

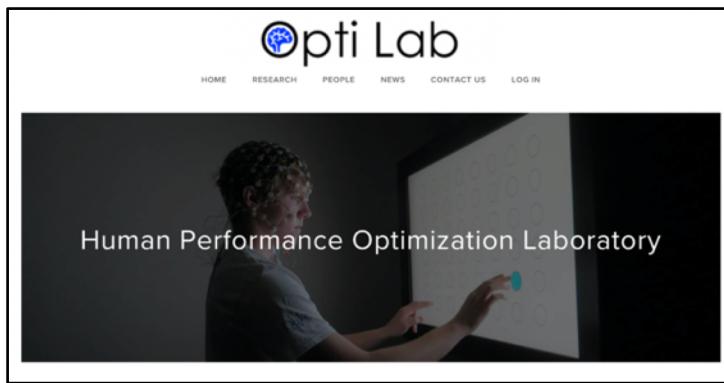
# Introduction to Noninvasive Brain Stimulation (NIBS)

Greg Appelbaum, Ph.D

SSNAP 2021

# Introduction

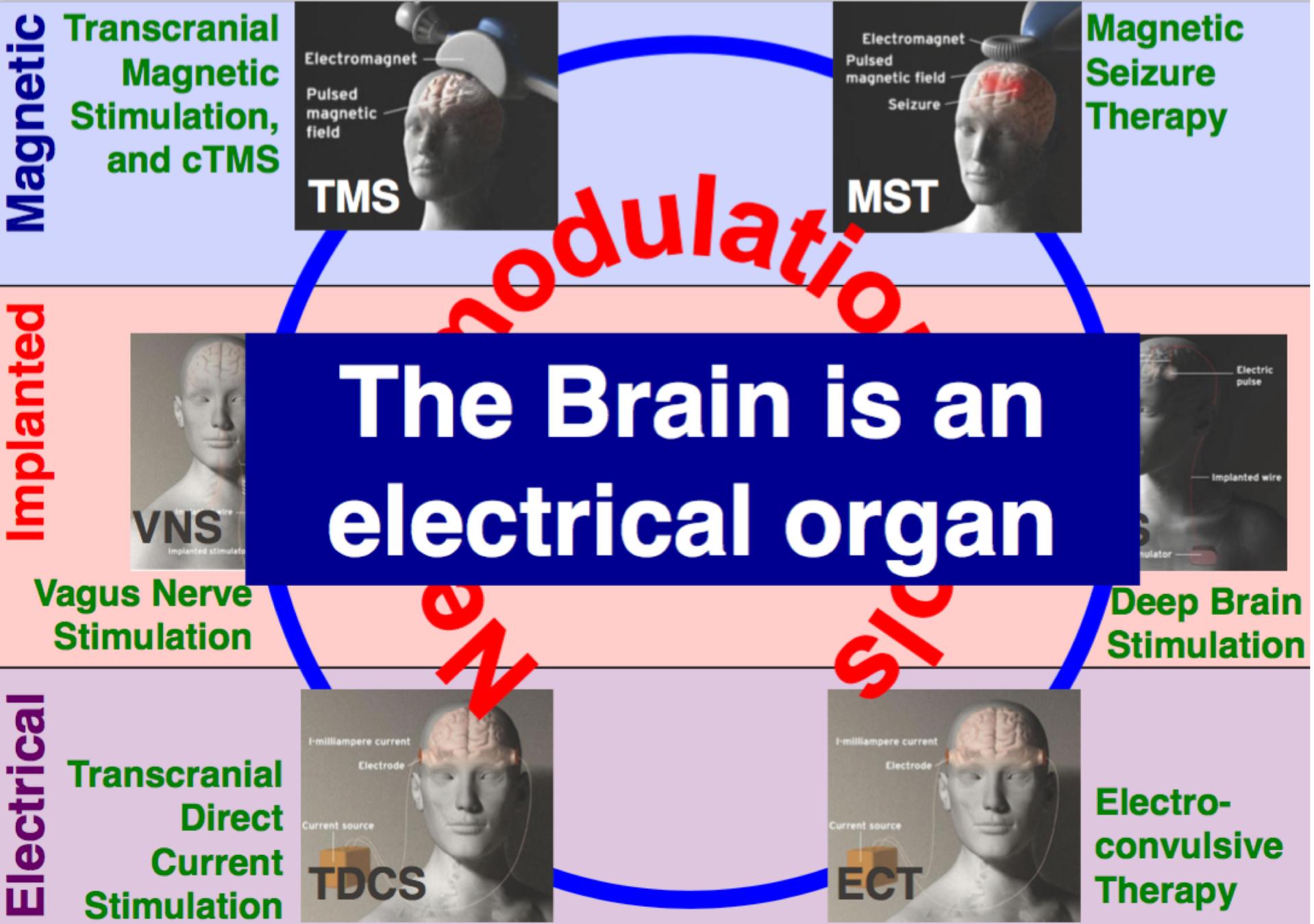
Greg is a cognitive neuroscientists who studies neuroplasticity and expertise



We use behavioral tasks combined with neuroscience approaches (EEG, fMRI, TMS...) to do applied research that attempts to optimize human performance

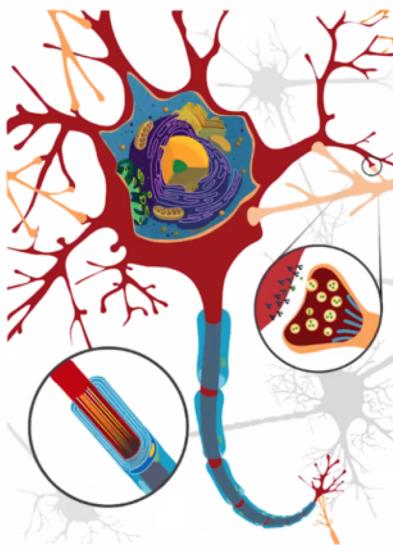
# The plan for today

- Outline
  - The landscape of Brain Stimulation Techniques
  - Basic Principles of Brain Stim
    - Mechanisms of action; Dosing; Targeting;
  - TMS Applications –clinical; research
  - tDCS Applications – research; clinical
  - Demo
- Audience participation is encouraged! Ask questions at will.

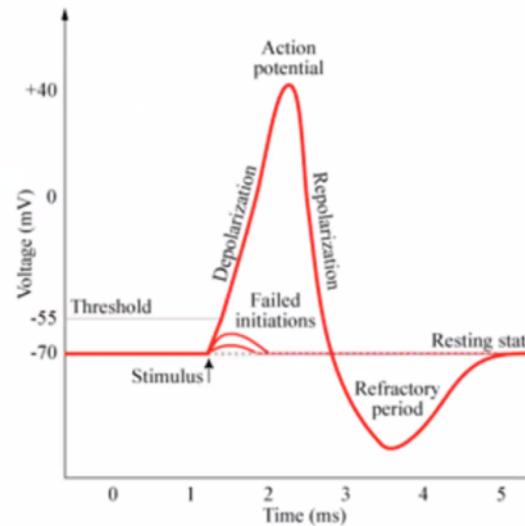
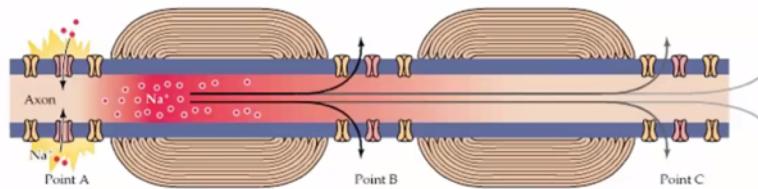


# The electric brain

## Neuronal Activity

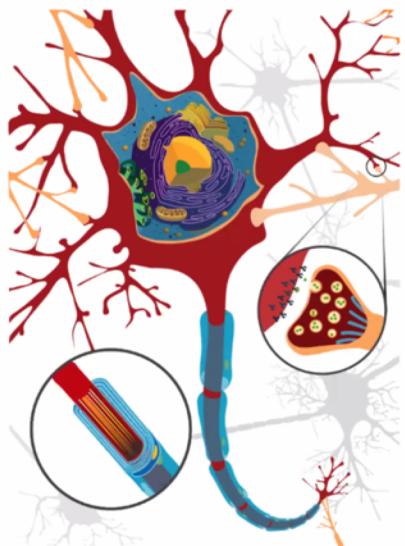


Generates  
electric fields



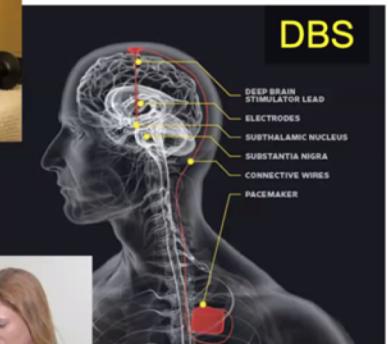
# The electric brain

Neuronal Activity

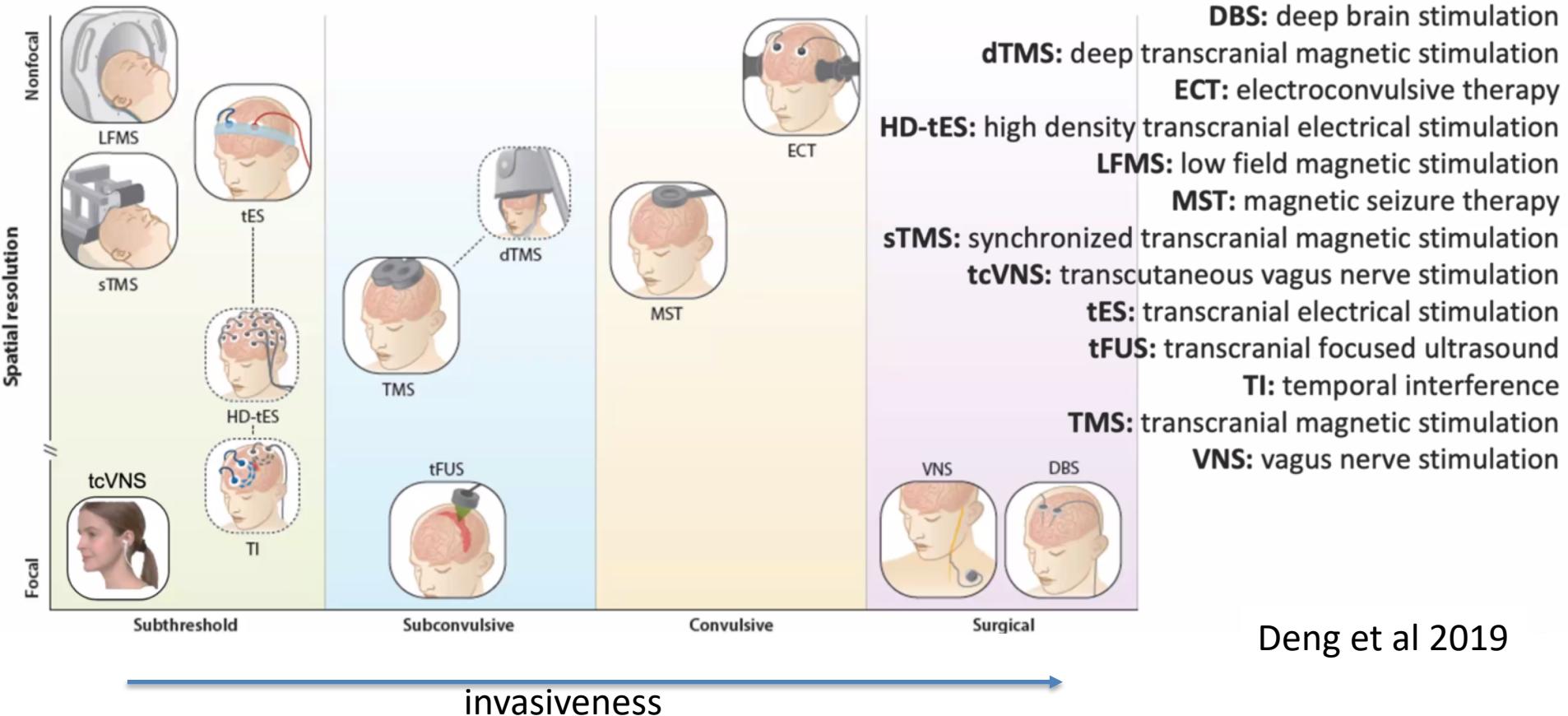


Induce neural activity

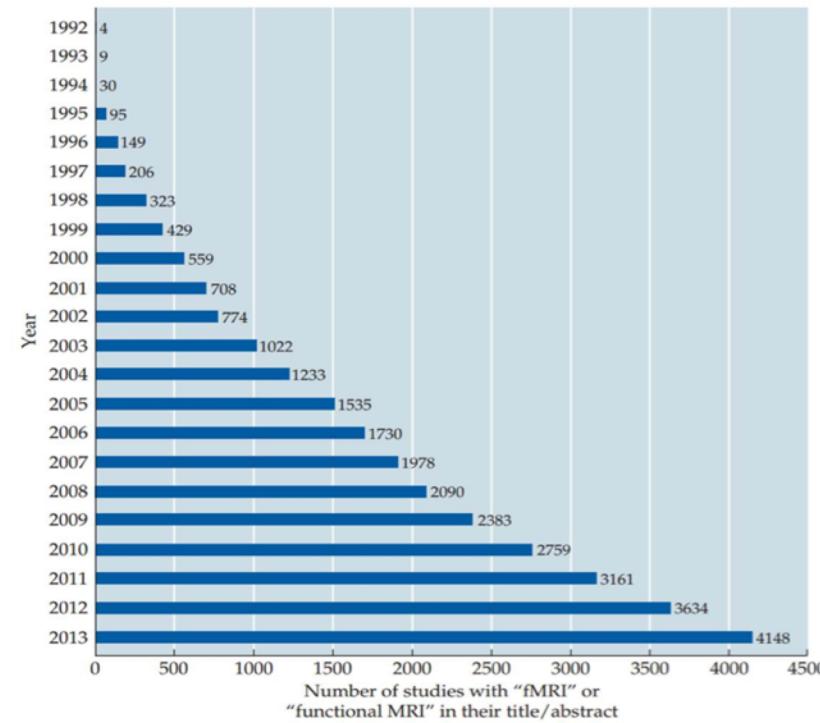
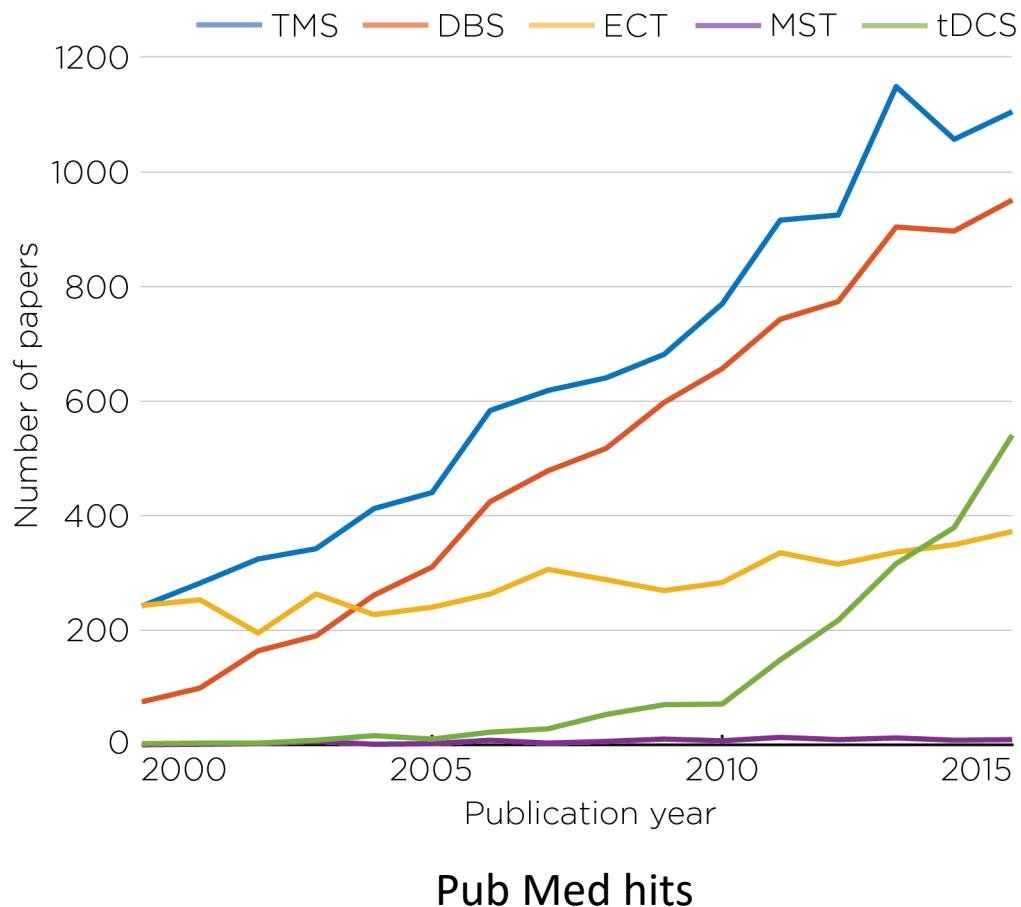
Electric fields applied to the brain



# The Landscape of Brain Stimulation Techniques

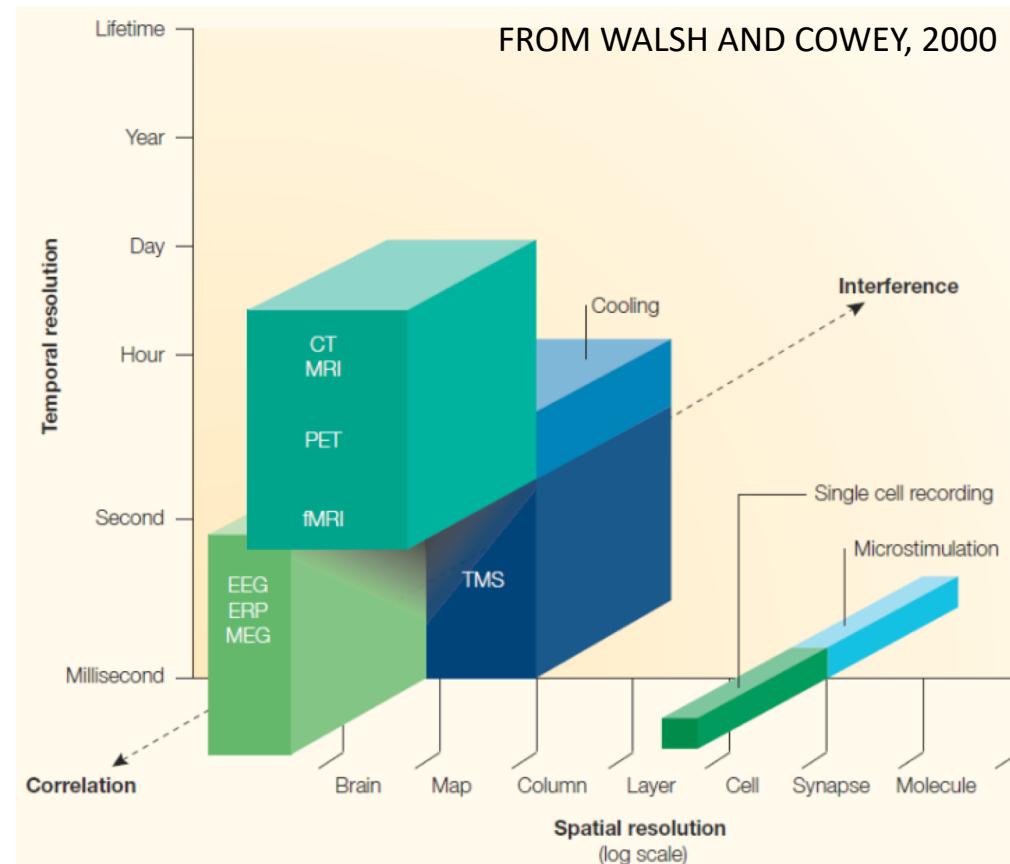


# Rapidly growing use of Brain Stimulation



But still a fraction of fMRI

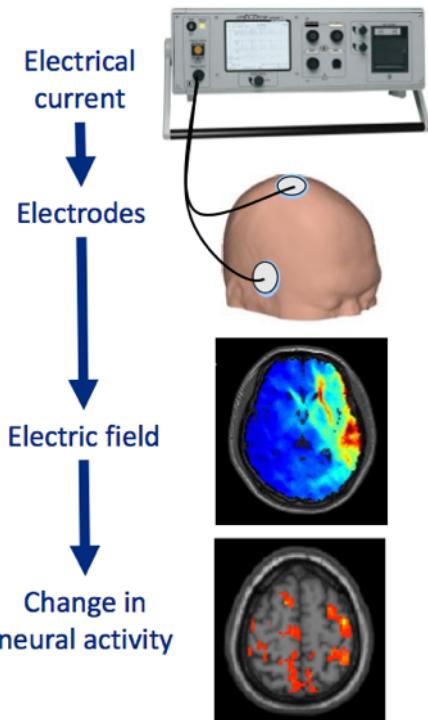
# Brain Stimulation in the Context of other Neuroscience Techniques



Whereas most of the other techniques you have encountered in this course are correlational (there is a relationship between 2 or more variables), brain stimulation is causal (variable x is necessary for effect y).

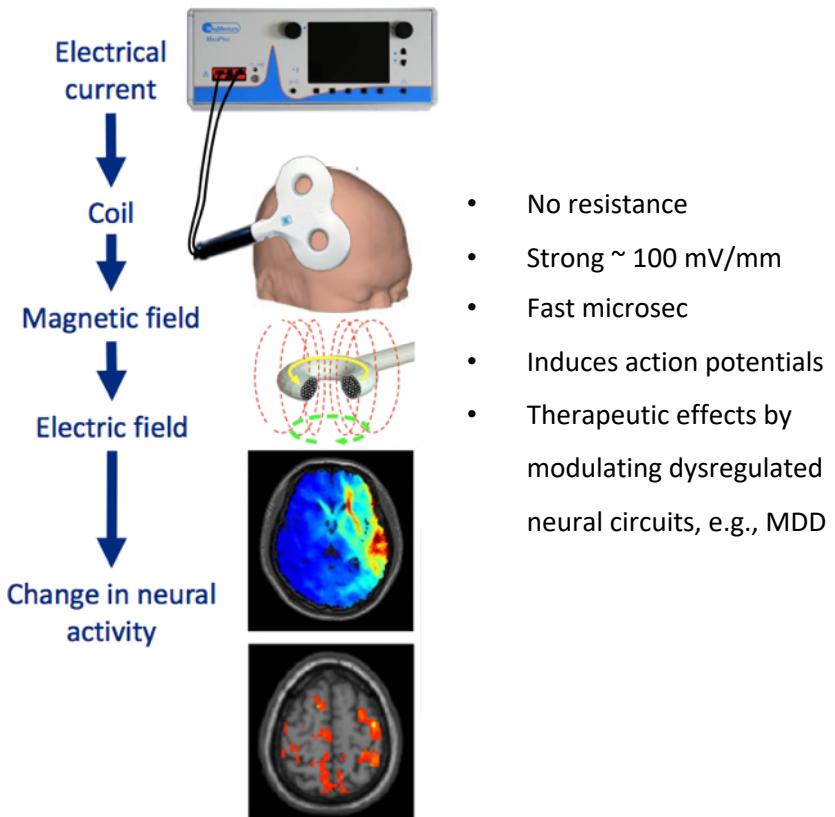
# Basic Principles of Brain Stimulation

## Transcranial Electrical Stimulation



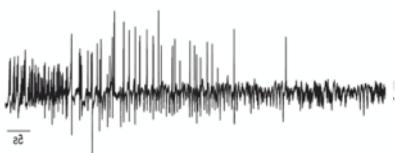
- Skin resistance
- Very weak;  $<1$  mV/mm
- Slow; minutes
- Affects membrane potential

## Transcranial Magnetic Stimulation



- No resistance
- Strong  $\sim 100$  mV/mm
- Fast microsec
- Induces action potentials
- Therapeutic effects by modulating dysregulated neural circuits, e.g., MDD

## Therapeutic Seizure

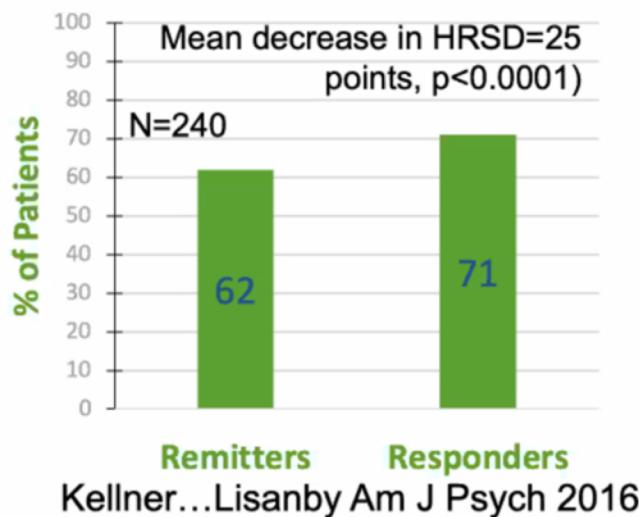


# Electroconvulsive Therapy (ECT)

- Unparalleled efficacy
  - Among FDA-approved antidepressants, ECT is the most potent (Effect size 0.8)



U01 MH084241 MPI: Lisanby/Weiner

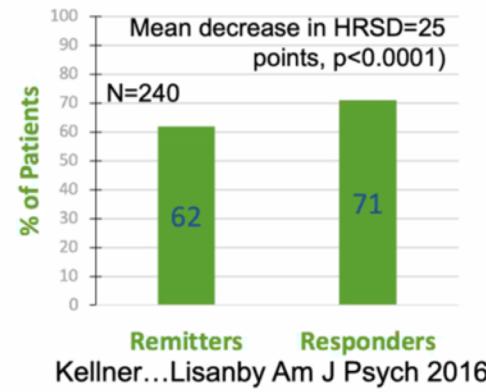


# Electroconvulsive Therapy (ECT)

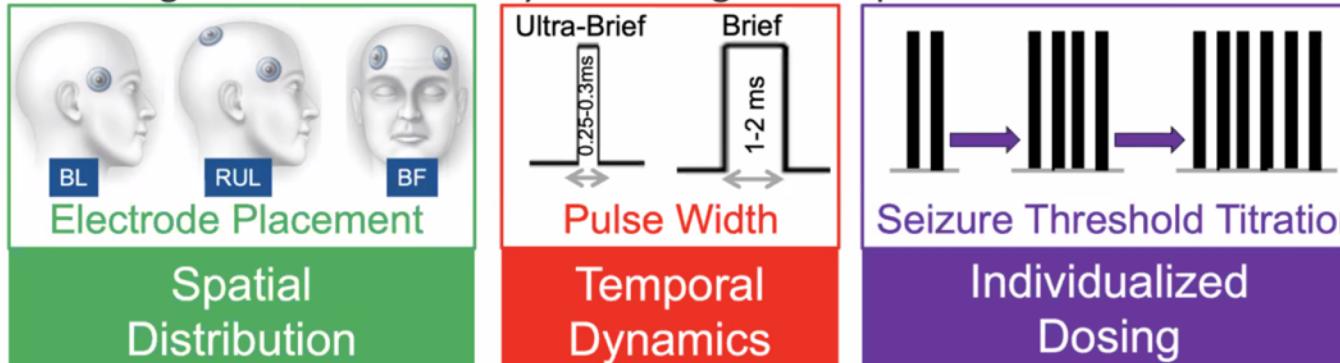
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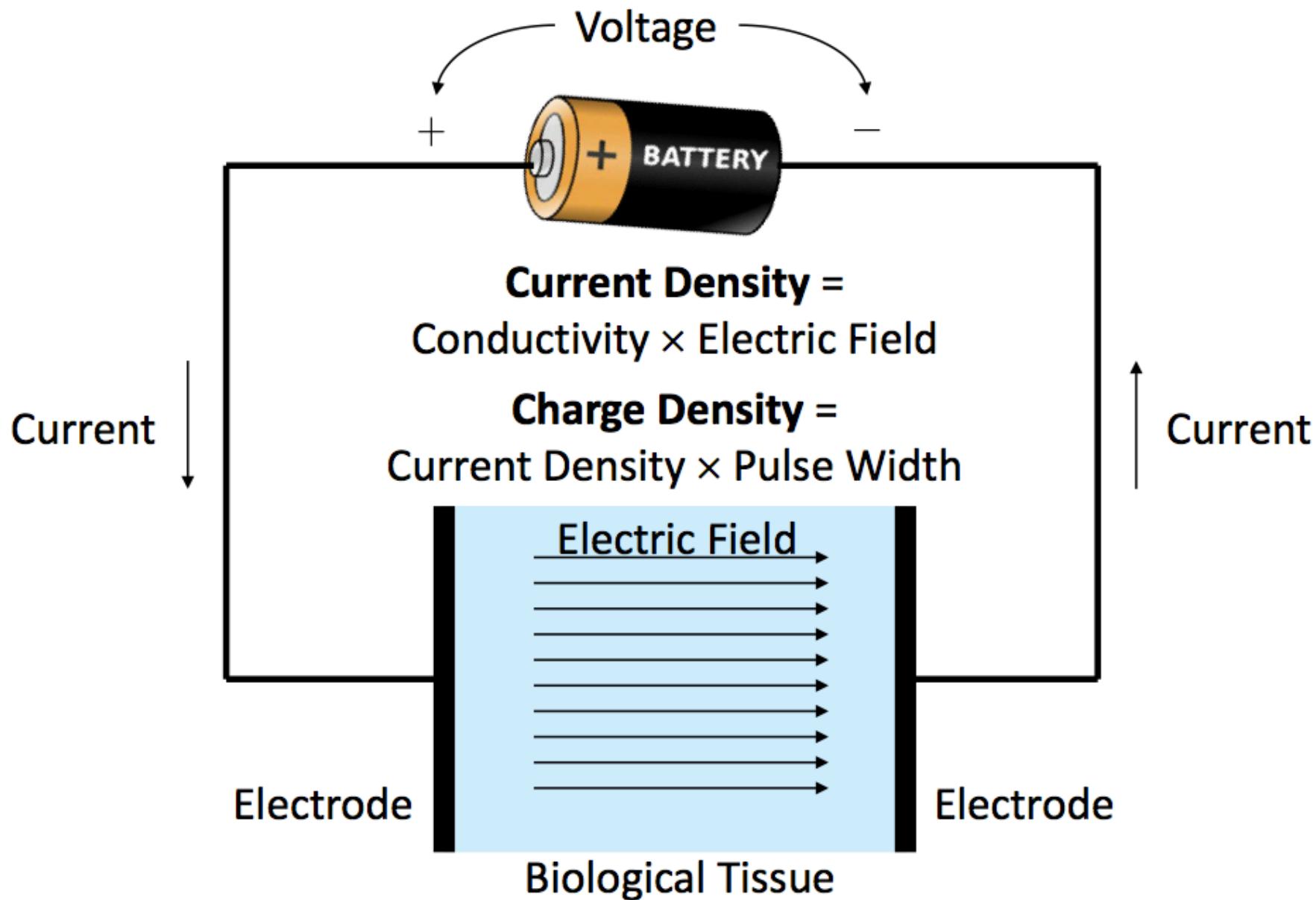
U01 MH084241 MPI: Lisanby/Weiner



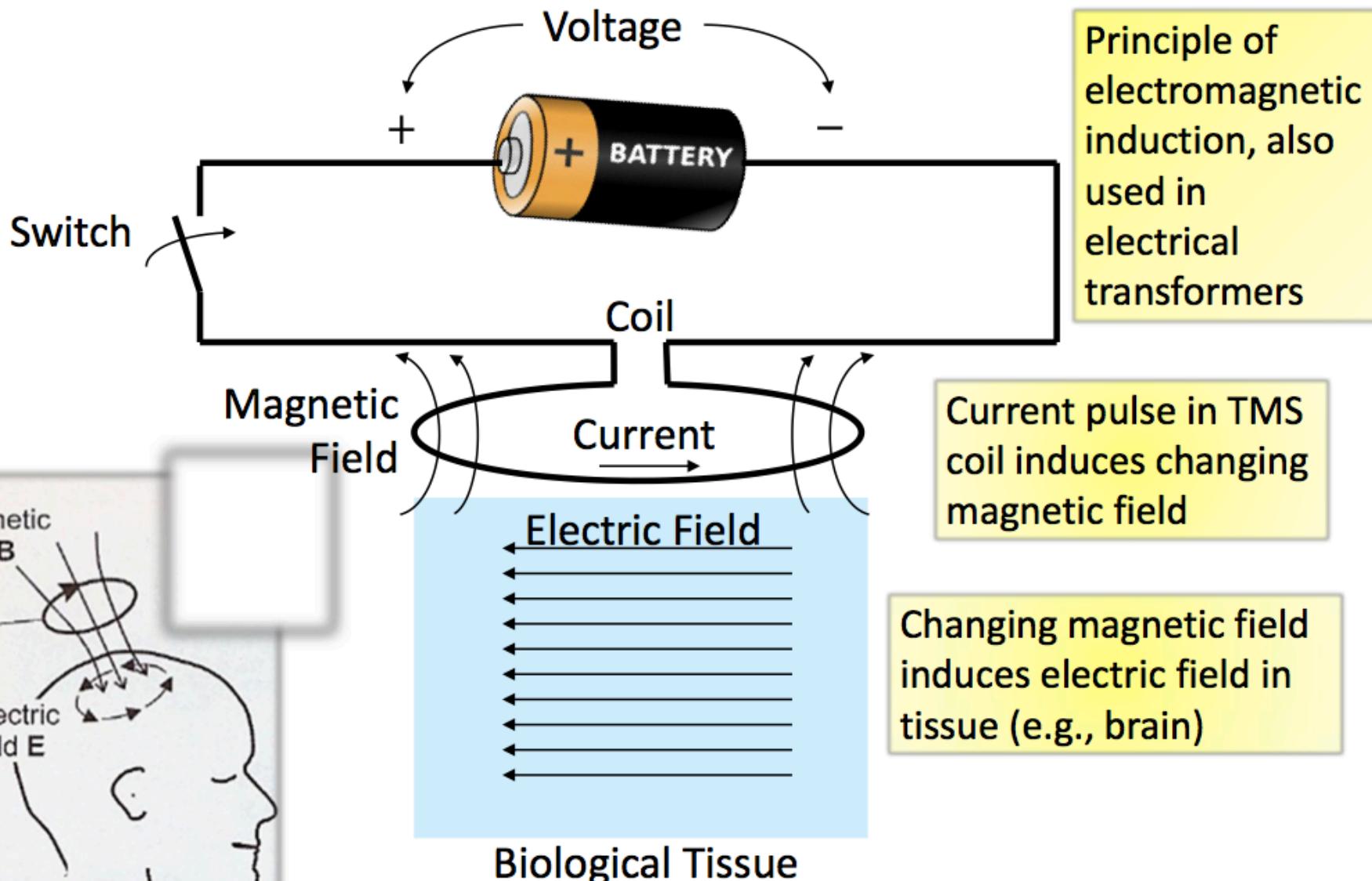
- Rapid onset of action
- Potent anti-suicidal action
- Strategies to reduce memory loss through technique modifications



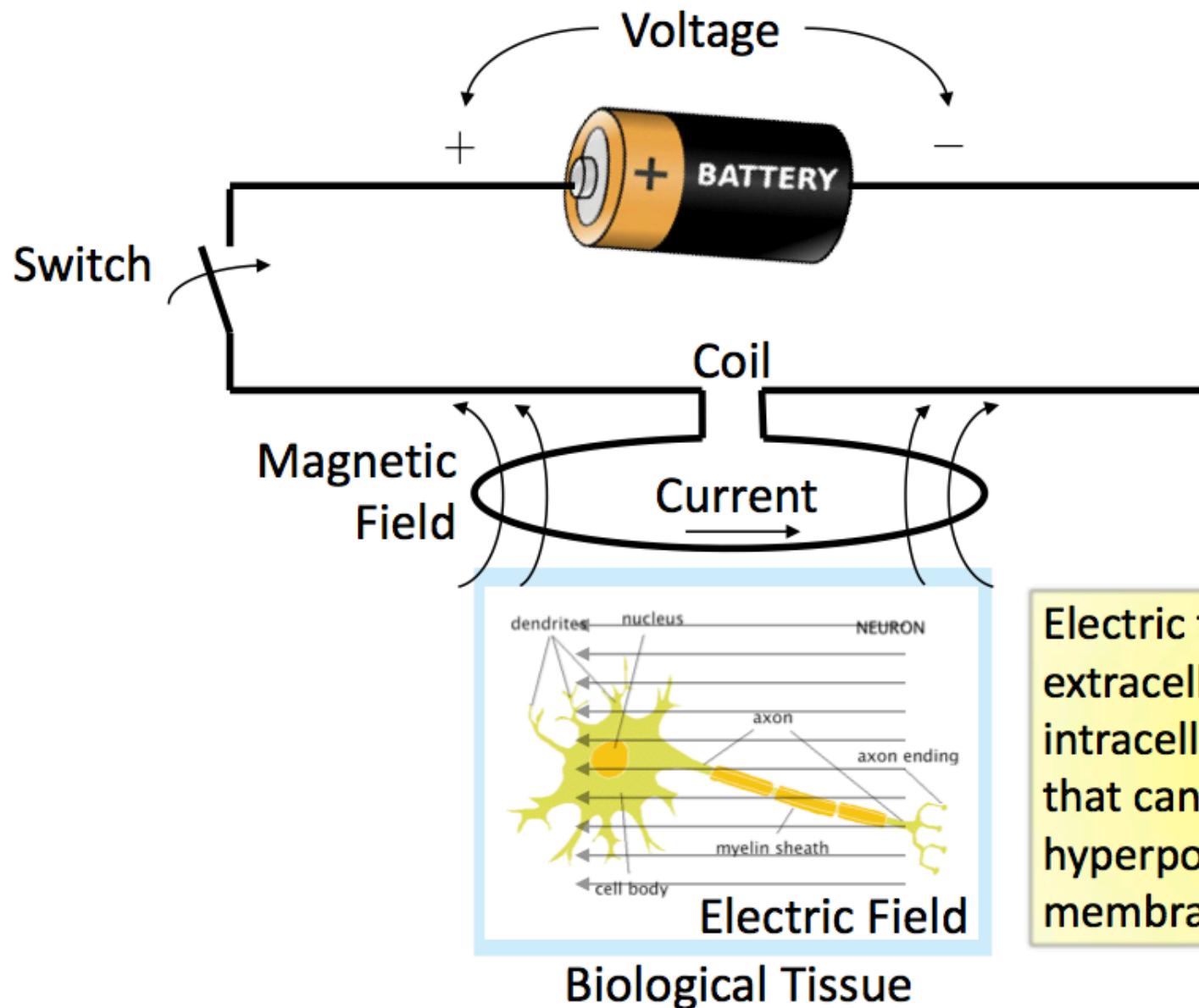
# Basic Principles of Electric Stimulation



# Basic Principles of Magnetic Stimulation



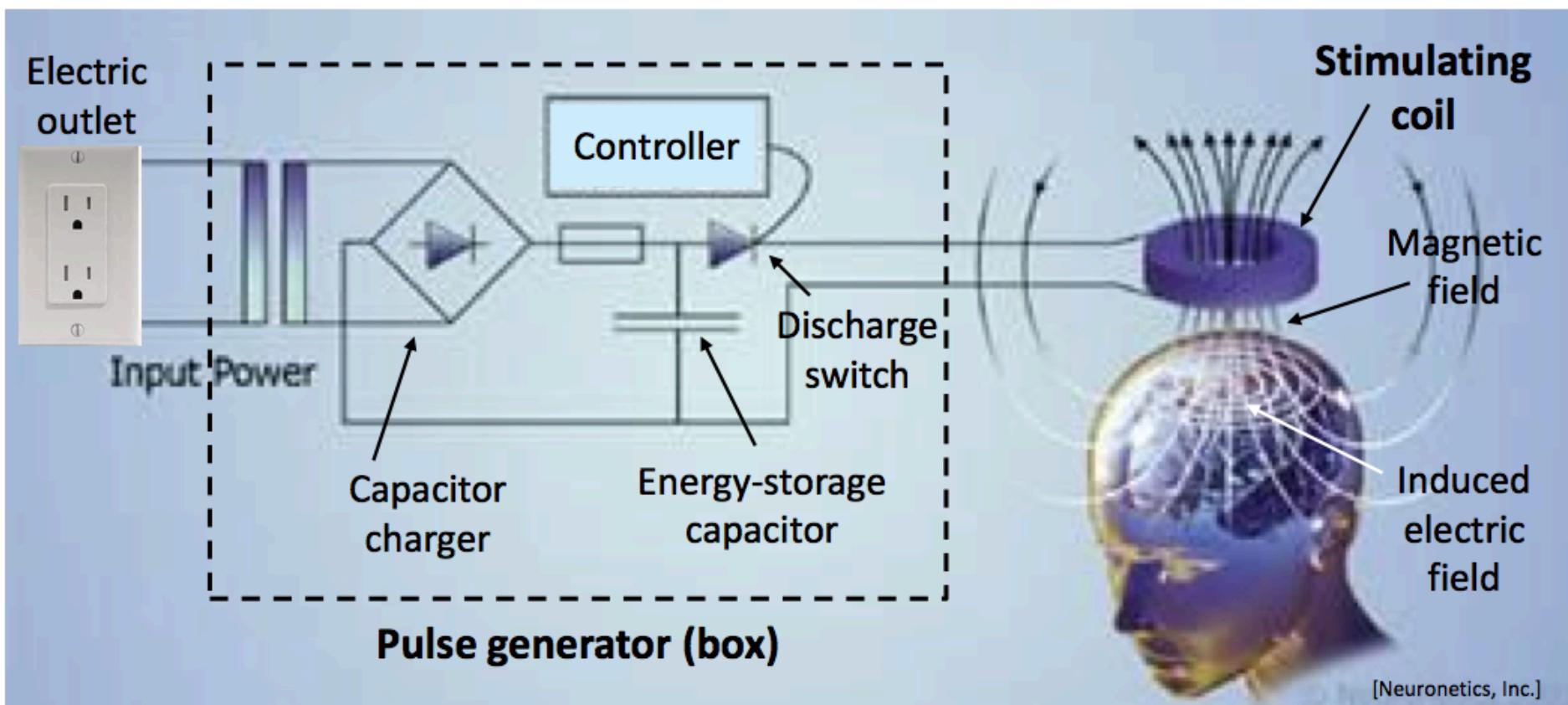
# Neuron Depolarization by Electric Field



Electric field creates extracellular and intracellular currents that can depolarize (or hyperpolarize) axonal membrane

# TMS Device Structure

- Two main components: **pulse generator (in box)** and **stimulation coil**
- Capacitor is charged to high voltage (up to 1,500–2,800 V)
- Capacitor is discharged into stimulation coil (up to 8,000 A)
- Magnetic field is induced around stimulation coil (up to 2.5 T)



## What Do We Mean by “Dose” in Brain Stimulation?

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- Medications have a physical quantity measured in milligrams
- Transcranial brain stimulation dose includes all stimulus parameters that affect the electric field induced in brain
- Like in pharmacology, TMS dose should always be completely known and documented by giving device model and settings, coil placement, and stimulation session number and frequency

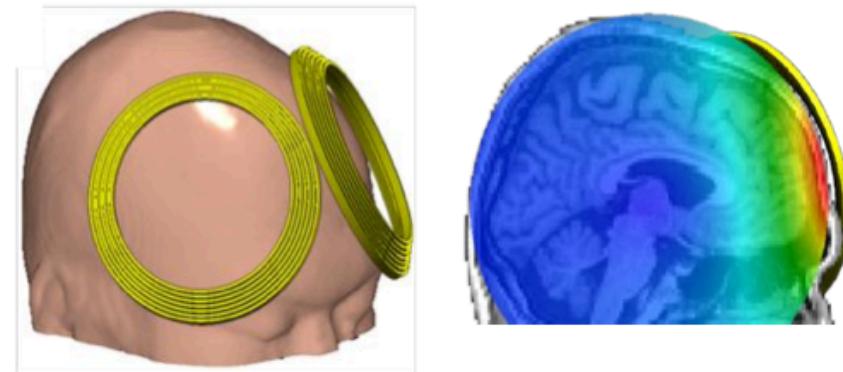


# Spatial and Temporal Components of TMS Dose

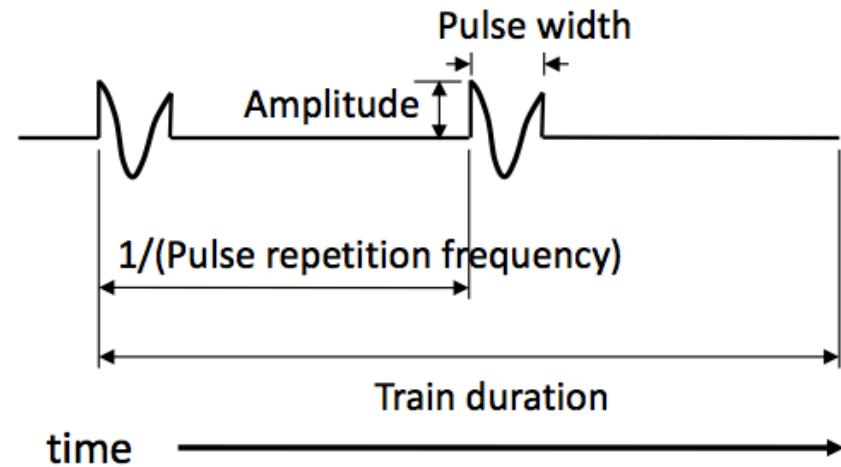
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- Stimulus parameters that control electric field can be segregated in **spatial** and **temporal**

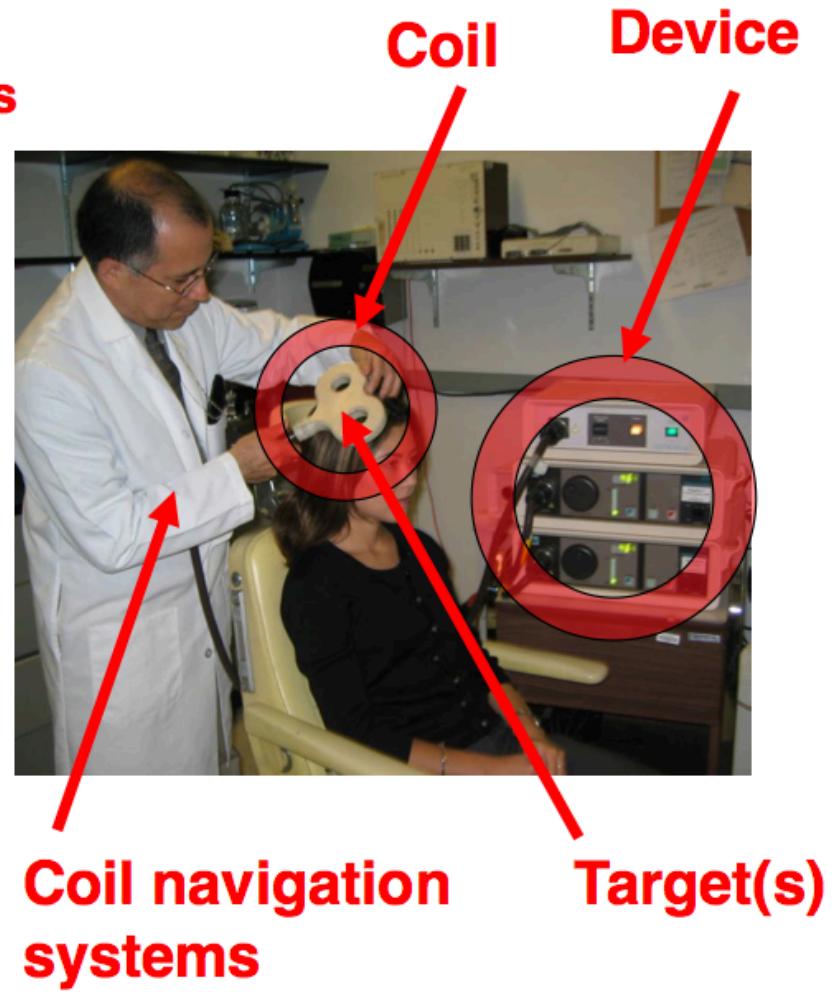
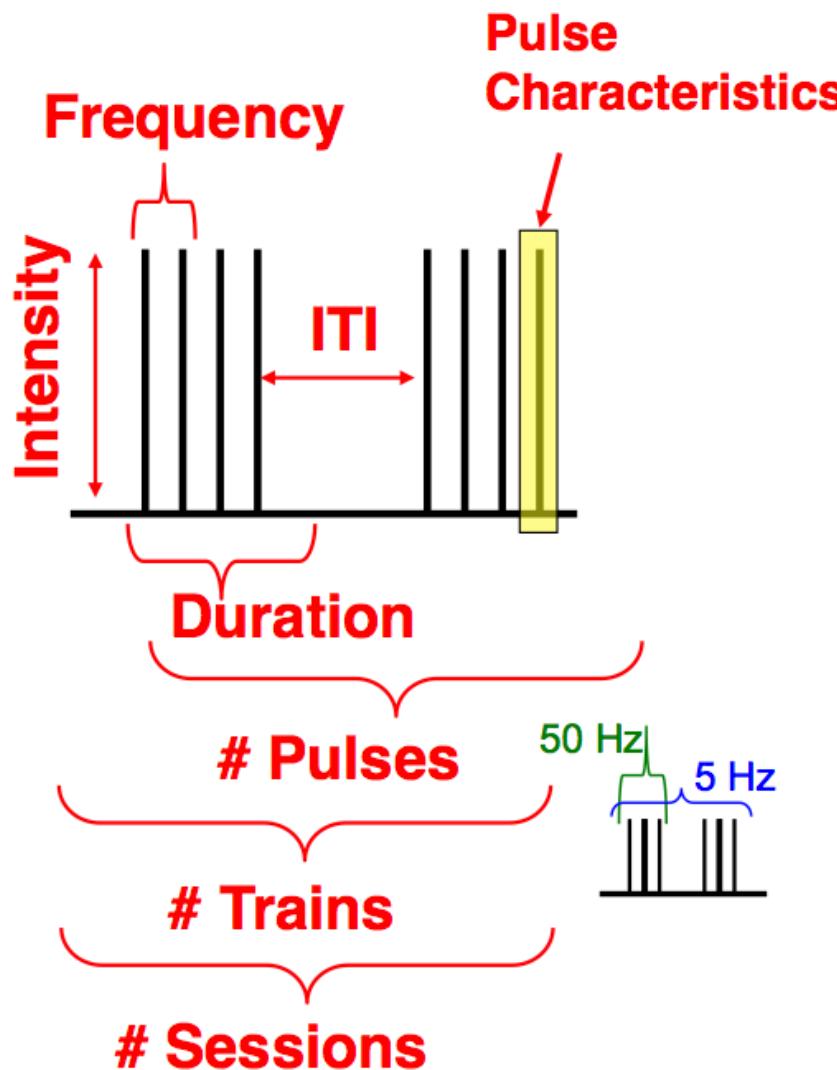
- **Spatial** parameters control relative strength of electric field in various brain areas—shape, size, position, orientation of coil



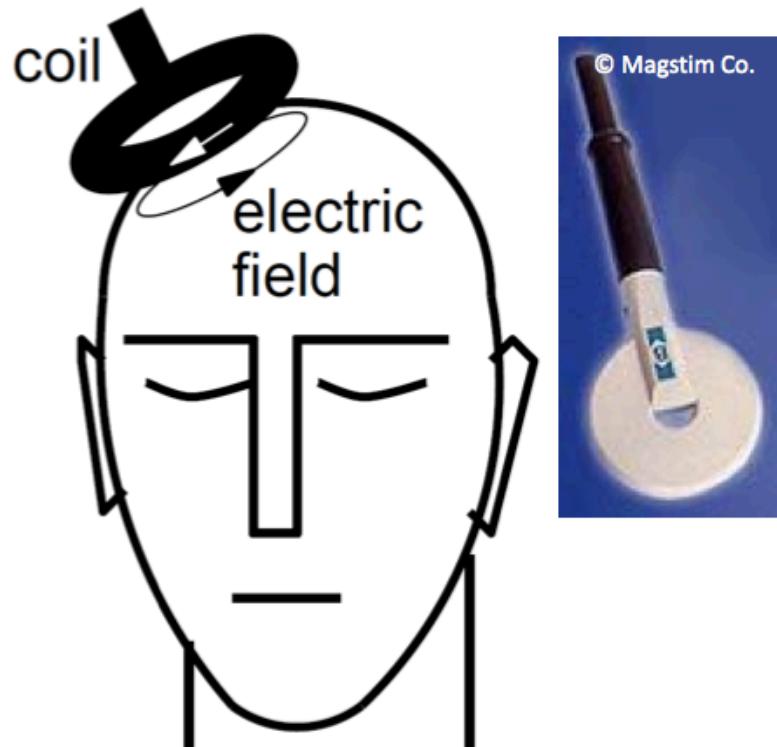
- **Temporal** parameters determine how electric field changes over time—pulse shape, amplitude, width, polarity, repetition frequency, and train duration; number and interval between stimulation sessions



The number of possible parameters for dosing is extremely large



# TMS Coil Types



Circular (round) coil

- Non-focal

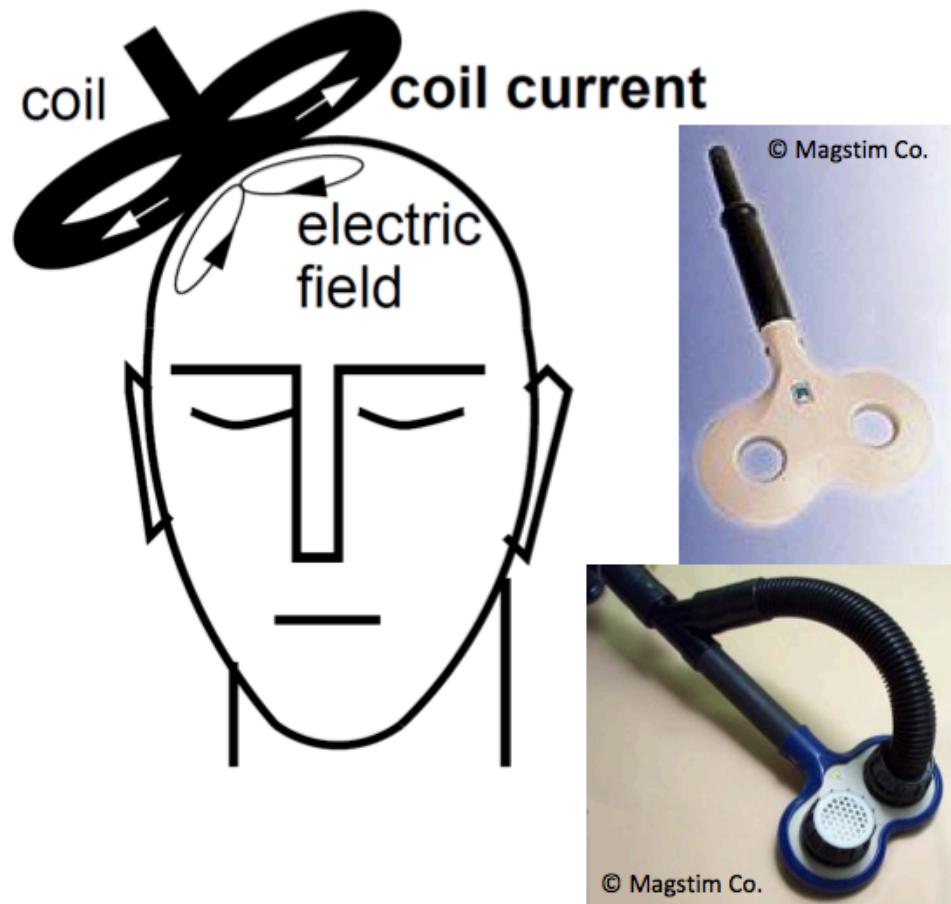


Figure-of-8 (double) coil

- Focal

# Induced Electric Field Spatial Distribution

- Figure-8 coil stimulates focally under intersection of two loops
- Circular equally stimulates all structures under the loop

Round coil

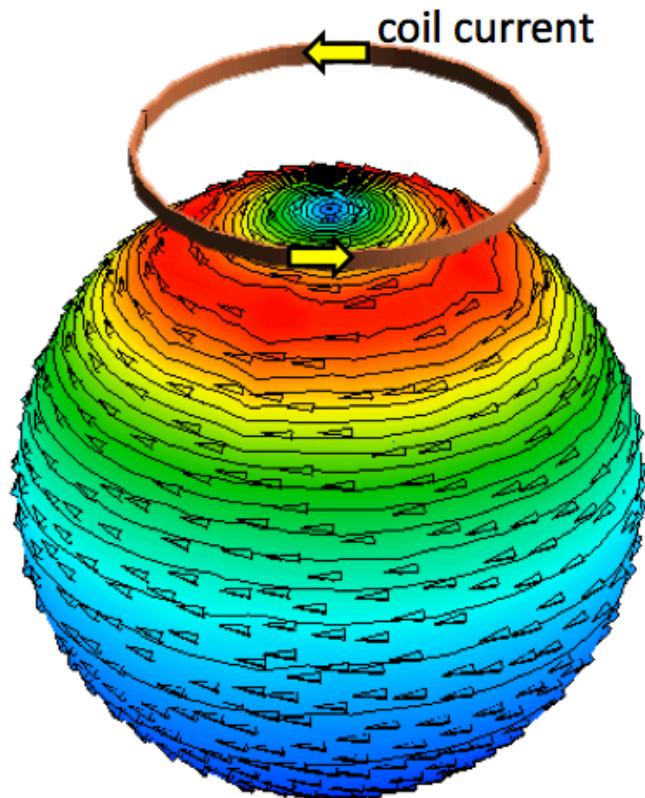
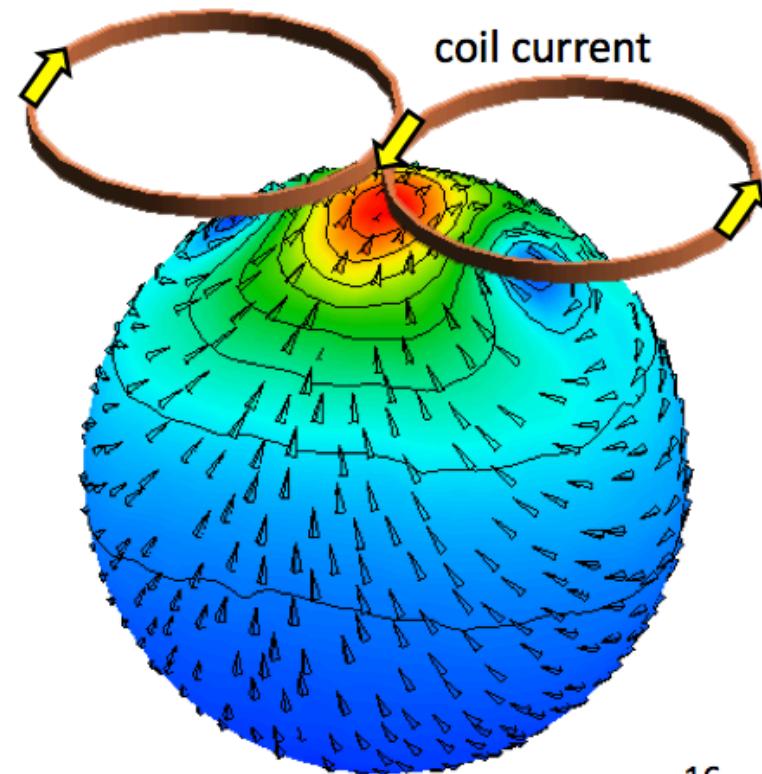
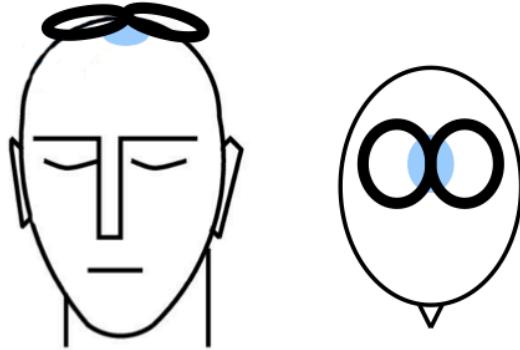


Figure-8 coil



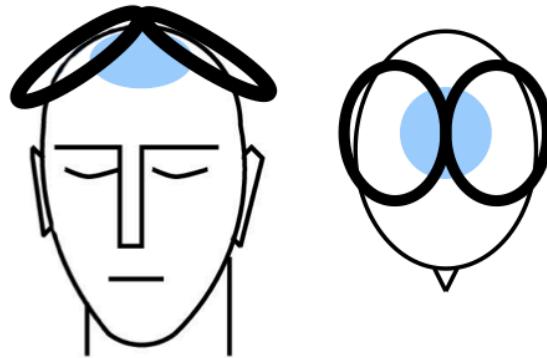
# Coil Focality/Penetration Depth Tradeoff

Small coil: focal, small depth



- Fundamental physics constraints:
- **Larger coils** can stimulate relatively deeper brain structures, but are less focal
- **Smaller coils** stimulate the cortex more focally, but cannot stimulate deeper structures

Large coil: diffuse, larger depth



18

[Deng, Lisanby & Peterchev, Brain Stimul. 2012]

## Magnetic Field Characteristics: Coil Shape

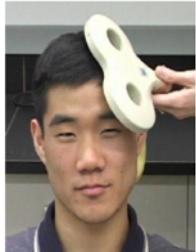


Figure 8

Double Cone

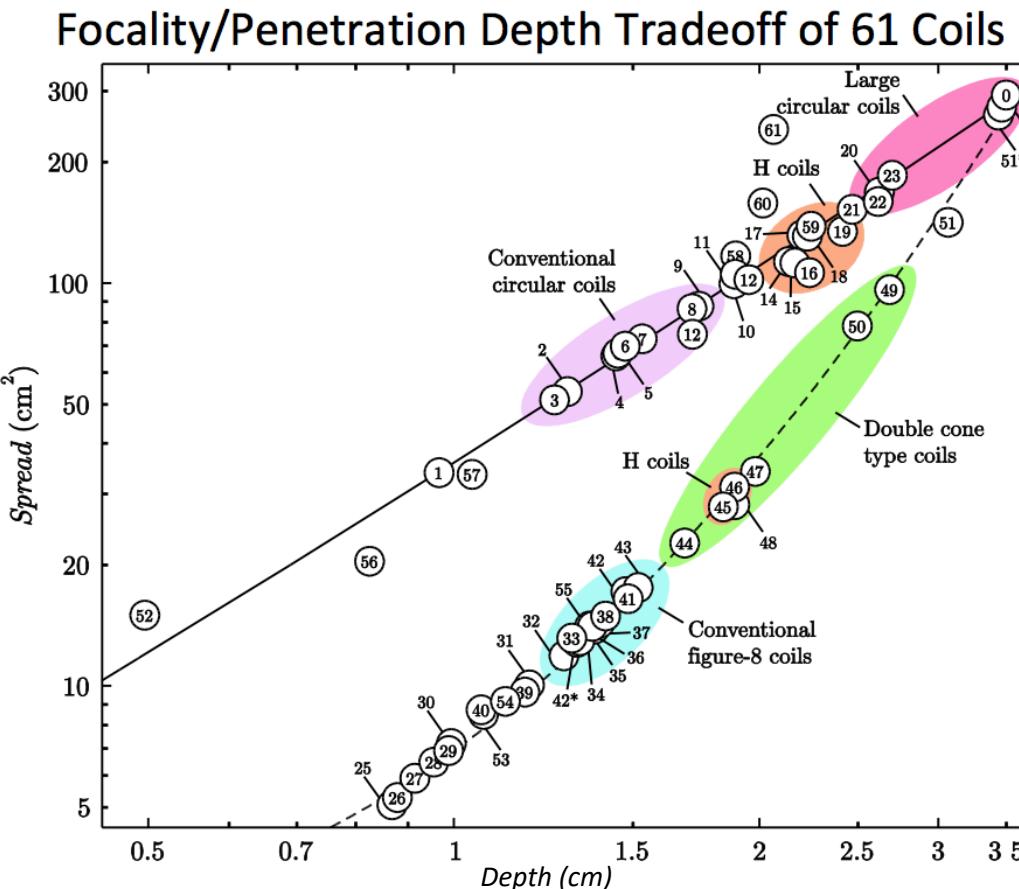
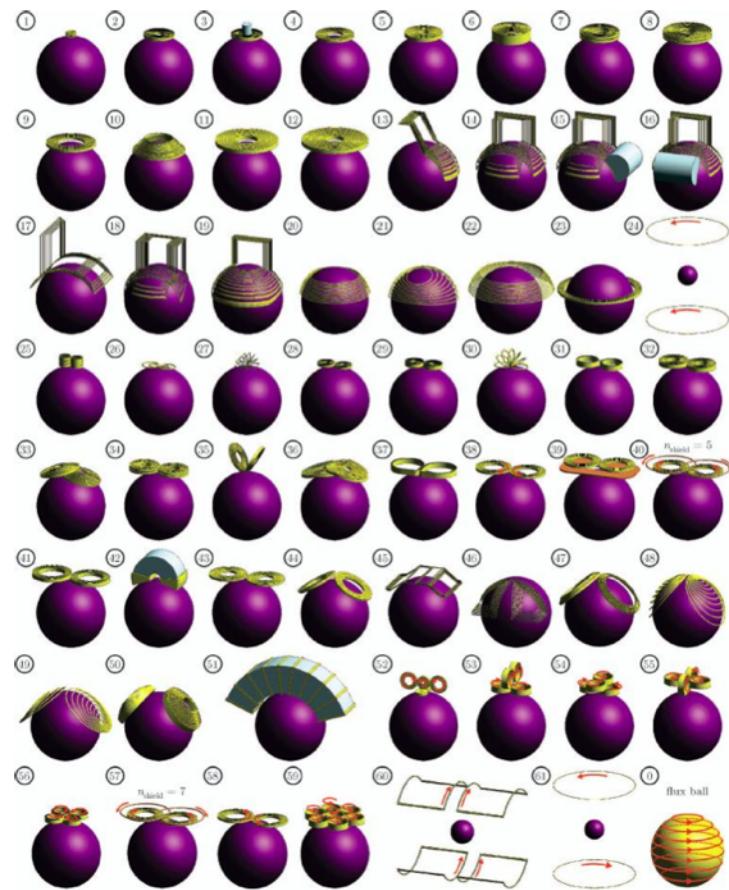
Round

Metal Core

H-Coil

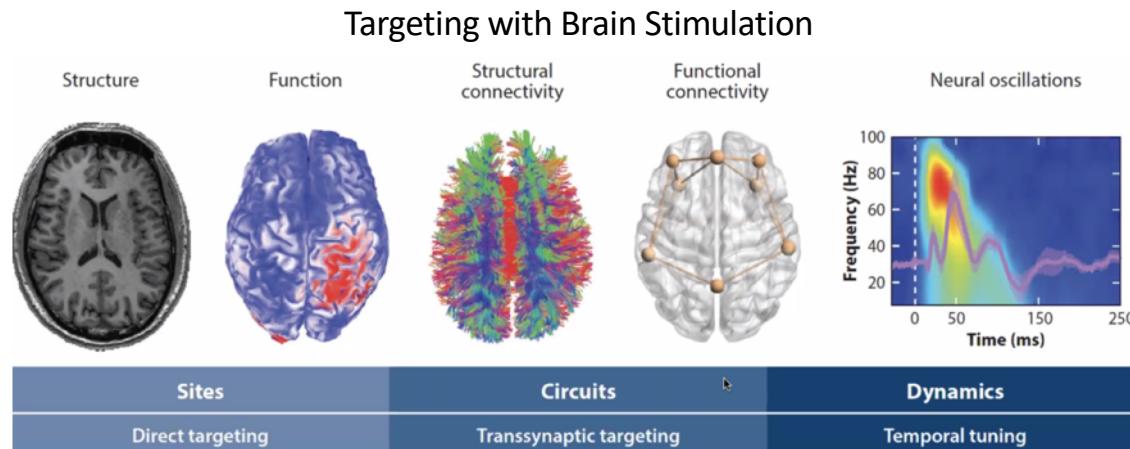
# Comparison of Coil E-field

- Can depth of stimulation be increased while keeping coil focal?
- Modeled E-field characteristics of 61 conventional and experimental coils

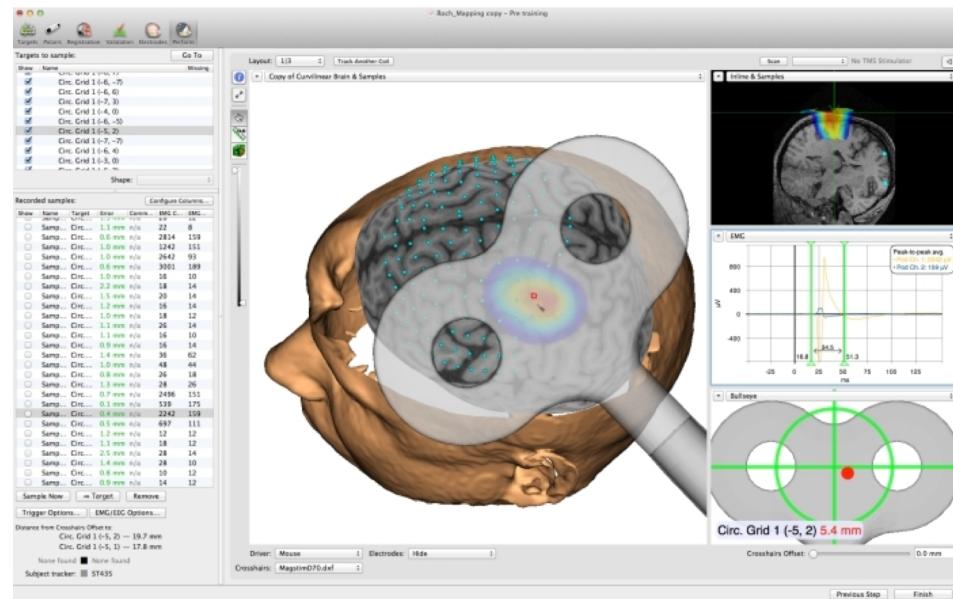


# TMS Targeting

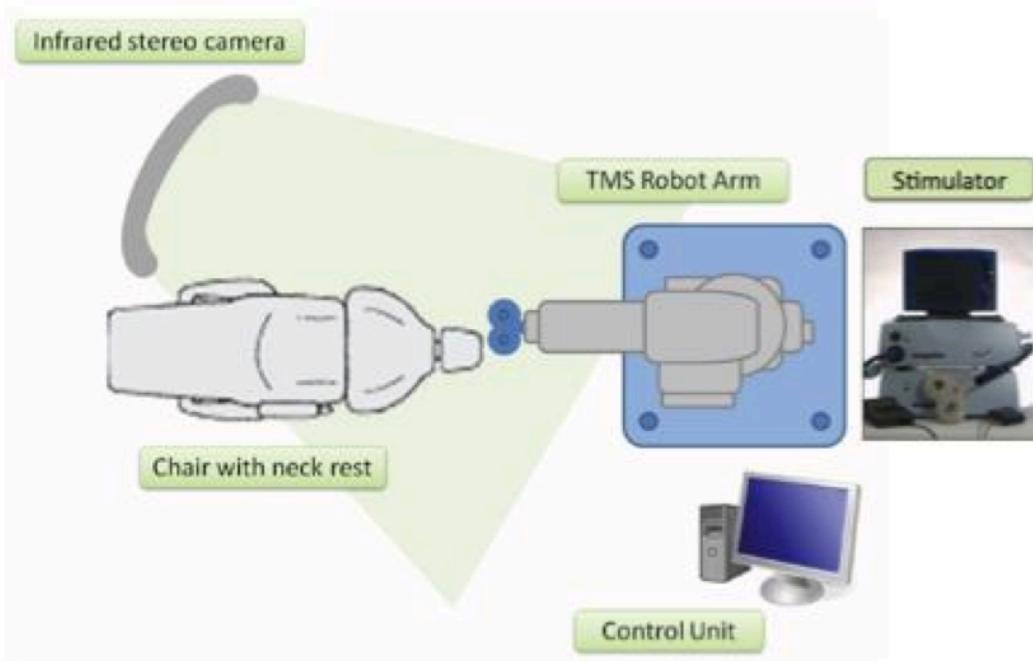
- **Scalp coordinate system:** 10-20 EEG system
- **Strict Anatomic**
  - Pick a spot based on a structural MRI
  - Problem: not functional location
- **Functional Behavioral**
  - Sweet spot search until behavior/perception occurs, e.g. motor or phosphene thresholds
- **Imaging Functional**
  - Do activation imaging (e.g. fMRI), find region, direct TMS there



# Neuronavigation with Frameless Stereotaxy



# Robot-guided TMS targeting



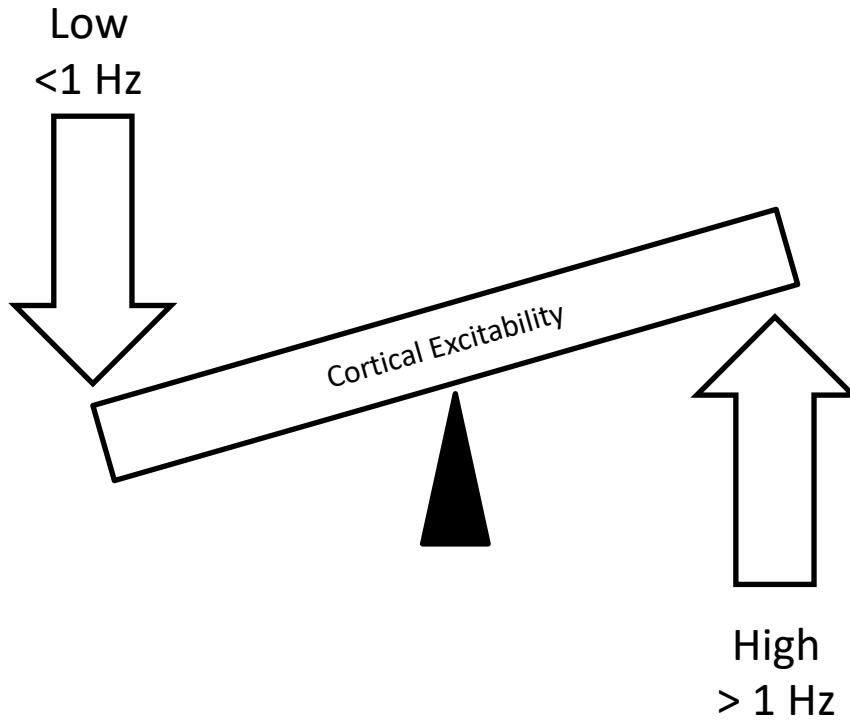
SmartMove™

System places the coil at the predefined target positions and keeps the coil in place even if the head of the patient/subject moves

# Dosing, Intensity and the Motor Evoked Potential

- Direct effects are clear:
  - with greater intensity, have greater effective depth and spread
  - But also a greater risk of seizure
- Dosage:
  - Set relative to individual *motor threshold* for convenience - no other benchmark readily available

# TMS Frequency



TMS modulates excitability in a frequency dependent manner

# Online and Offline stimulation



# TMS Coil Clicking & Tapping

- TMS coil is subjected to brief, high internal electromagnetic forces during pulse ( $\sim 2000$  lb radial force)
- Internal forces result in vibration or “tapping”
- This vibration also emits loud clicking sounds
- Each click can be as loud as siren ( $\sim 120$  dB, 10 cm from coil), though it lasts for brief instant ( $\sim 1$  ms)
- To protect hearing, both subject and operator should wear ear protection (earplugs and/or headphones)

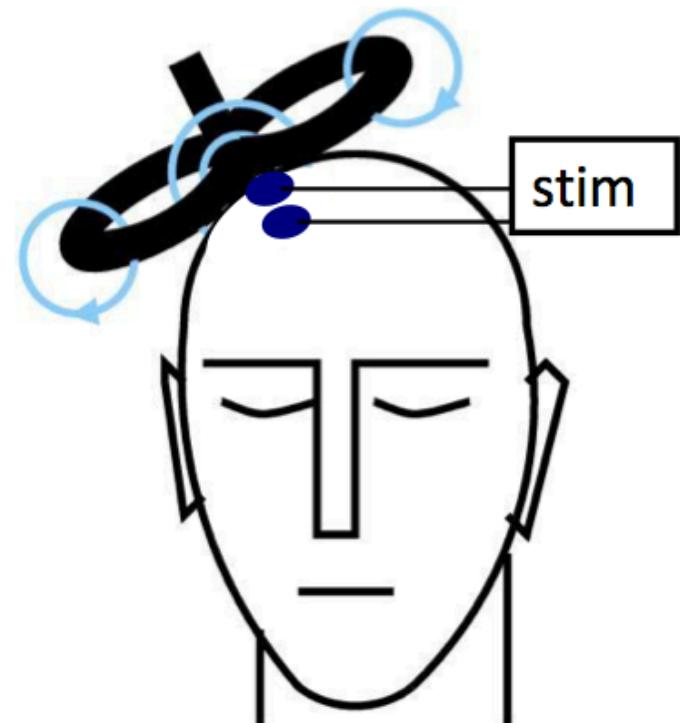


[Neuronetics, Inc.]

# Experimental “Control” for TMS Studies i.e. “Sham”

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- Sham/placebo TMS condition is critical in many studies and clinical trials
- Ideal sham coil would (1) have identical appearance, produce same (2) sound and (3) tapping, (4) stimulate scalp as real coil, while NOT stimulating brain
- Various designs fulfill conditions (1), (2), and (3)
- Condition (4) can be met by using electrical stimulation with surface electrodes to emulate scalp sensation



# TMS Applications – Clinical

- Depression (FDA approved since 2008)
- Schizophrenia
- PTSD
- Pre-surgical mapping (FDA approved)
- Addiction
- Tinnitus
- And more...

# FDA Cleared TMS Devices for Depression

Neuronetics Neurostar

10 Hz



Neurosoft



Mag&More Apollo



MagVenture MagVita

10 Hz + iTBS



Magstim Horizon

10 Hz + iTBS



Nexstim Navigated Brain Therapy

10 Hz + iTBS



BrainsWay H1 coil

18 Hz



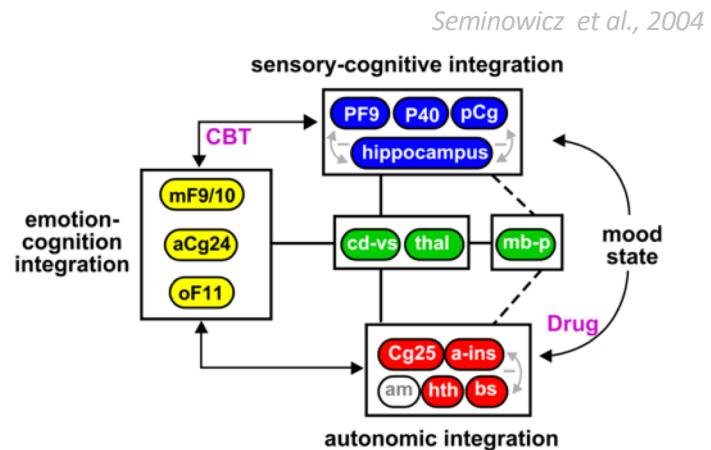
[Photo credit: Magstim, Neurosoft, MagVenture, Neuronetics, BrainsWay, Nexstim]

Updated slide from Holly Lisanby's Grand Rounds talk, Nov 2020

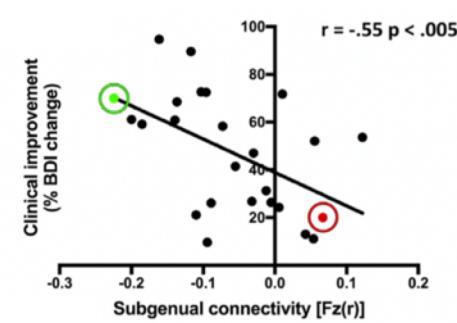
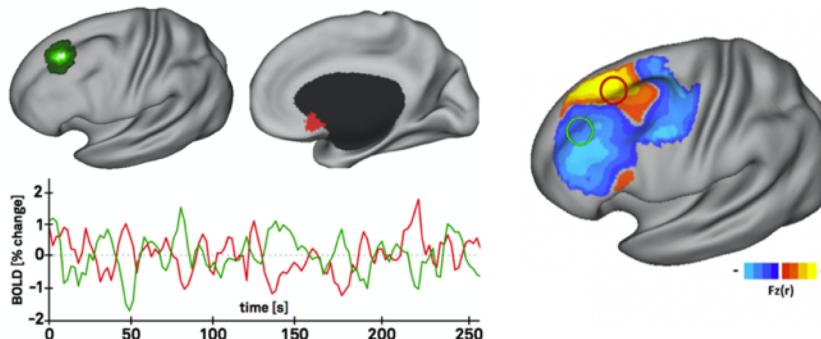
# Depression TMS

- Pathophysiology of many mental health problems stems from network dysregulation.

e.g. in depression dorsal network is hypoactive / limbic areas are overactive



- Functional connectivity between an individual's rTMS cortical target and subgenual cingulate predicts antidepressant response.



# rTMS and clinical applications

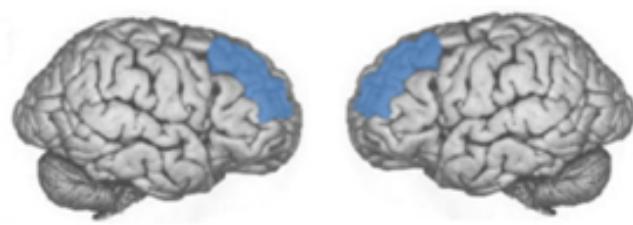
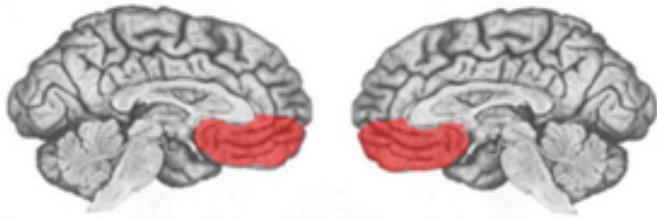
## rTMS and Depression

➤ Reciprocal inhibitory interaction:

Hyper-metabolism  
of limbic system

Vs.

Hypo-metabolism  
of frontal areas



Koenings & Grafman (2009)

➤ Frontal asymmetry hypothesis:

Imbalance between left and right DLPFC

Henriques & Davidson (1990)

# rTMS and clinical applications

## rTMS and Depression

- rTMS low frequency over the right DLPFC,  
or
- rTMS high frequency over the left DLPFC,  
or
- Combination of both.

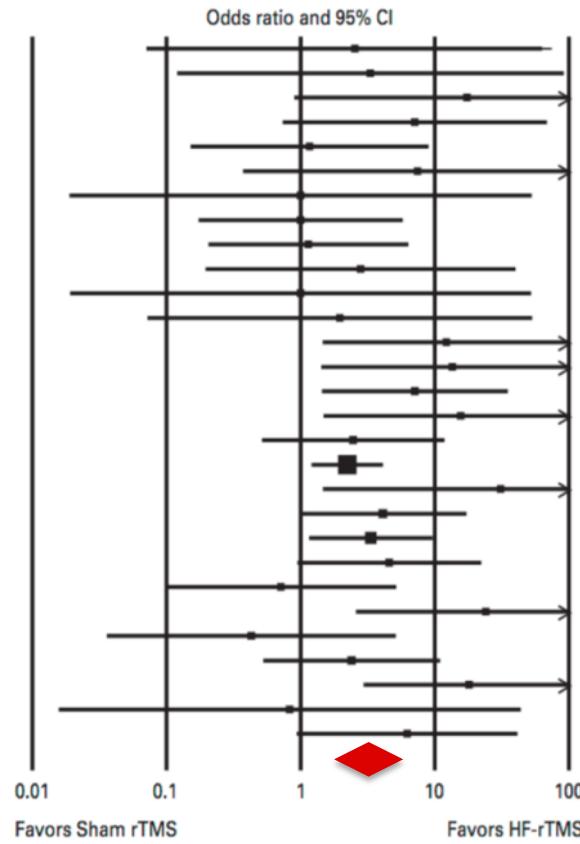


# rTMS and clinical applications

## rTMS and Depression

➤ HF rTMS:  
Responder rate

Study name  
George *et al.* (1997)  
Berman *et al.* (2000)  
George *et al.* (2000)  
Garcia-Toro *et al.* (2000)  
Boutros *et al.* (2002)  
Padberg *et al.* (2002)  
Fitzgerald *et al.* (2003)  
Hoppner *et al.* (2003)  
Nahas *et al.* (2003)  
Holtzheimer *et al.* (2004)  
Koerselman *et al.* (2004)  
Mosimann *et al.* (2004)  
Rossini *et al.* (2005)  
Su *et al.* (2005)  
Avery *et al.* (2006)  
Anderson *et al.* (2007)  
Loo *et al.* (2007)  
O'Reardon *et al.* (2007)  
Stern *et al.* (2007)  
Mogg *et al.* (2008)  
George *et al.* (2010)  
Palliere-Martinot *et al.* (2010)  
Triggs *et al.* (2010)  
Zheng *et al.* (2010)  
Blumberger *et al.* (2012)  
Zhang *et al.* (2011)  
Bakim *et al.* (in press)  
Fitzgerald *et al.* (2012)  
Hernández-Ribas *et al.* (2012)

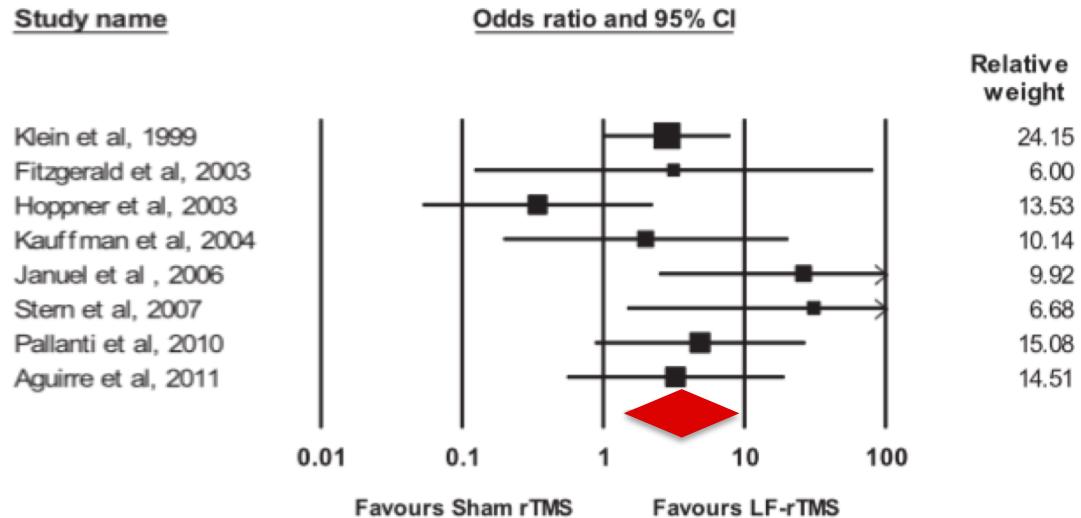


OR = 3.3; p < .0001

# rTMS and clinical applications

## rTMS and Depression

➤ LF rTMS:  
Responder rate



◆ OR = 3.35; p = .007

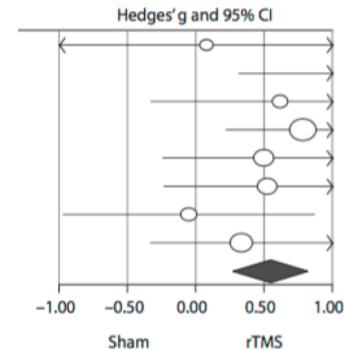
# rTMS and clinical applications

rTMS and...

➤ **Schizophrenia:**

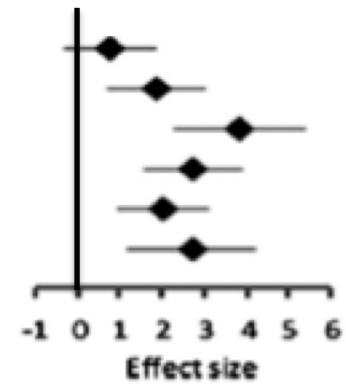
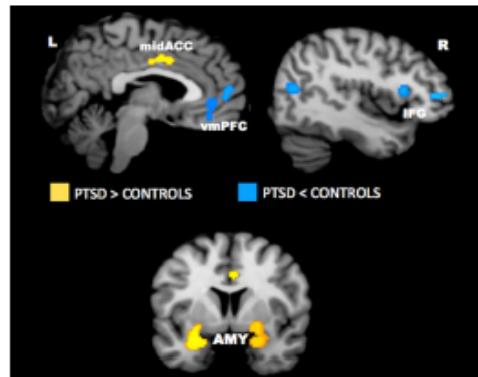
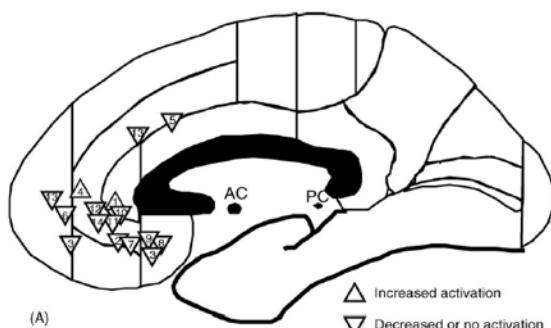
Auditory Hallucination rTMS over the ITPC .

Negative Symptoms rTMS over the IDLPFC



Slotema et al. (2010)

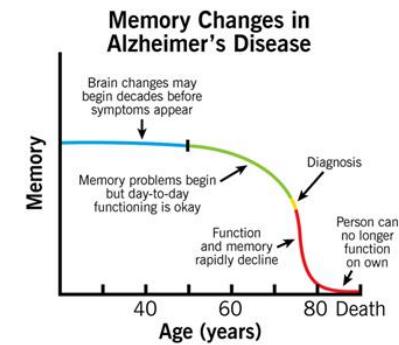
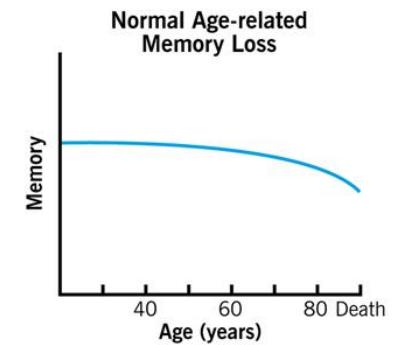
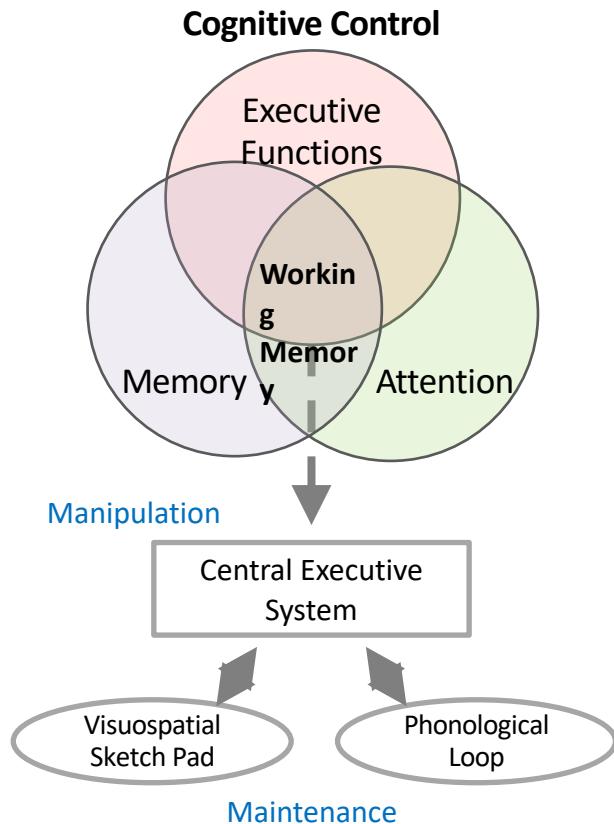
➤ **Post Traumatic Stress Disorder:**



Milad et al., 2006

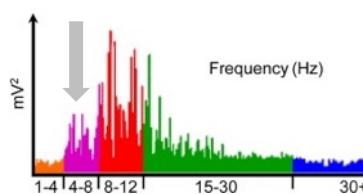
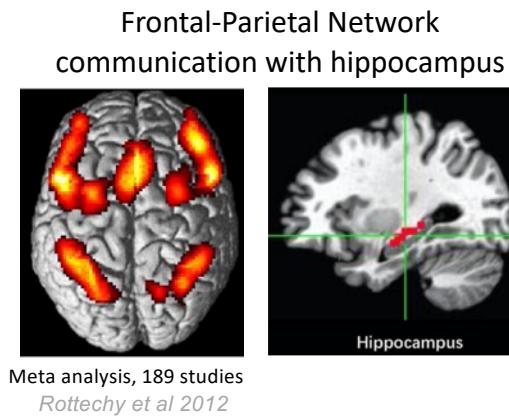
➤ **Others:** Autism spectrum disorders, Anxiety, ADHD, OCD...

# Working Memory



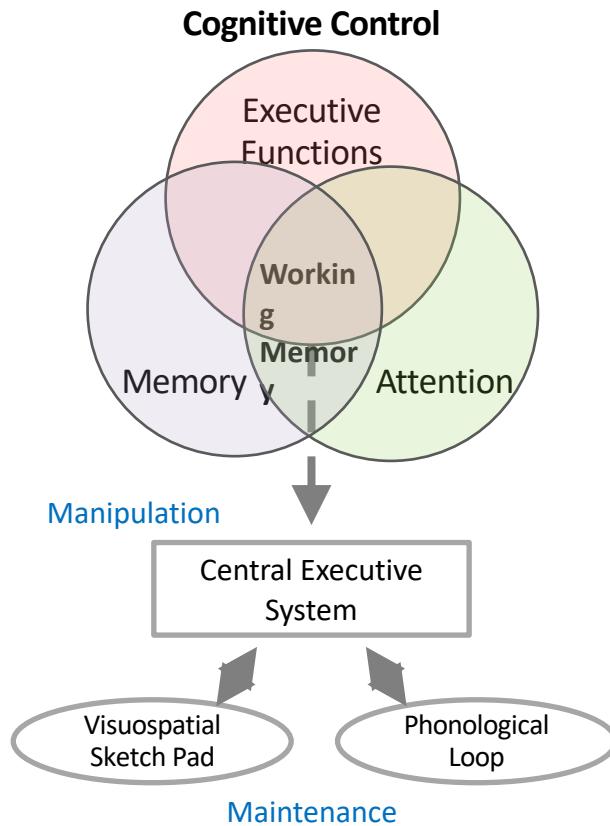
Cleveland Clinic, 2019

# Working Memory + rTMS



Theta oscillations (5Hz) play important role in hippocampal communication underlying WM

Roux & Uhlhaas 2014



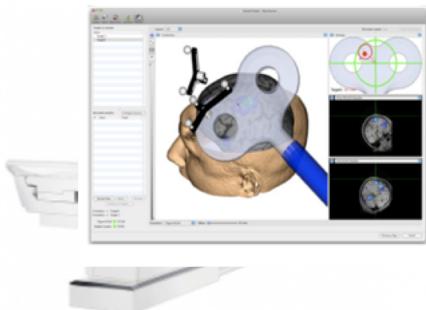
## Hypothesis:

Online 5Hz rTMS during WM training will improve communication in FP-network leading to improved memory performance over sham stimulation.

# Multi-week individualized WM + TMS protocols



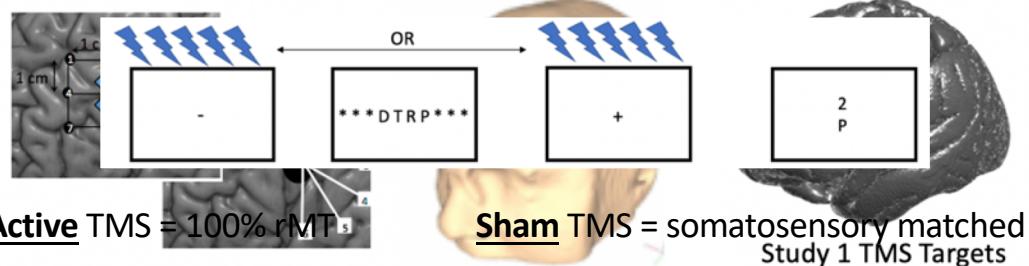
Task in Scanner



Parametric ROI D



**Electric Field Model for Target Optimization**  
rTMS: 25 pulses at 5Hz, before encoding or during delay



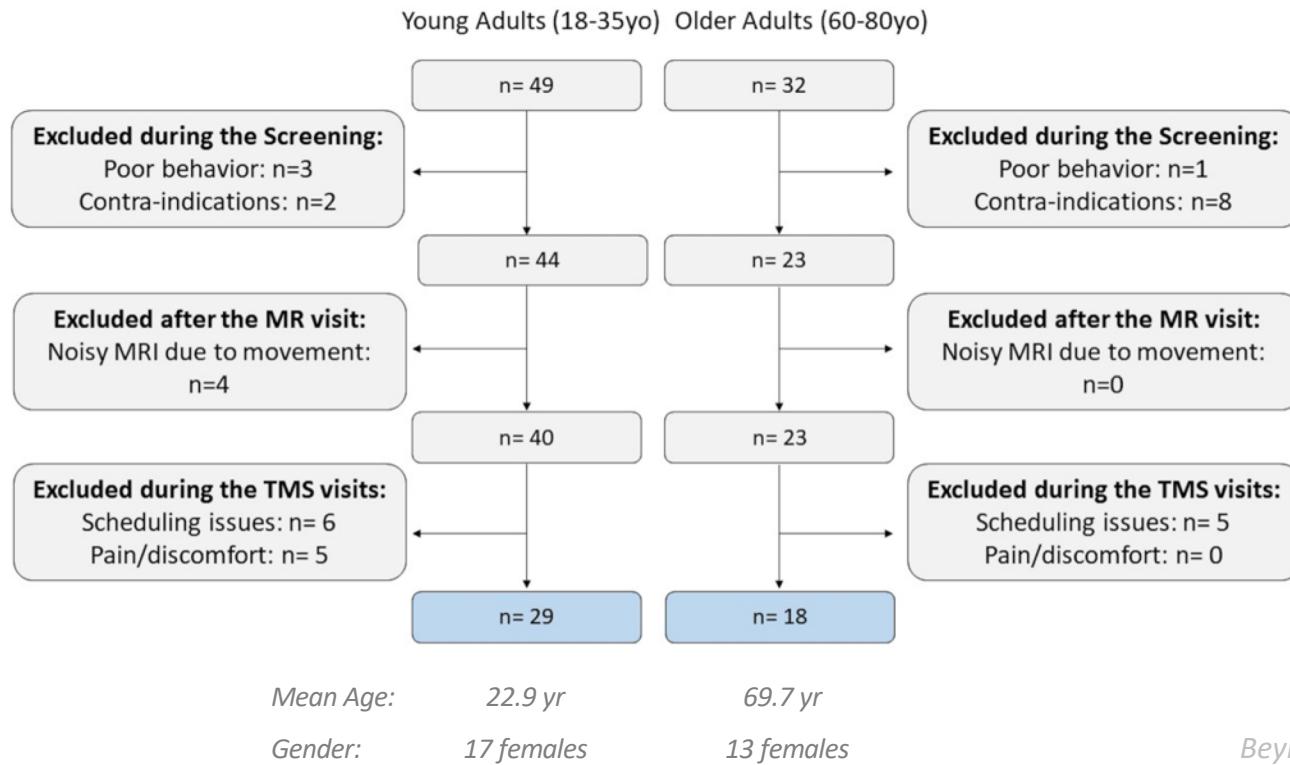
"2" Letter in array BUT does NOT match correct position (40%)

"3" Letter was not in the array (20%)

Within-Subject alternating each ½ session

Beynel et al. *bioRxiv* 2020

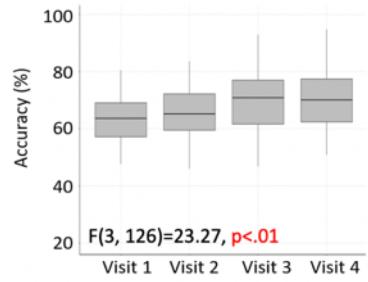
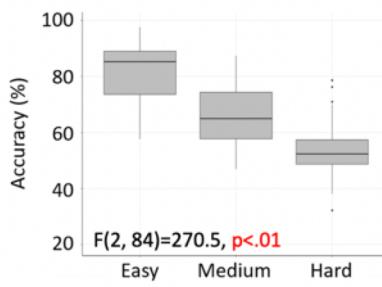
# Consort flow diagram



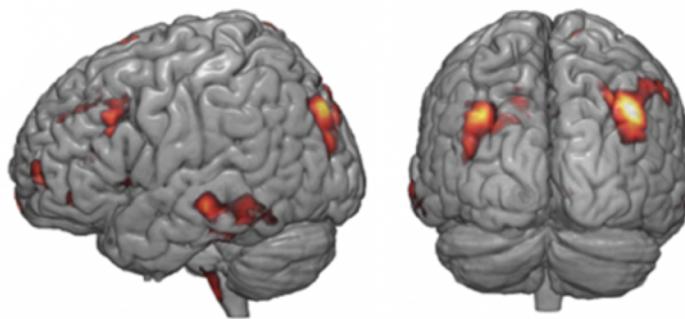
# Study 1 Results

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Good psychometric WM task



Activations during the delay associated with increased difficulty were strongest in the parietal cortex

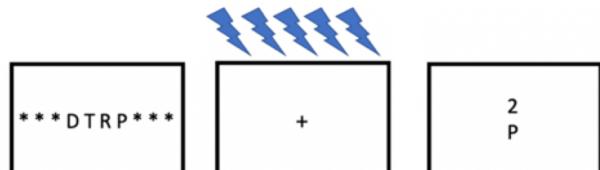


- Some evidence that 5Hz rTMS might enhance enhancement
- But .. The effect size is small. Can we make it stronger?

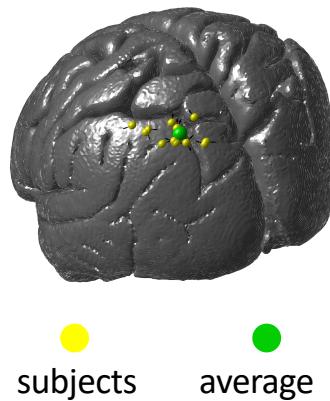
# Study 2 – Parietal Stimulation

## Design

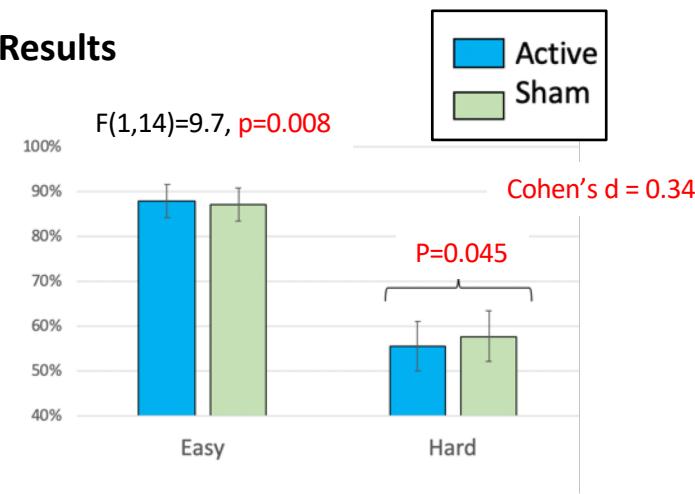
- Parietal target
- Two level of difficulty
- Stimulation only during delay
- Intensity individualized on e-field



## Targeting



## Results



- Significant interaction
- Medium effect size
- Difference in hard condition.
- rTMS disrupted performance!

Beynel et al Brain Sciences, 2020

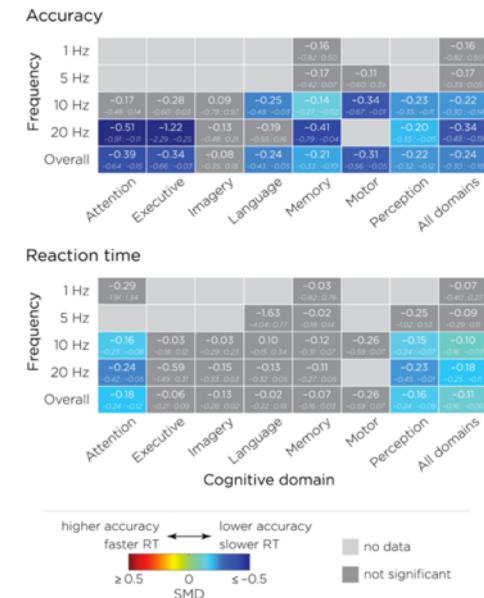
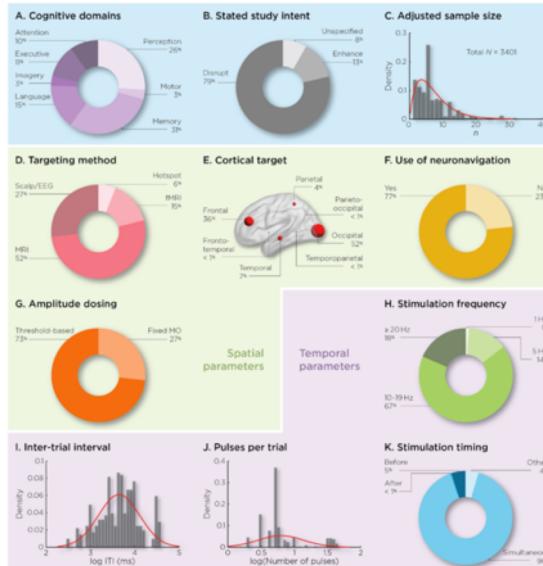
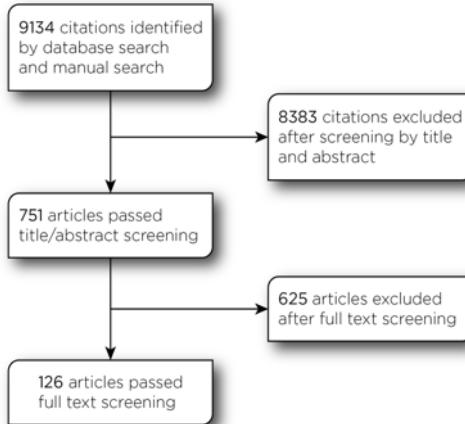
# Meta-Analysis of Online rTMS



Review article

Effects of online repetitive transcranial magnetic stimulation (rTMS) on cognitive processing: A meta-analysis and recommendations for future studies

Lysianne Beynel<sup>a</sup>, Lawrence G. Appelbaum<sup>a</sup>, Bruce Luber<sup>a</sup>, Courtney A. Crowell<sup>a</sup>, Susan A. Hilbig<sup>b</sup>, Wesley Lim<sup>c</sup>, Duy Nguyen<sup>c</sup>, Nicolas A. Chrapilwy<sup>c</sup>, Simon W. Davis<sup>b</sup>, Roberto Cabeza<sup>a</sup>, Sarah H. Lisanby<sup>a,c</sup>, Zhi-De Deng<sup>a,c</sup>



## Conclusions

- Effects of online rTMS disrupt performance
- Little evidence of facilitation

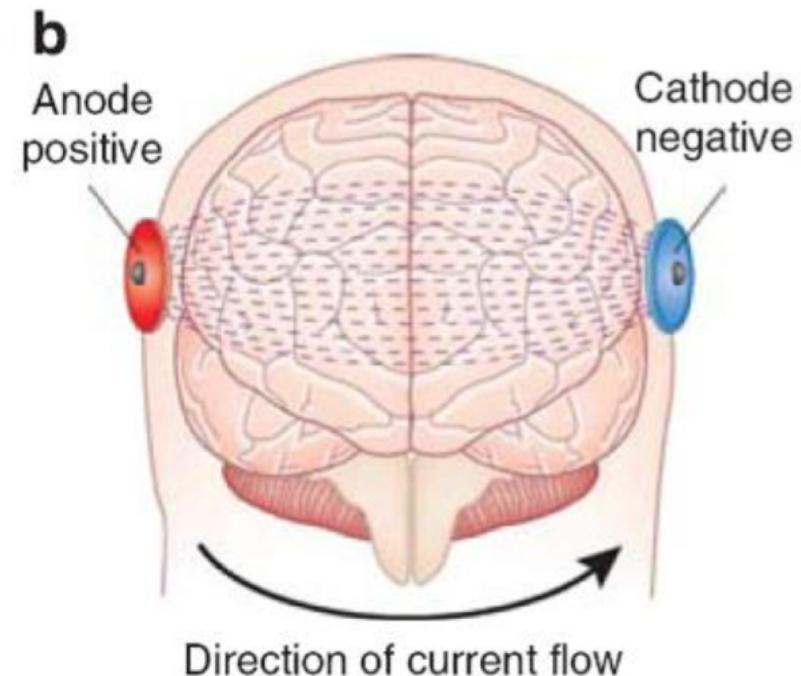
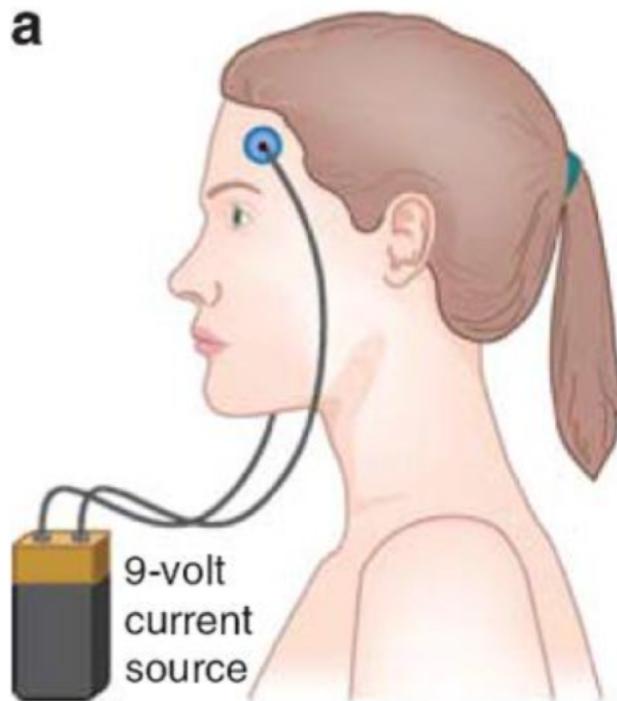
Beynel et al *Neurosci and Biobehavioral Rev* 2020

# Transcranial Direct Current Stimulation

- What is it?
- tDCS to improve motor learning
- tDCS and clinical applications
- And many more...

# What is Transcranial Direct Current Stimulation?

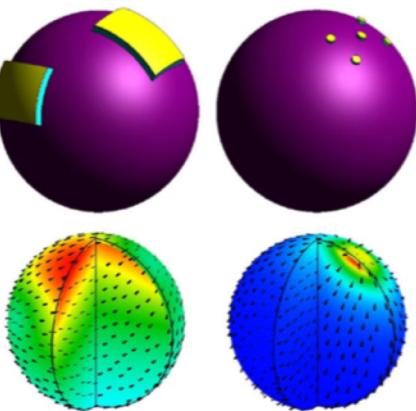
- Direct current applied via scalp electrodes
- Low currents (1-2 mA) applied for minutes
- Anode depolarizes, and facilitates cortical function
- Cathode hyperpolarizes, and inhibits cortical function



# tDCS

- Mechanisms of Change
  - Anodal tDCS decreases GABA
  - Cathodal tDCS decreases Glutamate
  - Changes sustained over 20 min
- Pros
  - Safe
  - Painless
  - Low cost
  - Portable
  - Easy to blind
- Cons
  - Nonfocal (but can be improved with novel electrode configurations)
  - Several minor risks such as skin irritation or burns if not used correctly, headaches

Improved focality with multi-electrode configuration



# tDCS to facilitate motor learning

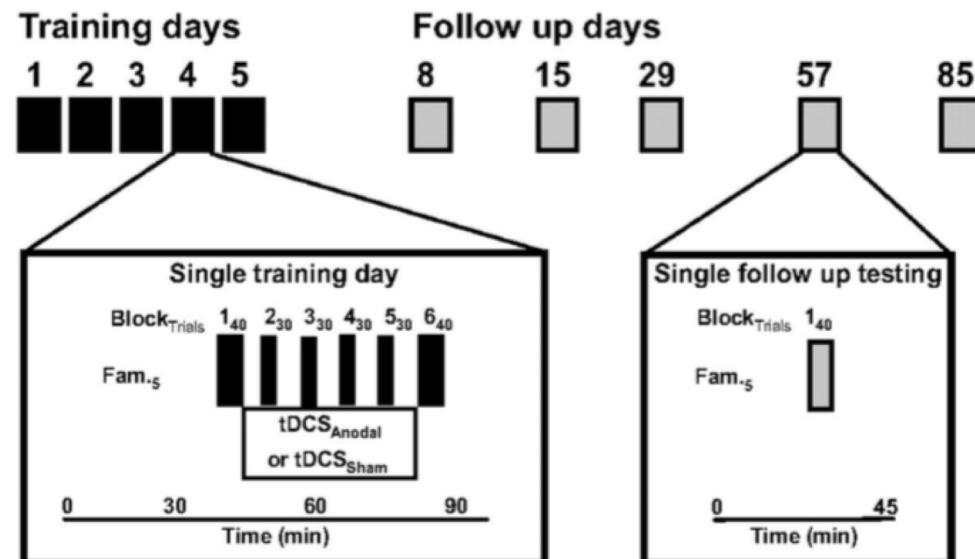
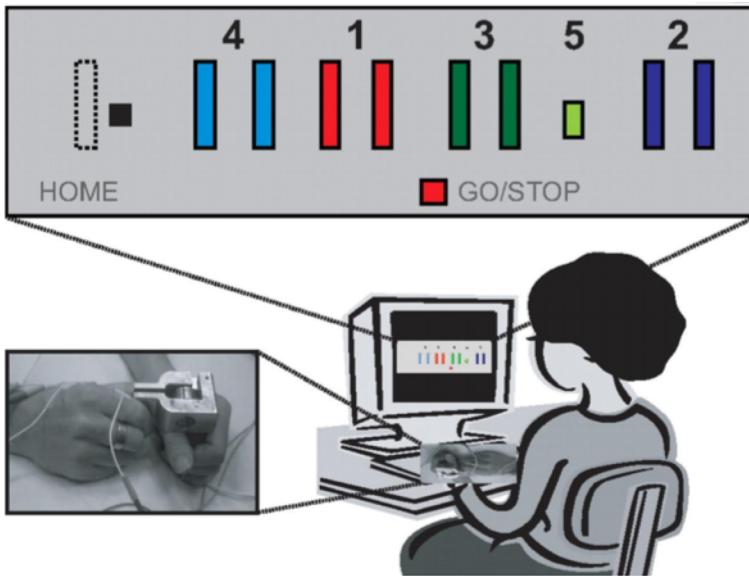


## Noninvasive cortical stimulation enhances motor skill acquisition over multiple days through an effect on consolidation

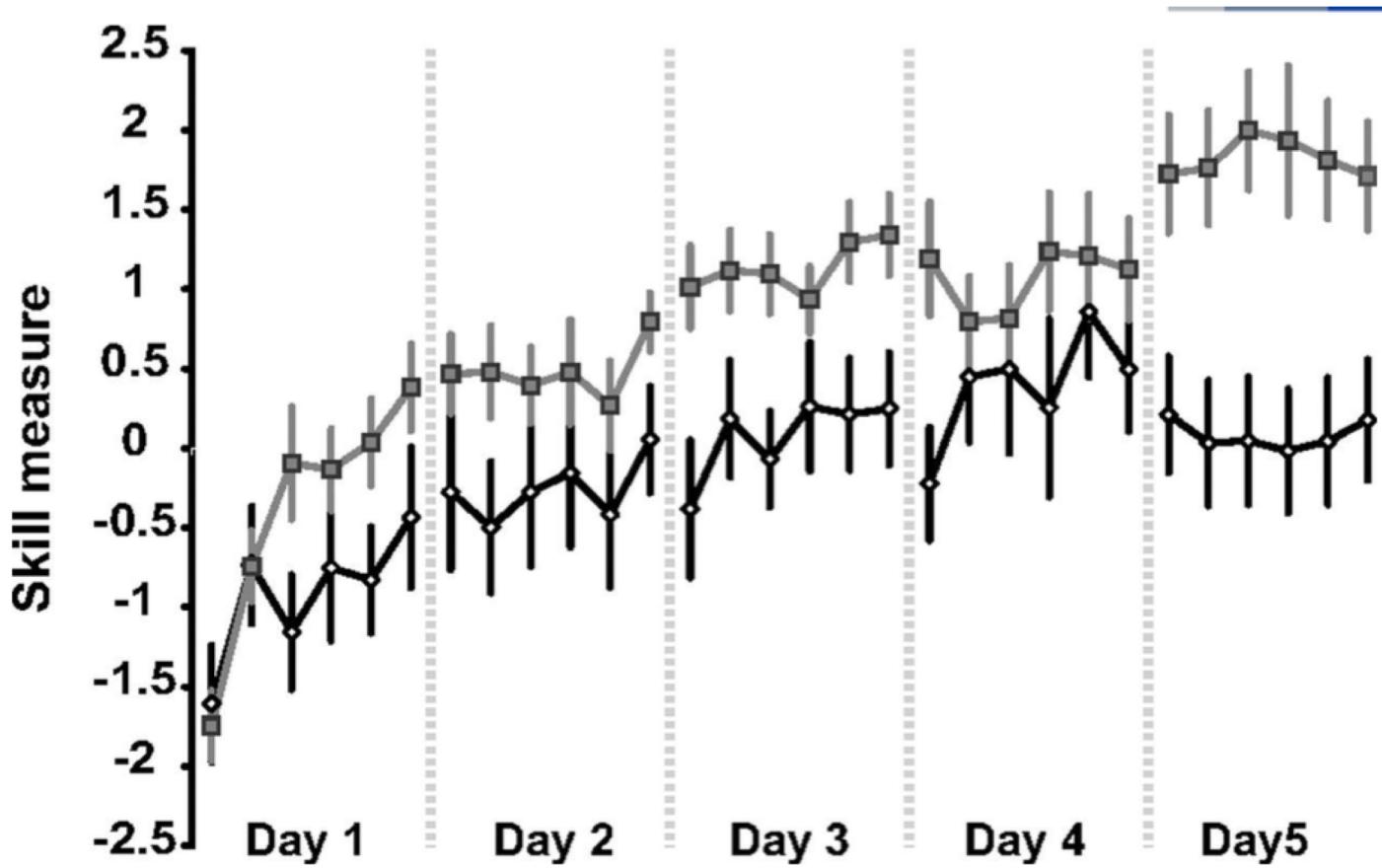
Janine Reis<sup>a,b</sup>, Heidi M. Schambra<sup>a</sup>, Leonardo G. Cohen<sup>a,1</sup>, Ethan R. Buch<sup>a</sup>, Britta Fritsch<sup>a,b</sup>, Eric Zarahn<sup>c</sup>, Pablo A. Celnik<sup>d,1,2</sup>, and John W. Krakauer<sup>c,2</sup>

<sup>a</sup>Human Cortical Physiology Section and Stroke Neurorehabilitation Clinic, National Institute of Neurological Disorders and Stroke, National Institutes of Health, Bethesda, MD 20892; <sup>b</sup>Department of Neurology, Albert-Ludwigs-University, Freiburg 79106, Germany; <sup>c</sup>Motor Performance Laboratory, Department of Neurology, Columbia University College of Physicians and Surgeons, New York, NY 10032; and <sup>d</sup>Department of Physical Medicine and Rehabilitation, Johns Hopkins University, Baltimore, MD 21287

### Sequential visual isometric pinch task



Learning curve for the sham (white diamonds) and anodal (gray squares) tDCS groups for the 30 training blocks over 5 days



Reis J. et.al. PNAS 2009;106:1590-1595

tDCS accelerated precision motor learning!

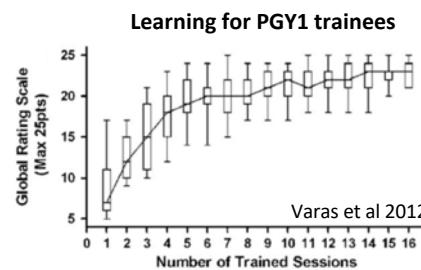
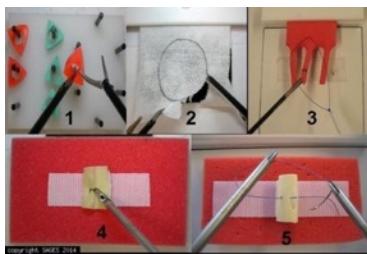
# Surgical Skill Learning

Laparoscopic  
Surgery



Laparoscopic  
Surgery Training

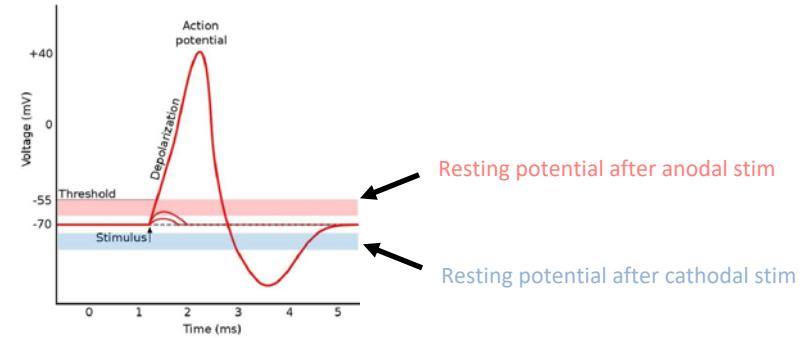
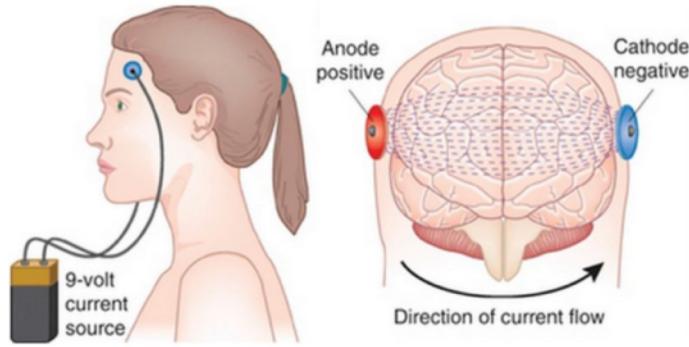
FLS Curriculum, Learning and Board Certification



Can we accelerate FLS learning through application of electrical brain stimulation?

Cox et al, Brain Stimulation 2020

# Transcranial Direct Current Stimulation

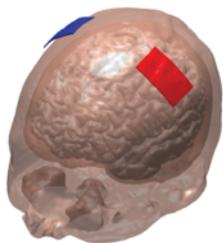


- Low voltage current via electrodes on the scalp creates changes in polarization altering long term potentiation
- When paired with practice, tDCS makes it easier for neurons to fire... so it makes it easier to “fire together / wire together” (i.e., Hebbian Learning)

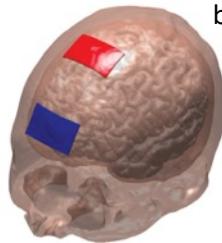
# Design and Protocol

Pre-registered, randomized, double-blind, sham-controlled trial... [#03083483](https://CT.gov)

## Stimulation Montages



bM1



SMA

red = anode  
blue = cathode

## Peg Transfer Task



## Intervention

bM1 = 20 subjects

SMA = 20 subjects

Sham = 20 subjects (10 each montage)

2 mAmp current intensity during FLS practice

## tDCS+Task

### Visit 1

20 min task
5 min break
20 min task

### Visit 2

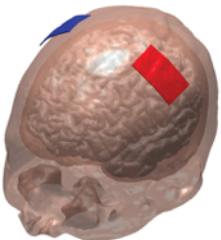
20 min task
5 min break
20 min task

### Visit 3

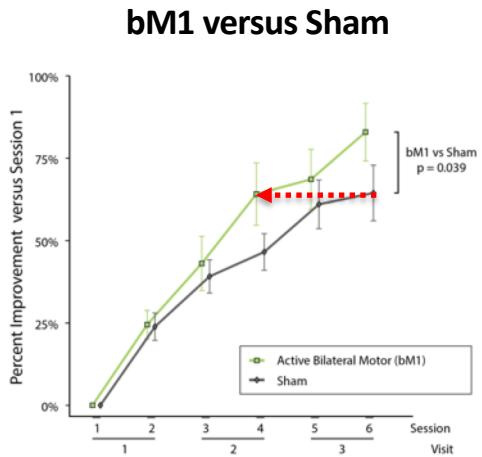
20 min task
5 min break
20 min task

Total pegs transferred, minus penalty -3 out-of-view drop, -1 in-view drop

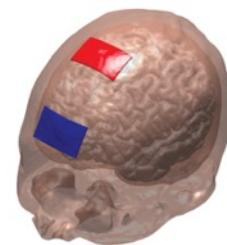
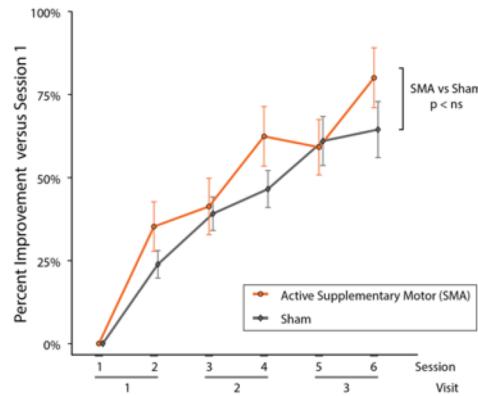
# Results



bM1



SMA versus Sham



SMA

- Significant main effect of sessions ( $p<0.001$ )
- Significant group x session interaction.
- Compared to Sham, bM1 had significantly greater improvement ( $p=0.039$ ),
- No significant difference between SMA and Sham ( $p=0.40$ ).
- Active bM1 stimulation leads to 14% more improvement!

# Interim Conclusions

---

In this pre-registered, double-blind, randomized trial active stimulation produced significant and meaningful gains in learning over sham.

**Effects of Transcranial Direct-Current Stimulation on Neurosurgical Skill Acquisition: A Randomized Controlled Trial**

Patrick Ciechanski<sup>1</sup>, Adam Cheng<sup>2</sup>, Steven Lopushinsky<sup>2</sup>, Kent Hecker<sup>4,5</sup>, Liu Shi Gan<sup>6</sup>, Stefan Lang<sup>1,7</sup>, Kourosh Zareinia<sup>7</sup>, Adam Kirton<sup>2,6</sup>

**Effects of transcranial direct-current stimulation on laparoscopic surgical skill acquisition**

P. Ciechanski<sup>1</sup>®, A. Cheng<sup>2</sup>, O. Damji<sup>7</sup>, S. Lopushinsky<sup>3</sup>, K. Hecker<sup>4,5</sup>, Z. Jadavji<sup>1</sup> and A. Kirton<sup>2,6</sup>

Departments of <sup>1</sup>Neuroscience, <sup>2</sup>Pediatrics, <sup>3</sup>Surgery, <sup>4</sup>Veterinary Medicine, <sup>5</sup>Community Health Sciences and <sup>6</sup>Clinical Neurosciences, and <sup>7</sup>Cumming School of Medicine, University of Calgary, Calgary, Alberta, Canada

Correspondence to: Dr A. Kirton, Department of Pediatrics, University of Calgary, 2888 Shaganappi Trail NW, Calgary, Alberta, Canada, T3B 6A8  
(e-mail: adam.kirton@albertahealthservices.ca)

**PROGRAM CONTACT:** SUMMARY STATEMENT  
( Privileged Communication )

**Release Date:** 10/23/2020  
**Revised Date:**

**Application Number:** 1 R01 NS121338-01

**Principal Investigator**  
APPELBAUM, LAWRENCE G.

**Applicant Organization:** DUKE UNIVERSITY

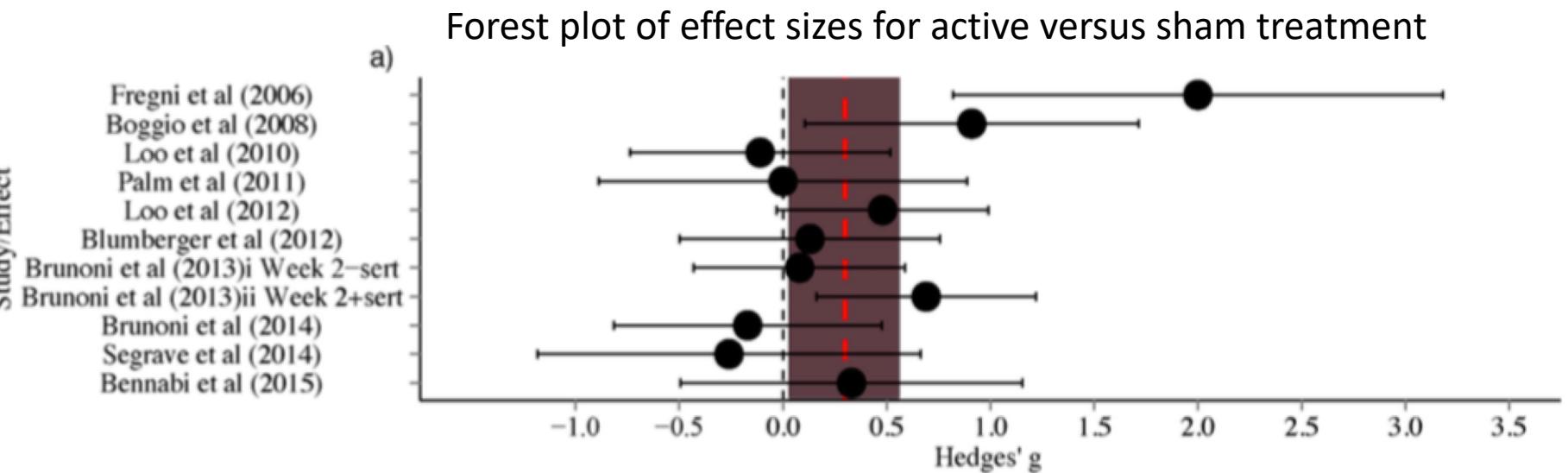
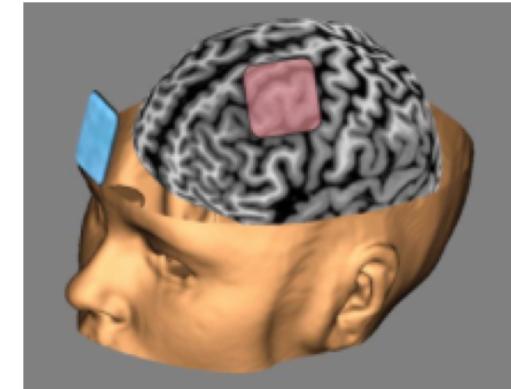
**Review Group:** BTSS  
Bioengineering, Technology and Surgical Sciences Study Section

# tDCS Clinical

tDCS and depression:

Anode over the left DLPFC

Cathode over the right DLPFC or right supra-orbital area



# tDCS Clinical

Same treatment. Choose what works best for you.

**Rent a Flow**

**Own a Flow**

**€45 / month**  
(€55 starter pack - paid once)

**RENT**

**€459**  
pay once or in installments

**OWN**

**Evidence-based guidelines and secondary meta-analysis for the use of transcranial direct current stimulation (tDCS) in neurological and psychiatric disorders**

Published: 26 July 2020 Article history | PDF | Link to this article | Permissions | Share

**Abstract**

**Background**

Transcranial direct current stimulation (tDCS) has shown promising clinical results, but its increased demand for an evidence-based review on its clinical effects.

**Objective**

The main aim of this S2C project is to conduct a systematic review of clinical trials with more than one session of stimulation involving Parkin's Disease, Multiple Sclerosis, Stroke, Aphasia, Broca's Aphasia, Non-fluent Aphasia, Language, Epilepsy, Major Depressive Disorder, Obsessive Compulsive Disorders, Tourette Syndrome, Schizophrenia and Drug Addiction.

**Methods**

Experts were asked to conduct this systematic review according to the search methodology from PRISMA guidelines. Assessments of study efficacy were conducted using the Cochrane Risk of Bias tool. It was determined if tDCS had a (possibly) effect or no recommendation. We assessed risk of bias for all included studies to confirm whether results were driven by personally biased

**Safety of Transcranial Direct Current Stimulation: Evidence Based Update**

Published: 10 March 2010 Article history | PDF | Link to this article | Permissions | Share

**Abstract**

**Background**

Transcranial direct current stimulation (tDCS) has been used in a variety of medical conditions, but its safety has not been well characterized. In addition, the number of studies has increased rapidly, making it difficult to keep up with the literature.

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**A systematic review and meta-analysis on the effects of transcranial direct current stimulation in depressive episodes**

Published: 26 July 2020 Article history | PDF | Link to this article | Permissions | Share

**Abstract**

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Transcranial direct current stimulation (tDCS) has been used in a variety of medical conditions, but its safety has not been well characterized. In addition, the number of studies has increased rapidly, making it difficult to keep up with the literature.

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**Study including 245 patients, comparing tDCS with a common antidepressant**

**An analysis of 23 clinical trials with 1092 patients, showing that tDCS is superior to placebo/sham**

**No serious adverse events were found across 33,200 tDCS sessions with 1000 users**

**Show more**

**tDCS was found to be efficacious and comparable to TMS**

**Combining tDCS and a common antidepressant increases the efficacy of each treatment**

**30-minute tDCS sessions lead to better outcomes than 20-minutes sessions**

**Side effects from tDCS are mild, transient and well-tolerated**

# Take Aways...

- Brain stimulation is a growing enterprise in neuroscience and clinical care.
- On balance there is evidence for the effectiveness of these techniques, but refinement is still needed to increase efficacy and to identify individuals most likely to respond.
- Brain stimulation provides a means to causally test brain-behavior relationships.

# Neurodoping?



Sports Med (2013) 43:649–653  
DOI 10.1007/s40279-013-0027-z

CURRENT OPINION

## Neurodoping: Brain Stimulation as a Performance-Enhancing Measure

Nick J. Davis

Park, Cogent Social Sciences (2017), 3: 1360462  
<https://doi.org/10.1080/23311886.2017.1360462>



Received: 14 April 2017  
Accepted: 22 July 2017  
First Published: 28 July 2017

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Reviewing editor:  
Vassil Girginov, Brunel University, UK  
Additional information is available at  
the end of the article

### **SPORT | RESEARCH ARTICLE**

## Neuro-doping: The rise of another loophole to get around anti-doping policies

Kwangho Park\*

**Abstract:** The purpose of this study is to provide an overview of the emerging neuro-doping technology and its ability to enhance athletic performance, and to examine the physical and ethical risks associated with the technology. This study also suggests that sports governing bodies charged with anti-doping regulation begin to consider prohibiting the application of electrical stimulus to the brain as a means of physical manipulation aimed at enhancing performance.

**Subjects:** Law; Sociology of Media; Politics & International Relations; Sports and Leisure; Social Sciences; Health Communication

**Keywords:** neuro doping; anti-doping policy; transcranial direct-current stimulation; world anti-doping agency

# Thank you

Special thanks to

Lysianne Beynel

Angel Peterchev

Zhi Deng

Bruce Luber

Holly Lisanby

For use of slides from the TMS Fellowship Course

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