PCP - Huji Course, Ex 2.

David Ponarovsky

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1 Ex 1. Sumchecking with coefficients.

We would like to verify that a given polynomial box P satisfies $\sum_{x \in [d]^m} \varphi(x) f_P(x) = 0$ by accessing at most O(md) variables. For any function $\varphi: [d]^m \to \mathbb{F}_q$, denote by $\varphi': \mathbb{F}_q^m \to \mathbb{F}_q$ the unique extension of φ into a polynomial over \mathbb{F}_q^m , which we saw in the lectures and the previous assignment.

We will divide this section into two parts. First, we will demonstrate how to verify that $\sum_{x \in [d]^m} f_P(x) = 0$ when the polynomial is a function into \mathbb{F}_q . (I believe the lecture only covered the case when q = 2). In the second part, we will explain how to convert the coefficients case to the non-coefficients case. Finally, we will combine all of this to show that the construction meets the requirements.

1.1 Over non binary field.

Let's define a series of polynomial boxes f_i such that:

$$f_0 = f$$

$$f_{i+1}(x_1, ..., x_{m-i}) = \sum_{y \in [d]} f_i(x_1, ..., x_{m-i}, x_{m-i+1} = y)$$

Our verifier will ask for a proof, which is a list of $f_0, f_1, f_2, \ldots, f_m$. Now, if f is an honest assignment, then f_m is simply the sum of f over the cube $[d]^m$. Thus, it is sufficient to show the existence of a verifier that rejects with high probability any string that is far from being encoded by the previous structure. Nevertheless, any string which is close to has that structure would imply the existence of valid proof (those strings are called sometimes 'noisy proof').

1 Sample a line and a point, and use them to test any of the polynomials f_i with the line versus point test, consuming $\Theta(m \cdot d)$ of randomness. If we can assume the validity of each of the polynomial boxes, this step is not necessary.

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2
3 r_1, r_2..., r_m \leftarrow sample uniformly m points of [d]
4 for i \in [1, m] do
5 | Check if f_{i+1}(r_1, ..., r_{m-i-1}, x_{m-i}) - \sum_{y \in [d]} f_i(r_1, r_2, ..., r_{m-i-1}, x_{m-i}, y) is the zero polynomial by a random test that uses at most single query. (Here x_{m-i} \in [d] is the only variable)
6 | If not then reject.
8 end
9 Accept if f_m = 0
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Proof. Let us denote $g_i(x_{m-i})$ as the difference that is queried in line 5 for convenience.

1. Completeness. Easy. If the assignment is honest, then by definition $g_i = 0$ for any $i \in [m]$. Consequently, for any x_{m-i} , we have that $g_i(x_{m-i}) = 0$. Therefore, in that case, all the iterations will pass, and the whole proof will be accepted with probability 1.

2. Soundness. Assume an adversary input in which $f_m = 0$ but $\sum_{\mathbf{x} \in [d]^m} f_0(x) \neq 0$. This can only occur if at least one of the f_i is not set according to the definition. If there exists at least one i such that f_i fails the $m \cdot d$ -degree polynomial test with a probability greater than $1 - \frac{m \cdot d}{q}$ then we done. That's because the probability of rejection is greater than the probability to reject f_i on the polynomial test.

Therefore we can consider the case that any of the f_i fail the polynomials test with probability less than $1 - \frac{m \cdot d}{q}$ and yet there exists at least one i such that $g_i \neq 0$.

The probability of rejecting the proof is now greater than the probability of catching a nonzero point when probing g_i . Assuming that all the f_i pass with probability greater than $1 - \frac{m \cdot d}{q}$, it follows from the polynomials test that there exists a polynomial \tilde{f}_i of degree at most md that is close to f_i , such that with probability $1 - \Theta(\frac{md}{q})$ we query point such both \tilde{f}_i and f_i agree on

The same holds for f_{i+1} and therefore we can say that with probability at least $1 - \Theta(\frac{md}{q})$ difference g_i also agrees with a polynomial at degree at most $m \cdot d$, denote it by \tilde{g}_i . Thus the probability to fall on non zero point is greater than the probability to fall on point which both g_i , \tilde{g}_i agree on and it's zero. Combining it all together we get that with probability at most

$$\begin{aligned} \mathbf{Pr} \left[\text{accept} \right] &\leq \mathbf{Pr} \left[\text{checks fall only on zeros of } g_i \right] \\ &= 1 - \mathbf{Pr} \left[g_i(x) \neq 0 \right] \\ &\leq 1 - \mathbf{Pr} \left[\left\{ \text{ querying points from } \tilde{f}_i, \tilde{f}_{i+1} \right\} \cap \left\{ \tilde{g}_i(x) \neq 0 \right\} \right] \\ &= 1 - \left(1 - \Theta \left(\frac{m \cdot d}{q} \right) \right)^{O(1)} \\ &= \Theta \left(\frac{m \cdot d}{q} \right) \end{aligned}$$

the test accept.

1.2 Coefficients \mapsto non-coefficients.

By the fact that for any pair of polynomials f,g the degree of their product is at most the sum of their degrees $\deg f \cdot g \leq \deg f + \deg g$ we can reduct the problem of verifying whether the weight summation is zero into non-weight instance by considering the summation of the polynomial $\varphi' \cdot f$ over the cube $[d]^m$. When φ' is the extension of φ to $\mathbb{F}_q^m \to \mathbb{F}_q$.

Let's denote by $\xi = f \cdot \varphi$ and the corresponding polynomial box by $\xi_P = f_P \cdot \varphi_P$. Note that by the uniqueness of the extension of both φ and f into $\mathbb{F}_q^m \to \mathbb{F}_q$ we get also that the extension of ξ is unique and ξ_P is well defined.

Our verifier will take as a proof:

- 1. The polynomials f, φ, ξ
- 2. Their corresponding polynomial boxes f_P, φ_P, ξ_P
- 3. The polynomials boxes correspond to $\xi_0, \xi_1..\xi_m$ as defined in the previews section.
- 1 Sample uniformly random $x \sim [d]^m$ and check that $\varphi'(x) = \varphi(x)$
- **2** Check that φ is a polynomial at degree at most $d \cdot m$.
- **3** Check that the degree of ξ is at most 2md by querying the ξ_P .
- 4 Check that the polynomial $f \cdot \varphi' \xi$ is the zero polynomial by querying the boxes f_P, φ_P, ξ_P .
- 5 Using the sumcheck verifier on $\xi, \xi_1, \xi_2...\xi_m$, accept if the summation of ξ over the cube $[d]^m$ is zero.

Proof.

- 1. Completeness. The key point here is the fact that the extension $\xi_P = \varphi_P \cdot f_P$ is unique and agrees with $\varphi \cdot f$ on all points in the cube $[d]^m$. If the proof is honest then all validity of the input check at lines 1-4 are pass with probability 1. Then we get by the completeness of the sumcheck verification that if indeed $\sum_{x \in [d]^m} \xi(x) = \sum_{x \in [d]^m} \varphi(x) f_P(x) = 0$ then line number 5 also passes with probability 1.
- 2. Soundness. Returns exactly on the soundness proof in the above section, when here we apply also the idea that if the inputs pass the validity tests in lines 1-4 with probability grater than $1-\Theta(\frac{m\cdot d}{1})$ then there is a valid input which is close enough to the given input and by conditioning on querying only points that both of them agree on.

2 Ex 2.

The question concerns with the following test:

- 1 Choose $x, y \in \{\pm 1\}^k$ independently.
- **2** Choose $\mu \in \{\pm\}$.
- **3** Choose a random noise $z \in \{\pm\}^k$ such that z_i gets +1 with probability 1ε .
- 4 Accept if $\mu f(\mu x) \cdot g(y) = f(z \cdot xc^{-1}(y))$

2.1 2.a.

Let $f = \chi_{\{i\}}, g = \chi_{\{j\}}$ and j = c(i). In that case it holds that:

$$\mu f(\mu x) \cdot g(y) = \mu \chi_{\{i\}}(\mu x) \chi_{\{j\}}(y) = \mu^2 x_i y_j = x_i y_j$$
$$f(z \cdot x c^{-1}(y)) = \chi_{\{i\}}(z x c^{-1}(y)) = z_i x_i y_j$$

Thus, the test pass only if $z_i = 1$ and it given that this event happens with probability $1 - \varepsilon$.

2.2 2.b.

Denote by $\alpha_I \in \mathbb{R}$ and $\beta_I \in \mathbb{R}$ the coefficients of f, g over the character $\chi_{\{I\}}$.

$$\begin{split} &\mathbf{E}\left[\mu f\left(\mu x\right)\cdot g\left(y\right) f\left(z\cdot xc^{-1}\left(y\right)\right)\right] \\ &= \sum_{I,J,K} \alpha_{I}\alpha_{K}\beta_{J}\mathbf{E}\left[\mu\chi_{\left\{I\right\}}\left(\mu x\right)\chi_{\left\{J\right\}}\left(y\right)\chi_{\left\{K\right\}}\left(zxc^{-1}(y)\right)\right] \\ &= \sum_{I,J,K} \alpha_{I}\alpha_{K}\beta_{J}\mathbf{E}\left[\mathbf{E}\left[\mu\chi_{\left\{I\right\}}\left(\mu x\right)\chi_{\left\{J\right\}}\left(y\right)\chi_{\left\{K\right\}}\left(zxc^{-1}(y)\right)|\mu\right]\right] \\ &= \sum_{I,J,K} \alpha_{I}\alpha_{K}\beta_{J}\frac{1}{2}\left((-1)^{|I|+1}+1\right)\mathbf{E}\left[\chi_{\left\{I\right\}}\left(x\right)\chi_{\left\{J\right\}}\left(y\right)\chi_{\left\{K\right\}}\left(zxc^{-1}(y)\right)\right] \end{split}$$

Thus, all the elements in which |I| is even contribute zero for the exception. Now, let's apply the conditional expectation formula again conditioning over I, J, K, x, y:

$$= \sum_{I,J,K,|I| \text{is odd}} \alpha_{I} \alpha_{K} \beta_{J} \mathbf{E} \left[\mathbf{E} \left[\chi_{\{I\}} \left(x \right) \chi_{\{J\}} \left(y \right) \chi_{\{K\}} \left(zxc^{-1}(y) \right) | I, J, K \right] \right]$$

$$= \sum_{I,J,K,|I| \text{is odd}} \alpha_{I} \alpha_{K} \beta_{J} \mathbf{E} \left[\sum_{\xi=0}^{|K|} {|K| \choose \xi} \left(-\varepsilon \right)^{\xi} \left(1 - \varepsilon \right)^{|K| - \xi} \chi_{\{I\}} \left(x \right) \chi_{\{J\}} \left(y \right) \chi_{\{K\}} \left(xc^{-1}(y) \right) \right]$$

$$= \sum_{I,J,K,|I| \text{is odd}} \alpha_{I} \alpha_{K} \beta_{J} \mathbf{E} \left[\left(1 - 2\varepsilon \right)^{|K|} \chi_{\{I\}} \left(x \right) \chi_{\{J\}} \left(y \right) \chi_{\{K\}} \left(xc^{-1}(y) \right) \right]$$

Let us denote by $C^{-1}(K)$ the indices $C^{-1}(K) = \{j : \exists i \in K, c(i) = j\}$. Then we get that:

$$\chi_{\{K\}} \left(xc^{-1}(y) \right) = \prod_{i \in K} x_i y_{c_i} = \chi_{\{K\}} \left(K \right) \chi_{\{C^{-1}(K)\}} \left(y \right)$$

Recall that for any $I, J \subset [n]$ it holds that:

$$\mathbf{E}\left[\chi_{\{I\}}(x)\chi_{\{J\}}(x)\right] = \mathbf{E}\left[\chi_{\{I\Delta J\}}(x)\right] = \mathbf{1}_{I=J}$$

And therefore the above can be simplified into:

$$\sum_{|I| \text{is odd}} \alpha_I^2 \beta_{C^{-1}(I)} \left(1 - 2\varepsilon \right)^{|I|}$$

2.3 2.c

First let's bound from below the expectation by the given that f and g pass the test with probability at least $\frac{1}{2} + \delta$:

$$\mathbf{E}\left[\mu f\left(\mu x\right) \cdot g\left(y\right) f\left(z \cdot x c^{-1}\left(y\right)\right)\right]$$

$$= \mathbf{Pr}\left[\mu f\left(\mu x\right) \cdot g\left(y\right) = f\left(z \cdot x c^{-1}\left(y\right)\right)\right] - \mathbf{Pr}\left[\mu f\left(\mu x\right) \cdot g\left(y\right) \neq f\left(z \cdot x c^{-1}\left(y\right)\right)\right]$$

$$\geq \frac{1}{2} + \delta - \left(\frac{1}{2} - \delta\right) = 2\delta$$

Thus in total the inequality of the above section becomes:

$$\sum_{|I| \text{is odd}} \alpha_I^2 \beta_{C^{-1}(I)} \left(1 - 2\varepsilon \right)^{|I|} \ge 2\delta$$

Using Cauchy-Schwartz to bound from above, we obtain:

$$\begin{split} 4\delta^2 &\leq \left(\sum_{|I| \text{is odd}} \alpha_I^2 \beta_{C^{-1}(I)} \left(1 - 2\varepsilon\right)^{|I|}\right)^2 \leq \sum_{|I| \text{is odd}} \alpha_I^2 \cdot \sum_{|I| \text{is odd}} \alpha_I^2 \beta_{C^{-1}(I)}^2 \left(1 - 2\varepsilon\right)^{2|I|} \\ &\leq \sum_{|I| \text{is odd}} \alpha_I^2 \beta_{C^{-1}(I)}^2 \left(1 - 2\varepsilon\right)^{2|I|} \end{split}$$

Now let's denote by $\eta \in (0,1)$ a threshold parameter and separate the above summation into two part, when the first part sums up the elements in which $|I| \leq \eta n$ and the second sums elements in which $|I| \geq \eta n$:

$$\begin{split} &4\delta^{2} \leq \sum_{|I| \text{is odd}, |I| \leq \eta n} \alpha_{I}^{2} \beta_{C^{-1}(I)}^{2} \left(1 - 2\varepsilon\right)^{2|I|} + \sum_{|I| \text{is odd}, |I| \geq \eta n} \alpha_{I}^{2} \beta_{C^{-1}(I)}^{2} \left(1 - 2\varepsilon\right)^{2|I|} \\ &\leq \sum_{|I| \text{is odd}, |I| \leq \eta n} \alpha_{I}^{2} \beta_{C^{-1}(I)}^{2} \left(1 - 2\varepsilon\right)^{2|I|} + \left(1 - 2\varepsilon\right)^{2\eta n} \sum_{|I| \text{is odd}, |I| \geq \eta n} \alpha_{I}^{2} \beta_{C^{-1}(I)}^{2} \\ &\leq \sum_{|I| \text{is odd}, |I| \leq \eta n} \alpha_{I}^{2} \beta_{C^{-1}(I)}^{2} + \left(1 - 2\varepsilon\right)^{2\eta n} \sum_{|I| \text{is odd}, |I| \geq \eta n} \alpha_{I}^{2} \beta_{C^{-1}(I)}^{2} \end{split}$$

When in the last transition we use the fact that $1-2\varepsilon < 1$. By picking η such that $(1-2\varepsilon)^{2\eta n} = \Theta\left(\delta^3\right)$ we have that for a family of tests:

$$3\delta^2 \le \sum_{|I| \text{ is odd}, |I| \le \eta n} \alpha_I^2 \beta_{C^{-1}(I)}^2 \tag{1}$$

As the summation is over I at odd size, the empty set is not counted in the summation, namely there must be a non empty I such that $|I| \leq \frac{1}{2} \log_{1-2\varepsilon} \left(\delta^3\right)$ and $\alpha_I \beta_{C^{-1}(I)}$ have non zero weight. Thus we can define:

$$L_f = \left\{ I : |I| \le \frac{1}{2} \log_{1-2\varepsilon} \left(\delta^3 \right) \text{ and } |I| \text{ is odd } \right\}$$

$$M_g = \left\{ C^{-1}(I) : |I| \le \frac{1}{2} \log_{1-2\varepsilon} \left(\delta^3 \right) \text{ and } |I| \text{ is odd } \right\}$$

2.4 Ex 3. The label cover problem.

The reduction. Let $\langle G = (V, E), \{c_e\}\rangle$ be a given instance of the Label cover problem. For each edge $e = \{v, u\} \in E$ define the test $T_{\varepsilon}(c_e)$ as defined in the previews section, Thus in total we define |E| tests, denote them by T. Consider the language L such that a test collection T is in L if there exists function $f \times V$ such that the probability:

$$\Pr\left[T_{\varepsilon}(c_{\{v,u\}}) \text{ accepts on } f_v, f_u\right] \geq 1 - \varepsilon$$

For every $\{v, u\} \in E$. A probabilistic verifier takes a candidate $f \times V : \pm \times V \to \pm$, picks a random edge $e \in E$ and then check $T_{\varepsilon}(c_e)$ over the functions f_v, f_u .

Completeness. Suppose that $\langle G = (V, E), \{c_e\} \rangle \in (\mu, 1)$ -Label Cover then either there exists a labeling A such that $c_{vu}(A(v)) = A_u$ for any $\{v, u\} \in E$ or that any labeling satisfies at most μ constraints. For completeness let's assume the first case, and denote by A the satisfying labeling. Consider the function $f \times V : \pm \times V \to \pm$ defined as follow: $f_v = \chi_{\{A(v)\}}$, So by the first section of part 2 we have that any of the test accepts with probability $1 - \varepsilon$. That it, as we pick a test uniformly random, the existences of satisfying labeling for the label cover problem give a function that pass the test with probability $1 - \varepsilon$.

Soundness. Now, assume the second case, namely that any labeling satisfies at most μ constraints. Also assume through contradiction that there exists an assignment that satisfies more than $\frac{1}{2} + \delta$ equations, so by the same arguments we use in section 2.b we have that the expectation of the product $\mathbf{E}\left[\mu f_v(\mu x)f_u(y)f_v(zxc^{-1}(y))\right] \geq 2\delta$ when here, in addition for taking the expectation over the x, y, z, μ we also summing on the edges $\{v, u\} \in E$.

Now we are about to show that for at least δ of tests the product $\mathbf{E}\left[\mu f_v(\mu x)f_u(y)f_v(zxc^{-1}(y))|u,v\right]$ conditioned on the test is greater than δ . For convenient let's use the notation $\mathbf{E}\left[\cdot\right] \geq \delta$ for referring to tests that the averaging in on their product is grater than δ , and by the same manner let's use the notation $\mathbf{E}\left[\cdot\right] \leq \delta$. So:

$$2\delta \leq \mathbf{E} \left[\mu f_v(\mu x) f_u(y) f_v(zxc^{-1}(y)) \right] =$$

$$\mathbf{Pr} \left[u, v \text{ s.t } \mathbf{E} \left[\cdot \right] \geq \delta \right] \mathbf{E} \left[\mu f_v(\mu x) f_u(y) f_v(zxc^{-1}(y)) | u, v \text{ s.t } \mathbf{E} \left[\cdot \right] \geq \delta \right] +$$

$$\mathbf{Pr} \left[u, v \text{ s.t } \mathbf{E} \left[\cdot \right] \leq \delta \right] \mathbf{E} \left[\mu f_v(\mu x) f_u(y) f_v(zxc^{-1}(y)) | u, v \text{ s.t } \mathbf{E} \left[\cdot \right] \leq \delta \right]$$

$$\leq \mathbf{Pr} \left[u, v \text{ s.t } \mathbf{E} \left[\cdot \right] \geq \delta \right] \cdot 1 + \mathbf{Pr} \left[u, v \text{ s.t } \mathbf{E} \left[\cdot \right] \leq \delta \right] \cdot \delta$$

$$\leq \mathbf{Pr} \left[u, v \text{ s.t } \mathbf{E} \left[\cdot \right] \geq \delta \right] + \delta$$

Thus for at least δ fraction of the tests equation 1 holds. Now consider the follow probabilistic assignment, for any vertex v we choose a set $I \subset [n]$ at probability that equals to the projection of f_v on $\chi_{\{I\}}$ square, namely $|\langle f_v, \chi_{\{I\}} \rangle|^2$ then picking uniformly form the support of I a label for v. Therefore for any tests associate with u, v satisfies $\mathbf{E}[\cdot] \geq \delta$ we have that the probability that

 $c_{v,u}A(v) = A(u)$ is at least:

$$\begin{split} & \sum_{|I| \text{ is odd}, |I| \leq \eta n} |\left\langle f_v, \chi_{\{I\}} \right\rangle|^2 |\left\langle f_{ru}, \chi_{\{c^{-1}(I)\}} \right\rangle|^2 \cdot \mathbf{Pr} \left[\text{ pick } i \in I, j \in C^{-1}(I), c(i) = j \right] \\ & \geq \sum_{|I| \text{ is odd}, |I| \leq \eta n} |\left\langle f_v, \chi_{\{I\}} \right\rangle|^2 |\left\langle f_{ru}, \chi_{\{c^{-1}(I)\}} \right\rangle|^2 \cdot \frac{1}{|I| |C^{-1}(I)|} \\ & \geq \left(\frac{1}{2} \log_{1-2\varepsilon} \left(\delta^3 \right) \right)^{-2} \cdot \sum_{|I| \text{ is odd}, |I| \leq \eta n} |\left\langle f_v, \chi_{\{I\}} \right\rangle|^2 |\left\langle f_{ru}, \chi_{\{c^{-1}(I)\}} \right\rangle|^2 \\ & \geq \left(\frac{1}{2} \log_{1-2\varepsilon} \left(\delta^3 \right) \right)^{-2} \cdot 3 \left(\frac{\delta}{2} \right)^2 \end{split}$$

Thus in total the labeling satisfies $\delta \cdot \left(\frac{1}{2} \log_{1-2\varepsilon} \left(\delta^3\right)\right)^{-2} \cdot 3 \left(\frac{\delta}{2}\right)^2$ of the constraints. That it, setting that number to η obtains the requested.

3 Part 3.

Label cover when the aleph-bet depends on the vertex. Instead of showing reduction into the general label cover we will show a reduction to a similar problem in which vertices can have an additional restriction on the valid charters that one can sets on. In formal, we will say that $\langle G, \{\Sigma_v : v \in V\}, \{c_e : e \in E\} \rangle$ instance of Generalized-Label-Cover if there is a labeling $A : V \to \Sigma$ such that for any $\{v, u\} \in E$ it holds that $c_e A(v) = A(u)$ and in addition for any $v \in V$ we have that $A(v) \in \Sigma_v \subset \Sigma$.

The reduction. Define the bipartite graph G = (L, R, E). Associate the left vertices with the variables and the right with the closures. Define $\{u, v\}$ to be an edge if the literal which associate with the vertex u is in the closure associate with vertex v. For the alphabet take $\Sigma = \mathbb{Z}_2^3$. For any right vertex $v \in R$ define Σ_v be all the assignments for which the v-closures is satisfied and for any left vertex u define $\Sigma_u = \{(1,0,0),(0,0,0)\}$. Finally define c_e for $e = \{v \in R, u \in L\}$ to be the projection of $\sigma \in \Sigma$, setted on v, to the coordinate corresponding with u.

For example, assume that v associate with $x \vee y \vee z$ and let u be the vertex associate with x, And assume that A(v) = (1,0,1), then $c_e A(v) = (1,0,0)$. Thus if A(u) = (1,0,0) we have that $A(u) = c_e A(v)$.

Completeness. Suppose that $\varphi \in \text{E3-CNF-SAT}$ and let $x \in \mathbb{F}_2^*$ be the assignment that satisfies φ . That it, $\varphi(x) = \text{True}$. Let A be the labeling that sets for any vertex on the left the bit matched to that literal by x follows by zeros padding. And for any right vertex the triple of the bits corresponding to literals involving in the associated closure. By the fact that x satisfies φ any closure in φ is satisfied by x and therefore each of the right vertices (closures) see on his local view a character of Σ_v . In addition by the definition of the construction any pair of connected vertices satisfies the edge restriction.

Soundness. Suppose that $\varphi \in \text{E3-CNF-SAT}$ but not satisfiable and $\langle G, \{\Sigma_v : v \in V\}, \{c_e : e \in E\} \rangle$ is an instance obtained by the reduction above. Assume towards contradiction that there exists labeling A such that more than $\mu' = 6\mu$ of the restriction $\{c_e\}$ are satisfied.

Define by α_i to be the number of right vertices which satisfy exactly i edges, that it,

$$\alpha_i = |\{v \in R : |\{u \in L : c_e A(v) = A(u)\}| = i\}|$$

Claim 1. For any labeling A such that $\alpha_3 \geq \mu$ there exists an assignment $x \in \mathbb{F}_2^*$ satisfies at least μ portion of the closures.

Proof. The proof is trivial.

Claim 2. For any labeling A that satisfy ξ constraints, there exists labeling A' such that any constraint that satisfied by A also satisfied by A' and in addition $\alpha_0 = \alpha_1 = 0$. Put it differently, we can assume that $\alpha_0 = \alpha_1 = 0$.

Proof. Let $v \in R$ be a vertex that satisfies less than two edges. Recall that Σ_v contains all the triple that satisfy the closure associated with v. By the fact that for any 3-CNF closure there is exactly one assignment which does not satisfy it, It follows that $|\Sigma_v| = 2^3 - 1 = 7 \ge 2^2$. Therefore, we can replace A(v) by a triple that agrees with the first two vertices connected to it.

Using the above claim we can infer that $\alpha_2 + \alpha_3 = |R|$ and in addition $2 \cdot \alpha_2 + 3 \cdot \alpha_3 \ge \mu' \cdot 3|R|$. Thus, $\alpha_3 \ge (3\mu' - 2)|R|$. Particularly if $\mu' \ge \frac{\mu + 2}{3}$ then $\alpha_3 \ge \mu|R|$, Combining the claim above we get a contradiction to the fact that $\varphi \in (\mu, 1)$ gap-3E-CNF-SAT and not satisfiable.