

Heaps - Recitation 4

Correctness, Loop Invariant And Heaps.

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Apart from quantifying the resource requirement of our algorithms, we are also interested in proving that they indeed work. In this Recitation, we will demonstrate how to prove correctness via the notation of loop invariant. In addition, we will present the first (non-trivial) data structure in the course and prove that it allows us to compute the maximum efficiently.

Correctness And Loop Invariant.

In this course, any algorithm is defined relative to a task/problem/function, And it will be said correctly if, for any input, it computes desirable output. For example, suppose that our task is to extract the maximum element from a given array. So the input space is all the arrays of numbers, and proving that a given algorithm is correct requires proving that the algorithm's output is the maximal number for an arbitrary array. Formally:

Correctness.

We will say that an algorithm \mathcal{A} (an ordered set of operations) computes $f : D_1 \rightarrow D_2$ if for every $x \in D_1 \Rightarrow f(x) = \mathcal{A}(x)$. Sometimes when it's obvious what is the goal function f , we will abbreviate and say that \mathcal{A} is correct.

Other functions f might be including any computation task: file saving, summing numbers, posting a message in the forum, etc. Let's dive back into the maximum extraction problem and see how correctness should be proven in practice.

Task: Maximum Finding. Given $n \in \mathbb{N}$ numbers $a_1, a_2, \dots, a_n \in \mathbb{R}$ write an Algorithm that returns their maximum.

Consider the following suggestion. How would you prove it correct?

Maximum finding.

Result: returns the maximum of $a_1 \dots a_n \in \mathbb{R}^n$

```
1 let  $b \leftarrow a_1$ 
2 for  $i \in [2, n]$  do
3    $b \leftarrow \max(b, a_i)$ 
4 return  $b$ 
```

Usually, it will be convenient to divide the algorithms into subsections and then characterize and prove their correctness separately. One primary technique is using the notation of Loop Invariant. Loop Invariant is a property that is characteristic of a loop segment code and satisfies the following conditions:

Loop Invariant.

1. Initialization. The property holds (even) before the first iteration of the loop.
2. Conservation. As long as one performs the loop iterations, the property still holds.
3. Termination. Exiting from the loop carrying information.

Let's denote by $b^{(i)}$ the value of b at line number 2 at the i th iteration for $i \geq 2$ and define $b^{(1)}$ to be its value in the initialization. What is the Loop Invariant here? **Claim.** "at the i -th iteration, $b^{(i)} = \max \{a_1 \dots a_i\}$ ".

Proof. Initialization, clearly, $b^{(1)} = a_1 = \max \{a_1\}$. Conservation, by induction, we have the base case from the initialization part, assume the correctness of the claim for any $i' < i$ and consider the i th iteration (ofcourse, assume that $i < n$). Then:

$$b^{(i)} = \max \{b^{(i-1)}, a_i\} = \max \{\max \{a_1, \dots, a_{i-1}\}, a_i\} = \max \{a_1, \dots, a_i\}$$

And that compleats the Conservation part. Termination, follows by the conservation, at the n iteration, $b^{(i)}$ is seted to $\max \{a_1, a_2 \dots a_n\}$.

Task: Element finding. Given $n \in \mathbb{N}$ numbers $a_1, a_2, \dots, a_n \in \mathbb{R}$ and additional number $x \in \mathbb{R}$ write an Algorithm that returns i s.t $a_i = x$ if there exists such i and False otherwise.

Element finding.

Result: returns the maximum of $a_1 \dots a_n \in \mathbb{R}^n$

```
1 for  $i \in [n]$  do
2   if  $a_i = x$  then
3     return  $i, a_i$ 
4 return  $\Delta$ 
```

Correctness Proof. First, let's prove the following loop invariant.

Claim Suppose that the running of the algorithm reached the i 'th iteration, then $x \notin \{a_1 \dots a_{i-1}\}$.

Proof. Initialization, for $i = 1$ the claim is trivial, let's use that as the induction base case for proving Conservation. Assume the correctness of the claim for any $i' < i$. And consider the i th iteration. By the induction assumption we have that $x \notin \{a_1 \dots a_{i-1}\}$, and by the fact that we reached the i th iteration we have that in the $i - 1$ iteration, at line (2) the conditional weren't satisfied (otherwise, the function would returned at line (3) namely $x = a_{i-1}$. Hence, it follows that $x \notin \{a_1, a_2 \dots a_{i-1}\}$.

Seperate to cases. First consider, the case that given the input $a_1 \dots a_n$ the algorithm return Δ . In this case we have by the termination property that $x \notin \{a_1 \dots a_n\}$. Now, Suppose that the algorithm return the pair (i, x) then it's mean that the conditional at line (2) were satisfied at the i th iteration. So, indeed $a_i = x$ and the algorithm returns the expected output.

Task: The Superpharm Problem. You are requested to maintain a pharmacy line. In each turn, you get one of the following queries, either a new customer enters the shop, or the pharmacist requests the next person to stand in front. In addition, different customers have different priorities, So you are asked to guarantee that in each turn, the person with the height priority will be at the front.

Before we consider a sophisticated solution, What is the running time for the naive solution? (maintaining the line as a linear array) ($\sim O(n^2)$).