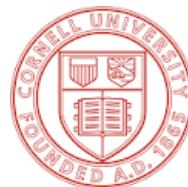
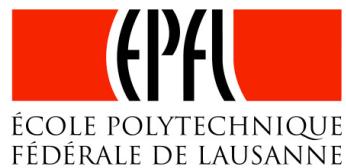


# Stealing Machine Learning Models via Prediction APIs

Florian Tramèr, Fan Zhang, Ari Juels, Michael K. Reiter, Thomas Ristenpart

Usenix Security Symposium  
Austin, Texas, USA  
August, 11<sup>th</sup> 2016

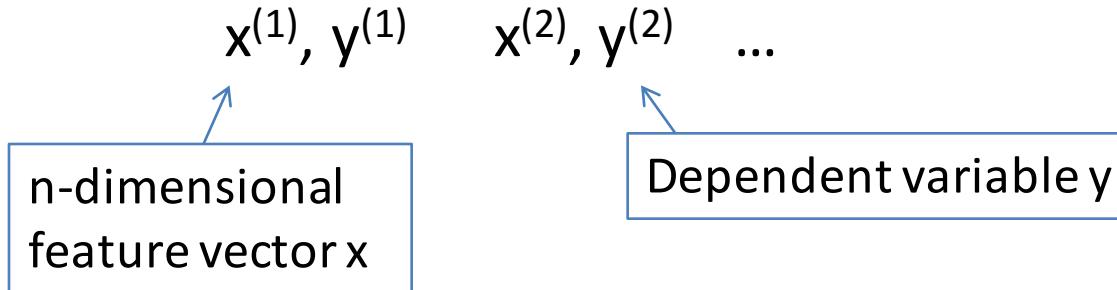


**CORNELL  
TECH**

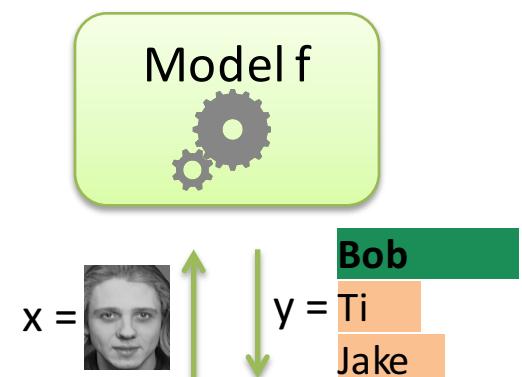
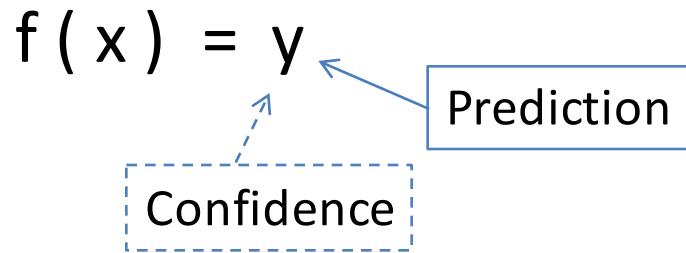


# Machine Learning (ML) Systems

## (1) Gather labeled data



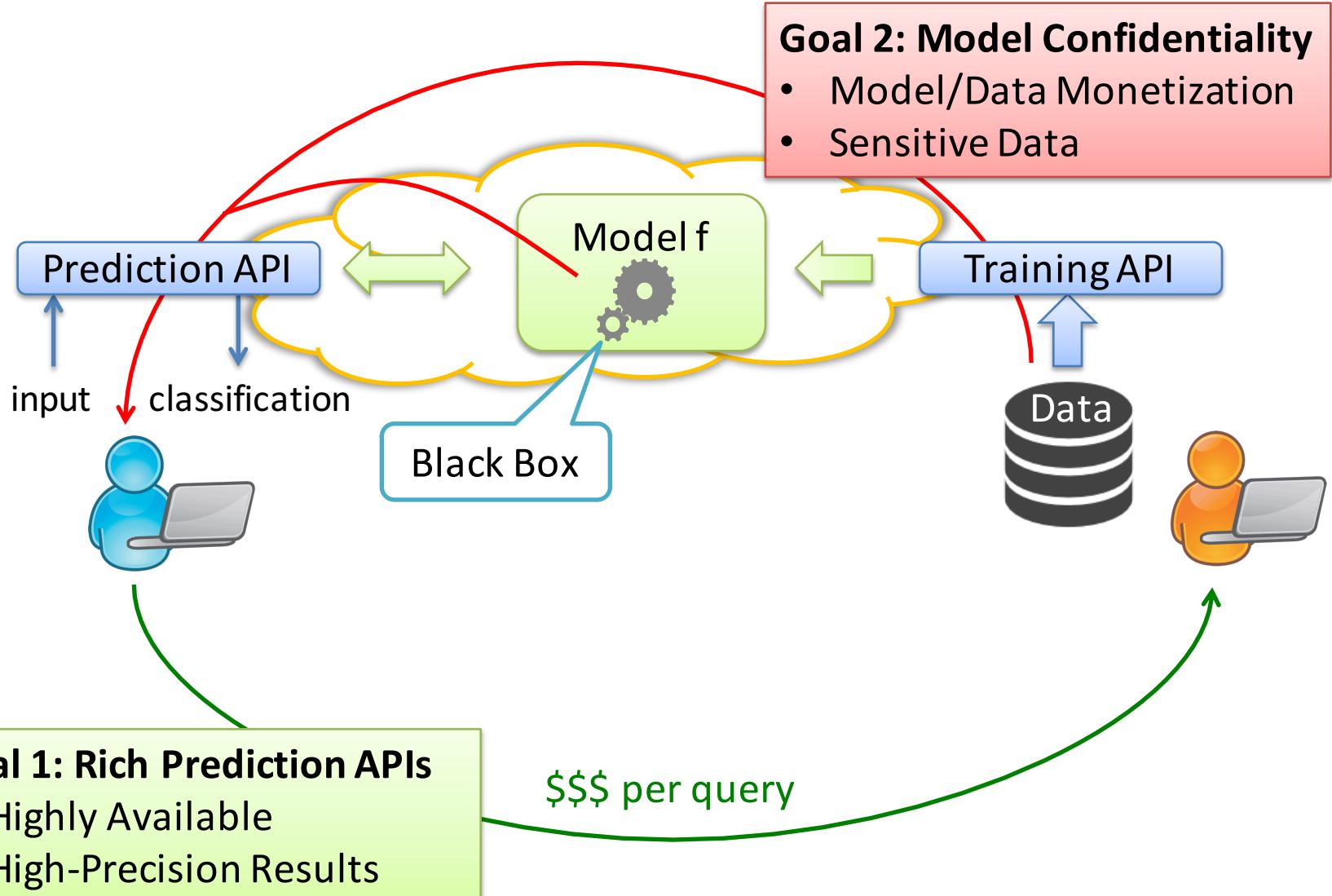
## (2) Train ML model $f$ from data



## (3) Use $f$ in some application or publish it for others to use

Application

# Machine Learning as a Service (MLaaS)



# Machine Learning as a Service (MLaaS)

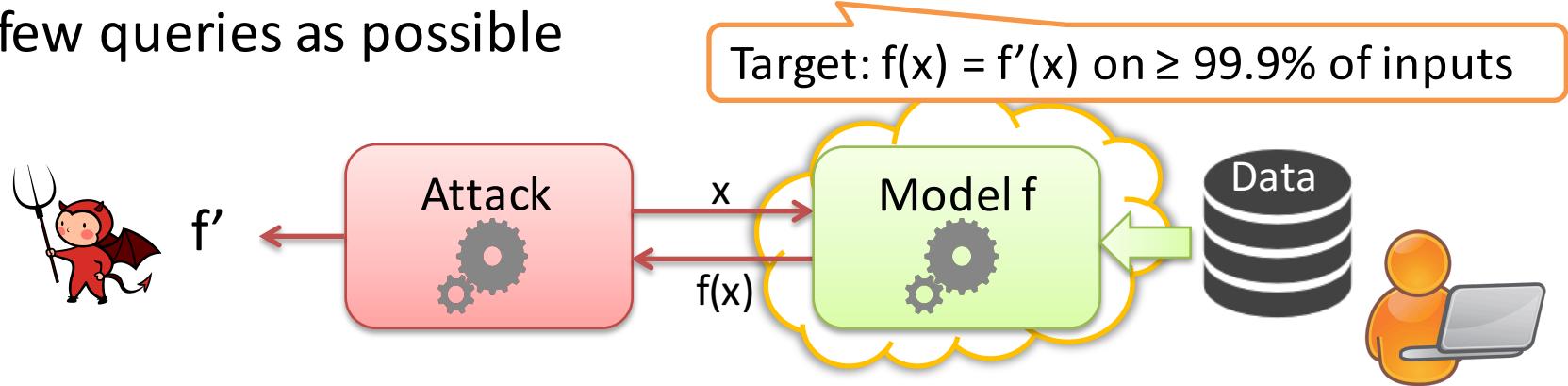


Service	Model types
Amazon	<b>Logistic regressions</b>
Google	??? (announced: logistic regressions, decision trees, neural networks, SVMs)
Microsoft	Logistic regressions, decision trees, neural networks, SVMs
PredictionIO	Logistic regressions, decision trees, SVMs (white-box)
BigML	<b>Logistic regressions, decision trees</b>

Sell Datasets – Models – Prediction Queries  
\$\$\$ to other users \$\$\$

# Model Extraction Attacks

**Goal:** Adversarial client learns close approximation of  $f$  using as few queries as possible

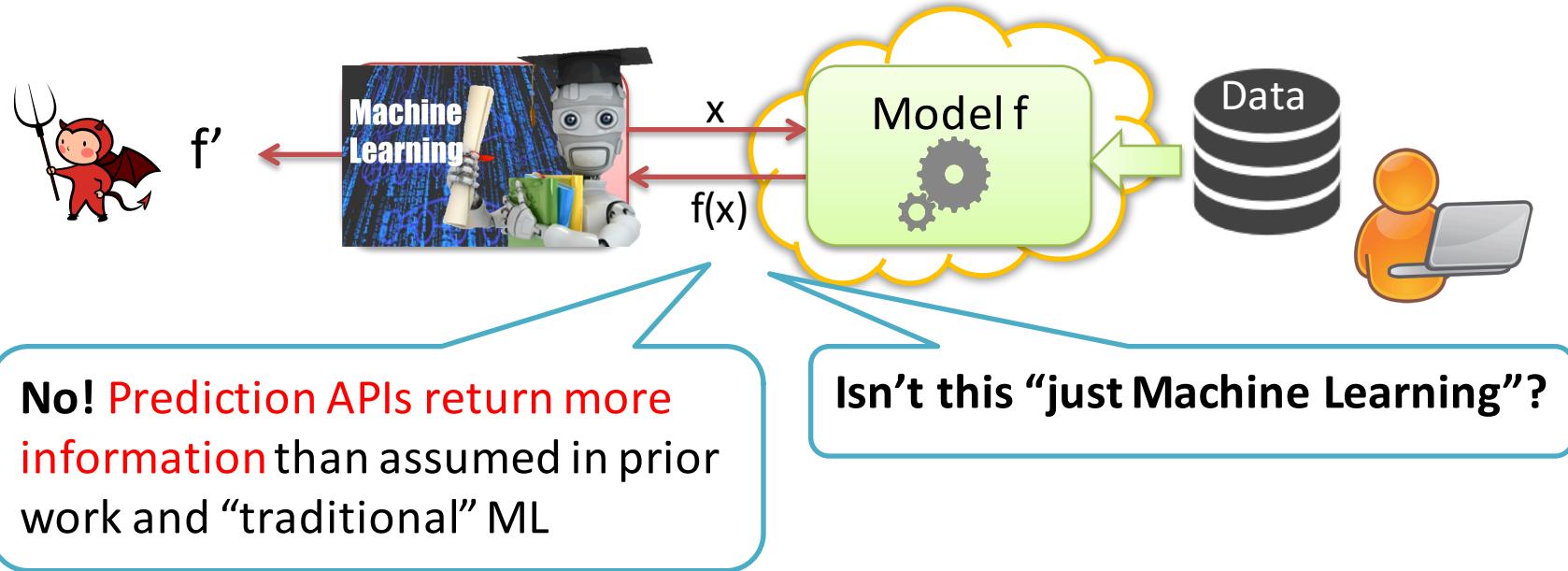


## Applications:

- 1) Undermine **pay-for-prediction** pricing model
- 2) Facilitate **privacy attacks** (
- 3) Stepping stone to **model-evasion**  
[Lowd, Meek – 2005] [Srndic, Laskov – 2014]

# Model Extraction Attacks (Prior Work)

**Goal:** Adversarial client learns close approximation of  $f$  using as few queries as possible

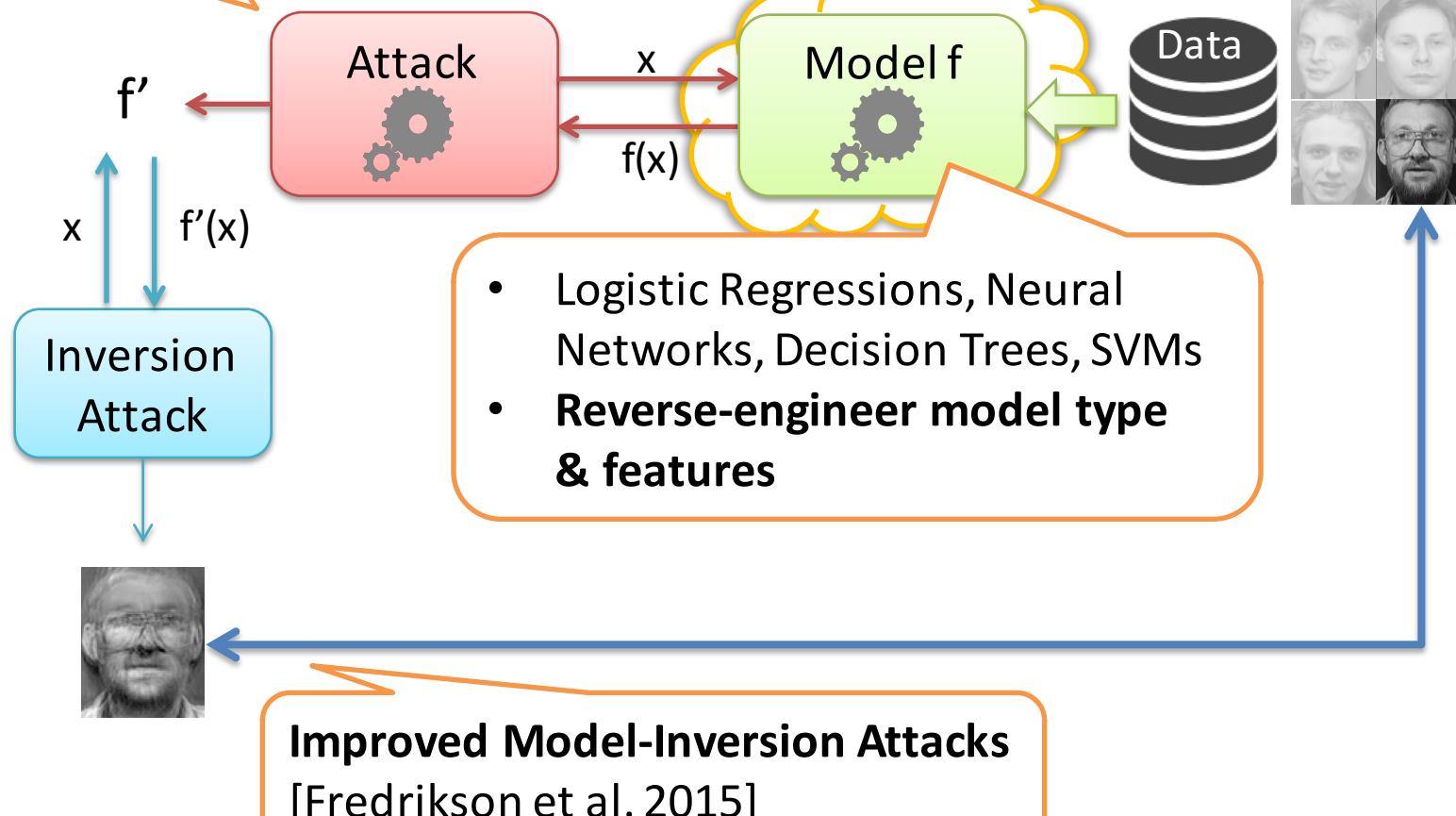


If  $f(x)$  is just a class label: **learning with membership queries**

- Boolean decision trees [Kushilevitz, Mansour – 1993]
- Linear models (e.g., binary regression) [Lowd, Meek – 2005]

# Main Results

$f'(x) = f(x)$  on 100% of inputs  
100s-1000's of online queries



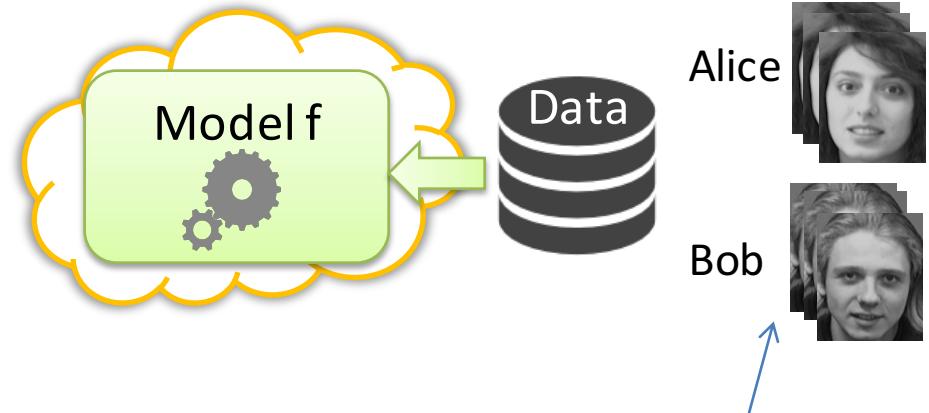
# Model Extraction Example: Logistic Regression

Task: Facial Recognition of two people (binary classification)

n+1 parameters  $w, b$  chosen using training set to minimize expected error

$$f(x) = 1 / (1 + e^{-(w^*x + b)})$$

$f$  maps features to predicted probability of being “Alice”  
 $\leq 0.5$  classify as “Bob”  
 $> 0.5$  classify as “Alice”

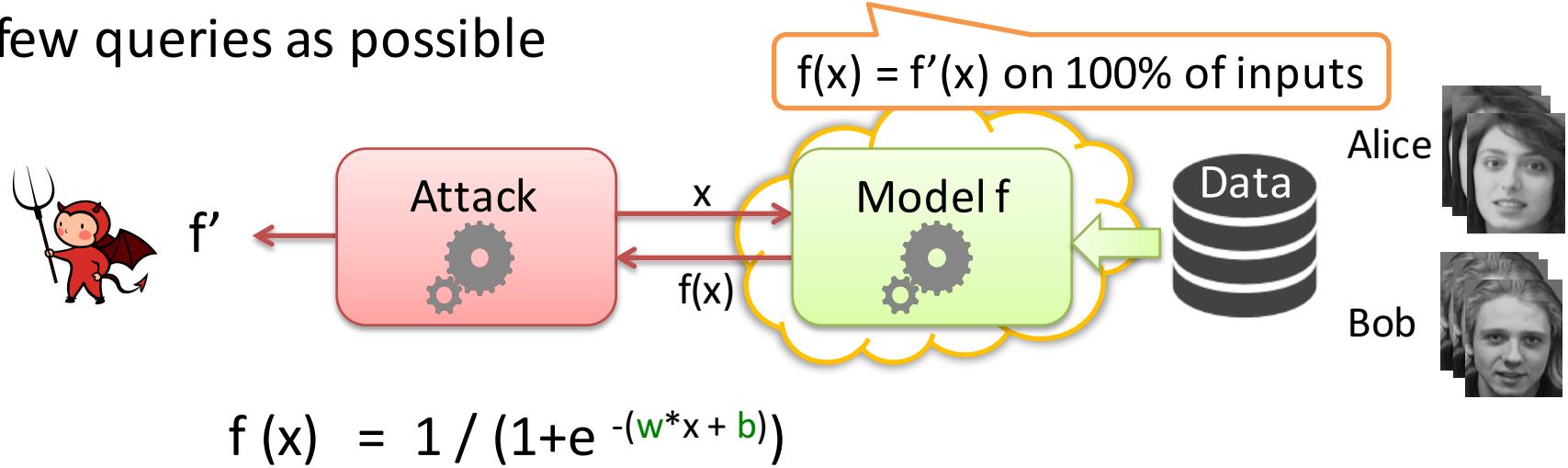


**Generalize to  $c > 2$  classes** with *multinomial logistic regression*

$$f(x) = [p_1, p_2, \dots, p_c] \quad \text{predict label as } \operatorname{argmax}_i p_i$$

# Model Extraction Example: Logistic Regression

**Goal:** Adversarial client learns close approximation of  $f$  using as few queries as possible



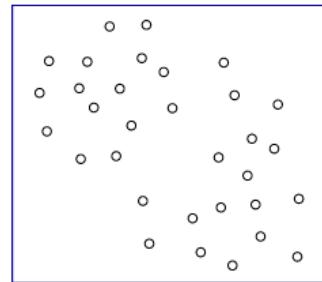
$$\ln\left(\frac{f(x)}{1 - f(x)}\right) = w^*x + b$$

Linear equation in  $n+1$  unknowns  $w, b$

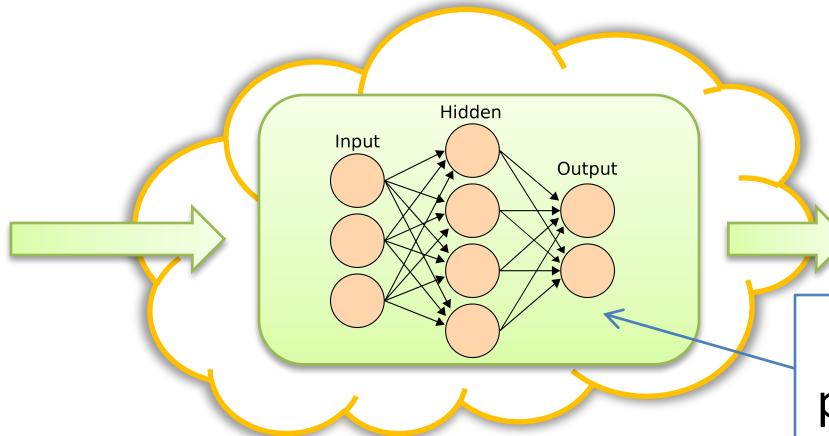
Query  $n+1$  random points  $\Rightarrow$  solve a linear system of  $n+1$  equations

# Generic Equation-Solving Attacks

random inputs X

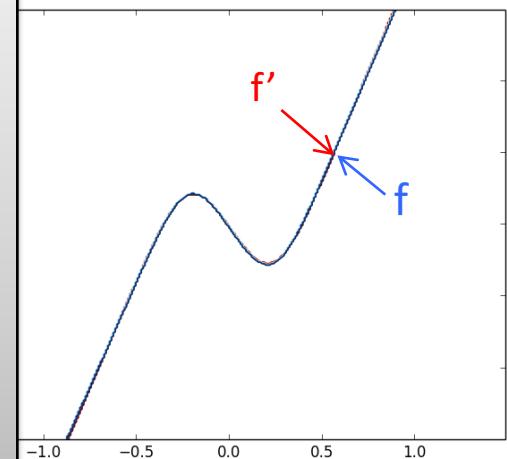


MLaaS Service



outputs Y

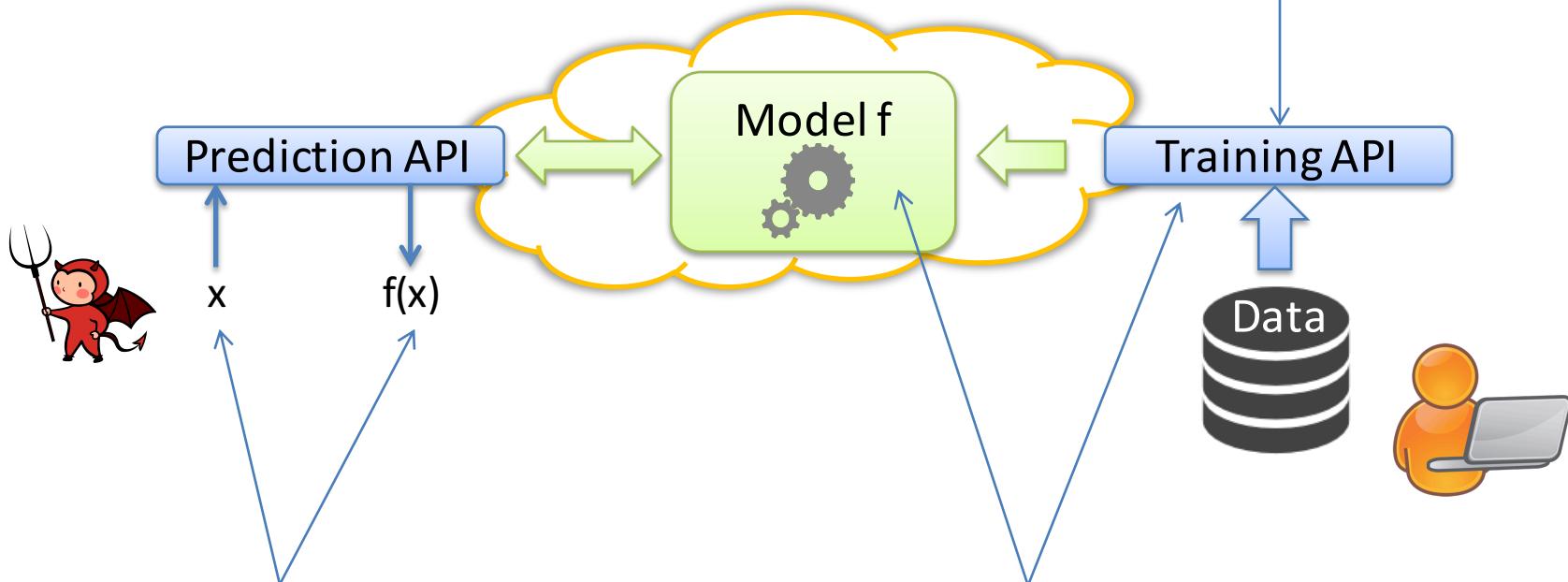
- Solve **non-linear equation system** in the weights **W**
  - Optimization problem + gradient descent
  - *"Noiseless Machine Learning"*
- Multinomial Regressions & Deep Neural Networks:
  - **>99.9% agreement between  $f$  and  $f'$**
  - $\approx 1$  query per model parameter off
  - 100s - 1,000s of queries / seconds to minutes



# MLaaS: A Closer Look



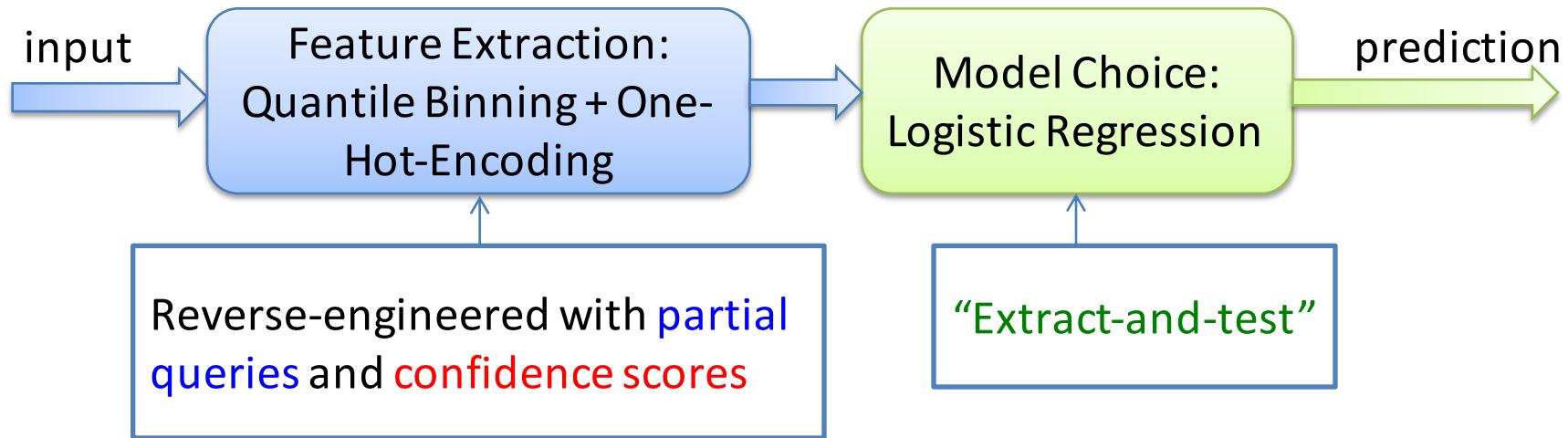
Feature Extraction:  
(automated and partially documented)



- Class labels and confidence scores
- Support for partial inputs

ML Model Type Selection:  
logistic or linear regression

# Online Attack: AWS Machine Learning

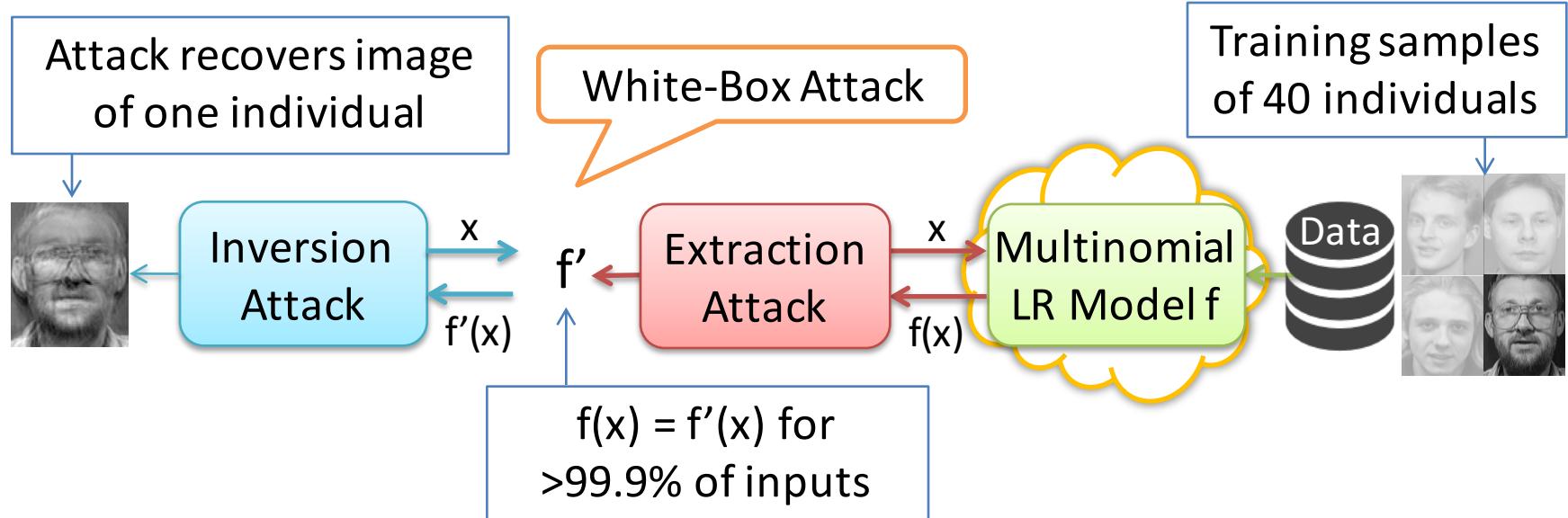


Model	Online Queries	Time (s)	Price (\$)
Handwritten Digits	650	70	0.07
Adult Census	1,485	149	0.15

Extracted model  $f'$  agrees with  $f$  on 100% of tested inputs

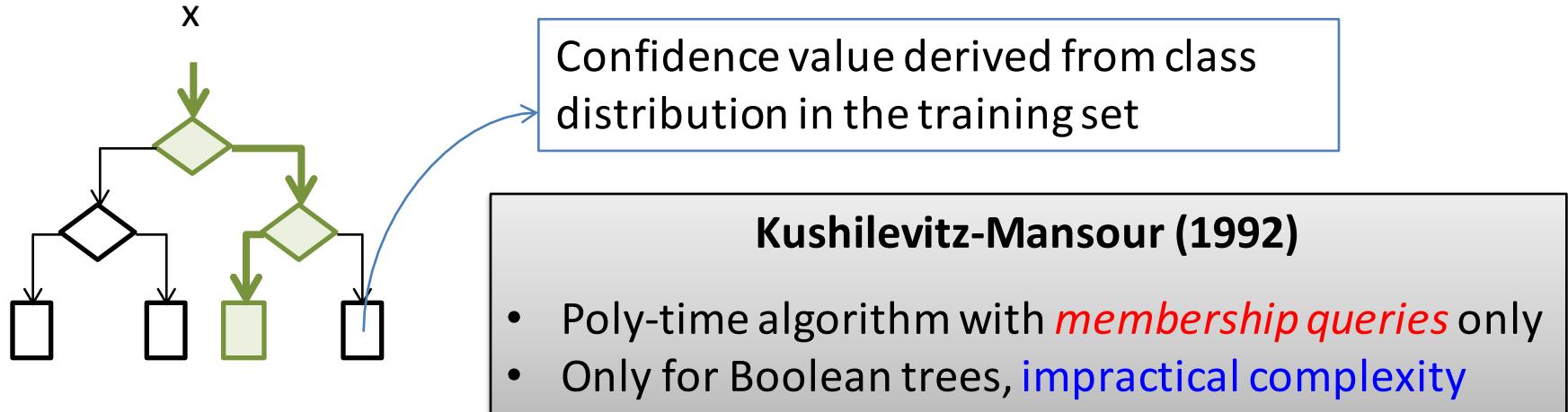
# Application: Model-Inversion Attacks

Infer training data from trained models [Fredrikson et al. – 2015]



Strategy	Attack against 1 individual		Attack against all 40 individuals	
	Online Queries	Attack Time	Online Queries	Attack Time
Black-Box Inversion [Fredrikson et al.]	20,600	24 min	800,000	16 hours
Extract-and-Invert (our work)	41,000	10 hours	41,000	10 hours

# Extracting a Decision Tree

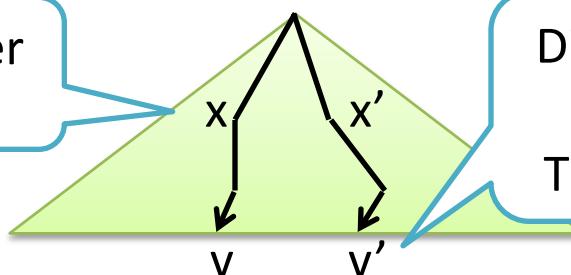


## (Ab)using Confidence Values

- Assumption: all tree leaves have unique confidence values
- Reconstruct tree decisions with “differential testing”
- Online attacks on BigML



Inputs  $x$  and  $x'$  differ in a single feature



Different leaves are reached  
↔  
Tree “splits” on this feature

# Countermeasures

How to prevent extraction?

### API Minimization

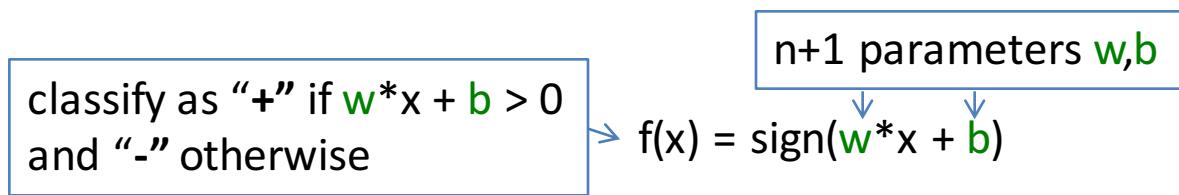
$f(x) = y$

~~Confidence~~

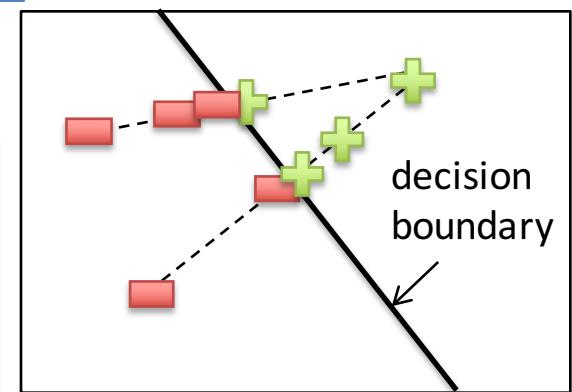
Prediction

- Prediction = class label only
- *Learning with Membership Queries*

Attack on Linear Classifiers [Lowd, Meek – 2005]

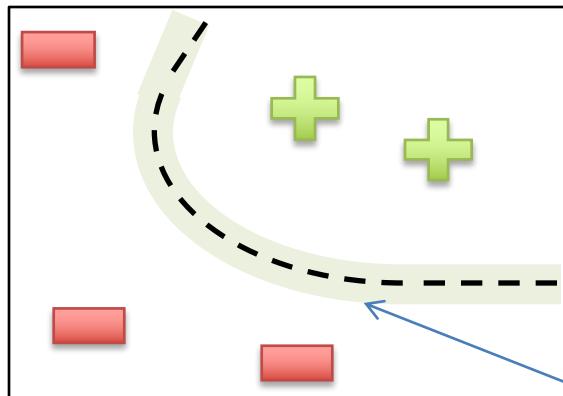


1. Find points on decision boundary ( $w^*x + b = 0$ )
  - Find a "+" and a "-"
  - Line search between the two points
2. Reconstruct  $w$  and  $b$  (up to scaling factor)



# Generic Model Retraining Attacks

- Extend the Lowd-Meek approach to non-linear models
- Active Learning:
  - Query points close to “decision boundary”
  - Update  $f'$  to fit these points
- Multinomial Regressions, Neural Networks, SVMs:
  - >99% agreement between  $f$  and  $f'$
  - $\approx 100$  queries per model parameter off



$\approx 100\times$  less efficient  
than equation-solving

query more  
points here

# Conclusion

Rich prediction APIs  Model & data confidentiality

## Efficient Model-Extraction Attacks

- Logistic Regressions, Neural Networks, Decision Trees, SVMs
- Reverse-engineering of model type, feature extractors
- Active learning attacks in membership-query setting

## Applications

- Sidestep model monetization
- Boost other attacks: privacy breaches, model evasion

Thanks! Find out more: <https://github.com/ftramer/Steal-ML>

