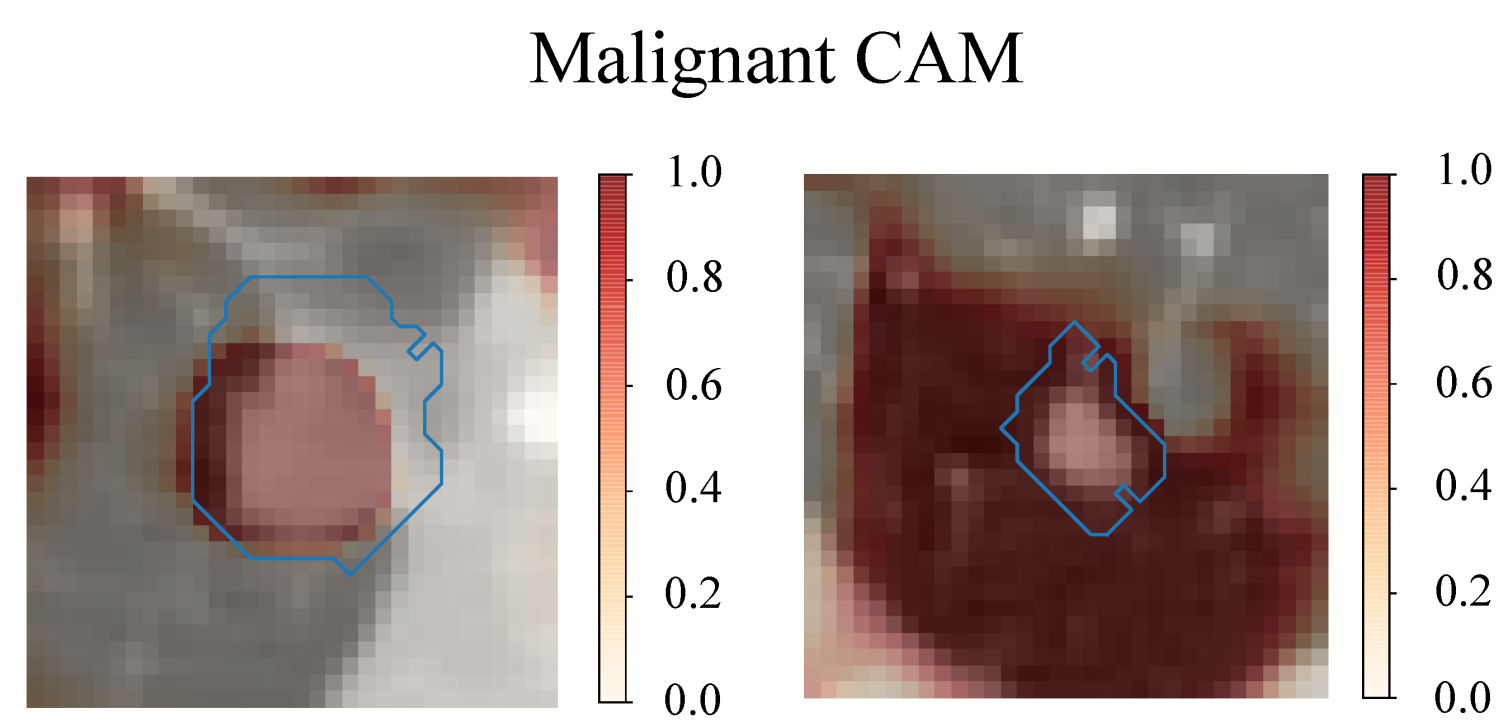




Analysis of CNN Failures



Failure (a) Correct
Label: Benign
Prediction: Benign

Failure (b) Incorrect
Label: Malignant
Prediction: Benign

Saliency maps visualized by CAM technique, using a 3D DenseNet on LIDC Lung Nodule dataset.

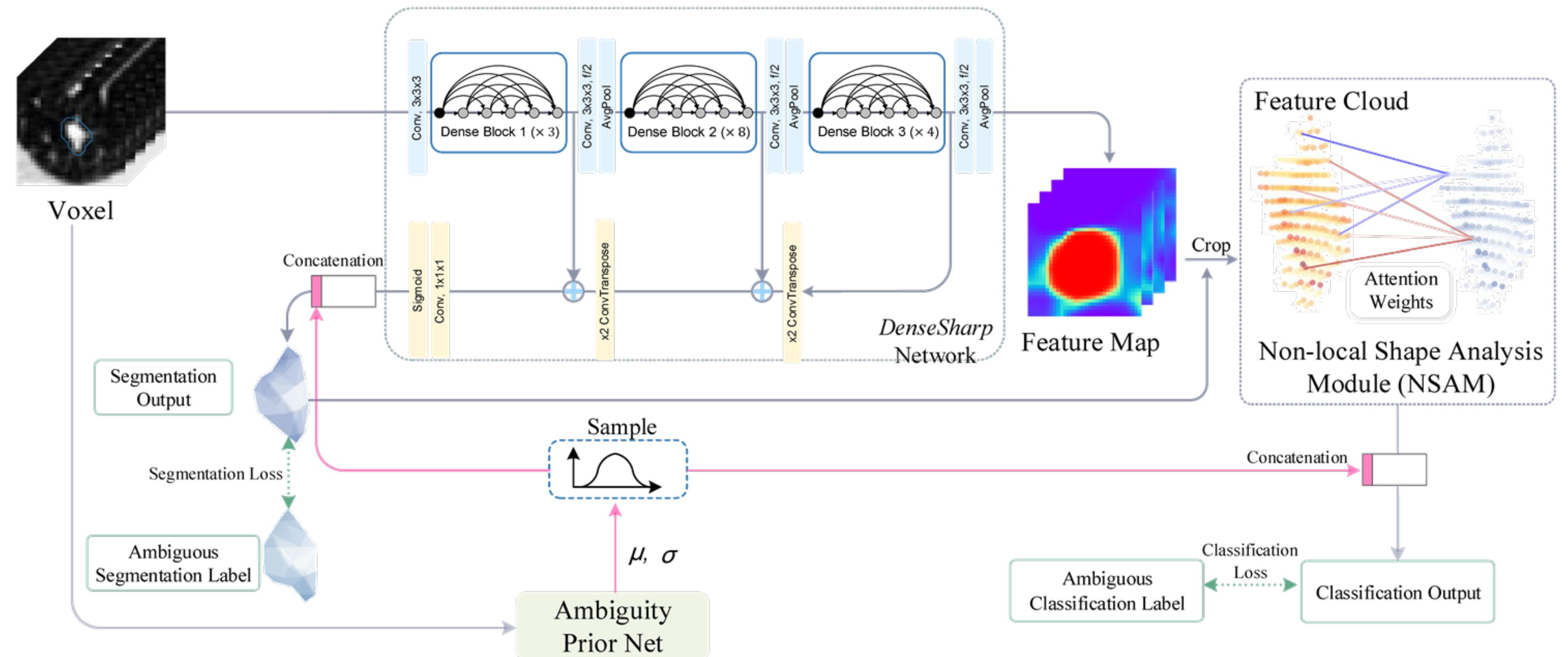
CNNs are classifying **voxels**, rather than **lesions**.

Failure (a), the model predicts “benign” on a benign nodule **correctly**. However, the “correct” prediction comes from the voxels apart from lesions.

Failure (b), the model outputs “benign” on a malignant nodule **incorrectly**. However, it is indeed predicted as malignant within the lesion voxels.

A **Probabilistic Radiomics** framework is proposed for **controllable** and **explainable** Computer-Aided Diagnosis (**CADx**).

DenseSharp⁺: Instantiation of Probabilistic Radiomics Framework



Backbone: DenseSharp [1]

A 3D CNN based on DenseNet, which learns both nodule **classification** and **segmentation** results in a multi-task learning fashion. The auxiliary segmentation task enables a better learning of classification task.

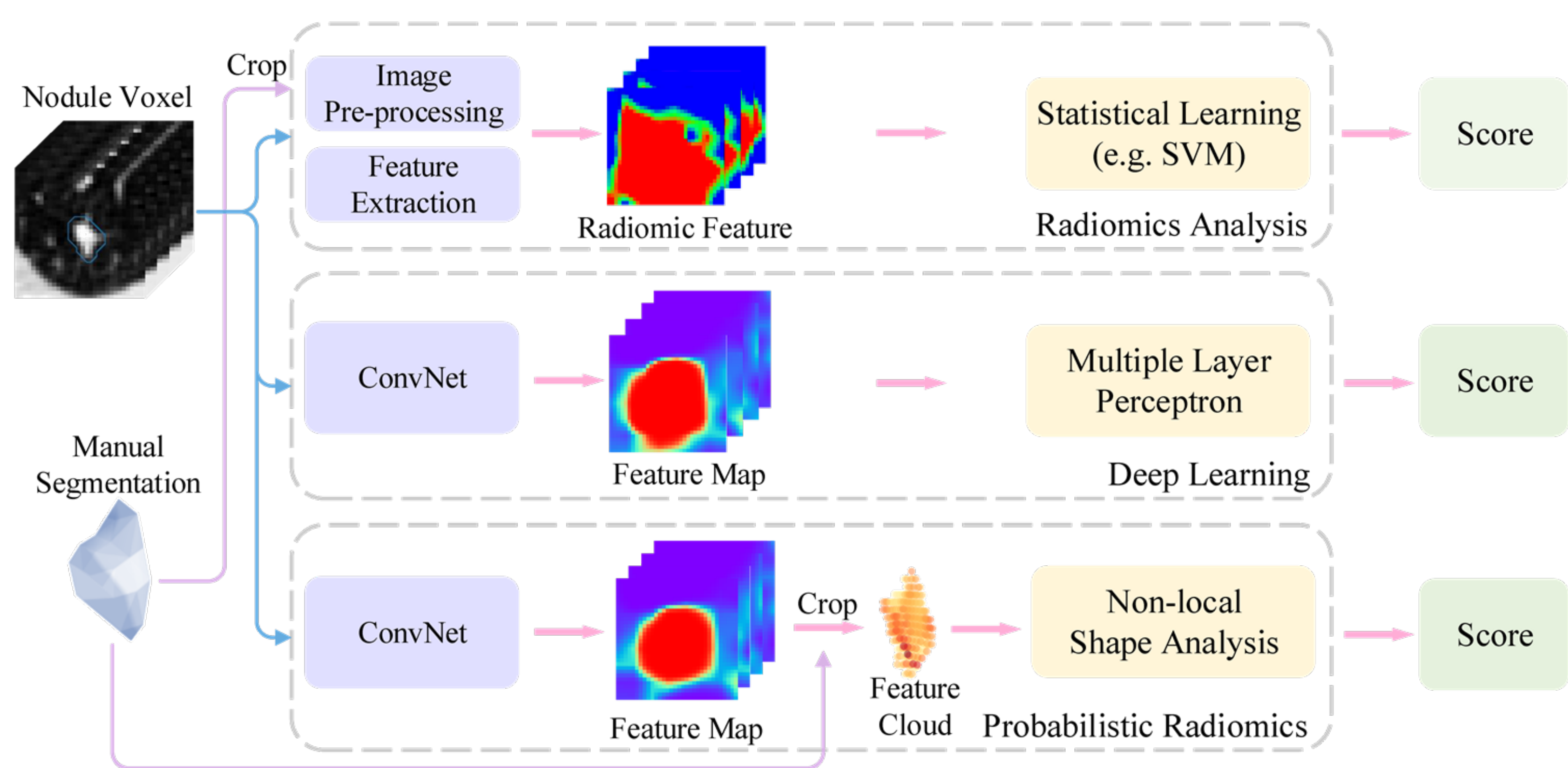
Non-local Shape Analysis

Features from the lesion region are cropped and regarded as **feature clouds**. The feature clouds are then processed by **Non-local Shape Analysis Module**, mimicking a light-weight variant of **Self-Attention** transformer [2].

Ambiguity PriorNet

To deal with the ambiguous labels from multiple experts, a probabilistic **Ambiguity PriorNet**, is developed to model the prior distribution of expert annotations, with reparameterization technique to enable back-prop [3].

Conventional Radiomics, CNNs & Proposed Probabilistic Radiomics



Comparison of conventional **Radiomics Analysis** (top), conventional CNN-based **Deep Learning** (middle), and the proposed **Probabilistic Radiomics** framework (bottom).

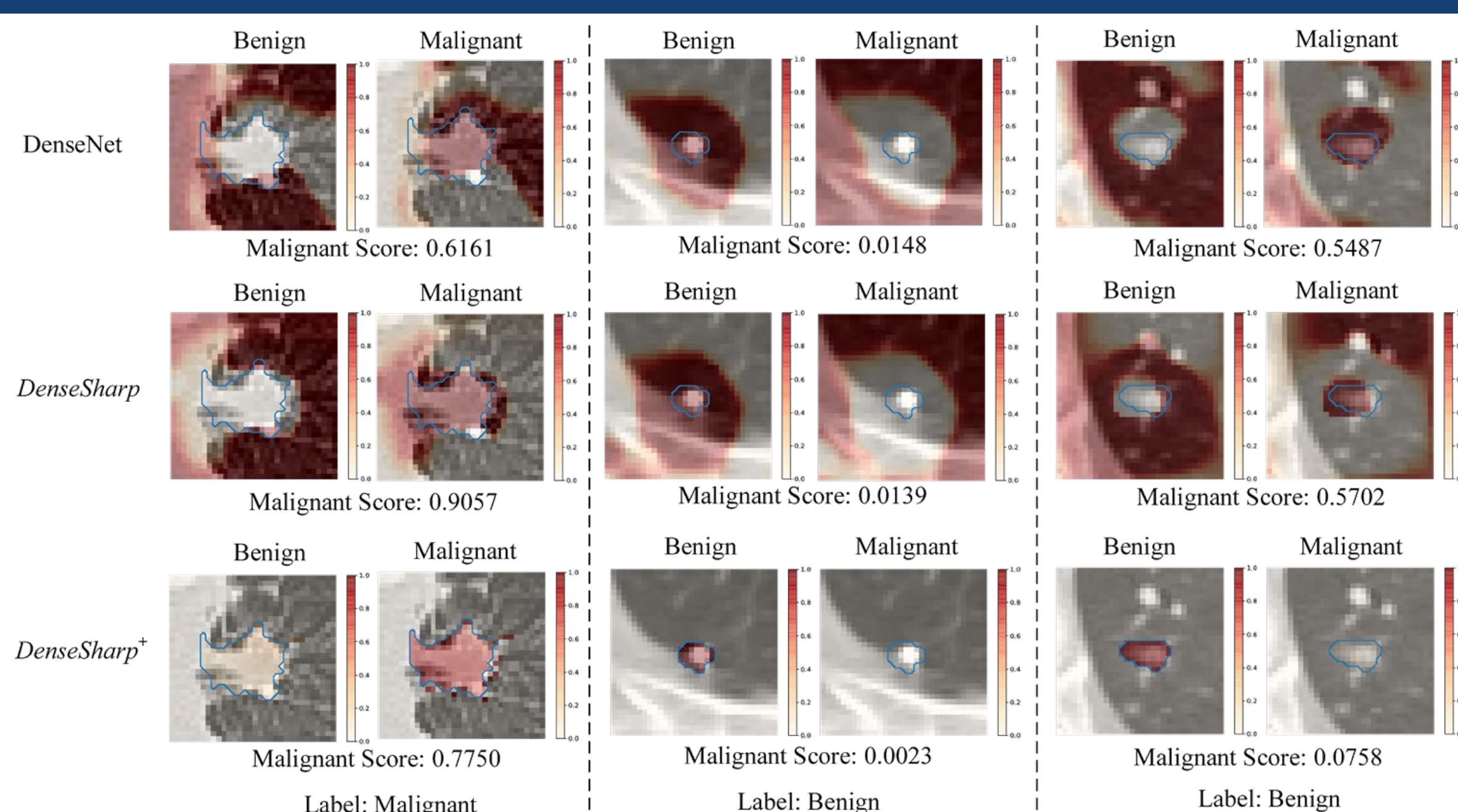
Method	Pros	Cons
Conventional Radiomics Analysis	Grey-box interpretability: Only corresponds to the user-delineated VOIs .	Insufficient expressiveness of hand-crafted features.
Conventional CNN-based Deep Learning	End-to-end learnable representation.	Black-box interpretability: Prone to the CNN failures (a) and (b).
Probabilistic Radiomics (Proposed)	End-to-end learnable representation. Only corresponds to the user-delineated VOIs . Capability to model the label ambiguity .	

Results

Method	AUC	Accuracy (%)
3D DPN [4]	-	88.28
3D DPN ensemble [4]	-	90.44
3D CNN w. MTL [5]	-	80.08
3D CNN w. sparse MTL [5]	-	91.26
3D DenseNet	0.9218	87.82
DenseSharp [1]	0.9393	89.26
DenseSharp ⁺ (LowAmbig-trained)	0.9480	90.87
DenseSharp ⁺ (HighAmbig-trained)	0.9566	91.52

Lung nodule classification on **LIDC-IDRI** dataset. With the ability to model label ambiguity, the DenseSharp⁺ directly trains on the **5-mode** labels, rather than prior studies using binary labels. The metrics are 5-fold cross-validated in binary classification on LowAmbig dataset.

LowAmbig: 1,183 nodules with ≥ 3 radiologists' consistent diagnosis. **HighAmbig**: all 2,635 nodules.



Saliency map visualization of 3D DenseNet, DenseSharp, and DenseSharp⁺. Even though MTL fashion of DenseSharp does help the model to better locate the lesions than, both DenseNet and DenseSharp not only activate the features within lesions, but also activate that within the background, which not precisely utilizes the features of lesions themselves (the “**correct evidences**”). As a comparison, DenseSharp⁺ only adapts the features upon lesions.

References

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- [5] S. Hussein, K. Cao, *et al.*: Risk Stratification of Lung Nodules using 3D CNN-based Multi-Task Learning. MICCAI, 2017.

CONTACT
&
Related Study
DenseSharp

WeChat: jekyll4168



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