# Assignment\_2\_Group86\_best\_classifier\_final\_ver

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# 1 COMP5318 - Machine Learning and Data Mining: Assignment 2

```
Group 86
```

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Code | ID | Name

## 1.1 The notebook includes sections:

- Section 1. Library and general functions
- Section 2. Data pre-processing
- Section 3. Implement algorithms
  - 3.1 AdaBoost Classifier
  - 3.2 Support-Vector-Machine Classifier
  - 3.3 Convolutional Neural Network Classifier
- Section 4. Compare result between algorithms in train dataset
- Section 5: Best perfroming algorithms in testing data (we will submit this in seperate notebo
- Section 6. Hardware and software specifications

In response to 4 main parts of report requirement:

- 1. Try 3 different Machine Learning methods and compare their performance. | Section 3 & Section 5 |
- 2. Choosing an appropriate model and its complexity | Section 3
- 3. Using pre-processing techniques on the datasets | Section 2
- 4. Computer infrastructure | TBC
- 5. Ease of prototyping
- 6. Hardware and software specifications of the computer that you used for performance evaluation

## 1.2 0. Switches

```
[1]: test_CNN_train = False
    test_CNN_predict = True
    test_CNN_ten_fold = False
```

# 1.3 Section 1. Library and general functions

```
[2]: # Go to anaconda prompt to install package imblearn
# anaconda: conda install -c glemaitre imbalanced-learn
#pip install kmeans-smote

from skimage import io, transform
import matplotlib.pyplot as plt
import numpy as np
import pandas as pd

import cv2
import time
```

## 1.3.1 global variables

```
[3]: | # choose one of below two line depend file location***** [JL_UPDATE_
     \rightarrow description]
     g_dataset_dir = "./dataset/"
     #g_dataset_dir = "../dataset/"
     a_random_file = "./dataset/train/1b1B1b2-2pK2q1-4p1rB-7k-8-8-3B4-3rb3.jpeg"
     \#a_random_file = ".../dataset/train/1b1B1b2-2pK2q1-4p1rB-7k-8-8-3B4-3rb3.jpeg"
     saved_model_path = "./saved_model/"
     abc_model_file = saved_model_path + "abc_dump.pkl"
     svc_model_file = saved_model_path + "svc_dump.pkl"
     cnn_model_file = saved_model_path + "cnn_weights"
     ten_fold_result_path = "./ten_fold_results/"
     # define global variable
     g_train_dir = g_dataset_dir + "/train/"
     g_test_dir = g_dataset_dir + "/test/"
     g_{image_size} = 400
     g_grid_row = 8
     g_grid_col = 8
     g_grid_num = g_grid_row * g_grid_col
     g_grid_size = int(g_image_size / g_grid_row)
```

```
#Processing 1 - scale down
g_down_sampled_size = 200
g_down_sampled_grid_size = int(g_grid_size / (g_image_size /_

→g_down_sampled_size))
# global instance of mapping of char vs chess pieces
# reference: Forsyth-Edwards Notation, https://en.wikipedia.org/wiki/
→Forsyth%E2%80%93Edwards_Notation
#
\# pawn = "P", knight = "N", bishop = "B", rook = "R", queen = "Q" and king = "K"
# White pieces are designated using upper-case letters ("PNBRQK") while black_{f L}
→pieces use lowercase ("pnbrqk")
# we use 0 to note an empty grid.
# 13 items in total.
g_piece_mapping = {
    "P" : "pawn",
    "N" : "knight",
    "B" : "bishop",
    "R" : "rook",
    "Q" : "queen",
    "K" : "king",
    "p" : "pawn",
    "n" : "knight",
    "b" : "bishop",
    "r" : "rook",
    "q" : "queen",
    "k" : "king",
    "0" : "empty_grid"
}
g_num_labels = len(g_piece_mapping)
g_labels = ["P",
"N",
"B".
"R",
"Q",
"K",
"p",
"n",
"b",
"r",
```

```
"q",
"k",
"0"]
```

# 1.3.2 Helper codes for label & board

```
[4]: #DataHelper.py
     import os
     import cv2
     from skimage import io
     import numpy as np
     import glob
     import h5py
     # get clean name by a path, where in our case this gets the FEN conviniently
     def GetCleanNameByPath(file_name):
         return os.path.splitext(os.path.basename(file_name))[0]
     # get full paths to the files in a directory.
     def GetFileNamesInDir(path_name, extension="*", num_return = 0):
         if num return == 0:
             return glob.glob(path_name + "/*." + extension)
         else:
             return glob.glob(path_name + "/*." + extension)[:num_return]
     # get name list
     def GetCleanNamesInDir(path_name, extension = "*", num_return = 0):
         names = GetFileNamesInDir(path_name, extension)
         offset = len(extension) + 1
         clean_names = [os.path.basename(x)[:-offset] for x in names]
         if num_return == 0:
             return clean names
         else:
             return clean_names[:num_return]
     # read dataset
     def ReadImages(file_names, path = "", format = cv2.IMREAD_COLOR):
         if path == "":
             return [cv2.imread(f, format) for f in file_names]
         else:
             return [cv2.imread(path + "/" + f, format) for f in file_names]
     # read image by name
     def ReadImage(file_name, gray = False):
```

```
return io.imread(file_name, as_gray = gray)

# h5py functions

# read h5py file

# we assume the labels and
def ReadH5pyFile(file_name, data_name):
    h5_buffer = h5py.File(file_name)
    return h5_buffer[data_name].copy()

# write h5py file
def WriteH5pyFile(file_name, mat, data_name = "dataset"):
    with h5py.File(file_name, 'w') as f:
        f.create_dataset(data_name, data = mat)
```

```
[5]: #BoardHelper.py
     import re
     import string
     from collections import OrderedDict
     import numpy as np
     import skimage.util
     from skimage.util.shape import view_as_blocks
     #from ChessGlobalDefs import * # [JL_not able to load]
     #FEN TO LABELS OF SQUARES
     def FENtoL(fen):
         rules = {
             r"-": r"",
             r"1": r"0",
             r"2": r"00",
             r"3": r"000",
             r"4": r"0000",
             r"5": r"00000",
             r"6": r"000000",
             r"7": r"0000000",
            r"8": r"00000000",
         }
         for key in rules.keys():
             fen = re.sub(key, rules[key], fen)
         return list(fen)
```

```
# Label array to char list:
def LabelArrayToL(arr):
    rules = {
       0 : "P",
       1 : "N",
        2 : "B",
        3 : "R",
       4 : "Q",
       5 : "K",
       6: "p",
       7 : "n",
       8 : "b",
       9 : "r",
      10 : "q",
      11 : "k",
      12 : "0"
    flattened = arr.flatten(order = "C")
   L = []
   for x in flattened:
        L.append(rules[x])
    return L
# char list to FEN
def LtoFEN(L):
   FEN = ""
    for y in range(8):
       counter = 0
        for x in range(8):
            idx = x + y * 8
            char = L[idx]
            if char == "0":
                counter += 1
                if x == 7:
                    FEN += str(counter)
            else:
                if counter:
```

```
FEN += str(counter)
                   counter = 0
               FEN += char
       if y != 7:
           FEN += "-"
   return FEN
# FEN to one-hot encoding, in our case, it returns an 64 by 13 array, with each
→row as a one-hot to a grid.
def FENtoOneHot(fen):
   # this rule is in the same format as g_piece_mapping
   #rules = {
        "P": np.array([1, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0]),
         "N" : np.array([0, 1, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0]),
    #
         "B" : np.array([0, 0, 1, 0, 0, 0, 0, 0, 0, 0, 0, 0]),
        "R" : np.array([0, 0, 0, 1, 0, 0, 0, 0, 0, 0, 0, 0]),
         "Q" : np.array([0, 0, 0, 0, 1, 0, 0, 0, 0, 0, 0, 0, 0]),
         "K": np.array([0, 0, 0, 0, 0, 1, 0, 0, 0, 0, 0, 0]),
    #
         "p" : np.array([0, 0, 0, 0, 0, 0, 1, 0, 0, 0, 0, 0]),
         "n": np.array([0, 0, 0, 0, 0, 0, 1, 0, 0, 0, 0]),
    #
         "b": np.array([0, 0, 0, 0, 0, 0, 0, 1, 0, 0, 0]),
    #
    #
         "r": np.array([0, 0, 0, 0, 0, 0, 0, 0, 1, 0, 0]),
         "q": np.array([0, 0, 0, 0, 0, 0, 0, 0, 0, 1, 0, 0]),
         "k": np.array([0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1, 0]),
    #
         "0": np.array([0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1])
   #
   #}
   rules = {
       "P" : 0,
       "N" : 1,
       "B" : 2,
       "R" : 3.
       "Q" : 4.
       "K" : 5.
       "p" : 6,
       "n" : 7,
       "b" : 8.
       "r" : 9,
       "q" : 10,
```

```
"k" : 11,
        "0" : 12
   }
   L = FENtoL(fen)
   one_hot_array = np.zeros((g_grid_num, g_num_labels), dtype = np.int32) # 64_1
→by 13
   for i, c in enumerate(L):
        one_hot_array[i, rules[c]] = 1
   return one_hot_array
# get 8*8 char matrix
def LtoCharMat(1):
   if type(1) == list:
       return np.array(1).reshape((8,8))
   if type(1) == str:
       return np.array([1]).reshape((8,8))
def GetBoardCell(board image, row = 0, col = 0, size = 50):
   return np.array(board_image)[row*size:(row+1)*size,col*size:(col+1)*size]
# get grids of image
def ImageToGrids(image, grid_size_x, grid_size_y):
   return skimage.util.shape.view_as_blocks(image, block_shape = (grid_size_y,_
→grid_size_x, 3)).squeeze(axis = 2)
# get grids of image
def ImageToGrids_grey(image, grid_size_x, grid_size_y):
   return skimage.util.shape.view_as_blocks(image, block_shape = (grid_size_y,_
→grid_size_x, 1)).squeeze(axis = 2)
```

# Confusion matrix - heatmap

## 1.4 Section 2. Data pre-processing

# 1.4.1 Pre-processing - generic

```
[6]: #[JL_update func_generator ]

# split into 64 small square from 1 board
# image resized to 400x 400 to 200x 200. 64 square at 25x 25 each

def PreprocessImage(image):
    image = transform.resize(image, (g_down_sampled_size, g_down_sampled_size),
    →mode='constant')
```

# 1.5 Section 3. Implement algorithms

Base class for all classifiers

```
[7]: import abc
     # interface of the classifiers
     class IClassifier:
         # this method should accept a list of file names of the training data
         @abc.abstractmethod
         def Train(self, train_file_names):
             raise NotImplementedError()
         # this should accept a 400 * 400 * 3 numpy array as query data, and returns \Box
      → the fen notation of the board.
         @abc.abstractmethod
         def Predict(self, query_data):
             raise NotImplementedError()
         # this should accept a list of file names, and returns the predicted labels
      \rightarrow as 1d numpy array.
         @abc.abstractmethod
         def Predict(self, query data):
             raise NotImplementedError()
```

#### 10-fold related functions

```
[8]: # filters accepts a list of file names, and return the data matrix and labels
     import random
     from sklearn.metrics import confusion_matrix
     # get balanced accuracy from confusion matrix
     def BalancedAccuracyFromConfusionMatrix(cm):
         ret = np.empty((cm.shape[0]))
         for idx, row in enumerate(cm):
             ret[idx] = row[idx] / row.sum()
         return ret.mean()
     # dummy filter to return all files
     def DefaultFilter(file_names, rate = 1):
         return file_names
     # filter using random_sampling:
     def RandomFilter(file_names, rate = 1):
         # we fix the random part to assure the results are consistent
         random_seed = 4242
         random.seed(random seed)
         return random.sample(file_names, k = int(len(file_names) * rate))
     def ConfusionMatrix(classifier, test_file_names, filter = RandomFilter,_
      \rightarrowsampling rate = 0.001):
         confusion_matrices = []
         accuracies = []
         accuracies_balanced = []
         train_time_cost = []
         validation_time_cost = []
         # split name list into 10 equal parts
         division = len(test_file_names) / float(10)
         complete_name_folds = [ test_file_names[int(round(division * i)):__
      →int(round(division * (i + 1)))] for i in range(10) ]
         filtered_name_folds = complete_name_folds.copy()
         for i in range(10):
             filtered_name_folds[i] = filter(complete_name_folds[i], rate =__
      →sampling_rate)
         # we use filtered name folds to train, and validation.
         for iv in range(10):
             # merge the 9 folds:
             train_names = []
```

```
validation_names = []
       for i in range(10):
           if i != iv:
               train_names.extend(filtered_name_folds[i])
           else:
               # validation_names = complete_name_folds[i].copy()
               validation_names = filtered_name_folds[i].copy()
       # train the classifier:
                                   ", type(classifier).__name__, "for fold #",__
       print("training started:
→iv, "# train files:", len(train_names))
       t = time.time()
       classifier.Train(train_names)
       train_time_cost.append(time.time() - t)
       print("training finished: ", type(classifier).__name__, "for fold #",__
بi۷,
             "time: {}s".format(time.time() - t))
       print("predicting started: ", type(classifier).__name__, "for fold #",u
نv)
       t = time.time()
       ypreds, y_true = classifier.PredictMultiple(validation_names)
       validation_time_cost.append(time.time() - t)
       ypreds = ypreds.reshape((-1, 1))
       y_true = y_true.reshape((-1, 1))
       conf_mat = confusion_matrix(y_true, ypreds, labels = g_labels)
       confusion_matrices.append(conf_mat)
       accuracy = np.trace(conf_mat) / float(np.sum(conf_mat))
       accuracies.append(accuracy)
       accuracy_balanced = BalancedAccuracyFromConfusionMatrix(conf_mat)
       accuracies_balanced.append(accuracy_balanced)
       print("predicting finished: ", type(classifier).__name__, "for fold #",_
⇒iv,
             "time: {}s".format(time.time() - t), " accuracy: ", accuracy, "__
→balanced_accuracy:", accuracy_balanced)
   return confusion_matrices, accuracies, accuracies_balanced,__
→train_time_cost, validation_time_cost
```

#### 1.5.1 Convolutional Neural Network Classifier (CNN)

#### Class definition for CNN

```
[9]: import tensorflow as tf
     from tensorflow import keras
     from tensorflow.keras import layers
     import cv2
     from skimage import io, transform
     import numpy as np
     import os
     #import tensorflow as tf
     #from tensorflow import keras
     #from tf.keras.models import Sequential
     #from tf.keras.layers.core import Flatten, Dense, Dropout, Activation
     #from tf.keras.layers.convolutional import Convolution2D
     class CNNClassifier(IClassifier):
         # the file name format does not accept batch as parameter. link:
         # https://qithub.com/tensorflow/tensorflow/issues/38668
         s_check_point_file_name = "./CNN_training_checkpoint/cp_{epoch:
     →02d}-{accuracy:.2f}.ckpt"
         s_check_point_path = os.path.dirname(s_check_point_file_name)
         s_save_frequence = 10000 # save a checkpoint every s_save_frequence batches
         def __init__(self):
             #tf.config.threading.set_inter_op_parallelism_threads(3)
             #tf.config.threading.set_intra_op_parallelism_threads(3)
             # define our model
             self.__model__ = keras.Sequential(
                     layers.Convolution2D(32, (3, 3), input_shape =_
      →(g_down_sampled_grid_size, g_down_sampled_grid_size, 3)),
                     layers.Activation('relu'),
                     layers.Dropout(0.1),
                     layers.Convolution2D(32, (3, 3)),
                     layers.Activation('relu'),
                     layers.Convolution2D(32, (3, 3)),
                     layers.Activation('relu'),
                     layers.Flatten(),
                     layers.Dense(128),
                     layers.Activation('relu'),
```

```
layers.Dropout(0.3),
               layers.Dense(13),
               layers.Activation("softmax")
          ]
      )
      self.__model__.compile(loss = "categorical_crossentropy", optimizer =_u
self.__save_check_point_callback__ = tf.keras.callbacks.ModelCheckpoint(
           filepath = CNNClassifier.s_check_point_file_name,
           monitor='val_accuracy',
           save_weights_only = True,
           save_freq = CNNClassifier.s_save_frequence,
           verbose = 1
           )
    # generator
   Ostaticmethod
   def func_generator(train_file_names):
       for image_file_name in train_file_names:
           img = ReadImage(image_file_name)
           x = CNNClassifier.PreprocessImage(img)
           y = np.array(FENtoOneHot(GetCleanNameByPath(image_file_name)))
           yield x, y
   # this method should accept N * 64 * m * n numpy array as train data, and N_{\sqcup}
\rightarrow lists of 64 chars as label.
   def Train(self, train_data_names):
      train_size = len(train_data_names)
       ## try load last checkpoint
       #if not self.LoadMostRecentModel():
       # os.makedirs(CNNClassifier.s_check_point_path, exist_ok = True)
       # train
       self.__model__.fit(CNNClassifier.func_generator(train_data_names),
                          use_multiprocessing = False,
                          \#batch\_size = 1000,
                          steps_per_epoch = train_size / 20,
                          epochs = 2,
                          #callbacks = [self.__save_check_point_callback__],
                          verbose = 1)
```

```
# this should accept a 64 * m * n numpy array as guery data, and returns \Box
→ the fen notation of the board.
   def Predict(self, query_data):
       grids = CNNClassifier.PreprocessImage(query_data)
       y_pred = self.__model__.predict(grids).argmax(axis=1)
       return y_pred
   # predict by file name:
   def PredictMultiple(self, file_names):
       preds = []
       truth = []
       for f in file_names:
           img = ReadImage(f, gray = False)
           y_pred = LabelArrayToL(self.Predict(img))
           y_true = FENtoL(GetCleanNameByPath(f))
           preds.append(y_pred)
           truth.append(y_true)
       all pred = np.vstack(preds)
       all_truth = np.vstack(truth)
       return all_pred, all_truth
   def LoadModel(self, name):
       self.__model__.load_weights(name)
   def SaveModel(self, name):
       os.makedirs(os.path.dirname(name), exist_ok = True)
       self.__model__.save_weights(name)
   def PrintModel(self):
       self.__model__.summary()
   def LoadMostRecentModel(self):
       {\tt return self.} Load {\tt MostRecentModelFromDirectory} ({\tt CNNClassifier.}
→s_check_point_path)
   def LoadMostRecentModelFromDirectory(self, path):
           last_cp = tf.train.latest_checkpoint(path)
           self.__model__.load_weights(last_cp)
           print("Loaded checkpoint from " + last_cp)
           return True
       except:
           print("No checkpoint is loaded.")
```

```
return False
   def TestAccuracy(self, test_file_names):
       num_files = len(test_file_names)
       predict_result = self.__model__.predict(CNNClassifier.
→func_generator(test_file_names)).argmax(axis=1)
       predict_result = predict_result.reshape(num_files, -1)
       predicted_fen_arr = np.array([LtoFEN(LabelArrayToL(labels)) for labels

→in predict_result])
       test_fens = np.array([GetCleanNameByPath(file_name) for file_name in_
→test file names])
       final_accuracy = (predicted_fen_arr == test_fens).astype(np.float).
\rightarrowmean()
       return final_accuracy
   Ostaticmethod
   def PreprocessImage(image):
       image = transform.resize(image, (g_down_sampled_size,__
→g_down_sampled_size), mode='constant')
       # 1st and 2nd dim is 8
       grids = ImageToGrids(image, g_down_sampled_grid_size,__
→g_down_sampled_grid_size)
       # debug
       #plt.imshow(grids[0][3])
       #plt.show()
       return grids.reshape(g_grid_row * g_grid_col, g_down_sampled_grid_size,_
→g_down_sampled_grid_size, 3)
```

# test code for CNN

```
if test_CNN_train:
    cnn = CNNClassifier()
    train_names = GetFileNamesInDir(g_train_dir)
    cnn.Train(train_names)
    cnn.SaveModel(cnn_model_file)

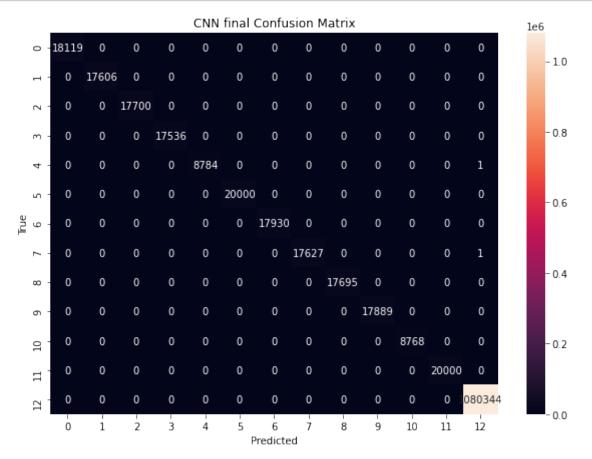
if test_CNN_predict:
    cnn = CNNClassifier()
    cnn.PrintModel()
    print("cnn: loading model from " + cnn_model_file)
    cnn.LoadModel(cnn_model_file)
    predicted_label = cnn.Predict(ReadImage(a_random_file))
```

Model: "sequential"

Layer (type)	Output Shape	Param #
conv2d (Conv2D)	(None, 23, 23, 32)	896
activation (Activation)	(None, 23, 23, 32)	0
dropout (Dropout)	(None, 23, 23, 32)	0
conv2d_1 (Conv2D)	(None, 21, 21, 32)	9248
activation_1 (Activation)	(None, 21, 21, 32)	0
conv2d_2 (Conv2D)	(None, 19, 19, 32)	9248
activation_2 (Activation)	(None, 19, 19, 32)	0
flatten (Flatten)	(None, 11552)	0
dense (Dense)	(None, 128)	1478784
activation_3 (Activation)	(None, 128)	0
dropout_1 (Dropout)	(None, 128)	0
dense_1 (Dense)	(None, 13)	1677

```
activation_4 (Activation)
                                  (None, 13)
     ______
     Total params: 1,499,853
     Trainable params: 1,499,853
     Non-trainable params: 0
     cnn: loading model from ./saved_model/cnn_weights
     predicted: 1b1B1b2-2pK2q1-4p1rB-7k-8-8-3B4-3rb3
     Original: 1b1B1b2-2pK2q1-4p1rB-7k-8-8-3B4-3rb3
     confusion matrix:
      [[ 18119
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     Balanced accuracy:
[15]: import seaborn as sns
      def plot_confusion_mat(conf_mat, title = ""):
          plt.figure(figsize=(10,7))
          ax = sns.heatmap(conf_mat, annot=True, fmt="d")
          plt.ylabel('True')
          plt.xlabel('Predicted')
          plt.title(title)
          plt.show()
```





# Test code for ABC

## 1.6 10-fold cross validation for 3 classifiers

```
[11]: # 10-fold for CNN
    # random sampling rate of the each fold in 10-fold
    cnn_random_sampling_rate = 0.5

if test_CNN_ten_fold:
    train_file_names = GetFileNamesInDir(g_train_dir, extension = "jpeg")

    cnn_tf = CNNClassifier()

    confusion_matrices_cnn, accuracies_cnn, accuracies_balanced_cnn,
    train_time_cost_cnn, validation_time_cost_cnn = \
```

```
ConfusionMatrix(cnn_tf, train_file_names, RandomFilter, sampling_rate =_
cnn_random_sampling_rate)
```

## 1.6.1 Bonus: GUI: see GUI with Classifiers.ipynb