

# Topology

Eduardo Freire

August 2021

# Contents

<b>I</b>	<b>Munkres</b>	<b>2</b>
<b>1</b>	<b>Metric Spaces</b>	<b>3</b>
1.1	Basics . . . . .	3
1.2	Completing a Metric Space . . . . .	5
<b>2</b>	<b>Topological Spaces and Continuous Functions</b>	<b>7</b>
2.12	Topological Spaces . . . . .	7
2.13	Basis for a Topology . . . . .	7
2.14	The Order Topology . . . . .	9
2.15	The Product Topology on $X \times Y$ . . . . .	10
2.16	The Subspace Topology . . . . .	10
2.19	The Product Topology . . . . .	11
<b>3</b>	<b>Connectedness and Compactness</b>	<b>14</b>
3.24	Connected Subspaces of the Real Line . . . . .	14
3.26	Compact Spaces . . . . .	14
3.27	Compact Subspaces of the Real Line . . . . .	15
<b>II</b>	<b>Hatcher</b>	<b>17</b>
<b>4</b>	<b>The Fundamental Group</b>	<b>18</b>
4.1	Basic Constructions . . . . .	18
4.1.1	Paths and Homotopy . . . . .	18

**Part I**

**Munkres**

# Chapter 1

## Metric Spaces

### 1.1 Basics

**Definition 1.1.1.** Let  $X$  be a set and  $d : X \times X \rightarrow \mathbb{R}$  be a function. We say that  $(X, d)$  is a metric space if and only if for all  $x, y, z \in X$ ,

1.  $d(x, y) = 0 \iff x = y$ ,
2.  $d(x, y) = d(y, x)$ ,
3.  $d(x, y) \leq d(x, z) + d(z, y)$ .

*Remark 1.1.1.* Notice that on any metric space  $(X, d)$  we have  $d(x, y) \geq 0$  for all  $x, y \in X$ , since  $0 = d(x, x) \leq d(x, y) + d(y, x) = 2d(x, y)$ .

Throughout this section  $(X, d)$  will be an arbitrary metric space.

**Definition 1.1.2.** We will call a function  $f : \mathbb{N} \rightarrow X$  a sequence in  $X$ . In that case, we will sometimes write  $f_n$  instead of  $f(n)$ . When  $X$  is clear from the context, we might also write  $f = (a_n)_{n \in \mathbb{N}}$  to mean that  $f$  is a sequence in  $X$  where  $f(n) = a_n$  for each  $n \in \mathbb{N}$ .

**Definition 1.1.3.** A sequence  $x : \mathbb{N} \rightarrow X$  is Cauchy if and only if for all  $\epsilon > 0$  there is a natural number  $N$  such that for all naturals  $n, m \geq N$  we have  $d(x_n, x_m) < \epsilon$ . We also define the set  $\mathcal{C}(X) := \{x : \mathbb{N} \rightarrow X \mid x \text{ is Cauchy}\}$  of Cauchy sequences of  $X$ .

**Definition 1.1.4.** A sequence  $x : \mathbb{N} \rightarrow X$  converges if and only if there is some  $L \in X$  such that  $\lim_{n \rightarrow \infty} d(x_n, L) = 0$ . In that case, we say that  $x$  converges to  $L$  or that the limit of  $x$  is  $L$ .

Furthermore, we say that a metric space is complete if and only if all of its Cauchy sequences converge.

**Lemma 1.1.1.** *Every convergent sequence is Cauchy.*

*Proof.* Let  $x : \mathbb{N} \rightarrow X$  be a sequence that converges to  $L \in X$ . Now let  $\epsilon > 0$  be arbitrary and choose  $N \in \mathbb{N}$  such that  $d(x_n, L) < \epsilon/2$  for all  $n \geq N$ . Then,

$$d(x_n, x_m) \leq d(x_n, L) + d(L, x_m) < \epsilon/2 + \epsilon/2 = \epsilon$$

for all  $n, m \geq N$ . Thus  $x$  is Cauchy, as we wanted to show.  $\square$

**Lemma 1.1.2.** *The limit of a Cauchy sequence is unique.*

*Proof.* Assume for contradiction that there is a Cauchy sequence  $x : \mathbb{N} \rightarrow X$  and  $L, L'$  with  $L \neq L'$  such that  $x$  converges to both  $L$  and  $L'$ . Since  $d(L, L') > 0$ , we must have some  $N_1 \in \mathbb{N}$  such that  $d(x_n, L) < d(L, L')/2$  for all  $n \geq N_1$  and some  $N_2 \in \mathbb{N}$  such that  $d(x_n, L') < d(L, L')/2$  for all  $n \geq N_2$ . So let  $N := \max(N_1, N_2)$  and fix some  $n \geq N$ .

We have that  $d(x_n, L) < d(L, L')/2$  and  $d(x_n, L') < d(L, L')/2$ . Summing the inequalities we get that  $d(L, x_n) + d(x_n, L') < d(L, L')$ . But, by the triangle inequality,  $d(L, L') \leq d(L, x_n) + d(x_n, L')$ , a contradiction.  $\square$

*Remark 1.1.2.* Not every metric space is complete. Consider for example  $Q = (\mathbb{Q}, d)$ , where  $d : \mathbb{Q} \times \mathbb{Q} \rightarrow \mathbb{R}$  is given by  $d(p, q) = |p - q|$  for all  $p, q \in \mathbb{Q}$ . Clearly,  $Q$  is a metric space, but the Cauchy sequence

$$(3, 3.1, 3.14, 3.141, 3.1415, 3.14159, \dots)$$

does not converge, since  $\pi$  is irrational.

**Definition 1.1.5.** We will say that two sequences  $x, y : \mathbb{N} \rightarrow X$  are equivalent if and only if  $\lim_{n \rightarrow \infty} d(x_n, y_n) = 0$ . This defines an equivalence relation  $\sim$  on  $\mathcal{C}(X)$ , namely  $x \sim y \iff x$  is equivalent to  $y$ .

*Remark 1.1.3.* It is obvious that  $\sim$  is reflexive and symmetric, so we check only that it is transitive. Assume that  $x, y, z \in \mathcal{C}(X)$  and  $x \sim y$  and  $y \sim z$ . Let  $\epsilon > 0$  be arbitrary. Choose  $N_1 \in \mathbb{N}$  such that  $d(x_n, y_n) < \epsilon/2$  for all  $n \geq N_1$  and  $N_2 \in \mathbb{N}$  such that  $d(y_n, z_n) < \epsilon/2$  for all  $n \geq N_2$  and set  $N := \max(N_1, N_2)$ . For any  $n \geq N$  we have  $d(x_n, z_n) \leq d(x_n, y_n) + d(y_n, z_n) < \epsilon/2 + \epsilon/2 = \epsilon$ , so  $x \sim z$  as we wanted to show.

**Lemma 1.1.3.** *If  $x \in \mathcal{C}(X)$  is equivalent to  $y : \mathbb{N} \rightarrow X$ , then  $y$  is also Cauchy.*

*Proof.* Let  $\epsilon > 0$  be arbitrary. Choose  $N$  large enough so that  $d(x_n, y_n) < \epsilon/3$  and  $d(x_n, x_m) < \epsilon/3$  for all  $n, m \geq N$ . Now let  $n, m \geq N$  be arbitrary. Then, we have

$$\begin{aligned} d(y_n, y_m) &\leq d(y_n, x_n) + d(x_n, y_m) \\ &\leq d(y_n, x_n) + d(x_n, x_m) + d(x_m, y_m) \\ &< \epsilon/3 + \epsilon/3 + \epsilon/3 = \epsilon, \end{aligned}$$

so  $y$  is Cauchy, as we wanted to show.  $\square$

**Lemma 1.1.4.** *If a sequence  $x$  converges and  $x \sim y$ , then  $y$  converges to the same limit as  $x$ .*

*Proof.* Let  $x, y : \mathbb{N} \rightarrow X$  and assume that  $x \sim y$  and  $\lim x = L$ . Notice that for all  $n \in \mathbb{N}$  we have  $0 \leq d(y_n, L) \leq d(y_n, x_n) + d(x_n, L)$ . By the Squeeze Theorem we can conclude that  $y$  converges to  $L$ .  $\square$

## 1.2 Completing a Metric Space

**Definition 1.2.1.** Let  $\tilde{X}$  denote the set of all equivalence classes of  $\mathcal{C}(X)$  under  $\sim$ , namely  $\tilde{X} := \{[x] \mid x \in \mathcal{C}(X)\}$ , where  $[x] = \{y \in \mathcal{C}(X) \mid x \sim y\}$ . We also define the function  $\tilde{d} : \tilde{X} \times \tilde{X} \rightarrow \mathbb{R}$  as  $\tilde{d}([x], [y]) = \lim_{n \rightarrow \infty} d(x_n, y_n)$  for all  $x, y \in \mathcal{C}(X)$ .

**Lemma 1.2.1.** *The function  $\tilde{d}$  is well-defined*

*Proof.* First we show that if the sequences  $(x_n)_{n \in \mathbb{N}}, (y_n)_{n \in \mathbb{N}}$  are Cauchy, then  $\lim_{n \rightarrow \infty} d(x_n, y_n)$  exists. Let  $\epsilon > 0$  be arbitrary. Since  $(x_n)_{n \in \mathbb{N}}$  is Cauchy, we can choose  $N_1 \in \mathbb{N}$  such that  $d(x_n, x_m) < \epsilon/2$  for all  $n, m \geq N_1$ . Similarly, we can choose  $N_2 \in \mathbb{N}$  such that  $(y_n)_{n \in \mathbb{N}}$  satisfies the analogous condition.

Now set  $N := \max(N_1, N_2)$  and fix arbitrary  $n, m \geq N$ . Notice that  $d(x_n, y_n) - d(x_m, y_n) \leq d(x_n, x_m)$  and  $d(x_m, y_n) - d(x_n, y_n) \leq d(x_m, x_n)$ , so  $|d(x_m, y_n) - d(x_n, y_n)| \leq d(x_n, x_m) < \epsilon/2$ . Similarly,  $|d(x_m, y_n) - d(x_m, y_m)| \leq d(y_n, y_m) < \epsilon/2$ . Thus, we have

$$\begin{aligned} |d(x_n, y_n) - d(x_m, y_m)| &\leq |d(x_n, y_n) - d(x_m, y_n)| + |d(x_m, y_n) - d(x_m, y_m)| \\ &< \epsilon/2 + \epsilon/2 = \epsilon, \end{aligned}$$

so  $(d(x_n, y_n))$  is a Cauchy sequence of reals, and therefore converges.

Next, assume that  $a, b, x, y \in \mathcal{C}(X)$  and  $a \sim x$  and  $b \sim y$ . In order to show that  $\tilde{d}([a], [b]) = \tilde{d}([x], [y])$  we will show that the Cauchy sequences of reals  $(d(x_n, y_n))$  and  $(d(a_n, b_n))$  are equivalent. To do that, let  $\epsilon > 0$  be arbitrary.

Using the fact that  $x$  is equivalent to  $a$  and  $y$  is equivalent to  $b$ , pick  $N \in \mathbb{N}$  such that  $d(x_n, a_n) < \epsilon/2$  and  $d(y_n, b_n) < \epsilon/2$  for all  $n \geq N$ . Now fix some  $n \geq N$  and, similarly to before, we have  $|d(x_n, y_n) - d(a_n, y_n)| \leq d(x_n, a_n) < \epsilon/2$  and  $|d(a_n, y_n) - d(a_n, b_n)| \leq d(y_n, b_n) < \epsilon/2$ , thus

$$\begin{aligned} |d(x_n, y_n) - d(a_n, b_n)| &\leq |d(x_n, y_n) - d(a_n, y_n)| + |d(a_n, y_n) - d(a_n, b_n)| \\ &< \epsilon/2 + \epsilon/2 = \epsilon. \end{aligned}$$

$\square$

**Remark 1.2.1.**  $(\tilde{X}, \tilde{d})$  is a metric space. The three conditions that  $\tilde{d}$  must hold follow easily from Lemma 1.2.1.

**Definition 1.2.2.** An element  $[x] \in \tilde{X}$  is called rational if and only if  $x \sim y$  where  $y \in \mathcal{C}(X)$  is a constant Cauchy sequence. We also say that a sequence in  $\tilde{X}$  is rational if and only if all of its elements are rational.

**Lemma 1.2.2.** *Every rational sequence in  $\mathcal{C}(\tilde{X})$  converges.*

*Proof.* Consider a rational sequence  $([x_n])_{n \in \mathbb{N}} \in \mathcal{C}(\tilde{X})$ . Since each element is rational, we can fix for each  $n \in \mathbb{N}$  some constant sequence  $y_n \in \mathcal{C}(X)$  such that  $y_n \sim x_n$ . We claim that  $([x_n])_{n \in \mathbb{N}}$  converges to  $[(y_n(1))_{n \in \mathbb{N}}]$ . Notice that since  $x_n \sim y_n$ , we have  $[x_n] = [y_n]$  for each  $n \in \mathbb{N}$ , so it suffices to show that  $([y_n])_{n \in \mathbb{N}}$  converges to  $[(y_n(1))_{n \in \mathbb{N}}]$ .

So we have to show that

$$\lim_{n \rightarrow \infty} \tilde{d}([y_n], [(y_n(1))_{n \in \mathbb{N}}]) = \lim_{n \rightarrow \infty} \lim_{m \rightarrow \infty} d(y_n(1), y_m(1)) = 0,$$

so let  $\epsilon > 0$  be arbitrary. Use the fact that  $(y_n)_{n \in \mathbb{N}}$  is Cauchy to choose an  $N \in \mathbb{N}$  such that  $\tilde{d}([y_n], [y_m]) < \epsilon/2$  for all  $n, m \geq N$ . Since each  $y_n$  is constant, we have  $\tilde{d}([y_n], [y_m]) = d(y_n(1), y_m(1))$ . Fix some  $n \geq N$  and notice that  $d(y_n(1), y_m(1)) < \epsilon/2$  for all  $m \geq N$ . Thus  $\lim_{m \rightarrow \infty} d(y_n(1), y_m(1)) \leq \epsilon/2 < \epsilon$ .  $\square$

**Lemma 1.2.3.** *In  $(\tilde{X}, \tilde{d})$ , every sequence is equivalent to a rational sequence.*

*Proof.* Let  $f \in \mathcal{C}(\tilde{X})$  be an arbitrary sequence. For each  $n \in \mathbb{N}$ , we have  $f(n) = [x_n]$  where  $x_n \in \mathcal{C}(X)$ . Then, there is some  $K_n \in \mathbb{N}$  such that  $d(x_n(K_n), x_n(m)) < 1/n$  for all  $m \geq K_n$ , since  $x_n$  is Cauchy. Then, let  $g : \mathbb{N} \rightarrow \tilde{X}$  be the sequence given by

$$\begin{aligned} g(n) &= [(x_n(K_n), x_n(K_n), x_n(K_n), \dots)] \\ &= [(x_n(K_n))_{m \in \mathbb{N}}]. \end{aligned}$$

It is clear that  $g$  is a rational sequence by construction. To see that  $g$  is equivalent to  $f$  we will first show that for each  $n \in \mathbb{N}$  we have

$$\lim_{m \rightarrow \infty} d(x_n(m), x_n(K_n)) \leq 1/n.$$

To do this, let  $n \in \mathbb{N}$  be arbitrary and notice that by the construction of  $K_n$ , we have that  $0 \leq d(x_n(m), x_n(K_n)) < 1/n \leq 1/n$  for all  $m \geq K_n$ . Applying the squeeze theorem gets us the desired result. Notice that since  $\tilde{d}([x_n], g(n)) = \lim_{m \rightarrow \infty} d(x_n(m), x_n(K_n))$ , we have shown that  $\tilde{d}([x_n], g(n)) \leq 1/n$  for each  $n \in \mathbb{N}$ .

The main result then follows easily. We have that  $f$  is equivalent to  $g$  if and only if  $\lim_{n \rightarrow \infty} \tilde{d}([x_n], g(n)) = 0$ , but  $0 \leq \tilde{d}([x_n], g(n)) \leq 1/n$  for each  $n \in \mathbb{N}$ , so applying the squeeze theorem one more time finishes the proof.  $\square$

**Theorem 1.2.1.** *The metric space  $(\tilde{X}, \tilde{d})$  is complete.*

*Proof.* Consider an arbitrary Cauchy sequence  $f \in \mathcal{C}(\tilde{X})$ . By Lemma 1.2.3,  $f$  is equivalent to a rational sequence  $g \in \mathcal{C}(\tilde{X})$ . Notice that  $g$  must also be Cauchy, by Lemma 1.1.3. But then Lemma 1.2.2 guarantees that  $g$  converges, so  $f$  must converge by Lemma 1.1.4.  $\square$

## Chapter 2

# Topological Spaces and Continuous Functions

### 2.12 Topological Spaces

**Definition 2.12.1.** A topology  $\mathcal{T}$  on a set  $X$  is a collection of subsets of  $X$  satisfying the following conditions:

1.  $\emptyset, X \in \mathcal{T}$ ,
2. If  $U_\lambda \in \mathcal{T}$  for every  $\lambda \in \Lambda$ , then  $(\bigcup_{\lambda \in \Lambda} U_\lambda) \in \mathcal{T}$  and
3. If  $A, B \in \mathcal{T}$ , then  $A \cap B \in \mathcal{T}$ .

A subset  $U$  of  $X$  is called open if and only if  $U \in \mathcal{T}$ .

**Definition 2.12.2.** Let  $\mathcal{T}, \mathcal{T}'$  be topologies on  $X$ . We say that  $\mathcal{T}'$  is finer than  $\mathcal{T}$  if and only if  $\mathcal{T} \subset \mathcal{T}'$ . Similarly,  $\mathcal{T}'$  is coarser than  $\mathcal{T}$  if and only if  $\mathcal{T}' \subset \mathcal{T}$ .

### 2.13 Basis for a Topology

**Definition 2.13.1.** A collection  $\mathcal{B}$  of subsets of  $X$  is called a basis for a topology on  $X$  if and only if it satisfies the following conditions:

1.  $X = \bigcup_{B \in \mathcal{B}} B$  and
2. If  $B_1, B_2 \in \mathcal{B}$  and  $x \in B_1 \cap B_2$ , then there is some  $B_3 \in \mathcal{B}$  such that  $x \in B_3$  and  $B_3 \subset B_1 \cap B_2$ .

Given a basis  $\mathcal{B}$  on a set  $X$ , let  $\mathcal{T}$  be the set such that  $U \in \mathcal{T}$  if and only if for every  $x \in U$  there is some  $B_x \in \mathcal{B}$  such that  $x \in B_x$  and  $B_x \subset U$ . We call  $\mathcal{T}$  the set generated by  $\mathcal{B}$ .

**Proposition 2.13.1.** Let  $X$  be a set and  $\mathcal{B}$  be a basis for  $X$ . The set  $\mathcal{T}$  generated by  $\mathcal{B}$  is a topology on  $X$ .



*Proof.* It is easy to see that clauses 1 and 2 in Definition 2.12.1 hold using the first clause in the definition of a basis. For the last clause, assume that  $A, B \in \mathcal{T}$  and let  $x \in A \cap B$  be arbitrary. Since  $A$  and  $B$  are in  $\mathcal{T}$ , there are  $B_1, B_2 \in \mathcal{B}$  such that  $x \in B_1 \subset A$  and  $x \in B_2 \subset B$ . It follows that  $x \in B_1 \cap B_2 \subset A \cap B$ . By clause 2 in the definition of a basis, there is some  $B_3 \in \mathcal{B}$  such that  $x \in B_3 \subset B_1 \cap B_2 \subset A \cap B$ , thus  $A \cap B \in \mathcal{T}$ .  $\square$

**Lemma 2.13.1.** *Let  $\mathcal{B}$  be the basis for a topology  $\mathcal{T}$  on  $X$  (so  $\mathcal{T}$  is the topology generated by  $\mathcal{B}$ ). Then  $\mathcal{T}$  is the collection of all unions of elements of  $\mathcal{B}$ .*

*Proof.* Let  $U \in \mathcal{T}$  be arbitrary. We wish to show that there is some collection of elements in  $\mathcal{B}$  such that their union is  $U$ . By Definition 2.13.1, for each  $x \in U$  we can choose some  $B_x \in \mathcal{B}$  such that  $x \in B_x \subset U$ . It is straightforward to see that  $\bigcup_{x \in U} B_x = U$ . Also, since the elements of  $\mathcal{B}$  are subsets of  $X$ , it is evident that their union is a subset of  $X$ , and the result follows.  $\square$

**Lemma 2.13.2.** *Let  $\mathcal{B}, \mathcal{B}'$  be basis for the topologies  $\mathcal{T}, \mathcal{T}'$  respectively on a set  $X$ . Then the following are equivalent:*

1.  $\mathcal{T}'$  is finer than  $\mathcal{T}$ ,
2. For every  $B \in \mathcal{B}$  and every  $x \in B$ , there is some  $B' \in \mathcal{B}'$  such that  $x \in B' \subset B$ .

*Proof.* For the forward direction assume (1), i.e that  $\mathcal{T} \subset \mathcal{T}'$ . Let  $B \in \mathcal{B}$  and  $x \in B$  be arbitrary. It is easy to see that every element of a basis for a topology is an open set in that topology, specifically  $B \in \mathcal{T}$ . Thus  $B \in \mathcal{T}'$ , and definition 2.13.1 guarantees that there is some  $B'_x \in \mathcal{B}'$  such that  $x \in B'_x \subset B$ , as we wanted to show.

Now assume clause number (2) and let  $U \in \mathcal{T}$  be arbitrary. We need to show that  $U \in \mathcal{T}'$ , so let  $x \in U$  be arbitrary. We know, since  $\mathcal{T}$  is generated by  $\mathcal{B}$ , that there is some  $B \in \mathcal{B}$  such that  $x \in B \subset U$ . By (2), there is also some  $B' \in \mathcal{B}'$  such that  $x \in B' \subset B \subset U$ . Since  $\mathcal{T}'$  is generated by  $\mathcal{B}'$ , this means  $U \in \mathcal{T}'$ , as we wanted to show.  $\square$

**Lemma 2.13.3.** *Let  $X$  be a set and  $\mathcal{T}$  be a topology on  $X$ . If  $\mathcal{C}$  is a collection of open sets of  $X$  such that for every  $U \in \mathcal{T}$  and every  $x \in U$  there is some  $C \in \mathcal{C}$  such that  $x \in C \subset U$ , then  $\mathcal{C}$  is a basis on  $X$ . Furthermore, the topology generated by  $\mathcal{C}$  is  $\mathcal{T}$ .*

*Proof.* Assume the hypothesis in the lemma. To show that  $\mathcal{C}$  meets clause (1) of definition 2.13.1, we need to show that for any given  $x \in X$  there is some  $C \in \mathcal{C}$  such that  $x \in C$ , so let  $x$  be arbitrary. We now that  $X$  is open, so the hypothesis of the lemma guarantees that there is some  $c \in \mathcal{C}$  with  $x \in C$ .

Next, assume that  $C_1, C_2 \in \mathcal{C}$  and  $x \in C_1 \cap C_2$ . Since  $C_1, C_2$  are open, their intersection must also be open. By the lemma hypothesis, there is some  $C_3 \in \mathcal{C}$  such that  $x \in C_3 \subset C_1 \cap C_2$ , so clause (2) of definition 2.13.1 is met and  $\mathcal{C}$  is a basis for  $\mathcal{T}$ .

Now let the collection of subsets  $\mathcal{T}'$  be such that  $U' \in \mathcal{T}'$  if and only if for every  $x \in U'$  there is some  $C_x \in \mathcal{C}$  such that  $x \in C_x \subset U'$ . We need to show that  $\mathcal{T} = \mathcal{T}'$ . Assume first that  $U \in \mathcal{T}$ . The lemma hypothesis guarantees that for any  $x \in U$  there is some  $C \in \mathcal{C}$  such that  $x \in C \subset U$ , thus  $U \in \mathcal{T}'$ . By Lemma 2.13.1,  $\mathcal{T}'$  is the collection of all unions of elements of  $\mathcal{C}$ . So given some  $U' \in \mathcal{T}'$ ,  $\mathcal{T}'$  is some arbitrary union of elements in  $\mathcal{C}$ , but every  $C \in \mathcal{C}$  is open, so their union is also open. This means that  $U' \in \mathcal{T}$ , thus  $\mathcal{T} = \mathcal{T}'$ .  $\square$

**Definition 2.13.2.** A subbasis  $\mathcal{S}$  for a topology on  $X$  is a collection of subsets of  $X$  such that for every  $x \in X$  there is some  $S \in \mathcal{S}$  such that  $x \in S$ . The topology generated by  $\mathcal{S}$  is collection of all the arbitrary unions of finite intersections of elements of  $\mathcal{S}$ .

*Remark 2.13.1.* It might not be clear at first that the set generated by  $\mathcal{S}$  is a topology on  $X$ . To see that it is, notice that the collection of all finite intersections of elements of  $\mathcal{S}$  is a basis  $\mathcal{B}$ . Then, the collection of all arbitrary unions of elements of  $\mathcal{B}$  is the topology generated by  $\mathcal{B}$ , according to Lemma 2.13.1.

## 2.14 The Order Topology

**Definition 2.14.1.** Let  $X$  be a set with more than one element and  $<$  be a strict linear order on  $X$ . We define the set  $\mathcal{B}$  by

$$\begin{aligned} \mathcal{B} := & \{(x, y) : x < y\} \cup \\ & \{[x_0, y) : x_0 < y, \text{ if } X \text{ has a least element } x_0.\} \cup \\ & \{(x, y_0] : x < y_0, \text{ if } X \text{ has a largest element } y_0.\} \end{aligned}$$

We call  $\mathcal{B}$  the order basis on  $X$  with order  $<$ , and the topology it generates is called the order topology.

**Proposition 2.14.1.** *Given any  $X$  with more than one element and some strict linear order  $<$  on  $X$ , the order basis  $\mathcal{B}$  is a basis for a topology on  $X$ .*

*Proof.* Let  $x \in X$  be arbitrary. We know that there is some  $y \in X$  other than  $x$ . If  $x < y$  and  $x$  is the least element of  $x$ , then  $x \in [x, y) \in \mathcal{B}$ , otherwise there is some  $z \in X$  such that  $z < x < y$ , thus  $x \in (z, y) \in \mathcal{B}$ . Similarly, we can show that when  $y < x$  there is some  $B$  such that  $x \in B \in \mathcal{B}$ .

Now let  $B_1, B_2 \in \mathcal{B}$  and  $x \in B_1 \cap B_2$  be arbitrary. It is straightforward but tedious to check that there is some  $B_3 \in \mathcal{B}$  such that  $x \in B_3 \subset B_1 \cap B_2$ .  $\square$

**Definition 2.14.2.** The standard topology on  $\mathbb{R}$  is the one generated by the basis  $\mathcal{B} = \{(a, b) : a < b\}$ .

The lower limit topology  $\mathbb{R}_{\mathcal{L}}$  on  $\mathbb{R}$  is the topology generated by the basis  $\mathcal{B}' = \{[a, b) : a < b\}$ .

**Lemma 2.14.1.** *The lower limit topology on the reals is strictly finer than the standard topology.*

*Proof.* To show that  $\mathbb{R}_{\mathcal{L}}$  is finer than the standard topology, it suffices to show that given any  $B$  in the standard basis  $\mathcal{B}$  and any  $x \in \mathbb{R}$ , there is some  $B' \in \mathcal{B}'$  such that  $x \in B' \subset B$ , by Lemma 2.13.2. So let  $B \in \mathcal{B}$  and  $x \in \mathbb{R}$  be arbitrary. We know that  $B = (a, b)$  with  $a < b$  and  $a < x < b$ . Then  $x \in [x, b) \in \mathcal{B}'$  and  $[x, b) \subset B$ , as we wanted to show.

Also, the interval  $[0, 1)$  is open in the lower limit topology, but not in the standard. To see that, assume for contradiction that  $[0, 1)$  is open in the standard topology. Then, there must be some  $(a, b) \in \mathcal{B}$  such that  $0 \in (a, b) \subset [0, 1)$ . Since  $0 \in (a, b)$ ,  $a < 0 < b$ . Then  $a < a/2 < 0 < b$ , so  $a/2 \in (a, b)$ , therefore  $a/2 \in [0, b)$ . Thus  $a/2 \geq 0$ , a contradiction. Thus,  $\mathbb{R}_{\mathcal{L}}$  is strictly finer than the standard topology.  $\square$

## 2.15 The Product Topology on $X \times Y$

**Definition 2.15.1.** Let  $X$  and  $Y$  be topological spaces. The product topology  $X \times Y$  is defined as the topology generated by the basis  $\mathcal{B} = \{U \times V : U \text{ is open in } X \text{ and } V \text{ is open in } Y\}$ .

**Lemma 2.15.1.** Let  $\mathcal{B}_x, \mathcal{B}_y$  be basis for  $X$  and  $Y$  respectively. It follows that  $\mathcal{B}_x \times \mathcal{B}_y$  generates the product topology  $X \times Y$ .

*Proof.* We apply Lemma 2.13.3 to the collection  $\mathcal{B}_x \times \mathcal{B}_y$  of open sets. Let  $W$  be open in  $X \times Y$  and  $a \times b \in W$  be arbitrary. By the definition of the order topology, there is some  $B \in \mathcal{B}$  such that  $a \times b \in U \times V \subset W$ , where  $\mathcal{B}$  is the basis for  $X \times Y$ . Since  $\mathcal{B}_x$  is a basis for  $X$ , there is some  $B_x \in \mathcal{B}_x$  such that  $a \in B_x \subset U$ . Similarly, there is some  $B_y \in \mathcal{B}_y$  such that  $b \in B_y \subset V$ . Then  $a \times b \in B_x \times B_y \subset U \times V \subset W$ , thus the conditions of the lemma just mentioned are met and  $\mathcal{B}_x \times \mathcal{B}_y$  is a basis and generates the product topology.  $\square$

## 2.16 The Subspace Topology

**Definition 2.16.1.** Let  $(X, \mathcal{T}_x)$  be a topological space. For any  $Y \subset X$ , we define the subspace topology as  $\mathcal{T}_y = \{Y \cap U : U \in \mathcal{T}_x\}$ .

**Lemma 2.16.1.** The set constructed in definition 2.16.1 is a topology on  $X$ .

*Proof.* By definition,  $X \in \mathcal{T}_x$ , so  $Y \cap X = Y \in \mathcal{T}_y$ , and similarly for the empty set. Now let  $\{Y \cap U_\lambda : \lambda \in \Lambda\}$  be a collection of open sets in  $\mathcal{T}_y$ . Then

$$\bigcup_{\lambda \in \Lambda} Y \cap U_\lambda = \left( Y \cap \bigcup_{\lambda \in \Lambda} U_\lambda \right) \in \mathcal{T}_y,$$

since arbitrary union of sets in  $\mathcal{T}_x$  are open. A similar argument shows that finite intersections of sets in  $\mathcal{T}_y$  are also in  $\mathcal{T}_y$ .  $\square$

**Lemma 2.16.2.** Let  $X$  be a topological space and  $Y$  be the subspace topology on  $X$  generated by  $Y \subset X$ . If  $\mathcal{B}_x$  is a basis for  $X$  then  $\mathcal{B}_y = \{Y \cap B_x : B_x \in \mathcal{B}_x\}$  is a basis for  $Y$ .

*Proof.* Let  $U_y \in \mathcal{T}_y$  and  $a \in U_y$  be arbitrary. Then  $U_y = Y \cap U_x$  for some  $U_x \in X$ . Since  $\mathcal{B}_x$  is a basis for  $X$ , there is some  $B_x \in \mathcal{B}_x$  such that  $a \in B_x \subset U_x$ . It follows that  $x \in Y \cap B_x \subset Y \cap U_x = U_y$ . Since  $Y \cap B_x \in \mathcal{B}_y$ , the result follows from Lemma 2.13.3.  $\square$

## 2.19 The Product Topology

**Definition 2.19.1.** Let  $(X_i)_{i \in I}$  be a collection of topological spaces. The product topology is the set generated by the basis whose elements are

$$U = \prod_{i \in I} U_i$$

where each  $U_i$  is open in  $X_i$  and  $U_i = X_i$  for all but finitely many  $i$ .

**Definition 2.19.2.** Let  $(X, d)$  be a metric space. The metric topology on  $X$  is the topology generated by the basis

$$\mathcal{B} = \{B_\epsilon^d(x) : x \in X, \epsilon > 0 \in \mathbb{R}\}.$$

We say that  $d$  induces the metric topology on  $X$ .

**Definition 2.19.3.** Let  $(X, d)$  be a metric space. We define  $\bar{d} : X \times X \rightarrow \mathbb{R}$  as the metric where  $\bar{d}(x, y) = \min(d(x, y), 1)$  for all  $x, y \in X$ .

**Definition 2.19.4.** Let  $(X_i, d_i)_{i \in I}$  be a collection of metric spaces. The uniform topology on their product  $X = \prod_{i \in I} X_i$  is the topology induced by the metric  $\bar{d}_\infty : X \times X \rightarrow \mathbb{R}$  where  $\bar{d}_\infty(x, y) = \sup\{\bar{d}_i(x_i, y_i) : i \in I\}$ .

**Theorem 2.19.1.** Let  $(X_i, d_i)_{i \in I}$  be a collection of metric spaces. The uniform topology on  $X = \prod_{i \in I} X_i$  is finer than the product topology but coarser than the box topology, i.e

$$\mathcal{T}_{prod} \subset \mathcal{T}_{unif} \subset \mathcal{T}_{box}.$$

*Proof.* We first show that  $\mathcal{T}_{prod} \subset \mathcal{T}_{unif}$ , so let  $U = \prod_{i \in I} U_i$  be a basis element of the product topology and  $(x_i)_{i \in I} \in U$ . Let  $\alpha_1, \dots, \alpha_n$  be all the  $\alpha$ s such that  $U_\alpha \neq X_\alpha$ . Since  $U_{\alpha_j}$  is open in  $X_{\alpha_j}$ , there is some  $\epsilon_j > 0$  such that  $B_{\epsilon_j}^{d_j}(x_j) \subset U_{\alpha_j}$ . Set  $\epsilon = \min(\epsilon_1, \dots, \epsilon_n)$ . Then

$$B_\epsilon^{\bar{d}_\infty}(x) \subset \prod_{i \in I} B_\epsilon^{d_i}(x_i) \subset U,$$

so every set open in the product topology is open in the uniform topology.

Now we show that  $\mathcal{T}_{unif} \subset \mathcal{T}_{box}$ . Let  $B_\epsilon^{\bar{d}_\infty}(x)$  be a basis element of the uniform topology.  $\square$

## Exercises

**Exercise 2.19.6.** First, assume that  $(x_n) \rightarrow x$ . Fix some neighborhood  $U_\alpha \subset X_\alpha$  and assume for contradiction that we have infinitely many elements in the sequence  $(\pi_\alpha(x_n))$  not contained in  $U_\alpha$ . Then, the set

$$V = \prod_i V_i$$

where

$$V_i = \begin{cases} X_i & i \neq \alpha \\ U_\alpha & i = \alpha \end{cases}$$

is open in the product topology and contains  $x$ , so only finitely many of the elements in  $(x_n)$  are not in  $V$ . But for each  $i$  such that  $\pi_\alpha(x_i) \notin U_\alpha$  we have  $x_i \notin V$ , thus infinitely many  $x_i$  are not in  $V$ , a contradiction.

For the converse direction, assume that  $(\pi_\alpha(x_n)) \rightarrow \pi_\alpha(x)$  for each  $\alpha$  and consider some arbitrary basis element  $U = \prod_\alpha U_\alpha$  of the product topology where  $x \in U$ . Assume for contradiction that we have infinitely many elements of  $(x_n)$  not in  $U$ . Since only finitely many  $U_\alpha$ 's are not all of  $X_\alpha$ , there is some  $\beta$  such that infinitely many elements of  $(\pi_\beta(x_n))$  are not in  $U_\beta$ . Since  $\pi_\beta(x) \in U_\beta$ , we have a contradiction.

This fact is not true in general if we use the box topology. Consider the box topology on  $\mathbb{R}^\omega = \prod_{n \in \mathbb{N}} \mathbb{R}$ , where each  $\mathbb{R}$  has the standard topology. Let  $(x_n)$  be the sequence where for each  $n$  we have

$$x_n = \left( \frac{n}{n+1}, \frac{n+1}{n+2}, \frac{n+2}{n+3}, \dots \right).$$

It is easy to see that for each  $i \in \mathbb{N}$  the sequence  $(\pi_i(x_n))$  indexed by  $n$  converges to  $\pi_i(x)$ , where  $x = (1, 1, 1, \dots)$ . Now consider the set

$$U = \left( \frac{1}{2}, 2 \right) \times \left( \frac{2}{3}, 2 \right) \times \left( \frac{3}{4}, 2 \right) \times \dots$$

which is a neighborhood of  $x$  in the box topology.

Notice that  $x_1 \notin U$  since  $1/2 \notin (1/2, 2)$ . Similarly, none of the  $x_n$  are in  $U$ , so the sequence  $(x_n)$  does not converge to  $x$ .

**Exercise 2.19.7.** First we show that the closure of  $\mathbb{R}^\infty$  in the box topology is  $\mathbb{R}^\omega$ . Let  $x \in \mathbb{R}^\omega$  be in the closure of  $\mathbb{R}^\infty$ . This means that any neighborhood  $\prod_{i \in \mathbb{N}} U_i$  of  $x$  intersects  $\mathbb{R}^\infty$ , thus all but finitely many  $U_i$  must contain zero. Consider the neighborhood

$$V = \prod_{i \in \mathbb{N}} V_i$$

$$V_i = \begin{cases} (0, x_i + 1) & x_i > 0 \\ (x_i - 1, 0) & x_i < 0 \\ \mathbb{R} & x_i = 0. \end{cases}$$

Clearly we have  $x \in V$ , so there are only finitely many  $V_i$  that do not contain zero, thus  $V$  is eventually all of  $\mathbb{R}$ , but, by the construction of  $V$ , this can only happen if  $x$  is eventually zero. Thus  $x \in \mathbb{R}^\infty$ , and  $\overline{\mathbb{R}^\infty} = \mathbb{R}^\infty$  in the box topology.

Next we show that  $\overline{\mathbb{R}^\infty} = \mathbb{R}^\omega$  in the product topology. Let  $x \in \mathbb{R}^\omega$  be arbitrary and let  $U = \prod_{i \in \mathbb{N}} U_i$  be a neighborhood of  $x$ . Since  $U$  is open in the product topology, every  $U_i$  must be all of  $\mathbb{R}$  whenever  $i \geq I$  for some  $I \in \mathbb{N}$ . Thus, we have  $y = (x_1, \dots, x_{I-1}, 0, 0, 0, \dots) \in \mathbb{R}^\infty$ , and  $y \in U$ . Therefore  $U \cap \mathbb{R}^\infty \neq \emptyset$ , as we wanted to show.

## Chapter 3

# Connectedness and Compactness

### 3.24 Connected Subspaces of the Real Line

#### Exercises

**Exercise 3.24.2.** Let  $f : S^1 \rightarrow \mathbb{R}$  be a continuous function and let  $g : S^1 \rightarrow \mathbb{R}$  be a function mapping  $x$  to  $f(x) - f(-x)$ . Notice that  $g(x) = 0$  if and only if  $f(x) = f(-x)$ , and for all  $x \in S^1$  we have  $g(x) = -g(-x)$ . If  $g(1, 0) = 0$  then we are done, so assume otherwise. We have either  $g(1, 0) > 0 > g(-1, 0)$  or  $g(-1, 0) > 0 > g(1, 0)$ . In both cases, since  $S^1$  is connected and  $g$  is continuous, we have some  $c \in S^1$  where  $g(c) = 0$ , by the Intermediate Value Theorem.

### 3.26 Compact Spaces

**Definition 3.26.1.** A point  $x$  of a topological space  $X$  is isolated if and only if the singleton  $\{x\}$  is open.

**Lemma 3.26.1.** Let  $X$  be a compact topological space and  $\{U_i\}_{i \in \mathbb{N}}$  be a countable collection of nonempty closed sets with  $U_{i+1} \subset U_i$  for every  $i \in \mathbb{N}$ . Then  $\bigcap_{i \in \mathbb{N}} U_i \neq \emptyset$ .

*Proof.* Assume for contradiction that  $\bigcap_{i \in \mathbb{N}} U_i = \emptyset$ . It follows by taking the complement on both sides that  $\bigcup_{i \in \mathbb{N}} X \setminus U_i = X$ . Since each  $U_i$  is closed their complement is open, so the collection  $\{X \setminus U_i\}_{i \in \mathbb{N}}$  is an open cover for  $X$ , thus it admits a finite subcover  $\mathcal{A} = \{X \setminus U_{i_1}, \dots, X \setminus U_{i_m}\}$ . It follows that  $\bigcap_{j=1}^m U_{i_j} = \emptyset$ . Now set  $k = \max(i_1, \dots, i_m)$  and choose some  $x \in U_k$ . Then  $x \in U_k \subset U_{k-1} \subset \dots \subset U_1$ , so  $x \in \bigcap_{j=1}^m U_{i_j}$ , which is a contradiction.  $\square$

**Theorem 3.26.1.** A compact Hausdorff Topological space with no isolated points is uncountable.

*Proof.* Let  $X$  be a compact Hausdorff topological space with no isolated points. First, we prove the following claim: given any nonempty open  $U \subset X$  and any  $x \in X$  there is some nonempty open  $V \subset U$  such that  $x \notin \bar{V}$ . Notice that there is some  $y \in U$  with  $y \neq x$ , since if  $x \notin U$  we get this by nonemptiness, and if  $x \in U$  the result follows since  $\{x\}$  cannot be open. By Hausdorffness, there are disjoint open sets  $W_1, W_2$  with  $x \in W_1$  and  $y \in W_2$ . Now set  $V := W_2 \cap U$ . Then  $V$  is the set we want, since  $V \subset U$  and  $x \notin \bar{V}$ , as  $W_1$  is an open neighborhood of  $x$  that does not intersect  $V$ . Also  $V$  is nonempty since  $y \in V$ .

Now we prove the theorem. Let  $f : \mathbb{N} \rightarrow X$  be any function. We will show that  $f$  is not surjective. Since  $X$  is open, there is some open  $V_1 \subset X$  where  $f(1) \notin \bar{V}_1$ . Similarly, there is some  $V_2 \subset V_1$  where  $f(2) \notin \bar{V}_2$ . We can continue this way to construct a collection of sets so that for every natural number  $n$  we have  $f(n) \notin \bar{V}_n$  and

$$\bar{V}_1 \supset \bar{V}_2 \supset \bar{V}_3 \dots$$

with each  $V_n$  open and nonempty.

Since  $\{\bar{V}_n\}_{n \in \mathbb{N}}$  is a countable collection of nonempty closed sets and  $\bar{V}_{n+1} \subset \bar{V}_n$  for each  $n \in \mathbb{N}$ , Lemma 3.26.1 implies that there is some  $x \in \bigcap_{n \in \mathbb{N}} \bar{V}_n$ . But since  $x \in V_n$  for every  $n \in \mathbb{N}$ , we can conclude that  $f(n) \neq x$  for every  $n \in \mathbb{N}$ , so  $f$  is not surjective.  $\square$

## 3.27 Compact Subspaces of the Real Line

**Definition 3.27.1.** If  $(X, d)$  is a metric space and  $A \subset X$  is nonempty, we define  $d(x, A) := \inf\{d(x, y) \mid y \in A\}$ .

**Definition 3.27.2.** Let  $(X, d)$  be a metric space. If  $A \subset X$  is bounded, then the diameter of  $A$  is  $\sup\{d(x, y) \mid (x, y) \in A \times A\}$ .

**Lemma 3.27.1.** Let  $\mathcal{A}$  be an open cover of the compact metric space  $(X, d)$ . There exists a  $\delta > 0$  such that every subset of  $X$  with diameter less than  $\delta$  is contained in some element of  $\mathcal{A}$ . We call  $\delta$  a Lebesgue number of  $\mathcal{A}$ .

*Proof.* We can assume that no element of  $\mathcal{A}$  is all of  $X$ . Fix a finite subcover  $\{A_1, \dots, A_n\}$  of  $\mathcal{A}$  and define the function

$$f : X \rightarrow \mathbb{R}$$

$$x \mapsto \frac{1}{n} \sum_{i=1}^n d(x, X \setminus A_i).$$

Notice that given any  $x \in X$ , there is some  $A_i$  with  $x \in A_i$ . By the openness of  $A_i$ , there is some  $r > 0$  with  $B_r(x) \subset A_i$ , so  $d(x, X \setminus A_i) \geq r > 0$ , thus  $f$  is positive at every input. Since  $f$  is the sum of continuous functions,  $f$  is continuous. Using the compactness of  $X$ , we know by the Extreme Value Theorem that  $f$  attains a minimum  $\delta > 0$ , so that  $f(x) \geq \delta > 0$  for all  $x \in X$ . We claim that  $\delta$  is the Lebesgue number of  $\mathcal{A}$ .



First, notice that for every  $x \in X$  we have  $d(x, X \setminus A_i) \geq \delta$  for some  $A_i$ , since  $f(x) \geq \delta$  and  $f$  is the average of all  $d(x, X \setminus A_i)$ . Now consider any  $B \subset A$  with diameter less than  $\delta$ . For any  $x \in B$  we have  $x \in B \subset B_\delta(x) \subset A_i$ , where  $A_i$  is a set with  $d(x, X \setminus A_i) \geq \delta$ .  $\square$

**Exercise 3.27.2.**

- (a) Let  $X$  be a subspace of  $\mathbb{R}$  in the finite complement topology and let  $\mathcal{A}$  be an open cover for  $X$ . Given any nonempty  $A \in \mathcal{A}$ ,  $A$  contains all but finitely many points of  $X$ . For each  $x_i \in X$  not contained in  $A$  there is some  $A_i \in \mathcal{A}$  which contains  $x_i$ , since  $\mathcal{A}$  covers  $X$ . Then the collection  $\{A, A_1, \dots, A_n\}$  where  $n$  is the amount of points in  $X$  not in  $A$  is a finite subcover of  $\mathcal{A}$ .
- (b) The subspace  $[0, 1] \subset \mathbb{R}$  is not compact when  $\mathbb{R}$  is given the countable complement topology. To see this, first fix some bijection  $f : \mathbb{N} \rightarrow [0, 1] \cap \mathbb{Q}$  and for each  $n \in \mathbb{N}$  define  $A_n := ([0, 1] \setminus \mathbb{Q}) \cup \{f(n)\}$ . We claim that  $\mathcal{A} = \{A_n\}_{n \in \mathbb{N}}$  is an open cover for  $[0, 1]$ .

The complement of each set in  $\mathcal{A}$  is clearly countable, so we only need to check that  $\mathcal{A}$  covers  $[0, 1]$ . Given any  $x \in [0, 1]$ , we know that  $x \in A_1$  if  $x \notin \mathbb{Q}$  and if  $x \in \mathbb{Q}$  then  $x = f(n)$  for some  $n \in \mathbb{N}$ , so  $x \in A_n$ .

Now assume for contradiction that  $\mathcal{A}$  has a finite subcover  $\mathcal{B} = A_{i_1}, \dots, A_{i_n}$  and set  $k = \max(i_1, \dots, i_n)$ . Then  $f(k+1) \notin \bigcup_{j=1}^n A_{i_j}$  by the construction of  $\mathcal{A}$ , but this contradicts the assumption that  $\mathcal{B}$  covers  $[0, 1]$ .

**Part II**

**Hatcher**

## Chapter 4

# The Fundamental Group

We use the convention that every space is topological and every map is continuous.

### 4.1 Basic Constructions

#### 4.1.1 Paths and Homotopy

**Definition 4.1.1.** A path in  $X$  is any map  $f : I \rightarrow X$ . We call  $f(0)$  and  $f(1)$  the endpoints of  $f$ . If  $f(0) = f(1)$  then  $f$  is said to be a loop based at  $f(0)$ .

**Definition 4.1.2.** A homotopy of paths is a family of paths  $f_t : I \rightarrow X$  for each  $t \in I$ , where there are  $x_0, x_1 \in X$  such that  $f_t(0) = x_0$  and  $f_t(1) = x_1$  for all  $t \in I$ . We also require that the associated map  $F : I \times I \rightarrow X$  mapping  $(s, t) \mapsto f_t(s)$  is continuous. If  $f = f_0$  and  $g = f_1$ , we say that  $f$  is path homotopic to  $g$ , and write  $f \simeq g$ .

**Lemma 4.1.1.** *Path homotopy is an equivalence relation.*

*Proof.* Fix a space  $X$  and paths  $f, g, h : I \rightarrow X$  such that  $f(0) = g(0) = h(0)$  and  $f(1) = g(1) = h(1)$ . Clearly  $f \simeq f$  as the family  $f_t : I \rightarrow S$  mapping  $(s, t) \mapsto f_t(s) = f(s)$  is the desired homotopy.

Assume now that  $f_t : I \rightarrow X$  is a homotopy of paths with  $f_0 = f$  and  $f_1 = g$ . Then  $(s, t) \mapsto f_{1-t}(s)$  is a homotopy of paths between  $g$  and  $f$ , thus  $g \simeq f$ .

Finally, assume that  $f \simeq g$  and  $g \simeq h$ , where  $f_t, g_t$  are the relevant homotopies. Then define the homotopy  $h_t : I \rightarrow X$  as

$$h_t(s) = \begin{cases} f_{2t}(s), & t \in [0, \frac{1}{2}] \\ g_{2t-1}(s), & t \in [\frac{1}{2}, 1] \end{cases}.$$

This is a path homotopy between  $f$  and  $h$ , thus  $f \simeq h$ . □

**Definition 4.1.3.** If  $f : I \rightarrow X$  is a path, then  $[f] = \{g \in X^I \mid f \simeq g\}$  is the homotopy class of  $f$ .

**Definition 4.1.4.** Let  $f, g$  be paths where  $f(1) = g(0)$ . We define the concatenation  $f \cdot g$  as

$$(f \cdot g)(s) = \begin{cases} f(2s), & s \in [0, \frac{1}{2}] \\ g(2s - 1), & s \in [\frac{1}{2}, 1] \end{cases}.$$

If  $f$  and  $g$  are loops with the same basepoint, we also define the product  $[f][g] = [f \cdot g]$ .

**Definition 4.1.5.** Let  $x_0 \in X$  be arbitrary. We define the constant loop at  $x_0$  as the path  $\gamma_0 : I \rightarrow X$ , where  $s \mapsto x_0$ . Also, if  $f : I \rightarrow X$  is a loop around  $x_0$ , we define the inverse  $\bar{f}$  of  $f$  as the path  $\bar{f} : I \rightarrow X$  where  $s \mapsto f(1 - s)$ .

**Lemma 4.1.2.** The product in definition 4.1.4 is well defined and forms a group with the set  $\pi_1(X, x_0) = \{[f] \mid f(0) = f(1) = x_0\}$ , where  $x_0 \in X$  is some fixed basepoint. The group  $\pi_1(X, x_0)$  is called the fundamental group of  $X$  at  $x_0$ .

**Lemma 4.1.3.** If  $h : I \rightarrow X$  is a path with endpoints  $x_0$  and  $x_1$  respectively, then there is a group isomorphism  $\beta_h : \pi_1(X, x_1) \rightarrow \pi_1(X, x_0)$ . It follows that the fundamental group of a path connected space is unique up to isomorphism.

**Definition 4.1.6.** A space is simply connected if and only if it is path connected and its fundamental group is trivial.

**Lemma 4.1.4.** In a simply connected space, two paths are path homotopic if and only if they share the same endpoints.

*Proof.* Assume that  $f, g : I \rightarrow X$  share the same endpoints, where  $X$  is a simply connected space. Then  $f \cdot \bar{g}$  is a loop at the basepoint  $f(0) = x_0$ , so  $[f \cdot \bar{g}] = [\gamma_{x_0}] = [f][\bar{g}]$ . Multiplying both sides by  $[g]$  we have  $[f][\bar{g}][g] = [f] = [g]$ , thus  $f \simeq g$ . The other direction is trivial.  $\square$

**Definition 4.1.7.** A covering space of  $X$  is a space  $\tilde{X}$  and a map  $p : \tilde{X} \rightarrow X$  satisfying the following condition. For each  $x \in X$  there is an open neighborhood  $U$  of  $x$  such that  $p^{-1}(U)$  is a disjoint union of open sets, each of which gets mapped homeomorphically to  $U$  by  $p$ .

**Lemma 4.1.5.** Let  $p : \tilde{X} \rightarrow X$  be a covering space and  $F : Y \times I \rightarrow X$  be a map. Then, given any map  $\tilde{F} : Y \times \{0\} \rightarrow \tilde{X}$  lifting  $F \upharpoonright Y \times \{0\}$ , there is a unique map  $\tilde{F} : Y \times I \rightarrow \tilde{X}$  lifting  $F$  which restricts to the given  $\tilde{F}$  on  $Y \times \{0\}$ .

For the next two corollaries assume that  $p : \tilde{X} \rightarrow X$  is a covering space.

**Corollary 4.1.1.** Let  $f : I \rightarrow X$  be a path with  $f(0) = x_0$ . For all  $\tilde{x}_0 \in p^{-1}\{x_0\}$  there is a unique lift  $\tilde{f} : I \rightarrow \tilde{X}$  satisfying  $\tilde{f}(0) = \tilde{x}_0$ .

*Proof.* Fix some  $\tilde{x}_0 \in p^{-1}\{x_0\}$ . Consider the function  $F : \{0\} \times I \rightarrow X$  given by  $F(0, s) = f(s)$  and the map  $\tilde{F} : \{0\} \times \{0\} \rightarrow \tilde{X}$  given by  $\tilde{F}(0, 0) = \tilde{x}_0$ . By Lemma 4.1.5, there is a unique  $\tilde{F} : \{0\} \times I \rightarrow \tilde{X}$  lifting  $F$  such that  $\tilde{F}(0, 0) = \tilde{x}_0$ . Then the map  $\tilde{f} : I \rightarrow \tilde{X}$  given by  $\tilde{f}(s) = \tilde{F}(0, s)$  is a lift of  $f$  with  $\tilde{f}(0) = \tilde{x}_0$ .

To see that  $\tilde{f}$  is unique, let  $\tilde{g} : I \rightarrow X$  be a lift of  $f$  with  $\tilde{g}(0) = \tilde{x}_0$ . Then the function  $\tilde{G} : \{0\} \times I \rightarrow \tilde{X}$  given by  $\tilde{G}(0, s) = \tilde{g}(s)$  is a lift of  $F$  with  $\tilde{G}(0) = \tilde{x}_0$ . By the uniqueness part of Lemma 4.1.5, we must have  $\tilde{F} = \tilde{G}$ . It follows that  $\tilde{f} = \tilde{g}$ .  $\square$

**Corollary 4.1.2.** *Let  $f_t : I \rightarrow X$  be a homotopy of paths starting at  $x_0$ . For all  $\tilde{x}_0 \in p^{-1}\{x_0\}$  there is a unique  $\tilde{f}_t : I \rightarrow \tilde{X}$  where  $\tilde{f}_t(0) = \tilde{x}_0$  and  $p \circ \tilde{f}_t = f_t$  for all  $t \in I$ .*

*Proof.* Fix some  $\tilde{x}_0 \in p^{-1}\{x_0\}$ . The result follows from applying Corollary 4.1.5 with  $Y = I$  in the following way. Consider the map  $F : I \times I \rightarrow X$  given by  $F(t, s) = f_t(s)$ . Applying Corollary 4.1.1 to the restriction  $F \upharpoonright I \times \{0\}$ , we get a unique lift  $\tilde{F} : I \times \{0\} \rightarrow \tilde{X}$ . Then, Corollary 4.1.5 gives a unique lift  $\tilde{F} : I \times I \rightarrow \tilde{X}$ .  $\square$

**Theorem 4.1.1.**  $\pi_1(S^1)$  is isomorphic to  $\mathbb{Z}$  under addition.

*Proof.* For each integer  $n \in \mathbb{Z}$  define the path

$$\begin{aligned} \tilde{\omega}_n : I &\rightarrow \mathbb{R} \\ s &\mapsto ns. \end{aligned}$$

We also define the map

$$\begin{aligned} p : \mathbb{R} &\rightarrow S^1 \\ s &\mapsto (\cos(2\pi s), \sin(2\pi s)) \end{aligned}$$

and for each  $n \in \mathbb{Z}$  we set  $\omega_n := p \circ \tilde{\omega}_n$ . Then, we claim that the map  $\Phi : \mathbb{Z} \rightarrow \pi_1(S, (1, 0))$  given by  $\Phi(n) = [\omega_n]$  is a group isomorphism.

First, let  $\tau_m : \mathbb{R} \rightarrow \mathbb{R}$  be given by  $\tau_m(s) = s + m$ . Notice that  $\tilde{\omega}_m \cdot (\tau_m \circ \tilde{\omega}_n)$  is a path beginning at 0 and ending at  $n + m$ , thus it is path homotopic to  $\tilde{\omega}_{m+n}$ , since  $\mathbb{R}$  is simply connected and the paths have the same endpoints. Then we have  $\Phi(m + n) = [\omega_{m+n}] = [p \circ \tilde{\omega}_{m+n}] = [p \circ (\tilde{\omega}_m \cdot (\tau_m \circ \tilde{\omega}_n))] = [p \circ \tilde{\omega}_m][p \circ \tau_m \circ \tilde{\omega}_n]$ . But translations by integers leave  $p$  unaffected, so  $\Phi(m + n) = [p \circ \tilde{\omega}_m][p \circ \tilde{\omega}_n] = \Phi(m)\Phi(n)$ , thus  $\Phi$  is a group homomorphism.

Now we have to show that  $\Phi$  is bijective and to do that we use the fact that  $p : \mathbb{R} \rightarrow S^1$  is a covering space. First, let  $[f]$  be a homotopy of paths based around  $(1, 0)$ . By Corollary 4.1.1, there is a unique lift  $\tilde{f} : I \rightarrow \mathbb{R}$  of  $f$  such that  $\tilde{f}(0) = 0$  (notice that  $0 \in p^{-1}\{(1, 0)\}$ ). Since  $f = p \circ \tilde{f}$ , we have  $(1, 0) = f(1) = p(\tilde{f}(1))$ , so  $\tilde{f}(1) \in p^{-1}\{(1, 0)\} = \mathbb{Z}$ , so  $\tilde{f}(1)$  is some integer  $n \in \mathbb{Z}$ . Thus, we must have  $\tilde{f} \simeq \tilde{\omega}_n$ , since  $\tilde{f}$  is a path that begins at 0 and ends in  $n$ . It follows that  $f = p \circ \tilde{f} \simeq p \circ \tilde{\omega}_n = \omega_n$ . Thus  $[f] = [\omega_n] = \Phi(n)$ , and  $\Phi$  is surjective.

To see that  $\Phi$  is injective, assume that  $\Phi(m) = \Phi(n)$ . This means that there is a homotopy of paths  $f_t : I \rightarrow S^1$  such that  $f_0 = \omega_m = p \circ \tilde{\omega}_m$  and  $f_1 = \omega_n = p \circ \tilde{\omega}_n$ . By Corollary 4.1.2, there is a unique homotopy of paths  $\tilde{f}_t : I \rightarrow \mathbb{R}$  where  $\tilde{f}_0(0) = 0$  and  $p \circ \tilde{f}_t = f_t$ . Notice that  $p \circ \tilde{\omega}_m = p \circ \tilde{f}_0$ , and the uniqueness part of Corollary 4.1.1 guarantees that  $\tilde{f}_0 = \tilde{\omega}_m$ . Similarly, we have

$\tilde{f}_1 = \tilde{\omega}_n$ . Then,  $\tilde{f}_0(1) = \tilde{\omega}_m(1) = m = \tilde{f}_1(1) = \tilde{\omega}_n(1) = n$ . Thus  $m = n$ , which means  $\Phi$  is also injective.  $\square$