# Mathematical Logic

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### 1 Propositional Logic

**Definition 1.1.** Let  $Vars_P := \{P_n : n \in \mathbb{N}\}$  be the set of the symbols  $P_1, P_2, \ldots$ , each called a propositional variable. We define the **language of propositional** logic as  $\mathcal{L}_P := Vars_P \cup \{\rightarrow, \neg\}$ .

**Definition 1.2.** Let  $\phi$  be an  $\mathcal{L}_P$  string. We say that  $\phi$  is a **propositional** formula (also called **p-formula**) if and only if

- 1.  $\phi$  is a propositional variable, or
- 2.  $\phi := (\alpha \to \beta)$  where  $\alpha$  and  $\beta$  are propositional formulas, or
- 3.  $\phi := (\neg \alpha)$  and  $\alpha$  is a propositional formula.

**Definition 1.3.** An assignment function is any function with domain  $Vars_P$  and codomain  $\{T, F\}$ . Given an assignment function s, we define the function  $\bar{s}$  whose domain is the set of all p-formulas and codomain is  $\{T, F\}$  as follows:

$$\bar{s}(\phi) := \begin{cases} s(\phi) & \phi \in Vars_P, \\ F & \phi \coloneqq (\neg \alpha) \text{ and } \bar{s}(\alpha) = T, \\ F & \phi \coloneqq (\alpha \to \beta) \text{ and } \bar{s}(\alpha) = T \text{ and } \bar{s}(\beta) = F, \\ T & otherwise. \end{cases}$$

Also, if  $\Sigma$  is a set of p-formulas, we say that s satisfies  $\Sigma$  if and only if  $\bar{s}(\sigma) = T$  for every  $\sigma \in \Sigma$ . Otherwise, we say that s does not satisfy  $\Sigma$ . If there is some assignment function s' that satisfies  $\Sigma$ , we say that  $\Sigma$  is satisfiable.

**Definition 1.4.** Let  $\phi$  be a p-formula. If  $\bar{s}(\phi) = T$  for every assignment function s, we say that  $\phi$  is a **tautology**. On the other hand, if  $\bar{s}(\phi) = F$  for every assignment function s, we call  $\phi$  a **contradiction**. In particular, we define  $\top$  as the tautology  $(P_1 \to (P_1 \to P_1))$  and  $\bot$  as the contradiction  $\neg \top$ , i.e  $\neg (P_1 \to (P_1 \to P_1))$ .

**Definition 1.5.** Let  $\Lambda$  be a set of p-formulas such that for every p-formula  $\phi$ ,  $\phi \in \Lambda$  if and only if

1. 
$$\phi := (A \to (B \to A))$$
, or

2. 
$$\phi := ((A \to (B \to C)) \to ((A \to B) \to (A \to C)))$$
, or

3. 
$$\phi := ((\neg B \to \neg A) \to (A \to B))$$

where A, B, C are p-formulas. We call  $\Lambda$  the set of **logical axioms**.

**Lemma 1.1.** Every  $\lambda \in \Lambda$  is a tautology.

*Proof.* This is trivial to check case by case, using the definition of assignment functions for p-formulas.  $\Box$ 

**Lemma 1.2.** Let  $\alpha$  and  $\beta$  be p-formulas and s be an assignment function such that  $\bar{s}(\alpha) = T$  and  $\bar{s}(\alpha \to \beta) = T$ . Then  $\bar{s}(\beta) = T$ .

*Proof.* Assume for contradiction that  $\bar{s}(\beta) = F$ . Since  $\bar{s}(\alpha) = T$  by assumption, it follows from the definition of  $\bar{s}$  that  $\bar{s}(\alpha \to \beta) = F$ , which contradicts our assumption that  $\bar{s}(\alpha \to \beta) = T$ . Thus  $\bar{s}(\beta) = T$ .

**Definition 1.6.** Let  $\Sigma$  be a set of p-formulas and  $\phi$  be a p-formula. We say that  $\Sigma \models \phi$  if and only if every assignment function that satisfies  $\Sigma$  assigns  $\phi$  to T.

**Definition 1.7.** Let  $\Sigma$  be a set of p-formulas and  $\phi$  be a p-formula. We say that a finite sequence  $D = (\phi_1, \phi_2, \dots, \phi_n)$  of p-formulas whose last entry is  $\phi$  is a **deduction from**  $\Sigma$  **of**  $\phi$  if and only if for each  $1 \leq i \leq n$ ,

- 1.  $\phi_i \in \Lambda \cup \Sigma$ , or
- 2. There exists j, k < i such that  $\phi_j := (\phi_k \to \phi_i)$ .

In this case, we write  $\Sigma \vdash \phi$ , read as  $\Sigma$  proves  $\phi$ . If  $\Gamma$  is a set of p-formulas such that  $\Sigma \vdash \gamma$  for every  $\gamma \in \Gamma$ , we write  $\Sigma \vdash \Gamma$ .

The following lemma has an easy proof and will be used implicitly several times.

**Lemma 1.3.** Let  $\Sigma$ ,  $\Gamma$  be sets of p-formulas and  $\alpha$ ,  $\beta$ ,  $\phi$  be p-formulas. It follows that:

- 1. If  $\Sigma \vdash (\alpha \rightarrow \beta)$  and  $\Sigma \vdash \alpha$ , then  $\Sigma \vdash \beta$ ,
- 2. If  $\Gamma \vdash \phi$  and  $\Gamma \subseteq \Sigma$ , then  $\Sigma \vdash \phi$ ,
- 3. If  $\Gamma \vdash \phi$  and  $\Sigma \vdash \Gamma$ , then  $\Sigma \vdash \phi$ .

**Theorem 1.1** (Soundness Theorem). Let  $\Sigma$  be a set of p-formulas,  $\phi$  be a p-formula. Then  $\Sigma \vdash \phi$  implies  $\Sigma \models \phi$ .

*Proof.* Assume that  $\Sigma \vdash \phi$ . We let s be an arbitrary assignment function that satisfies  $\Sigma$  and induct on the shortest length of deduction of  $\phi$ . If there is a deduction of  $\phi$  with length 1, then either  $\phi \in \Lambda$  or  $\phi \in \Sigma$ . In the first case,  $\phi$  is a tautology by Lemma 1.1, so  $\bar{s}(\phi) = T$ . The other case follows from our assumption that s satisfies  $\Sigma$ . Now assume inductively that if  $\psi$  is a p-formula

provable from  $\Sigma$  such that its shortest length of deduction is less than or equal to n then  $\bar{s}(\psi) = T$ .

Assume that the shortest length of deduction of  $\phi$  is n+1.  $\phi \notin \Sigma$  and  $\phi \notin \Lambda$ , since its shortest length of deduction would be 1 in that case. Thus, we have  $\phi_j$  and  $\phi_k$  in the deduction of  $\phi$  such that  $\phi_j := \phi_k \to \phi$ . By the inductive hypothesis,  $\bar{s}(\phi_j) = \bar{s}(\phi_k) = T$ , so it follows from Lemma 1.2 that  $\bar{s}(\phi) = T$ .  $\square$ 

**Lemma 1.4.** For every p-formula  $\phi$ ,  $\vdash$   $(\phi \rightarrow \phi)$ .

*Proof.* Let  $\phi$  be a p-formula. The following is a deduction of  $(\phi \to \phi)$  from  $\{\}$ .

(1) 
$$(\phi \rightarrow ((P_1 \rightarrow \phi) \rightarrow \phi))$$
 Ax 1

(2) 
$$((\phi \rightarrow ((P_1 \rightarrow \phi) \rightarrow \phi)) \rightarrow ((\phi \rightarrow (P_1 \rightarrow \phi)) \rightarrow (\phi \rightarrow \phi)))$$
 Ax 2

(3) 
$$((\phi \to (P_1 \to \phi)) \to (\phi \to \phi))$$
 MP 1,2

(4) 
$$(\phi \rightarrow (P_1 \rightarrow \phi))$$
 Ax 1

(5) 
$$(\phi \rightarrow \phi)$$
 MP 3,4.

**Theorem 1.2** (Deduction Theorem). Let  $\Sigma$  be a set of p-formulas and  $\theta, \phi$  be p-formulas. Then,  $\Sigma \vdash (\theta \to \phi) \iff \Sigma \cup \{\theta\} \vdash \phi$ .

*Proof.* For the forward direction, assume that  $\Sigma \vdash (\theta \to \phi)$ . We can use the same deduction from  $\Sigma$  of  $(\theta \to \phi)$  to see that  $\Sigma \cup \{\theta\} \vdash (\theta \to \phi)$ . But clearly  $\Sigma \cup \{\theta\} \vdash \theta$ , so  $\Sigma \cup \{\theta\} \vdash \phi$  by modus ponens.

For the converse direction, we will assume that  $\Sigma \cup \{\theta\} \vdash \phi$  and induct on the shortest length of deduction of  $\phi$ . For the base case, assume first that  $\phi \in \Lambda \cup \Sigma$ . Then,  $\Sigma \vdash \phi$  and  $\phi \to (\theta \to \phi)$  is a logical axiom so  $\Sigma$  also proves it. By modus ponens,  $\Sigma \vdash (\theta \to \phi)$ . The last subcase of the base case is  $\phi :\equiv \theta$ , but we already know that  $\Sigma \vdash (\theta \to \theta)$ , by Lemma 1.4.

Next, assume the inductive hypothesis and let the shortest length of deduction of  $\phi$  be n+1. Then, we must have  $\psi$  and  $(\psi \to \phi)$  in the deduction of  $\phi$  from  $\Sigma \cup \{\theta\}$ . By the inductive hypothesis (IH),  $\Sigma \vdash (\theta \to (\psi \to \phi))$  an  $\Sigma \vdash (\theta \to \psi)$ . Then,

(1) 
$$\Sigma \vdash ((\theta \to (\psi \to \phi)) \to ((\theta \to \psi) \to (\theta \to \phi)))$$
 Ax 2

(2) 
$$\Sigma \vdash ((\theta \to \psi) \to (\theta \to \phi))$$
 MP 1,IH

(3) 
$$\Sigma \vdash (\theta \rightarrow \phi)$$
 MP 2,IH

**Lemma 1.5.** Let  $\psi, \phi$  be p-formulas. Then  $\psi, \neg \psi \vdash \phi$ .

Proof.

(1) 
$$\neg \psi \to (\neg \phi \to \neg \psi)$$
 Ax 1  
(2)  $\neg \psi$   
(3)  $(\neg \phi \to \neg \psi)$  MP 1,2  
(4)  $(\neg \phi \to \neg \psi) \to (\psi \to \phi)$  Ax 3  
(5)  $(\psi \to \phi)$  MP 3,4  
(6)  $\psi$   
(7)  $\phi$  MP 5,6.

**Definition 1.8.** A set of p-formulas  $\Sigma$  is inconsistent if and only if there is a p-formula  $\phi$  such that  $\Sigma \vdash \phi$  and  $\Sigma \vdash \neg \phi$ .  $\Sigma$  is consistent if and only if it is not inconsistent.

**Lemma 1.6.** Let  $\Sigma$  be a set of p-formulas. The following statements are equivalent:

- 1.  $\Sigma$  is consistent.
- 2. There is a p-formula  $\psi$  such that  $\Sigma \not\vdash \psi$ .
- 3. There is no p-formula  $\psi$  such that  $\Sigma \vdash \neg(\psi \rightarrow \psi)$ .
- 4.  $\Sigma \nvdash \bot$ .

*Proof.* For the equivalence between (1) and (2), we show instead that  $\Sigma$  is inconsistent if and only if  $\Sigma$  proves every p-formula. For the forward direction, assume that  $\Sigma$  is inconsistent. Then there is some formula  $\phi$  such that  $\Sigma \vdash \phi$  and  $\Sigma \vdash \neg \phi$ . From the deductions of each of these, we can use Lemma 1.5 to produce a deduction of any formula  $\psi$ .

For the converse direction, assume that  $\Sigma$  proves every p-formula. Then  $\Sigma \vdash P_1$  and  $\Sigma \vdash \neg P_1$ , so it is inconsistent.

For the equivalence between (2) and (3), assume first that there is a p-formula  $\psi$  such that  $\Sigma \vdash \neg(\psi \to \psi)$ . By Lemma 1.4,  $\Sigma \vdash (\psi \to \psi)$ . Thus, it follows from Lemma 1.5 that  $\Sigma$  proves every formula, thus showing that (2) is not the case. The other direction is trivial.

$$(4) \implies (2)$$
 is trivial, and  $(3) \implies (4)$  also follows easily.

**Lemma 1.7.** Let  $\Sigma$  be a set of p-formulas. If  $\phi$  is a p-formula such that  $\Sigma \not\vdash \phi$ , then  $\Sigma \cup \{\neg \phi\}$  is consistent.

*Proof.* We prove by contrapositive, so assume that  $\Sigma \cup \neg \phi$  is inconsistent. By Lemma 1.6,  $\Sigma \cup \neg \phi \vdash \bot$ , and the Deduction Theorem guarantees that  $\Sigma \vdash (\neg \phi \to \bot)$ . Then,

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\begin{array}{ll} (1) \ \Sigma \vdash (\neg \phi \to \bot) & \text{Deduction Theorem} \\ (2) \ \Sigma \vdash (\neg \phi \to \bot) \to (\top \to \phi) & \text{Ax 3} \\ (3) \ \Sigma \vdash \top \to \phi & \text{MP 1,2} \\ (4) \ \Sigma \vdash \top & \text{Ax 1} \\ (5) \ \Sigma \vdash \phi & \text{MP 4,5.} \end{array}
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**Lemma 1.8.** The following statements are equivalent:

1. For every set of p-formulas  $\Gamma$  and every p-formula  $\phi$ , if  $\Gamma \models \phi$  then  $\Gamma \vdash \phi$ .

2. Every consistent set of p-formulas is satisfiable.

*Proof.* For the forward direction, assume the contrapositive of (1) and let  $\Delta$  be a consistent set of p-formulas. By Lemma 1.6,  $\Delta \not\vdash \bot$ . By assumption,  $\Delta \not\models \bot$ . If there was no assignment s that satisfied  $\Delta$ , then  $\Delta \models \bot$  would be vacuously true, so  $\Delta$  must be satisfiable.

For the converse direction, assume (2) and let  $\Gamma$  and  $\phi$  be such that  $\Gamma \not\vdash \phi$ . By Lemma 1.7,  $\Gamma \cup \{\neg \phi\}$  is consistent, so it is satisfied by some assignment s. Thus,  $s(\neg \phi) = T$ , so  $s(\phi) = F$ . Since s satisfies  $\Gamma$  but  $s(\phi) = F$ , it follows that  $\Gamma \not\models \phi$ , as wanted.

**Definition 1.9.** Let  $\Sigma$  be a set of p-formulas. We say that  $\Sigma$  is complete if and only if  $\Sigma$  is consistent and for every p-formula  $\phi$ , exactly one of  $\phi$ ,  $\neg \phi$  is in  $\Sigma$ .

**Lemma 1.9.** Let  $\Sigma$  be a complete set of p-formulas. Then,  $\Sigma \vdash \phi \iff \phi \in \Sigma$  for all p-formulas  $\phi$ .

*Proof.* For the forward direction assume that  $\Sigma \vdash \phi$ . If  $\neg \phi \in \Sigma$  then clearly  $\Sigma \vdash \neg \phi$ , so  $\Sigma$  is inconsistent, contradicting the assumption that  $\Sigma$  is complete. Thus  $\neg \phi \notin \Sigma$ , therefore  $\phi \in \Sigma$ . The converse direction is trivial.

**Definition 1.10.** Let  $\Sigma$  be a set of p-formulas. We say that  $\Sigma$  is maximally consistent if and only if

- 1.  $\Sigma$  is consistent, and
- 2. For every consistent  $\Sigma'$ , if  $\Sigma \subseteq \Sigma'$  then  $\Sigma' = \Sigma$ .

**Lemma 1.10.** Definitions 1.9 and 1.10 are equivalent.

*Proof.* Let  $\Sigma$  be a set of p-formulas. For the forward direction, assume that  $\Sigma$  is complete and that  $\Sigma'$  is consistent with  $\Sigma \subseteq \Sigma'$ . Assume for contradiction that there is some  $\psi \in \Sigma'$  such that  $\psi \notin \Sigma$ . Since  $\Sigma$  is complete we can apply Lemma 1.9 to see that,  $\neg \psi \in \Sigma$ , so it follows by assumption that  $\neg \psi \in \Sigma'$  thus  $\Sigma'$  is inconsistent. This contradiction means that  $\Sigma' \subseteq \Sigma$ , so  $\Sigma' = \Sigma$ .

For the converse direction, assume that  $\Sigma$  is maximally consistent and let  $\phi$  be a formula such that  $\Sigma \not\vdash \phi$ . By Lemma 1.7,  $\Sigma \cup \{\neg \phi\}$  is consistent. Since  $\Sigma \cup \{\neg \phi\} \subseteq \Sigma$ , it follows that  $\Sigma \cup \{\neg \phi\} = \Sigma$ , so  $\neg \phi \in \Sigma$ , therefore  $\Sigma \vdash \neg \phi$ , as wanted. Also, since  $\Sigma$  is consistent, it can only prove at most one of  $\phi$  and  $\neg \phi$  for any given  $\phi$ .

**Lemma 1.11.** Let  $\Sigma$  be a complete set of p-formulas. If s is an assignment function such that for every propositional variable p,

$$s(p) := \begin{cases} T & p \in \Sigma \\ F & \neg p \in \Sigma, \end{cases}$$

then s is the unique assignment that satisfies  $\Sigma$ .

*Proof.* Let s be as described in the Lemma. Notice that s is well-defined, since Lemma 1.9 guarantees that for every propositional variable p either  $p \in \Sigma$  or  $\neg p \in \Sigma$ , but not both. To see that s satisfies  $\Sigma$ , we show that  $s(\sigma) = T \iff \sigma \in \Sigma$  by induction on the complexity of  $\sigma$ .

The base case is that  $\sigma$  is a propositional variable, but then  $s(\sigma) = T \iff \sigma \in \Sigma$  follows trivially. Assume the expected induction hypothesis. If  $\sigma \coloneqq \neg \alpha$ , then  $s(\sigma) = T \iff s(\alpha) = F \iff \neg \alpha \in \Sigma \iff \sigma \in \Sigma$ . The other case is  $\sigma \coloneqq (\alpha \to \beta)$ . For the forward direction, assume that  $s(\alpha \to \beta) = T$ , and notice that  $s(\alpha \to \beta) = T \iff s(\alpha) = F$  or  $s(\beta) = T$ . If  $s(\alpha) = F$ , then  $\neg \alpha \in \Sigma$ , by the inductive hypothesis. By Lemma 1.5,  $\Sigma$ ,  $\alpha \vdash \beta$ , so the Deduction Theorem gives that  $\Sigma \vdash (\alpha \to \beta)$ , thus  $\sigma \in \Sigma$ . Next, assume that  $s(\beta) = T$ . Then,  $\Sigma \vdash \beta$ , so  $\Sigma \vdash (\beta \to (\alpha \to \beta))$ , thus  $\Sigma \vdash (\alpha \to \beta)$ .

For the converse direction, assume that  $(\alpha \to \beta \in \Sigma)$ . If  $\neg \alpha \in \Sigma$  then  $s(\alpha) = F$ , so  $s(\alpha \to \beta) = T$ . The last case is  $\alpha \in \Sigma$ . Applying modus ponens,  $\Sigma \vdash \beta$ , so  $\beta \in \Sigma$  and  $s(\beta) = T$  by the inductive hypothesis, so  $s(\alpha \to \beta) = T$ . It follows by induction that s satisfies  $\Sigma$ .

Now assume that s' is another assignment that satisfies  $\Sigma$  and let p be an arbitrary propositional variable. If  $p \in \Sigma$  then s'(p) = T, but also s(p) = T. If  $p \notin \Sigma$  then  $\neg p \in \Sigma$  so  $s'(\neg p) = T$  and s'(p) = F, and we also have s(p) = F. Since s and s' agree on every propositional variable, they must be the same function, so that s is unique.  $\square$ 

**Theorem 1.3** (Completeness Theorem). Let  $\Sigma$  be a set of p-formulas and  $\phi$  be a p-formula. Then,  $\Sigma \models \phi \implies \Sigma \vdash \phi$ .

*Proof.* If we can show that any consistent set of p-formulas is satisfiable the result follows by Lemma 1.8, so let  $\Delta$  be one such set. Since  $\mathcal{L}_P$  only has countably many symbols and every  $\mathcal{L}_P$  string is finite, there are only countably many p-formulas. Thus, we can fix a list of the p-formulas as follows:

$$\phi_0, \phi_1, \phi_2, \dots$$

This can be done so that every p-formula occurs in the list exactly once.

Let  $\Sigma_0 := \Delta$  and define  $\Sigma_{n+1}$  recursively as

$$\Sigma_{n+1} := \begin{cases} \Sigma_n \cup \{\phi_n\} & \Sigma_n \vdash \phi_n \\ \Sigma_n \cup \{\neg \phi_n\} & \Sigma_n \not\vdash \phi_n \end{cases}$$

We argue by induction that each  $\Sigma_n$  is consistent. The base case follows from the assumption that  $\Delta$  is consistent, so assume that  $\Sigma_n$  is consistent. If  $\Sigma_n \not\vdash \phi_n$ ,  $\Sigma_{n+1} = \Sigma_n \cup \{\neg \phi_n\}$  is consistent by Lemma 1.7. The other case is  $\Sigma \vdash \phi_n$ , but then  $\Sigma_{n+1} = \Sigma_n \cup \{\phi_n\}$  is clearly consistent.

Define  $\Sigma := \bigcup_{n \in \mathbb{N}} \Sigma_n$ . Clearly  $\Sigma_0 = \Delta \subseteq \Sigma$ . Assume for contradiction that  $\Sigma$  is inconsistent and fix some deduction D of  $\bot$  from  $\Sigma$ . Since D is finite, there are only finitely many assumptions used (i.e elements of  $\Sigma$ ) used in D, so that there is some  $N \in \mathbb{N}$  such that  $\Sigma_N$  includes all of those assumptions. Thus,  $\Sigma_N \vdash \bot$ . But we have already shown that  $\Sigma_N$  must be consistent, so we have our contradiction.

Also, given any p-formula  $\psi$ , there is some natural n such that  $\phi_n := \psi$ , so one of  $\psi$  or  $\neg \psi$  are in  $\Sigma$ . Since  $\Sigma$  is consistent, it cannot be the case that both  $\psi, \neg \psi \in \Sigma$ , so  $\Sigma$  is complete. By Lemma 1.11, there is an assignment s that satisfies  $\Sigma$ . Since  $\Delta \subseteq \Sigma$ , s also satisfies  $\Delta$ , thus  $\Delta$  is satisfiable and we are done.

**Definition 1.11.** A set  $\Gamma$  of p-formulas is finitely satisfiable if and only if all of its finite subsets are satisfiable.

**Theorem 1.4** (Compactness Theorem). A set  $\Gamma$  of p-formulas is satisfiable if and only if it is finitely satisfiable.

*Proof.* The forward direction is trivial, so we focus on the converse. Assume that  $\Gamma$  is not satisfiable. It follows vacuously that  $\Gamma \models \bot$ , so  $\Gamma \vdash \bot$  by the Completeness Theorem. Since every proof is finite, there must be some  $\Gamma_0 \subseteq \Gamma$  such that  $\Gamma_0 \vdash \bot$ . By the Soundness Theorem,  $\Gamma_0 \models \bot$ , therefore it is not satisfiable.

## 2 First-order Logic

Throughout this section  $\mathcal{L}$  will denote an arbitrary first-order language.

**Definition 2.1.** An  $\mathcal{L}$ -string t is called an  $\mathcal{L}$ -term if and only if

- 1.  $t \in Vars$ , or
- 2. t is a constant symbol of  $\mathcal{L}$ , or
- 3.  $t := ft_1, \dots t_n$  where  $t_1, \dots t_n$  are  $\mathcal{L}$ -terms and f is an n-ary function symbol from  $\mathcal{L}$ .

**Definition 2.2.** An  $\mathcal{L}$ -string  $\phi$  is called an  $\mathcal{L}$ -formula if and only if

1.  $\phi := t_1t_2$ , where  $t_1, t_2$  are  $\mathcal{L}$ -terms, or

- 2.  $\phi := Rt_1, \ldots, t_n$  where  $t_1, \ldots t_n$  are  $\mathcal{L}$ -terms and R is an n-ary relation symbol from  $\mathcal{L}$ , or
- 3.  $\phi := (\alpha \to \beta)$ , where  $\alpha, \beta$  are  $\mathcal{L}$ -formulas, or
- 4.  $\phi := (\neg \alpha)$ , where  $\alpha$  is a  $\mathcal{L}$ -formula, or
- 5.  $\phi := (\forall x)(\alpha)$ , where x is a variable and  $\alpha$  is an  $\mathcal{L}$ -formula.

**Definition 2.3.** We say that  $\mathfrak{A}$  is an  $\mathcal{L}$ -structure if and only if  $\mathfrak{A}$  is a (possibly infinite) tuple  $(A, c_1^{\mathfrak{A}}, c_2^{\mathfrak{A}}, \dots, f_1^{\mathfrak{A}}, f_2^{\mathfrak{A}}, \dots, R_1^{\mathfrak{A}}, R_2^{\mathfrak{A}}, \dots)$  where A is a nonempty set,  $c_1, c_2, \dots$  are all of the constant symbols of  $\mathcal{L}$ ,  $f_1, f_2 \dots$  are the functions symbols of  $\mathcal{L}$  and similarly for  $R_1, R_2, \dots$  We also require that:

- 1. For each constant symbol  $c \in \mathcal{L}$ ,  $c^{\mathfrak{A}} \in A$ :
- 2. For each n-ary function symbol  $f \in \mathcal{L}$ ,  $f^{\mathfrak{A}} : A^n \to A$ , i.e  $f^{\mathfrak{A}}$  is a function from  $A^n$  to A.
- 3. For each n-ary relation symbol  $R \in \mathcal{L}$ ,  $R^{\mathfrak{A}} \subseteq A^n$ .

**Definition 2.4.** Let  $\mathfrak{A}$  be an  $\mathcal{L}$  structure. An assignment function is a function with domain V ars and codomain A. Also, for every assignment function  $s: Vars \to A$  every  $a \in A$  and every  $x \in Vars$ , we define the function  $s[x|a]: Vars \to A$  as

$$s[x|a](v) = \begin{cases} a, & \text{if } v = x \\ s(v) & \text{if } v \neq x. \end{cases}$$

**Definition 2.5.** Let  $\mathfrak A$  and  $\mathfrak B$  be  $\mathcal L$ -structures. We say that  $\mathfrak A$  is isomorphic to  $\mathfrak B$  if and only if there is a bijection  $f:A\to B$  such that

- 1.  $f(c^{\mathfrak{A}}) = c^{\mathfrak{B}}$  for every constant symbol  $c \in \mathcal{L}$ ,
- 2.  $f(g^{\mathfrak{A}}(a_1,\ldots,a_n))=g^{\mathfrak{B}}(f(a_1),\ldots,f(a_n))$  for every n-ary function symbol  $g\in L$  and every  $(a_1,\ldots,a_n)\in A^n$ ,
- 3.  $(a_1, \ldots, a_n) \in R^{\mathfrak{A}} \iff (f(a_1), \ldots, f(a_n)) \in R^{\mathfrak{B}}$  for every n-ary relation symbol  $R \in \mathcal{L}$  and every  $(a_1, \ldots, a_n) \in A^n$ .

**Definition 2.6.** Let  $\mathfrak{A}$  and  $\mathfrak{B}$  be  $\mathcal{L}$ -structures. We say that  $\mathfrak{A}$  is elementarily equivalent to  $\mathfrak{B}$  if and only if given any  $\mathcal{L}$ -formula  $\phi$ ,  $\mathfrak{A} \models \phi \iff \mathfrak{B} \models \phi$ .

**Lemma 2.1.** Let  $\mathfrak A$  and  $\mathfrak B$  be isomorphic  $\mathcal L$ -structures. Given an isomorphism  $f:A\to B$  and an assignment  $s:Vars\to B$ , we have

$$\mathfrak{B} \models \phi[s] \iff \mathfrak{A} \models \phi[f^{-1} \circ s].$$

*Proof.* Fix an isomorphism  $f: A \to B$ . Throughout the lemma we will write v instead of  $f^{-1} \circ s$ . We will show by induction on the complexity of  $\phi$  that for all assignments  $s: Vars \to B$ ,  $\mathfrak{B} \models \phi[s] \iff \mathfrak{A} \models \phi[v]$ .

For the base case, assume  $\phi := Rt_1 \dots t_n$  where R is an n-ary relation symbol and  $t_1, \dots, t_n$  are  $\mathcal{L}$ -terms. Then

$$\mathfrak{B} \models Rt_1 \dots t_n[s] \qquad \iff \\ (\bar{s}(t_1), \dots, \bar{s}(t_n)) \in R^{\mathfrak{B}} \qquad \iff \\ (f(f^{-1}(\bar{s}(t_1))), \dots, f(f^{-1}(\bar{s}(t_n)))) \in R^{\mathfrak{B}} \qquad \iff \\ (\bar{v}, \dots, \bar{v}(t_n)) \in R^{\mathfrak{A}} \qquad \iff \\ \mathfrak{A} \models \phi[v].$$

The cases  $\phi := \alpha \vee \beta$  and  $\phi := (\neg \alpha)$  are straightforward. For the case  $\phi := \forall x \psi$ , assume the inductive hypothesis and notice that

$$\mathfrak{B} \models \forall x \psi[s] \qquad \iff \\ \mathfrak{B} \models \psi[s[x|b]] \text{ for every } b \in B. \qquad \iff \\ \mathfrak{A} \models \psi[f^{-1} \circ s[x|b]] \text{ for every } b \in B. \qquad \iff \\ \mathfrak{A} \models \psi[(f^{-1} \circ s)[x|a]] \text{ for every } a \in A. \qquad \iff \\ \mathfrak{A} \models \forall x \psi[v].$$

The result follows by induction.

**Theorem 2.1.** If  $\mathfrak A$  and  $\mathfrak B$  are isomorphic  $\mathcal L$ -structures, then they are elementarily equivalent.

*Proof.* Let  $\mathfrak{A}$  and  $\mathfrak{B}$  be isomorphic  $\mathcal{L}$ -structures and assume that  $\mathfrak{A} \models \phi$ . Let  $s: Vars \to B$  be an arbitrary assignment function into  $\mathfrak{B}$ . By Lemma 2.1, we have  $\mathfrak{B} \models \phi[s] \iff \mathfrak{A} \models \phi[f^{-1} \circ s]$ , where  $f: A \to B$  is an isomorphism. Since  $A \models \phi[s']$  for any assignment s', the result follows. The converse follows similarly.

## 3 Computability Theory

**Definition 3.1.** We define  $\mathcal{O}: \varnothing \to \mathbf{N}$  as the function with no arguments that returns 0.  $\mathcal{S}: \mathbf{N} \to \mathbf{N}$  is such that  $\mathcal{S}(x) = x + 1$  for every  $x \in \mathbf{N}$ . For each  $n \in \mathbf{N}$  we define the projection function  $\mathcal{I}_i^n: \mathbf{N}^n \to \mathbf{N}$  for each  $1 \le i \le n$  as  $\mathcal{I}_i^n(x_1, x_2, \dots, x_i, \dots, x_n) = x_i$  for all  $x_1, \dots, x_n \in \mathbf{N}$ .

The functions above are collectively called the initial functions.

**Definition 3.2.** We define the set of computable functions as follows:

- 1. The initial functions are computable.
- 2. If h is a computable function of arity m (possibly 0) and  $g_1, \ldots, g_m$  are functions of arity n, then  $f(x_1, \ldots, x_n) = h(g_1(x), \ldots, g_m(x))$ .

3. If g is a computable function of arity n and h is a computable function of arity n + 2, then the function f given by

$$f(x,0) = g(x)$$
  
$$f(x,y+1) = h(x,y,f(x,y))$$

 $is\ a\ computable\ function.$ 

4. If g is a computable function of arity n+1, then  $f(x,y)=(\mu i \leqslant y)(g(x,i))$  is computable.

#### 4 Exercises

#### Exercise 7.3.8.

- (a) The statement clearly holds for the initial functions, so assume inductively that  $f(x) = h(g_1(x), \ldots, g_m(x))$  where g and h meet the inductive hypothesis. Then  $f(x) \leq g_i(x) + K_h \leq x_j + K_g + K_h$ . The result follows by setting  $K := K_g + K_h$ .
- (b) The result follows easily if f is of rank 0, so assume that it is not. Then f()

#### Exercise 7.3.9.

(a) To show that A(y,x) is a natural number we induct on y. The base case is straightforward, so assume that A(y,x) is defined for all x. To show that A(y+1,x) is defined for all x, we now induct on x. For the base case, A(y+1,0)=2 by definition, so assume that A(y+1,x) is defined. Then A(y+1,x+1)=A(y,A(y+1,x)) by definition. But A(y+1,x) is defined by the second inductive hypothesis therefore A(y,A(y+1,x)) is defined by the first inductive hypothesis.

It is easy to see that A(1,x)=2x+2 and  $A(2,x)=2^{x+2}-2>2^x$  by induction.

## 5 Turing Machines

**Definition 5.1.** We will denote the set  $\{0,1\}$  by S,  $\{-1,1\}$  by D, and any non-empty string will be called a state.

**Definition 5.2.** A Turing Machine is a tuple (Q,T) where Q is a set of states containing the string A but not containing H, and  $T: Q \times S \to Q \cup \{H\} \times S \times D$  is a transition function.

**Definition 5.3.** Given a Turing Machine TM = (Q, T) we define a function  $\sigma_{TM} : S^{\mathbf{Z}} \times \mathbf{N} \to S^{\mathbf{Z}} \times Q \cup H \times \mathbf{Z}$  called TM's step function inductively. Fix some  $I_0 : \mathbf{Z} \to S$ . For the base case, let  $\sigma_{TM}(I_0, 0) := (I_0, A, 0)$ . Now assume

that  $\sigma_{\mathrm{TM}}(I_0,n)=(I_n,q_n,h_n)$  is defined. If  $q_n=H$ , then let  $\sigma_{\mathrm{TM}}(I_0,n+1):=\sigma_{\mathrm{TM}}(I_0,n)$ . Otherwise, let  $T(q_n,I_n(h_n))=(q_{n+1},s_{n+1},d_{n+1})$  and let  $I_{n+1}$  be the same function as  $I_n$ , except possibly at  $h_n$ , where we set  $I_{n+1}(h_n)=s_{n+1}$ . Then define  $\sigma_{\mathrm{TM}}(I_0,n+1):=(I_{n+1},q_{n+1},h_n+d_{n+1})$ .

**Definition 5.4.** We say that a Turing Machine TM halts on input I if and only if we have  $\sigma_{TM}(I, n) = (I', H, z)$  for appropriate I' and z and some  $n \in \mathbb{N}$ . In that case we also say that TM halts in n steps.

Notice that the step function of a Turing Machine that halts on some input is eventually constant at that input, but the converse is not always true.