

# Chapter 1: Introduction to Natural Language Processing

## 1.1 The study of natural language

Natural language is studied in fields:

*Linguistics, Psycholinguistics, Philosophy, Computational Linguistics.*

- **Linguistics studies** structure of language:
  - Why certain combinations of words form sentences but others do not.
  - Why a sentence can have some meanings but not others

Exp: *I saw the wood by the saw (saw: cửa, cái cửa, nhìn (thời qk)*

# Chapter 1: Introduction to Natural Language Processing

## 1.1 The study of natural language

### *Psycholinguistics study:*

- processes of human language production and comprehension
- how people identify the appropriate structure of a sentence and when they decide on the appropriate meaning for words .

### *▣ Philosophy considers*

how words can mean any thing at all and how they identify objects in the world. Philosophers consider also what it means to have beliefs, goals, and intentions and how these cognitive capabilities relate to language.



# Chapter 1: Introduction to Natural Language Processing

▣ *Computational Linguistics is to develop and create*

computational models of language by algorithms and data structure from Computer Science and utilizing advantages of above fields

## 1.2 Applications of Natural Language Understanding

- Course Objective is to provide learning to create language understanding models, that are able to implement in specific domains. The course focuses on respect of natural language processing.

# Chapter 1: Introduction to Natural Language Processing

## 1.2 Applications of Natural Language Understanding

- - Two main applications:
  - + Text based application;
  - + Dialogue based application

### *Text based application*

- Retrieving appropriate documents on specific topics from text database;
- Extracting information from messages, articles on certain topics;
  - Translating documents from one language to another;
  - Summarizing texts for specific purposes;
- Question Answering systems.

## ***1.2.1 Text based application***

### ***1.2.2.1 Information Extraction (IE)***

- Information extraction (IE) systems
  - Find and understand limited relevant parts of texts;
  - gather information from many pieces of text;
  - produce a structured representation of relevant information:
    - *relations* (in the database sense),
    - *a knowledge base*
- Goals:
  1. Organize information so that it is useful to people,
  2. Put information in a semantically precise form that allows further inferences to be made by computer algorithms

*Lecture  
Slides from  
the  
Stanford  
Coursera  
course  
by [Dan  
Jurafsky](#) and  
[Christoph  
er Manning](#)*

## 1.2.1.1 Information Extraction (IE)

### Low-level information extraction

- Is now available in applications like Apple or Google mail, and web indexing



- Often seems to be based on regular expressions and name lists.

# 1.2.1.1 Information Extraction (IE)

## Low-level information extraction

Google

---

**Search** About 123,000 results (0.23 seconds)

---

**Everything** Best guess for BHP Billiton Ltd. Headquarters is **Melbourne, London**  
Mentioned on at least 9 websites including [wikipedia.org](#), [bhpbilliton.com](#) and [bhpbilliton.com](#) - [Feedback](#)

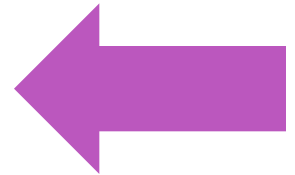
**Images**

**Maps**

**Videos** [BHP Billiton - Wikipedia, the free encyclopedia](#)  
[en.wikipedia.org/wiki/BHP\\_Billiton](#)

**News** Merger of BHP & Billiton 2001 (creation of a DLC). **Headquarters, Melbourne, Australia (BHP Billiton Limited and BHP Billiton Group) London, United Kingdom ...**

**Shopping** [History](#) - [Corporate affairs](#) - [Operations](#) - [Accidents](#)



## 1.2.1.1 Information Extraction (IE)

### Named Entity Recognition (NER)

- A very important sub-task: **find** and **classify** names in text, for example:
  - The decision by the independent MP **Andrew Wilkie** to withdraw his support for the minority **Labor** government sounded dramatic but it should not further threaten its stability. When, after the **2010** election, **Wilkie**, **Rob Oakeshott**, **Tony Windsor** and the **Greens** agreed to support **Labor**, they gave just two guarantees: confidence and supply.

Person  
Date  
Location  
Organi-  
zation



# 1.2.1.1 Information Extraction (IE)

## The Named Entity Recognition Task

Task: Predict entities in a text

Foreign ORG

Ministry ORG

spokesman O

Shen PER

Guofang PER

told O

Reuters ORG

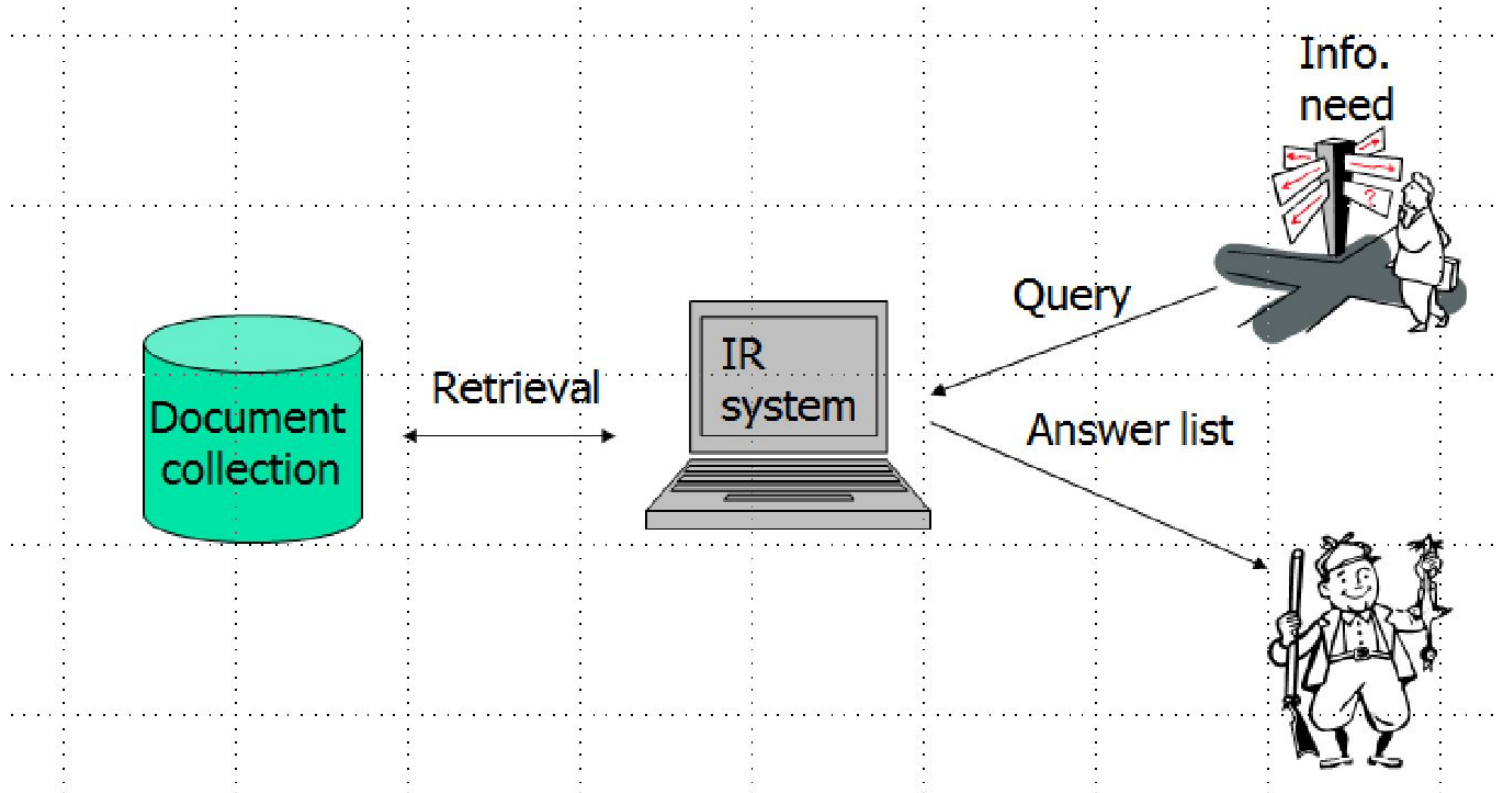
}

Standard  
evaluation  
is per entity,  
*not* per token

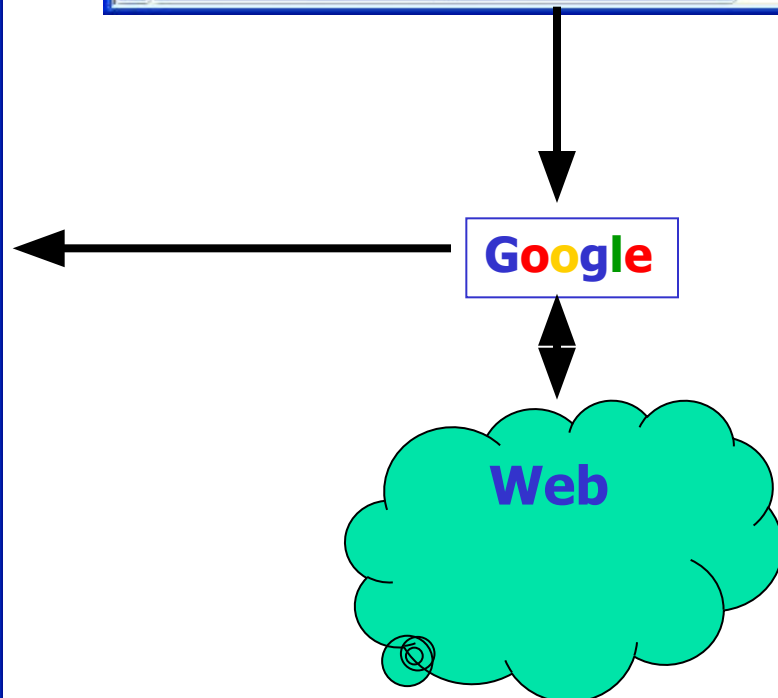
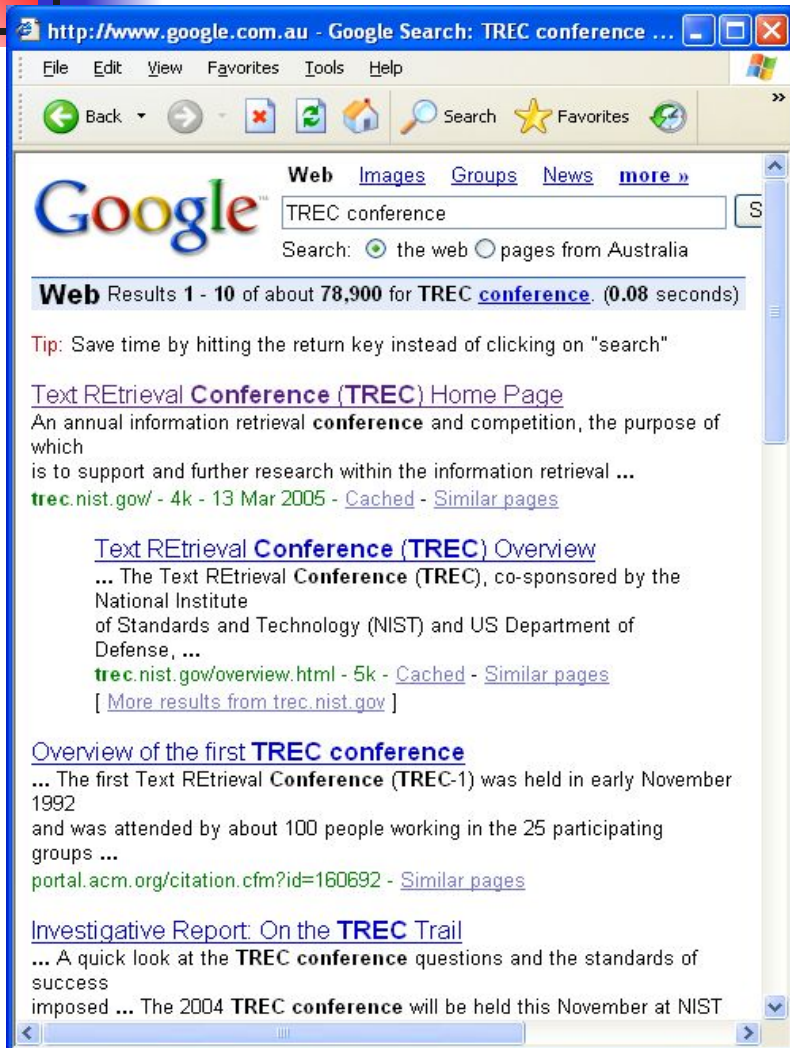
## 1.2.1 Text based application

### 1.2.1.2 Information retrieval

**Goal:** find documents *relevant* to an information need from a large document set



# Example



***1.2.1.1* Information Extraction (IE)**  
**Maximum entropy sequence models**

- **Maximum entropy Markov models (MEMMs) or Conditional Markov models**

Suppose we have a sequence of observations  $O_1, \dots, O_n$  that we seek to tag with the labels  $S_1, \dots, S_n$  that maximize the conditional probability  $P(S_1, \dots, S_n \mid O_1, \dots, O_n)$ . In a MEMM, this probability is factored into Markov transition probabilities, where the probability of transitioning to a particular label depends only on the observation at that position and the previous position's label

$$P(S_1, \dots, S_n \mid O_1, \dots, O_n) = \prod_{t=1}^n P(S_t \mid S_{t-1}, O_t).$$

Each of these transition probabilities comes from the same general distribution  $P(s \mid s', o)$ . For each possible label value of the previous label  $s'$ , the probability of a certain label  $s$  is modeled in the same way as a [maximum entropy classifier](#):

$$P(s \mid s', o) = P_{s'}(s \mid o) = \frac{1}{Z(o, s')} \exp \left( \sum_a \lambda_a f_a(o, s) \right).$$

Here, the  $f_a(o, s)$  are real-valued or categorical feature-functions, and  $Z(o, s')$  is a normalization term ensuring that the distribution sums to one. This form for the distribution corresponds to the [maximum entropy probability distribution](#) satisfying the constraint that the empirical expectation for the feature is equal to the expectation given the model:

$$E_e[f_a(o, s)] = E_p[f_a(o, s)] \quad \text{for all } a.$$

The parameters  $\lambda_a$  can be estimated using [generalized iterative scaling](#).<sup>1</sup> Furthermore, a variant of the [Baum–Welch algorithm](#), which is used for training HMMs, can be used to estimate parameters when training data has [incomplete or missing labels](#)

# Sequence problems

- Many problems in NLP have data which is a sequence of characters, words, phrases, lines, or sentences ...
- We can think of our task as one of labeling each item

VBG	NN	IN	DT	NN	IN	NN
Chasing	opportunity	in	an	age	of	upheaval

## POS tagging

PERS	O	O	O	ORG	ORG
Murdoch	discusses	future	of	News	Corp.

## Named entity recognition

B	B	I	I	B	I	B	I	B	B
而	相	对	于	这	些	品	牌	的	价

## Word segmentation

—————	Q
—————	A
—————	Q
—————	A
—————	A
—————	A
—————	Q
—————	A

## Text segmentation



# MEMM inference in systems

- For a Conditional Markov Model (CMM) a.k.a. a Maximum Entropy Markov Model (MEMM), the classifier makes a single decision at a time, conditioned on evidence from observations **and previous decisions**
- A larger space of sequences is usually explored via search

Decision Point

-3	-2	-1	0	+1
DT	NNP	VBD	???	???
The	Dow	fell	22.6	%

(Ratnaparkhi 1996; Toutanova et al. 2003, etc.)

$W_0$	22.6
$W_{+1}$	%
$W_{-1}$	fell
$T_{-1}$	VBD
$T_{-1}-T_{-2}$	NNP-VBD
hasDigit?	true
...	...

# Example: POS Tagging

- Scoring individual labeling decisions is no more complex than standard classification decisions
  - We have some assumed labels to use for prior positions
  - We use features of those and the observed data (which can include current, previous, and next words) to predict the current label

## Decision Point

-3	-2	-1	0	+1
DT	NNP	VBD	???	???
The	Dow	fell	22.6	%

## Features

$W_0$	22.6
$W_{+1}$	%
$W_{-1}$	fell
$T_{-1}$	VBD
$T_{-1}-T_{-2}$	NNP-VBD
hasDigit?	true
...	...

(Ratnaparkhi 1996; Toutanova et al. 2003, etc.)



# Example: POS Tagging

- POS tagging Features can include:
  - Current, previous, next words in isolation or together.
  - Previous one, two, three tags.
  - Word-internal features: word types, suffixes, dashes, etc.

## Decision Point

-3	-2	-1	0	+1
DT	NNP	VBD	???	???
The	Dow	fell	22.6	%

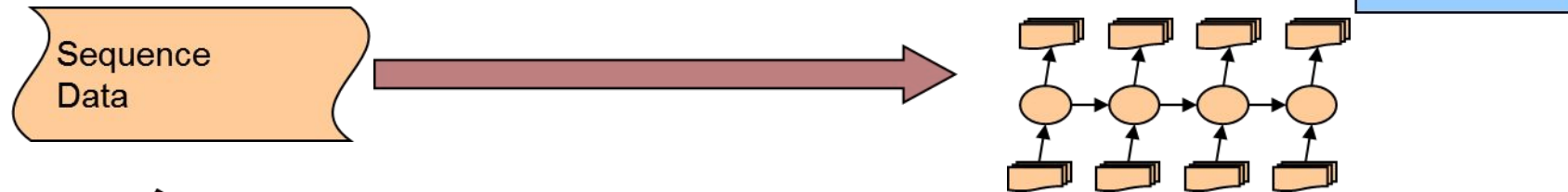
## Features

$W_0$	22.6
$W_{+1}$	%
$W_{-1}$	fell
$T_{-1}$	VBD
$T_{-1}-T_{-2}$	NNP-VBD
hasDigit?	true
...	...

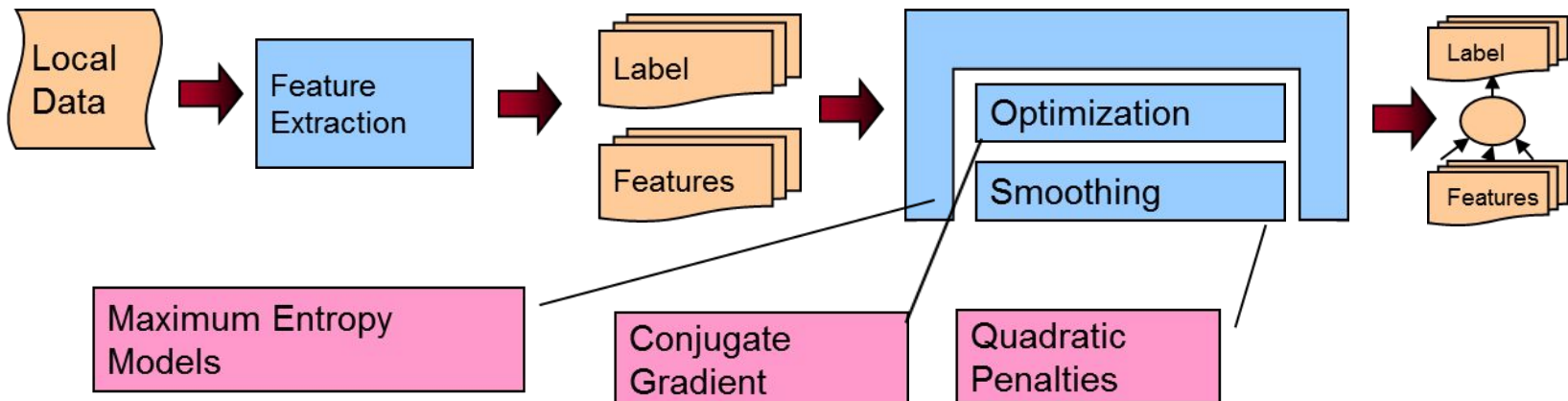
(Ratnaparkhi 1996; Toutanova et al. 2003, etc.)

# Inference in Systems

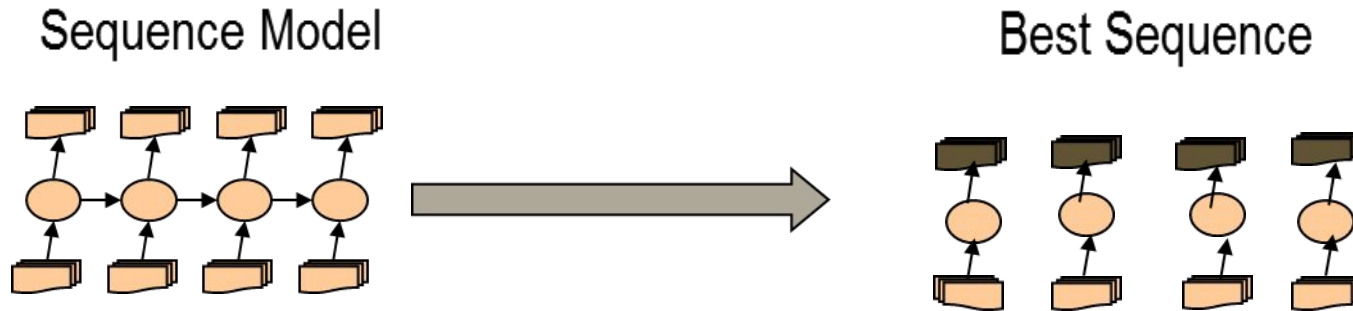
## Sequence Level



## Local Level



# Greedy Inference (1)



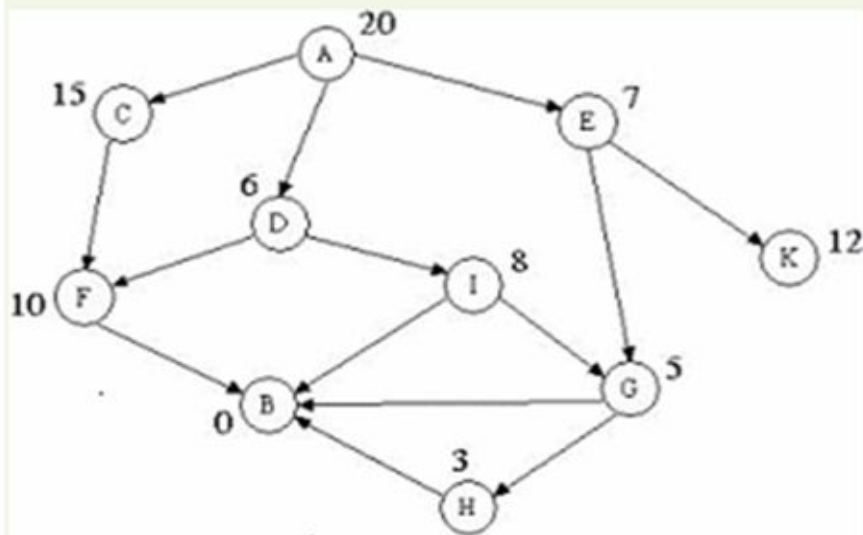
- Greedy inference:
  - We just start at the left, and use our classifier at each position to assign a label
  - The classifier can depend on previous labeling decisions as well as observed data
- Advantages:
  - Fast, no extra memory requirements
  - Very easy to implement
  - With rich features including observations to the right, it may perform quite well
- Disadvantage:
  - Greedy. We make commit errors we cannot recover from

# Greedy Inference (2)

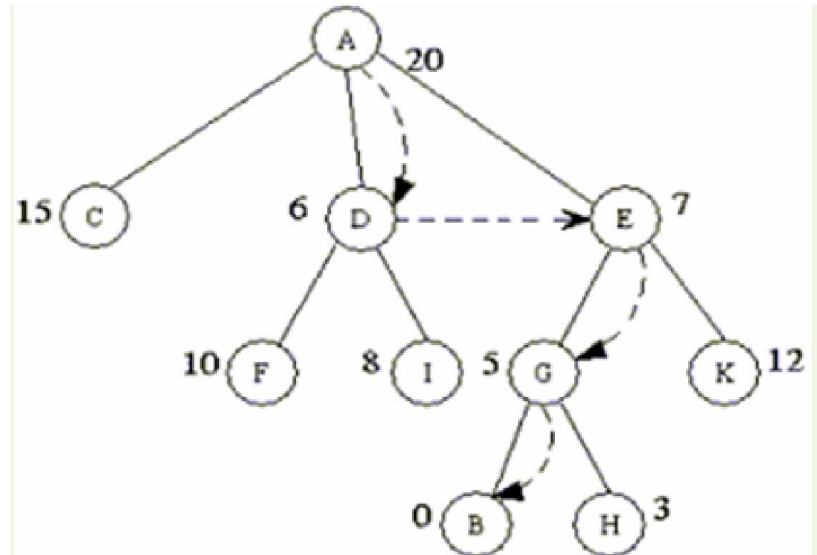
## Tìm kiếm tốt nhất - đầu tiên:

Tìm kiếm tốt nhất - đầu tiên (best-first search) là tìm kiếm theo bề rộng được hướng dẫn bởi hàm đánh giá. Nhưng nó khác với tìm kiếm theo bề rộng ở chỗ, trong tìm kiếm theo bề rộng ta lần lượt phát triển tất cả các đỉnh ở mức hiện tại để sinh ra các đỉnh ở mức tiếp theo, còn trong tìm kiếm tốt nhất - đầu tiên ta chọn đỉnh để phát triển là đỉnh tốt nhất được xác định bởi hàm đánh giá (tức là đỉnh có giá trị hàm đánh giá là nhỏ nhất), đỉnh này có thể ở mức hiện tại hoặc ở các mức trên.

Xét không gian trạng thái được biểu diễn bởi đồ thị trong hình 2.2, trong đó trạng thái ban đầu là A, trạng thái kết thúc là B. Giá trị của hàm đánh giá là các số ghi cạnh mỗi đỉnh.



Hình 2.2 Đồ thị không gian trạng thái



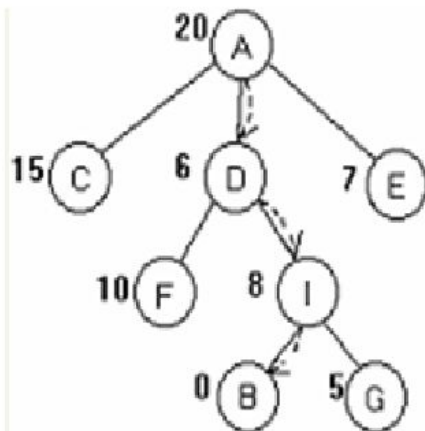
Hình 2.3 Cây tìm kiếm tốt nhất - đầu tiên

# Greedy Inference (3)

## Tìm kiếm leo đồi

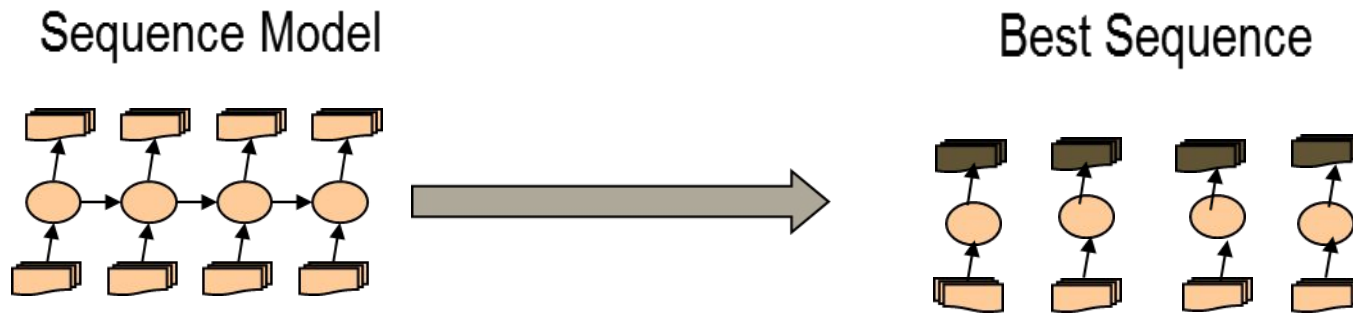
Tìm kiếm leo đồi (hill-climbing search) là tìm kiếm theo độ sâu được hướng dẫn bởi hàm đánh giá. Song khác với tìm kiếm theo độ sâu, khi ta phát triển một đỉnh  $u$  thì bước tiếp theo, ta chọn trong số các đỉnh con của  $u$ , đỉnh có nhiều hứa hẹn nhất để phát triển, đỉnh này được xác định bởi hàm đánh giá.

Ta lại xét đồ thị không gian trạng thái trong hình 2.2. Quá trình tìm kiếm leo đồi được tiến hành như sau. Đầu tiên phát triển đỉnh A sinh ra các đỉnh con C, D, E. Trong các đỉnh này chọn D để phát triển, và nó sinh ra các đỉnh con B, G. Quá trình tìm kiếm kết thúc. Cây tìm kiếm leo đồi được cho trong hình 2.4.



Hình 2.4 Cây tìm kiếm leo đồi

# Beam Inference (1)



- Beam inference:
  - At each position keep the top  $k$  complete sequences.
  - Extend each sequence in each local way.
  - The extensions compete for the  $k$  slots at the next position.
- Advantages:
  - Fast; beam sizes of 3–5 are almost as good as exact inference in many cases.
  - Easy to implement (no dynamic programming required).
- Disadvantage:
  - Inexact: the globally best sequence can fall off the beam.

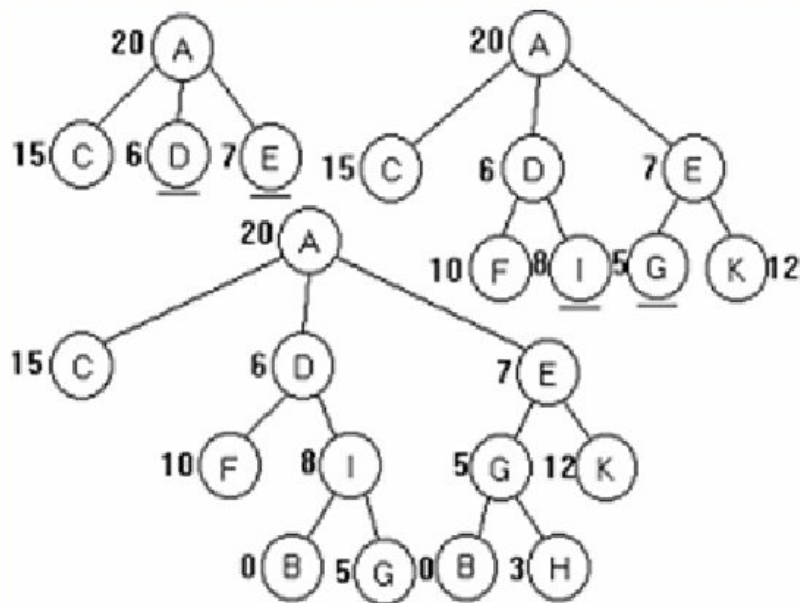


# Beam Inference (2)

## Tìm kiếm beam

Tìm kiếm beam (beam search) giống như tìm kiếm theo bề rộng, nó phát triển các đỉnh ở một mức rồi phát triển các đỉnh ở mức tiếp theo. Tuy nhiên, trong tìm kiếm theo bề rộng, ta phát triển tất cả các đỉnh ở một mức, còn trong tìm kiếm beam, ta hạn chế chỉ phát triển  $k$  đỉnh tốt nhất (các đỉnh này được xác định bởi hàm đánh giá). Do đó trong tìm kiếm beam, ở bất kỳ mức nào cũng chỉ có nhiều nhất  $k$  đỉnh được phát triển, trong khi tìm kiếm theo bề rộng, số đỉnh cần phát triển ở mức  $d$  là  $b^d$  ( $b$  là nhân tố nhánh).

Chúng ta lại xét đồ thị không gian trạng thái trong hình 2.2. Chọn  $k = 2$ . Khi đó cây tìm kiếm beam được cho như hình 2.5. Các đỉnh được gạch dưới là các đỉnh được chọn để phát triển ở mỗi mức.

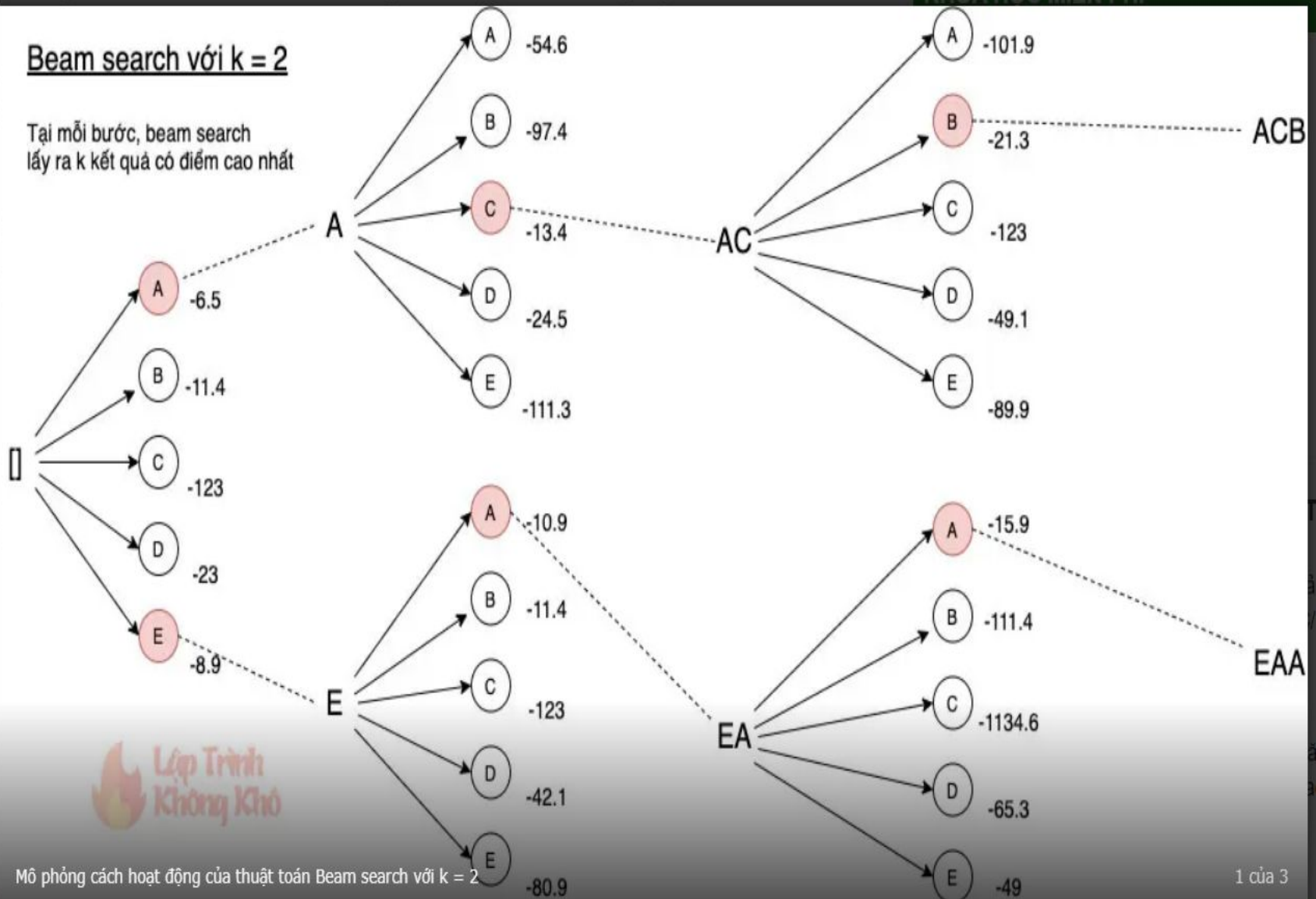


Hình 2.5 Cây tìm kiếm beam.

# Beam Inference (3)

## Beam search với $k = 2$

Tại mỗi bước, beam search lấy ra  $k$  kết quả có điểm cao nhất



Mô phỏng cách hoạt động của thuật toán Beam search với  $k = 2$



# CRFs [Lafferty, Pereira, and McCallum 2001]

- Another sequence model: Conditional Random Fields (CRFs)
- A whole-sequence conditional model rather than a chaining of local models.

$$P(c | d, \lambda) = \frac{\exp \sum_i \lambda_i f_i(c, d)}{\sum_{c'} \exp \sum_i \lambda_i f_i(c', d)}$$

- The space of  $c$ 's is now the space of sequences
  - But if the features  $f_i$  remain local, the conditional sequence likelihood can be calculated exactly using dynamic programming
- Training is slower, but CRFs avoid causal-competition biases
- These (or a variant using a max margin criterion) are seen as the state-of-the-art these days ... but in practice usually work much the same as MEMMs.

## *1.2.1 Text based application*

### Translation

1947

CMSC 723 /  
LING 723 / INST  
725

Slides & figure  
credits: Philipp  
Koehn  
[mt-class.org](http://mt-class.org)

When I look at the article in  
Russian, I say to myself: it is  
really written in English, but  
has been coded in some  
strange symbols. I will now  
proceed to decode



Warren Weaver

# Translation

## Rule based systems

### 1950s – 1960s

- Approach
  - Build dictionaries
  - Write transformation rules
  - Refine, refine, refine
- Meteo system for weather forecasts (1976)
- Systran (1968), ...

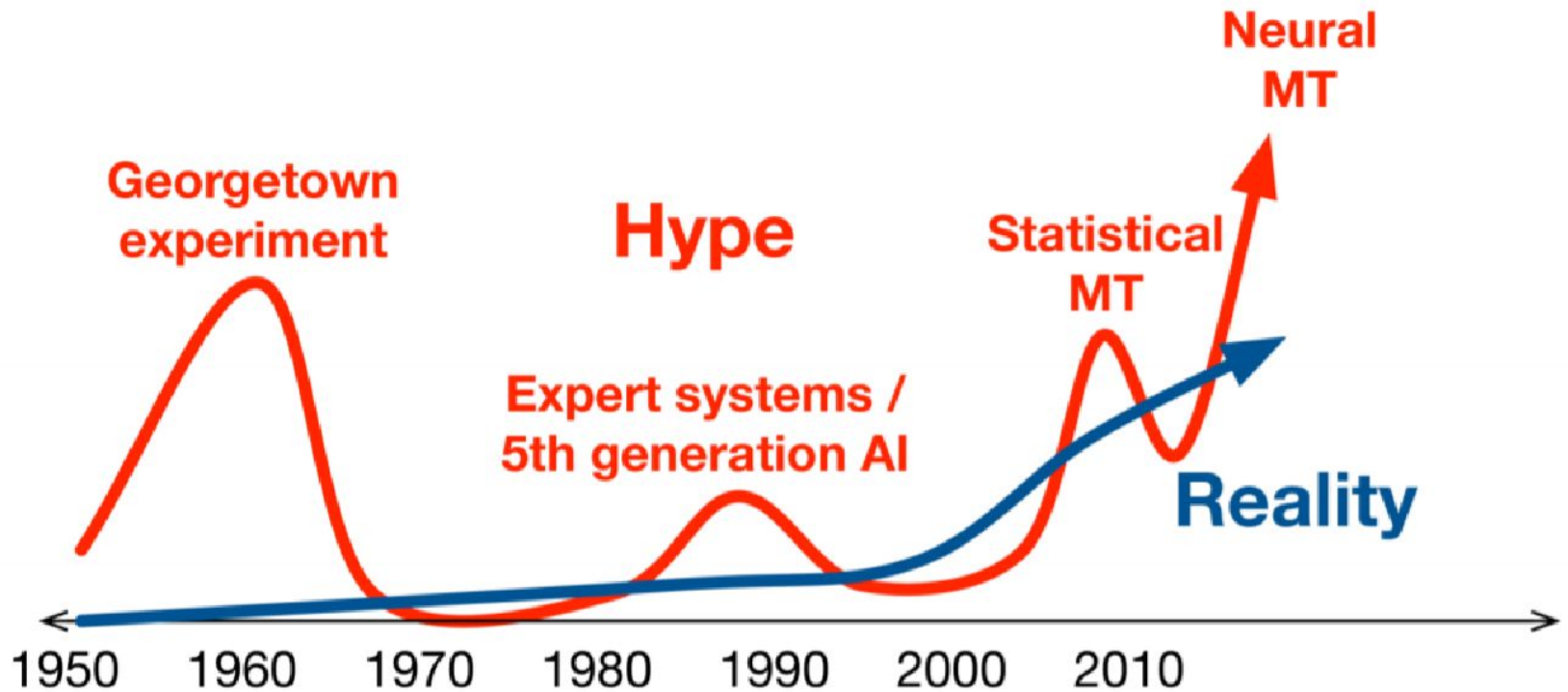
# Translation

## A statistical Machine translation

- 1988 A statistical Approach to Machine translation
- 1990s: increased research
- Mid 2000s: phrase-based MT (Moses, Google Translate)
- Around 2010: commercial viability
- Since mid 2010s: neural network models

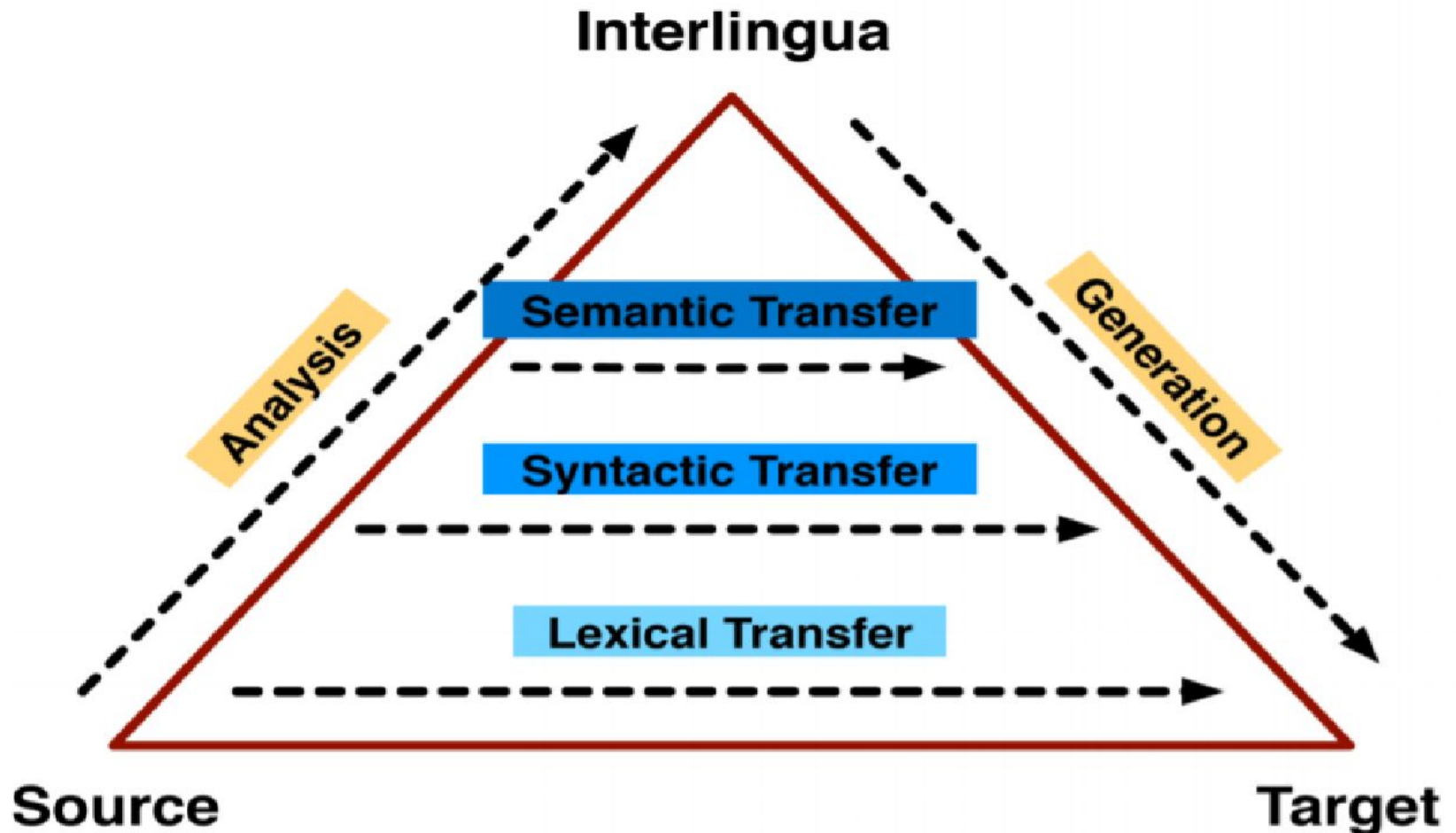
# Translation

## MT History: Hype vs. Reality



# Translation

## The Vauquois Triangle



# Translation

## Learning from Data

Shicherheit □ security 14,516

Shicherheit □ safety 10,015

Shicherheit □ certainty 334

Counts in parallel corpus (aka bitext)  
- Here European Parliament corpus

# Translation

## Word Alignment

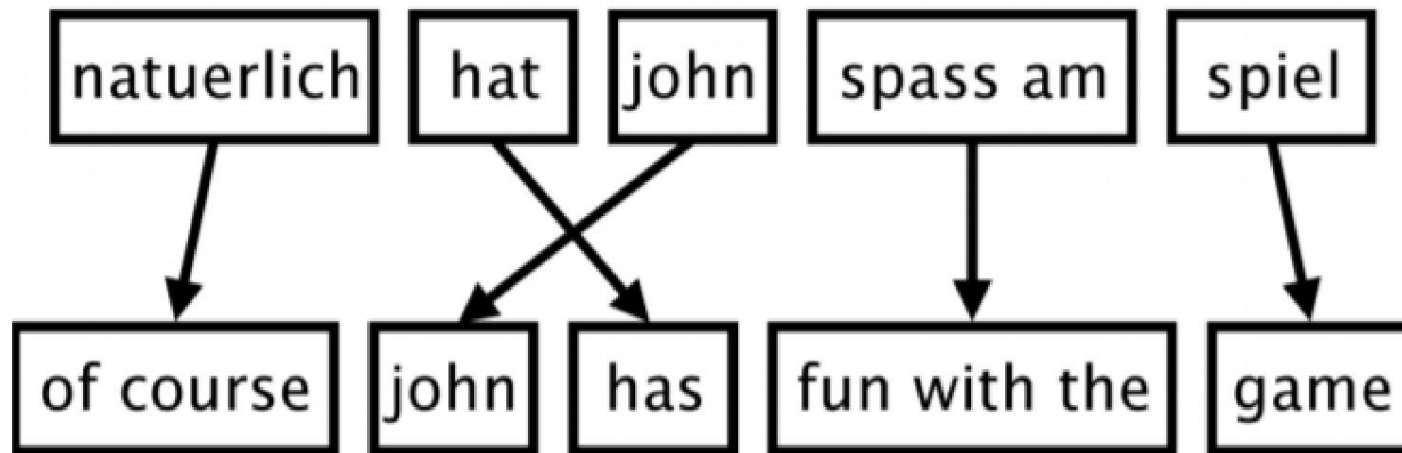
[illegible]



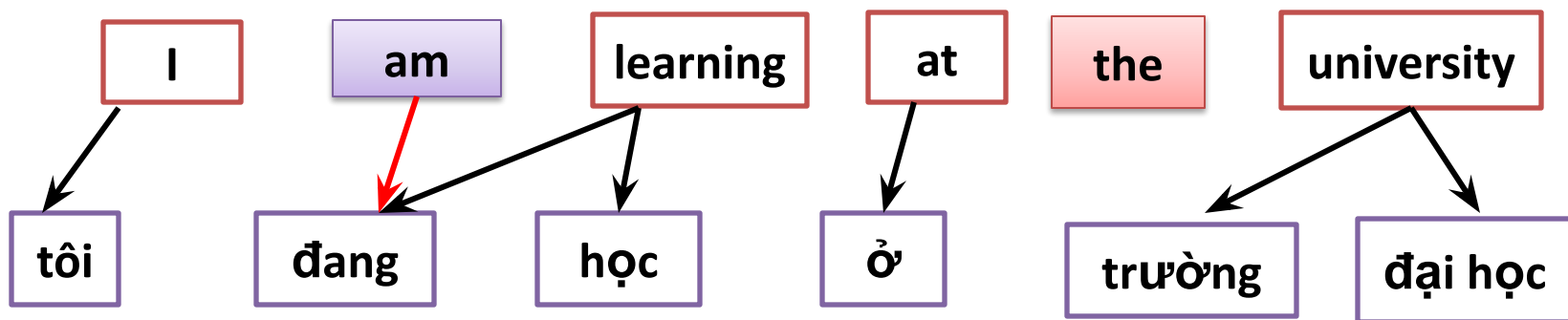
# Translation

## Phrase-based Models

- Input segmented in phrases
- Each phrase is translated in output language
- Phrases are reordered

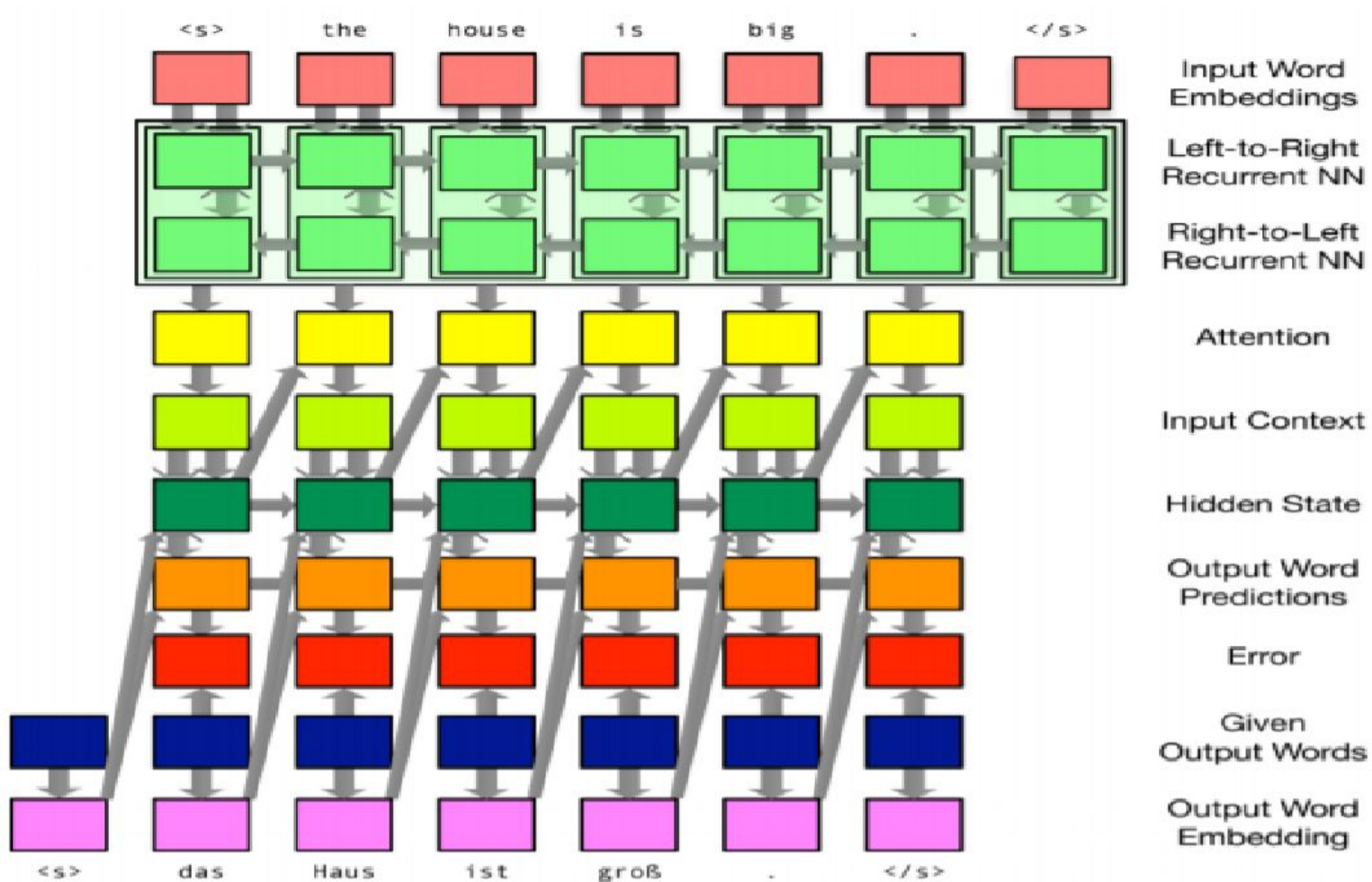


- I am learning at the university
- Tôi đang học trong/ ở trường đại học



# Translation

## Neural MT



# Translation

## Learning from Data

- What is most fluent?

*A problem **for** translation*

*A problem **of** translation*

*A problem **in** translation*

- A language modeling problem!

# Summarizing

## Why do it?

Purdue Online Writing Lab:

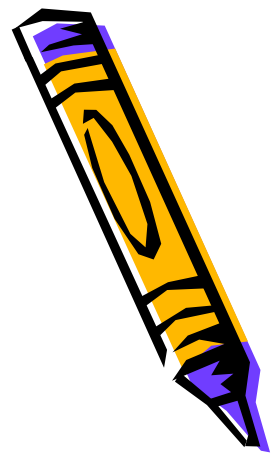
<http://owl.english.purdue.edu/>

Summarizing, Paraphrasing, and Quoting:

<http://mciu.org/~spjvweb/sumparquo.html>

English Language Center Study Zone:

<http://web2.uvcs.uvic.ca/elc/studyzone/410/reading/index.htm>



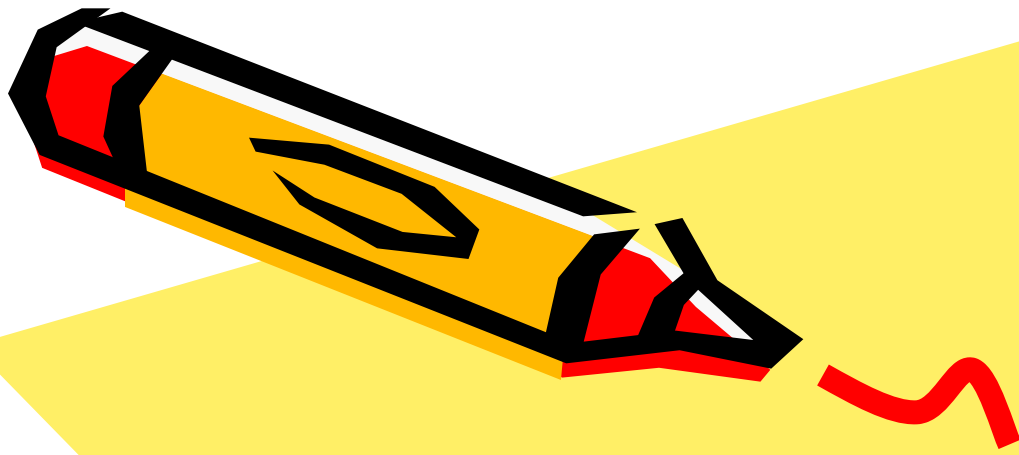
### Comprehension:

- To reduce information to essential ideas in order to:
  - Understand and learn important information

### Communication:

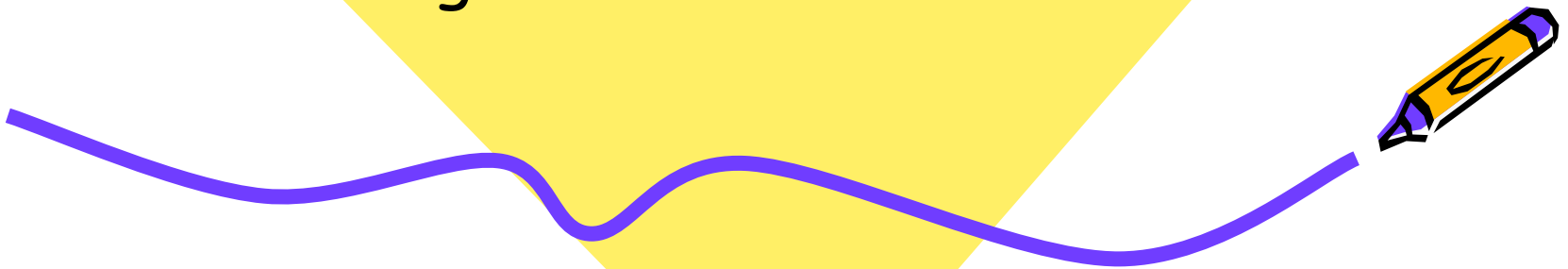
- To reduce information to essential ideas in order to:
  - Expand the breadth or depth of your writing

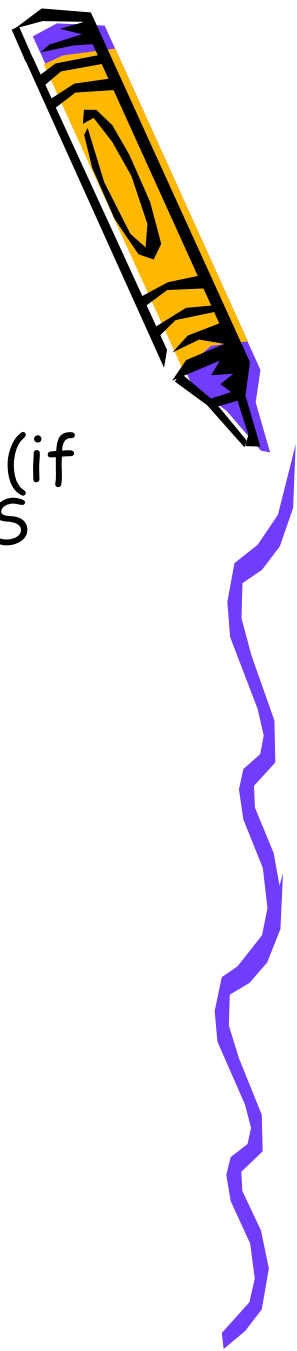




# The Process....

Using the "MIDAS Touch!"

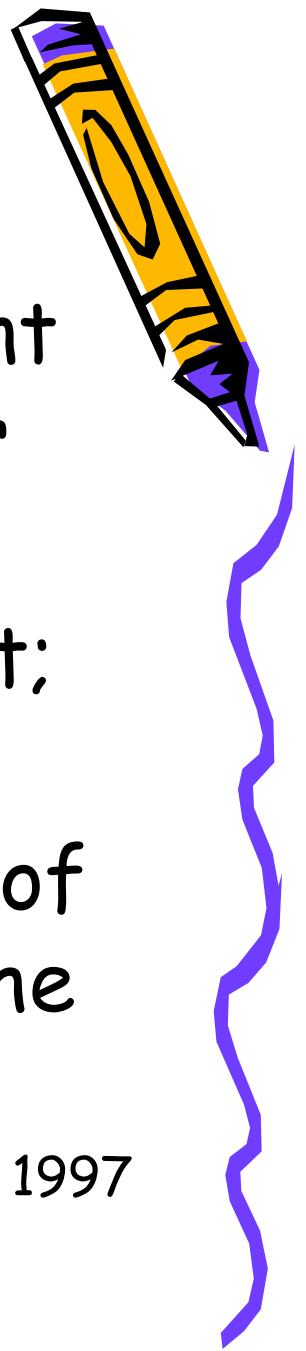




- M** Main idea:  
Identify main idea from TOPIC SENTENCE (if there is one) or use BASIC SIGNAL WORDS
- I** Identify SUPPORTING DETAILS
- D** Disregard unimportant information
- A** Analyze redundant information
- S** Simplify, categorize, and label important information



# Establishing a focus...



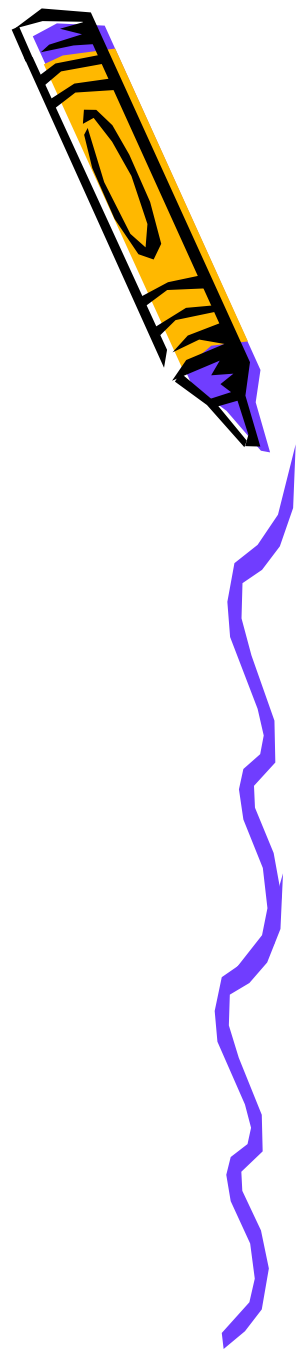
- The main idea is the most important information or concept in a text or statement.
- Sometimes the main idea is explicit; sometimes it is implied.
- Not all information is equal: some of it clearly is more important than the rest.



Templeton, 1997



# Using basic signal words...



**WHO?**  
(subject)

**WHAT?**  
(action)

**WHERE?**  
(location)

**WHEN?**  
(time)

**WHY?**  
(reason)

**HOW?**  
(process)





Main Idea



Supportin  
g  
Detail



Supportin  
g  
Detail



Supportin  
g  
Detail



# Topic Sentences...



The **TOPIC SENTENCE** is usually the first sentence of the paragraph. It gives the reader an idea of what the paragraph is going to be about.



# Topic Sentences cont.



- However, the **TOPIC SENTENCE** may not always be so clearly stated, and it can come in the middle or end of a paragraph, not just its beginning.
- Regardless, all **TOPIC SENTENCES** are supported by sentences that give details to develop the **MAIN IDEA**.



# Example paragraphs...

A tornado is a powerful, twisting windstorm. It begins high in the air, among the winds of a giant storm cloud. People who have watched a tornado's howling winds reach down from the sky have said it's the most frightening thing they have ever seen. In some parts of the United States, these windstorms are called twisters or cyclones.



# Main idea and supporting details

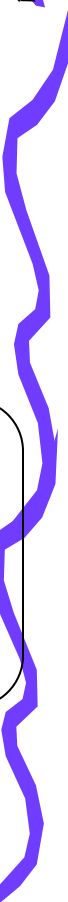


**Tornado is  
powerful, twisting  
windstorm**

**Part of giant  
storm cloud**

**Frightening**

**Also called  
twister  
or cyclone**

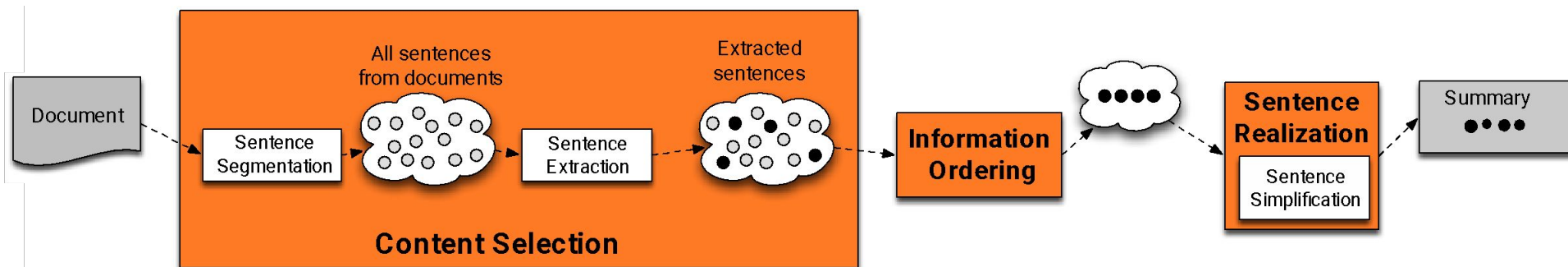


# Extractive summarization & Abstractive summarization

- **Extractive summarization:**
  - create the summary from phrases or sentences in the source document(s)
- **Abstractive summarization:**
  - express the ideas in the source documents using (at least in part) different words

# Summarization: Three Stages

1. **content selection**: choose sentences to extract from the document
2. **information ordering**: choose an order to place them in the summary
3. **sentence realization**: clean up the sentences





# Chapter 1: Introduction to Natural Language Processing

## 1.2 Applications of Natural Language Understanding

### *Dialogue based application*

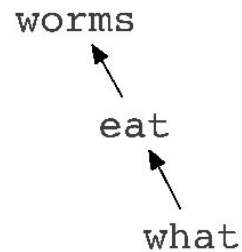
- Question and Answering system;
  - Automated Customer Service over phone;
- - Tutoring system;
  - Spoken language control of a machine
  - General cooperative problem-solving systems .
- **Note:** One thing is different between system based on text and system based on dialogue, it is to use language. The language used is different System based on dialogue needs to join actively in order to maintain a natural, smooth - flowing dialogue.

# Question and Answering

- One of the oldest NLP tasks (punched card systems in 1961)

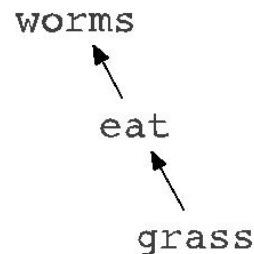
Question:

What do worms eat?

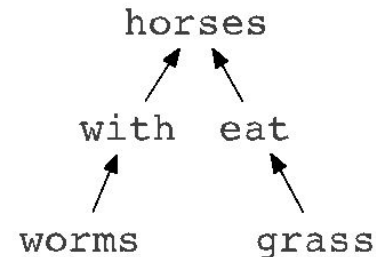


Potential Answers:

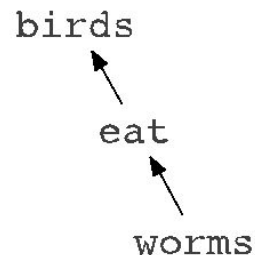
Worms eat grass



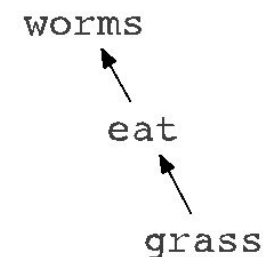
Horses with worms eat grass



Birds eat worms



Grass is eaten by worms



# Question and Answering

- Apple's Siri



# Question and Answering



how many calories are in two slices of banana cream pie?



Examples Random

Assuming any type of pie, banana cream | Use **pie, banana cream, prepared from recipe** or **pie, banana cream, no-bake type, prepared from mix** instead

Input interpretation:

pie	amount	2 slices	total calories
	type	banana cream	

Average result:

Show details

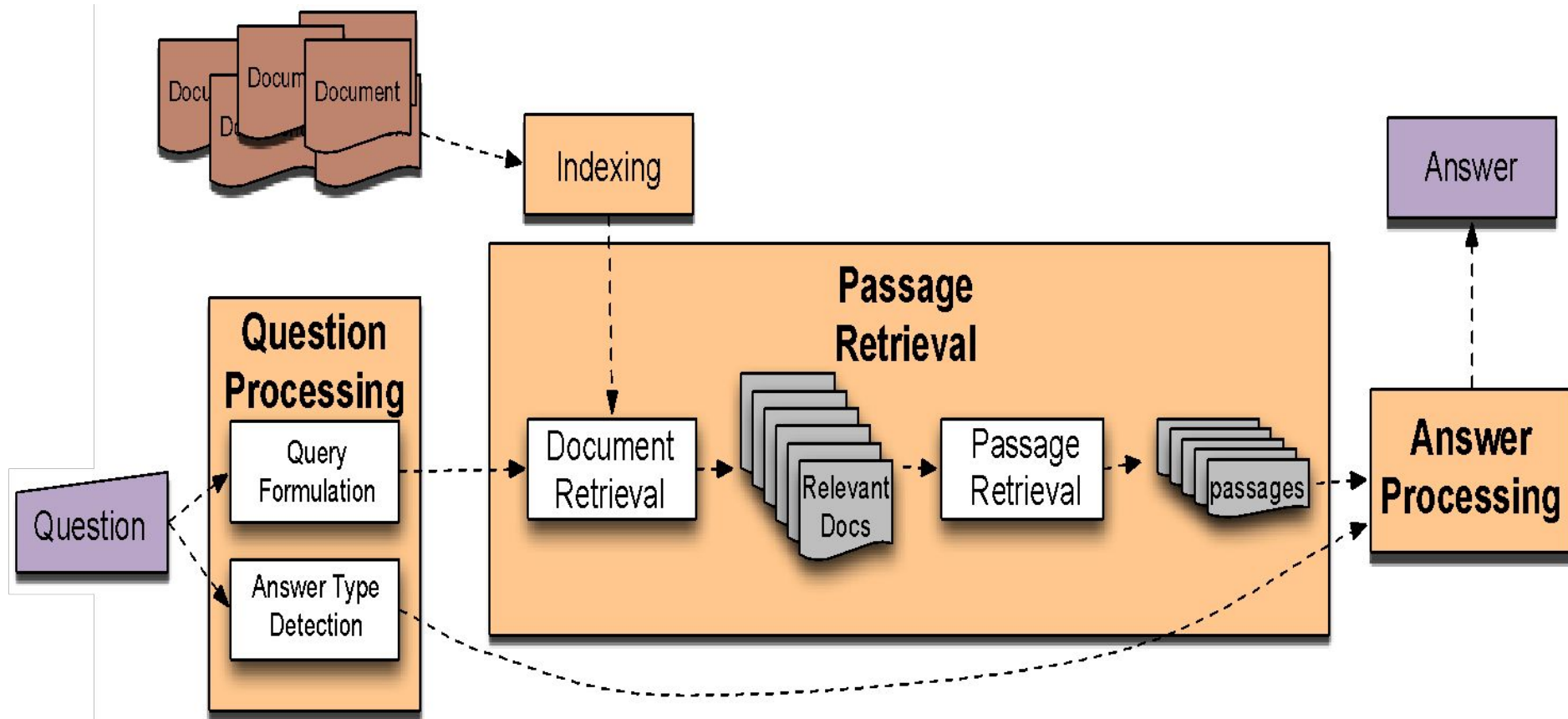
702 Cal (dietary Calories)

# Question and Answering

- **Paradigms for QA**
- IR-based approaches
  - TREC; IBM Watson; Google
- Knowledge-based and Hybrid approaches
  - IBM Watson; Apple Siri; Wolfram Alpha; True Knowledge Evi

# Question and Answering

## IR-based Factoid QA



# Question and Answering

## IR-based Question Answering



Where is the Louvre Museum located?

Search

About 904,000 results (0.30 seconds)

Everything

Best guess for Louvre Museum Location is **Paris, France**

Images

Mentioned on at least 7 websites including [wikipedia.org](#), [answers.com](#) and [east-buc.k12.ia.us](#) - [Show sources](#) - [Feedback](#)

Maps

[Musée du Louvre - Wikipedia, the free encyclopedia](#)

Videos

[en.wikipedia.org/wiki/Musée\\_du\\_Louvre](#)

News

Musée du **Louvre** is **located** in Paris. **Location** within Paris. Established, 1793. **Location, Palais Royal, Musée du Louvre, 75001 Paris, France.** Type, Art **museum** ...

[Louvre Palace - List of works in the Louvre - Category:Musée du Louvre](#)

# Question and Answering

## IR-based Factoid QA

- QUESTION PROCESSING
  - Detect question type, answer type, focus, relations
  - Formulate queries to send to a search engine
- PASSAGE RETRIEVAL
  - Retrieve ranked documents
  - Break into suitable passages and rerank
- ANSWER PROCESSING
  - Extract candidate answers
  - Rank candidates



# Question and Answering

## Knowledge-based approaches (Siri)

- Build a semantic representation of the query
  - Times, dates, locations, entities, numeric quantities
- Map from this semantics to query structured data or resources
  - Geospatial databases
  - Ontologies (Wikipedia infoboxes, dbPedia, WordNet, Yago)
  - Restaurant review sources and reservation services
  - Scientific databases

# Question and Answering

## Hybrid approaches (IBM Watson)

- Build a shallow semantic representation of the query
- Generate answer candidates using IR methods
  - Augmented with ontologies and semi-structured data
- Score each candidate using richer knowledge sources
  - Geospatial databases
  - Temporal reasoning
  - Taxonomical classification

# 1.2.2 DIALOG

*Slides from*

*Jiwei Li*

*Computer Science Department*

*Stanford University*

## 1.2.2 DIALOG

### Does Siri really understand language



**Colbert:** Write the show.

**Siri:** What would you like to search for?

**Colbert:** I don't want to search for anything! I want to write the show!

**Siri:** Searching the Web for "search for anything. I want to write the shuffle."

[...]

**Colbert:** For the love of God, the cameras are on, give me something?

**Siri:** What kind of place are you looking for? Camera stores or churches?

## 1.2.2 DIALOG

### Reminiscent of Eliza (1966)

**User:** You are like my father in some ways.

**ELIZA:** What resemblance do you see

**User:** You are not very aggressive but I think you don't want me to notice that.

**ELIZA:** What makes you think I am not aggressive?

**User:** You don't argue with me.

**ELIZA:** Why do you think I don't argue with you?

**User:** You are afraid of me.

**ELIZA:** Does it please you to believe I am afraid of you?

Slide From Bill MacCartney

## 1.2.2 DIALOG

System EUGENE GOODSTMAN

Eugene Goostman (*Veselov et al., 2014*)



Scott: Which is bigger, a shoebox or Mount Everest?

Eugene: I can't make a choice right now. I should think it out later. And I forgot to ask you where you are from...

Scott: How many legs does a camel have?

Eugene: Something between 2 and 4. Maybe, three? :-))) By the way, I still don't know your specialty – or, possibly, I've missed it?

Scott: How many legs does a millipede have?

Eugene: Just two, but Chernobyl mutants may have them up to five. I know you are supposed to trick me.

## 1.2.2 DIALOG

### Why is building a chatbot hard ?

1. Computers need to **understand** what you ask.
2. Computers need to generate coherent, meaningful sequences in response to what you ask, that require **domain knowledge**, **discourse knowledge**, **world knowledge**



# 1.2.2 DIALOG

## Background



(Ritter et al.,  
2010; Sordoni, et  
al., 2015; Vinyals  
and Le, 2015)

### Goal Oriented Tasks



(Levin et al., 1997;  
Young et al.,  
2013; Walker  
2000)



## 1.2.2 DIALOG

### Outline

1. Mutual Information for Response Generation. (Chitchat)
2. How to preserve Speaker Consistency (Chitchat)
3. Reinforcement learning for Response Generation (Chitchat)
4. Teaching a bot to ask questions (Goal-oriented)

## 1.2.2 DIALOG

# Seq2Seq Models for Response Generation

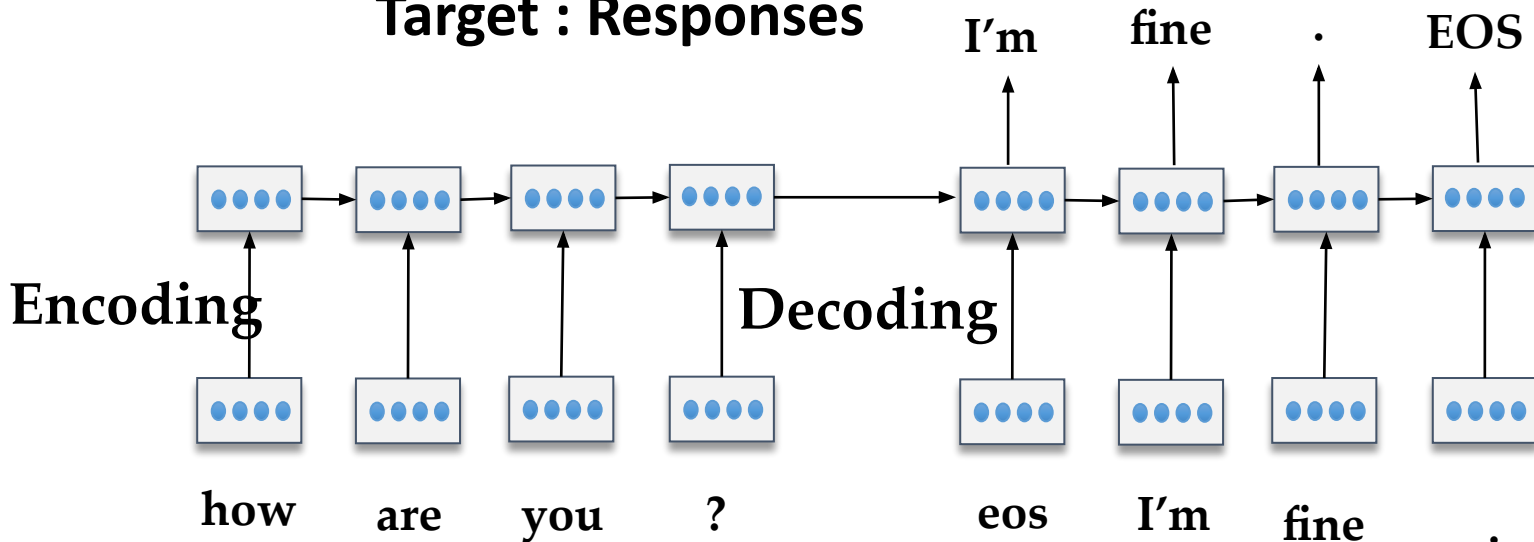
(Sutskever et al., 2014; Jean et al., 2014; Luong et al., 2015)

$$\text{Loss} = -\log p(\text{target}|\text{source})$$

**Source : Input**

**Messages**

**Target : Responses**

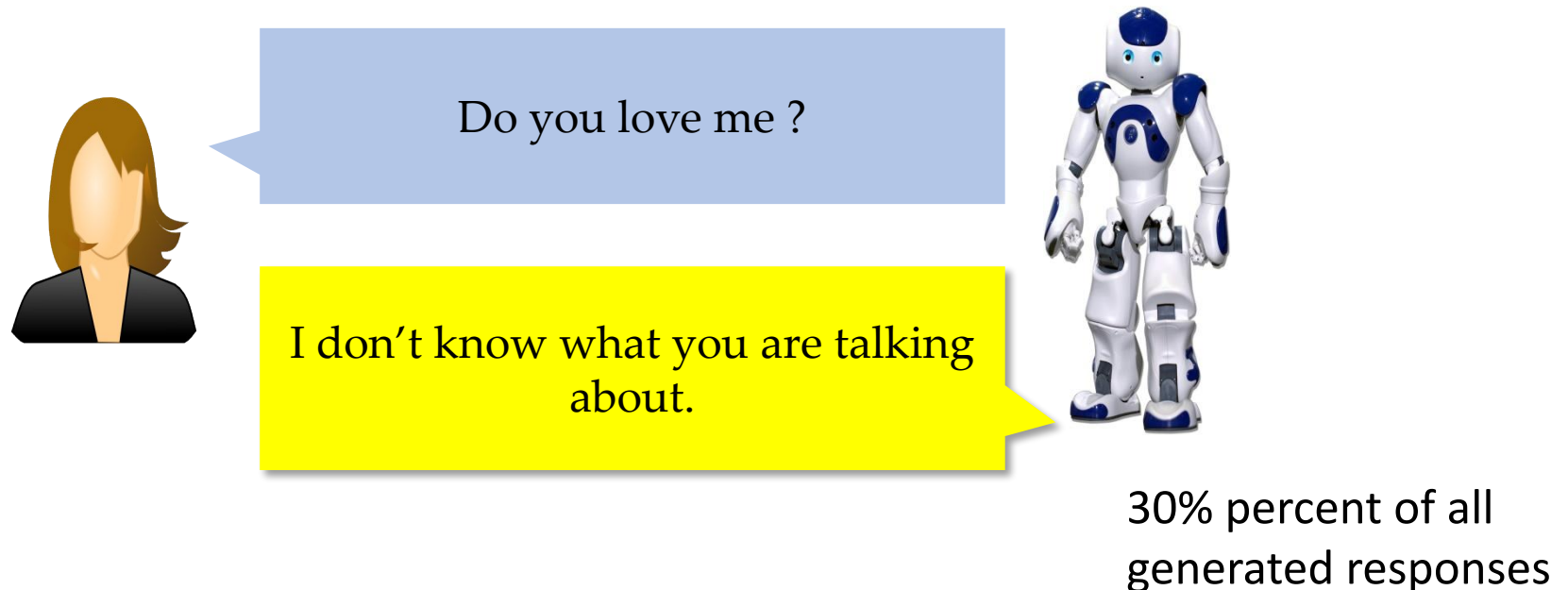


## 1.2.2 DIALOG

### Mutual Information for Response Generation.

*Li et al., A Diversity-Promoting Objective Function for Neural Conversation Models (to appear, NAACL,2016)*

“I don’t know” problem (Sordoni et al., 2015; Serban et al.,2015; )



## 1.2.2 DIALOG

### Speaker Consistency

Li et al., 2016. A Persona-Based Neural Conversation Model,

Speaker Consistency



When were you born ?

In 1942.

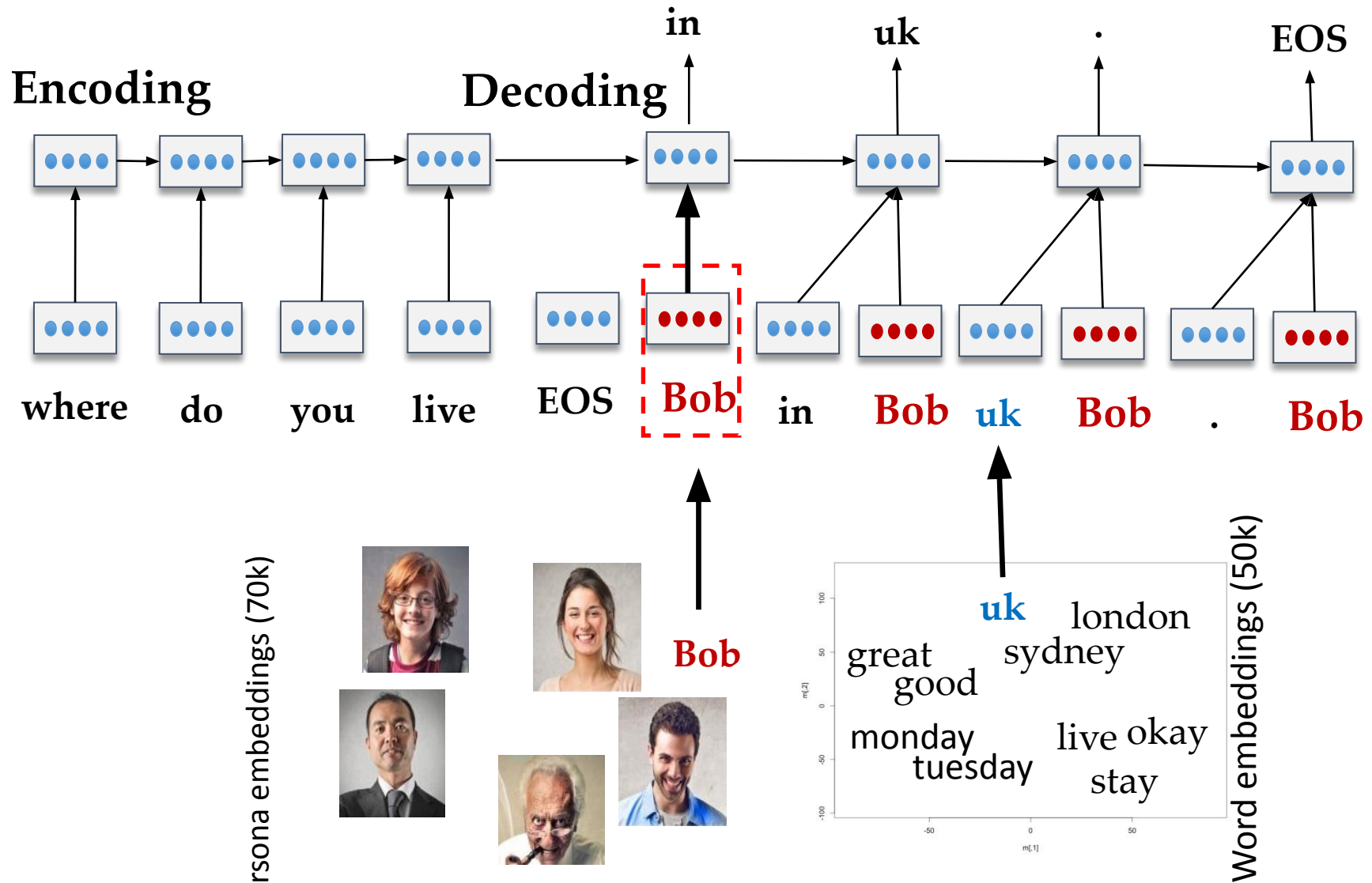
When was your mother born ?

In 1966.



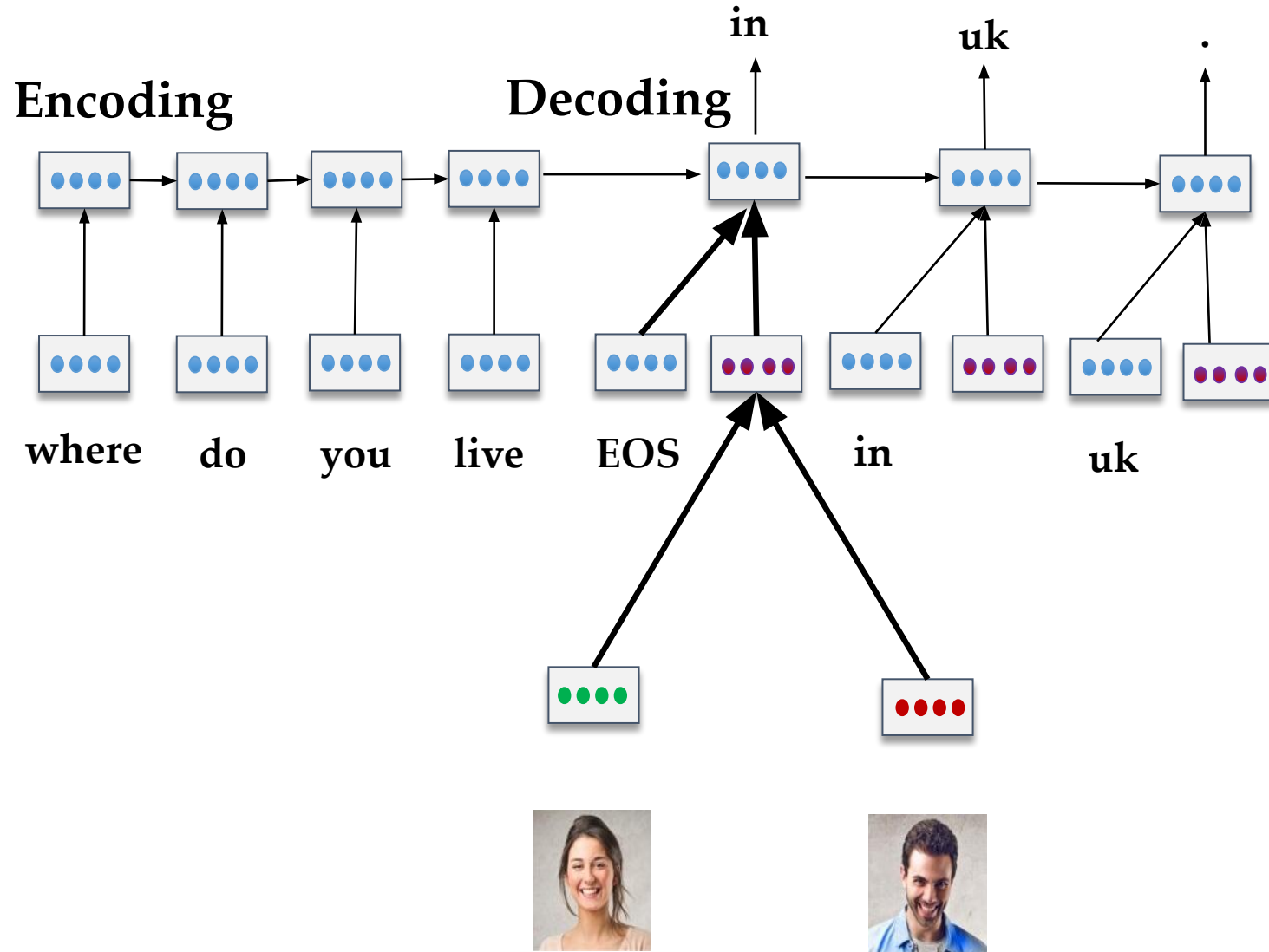
## 1.2.2 DIALOG

### Persona seq2seq model



## 1.2.2 Dialog

### Interaction Seq2Seq model



## 1.2.2 DIALOG Simulation

How old are you ?

i 'm 16, why  
are you  
asking ?

I thought you were 12 .

What made you think so ?

I don't know what you are  
talking about .

You don't know what you are  
saying.

I don't know what you are  
talking about .



# Chapter 1: Introduction to Natural Language Processing

## 1.3 Evaluating Natural Language Understanding Systems

**Evaluating:** Black box and Glass box

- Black box evaluation: evaluating system in design demand. Evaluating system performance without looking inside to see how it works
- Glass box evaluation: determining various subcomponents of a system and testing each one. The evaluation asks a union of all subcomponents of a system.





# Chapter 1: Introduction to Natural Language Processing

## 1.4 The Different Levels of Language Analysis

Language structure on different levels:

### Phonetic and phonology

concerns how words are related to the sound that realize them.

**Morphology** concerns how words are constructed from more basic meaning units called morphemes.

Exp: friendly (adjective) = friend( noun) + ly

**Syntax** concerns how words can be put together to form correct sentences and determine what structural role of each word plays in the sentence and what phrases are subparts of what other phrases.

# Chapter 1: Introduction to Natural Language Processing

## 1.4 The Different Levels of Language Analysis

### **Semantics**

concerns what words mean and how these meanings combine in sentences to form sentence meanings. This is study of context independent meaning- the meaning a sentence has regardless of the context in which it is used.

### **Pragmatics**

concerns how sentences are used in different situations and how use effects the interpretation of the sentence.

### **Discourse**

concerns how immediately preceding sentence affects the interpretation of the next sentence. This information is very important for interpreting pronouns and the interpreting temporal aspect.

### **World knowledge**

includes the general knowledge about structure of world that language users must have.

# Chapter 1: Introduction to Natural Language Processing

## 1.5 Representation and Understanding

Understanding Natural Language needs to use computer for semantic representation of sentence and text.

Language for semantic representation:

- Math tools and logics
- Represent sentence meaning exactly and is simple;
- If sentence has what many meanings then will be as such many representations.

# Chapter 1: Introduction to Natural Language Processing

## 1.5 Representation and Understanding

□ Some formal languages represent sentence on different levels:

- **Syntax:** syntactic structure of sentence

- **The Logical Form:** semantic representation of sentence

- **The Final Meaning Representation**

  - + Knowledge Representation (KR). Goal of the level is to map syntactic structure and logical form of sentence in KR.

Language of the level is FOPC ( First Order Predicate Calculus).

# Chapter 1: Introduction to Natural Language Processing

