiction $\neg\top$, i.e. $\neg(P_1 \rightarrow (P_1 \rightarrow P_1))$.

Definition 1.5. Let Λ be a set of p -formulas such that for every p -formula ϕ , $\phi \in \Lambda$ if and only if

1. $\phi \equiv (A \rightarrow (B \rightarrow A))$, or
2. $\phi \equiv ((A \rightarrow (B \rightarrow C)) \rightarrow ((A \rightarrow B) \rightarrow (A \rightarrow C)))$, or
3. $\phi \equiv ((\neg B \rightarrow \neg A) \rightarrow (A \rightarrow B))$

where A, B, C are p -formulas. We call Λ the set of **logical axioms**.

Lemma 1.1. Every $\lambda \in \Lambda$ is a tautology.

Proof. This is trivial to check case by case, using the definition of assignment functions for p -formulas. \square

Lemma 1.2. Let α and β be p -formulas and s be an assignment function such that $\bar{s}(\alpha) = T$ and $\bar{s}(\alpha \rightarrow \beta) = T$. Then $\bar{s}(\beta) = T$.

Proof. Assume for contradiction that $\bar{s}(\beta) = F$. Since $\bar{s}(\alpha) = T$ by assumption, it follows from the definition of \bar{s} that $\bar{s}(\alpha \rightarrow \beta) = F$, which contradicts our assumption that $\bar{s}(\alpha \rightarrow \beta) = T$. Thus $\bar{s}(\beta) = T$. \square

Definition 1.6. Let Σ be a set of p -formulas and ϕ be a p -formula. We say that $\Sigma \models \phi$ if and only if every assignment function that satisfies Σ assigns ϕ to T .

Definition 1.7. Let Σ be a set of p -formulas and ϕ be a p -formula. We say that a finite sequence $D = (\phi_1, \phi_2, \dots, \phi_n)$ of p -formulas whose last entry is ϕ is a **deduction from Σ of ϕ** if and only if for each $1 \leq i \leq n$,

1. $\phi_i \in \Lambda \cup \Sigma$, or
2. There exists $j, k < i$ such that $\phi_j \equiv (\phi_k \rightarrow \phi_i)$.

In this case, we write $\Sigma \vdash \phi$, read as Σ proves ϕ . If Γ is a set of p -formulas such that $\Sigma \vdash \gamma$ for every $\gamma \in \Gamma$, we write $\Sigma \vdash \Gamma$.

The following lemma has an easy proof and will be used implicitly several times.

Lemma 1.3. *Let Σ, Γ be sets of p -formulas and α, β, ϕ be p -formulas. It follows that:*

1. *If $\Sigma \vdash (\alpha \rightarrow \beta)$ and $\Sigma \vdash \alpha$, then $\Sigma \vdash \beta$,*
2. *If $\Gamma \vdash \phi$ and $\Gamma \subseteq \Sigma$, then $\Sigma \vdash \phi$,*
3. *If $\Gamma \vdash \phi$ and $\Sigma \vdash \Gamma$, then $\Sigma \vdash \phi$.*

Theorem 1.1 (Soundness Theorem). *Let Σ be a set of p -formulas, ϕ be a p -formula. Then $\Sigma \vdash \phi$ implies $\Sigma \models \phi$.*

Proof. Assume that $\Sigma \vdash \phi$. We let s be an arbitrary assignment function that satisfies Σ and induct on the shortest length of deduction of ϕ . If there is a deduction of ϕ with length 1, then either $\phi \in \Lambda$ or $\phi \in \Sigma$. In the first case, ϕ is a tautology by Lemma 1.1, so $\bar{s}(\phi) = T$. The other case follows from our assumption that s satisfies Σ . Now assume inductively that if ψ is a p -formula provable from Σ such that its shortest length of deduction is less than or equal to n then $\bar{s}(\psi) = T$.

Assume that the shortest length of deduction of ϕ is $n + 1$. $\phi \notin \Sigma$ and $\phi \notin \Lambda$, since its shortest length of deduction would be 1 in that case. Thus, we have ϕ_j and ϕ_k in the deduction of ϕ such that $\phi_j \equiv \phi_k \rightarrow \phi$. By the inductive hypothesis, $\bar{s}(\phi_j) = \bar{s}(\phi_k) = T$, so it follows from Lemma 1.2 that $\bar{s}(\phi) = T$. \square

Lemma 1.4. *For every p -formula ϕ , $\vdash (\phi \rightarrow \phi)$.*

Proof. Let ϕ be a p -formula. The following is a deduction of $(\phi \rightarrow \phi)$ from $\{\}$.

- | | |
|--|---------|
| (1) $(\phi \rightarrow ((P_1 \rightarrow \phi) \rightarrow \phi))$ | Ax 1 |
| (2) $((\phi \rightarrow ((P_1 \rightarrow \phi) \rightarrow \phi)) \rightarrow ((\phi \rightarrow (P_1 \rightarrow \phi)) \rightarrow (\phi \rightarrow \phi)))$ | Ax 2 |
| (3) $((\phi \rightarrow (P_1 \rightarrow \phi)) \rightarrow (\phi \rightarrow \phi))$ | MP 1,2 |
| (4) $(\phi \rightarrow (P_1 \rightarrow \phi))$ | Ax 1 |
| (5) $(\phi \rightarrow \phi)$ | MP 3,4. |

\square

Theorem 1.2 (Deduction Theorem). *Let Σ be a set of p -formulas and θ, ϕ be p -formulas. Then, $\Sigma \vdash (\theta \rightarrow \phi) \iff \Sigma \cup \{\theta\} \vdash \phi$.*

Proof. For the forward direction, assume that $\Sigma \vdash (\theta \rightarrow \phi)$. We can use the same deduction from Σ of $(\theta \rightarrow \phi)$ to see that $\Sigma \cup \{\theta\} \vdash (\theta \rightarrow \phi)$. But clearly $\Sigma \cup \{\theta\} \vdash \theta$, so $\Sigma \cup \{\theta\} \vdash \phi$ by modus ponens.

For the converse direction, we will assume that $\Sigma \cup \{\theta\} \vdash \phi$ and induct on the shortest length of deduction of ϕ . For the base case, assume first that $\phi \in \Lambda \cup \Sigma$.

Then, $\Sigma \vdash \phi$ and $\phi \rightarrow (\theta \rightarrow \phi)$ is a logical axiom so Σ also proves it. By modus ponens, $\Sigma \vdash (\theta \rightarrow \phi)$. The last subcase of the base case is $\phi \equiv \theta$, but we already know that $\Sigma \vdash (\theta \rightarrow \theta)$, by Lemma 1.4.

Next, assume the inductive hypothesis and let the shortest length of deduction of ϕ be $n + 1$. Then, we must have ψ and $(\psi \rightarrow \phi)$ in the deduction of ϕ from $\Sigma \cup \{\theta\}$. By the inductive hypothesis (IH), $\Sigma \vdash (\theta \rightarrow (\psi \rightarrow \phi))$ and $\Sigma \vdash (\theta \rightarrow \psi)$. Then,

- | | |
|--|----------|
| (1) $\Sigma \vdash ((\theta \rightarrow (\psi \rightarrow \phi)) \rightarrow ((\theta \rightarrow \psi) \rightarrow (\theta \rightarrow \phi)))$ | Ax 2 |
| (2) $\Sigma \vdash ((\theta \rightarrow \psi) \rightarrow (\theta \rightarrow \phi))$ | MP 1, IH |
| (3) $\Sigma \vdash (\theta \rightarrow \phi)$ | MP 2, IH |

□

Lemma 1.5. *Let ψ, ϕ be p -formulas. Then $\psi, \neg\psi \vdash \phi$.*

Proof.

- | | |
|---|----------|
| (1) $\neg\psi \rightarrow (\neg\phi \rightarrow \neg\psi)$ | Ax 1 |
| (2) $\neg\psi$ | |
| (3) $(\neg\phi \rightarrow \neg\psi)$ | MP 1, 2 |
| (4) $(\neg\phi \rightarrow \neg\psi) \rightarrow (\psi \rightarrow \phi)$ | Ax 3 |
| (5) $(\psi \rightarrow \phi)$ | MP 3, 4 |
| (6) ψ | |
| (7) ϕ | MP 5, 6. |

□

Definition 1.8. *A set of p -formulas Σ is inconsistent if and only if there is a p -formula ϕ such that $\Sigma \vdash \phi$ and $\Sigma \vdash \neg\phi$. Σ is consistent if and only if it is not inconsistent.*

Lemma 1.6. *Let Σ be a set of p -formulas. The following statements are equivalent:*

1. Σ is consistent.
2. There is a p -formula ψ such that $\Sigma \not\vdash \psi$.
3. There is no p -formula ψ such that $\Sigma \vdash \neg(\psi \rightarrow \psi)$.
4. $\Sigma \not\vdash \perp$.

Proof. For the equivalence between (1) and (2), we show instead that Σ is inconsistent if and only if Σ proves every p -formula. For the forward direction, assume that Σ is inconsistent. Then there is some formula ϕ such that $\Sigma \vdash \phi$ and $\Sigma \vdash \neg\phi$. From the deductions of each of these, we can use Lemma 1.5 to produce a deduction of any formula ψ .

For the converse direction, assume that Σ proves every p-formula. Then $\Sigma \vdash P_1$ and $\Sigma \vdash \neg P_1$, so it is inconsistent.

For the equivalence between (2) and (3), assume first that there is a p-formula ψ such that $\Sigma \vdash \neg(\psi \rightarrow \psi)$. By Lemma 1.4, $\Sigma \vdash (\psi \rightarrow \psi)$. Thus, it follows from Lemma 1.5 that Σ proves every formula, thus showing that (2) is not the case. The other direction is trivial.

(4) \implies (2) is trivial, and (3) \implies (4) also follows easily. \square

Lemma 1.7. *Let Σ be a set of p-formulas. If ϕ is a p-formula such that $\Sigma \not\vdash \phi$, then $\Sigma \cup \{\neg\phi\}$ is consistent.*

Proof. We prove by contrapositive, so assume that $\Sigma \cup \neg\phi$ is inconsistent. By Lemma 1.6, $\Sigma \cup \neg\phi \vdash \perp$, and the Deduction Theorem guarantees that $\Sigma \vdash (\neg\phi \rightarrow \perp)$. Then,

(1) $\Sigma \vdash (\neg\phi \rightarrow \perp)$	Deduction Theorem
(2) $\Sigma \vdash (\neg\phi \rightarrow \perp) \rightarrow (\top \rightarrow \phi)$	Ax 3
(3) $\Sigma \vdash \top \rightarrow \phi$	MP 1,2
(4) $\Sigma \vdash \top$	Ax 1
(5) $\Sigma \vdash \phi$	MP 4,5.

\square

Lemma 1.8. *The following statements are equivalent:*

1. *For every set of p-formulas Γ and every p-formula ϕ , if $\Gamma \models \phi$ then $\Gamma \vdash \phi$.*
2. *Every consistent set of p-formulas is satisfiable.*

Proof. For the forward direction, assume the contrapositive of (1) and let Δ be a consistent set of p-formulas. By Lemma 1.6, $\Delta \not\vdash \perp$. By assumption, $\Delta \not\models \perp$. If there was no assignment s that satisfied Δ , then $\Delta \models \perp$ would be vacuously true, so Δ must be satisfiable.

For the converse direction, assume (2) and let Γ and ϕ be such that $\Gamma \not\vdash \phi$. By Lemma 1.7, $\Gamma \cup \{\neg\phi\}$ is consistent, so it is satisfied by some assignment s . Thus, $s(\neg\phi) = T$, so $s(\phi) = F$. Since s satisfies Γ but $s(\phi) = F$, it follows that $\Gamma \not\models \phi$, as wanted. \square

Definition 1.9. *Let Σ be a set of p-formulas. We say that Σ is complete if and only if Σ is consistent and for every p-formula ϕ , exactly one of $\phi, \neg\phi$ is in Σ .*

Lemma 1.9. *Let Σ be a complete set of p-formulas. Then, $\Sigma \vdash \phi \iff \phi \in \Sigma$ for all p-formulas ϕ .*

Proof. For the forward direction assume that $\Sigma \vdash \phi$. If $\neg\phi \in \Sigma$ then clearly $\Sigma \vdash \neg\phi$, so Σ is inconsistent, contradicting the assumption that Σ is complete. Thus $\neg\phi \notin \Sigma$, therefore $\phi \in \Sigma$. The converse direction is trivial. \square

Definition 1.10. Let Σ be a set of p -formulas. We say that Σ is maximally consistent if and only if

1. Σ is consistent, and
2. For every consistent Σ' , if $\Sigma \subseteq \Sigma'$ then $\Sigma' = \Sigma$.

Lemma 1.10. Definitions 1.9 and 1.10 are equivalent.

Proof. Let Σ be a set of p -formulas. For the forward direction, assume that Σ is complete and that Σ' is consistent with $\Sigma \subseteq \Sigma'$. Assume for contradiction that there is some $\psi \in \Sigma'$ such that $\psi \notin \Sigma$. Since Σ is complete we can apply Lemma 1.9 to see that, $\neg\psi \in \Sigma$, so it follows by assumption that $\neg\psi \in \Sigma'$ thus Σ' is inconsistent. This contradiction means that $\Sigma' \subseteq \Sigma$, so $\Sigma' = \Sigma$.

For the converse direction, assume that Σ is maximally consistent and let ϕ be a formula such that $\Sigma \not\vdash \phi$. By Lemma 1.7, $\Sigma \cup \{\neg\phi\}$ is consistent. Since $\Sigma \cup \{\neg\phi\} \subseteq \Sigma$, it follows that $\Sigma \cup \{\neg\phi\} = \Sigma$, so $\neg\phi \in \Sigma$, therefore $\Sigma \vdash \neg\phi$, as wanted. Also, since Σ is consistent, it can only prove at most one of ϕ and $\neg\phi$ for any given ϕ . \square

Lemma 1.11. Let Σ be a complete set of p -formulas. If s is an assignment function such that for every propositional variable p ,

$$s(p) := \begin{cases} T & p \in \Sigma \\ F & \neg p \in \Sigma, \end{cases}$$

then s is the unique assignment that satisfies Σ .

Proof. Let s be as described in the Lemma. Notice that s is well-defined, since Lemma 1.9 guarantees that for every propositional variable p either $p \in \Sigma$ or $\neg p \in \Sigma$, but not both. To see that s satisfies Σ , we show that $s(\sigma) = T \iff \sigma \in \Sigma$ by induction on the complexity of σ .

The base case is that σ is a propositional variable, but then $s(\sigma) = T \iff \sigma \in \Sigma$ follows trivially. Assume the expected induction hypothesis. If $\sigma := \neg\alpha$, then $s(\sigma) = T \iff s(\alpha) = F \iff \neg\alpha \in \Sigma \iff \sigma \in \Sigma$. The other case is $\sigma := (\alpha \rightarrow \beta)$. For the forward direction, assume that $s(\alpha \rightarrow \beta) = T$, and notice that $s(\alpha \rightarrow \beta) = T \iff s(\alpha) = F$ or $s(\beta) = T$. If $s(\alpha) = F$, then $\neg\alpha \in \Sigma$, by the inductive hypothesis. By Lemma 1.5, $\Sigma, \alpha \vdash \beta$, so the Deduction Theorem gives that $\Sigma \vdash (\alpha \rightarrow \beta)$, thus $\sigma \in \Sigma$. Next, assume that $s(\beta) = T$. Then, $\Sigma \vdash \beta$, so $\Sigma \vdash (\beta \rightarrow (\alpha \rightarrow \beta))$, thus $\Sigma \vdash (\alpha \rightarrow \beta)$.

For the converse direction, assume that $(\alpha \rightarrow \beta \in \Sigma)$. If $\neg\alpha \in \Sigma$ then $s(\alpha) = F$, so $s(\alpha \rightarrow \beta) = T$. The last case is $\alpha \in \Sigma$. Applying modus ponens, $\Sigma \vdash \beta$, so $\beta \in \Sigma$ and $s(\beta) = T$ by the inductive hypothesis, so $s(\alpha \rightarrow \beta) = T$. It follows by induction that s satisfies Σ .

Now assume that s' is another assignment that satisfies Σ and let p be an arbitrary propositional variable. If $p \in \Sigma$ then $s'(p) = T$, but also $s(p) = T$. If $p \notin \Sigma$ then $\neg p \in \Sigma$ so $s'(\neg p) = T$ and $s'(p) = F$, and we also have $s(p) = F$. Since s and s' agree on every propositional variable, they must be the same function, so that s is unique. \square

Theorem 1.3 (Completeness Theorem). *Let Σ be a set of p-formulas and ϕ be a p-formula. Then, $\Sigma \models \phi \implies \Sigma \vdash \phi$.*

Proof. If we can show that any consistent set of p-formulas is satisfiable the result follows by Lemma 1.8, so let Δ be one such set. Since \mathcal{L}_P only has countably many symbols and every \mathcal{L}_P string is finite, there are only countably many p-formulas. Thus, we can fix a list of the p-formulas as follows:

$$\phi_0, \phi_1, \phi_2, \dots$$

This can be done so that every p-formula occurs in the list exactly once.

Let $\Sigma_0 := \Delta$ and define Σ_{n+1} recursively as

$$\Sigma_{n+1} := \begin{cases} \Sigma_n \cup \{\phi_n\} & \Sigma_n \vdash \phi_n \\ \Sigma_n \cup \{\neg\phi_n\} & \Sigma_n \not\vdash \phi_n \end{cases}$$

We argue by induction that each Σ_n is consistent. The base case follows from the assumption that Δ is consistent, so assume that Σ_n is consistent. If $\Sigma_n \not\vdash \phi_n$, $\Sigma_{n+1} = \Sigma_n \cup \{\neg\phi_n\}$ is consistent by Lemma 1.7. The other case is $\Sigma_n \vdash \phi_n$, but then $\Sigma_{n+1} = \Sigma_n \cup \{\phi_n\}$ is clearly consistent.

Define $\Sigma := \bigcup_{n \in \mathbb{N}} \Sigma_n$. Clearly $\Sigma_0 = \Delta \subseteq \Sigma$. Assume for contradiction that Σ is inconsistent and fix some deduction D of \perp from Σ . Since D is finite, there are only finitely many assumptions (i.e elements of Σ) used in D , so that there is some $N \in \mathbb{N}$ such that Σ_N includes all of those assumptions. Thus, $\Sigma_N \vdash \perp$. But we have already shown that Σ_N must be consistent, so we have our contradiction.

Also, given any p-formula ψ , there is some natural n such that $\phi_n \equiv \psi$, so one of ψ or $\neg\psi$ are in Σ . Since Σ is consistent, it cannot be the case that both $\psi, \neg\psi \in \Sigma$, so Σ is complete. By Lemma 1.11, there is an assignment s that satisfies Σ . Since $\Delta \subseteq \Sigma$, s also satisfies Δ , thus Δ is satisfiable and we are done. \square

Definition 1.11. *A set Γ of p-formulas is finitely satisfiable if and only if all of its finite subsets are satisfiable.*

Theorem 1.4 (Compactness Theorem). *A set Γ of p-formulas is satisfiable if and only if it is finitely satisfiable.*

Proof. The forward direction is trivial, so we focus on the converse. Assume that Γ is not satisfiable. It follows vacuously that $\Gamma \models \perp$, so $\Gamma \vdash \perp$ by the Completeness Theorem. Since every proof is finite, there must be some $\Gamma_0 \subseteq \Gamma$ such that $\Gamma_0 \vdash \perp$. By the Soundness Theorem, $\Gamma_0 \models \perp$, therefore it is not satisfiable. \square

2 First-order Logic

Throughout this section \mathcal{L} will denote an arbitrary first-order language.

Definition 2.1. *An \mathcal{L} -string t is called an \mathcal{L} -term if and only if*

1. $t \in \text{Vars}$, or
2. t is a constant symbol of \mathcal{L} , or
3. $t \equiv ft_1, \dots, t_n$ where t_1, \dots, t_n are \mathcal{L} -terms and f is an n -ary function symbol from \mathcal{L} .

Definition 2.2. An \mathcal{L} -string ϕ is called an \mathcal{L} -formula if and only if

1. $\phi \equiv t_1 t_2$, where t_1, t_2 are \mathcal{L} -terms, or
2. $\phi \equiv Rt_1, \dots, t_n$ where t_1, \dots, t_n are \mathcal{L} -terms and R is an n -ary relation symbol from \mathcal{L} , or
3. $\phi \equiv (\alpha \rightarrow \beta)$, where α, β are \mathcal{L} -formulas, or
4. $\phi \equiv (\neg\alpha)$, where α is a \mathcal{L} -formula, or
5. $\phi \equiv (\forall x)(\alpha)$, where x is a variable and α is an \mathcal{L} -formula.

Definition 2.3. We say that \mathfrak{A} is an \mathcal{L} -structure if and only if \mathfrak{A} is a (possibly infinite) tuple $(A, c_1^{\mathfrak{A}}, c_2^{\mathfrak{A}}, \dots, f_1^{\mathfrak{A}}, f_2^{\mathfrak{A}}, \dots, R_1^{\mathfrak{A}}, R_2^{\mathfrak{A}}, \dots)$ where A is a nonempty set, c_1, c_2, \dots are all of the constant symbols of \mathcal{L} , f_1, f_2, \dots are the functions symbols of \mathcal{L} and similarly for R_1, R_2, \dots . We also require that:

1. For each constant symbol $c \in \mathcal{L}$, $c^{\mathfrak{A}} \in A$;
2. For each n -ary function symbol $f \in \mathcal{L}$, $f^{\mathfrak{A}} : A^n \rightarrow A$, i.e. $f^{\mathfrak{A}}$ is a function from A^n to A .
3. For each n -ary relation symbol $R \in \mathcal{L}$, $R^{\mathfrak{A}} \subseteq A^n$.

Definition 2.4. Let \mathfrak{A} be an \mathcal{L} structure. An assignment function is a function with domain Vars and codomain A . Also, for every assignment function $s : \text{Vars} \rightarrow A$ every $a \in A$ and every $x \in \text{Vars}$, we define the function $s[x|a] : \text{Vars} \rightarrow A$ as

$$s[x|a](v) = \begin{cases} a, & \text{if } v = x \\ s(v) & \text{if } v \neq x. \end{cases}$$

Definition 2.5. Let \mathfrak{A} and \mathfrak{B} be \mathcal{L} -structures. We say that \mathfrak{A} is isomorphic to \mathfrak{B} if and only if there is a bijection $f : A \rightarrow B$ such that

1. $f(c^{\mathfrak{A}}) = c^{\mathfrak{B}}$ for every constant symbol $c \in \mathcal{L}$,
2. $f(g^{\mathfrak{A}}(a_1, \dots, a_n)) = g^{\mathfrak{B}}(f(a_1), \dots, f(a_n))$ for every n -ary function symbol $g \in \mathcal{L}$ and every $(a_1, \dots, a_n) \in A^n$,
3. $(a_1, \dots, a_n) \in R^{\mathfrak{A}} \iff (f(a_1), \dots, f(a_n)) \in R^{\mathfrak{B}}$ for every n -ary relation symbol $R \in \mathcal{L}$ and every $(a_1, \dots, a_n) \in A^n$.

Definition 2.6. Let \mathfrak{A} and \mathfrak{B} be \mathcal{L} -structures. We say that \mathfrak{A} is elementarily equivalent to \mathfrak{B} if and only if given any \mathcal{L} -formula ϕ , $\mathfrak{A} \models \phi \iff \mathfrak{B} \models \phi$.

Lemma 2.1. Let \mathfrak{A} and \mathfrak{B} be isomorphic \mathcal{L} -structures. Given an isomorphism $f : A \rightarrow B$ and an assignment $s : \text{Vars} \rightarrow B$, we have

$$\mathfrak{B} \models \phi[s] \iff \mathfrak{A} \models \phi[f^{-1} \circ s].$$

Proof. Fix an isomorphism $f : A \rightarrow B$. Throughout the lemma we will write v instead of $f^{-1} \circ s$. We will show by induction on the complexity of ϕ that for all assignments $s : \text{Vars} \rightarrow B$, $\mathfrak{B} \models \phi[s] \iff \mathfrak{A} \models \phi[v]$.

For the base case, assume $\phi := Rt_1 \dots t_n$ where R is an n -ary relation symbol and t_1, \dots, t_n are \mathcal{L} -terms. Then

$$\begin{aligned}
\mathfrak{B} \models Rt_1 \dots t_n[s] & \iff \\
(\bar{s}(t_1), \dots, \bar{s}(t_n)) \in R^{\mathfrak{B}} & \iff \\
(f(f^{-1}(\bar{s}(t_1))), \dots, f(f^{-1}(\bar{s}(t_n)))) \in R^{\mathfrak{B}} & \iff \\
(\bar{v}, \dots, \bar{v}(t_n)) \in R^{\mathfrak{A}} & \iff \\
\mathfrak{A} \models \phi[v]. &
\end{aligned}$$

The cases $\phi := \alpha \vee \beta$ and $\phi := (\neg\alpha)$ are straightforward. For the case $\phi := \forall x\psi$, assume the inductive hypothesis and notice that

$$\begin{aligned}
\mathfrak{B} \models \forall x\psi[s] & \iff \\
\mathfrak{B} \models \psi[s[x|b]] \text{ for every } b \in B. & \iff \\
\mathfrak{A} \models \psi[f^{-1} \circ s[x|b]] \text{ for every } b \in B. & \iff \\
\mathfrak{A} \models \psi[(f^{-1} \circ s)[x|a]] \text{ for every } a \in A. & \iff \\
\mathfrak{A} \models \forall x\psi[v]. &
\end{aligned}$$

The result follows by induction. □

Theorem 2.1. *If \mathfrak{A} and \mathfrak{B} are isomorphic \mathcal{L} -structures, then they are elementarily equivalent.*

Proof. Let \mathfrak{A} and \mathfrak{B} be isomorphic \mathcal{L} -structures and assume that $\mathfrak{A} \models \phi$. Let $s : \text{Vars} \rightarrow B$ be an arbitrary assignment function into \mathfrak{B} . By Lemma 2.1, we have $\mathfrak{B} \models \phi[s] \iff \mathfrak{A} \models \phi[f^{-1} \circ s]$, where $f : A \rightarrow B$ is an isomorphism. Since $\mathfrak{A} \models \phi[s']$ for any assignment s' , the result follows. The converse follows similarly. □

3 Computability Theory

Definition 3.1. *We define $\mathcal{O} : \emptyset \rightarrow \mathbb{N}$ as the function with no arguments that returns 0. $\mathcal{S} : \mathbb{N} \rightarrow \mathbb{N}$ is such that $\mathcal{S}(x) = x + 1$ for every $x \in \mathbb{N}$. For each $n \in \mathbb{N}$ we define the projection function $\mathcal{I}_i^n : \mathbb{N}^n \rightarrow \mathbb{N}$ for each $1 \leq i \leq n$ as $\mathcal{I}_i^n(x_1, x_2, \dots, x_i, \dots, x_n) = x_i$ for all $x_1, \dots, x_n \in \mathbb{N}$.*

The functions above are collectively called the initial functions.

Definition 3.2. *We define the set of computable functions as follows:*

1. *The initial functions are computable.*

2. If h is a computable function of arity m (possibly 0) and g_1, \dots, g_m are functions of arity n , then $f(x_1, \dots, x_n) = h(g_1(x), \dots, g_m(x))$.
3. If g is a computable function of arity n and h is a computable function of arity $n + 2$, then the function f given by

$$\begin{aligned} f(\tilde{x}, 0) &= g(\tilde{x}) \\ f(\tilde{x}, y + 1) &= h(\tilde{x}, y, f(\tilde{x}, y)) \end{aligned}$$

is a computable function.

4. If g is a computable function of arity $n + 1$, then $f(\tilde{x}, y) = (\mu i \leq y)(g(\tilde{x}, i))$ is computable.

4 Exercises

Exercise 7.3.8.

- (a) The statement clearly holds for the initial functions, so assume inductively that $f(\tilde{x}) = h(g_1(\tilde{x}), \dots, g_m(\tilde{x}))$ where g and h meet the inductive hypothesis. Then $f(\tilde{x}) \leq g_i(\tilde{x}) + K_h \leq x_j + K_g + K_h$. The result follows by setting $K := K_g + K_h$.
- (b) The result follows easily if f is of rank 0, so assume that it is not. Then $f()$

Exercise 7.3.9.

- (a) To show that $A(y, x)$ is a natural number we induct on y . The base case is straightforward, so assume that $A(y, x)$ is defined for all x . To show that $A(y + 1, x)$ is defined for all x , we now induct on x . For the base case, $A(y + 1, 0) = 2$ by definition, so assume that $A(y + 1, x)$ is defined. Then $A(y + 1, x + 1) = A(y, A(y + 1, x))$ by definition. But $A(y + 1, x)$ is defined by the second inductive hypothesis therefore $A(y, A(y + 1, x))$ is defined by the first inductive hypothesis.

It is easy to see that $A(1, x) = 2x + 2$ and $A(2, x) = 2^{x+2} - 2 > 2^x$ by induction.

5 Turing Machines

Definition 5.1. We will denote the set $\{0, 1\}$ by S , $\{-1, 1\}$ by D , and any non-empty string will be called a state.

Definition 5.2. A Turing Machine is a tuple (Q, T) where Q is a set of states containing the string A but not containing H , and $T : Q \times S \rightarrow Q \cup \{H\} \times S \times D$ is a transition function.

Definition 5.3. Given a Turing Machine $TM = (Q, T)$ we define a function $\sigma_{TM} : S^{\mathbf{Z}} \times \mathbb{N} \rightarrow S^{\mathbf{Z}} \times Q \cup H \times \mathbf{Z}$ called TM's step function inductively. Fix some $I_0 : \mathbf{Z} \rightarrow S$. For the base case, let $\sigma_{TM}(I_0, 0) := (I_0, A, 0)$. Now assume that $\sigma_{TM}(I_0, n) = (I_n, q_n, h_n)$ is defined. If $q_n = H$, then let $\sigma_{TM}(I_0, n+1) := \sigma_{TM}(I_0, n)$. Otherwise, let $T(q_n, I_n(h_n)) = (q_{n+1}, s_{n+1}, d_{n+1})$ and let I_{n+1} be the same function as I_n , except possibly at h_n , where we set $I_{n+1}(h_n) = s_{n+1}$. Then define $\sigma_{TM}(I_0, n+1) := (I_{n+1}, q_{n+1}, h_n + d_{n+1})$.

Definition 5.4. We say that a Turing Machine TM halts on input I if and only if we have $\sigma_{TM}(I, n) = (I', H, z)$ for appropriate I' and z and some $n \in \mathbb{N}$. In that case we also say that TM halts in n steps.

Notice that the step function of a Turing Machine that halts on some input is eventually constant at that input, but the converse is not always true.