

Diagrammatic Categories in Representation Theory  
Honours Thesis  
(Draft)

Victor Zhang  
UNSW Australia

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# Acknowledgements

I like to acknowledge ... blah blah blah

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# Chapter 1

## Introduction

Visual interpretations of data and objects in mathematics are a tool that aids us in calculations and often provides insights into the mathematical they encode. This diagrammatic philosophy takes form in various settings, and can be defined precisely for algebraic objects to help us understand them better. A simple example are *string diagrams* for permutations of a *symmetric group*. A permutation can be drawn as strings between two copies of a set determining how the objects are permuted. Compositions of these permutations is the operation of joining corresponding strings start to end in order to create a larger string diagram representing their product. Another related example are (*Artin*) *braid groups*, whose elements can be depicted similarly to the symmetric group, but where each crossing of strings has a choice of going over or under. As suggested by the name, these string diagrams resemble braids, and are important in knot theory.

A significant example are *planar algebras* in the work of Jones. These are certain algebras of planar diagrams that describe operators. His study of the Temperley-Lieb-Jones (planar<sup>1</sup>) algebra lead to the discovery of an important invariant in knot theory [Jon85] in 1983, which we know now as the Jones polynomial. For this and surrounding works he received a Fields medal. This technology of planar algebras have been since used to study subfactors in functional analysis [Jon21]<sup>2</sup> and have consequences in for example statistical mechanics and mathematical physics.

In representation theory, our main motivational example is given by the proof of the Kazhdan–Lusztig conjecture through the diagrammatics of Soergel bimodules. The conjecture relates Kazhdan–Lusztig polynomials, arising from the Weyl group associated with a Lie algebra, to Jordan–Hölder multiplicities of particular representations of Lie algebras called Verma modules. Proofs were discovered independently by Beilinson–Bernstein and Brylinski–Kashiwara in 1981 but by geometric methods, which was unsatisfying to many. Around this time, Soergel was working toward an algebraic proof using Soergel bimodules, however he hit a technical road block. In 2010’s, Elias and Williamson developed planar diagrams for morphisms on Soergel bimodules (see [EW14]

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<sup>1</sup>The algebra was later presented as a diagram algebra by Kauffman in [Kau90]

<sup>2</sup>Originally from 1999, and was recently published.

and [EK10]) and were able to overcome the technical point where Soergel got stuck, to prove the conjecture diagrammatically. The diagrams can greatly simplify algebraic calculations and the diagrammatic category can be considered independently from Soergel bimodules. We explore this diagrammatic category for  $S_2$  in Section 3.1. Note that this can be defined for any Coxeter group (which symmetric groups, braid groups etc. are an example), see [Eli+20] for the general definition along with an introduction to the category of algebraic Soergel bimodules  $\mathbb{S}\text{Bim}$ . Soergel had shown that  $\mathbb{S}\text{Bim}$  is linked to other categories of representations, such as the BGG category  $\mathcal{O}$  in [Soe90]. By this, a diagrammatic version of this category of representations can be defined. We see this in more detail in Section 3.2.

One of the advantages of the diagrammatic Soergel bimodules is that it can be defined over  $\mathbb{Z}$  and extended to fields of characteristic  $p$  where classical Soergel bimodules are ill-behaved. Characters in the category of tilting modules (certain representations of a Lie algebra) can be calculated via Kazhdan–Lusztig polynomial in characteristic zero, however these polynomials were unknown in characteristic  $p$ . Riche and Williamson in [RW18] were able to construct these characteristic  $p$  Kazhdan–Lusztig polynomials by considering diagrammatic Soergel bimodules in characteristic  $p$ .

In this paper we first give an introduction to drawing morphisms in monoidal categories, consider diagrammatic descriptions of Frobenius objects in monoidal categories, then define module categories and some mechanisms to form an additive idempotent complete category. In Chapter 3 we define diagrammatic Soergel bimodules associated with the symmetric group  $S_2$ , construct a basis for its morphism spaces and state the theorem for its equivalence to the category of algebraic Soergel bimodules. We use this diagrammatic category to construct a diagrammatic module category with an extra relation, then prove its equivalence to the category of projective objects in the principle block of the category  $\mathcal{O}$ . In Chapter 4 we consider the affine symmetric group  $\tilde{S}_2$  to define the diagrammatic Soergel bimodules associated it, construct a basis for its morphism spaces and state the theorem for its equivalence to the category of algebraic Soergel bimodules. The extra generator in  $\tilde{S}_2$  compared with  $S_2$  provides some additional complexity to the structure of the category. We then form a module category with two extra relations and provide a proof of its equivalence to the category of tilting modules for  $\mathfrak{sl}_2$ . In the last chapter we discuss the consequences of diagrammatics in relation to Chapter 3 and Chapter 4, mention some generalisations and further areas of interest.

Note that one of the advantages of diagrammatics is that we don't need to understand these complicated categories in representation theory to study them. For this reason, we will defer some details in the proofs involving category  $\mathcal{O}$  and tilting modules to other sources.

The contents of this thesis are for honours students and future readers who are interested in this topic. The reader is assumed to have some familiarity with undergraduate algebra (such as groups, rings, algebras and fields), basic ideas in representation theory, and basic category theory and monoidal categories.

# Chapter 2

## Background

For a category  $\mathcal{C}$  we write  $\text{ob}(\mathcal{C})$  for the collection of objects,  $\text{mor}(\mathcal{C})$  for the collection of all morphisms, and for any pair of objects  $A, B$  we write  $\text{Hom}(A, B)$  for the collection of morphisms from  $A$  to  $B$ . The collection of endomorphisms of an object  $A$  is written  $\text{End}(A) := \text{Hom}(A, A)$ . Note that our focus of study are particular types of categories, not categories in the abstract, so we may assume that all categories we encounter are locally small.

### 2.1 Drawing Monoidal Categories

Monoidal categories are the main context in which we consider diagrammatics. For more details about monoidal categories the reader may refer to [Eti+15], and a helpful survey of diagrams for various types of monoidal categories at [Sel10].

**Definition 2.1.1.** A *monoidal category*  $\mathcal{C}$  is a category equipped with a bifunctor  $\otimes : \mathcal{C} \times \mathcal{C} \rightarrow \mathcal{C}$  and a unit object  $\mathbb{1}$ , such that certain associativity and unit relations hold, see [Eti+15, Definition 2.1.1, 2.2.8]. The bifunctor  $\otimes$  is called the *tensor* or *monoidal product*. A monoidal category is *strict* if  $A \otimes (B \otimes C) = (A \otimes B) \otimes C$  and  $A = \mathbb{1} \otimes A = A \otimes \mathbb{1}$  for objects and similarly for morphisms.

The functoriality of  $\otimes$  means that the monoidal product commutes with composition in both variables.

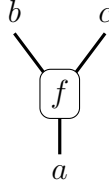
**Definition 2.1.2.** A functor  $F : \mathcal{C} \rightarrow \mathcal{D}$  between monoidal categories is called (*strict*) *monoidal* if it preserves the monoidal product, i.e.  $F(A \otimes B) = F(A) \otimes F(B)$ . Structure preserving functors for other types of categories can be defined in a similar way.

In this paper, we will assume that monoidal categories and monoidal functors are strict. This does not pose any problems since all monoidal categories are monoidally equivalent to a strict one<sup>1</sup>, and similar strictification can be applied to the functor. In this context, the details in the coherence relations are trivial.

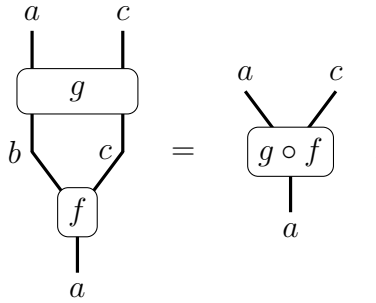
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<sup>1</sup>See [ML98, VII.2] or [Eti+15, Thm 2.8.5]

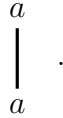
The morphisms of a monoidal category  $\mathcal{C}$  can be drawn as string diagrams embedded in a planar strip. A diagram is a morphism when read from bottom to top, that is the domain is on the bottom of the strip and the codomain on the top. Functions that make up a morphism are drawn as tokens or boxes. For example



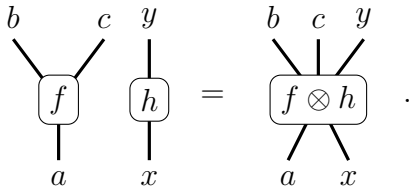
depicts a morphism  $f : a \rightarrow b \otimes c$ . Notice here that tensor products of objects have its factors displayed horizontally. The compositions of morphisms is the vertical stacking of diagrams whenever labels on domains and codomains match. For example, the composition  $g \circ f : a \rightarrow b \otimes c \rightarrow a \otimes c$  of  $f : a \rightarrow b \otimes c$  with  $g : b \otimes c \rightarrow a \otimes c$  has the diagram



For identity morphisms we just draw a vertical line, so  $\text{id}_a$  is the diagram

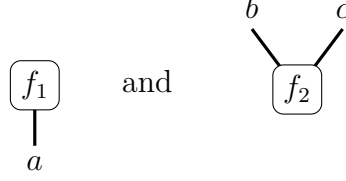


This is a sensible choice since composition with the identity should not change the function, which is clear diagrammatically. The tensor product of morphisms is the horizontal concatenation of diagrams, such that strings from separate functions don't interact. For example, given  $h : x \rightarrow y$ , the tensor product  $f \otimes h : a \otimes x \rightarrow b \otimes c \otimes y$  is drawn as



We let the monoidal unit  $\mathbb{1}$  be blank and unlabelled, and strings that would join to  $\mathbb{1}$  are blank. Particularly,  $\text{id}_{\mathbb{1}}$  is an empty diagram. It makes sense to display  $\mathbb{1}$  in

this way since tensoring with  $\mathbb{1}$  (in a strict monoidal category) does nothing to objects and tensoring with  $\text{id}_{\mathbb{1}}$  does nothing to morphisms. By this convention, we also have diagrams such as



for morphisms  $f_1 : a \rightarrow \mathbb{1}$  and  $f_2 : \mathbb{1} \rightarrow b \otimes c$ .

The bifactoriality of  $\otimes$  implies the following *interchange law*. For morphisms  $f : a \rightarrow b$  and  $g : c \rightarrow d$ , we have  $(\text{id}_b \otimes g) \circ (f \otimes \text{id}_c) = f \otimes g = (f \otimes \text{id}_d) \circ (\text{id}_a \otimes g)$ . In other words the following diagram commutes.

$$\begin{array}{ccc}
 a \otimes c & \xrightarrow{f \otimes \text{id}_c} & b \otimes c \\
 \text{id}_a \otimes g \downarrow & \searrow f \otimes g & \downarrow \text{id}_b \otimes g \\
 a \otimes d & \xrightarrow{f \otimes \text{id}_d} & b \otimes d
 \end{array}$$

Written with string diagrams, this is

which holds up to vertical deformation of the diagram. This is a small taste of isotopy, but only in the vertical direction.

Before looking at an example of a diagrammatic monoidal category, we just mention some definitions.

**Definition 2.1.3.** For a commutative ring  $R$ , an  $R$ -linear category is a category enriched over the category of  $R$ -modules. That is, for objects  $a, b$ , the set of morphisms  $\text{Hom}(a, b)$  is an  $R$ -module and the composition of morphisms is  $R$ -bilinear. An  $R$ -linear monoidal category is a category that is both monoidal and  $R$ -linear such that the monoidal product on morphisms is  $R$ -bilinear. A  $(\mathbb{Z})$ -graded  $R$ -linear category is a category where  $\text{Hom}(A, B)$  is a  $\mathbb{Z}$ -graded  $R$ -module. That is,  $\text{Hom}(A, B) = \bigoplus_{i \in \mathbb{Z}} \text{Hom}^i(A, B)$  where  $\text{Hom}^i(A, B)$  is the homogeneous component of degree  $i$ , and we have

$$\text{Hom}^i(B, C) \circ \text{Hom}^j(A, B) \subseteq \text{Hom}^{i+j}(A, C).$$

Note that since composition and tensor products are bilinear,  $0 \otimes f = (0 + 0) \otimes f = 0 \otimes f + 0 \otimes f$  and similarly with composition, so composition and tensors with 0 are also zero.



*Example 2.1.4.* The category of vector spaces over a field  $\mathbb{k}$ ,  $\mathbf{Vect}_{\mathbb{k}}$ , is a  $\mathbb{k}$ -linear monoidal category given by the usual tensor product of vector spaces and linear maps.

**Definition 2.1.5.** A monoidal category  $\mathcal{C}$  is *generated* by finite set  $S_o$  of objects and  $S_m$  of morphisms, when all non-unit objects are a finite tensor of objects in  $S_o$  and all non-identity morphisms are a finite combination of tensors and compositions of morphisms in  $S_m$ . Similarly, we may define *generated*  $R$ -linear monoidal categories such that we also allow  $R$ -linear combinations of morphisms.

*Example 2.1.6.* Our first example of a diagrammatic monoidal category is the *Temperley-Lieb-Jones category*. The Temperley-Lieb-Jones<sup>2</sup> category  $\mathcal{TLJ}$  is a strict  $R$ -linear monoidal category whose objects are generated by the vertical line  $\mathbb{I}$  and morphisms generated by the cup  $\cup : \mathbb{I} \rightarrow \mathbb{I} \otimes \mathbb{I}$  and cap  $\cap : \mathbb{I} \otimes \mathbb{I} \rightarrow \mathbb{I}$ , with the relation

$$\cup = \mathbb{I} = \cap.$$

Mention that composition and tensor product is as explained above

Some example

Mention bubbles and specialisation to some  $\delta \in R$

Mention that these are crossingless matchings

Comment on isotopy

## 2.2 Frobenius Objects

The structure of Frobenius objects give rise to useful diagrammatics that can be defined up to isotopy. This section gives some background to the objects we will encounter in [Section 3.1](#) and beyond.

Let  $\mathcal{C}$  be a (strict) monoidal category.

**Definition 2.2.1.** A *monoid object* in  $\mathcal{C}$  is a triple  $(M, \mu, \eta)$  for an object  $M \in \mathcal{C}$ , a *multiplication* map  $\mu : M \otimes M \rightarrow M$  and a *unit* map  $\eta : \mathbb{I} \rightarrow M$ , such that

$$\begin{array}{ccc} & M \otimes M \otimes M & \\ \mu \otimes \text{id}_M \swarrow & & \searrow \text{id}_M \otimes \mu \\ M \otimes M & & M \otimes M \\ & \mu \searrow & \swarrow \mu \\ & M & \end{array}$$

---

<sup>2</sup>Originally the Temperley-Lieb-Jones algebra was used by Temperley and Lieb in [TL71] for statistical physics. Jones discovered the same structure and relations independently in his work.

and

$$\begin{array}{ccccc}
 \mathbb{1} \otimes M & \xrightarrow{\eta \otimes \text{id}_M} & M \otimes M & \xleftarrow{\text{id}_M \otimes \eta} & M \otimes \mathbb{1} \\
 & \searrow \text{id}_M & \downarrow \mu & \swarrow \text{id}_M & \\
 & & M & & 
 \end{array}$$

commute. The first diagram is the *associativity* relation  $\mu \circ (\mu \otimes \text{id}_M) = \mu \circ (\text{id}_M \otimes \mu)$  and the second diagram is the *unit* relation  $\text{id}_M = \mu \circ (\eta \otimes \text{id}_M) = \mu \circ (\text{id}_M \otimes \eta)$ .

Dually, a *comonoid object* in  $\mathcal{C}$  is a triple  $(M, \delta, \epsilon)$  for an object  $M \in \mathcal{C}$ , a *comultiplication* map  $\delta : M \rightarrow M \otimes M$  and a *counit* map  $\epsilon : M \rightarrow \mathbb{1}$ , satisfying the *coassociativity* relation

$$\begin{array}{ccccc}
 & & M \otimes M \otimes M & & \\
 \delta \otimes \text{id}_M & \nearrow & & \nwarrow & \text{id}_M \otimes \delta \\
 M \otimes M & & & & M \otimes M \\
 & \nwarrow \delta & & \nearrow \delta & \\
 & & M & & 
 \end{array}$$

and *counit* relation

$$\begin{array}{ccccc}
 \mathbb{1} \otimes M & \xleftarrow{\epsilon \otimes \text{id}_M} & M \otimes M & \xrightarrow{\text{id}_M \otimes \epsilon} & M \otimes \mathbb{1} \\
 & \nwarrow \text{id}_M & \uparrow \delta & \nearrow \text{id}_M & \\
 & & M & & 
 \end{array} .$$

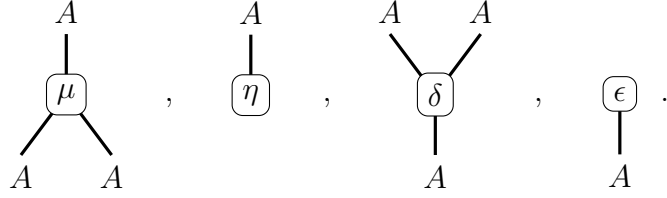
Monoid objects generalise monoids in algebra, i.e. sets with an identity equipped with an associative binary operation.

**Definition 2.2.2.** A *Frobenius object* in  $\mathcal{C}$  is a quintuple  $(A, \mu, \eta, \delta, \epsilon)$  such that  $(A, \mu, \eta)$  is a monoid object,  $(A, \delta, \epsilon)$  is a comonoid object, and the maps satisfy the *Frobenius relations*

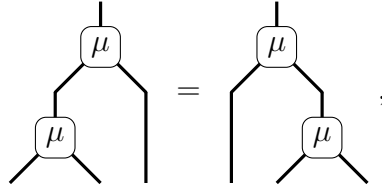
$$\begin{array}{ccccc}
 & & A \otimes A & & \\
 \delta \otimes \text{id}_A & \nearrow & \downarrow \mu & \nwarrow & \text{id}_A \otimes \delta \\
 A \otimes A \otimes A & & A & & A \otimes A \otimes A \\
 & \nwarrow \text{id}_A \otimes \mu & \downarrow \delta & \nearrow \mu \otimes \text{id}_A & \\
 & & A \otimes A & & 
 \end{array} ,$$

that is  $(\text{id}_A \otimes \mu) \circ (\delta \otimes \text{id}_A) = \delta \circ \mu = (\mu \otimes \text{id}_A) \circ (\text{id}_A \otimes \delta)$ .

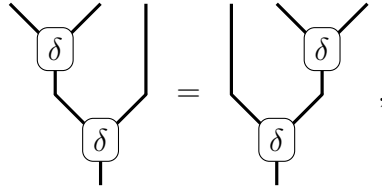
The maps and relations for a Frobenius object  $(A, \mu, \eta, \delta, \epsilon)$  have a pleasant description via the diagrams given in [Section 2.1](#). The structure maps are drawn as



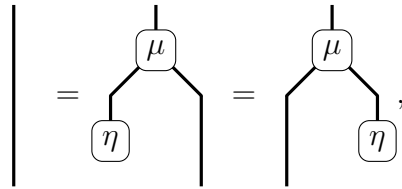
For the rest of this section, we will only work with the Frobenius object  $A$  and  $\mathbb{1}$ . We can stop putting the label  $A$  by identifying  $A$  with the identity strand  $\mathbb{1} = \text{id}_A$ . Diagrammatically, the associativity relation  $\mu \circ (\mu \otimes \text{id}_M) = \mu \circ (\text{id}_M \otimes \mu)$  is



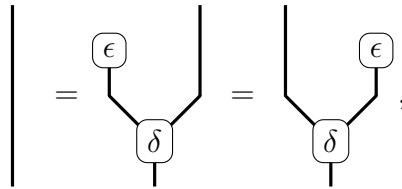
the coassociativity relation  $(\delta \otimes \text{id}_A) \circ \delta = (\text{id}_A \otimes \delta) \circ \delta$  is



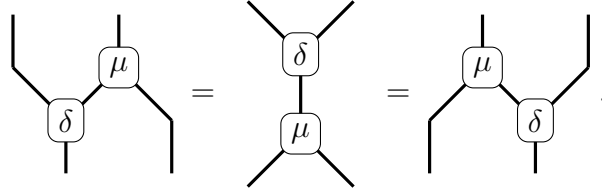
the unit relation  $\text{id}_A = \mu \circ (\eta \otimes \text{id}_A) = \mu \circ (\text{id}_A \otimes \eta)$  is



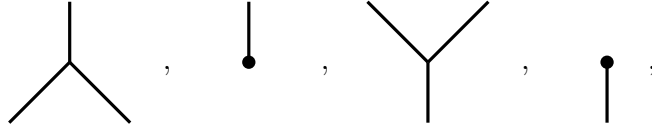
the counit relation  $\text{id}_A = (\epsilon \otimes \text{id}_A) \circ \delta = (\text{id}_A \otimes \epsilon) \circ \delta$  is



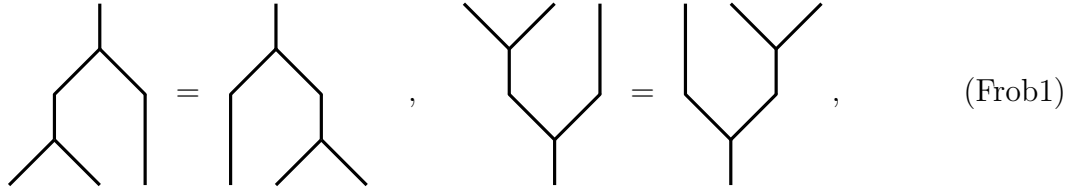
and the Frobenius relation  $(\text{id}_A \otimes \mu) \circ (\delta \otimes \text{id}_A) = \delta \circ \mu = (\mu \otimes \text{id}_A) \circ (\text{id}_A \otimes \delta)$  is



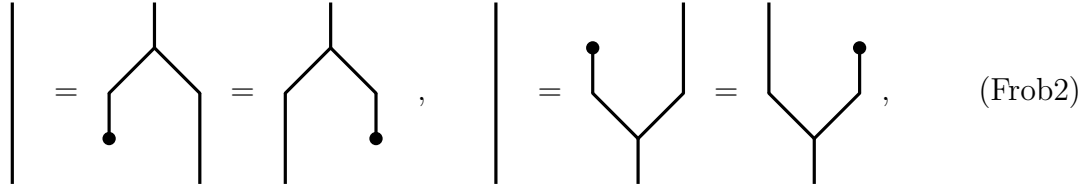
To further simplify the diagrams, we stop labelling the functions and draw the structure maps as univalent and trivalent vertices



where the large dot on the unit and counit indicates that the string stops before reaching the other end. Then the relations become

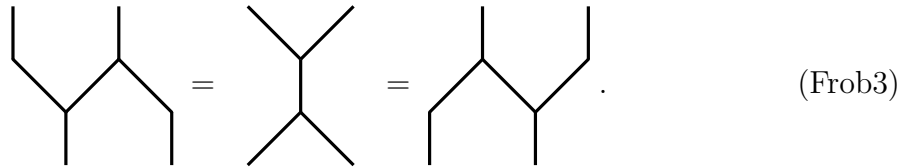


(Frob1)



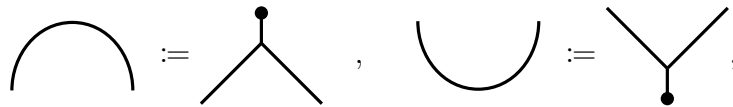
(Frob2)

and



(Frob3)

If we write cups and caps for the diagrams



then the Frobenius object relations admit a more familiar form of (planar) isotopy by the relations



(Iso1)

which we saw in for the Temperley-Lieb-Jones category. For instance the first equality follows from (Frob3) and (Frob2),

The diagram shows a sequence of equalities: a cup (a line that goes down, loops to the left, and goes back down) is equal to a structure with two horizontal dashed lines. The first dashed line is at the top of a vertical line, and the second is at the bottom of a vertical line. Between these lines, there is a structure that looks like a cup with a dot on its left side and a vertical line on its right side. This is equal to a similar structure but with the cup rotated. Finally, this is equal to a single vertical line.

*Remark 2.2.3.* This implies that Frobenius objects  $A$  are dualizable and self-dual, with the unit of duality given by the cap  $A \otimes A \rightarrow \mathbb{1}$  and the counit given by the cup  $\mathbb{1} \rightarrow A \otimes A$  above. The triangle identities for duality are exactly the relation (Iso1), which is sometimes called the zig-zag relation. Alternatively, this corresponds to the left tensor functor  $A \otimes -$  being self-adjoint by the same argument.

We can similarly deduce more isotopy relations

(Iso2) shows two equations. The first is a cap (a line that goes down, loops to the left, and goes back down) with a dot on its left side, equal to a vertical line with a dot at the top, equal to a cup (a line that goes down, loops to the right, and goes back down) with a dot on its right side. The second equation is a cup with a dot on its left side, equal to a vertical line with a dot at the top, equal to a cap with a dot on its right side.

(Iso3) shows two equations. The first is a cup with a vertical line on its right side, equal to a structure with two horizontal dashed lines, equal to a similar structure but with the cup rotated. The second equation is a cup with a vertical line on its left side, equal to a similar structure but with the cup rotated, equal to a structure with two horizontal dashed lines, equal to a similar structure but with the cup rotated.

which can be thought of as “rotating vertices”. Using these identities, we can rotate entire diagrams by putting caps and cups around it.

*Example 2.2.4.* The unit relation can be rotated to the counit map

The diagram shows a vertical line with a dot at the top, followed by a wavy arrow pointing to a cup (a line that goes down, loops to the left, and goes back down) with a dot on its left side, which is equal to a vertical line with a dot at the top.

where the equality follows from (Iso2).

*Example 2.2.5.* The comultiplication map can be rotated to the multiplication map

The diagram shows a sequence of equalities: a multiplication map (a line that splits into two) is equal to a structure with two horizontal dashed lines, equal to a similar structure but with the multiplication map rotated, equal to a structure with two horizontal dashed lines, equal to a similar structure but with the multiplication map rotated, equal to a comultiplication map (a line that splits into two).

$$\begin{aligned}
&= \text{diagram with a vertical line on the left, a wavy line in the middle, and a Y-junction on the right} \\
&= \text{diagram with a Y-junction} .
\end{aligned}$$

where the equality follows from applying (Iso3) three times then (Iso1).

We can therefore consider the diagrams generated by concatenations of Frobenius structure maps up to planar isotopy. That is, we equate two diagrams if one diagram can be continuous deformed to the other in the plane without crossing itself. In this way, we can just use our visual intuition in place of applying any specific isotopy relations from (Iso1)-(Iso3).

The Frobenius object relations (Frob1), (Frob2), (Frob3) can be simplified as following. The unit and counit relations are

$$\text{diagram with a vertical line and a dot on its left} = \text{diagram with a vertical line} \left( = \text{diagram with a dot on the left of a vertical line} \right),$$

where the second equality follows from rotating the first one with cups and caps. Here the horizontal line has no innate meaning in the category but isotopically asserts equality between the “bent up” and “bent down” diagrams in (Frob2).

Note that allowing isotopy, the Frobenius relation (Frob3) implies the associativity and coassociativity relations (Frob1). For instance, we have

$$\text{diagram 1} = \text{diagram 2} = \text{diagram 3} = \text{diagram 4}, \quad (2.2.6)$$

where the second equality is the Frobenius relation. For preciseness, this calculation shows the trivalent rotations (Iso3), but the reader is encouraged to think of the first and third equalities as isotopic deformations.

Therefore, up to isotopy, the Frobenius object relations are summed by the unit and Frobenius relation

$$\text{diagram with a vertical line and a dot on its left} = \text{diagram with a vertical line}, \quad \text{diagram 5} = \text{diagram 6}. \quad (2.2.7)$$

These objects will appear again in the context of diagrammatic Soergel bimodules in Section 3.1

## 2.3 Module Categories

Module categories are categories equipped with an action of a monoidal category. This generalises the notion of modules over a ring. In [Section 3.2](#) and [Section 4.2](#), we will see that the categories of interest appear as module categories over the category of Soergel bimodules.

**Definition 2.3.1.** Let  $(\mathcal{M}, \otimes, \mathbb{1})$  be a (strict) monoidal category. A *(left) module category over  $\mathcal{M}$*  or  *$\mathcal{M}$ -module category* is a category  $\mathcal{C}$  and a bifunctor  $\odot : \mathcal{M} \times \mathcal{C} \rightarrow \mathcal{C}$  such that there are natural isomorphisms  $(X \otimes Y) \odot A \cong X \odot (Y \odot A)$  and  $\mathbb{1} \odot A \cong A$  for  $X, Y \in \mathcal{M}$  and  $A \in \mathcal{C}$  and similarly for morphisms, satisfying coherence relations analogous to those for monoidal categories (see [\[Eti+15, Definition 7.1.2\]](#)). A (left)  $\mathcal{M}$ -module category is *strict* if the natural isomorphisms above are identity natural isomorphisms, i.e.  $(X \otimes Y) \odot A = X \odot (Y \odot A)$  and  $\mathbb{1} \odot A = A$ , similarly for morphisms. We call  $\odot$  the *action of  $\mathcal{M}$*  or the *module product*.

In the following examples, the module action is essentially the monoidal product, which we may denote by the same symbol  $\otimes$ . Note that module actions are not always an underlying monoidal product.

*Example 2.3.2.* A monoidal category is a module category over itself, where the action is its tensor product.

*Example 2.3.3.* Let  $G$  be a finite group and  $H \subseteq G$  a subgroup. Consider the categories of group representations  $\mathbf{Rep}(G)$  and  $\mathbf{Rep}(H)$  over a field  $\mathbb{k}$ . Recall that  $\mathbf{Rep}(G)$  is a category where objects are pairs  $(V, \rho)$  for  $V$  a  $\mathbb{k}$ -vector space and  $\rho : G \rightarrow \mathrm{GL}(V)$  is a representation of  $G$ , and morphisms are equivariant maps i.e. linear maps that preserve the group action. There is a monoidal structure on  $\mathbf{Rep}(G)$  (and similarly  $\mathbf{Rep}(H)$ ) given by

$$(V, \rho_V) \otimes (W, \rho_W) = (V \otimes W, \rho_{V \otimes W})$$

where  $V \otimes W$  is the usual tensor of vector spaces, and  $\rho_{V \otimes W}$  is defined such that for  $v \in V_1, w \in V_2$  and  $g \in G$ ,

$$(\rho_1 \otimes \rho_2)(g)(v \otimes w) = (\rho_1(g)v) \otimes (\rho_2(g)w)$$

extended linearly. This is well defined by the universal property of tensor products. The monoidal unit is  $\mathbb{k}$  with the trivial representation. The tensor product on morphisms  $f$  and  $g$  is defined by component-wise application, which is equivariant by equivariance of  $f$  and  $g$ .

We have that  $\mathbf{Rep}(H)$  is a left module category over  $\mathbf{Rep}(G)$  with the following action. For an object  $(V, \rho)$  in  $\mathbf{Rep}(G)$ , we can consider it as a representation over  $H$  by the restriction

$$\rho|_H : H \hookrightarrow G \xrightarrow{\rho} \mathrm{GL}(V).$$

The left action of  $(V, \rho)$  is the left tensor of  $(V, \rho|_H)$  in  $\mathbf{Rep}(H)$ . On morphisms we apply a similar restriction of equivariant maps.

**Definition 2.3.4.** A (strict) module category  $\mathcal{C}$  over a monoidal category  $\mathcal{M}$  is *generated* by finite set  $S_o$  of objects and  $S_m$  of morphisms in  $\mathcal{C}$ , when all non-unit objects are of the form  $X \odot A$  for  $X \in \mathcal{M}$  and  $A \in S_o$ , and non-identity morphisms in  $\mathcal{C}$  are defined similarly.

**Definition 2.3.5.** Let  $\mathcal{M}$  be a (strict)  $R$ -linear monoidal category, and  $\mathcal{C}$  be a (strict) module category over  $\mathcal{M}$ . We say that  $\mathcal{C}$  is a (strict)  $R$ -linear module category if  $\odot$  is  $R$ -bilinear on morphisms.

## 2.4 Additive Karoubi Envelope

Many interesting categories in representation theory are equivalent to categories of modules over a ring or an algebra. Accordingly, the notion of indecomposable representations, or modules with no non-trivial direct summands, come up in various problems. However the diagrammatic monoidal categories we will define may not innately contain direct sums and direct summands, so we must formally add them in. This can be done by taking the additive closure and Karoubi envelope.

### Additive and Karoubian Categories

**Definition 2.4.1.** A *preadditive category* is a category enriched over the category of abelian groups. That is, for objects  $A$  and  $B$ ,  $\text{Hom}(A, B)$  has the structure of an abelian group such that the composition of morphisms is bilinear over the abelian group operation.

In particular,  $R$ -linear categories are preadditive because  $R$ -modules are defined over abelian groups.

**Definition 2.4.2.** A *biproduct* of objects of a category is an object that is both a product and a coproduct. An *additive category* is a preadditive category that admits all finite biproducts.

Biproducts are a generalisation of direct sums of modules, so we often write  $\oplus$  and say “direct sum”. In other words, additive categories are preadditive categories containing all direct sums.

**Definition 2.4.3.** An *idempotent* is a endomorphism  $e$  such that  $e \circ e = e$ . We say that a preadditive category is *Karoubian* or *idempotent complete* if for every idempotent  $e : X \rightarrow X$  there is a direct sum decomposition  $X \cong Y \oplus Z$  such that  $e$  is a projection onto  $Y$ .

This is a formal way to say that a category contains all direct summands, as every direct summand is an image of an idempotent given by projection.



## Additive Closure and Karoubi Envelope

The additive closure and Karoubi envelope are formal ways to add direct sums and direct summands into a preadditive category.

**Definition 2.4.4.** Let  $\mathcal{C}$  be a preadditive category. The *additive closure*  $\mathcal{C}^\oplus$  of  $\mathcal{C}$  is the category where objects are finite (possibly empty) formal direct sums  $\bigoplus_{i=1}^n A_i$  for  $A_i \in \text{ob}(\mathcal{C})$ . We call the empty direct sum the *zero object* 0. A morphism  $f$  of  $\text{Hom}_{\mathcal{C}^\oplus}(\bigoplus_{i=1}^n A_i, \bigoplus_{j=1}^m B_j)$  is an  $m \times n$  matrix  $f = (f_{j,i})$  of morphisms  $f_{j,i} \in \text{Hom}_{\mathcal{C}}(A_i, B_j)$ .

It is clear that  $\mathcal{C}$  is a category that embeds in  $\mathcal{C}^\oplus$  and that  $\mathcal{C}^\oplus$  is additive. In the case where  $\mathcal{C}$  is monoidal,  $\mathcal{C}^\oplus$  is monoidal by extending the monoidal product to be an additive functor in each input. If  $\mathcal{C}$  is  $R$ -linear, then  $\mathcal{C}$  is an  $R$ -linear category by assuming that the  $R$ -action on morphisms applies componentwise. Lastly, if  $\mathcal{C}$  is a  $\mathcal{M}$ -module category, then  $\mathcal{C}$  is a  $\mathcal{M}$ -module category by additionally assuming that the module action applies componentwise.

**Lemma 2.4.5.** *The additive closure satisfies the following universal property. For every preadditive functor  $F : \mathcal{C} \rightarrow \mathcal{D}$  where  $\mathcal{D}$  is an additive category, there is a unique additive functor  $F' : \mathcal{C}^\oplus \rightarrow \mathcal{D}$  such that the composition  $\mathcal{C} \hookrightarrow \mathcal{C}^\oplus \xrightarrow{F'} \mathcal{D}$  is  $F$ .*

This is a classical result so we will not provide a proof. It can be observed by extending  $F$  to a functor  $F^\oplus : \mathcal{C}^\oplus \rightarrow \mathcal{D}^\oplus$  defined by applying  $F$  componentwise.

**Definition 2.4.6.** Let  $\mathcal{C}$  be a category. The *Karoubi envelope*  $\text{Kar}(\mathcal{C})$  of  $\mathcal{C}$  is the category where objects are ordered pairs  $(A, e)$  for an object  $A$  in  $\mathcal{C}$  and an idempotent  $e \in \text{End}_{\mathcal{C}}(A)$ . Morphisms  $f : (A, e) \rightarrow (A', e')$  are morphisms  $f : A \rightarrow A'$  in  $\mathcal{C}$  such that  $f = f \circ e = e' \circ f$ , where composition is composition in  $\mathcal{C}$ . Equivalently, morphisms  $f : (A, e) \rightarrow (A', e')$  are of the form  $e' \circ f \circ e$  for some (not necessarily unique) morphism  $f : A \rightarrow A'$ . The identity morphism on  $(A, e)$  is  $e$ .

This is also known as the *Karoubian closure* or *idempotent completion*. We should think of the objects  $(A, e)$  as “the image of  $e$ ”.

**Proposition 2.4.7.** *The Karoubi envelope  $\text{Kar}(\mathcal{C})$  is Karoubian.*

A proof can be found in [Eli+20, Lemma 11.17].

**Lemma 2.4.8.** *Every functor  $F : \mathcal{C} \rightarrow \mathcal{D}$  where  $\mathcal{D}$  is Karoubian, extends uniquely (up to isomorphism) to a functor  $F' : \text{Kar}(\mathcal{C}) \rightarrow \mathcal{D}$ .*

This is another classical result. See [Bor94, Proposition 6.5.9 (1)] for a proof.

The structure of monoidal,  $R$ -linear,  $\mathcal{M}$ -module or additive categories, or a combination thereof, can be naturally extended to its Karoubi envelope. If  $\mathcal{C}$  is monoidal, the monoidal product extends to  $\text{Kar}(\mathcal{C})$  by applying the monoidal product in  $\mathcal{C}$  componentwise. If  $\mathcal{C}$  is  $R$ -linear, then  $\text{Kar}(\mathcal{C})$  is naturally  $R$ -linear as morphisms are those of  $\mathcal{C}$  and composition in  $\mathcal{C}$  is  $R$ -linear. If  $\mathcal{C}$  is a module category over  $\mathcal{M}$ , then the  $\mathcal{M}$ -action

can be extended to  $\text{Kar}(\mathcal{C})$  such that  $M \odot (A, e) = (M \odot A, \text{id}_M \odot e)$ , where  $\text{id}_M \odot e$  is an idempotent by bifactoriality of  $\odot$ . Finally if  $\mathcal{C}$  is additive, then  $\text{Kar}(\mathcal{C})$  is additive by applying direct sums componentwise. The *additive Karoubi envelope* of a preadditive category  $\mathcal{C}$  is the idempotent complete additive category  $\text{Kar}(\mathcal{C}^\oplus)$  which we may denote  $\text{Kar}^\oplus(\mathcal{C})$ .

For diagrammatic monoidal categories  $\mathcal{C}$ , its additive closure has an easy diagrammatic description with matrices of diagrams. However, in general, diagrams for  $\text{Kar}(\mathcal{C})$  or  $\text{Kar}^\oplus(\mathcal{C})$  are not so simple, since we need to identify every idempotent and place them around morphisms.

# Chapter 3

## One-colour Diagrammatics

### 3.1 One-colour Diagrammatic Hecke Category

The first one-colour diagrammatic category we explore is the *one-colour (diagrammatic) Hecke category*  $\mathcal{H}(S_2)$  for the symmetric group  $S_2 = \langle s \mid s^2 = e \rangle$ . After describing the category and exploring some properties, we will see that this diagrammatic category is equivalent to the category of Soergel Bimodules under the additive Karoubi envelope.

*Remark 3.1.1.* All diagrammatics below and in [Chapter 4](#) can also be defined in the language of planar algebras, without mentioning (monoidal) categories, e.g. in [\[Jon21\]](#). Nevertheless, we study them in the context of categories since they will be seen as diagrammatic versions of important categories in representation theory.

**Definition 3.1.2.** The *one-colour (diagrammatic) Hecke category*  $\mathcal{H}(S_2)$  is a  $\mathbb{Z}$ -linear monoidal category with the following presentation.

The objects are generated by formal tensors of the non-identity element  $s \in S_2$ . We will write these tensors as words<sup>1</sup>, e.g.  $s, ssss =: s^4, ssssss =: s^7$ , where the tensor product is concatenation. The empty tensor product, i.e. the monoidal identity, will be denoted  $\emptyset =: s^0$ .

The morphisms are generated, up to isotopy, by the univalent and trivalent vertices

$$\begin{array}{c} \bullet \\ | \end{array}, \quad \begin{array}{c} | \\ \diagup \diagdown \end{array}, \quad (G1)$$

that are maps  $s \rightarrow \emptyset$  and  $ss \rightarrow s$  respectively, and their vertical reflections. We put a large dot on univalent vertices to signify that the line stops abruptly and does not connect to the top. The composition of such diagrams is appropriate vertical stacking, and the tensor product is horizontal concatenation (without intersection). We can also take formal  $\mathbb{Z}$ -linear combinations of diagrams. To abuse notation, the empty diagram  $\emptyset \rightarrow \emptyset$  will be denoted  $\emptyset$ . We may also identify the identity diagram  $\text{id}_s$  with  $s$ .

---

<sup>1</sup>Strings of objects where we do not write the tensor product.

Such diagrams are subject to the following local relations, allowing isotopy,

$$\begin{array}{c} \text{---} \bullet \\ | \end{array} = \begin{array}{c} | \end{array}, \quad (\text{R1a})$$

$$\begin{array}{c} \diagup \quad \diagdown \\ | \\ \diagdown \quad \diagup \end{array} = \begin{array}{c} \diagdown \quad \diagup \\ | \\ \diagup \quad \diagdown \end{array}, \quad (\text{R1b})$$

$$\begin{array}{c} | \\ \bigcirc \end{array} = 0, \quad (\text{R1c})$$

$$\begin{array}{c} \bullet \\ | \\ \bullet \end{array} = 2 \begin{array}{c} \bullet \\ | \\ \bullet \end{array} - \begin{array}{c} | \\ \bullet \end{array}. \quad (\text{R1d})$$

Note that “local” here means that we can apply these relations to any subdiagram.

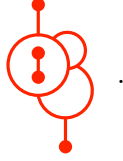
*Remark 3.1.3.* The object  $s$  is a Frobenius object in  $\mathcal{H}(S_2)$ . The generators (G1) and their vertical reflections are the unit, multiplication, counit and comultiplication maps. The unit, associativity and Frobenius relations are satisfied by the relations (R1a) and (R1b).

*Example 3.1.4.* Using isotopy and the relations in (R1) we can simplify the morphism in  $\text{Hom}(ss, s)$ ,

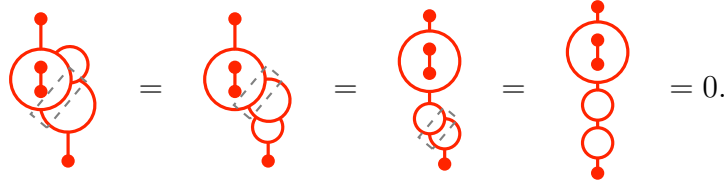
$$\begin{aligned} \begin{array}{c} \bullet \quad \bullet \\ \diagdown \quad \diagup \\ | \\ \bullet \quad \bullet \\ \diagup \quad \diagdown \\ | \end{array} &= \begin{array}{c} | \\ \bigcap \\ \bullet \quad \bullet \\ | \end{array} \\ &= 2 \begin{array}{c} | \\ \bigcap \\ \bullet \quad \bullet \\ | \end{array} - \begin{array}{c} | \\ \bigcap \\ \bullet \quad \bullet \\ | \end{array} \\ &= 2 \begin{array}{c} \bullet \\ | \\ \bullet \end{array} - \begin{array}{c} \bullet \\ | \\ \bullet \end{array}. \end{aligned}$$

**Proposition 3.1.5.** *All diagrams with floating diagrams, i.e. diagrams not connected to the domain or codomain by a red strand, are linear combination of diagrams where all floating diagrams are barbells.*

*Proof.* By isotopy and (R1a), floating diagrams can be drawn as barbells with “bubbles” and possibly floating subdiagrams inside each bubble. For example,



The Frobenius relation (R1b) allows us to “straighten out” the bubbles to a chain of individual bubbles. The diagram above becomes



For a floating diagram without floating subdiagrams, it is either 0 by (R1c), or  $\bullet$  which can be removed from any floating diagrams containing it via (R1d). Repeating this process produces a linear combination of diagrams where all floating diagrams are barbells.  $\square$

## Double Leaves Basis

The morphism space  $\text{Hom}(s^n, s^m)$  has a left (or right)  $\mathbb{Z}[\bullet]$ -basis called the *double leaves* basis, as described in [EW16]. To define this basis, we must first define morphisms known as *light leaves*. This makes use of the group structure of  $S_2$  to reduce words in  $\mathcal{H}(S_2)$ .

**Definition 3.1.6.** Let  $\phi : (\text{ob}(\mathcal{H}(S_2)), \otimes) \rightarrow (S_2, *)$  be the monoid homomorphism<sup>2</sup> mapping  $s \mapsto s$  and  $\emptyset \mapsto 1$ , and  $\psi : S_2 \rightarrow \text{ob}(\mathcal{H}(S_2))$  be the function that maps  $s \mapsto s$  and  $1 \mapsto \emptyset$ .

The maps  $\phi$  allows words  $w = s^n$  to be seen as elements of  $S_2$ , and  $\psi$  allows  $1, s \in S_2$  to be seen as the objects  $\emptyset, s \in \mathcal{H}(S_2)$ . Clearly,  $\phi\psi$  is the identity map on  $S_2$ , and the map  $\psi\phi : \mathcal{H}(S_2) \rightarrow \mathcal{H}(S_2)$  takes objects in the category to one of  $\emptyset$  or  $s$  in  $\mathcal{H}(S_2)$  by considering them as elements in  $S_2$ .

**Definition 3.1.7.** (Subexpression for  $S_2$ ) Given a word  $w = s^n$ , a *subexpression*  $e$  is a binary word of length  $n$ . We can *apply* a subexpression to produce an object  $w(e) \in \mathcal{H}(S_2)$ , which is  $w$  where terms corresponding to 0 in  $e$  are replaced with  $\emptyset$ . For  $0 \leq i \leq n$ , write  $w(e, i)$  for the resultant object of the first  $i$  terms in  $e$  applied to the first  $i$  terms in  $w$ . Particularly  $w(e, 0) = \emptyset$  and  $w(e, n) = w(e)$ .

For example, 0000, 0110 and 1011 are subexpressions of  $s^4 = ssss$ . Applying the third subexpression gives  $ssss(1011) = s\emptyset ss = sss$  and  $ssss(1011, 3) = sss(101) = s\emptyset s = ss$ ,

<sup>2</sup>A map that preserves the monoidal product and identity element.

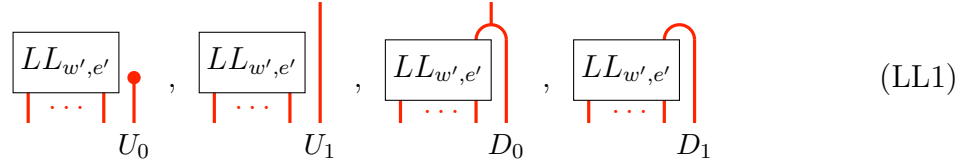
by strictness of the monoidal category. Here, each term of the subexpression is a decision to include or exclude the corresponding  $s$  in the word, where excluding an  $s$  amounts to tensoring with  $\emptyset$ .

For a word  $w$  and subexpression  $e$ , we label each term by  $U_0, U_1, D_0$  or  $D_1$ . The  $i$ -th term is labelled  $U_*$  if  $\phi(w(e, i - 1)) = 1 \in S_2$ , and labelled  $D_*$  if  $\phi(w(e, i - 1)) = s \in S_2$ . The label's subscript is the corresponding term in  $e$ .

*Example 3.1.8.* For the object  $w = ssss$  and subexpression  $e = 0101$ , we find the labels as recorded in the following table.

Term $i$	1	2	3	4
Partial $w$	$s$	$ss$	$sss$	$ssss$
Partial $e$	0	01	010	0101
$w(e, i)$	$\emptyset$	$\emptyset s = s$	$\emptyset s \emptyset = s$	$\emptyset s \emptyset s = ss$
Labels	$U_0$	$U_0 U_1$	$U_0 U_1 D_0$	$U_0 U_1 D_0 D_1$

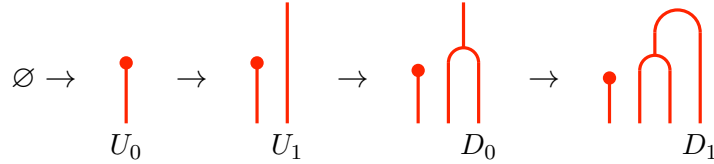
**Definition 3.1.9.** The *light leaf*  $LL_{w,e} \in \text{Hom}(w, \psi\phi(w(e)))$  for a word  $w$  and subexpression  $e$ , is defined iteratively as follows. Let  $LL_{\emptyset, \emptyset} = \emptyset$  be the empty diagram. Given  $LL_{w',e'}$  and  $i \in \{0, 1\}$ , the light leaf  $LL_{w',e',i}$  is one of



corresponding to the next label, where  $w'$  and  $e'$  are appropriate subwords<sup>3</sup> of  $w$  and  $e$  respectively.

Here, the codomain of a light leaf  $LL_{w,e}$  is the object  $\psi\phi(w(e))$ . So if the next label is  $U_*$  then the codomain of  $LL_{w',e'}$  is  $\emptyset$ , and when the next label is  $D_*$  the codomain of  $LL_{w',e'}$  is  $s$ . This implies that the recursive definition is consistent.

*Example 3.1.10.* Following from [Example 3.1.8](#) for  $w = ssss$  and  $e = 0101$ , we have labels  $U_0 U_1 D_0 D_1$  so the light leaf  $LL_{w,e}$  is built as follows.



**Definition 3.1.11.** Let  $\overline{LL}_{w,e}$  denote the vertical reflection of  $LL_{w,e}$ . The *double leaf* for words  $w, y$  in  $\mathcal{H}(S_2)$  is a composition

$$\mathbb{L}_{f,e} := \overline{LL}_{y,f} \circ LL_{w,e} : w \rightarrow y$$

for subexpressions  $e$  of  $w$  and  $f$  of  $y$  such that  $\psi\phi(w(e)) = \psi\phi(y(f))$ .

<sup>3</sup>A word with some letters removed.

Visually these are diagrams from  $w$  to  $y$  factoring through  $\psi\phi(w(e)) = \psi\phi(y(f)) \in \{\emptyset, s\}$ ,

$$\begin{array}{c} y \\ \overline{LL}_{y,f} \\ \overline{LL}_{y,f} \\ LL_{w,e} \\ w \end{array} \quad \psi\phi(w(e)) = \psi\phi(y(f)) .$$

*Example 3.1.12.* Let  $w = ssss$ ,  $y = sss$ ,  $e = 0111$  be a subexpression of  $w$ , and  $f = 010$  be a subexpression of  $y$ . The corresponding light leaves are

$$LL_{w,e} = \begin{array}{c} \bullet \\ | \\ \cup \\ | \\ \bullet \end{array} \quad \text{and} \quad LL_{y,f} = \begin{array}{c} \bullet \\ | \\ \cup \\ | \\ \bullet \end{array} .$$

$U_0 \ U_1 \ D_1 \ U_1$                        $U_0 \ U_1 \ D_0$

Then the double leaf  $\mathbb{LL}_{f,e} = \overline{LL}_{y,f} \circ LL_{w,e} : ssss \rightarrow sss$ , factoring through  $s$ , is

$$\begin{array}{c} \overline{LL}_{y,f} \\ \text{---} \\ LL_{w,e} \end{array}$$

Note that these double leaves have no floating diagrams. By [Proposition 3.1.5](#), these all appear as barbells  $\bullet$ . In order for these double leaves to span morphism spaces, we must insert floating diagrams by taking linear combinations as a left  $\mathbb{Z}[\bullet]$ -module, where the (left)  $\bullet$ -action is left concatenation by  $\bullet$ . Since, we can move barbells to the right by isotopy for double leaves factoring through  $\emptyset$ , or the relation [\(R1d\)](#) if factoring through  $s$ , we can equivalently act by  $\mathbb{Z}[\bullet]$  on the right. Note that for double leaves factoring through  $s$ , the “split line” term in [\(R1d\)](#) is also a double leaf with different subexpressions and factoring through  $\emptyset$ . This leads us to the following theorem.

**Theorem 3.1.13** (Elias-Williamson [\[EW16, Theorem 1.2\]](#)). *Given objects  $w, y \in \mathcal{H}(S_2)$ , let  $\mathbb{LL}(w, y)$  be the collection of double leaves  $\mathbb{LL}_{f,e}$  for subexpressions  $e$  of  $w$  and  $f$  of  $y$ , such that  $\psi\phi(w(e)) = \psi\phi(y(f))$ . Then  $\mathbb{LL}(w, y)$  is a basis for  $\text{Hom}(w, y)$  as a left (or right)  $\mathbb{Z}[\bullet]$ -module.*

A purely diagrammatic proof (of a more general theorem) can be found in [\[EW16\]](#).

*Remark 3.1.14.* The above light leaves and double leaves, introduced in [\[EW16\]](#), are diagrammatic analogues of Libedinsky’s construction in [\[Lib08\]](#).

The morphisms in this category can be graded such that the univalent vertices has degree 1 and trivalent vertices have degree  $-1$ . The degree of a diagram is the sum of the degrees of the generators that appear in it. This makes  $\mathcal{H}(S_2)$  a  $\mathbb{Z}$ -graded category. For example, the diagrams from [Example 3.1.4](#) are degree 3.

## Equivalence with $\mathbb{S}\text{Bim}$

The category  $\text{Kar}^\oplus(\mathcal{H}(S_2))$  is a diagrammatic version of the category of Soergel bimodules  $\mathbb{S}\text{Bim}$  for  $S_2$ . However  $\mathbb{S}\text{Bim}$  is not well behaved with morphisms over  $\mathbb{Z}$ , so we must first extend the scalars of morphism spaces in  $\mathcal{H}(S_2)$  to  $\mathbb{C}$ <sup>4</sup>. Formally this is just a left  $\mathbb{Z}$ -tensor of the morphism spaces with the  $\mathbb{C}$ - $\mathbb{Z}$ -bimodule  $\mathbb{C}$ , where the right action is induced by the inclusion  $\mathbb{Z} \subset \mathbb{C}$ . We write  $\mathcal{H}_{\mathbb{C}}(S_2)$  for this  $\mathbb{C}$ -linear monoidal category. This process is quite simple and does not change much about the category itself. In particular, double leaves in  $\mathcal{H}_{\mathbb{C}}(S_2)$  are still  $\mathbb{C}[\bullet]$ -bases<sup>5</sup> for the morphisms.

**Theorem 3.1.15** (Elias-Williamson [EW16, Theorem 6.30]). *The diagrammatic category  $\text{Kar}^\oplus(\mathcal{H}_{\mathbb{C}}(S_2))$  and the category of Soergel Bimodules  $\mathbb{S}\text{Bim}$  over  $S_2$  are equivalent as graded  $\mathbb{C}$ -linear monoidal categories.*

The proof in [EW16] gives an equivalence of graded  $\mathbb{C}$ -linear monoidal categories  $\mathcal{H}_{\mathbb{C}}(S_2) \cong \mathbb{B}\text{SBim}$  where  $\mathbb{B}\text{SBim}$  is the category of Bott-Samelson bimodules over  $S_2$ . Since  $\text{Kar}^\oplus(\mathbb{B}\text{SBim}) \cong \mathbb{S}\text{Bim}$ , this first equivalence extends uniquely to  $\text{Kar}^\oplus(\mathcal{H}_{\mathbb{C}}(S_2)) \cong \mathbb{S}\text{Bim}$ .

## 3.2 Diagrammatic $\mathcal{O}(\mathfrak{sl}_2)$

For this section, our category of interest is  $\mathcal{O}$  for the semisimple Lie algebra  $\mathfrak{sl}_2(\mathbb{C})$ . A description of the category  $\mathcal{O}$  can be found in general in [Hum08, Sections 3.8–3.10] or in [Maz09, Section 5.2] for the case of  $\mathfrak{sl}_2(\mathbb{C})$ , so we will only give a brief overview. The category  $\mathcal{O}$  is a category of certain modules (i.e. representations) over a semisimple Lie algebra. It is a direct sum of subcategories, where, in the case of  $\mathfrak{sl}_2$  over  $\mathbb{C}$ , the non-trivial summands are equivalent as abelian categories to the subcategory  $\mathcal{O}_0$ . Within this, we look to the full subcategory  $\text{proj}(\mathcal{O}_0)$  of projective modules in  $\mathcal{O}_0$ , which, in particular, is additive and contains all direct summands.

In [Soe90, Section 2.4], Soergel shows that the category  $\mathcal{O}$ , and hence the subcategory  $\text{proj}(\mathcal{O}_0)$ , is a Soergel module category, that is it has an action of the monoidal category  $\mathbb{S}\text{Bim}$ . By the equivalence in Theorem 3.1.15 we will view  $\text{proj}(\mathcal{O})$  as a  $\mathcal{H}_{\mathbb{C}}(S_2)$ -module category, extending via the additive Karoubi envelope. Since  $\mathcal{H}_{\mathbb{C}}(S_2)$  is diagrammatic, this action allows us to describe  $\text{proj}(\mathcal{O}_0)$  (thus essentially  $\mathcal{O}_0$  and  $\mathcal{O}$ ) diagrammatically.

*Remark 3.2.1.* We can pass from  $\text{proj}(\mathcal{O}_0)$  to  $\mathcal{O}_0$  by observing that  $K^b(\text{proj}(\mathcal{O}_0))$  is equivalent to  $D^b(\mathcal{O}_0)$  as graded  $\mathbb{C}$ -linear monoidal triangulated categories. This is a standard trick in the field, for example see the introduction of [RW18]<sup>6</sup>. However, for our purposes, it is not important to understand how this works.

Although we need to work over  $\mathbb{C}$  for  $\text{proj}(\mathcal{O}_0(\mathfrak{sl}_2))$ , the diagrammatic category can be defined more simply, that is over  $\mathbb{Z}$ .

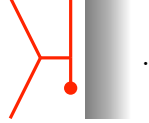
<sup>4</sup>The equivalence actually holds in more generality, but we choose  $\mathbb{C}$  because it is easy to work with.

<sup>5</sup>It is easy to check that the set of double leaves tensored with  $1 \in \mathbb{C}$  on the left form a basis.

<sup>6</sup>A self-contained summary of how diagrammatic categories can be related to abelian categories.



**Definition 3.2.2.** Let  $\mathcal{DO}_0 := \mathcal{DO}_0(\mathfrak{sl}_2)$  be the  $\mathbb{Z}$ -linear (left)  $\mathcal{H}(S_2)$ -module category with elements generated by the monoidal identity  $\emptyset$  of  $\mathcal{H}(S_2)$  and morphisms generated by the empty diagram  $\emptyset$ . The action of  $\mathcal{H}(S_2)$  on the left is left concatenation for both objects and morphisms. In addition to the relations from  $\mathcal{H}(S_2)$ , the morphisms have one new relation in which diagrams collapse to 0 when there are barbells on the right. To depict this we add a wall on the right of the diagram, i.e. embedding the diagrams in a one-sided planar strip instead of a double-sided strip. For example a morphism may be



Therefore we impose the local relation that diagrams are related to the wall by

$$\begin{array}{c} \bullet \\ | \\ \bullet \end{array} \begin{array}{c} \text{wall} \end{array} = 0. \quad (\text{W1})$$

Note that this local relation applies to any subdiagram *involving the wall*.

The objects of this category are identical to objects in  $\mathcal{H}(S_2)$  and the morphisms are the same modulo the wall relation (W1). Being a left module category, we can only concatenate diagrams on the left by means of the module action. This may seem no different from  $\mathcal{H}(S_2)$ , however the wall relation (W1) makes right tensors inconsistent. For instance, a barbell diagram is 0 however tensoring by  $\text{id}_s$  on the right gives a non-zero diagram. In particular  $\mathcal{DO}_0$  is not a monoidal category.

*Example 3.2.3.* Using the new relation (W1), we can further simplify the morphism in [Example 3.1.4](#) by

$$\begin{aligned} \begin{array}{c} \bullet \bullet \\ | \\ \bullet \end{array} \begin{array}{c} \text{wall} \end{array} &= 2 \begin{array}{c} \bullet \\ | \\ \bullet \end{array} \begin{array}{c} \text{wall} \end{array} - \begin{array}{c} \bullet \\ | \\ \bullet \end{array} \begin{array}{c} \text{wall} \end{array} \\ &= 2 \left( 2 \begin{array}{c} \bullet \\ | \\ \bullet \end{array} \begin{array}{c} \text{wall} \end{array} - \begin{array}{c} \bullet \\ | \\ \bullet \end{array} \begin{array}{c} \text{wall} \end{array} \right) - 0 \\ &= 4 \begin{array}{c} \bullet \\ | \\ \bullet \end{array} \begin{array}{c} \text{wall} \end{array}. \end{aligned}$$

A natural question to ask is whether double leaves still form bases for the morphism spaces here. Notice that double leaves appear in  $\mathcal{DO}_0$  by acting on  $\emptyset$  by double leaves in

$\mathcal{H}(S_2)$ . All morphisms in  $\mathcal{DO}_0$  are morphisms in  $\mathcal{H}(S_2)$  so they can be written as  $\mathbb{Z}[\textcolor{red}{!}]$ -linear combinations of double leaves, though some have collapsed to 0. Thus double leaves span the morphism spaces of  $\mathcal{DO}_0$  as (left)  $\mathbb{Z}[\textcolor{red}{!}]$ -modules. However they may not be linearly independent as neither left nor right modules. For example, any pair of double leaves that factor through  $\emptyset$  become 0 when multiplied by  $\textcolor{red}{!}$  on either side (by translating the barbell to the right). Although double leaves are not always a basis for its respective morphism space as  $\mathbb{Z}[\textcolor{red}{!}]$ -modules, it turns out they are a basis over  $\mathbb{Z}$ .

**Lemma 3.2.4.** *Let  $\pi : \text{mor}(\mathcal{H}(S_2)) \rightarrow \text{mor}(\mathcal{DO}_0)$  be the projection map which takes a morphism to the result of its action on the empty diagram  $\emptyset$ . Then the image  $\pi(\mathbb{LL}(w, y))$  is a basis for  $\text{Hom}_{\mathcal{DO}_0}(w, y)$  as a  $\mathbb{Z}$ -module.*

*Proof.* We consider morphisms  $\text{Hom}(w, y)$  in  $\mathcal{DO}_0$  for fixed objects  $w, y$ , and write  $\mathbb{LL} := \pi(\mathbb{LL}(w, y))$  for the set of double leaves in  $\mathcal{DO}_0$ . Any diagram in  $\mathcal{DO}_0$  can be written as a  $\mathbb{Z}$ -linear combination of morphisms without floating diagrams, by simplifying them to barbells, pulling them to the right and killing them with (W1). We can write each of these as a  $\mathbb{Z}[\textcolor{red}{!}]$ -linear combination of double leaves by (3.1.13) with the right action, and reduce it to a  $\mathbb{Z}$ -linear combination by (W1). This implies that  $\mathbb{LL}$  spans  $\text{Hom}(w, y)$  as a  $\mathbb{Z}$ -module. Since the barbell-wall relation (W1) has no effect on  $\mathbb{Z}$ -linear combinations of  $\mathbb{LL}$ , it follows from linear independence over  $\mathbb{Z}[\textcolor{red}{!}]$  that they are linearly independent over  $\mathbb{Z}$  in  $\mathcal{DO}_0$ .  $\square$

## Equivalence with $\text{proj}(\mathcal{O}_0)$

We aim to prove this diagrammatic category is equivalent to  $\text{proj}(\mathcal{O}_0)$ . To that end, we will shift our focus from  $\mathbb{Z}$  to  $\mathbb{C}$  for the remainder of this section. From now on,  $\mathcal{DO}_0$  is the  $\mathbb{C}$ -linear  $\mathcal{H}_{\mathbb{C}}(S_2)$ -module category obtained by extending the scalars of the  $\mathbb{Z}$ -linear version to  $\mathbb{C}$ . The above discussion and Lemma 3.2.4 still apply to  $\mathcal{DO}_0$  over  $\mathbb{C}$ .

**Lemma 3.2.5.** *In the additive closure of  $\mathcal{H}_{\mathbb{C}}(S_2)$  we have an explicit isomorphisms  $ss \cong s \oplus s$ , as detailed in the proof. Particularly, these are isomorphisms in the additive closure of  $\mathcal{DO}_0$ .*

*Proof.* In  $\mathcal{H}_{\mathbb{C}}(S_2)$  we have the relation

$$\begin{aligned}
 \begin{array}{c} | \\ | \end{array} &= \begin{array}{c} | \quad | \\ \textcolor{red}{\bullet} \quad \textcolor{red}{\bullet} \\ | \quad | \end{array} \\
 &= \frac{1}{2} \begin{array}{c} \diagup \quad \diagdown \\ \textcolor{red}{\bullet} \quad \textcolor{red}{\bullet} \\ \diagdown \quad \diagup \end{array} + \frac{1}{2} \begin{array}{c} \diagdown \quad \diagup \\ \textcolor{red}{\bullet} \quad \textcolor{red}{\bullet} \\ \diagup \quad \diagdown \end{array} \\
 &= \frac{1}{2} \begin{array}{c} \diagup \quad \diagdown \\ \textcolor{red}{\bullet} \quad \textcolor{red}{\bullet} \\ \diagdown \quad \diagup \end{array} + \frac{1}{2} \begin{array}{c} \diagdown \quad \diagup \\ \textcolor{red}{\bullet} \quad \textcolor{red}{\bullet} \\ \diagup \quad \diagdown \end{array} .
 \end{aligned} \tag{3.2.6}$$

This implies that in  $\mathcal{H}_{\mathbb{C}}(S_2)^{\oplus}$ , we have maps

$$\begin{pmatrix} \frac{1}{2} \\ \text{diagram} \\ \frac{1}{2} \end{pmatrix} : ss \rightarrow s \oplus s \text{ and } \begin{pmatrix} \text{diagram} & \text{diagram} \end{pmatrix} : s \oplus s \rightarrow ss.$$

It follows from (R1d), (R1c) and the calculation (3.2.6), that these maps are inverses.  $\square$

This allows us to see all morphisms as matrices of diagrams only involving  $\emptyset$  and  $s$ .

As a shorthand, we write  $\text{proj}(\mathcal{O}_0)$  for  $\text{proj}(\mathcal{O}_0(\mathfrak{sl}_2))$ . The work of Soergel in [Soe90, Section 2.4] shows that  $\text{proj}(\mathcal{O}_0)$  is a Soergel module, i.e. it has a left action of the category of Soergel bimodules defined by applications of the translation functors  $\Theta_{\emptyset}, \Theta_s \in \text{End}(\mathcal{O})$  corresponding to elements in  $S_2$ . We will construct a functor that maps faithfully into a full subcategory of  $\text{proj}(\mathcal{O}_0)$ , which will be entirety of  $\text{proj}(\mathcal{O}_0)$  under the additive Karoubi envelope. This is a similar strategy to the proof of Theorem 3.1.15.

**Definition 3.2.7.** Let  $F : \mathcal{DO}_0^{\oplus} \rightarrow \text{proj}(\mathcal{O}_0)$  be the  $\mathbb{C}$ -linear  $\mathcal{H}_{\mathbb{C}}(S_2)$ -module functor that sends the empty object  $\emptyset$  to the trivial module  $P(\emptyset)$ , and the Soergel module action corresponding to  $s$  to the translation functor  $\Theta_s$ . Then the object  $s$  maps to  $\Theta_s(P(\emptyset)) =: P(s)$ , and for example  $s^3$  maps to  $\Theta_s^3(P(\emptyset)) = \Theta_s \Theta_s \Theta_s(P(\emptyset))$ , the composition of three  $\Theta_s$  applied to  $P(\emptyset)$ . In order for  $F$  to be functorial, it must map identity diagrams  $s^n \rightarrow s^n$  to  $\text{id}_{\Theta_s^n(P(\emptyset))}$ . On non-identity maps, we let  $F(\downarrow)$  be the inclusion  $i : P(\emptyset) \rightarrow P(s)$  and  $F(\uparrow)$  be the projection  $p : P(s) \rightarrow P(\emptyset)$ . We then extend  $F$  by composition, additivity and linearity. The mapping of  $F$  is depicted by the following picture.

$$\begin{array}{ccc} \begin{array}{c} \emptyset \\ \downarrow \\ \emptyset \\ \downarrow \\ s \\ \downarrow \\ s \end{array} & \xrightarrow{F} & \begin{array}{c} \text{id}_{P(\emptyset)} \\ \downarrow \\ P(\emptyset) \\ \downarrow \\ i \downarrow \uparrow p \\ P(s) \\ \downarrow \\ \text{id}_{P(s)} i \circ p \end{array} \end{array} \quad (3.2.8)$$

Note that extending by composition is not problematic because the module action of  $\mathcal{H}(S_2)$  is functorial and  $\downarrow$  and  $\uparrow$  are generators in  $\mathcal{H}(S_2)$ . The action of the translation functors  $\Theta_{\emptyset}$  and  $\Theta_s$  on the diagrammatic side looks like a left tensor by the identity morphism  $\text{id}_{\emptyset}$  and  $\text{id}_s$ , but we do not need this.

**Lemma 3.2.9.** *The functor  $F$  is well defined.*

*Proof.* From [Maz09, Proposition 5.90], there is a natural isomorphism  $\Theta_s \Theta_s \cong \Theta_s \oplus \Theta_s$  analogous to the isomorphism  $ss \cong s \oplus s$  given in the proof of Lemma 3.2.5. Given a morphism in  $\mathcal{DO}_0^\oplus$  from  $s^n$  to  $s^m$ , repeated precomposition and postcomposition with  $ss \rightarrow s \oplus s$  and  $s \oplus s \rightarrow ss$  from Lemma 3.2.5 results in a matrix of diagrams with domain and codomain in  $\{\emptyset, s\}$ . By Lemma 3.2.4 over  $\mathbb{C}$ ,  $\text{Hom}(\emptyset, \emptyset)$  has a basis  $\{\emptyset = \text{id}_\emptyset\}$ ,  $\text{Hom}(s, \emptyset)$  has a basis  $\{\downarrow\}$ ,  $\text{Hom}(\emptyset, s)$  has a basis  $\{\uparrow\}$ , and  $\text{Hom}(s, s)$  has a basis  $\{\text{id}_s, \uparrow \circ \downarrow\}$ . Therefore, extending by linearity, the picture above completely describes the image of  $F$ .

Next we check that all the relations are preserved. From classical results e.g. [Maz09, Proposition 5.84 and Lemma 5.87], it follows that  $\Theta_s$  is a Frobenius object in the category of endofunctors of  $\mathcal{O}$ . Then there are unit, counit, multiplication and comultiplication natural transformations satisfying coherence relations in the Frobenius object structure. Applying these to  $P(\emptyset)$  result in the same relations in  $\text{proj}(\mathcal{O}_0)$  for  $P(\emptyset), P(s)$  and  $\Theta_s^2(P(\emptyset))$ . The projection and inclusion maps above are exactly the unit and counit of  $\Theta_s$  evaluated at  $P(\emptyset)$ , and the trivalent vertices provided by projecting the isomorphisms in Lemma 3.2.5 map exactly to the multiplication and comultiplication maps. Hence the Frobenius relations (R1a) and (R1b) are satisfied. Furthermore, in [Soe90, Section 2.4] we see that  $p \circ i = 0$  in  $\text{proj}(\mathcal{O}_0)$  which is analogous<sup>7</sup> to the barbell-wall relation (W1). Hence all the relations in  $\mathcal{DO}_0$  are preserved by  $F$ . By construction,  $F$  preserves  $\mathbb{C}$ -linear combinations and the Soergel module structure in [Soe90], so  $F$  is well defined as a functor between  $\mathbb{C}$ -linear  $\mathcal{H}(S_2)$ -module categories.  $\square$

**Theorem 3.2.10** (Soergel, [Soe90, Endomorphismsatz 7, Struktursatz 9 and Section 2.4]). *The diagrammatic category  $\text{Kar}^\oplus(\mathcal{DO}_0(\mathfrak{sl}_2))$  and  $\text{proj}(\mathcal{O}_0(\mathfrak{sl}_2))$  are equivalent as additive  $\mathbb{C}$ -linear  $\mathcal{H}(S_2)$ -module categories.*

*Proof.* First we show that  $F$  is full and faithful. The mapping of  $F$  on all morphism spaces are determined by those depicted in the picture (3.2.8). So for full and faithfulness, it suffices to compare the  $\mathbb{C}$ -dimensions of morphism spaces between objects shown in (3.2.8). The double leaves bases mentioned in Lemma 3.2.9 are precisely the diagrams depicted in the image. It follows from the description of  $P(\emptyset)$  and  $P(s)$  in [Maz09, Section 5.2] that the maps  $i, p, i \circ p$  are a basis for morphisms involving  $P(\emptyset)$  and  $P(s)$  as shown in (3.2.8). Due to  $\Theta_s \Theta_s \cong \Theta_s \oplus \Theta_s$  the morphisms  $p$  and  $i$  are enough to describe all the morphisms in  $\text{proj}(\mathcal{O}_0)$ . From this, it is clear that the dimensions of the Hom spaces coincide. Therefore  $F$  is fully faithful.

All objects in  $\text{proj}(\mathcal{O}_0)$  appear as direct sums and direct summands of the elements  $\Theta_s^n(P(\emptyset))$  for non-negative integers  $n$ . Therefore the additive Karoubi envelope induces an equivalence  $\text{Kar}^\oplus(\mathcal{DO}_0) \cong \text{proj}(\mathcal{O}_0)$  as additive  $\mathbb{C}$ -linear  $\mathcal{H}(S_2)$ -module categories.  $\square$

This result is essentially due to Soergel [Soe90, Endomorphismsatz 7, Struktursatz 9 and Section 2.4] (see also [Soe98]) but this was not its original formulation. Nevertheless we attribute this theorem to Soergel.

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<sup>7</sup>This relation extends to the analogue of the local barbell-wall relation as all “barbell on the right” morphisms in  $\text{proj}(\mathcal{O}_0)$  are linear combinations of applications of  $\Theta_s$  to  $p \circ i$ , which is 0.

*Remark 3.2.11.* The morphisms spaces in  $\mathcal{DO}_0$  are graded by the same grading as  $\mathcal{H}(S_2)$  in [Section 3.1](#). The equivalence  $\mathrm{Kar}^\oplus(\mathcal{DO}_0) \cong \mathrm{proj}(\mathcal{O}_0)$  includes a grading in  $\mathrm{proj}(\mathcal{O}_0)$  and hence a grading of  $\mathcal{O}$ , which is otherwise ungraded.

# Chapter 4

## Two-colour Diagrammatics

### 4.1 Two-colour Diagrammatic Hecke Category

In the previous chapter, the diagrammatic category  $\mathcal{H}(S_2)$  is determined by the symmetric group generated by one element  $S_2$ , which brought about one-colour diagrammatics. This chapter explores a more complex example by adding an extra generator, that is, another colour. In particular, we consider the affine symmetric group on two elements<sup>1</sup>  $\tilde{S}_2 = \langle s, t \mid s^2 = t^2 = 1 \rangle$ .

The definition is similar to the one-colour case, so we will be brief.

**Definition 4.1.1.** The *two-colour (diagrammatic) Hecke category*  $\mathcal{H}(\tilde{S}_2)$  is a (strict)  $\mathbb{Z}$ -linear monoidal category given by the following presentation.

Objects in  $\mathcal{H}(\tilde{S}_2)$  are generated by formal tensor products of the non-identity elements  $s, t \in \tilde{S}_2$ . As before, we write objects as words such as  $sstttst =: s^2t^3st$  where the tensor product is concatenation, and associate the colour **red** to  $s$  and **blue** to  $t$ . The empty word is the monoidal identity, which we write as  $\emptyset$ .

The morphisms are generated, up to isotopy, by the univalent and trivalent vertices

$$\begin{array}{c} \bullet \\ | \\ \text{red} \end{array}, \quad \begin{array}{c} \text{red} \\ \diagup \quad \diagdown \\ \text{red} \end{array}, \quad \begin{array}{c} \bullet \\ | \\ \text{blue} \end{array}, \quad \begin{array}{c} \text{blue} \\ \diagup \quad \diagdown \\ \text{blue} \end{array} \quad (G2)$$

that are maps  $s \rightarrow \emptyset$ ,  $ss \rightarrow s$ ,  $t \rightarrow \emptyset$  and  $tt \rightarrow t$  respectively, and their vertical reflections. As in the one-colour case, tensor product is horizontal concatenation, composition is appropriate vertical stacking, and we denote the empty diagram  $\emptyset \rightarrow \emptyset$  by  $\emptyset$ . For each colour, these diagrams have the one-colour relations given by (R1). The colours relate to each other by the *two-colour relations*

$$\begin{array}{c} \bullet \\ | \\ \bullet \\ | \\ \text{blue} \end{array} = \begin{array}{c} \text{blue} \\ | \\ \bullet \\ | \\ \text{blue} \end{array} + \begin{array}{c} \text{red} \\ | \\ \text{red} \\ | \\ \text{red} \end{array} - \begin{array}{c} \text{red} \\ | \\ \text{red} \\ | \\ \text{red} \end{array} \quad (R2)$$

<sup>1</sup>Also known as the infinite dihedral group.

$$= \left| \begin{array}{c} \text{blue line} \\ \text{blue line} \end{array} \right| + 2 \left| \begin{array}{c} \text{red line} \\ \text{red line} \end{array} \right| - 2 \left| \begin{array}{c} \text{red line} \\ \text{blue line} \end{array} \right|$$

and with red and blue swapped.

*Example 4.1.2.* Using the one-colour and two-colour relations on the following morphism in  $\text{Hom}(ttsts, tst)$  we have

$$\begin{aligned} & \text{Diagram 1} = \text{Diagram 2} \\ & = 2 \left( \text{Diagram 3} - \text{Diagram 4} \right) \\ & = 2 \left( \text{Diagram 5} - \text{Diagram 6} - 2 \text{Diagram 7} + 2 \text{Diagram 8} \right) \\ & = \left( \text{Diagram 9} \otimes (2 \text{Diagram 10} + 2 \text{Diagram 11}) - \text{Diagram 12} \otimes (\text{Diagram 13} + 2 \text{Diagram 14}) \right), \end{aligned}$$

where the last line uses linearity of the tensor product.

By restricting to one colour, we see  $\mathcal{H}(S_2)$  appears as a full subcategory in both red and blue.

*Remark 4.1.3.* Notice that the red and blue lines never cross as no generators that allow crossings. This is a consequence of working over affine  $S_2$  in which the generators  $s$  and  $t$  have no relation. In a group such as  $S_3 = \langle s, t \mid sts = tst \rangle$ , the relation  $sts = tst$  provides another generator  $sts \rightarrow tst$  (and its vertical reflection), depicted as a 6-valent vertex, and a few more diagrammatic relations. On a diagram, these will appear as crossings.

In this two-colour case, [Proposition 3.1.5](#) holds by replacing [\(R1d\)](#) with [\(R2\)](#) in the proof. This handles the new possibility of floating subdiagrams with alternating colours.

**Definition 4.1.4.** For a group with a presentation in terms of generators and relations, the *length* of a product of generators is the number of generators in the product. We say that a product of generators is *reduced* if it's length cannot be shortened with relations.

In  $\tilde{S}_2$  products can be shortened by the relation  $s^2 = t^2 = 1$ . For instance,  $sttsts$  is not reduced because it is equal to  $ts$  which is reduced. Notice that for  $\tilde{S}_2$  each element

can be written uniquely as a reduced product of generators. This is true since otherwise we have two distinct reduced products for the same element in  $\tilde{S}_2$  so they must be related by  $s^2 = t^2$ . This means they can be reduced further by  $s^2 = t^2 = 1$ , which contradicts minimality of their length. It is clear that the reduced products in  $\tilde{S}_2$  are either the identity or alternating products of  $s$  and  $t$ .

We can put the relations of  $\tilde{S}_2$  onto words in  $\mathcal{H}(\tilde{S}_2)$  similarly to [Section 3.1](#).

**Definition 4.1.5.** Let  $\phi : (\text{ob}(\mathcal{H}(\tilde{S}_2)), \otimes) \rightarrow (\tilde{S}_2, *)$  be the monoid homomorphism mapping  $\emptyset \mapsto 1$ ,  $s \mapsto s$  and  $t \mapsto t$ . Define  $\psi : \tilde{S}_2 \rightarrow \text{ob}(\mathcal{H}(\tilde{S}_2))$  that maps elements  $x \in \tilde{S}_2$  to the tensor product of  $s$  and  $t$  in  $\mathcal{H}(\tilde{S}_2)$  corresponding to the reduced product of  $x$  in  $\tilde{S}_2$ .

The function  $\psi$  is well defined because reduced products are unique and two different reduced products cannot equal the same element of  $\tilde{S}_2$ . Note that the image  $\psi(\tilde{S}_2)$  is the set containing  $\emptyset$  and words of alternating  $s$  and  $t$ . The composition  $\psi\phi : \mathcal{H}(\tilde{S}_2) \rightarrow \mathcal{H}(\tilde{S}_2)$  maps words  $w$  to the tensor of  $s$  and  $t$  corresponding to the reduced product of  $\phi(w)$ , and  $\phi\psi$  is the identity map on  $\tilde{S}_2$ .

## Jones–Wenzl Projectors

**Definition 4.1.6.** (Jones–Wenzl Projectors) Consider words  $w$  in  $\mathcal{H}(\tilde{S}_2)$  corresponding to reduced products in  $\tilde{S}_2$  i.e. alternating  $s$  and  $t$ . Suppose that the leftmost generator in  $w$  is  $s$ . Then *Jones–Wenzl projector*  $\text{JW}_k^s \in \text{Hom}(w, w)$  is defined recursively such that  $\text{JW}_0^s = \text{id}_\emptyset$ ,  $\text{JW}_1^s = \text{id}_s$ ,  $\text{JW}_2^s = \text{id}_{st}$  and for  $k \geq 2$  even,

$$\text{JW}_{k+1}^s = \text{JW}_k^s + \frac{k-1}{k} \left( \text{JW}_k^s \right) \cdot$$

For  $k$  odd, we just swap red and blue to the right of the ellipsis. If  $w$  starts with  $t$ , we can define  $\text{JW}_i^t$  by swapping all reds and blues in the recursive formula.

*Example 4.1.7.* The first non-trivial JW-projector is

$$\text{JW}_3^s = \left| \begin{array}{c} \text{red} \\ \text{blue} \\ \text{red} \end{array} \right| + \frac{1}{2} \left( \text{red} \text{ loop } \text{blue} \text{ red} \right)$$



**Definition 4.1.8.** A *pitchfork* is the diagram of the form



possibly with the colours swapped or vertically reflected.

**Proposition 4.1.9.** *The Jones–Wenzl projector is an idempotent, i.e.  $JW_k \circ JW_k = JW_k$ , and is killed by pitchforks on the top or the bottom.*

*Remark 4.1.10.* Jones–Wenzl projectors are originally defined to be elements in the Temperley–Lieb algebra satisfying certain properties. The above recursive formula was first shown in [Wen87], which we just take for its definition. The functor given in [Eli16, Section 5.3.2] sends them into our diagrammatic category. The proof of the Temperley–Lieb version of Proposition 4.1.9 is a classical result and can be found in for example [Wen87] or [Mor15].

The JW-projectors are important idempotents in our category, as their images are all the indecomposables in the additive Karoubi envelope of  $\mathcal{H}(\tilde{S}_2)$ , see [Eli16, Section 5.4.2].

## Double Leaves Basis

As in the one-colour case, there are bases for morphism spaces in  $\mathcal{H}(\tilde{S}_2)$  given by double leaves, which we will build up to. The following definition is a more general version of Definition 3.1.7.

**Definition 4.1.11** (Subexpression). Given a word  $w$  of length  $n$ , a *subexpression*  $e$  is a binary string of length  $n$ . A subexpression can be *applied* to produce an word  $w(e)$ , which is  $w$  where terms corresponding to 0 in  $e$  are replaced with  $\emptyset$ . For  $1 \leq i \leq n$ , we write  $w(e, i)$  for the result of the first  $i$  terms of  $e$  applied to the first  $i$  terms in  $w$ . Particularly  $w(e, 0) = \emptyset$  and  $w(e, n) = w(e)$ .

For example, in  $\mathcal{H}(\tilde{S}_2)$ , if  $w = sttts$  and  $e = 11001$  then  $w(e) = st\emptyset\emptyset s = sts$  and  $w(e, 3) = sts(110) = st\emptyset = st$  in  $\mathcal{H}(\tilde{S}_2)$ .

Let the *length* of a word be the number of generators in its tensor product. As before, given an object  $w$  and a subexpression  $e$  of  $w$ , we label each of the  $n$  terms by one of  $U_0, U_1, D_0, D_1$ . Let  $i \geq 0$ , and write  $x$  for the  $i$ -th term of  $w$ . We label the  $i$ -th term  $U_*$  if  $\psi\phi(w(e, i-1) \otimes x)$  is longer than  $\psi\phi(w(e, i-1))$ . In other words we write  $U_*$  if the next term of  $w$  will make  $\psi\phi$  applied to the partially evaluated subexpression longer, regardless of the  $i$ -term of  $e$ . We label  $D_*$  if  $\psi\phi(w(e, i-1) \otimes x)$  is longer than  $\psi\phi(w(e, i-1))$ . The label's subscript is the  $i$ -th term of  $e$ . Note that this construction is well defined because  $\psi\phi(w(e, i-1) \otimes x) = \psi(\phi(w(e, i-1)) * \phi(x)) = \psi(\phi(w(e, i-1)) * x)$  is always either longer or shorter, since the last element of the reduced product is either the same as  $x$  or different. When they are the same, the word is shorter via  $s^2 = t^2 = 1$ , and when they are different it is longer as no relations can make it shorter.



Diagrammatically these are morphisms from  $w$  to  $y$  factoring through  $\psi\phi(w(e)) = \psi\phi(y(f)) \in \psi(\tilde{S}_2)$ ,

$$\begin{array}{c}
y \\
\overline{LL}_{y,f} \\
\psi\phi(w(e)) = \psi\phi(y(f)) \text{ .} \\
LL_{w,e} \\
w
\end{array}$$

*Example 4.1.17.* Let  $w = sst$  with the subexpression  $e = 101$  and  $y = tstst$  with the subexpression  $f = 01001$ . The corresponding light leaves are

$$LL_{w,e} = \text{diagram 1} \quad \text{and} \quad LL_{y,f} = \text{diagram 2} .$$

Then the double leaf  $\mathbb{L}_{f,e} = \overline{LL}_{y,f} \circ LL_{w,e} : sst \rightarrow tstst$ , factoring through  $st$ , is

Diagram illustrating a U-shaped red line with two blue dots above it. The top blue dot is labeled  $\overline{LL}_{y,f}$  and the bottom blue dot is labeled  $LL_{w,e}$ . A dashed horizontal line separates the two labels.

As with the one-colour case, double leaves form a basis up to floating diagrams.

**Theorem 4.1.18** (Eliás-Williamson [EW16, Theorem 1.2]). *Given objects  $w, y \in \mathcal{H}(\tilde{S}_2)$ , let  $\mathbb{LL}(w, y)$  be the collection of double leaves  $\mathbb{LL}_{f,e}$  for subexpressions  $e$  of  $w$  and  $f$  of  $y$ , such that  $\psi\phi(w(e)) = \psi\phi(y(f))$ . Then  $\mathbb{LL}(w, y)$  is a basis for  $\text{Hom}(w, y)$  as a left (or right)  $\mathbb{Z}[\textcolor{red}{\bullet}, \textcolor{blue}{\bullet}]$ -module.*

The category is graded such that the univalent vertices have degree 1 and trivalent vertices have degree  $-1$  for either colour.

Let  $\mathcal{H}_{\mathbb{C}}(\tilde{S}_2)$  be the  $\mathbb{C}$ -linear monoidal category obtained by extending the scalars of morphisms spaces in  $\mathcal{H}(\tilde{S}_2)$  from  $\mathbb{Z}$  with  $\mathbb{C}$ . All the results above also hold for  $\mathcal{H}_{\mathbb{C}}(\tilde{S}_2)$ . Additionally, a result similar to [Theorem 3.1.15](#) holds.

**Theorem 4.1.19** (Elias-Williamson [EW16, Theorem 6.30]). *The diagrammatic category  $\text{Kar}^\oplus(\mathcal{H}_{\mathbb{C}}(\tilde{S}_2))$  and the category of Soergel Bimodules  $\text{SBim}$  over  $\tilde{S}_2$  are equivalent as graded  $\mathbb{C}$ -linear monoidal categories.*

*Remark 4.1.20.* The construction of the diagrammatic Hecke category, light leaves, [Theorem 3.1.13](#) and [Theorem 3.1.15](#) all generalise to general Coxeter groups. The details can be found in [\[EW16\]](#).

## 4.2 Diagrammatic $\text{Tilt}(\mathfrak{sl}_2)$

With the two-colour diagrammatic category, we can construct diagrammatics for the category of tilting modules  $\text{Tilt}(\mathfrak{sl}_2)$ . We give a brief overview of its structure.

For a fixed  $\ell \in \mathbb{Z}_{\geq 2}$ , consider quantum<sup>2</sup>  $\mathfrak{sl}_2$  at a primitive complex  $2\ell$ -th root of unity, where we follow conventions from [AT17, Section 2]. An indecomposable module of this algebra is called *tilting* if it appears as a direct summand of a tensor product of the defining two-dimensional representation of quantum  $\mathfrak{sl}_2$ . A general tilting module is a finite direct sum of indecomposable tilting modules.

Let  $\text{Tilt}(\mathfrak{sl}_2)$  be the category of tilting modules of quantum  $\mathfrak{sl}_2$  at a primitive complex  $2\ell$ -th root of unity. According to, for example, [AT17, Lemma 2.26]  $\text{Tilt}(\mathfrak{sl}_2)$  splits into a direct sum  $\text{Tilt}(\mathfrak{sl}_2) \cong \bigoplus_{i \in -1, \dots, \ell-1} \text{Tilt}_i(\mathfrak{sl}_2)$  such that the categories for indexes  $-1$  and  $\ell - 1$  are semisimple, and all other categories are equivalent. We can thus focus on  $\text{Tilt}_0(\mathfrak{sl}_2)$ . This category is additive, idempotent complete, Krull–Schmidt and has indecomposables indexed by elements of  $\tilde{S}_2$  (see for example [AT17, Lemma 2.26]).

Although need to be over  $\mathbb{C}$  for  $\text{Tilt}(\mathfrak{sl}_2)$ , the following diagrammatic category can be defined more simply over  $\mathbb{Z}$ .

**Definition 4.2.1.** Let  $\mathcal{DT}_0 := \mathcal{DT}_0(\mathfrak{sl}_2)$  be the  $\mathbb{Z}$ -linear (left)  $\mathcal{H}(\tilde{S}_2)$ -module category with elements generated by the monoidal identity  $\emptyset$  of  $\mathcal{H}(\tilde{S}_2)$ , and morphisms generated by the empty diagram  $\emptyset$ . The action of  $\mathcal{H}(\tilde{S}_2)$  on the left is left concatenation for objects and morphisms. The relations on diagrams in  $\mathcal{DT}_0(\mathfrak{sl}_2)$  are inherited from those in  $\mathcal{H}(\tilde{S}_2)$ . Additionally, we imagine a wall on the right of diagrams and impose the local wall-annihilation relations

$$\begin{array}{c} \text{red barbell} \end{array} \begin{array}{c} \text{wall} \end{array} = \begin{array}{c} \text{blue string} \end{array} \begin{array}{c} \text{wall} \end{array} = 0. \quad (\text{W2})$$

In other words, if a red barbell or blue string can come close to the wall without anything in between, then the diagram is 0. Note that local relations in (W2) involve the wall.

Similar to  $\mathcal{DO}_0$ , this is not a monoidal category due to the new relations (W2).

*Example 4.2.2.* The morphism in Example 4.1.2 collapses to 0 because all the diagrams have either blue or barbell on the right.

**TODO:** Another example clarifying 'blue on the right'

**Proposition 4.2.3.** *In the following diagrams, the domain and codomain alternate colours and we only depict the case for odd  $k$ . For even  $k$ , just swap the colours red*

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<sup>2</sup>A generalisation of Lie algebras.

and blue on the left of the ellipsis. For integers  $k \geq 1$

$$\begin{array}{c} \bullet \\ | \\ \bullet \end{array} \left| \begin{array}{c} | \\ | \\ \vdots \\ | \end{array} \right| \underbrace{\quad}_k \left| \begin{array}{c} | \\ | \\ \vdots \\ | \end{array} \right| = -2 \begin{array}{c} \bullet \\ | \\ \bullet \end{array} \left| \begin{array}{c} | \\ | \\ \vdots \\ | \end{array} \right| \underbrace{\quad}_k \left| \begin{array}{c} | \\ | \\ \vdots \\ | \end{array} \right| \quad (4.2.3a)$$

$$\begin{array}{c} \bullet \\ | \\ \bullet \end{array} \left| \begin{array}{c} | \\ | \\ \vdots \\ | \end{array} \right| \underbrace{\quad}_k \left| \begin{array}{c} | \\ | \\ \vdots \\ | \end{array} \right| = 2 \begin{array}{c} \bullet \\ | \\ \bullet \end{array} \left| \begin{array}{c} | \\ | \\ \vdots \\ | \end{array} \right| \underbrace{\quad}_k \left| \begin{array}{c} | \\ | \\ \vdots \\ | \end{array} \right| \quad (4.2.3b)$$

and for  $k \geq 3$

$$\left| \begin{array}{c} | \\ | \\ \vdots \\ | \end{array} \right| \underbrace{\quad}_k \left| \begin{array}{c} | \\ | \\ \vdots \\ | \end{array} \right| = 0. \quad (4.2.3c)$$

*Proof.* For  $k \in \{1, 2\}$ , we check the second two relations by hand. For  $k = 1$ , pulling the barbell through the line using (R1d) and (R2), then applying (W2) gives us

$$\begin{array}{c} \bullet \\ | \\ \bullet \end{array} \left| \begin{array}{c} | \\ | \\ \vdots \\ | \end{array} \right| = \left| \begin{array}{c} | \\ | \\ \vdots \\ | \end{array} \right| \begin{array}{c} \bullet \\ | \\ \bullet \end{array} + 2 \left| \begin{array}{c} | \\ | \\ \vdots \\ | \end{array} \right| \begin{array}{c} \bullet \\ | \\ \bullet \end{array} - 2 \left| \begin{array}{c} | \\ | \\ \vdots \\ | \end{array} \right| \begin{array}{c} \bullet \\ | \\ \bullet \end{array} = -2 \left| \begin{array}{c} | \\ | \\ \vdots \\ | \end{array} \right| \begin{array}{c} \bullet \\ | \\ \bullet \end{array}$$

and

$$\begin{array}{c} \bullet \\ | \\ \bullet \end{array} \left| \begin{array}{c} | \\ | \\ \vdots \\ | \end{array} \right| = 2 \left| \begin{array}{c} | \\ | \\ \vdots \\ | \end{array} \right| \begin{array}{c} \bullet \\ | \\ \bullet \end{array} - \left| \begin{array}{c} | \\ | \\ \vdots \\ | \end{array} \right| \begin{array}{c} \bullet \\ | \\ \bullet \end{array} = 2 \left| \begin{array}{c} | \\ | \\ \vdots \\ | \end{array} \right| \begin{array}{c} \bullet \\ | \\ \bullet \end{array}.$$

By a similar proof, using the  $k = 1$  relations locally, we have for  $k = 2$ ,

$$\begin{array}{c} \bullet \\ | \\ \bullet \end{array} \left| \begin{array}{c} | \\ | \\ \vdots \\ | \end{array} \right| = \left| \begin{array}{c} | \\ | \\ \vdots \\ | \end{array} \right| \begin{array}{c} \bullet \\ | \\ \bullet \end{array} + 2 \left| \begin{array}{c} | \\ | \\ \vdots \\ | \end{array} \right| \begin{array}{c} \bullet \\ | \\ \bullet \end{array} - 2 \left| \begin{array}{c} | \\ | \\ \vdots \\ | \end{array} \right| \begin{array}{c} \bullet \\ | \\ \bullet \end{array} \\ \stackrel{(k=1)}{=} 2 \left| \begin{array}{c} | \\ | \\ \vdots \\ | \end{array} \right| \begin{array}{c} \bullet \\ | \\ \bullet \end{array} + 2(-2) \left| \begin{array}{c} | \\ | \\ \vdots \\ | \end{array} \right| \begin{array}{c} \bullet \\ | \\ \bullet \end{array} - 2 \left| \begin{array}{c} | \\ | \\ \vdots \\ | \end{array} \right| \begin{array}{c} \bullet \\ | \\ \bullet \end{array} = -2 \left| \begin{array}{c} | \\ | \\ \vdots \\ | \end{array} \right| \begin{array}{c} \bullet \\ | \\ \bullet \end{array}$$

and

$$\begin{aligned}
& \text{Diagram 1} = 2 \text{ Diagram 2} - \text{Diagram 3} \\
& \stackrel{(k=1)}{=} 2 \text{ Diagram 4} - (-2) \text{ Diagram 5} = 2 \text{ Diagram 6} .
\end{aligned}$$

Now we proceed by induction on  $k$ . For  $k = 3$  we first show (4.2.3c). By a similar argument to (3.2.6) we have

$$\begin{aligned}
& \left[ \text{Diagram 1} \right] = \left[ \text{Diagram 2} \right] = \frac{1}{2} \left[ \text{Diagram 3} \right] + \frac{1}{2} \left[ \text{Diagram 4} \right] \\
& \stackrel{(k=1)}{=} \frac{2}{2} \left[ \text{Diagram 5} \right] + \frac{2}{2} \left[ \text{Diagram 6} \right] = 0
\end{aligned}$$

since the wall is accessible by the blue dot. Then

$$\begin{aligned}
& \text{Diagram 1} = \text{Diagram 2} + 2 \text{Diagram 3} - 2 \text{Diagram 4} \\
& \stackrel{(k=2)}{=} 2 \text{Diagram 5} + 2(-2) \text{Diagram 6} - 2 \text{Diagram 7} \\
& = -2 \text{Diagram 8}
\end{aligned}$$

and

$$= 2 \begin{array}{c} | \\ \bullet \\ | \\ \bullet \\ | \end{array} \quad \begin{array}{c} | \\ | \\ | \end{array} \quad \begin{array}{c} | \\ | \\ | \end{array} \quad \begin{array}{c} | \\ | \\ | \end{array} .$$

Let  $k \geq 4$  and assume the relations hold for diagrams with  $k-1, k-2, \dots, 1$ . We will depict the diagrams with odd  $k$ , where the even  $k$  case can be retrieved by swapping red and blue to the left of the ellipsis. Again, the argument to (3.2.6) implies

$$\begin{aligned}
& \underbrace{\left| \begin{array}{c} \text{red line} \\ \text{blue dot} \\ \text{red line} \end{array} \right| \dots \left| \begin{array}{c} \text{red line} \\ \text{blue dot} \\ \text{red line} \end{array} \right|}_{k} \\
&= \frac{1}{2} \left( \text{diagram 1} \right) + \frac{1}{2} \left( \text{diagram 2} \right) \\
&\stackrel{\text{ind.}}{=} \frac{2}{2} \left( \text{diagram 3} \right) + \frac{2}{2} \left( \text{diagram 4} \right) \\
&= \left( \text{diagram 5} \right) + \left( \text{diagram 6} \right) \\
&\stackrel{\text{ind.}}{=} 0
\end{aligned}$$

where the string to directly left of the ellipsis is the right red string when  $k = 4$ . Furthermore, we have

$$\begin{aligned}
& \underbrace{\left| \begin{array}{c} \bullet \\ \bullet \end{array} \right| \left| \begin{array}{c} \bullet \\ \bullet \end{array} \right| \cdots}_{k} = \left| \begin{array}{c} \bullet \\ \bullet \end{array} \right| \cdots + 2 \left| \begin{array}{c} \bullet \\ \bullet \end{array} \right| \cdots - 2 \left| \begin{array}{c} \bullet \\ \bullet \end{array} \right| \cdots \\
& \stackrel{ind.}{=} 2 \left| \begin{array}{c} \bullet \\ \bullet \end{array} \right| \cdots + 2(-2) \left| \begin{array}{c} \bullet \\ \bullet \end{array} \right| \cdots - 2 \left| \begin{array}{c} \bullet \\ \bullet \end{array} \right| \cdots \\
& = -2 \left| \begin{array}{c} \bullet \\ \bullet \end{array} \right| \cdots
\end{aligned}$$

and

$$\begin{aligned}
& \begin{array}{c} \text{Diagram 1} \\ \underbrace{\hspace{1.5cm}}_k \end{array} = 2 \begin{array}{c} \text{Diagram 2} \end{array} - \begin{array}{c} \text{Diagram 3} \end{array} \\
& \stackrel{\text{ind.}}{=} 2 \begin{array}{c} \text{Diagram 4} \end{array} - (-2) \begin{array}{c} \text{Diagram 5} \end{array} \\
& = 2 \begin{array}{c} \text{Diagram 6} \end{array}.
\end{aligned}$$

□

The objects of this category are identical to objects in  $\mathcal{H}(\tilde{S}_2)$  and the morphisms are the same modulo the wall relations (W2). Naturally, we wonder whether double leaves form bases for the morphism spaces in  $\mathcal{DT}_0$ . It is easy to see that double leaves appear in  $\mathcal{DT}_0$  by acting on  $\emptyset$  by double leaves in  $\mathcal{H}(\tilde{S}_2)$ . All morphisms in  $\mathcal{DT}_0$  are morphisms in  $\mathcal{H}(\tilde{S}_2)$  so they can be written as  $\mathbb{Z}[\textcolor{red}{\downarrow}, \textcolor{blue}{\downarrow}]$ -linear combinations of double leaves, though some of these leaves have collapsed to 0. This makes it clear that double leaves span the morphism spaces of  $\mathcal{DT}_0$  as (left)  $\mathbb{Z}[\textcolor{red}{\downarrow}, \textcolor{blue}{\downarrow}]$ -modules. However they may not be linearly independent as neither left nor right modules as with the one-colour case. Although double leaves are not always a basis for its respective morphism space as  $\mathbb{Z}[\textcolor{red}{\downarrow}, \textcolor{blue}{\downarrow}]$ -modules, it turns out a subset of them are a basis over  $\mathbb{Z}$ .

**Lemma 4.2.6.** *Let  $\pi : \text{mor}(\mathcal{H}(\tilde{S}_2)) \rightarrow \text{mor}(\mathcal{DT}_0)$  be the projection map which takes a morphism to the result of its action on the empty diagram  $\emptyset$ . Then the image  $\pi(\mathbb{LL}(w, y))$  without zero morphisms is a basis for  $\text{Hom}_{\mathcal{DT}_0}(w, y)$  as a  $\mathbb{C}$ -module.*

*Proof.* Consider morphisms  $\text{Hom}(w, y)$  in  $\mathcal{DT}_0$  for fixed objects  $w, y$ , and write  $\mathbb{LL} := \pi(\mathbb{LL}(w, y))$  for the set of double leaves in  $\mathcal{DT}_0$ . Any diagram in  $\mathcal{DT}_0$  can be written as a  $\mathbb{C}$ -linear combination of morphisms without floating diagrams by pulling floating diagrams to the right with (R1d) and (R2) then applying the wall relation (W2). We can write each of these as a  $\mathbb{Z}[\textcolor{red}{\downarrow}, \textcolor{blue}{\downarrow}]$ -linear combination of double leaves with a right action, and reduce it to a  $\mathbb{Z}$ -linear combination by (W2). This implies that  $\mathbb{LL}$  spans  $\text{Hom}(w, y)$  as a  $\mathbb{Z}$ -module. Now  $\mathbb{LL}$  may not be linearly independent because the two-colour wall relation (W2) reduces all diagrams factoring through a word ending with  $t$  to 0. The set of light leaves after removing morphisms killed by (W2), i.e.  $\mathbb{LL} \setminus \{0\}$ , still spans  $\text{Hom}(w, y)$  by the argument above. This set is linearly independent since, by construction, (W2) has no effect on  $\mathbb{Z}$ -linear combinations of  $\mathbb{LL} \setminus \{0\}$ . Then it follows from linear independence over  $\mathbb{Z}[\textcolor{red}{\downarrow}, \textcolor{blue}{\downarrow}]$  that this set is linearly independent over  $\mathbb{Z}$  in  $\mathcal{DT}_0$ . □



Since there exists light leaves with unbroken red strands on the right, this lemma implies that our category does not collapse by adding the module category structure and the wall relation (W2). Unlike Section 3.2, we will not be using this result to prove the equivalence of categories.

## Equivalence with $\text{Tilt}_0(\mathfrak{sl}_2)$

We aim to show that the additive Karoubi envelope of this diagrammatic category is equivalent to  $\text{Tilt}_0(\mathfrak{sl}_2)$ . From now on, we write  $\mathcal{DT}_0$  for the  $\mathbb{C}$ -linear  $\mathcal{H}_{\mathbb{C}}(\tilde{S}_2)$ -module category obtained by extending scalars from  $\mathbb{Z}$  with  $\mathbb{C}$ . All the above discussion and results still apply to  $\mathcal{DT}_0$  over  $\mathbb{C}$ . For brevity we may also write  $\mathcal{T}_0$  for  $\text{Tilt}_0(\mathfrak{sl}_2)$ .

Since  $\mathcal{H}_{\mathbb{C}}(S_2)$  appears inside  $\mathcal{H}_{\mathbb{C}}(\tilde{S}_2)$  for each colour, Lemma 3.2.5 provides explicit isomorphisms  $ss \cong s \oplus s$  and  $tt \cong t \oplus t$  in the additive closure of  $\mathcal{H}_{\mathbb{C}}(\tilde{S}_2)$ .

**Definition 4.2.7.** Let  $F : \mathcal{DT}_0^{\oplus} \rightarrow \text{Tilt}_0(\mathfrak{sl}_2)$  to be the additive  $\mathbb{C}$ -linear  $\mathcal{H}_{\mathbb{C}}(\tilde{S}_2)$ -module functor defined as follows. Map the empty word  $\emptyset$  to the trivial module  $T(\emptyset)$ . Given a general word  $s_n \dots s_1$  in  $\mathcal{DT}_0$ , for  $s_i \in \{s, t\}$ , map  $F(s_n \dots s_1) = \Theta_{s_n} \dots \Theta_{s_1} T(\emptyset)$  where  $\Theta_s, \Theta_t$  are translation functors associated to generators of  $\tilde{S}_2$ .

On morphisms, we define  $F$  recursively. Note that we only have red strands on the right since (W2) reduces right blue strands to 0. For  $k \geq 0$ , define for odd  $k$

$$\text{id}_k^d := \underbrace{\begin{array}{c} | \dots | \\ \text{red} \end{array}}_k, \quad i_k^d := \begin{array}{c} \bullet \\ | \dots | \\ \text{red} \end{array}, \quad p_k^d := \begin{array}{c} | \dots | \\ \bullet \\ \text{red} \end{array}$$


where colours alternate and a red strand on the right when  $k \neq 0$ . For even  $k$ , we define these similarly with colours to the left of the ellipsis swapped. Similarly for  $k \geq 0$ , we define  $\text{id}_k : \Theta_x \dots \Theta_s(T(\emptyset)) \rightarrow \Theta_x \dots \Theta_s(T(\emptyset))$ ,  $i_k : \Theta_x \dots \Theta_s(T(\emptyset)) \rightarrow \Theta_y \Theta_x \dots \Theta_s(T(\emptyset))$  and  $p_k : \Theta_y \Theta_x \dots \Theta_s(T(\emptyset)) \rightarrow \Theta_x \dots \Theta_s(T(\emptyset))$  to be the identity, inclusion and projection maps in  $\mathcal{T}_0$ , where the subscripts alternate  $s, t$  and  $\Theta_x \dots \Theta_s$  is a composition of  $k$  translation functors. Further we write  $\tilde{p}_k := (-1)^{k+1} \frac{1}{2k+1} p_k$ . Let  $F(\text{id}_k^d) = \text{id}_k$ . On the generators (G2) of  $\mathcal{DT}_0$ , map

$$\begin{array}{c} \begin{array}{c} | \dots | \\ \text{id}_k^d \\ | \dots | \end{array} \xrightarrow{F} \begin{cases} \text{id}_{k+1}, & \text{if } k \text{ even,} \\ \begin{pmatrix} \text{id}_k & 0 \\ 0 & \text{id}_k \end{pmatrix}, & \text{if } k \text{ odd,} \end{cases} \\ \\ \begin{array}{c} \bullet \\ | \dots | \\ \text{id}_k^d \\ | \dots | \end{array} \xrightarrow{F} \begin{cases} \tilde{p}_k, & \text{if } k \text{ even,} \\ \begin{pmatrix} i_{k-1} \circ \tilde{p}_{k-1} \\ \text{id}_k \end{pmatrix}, & \text{if } k \text{ odd,} \end{cases} \end{array}$$

$$\text{Diagram} \xrightarrow{F} \begin{cases} \begin{pmatrix} 0 & \text{id}_{k+1} \end{pmatrix}, & \text{if } k \text{ even,} \\ \begin{pmatrix} 0 & 0 & \text{id}_k & 0 \\ 0 & 0 & 0 & \text{id}_k \end{pmatrix}, & \text{if } k \text{ odd,} \end{cases}$$

$$\text{Y} \vdash \left( \text{id}_k^d \right) \xrightarrow{F} \begin{cases} \begin{pmatrix} 0 & 0 \\ \text{id}_k & 0 \\ 0 & \text{id}_k \\ 0 & 0 \\ 0 & 0 \end{pmatrix}, & \text{if } k \text{ odd,} \end{cases}$$

where black strands can be any colour and each entry in the matrix are matrices themselves. For blue generators, the definition is the same with the words even and odd swapped. Putting a red (resp. blue) identity strands on the left of a diagram is applying  $\Theta_s$  (resp.  $\Theta_t$ ) to the output morphism. Pictorially, for a morphism  $f$  in  $\mathcal{DT}_0$ ,



$$\vdash^F \mapsto \Theta_s F(f).$$

We extend the functor by composition, additivity and linearity.

The mappings that don't involve matrices are summarised in the picture below.

$$\begin{array}{ccc}
\emptyset & \xrightarrow{\quad F \quad} & \text{id}_0 \\
\downarrow & & \downarrow \\
\emptyset & & T(\emptyset) \\
\downarrow & & \downarrow \\
\begin{array}{c} \color{red}\mid \\ \color{blue}\mid \end{array} s \begin{array}{c} \color{red}\mid \\ \color{blue}\mid \end{array} & & i_0 \left( \begin{array}{c} \color{red}\mid \\ \color{blue}\mid \end{array} \right) p_0 \\
\downarrow & & \downarrow \\
\begin{array}{c} \color{red}\mid \\ \color{blue}\mid \end{array} ts \begin{array}{c} \color{red}\mid \\ \color{blue}\mid \end{array} & & i_1 \left( \begin{array}{c} \color{red}\mid \\ \color{blue}\mid \end{array} \right) p_1 \\
\downarrow & & \downarrow \\
\begin{array}{c} \color{red}\mid \\ \color{blue}\mid \end{array} sts \begin{array}{c} \color{red}\mid \\ \color{blue}\mid \end{array} & & i_2 \left( \begin{array}{c} \color{red}\mid \\ \color{blue}\mid \end{array} \right) p_2 \\
\downarrow & & \downarrow \\
\vdots & & \vdots
\end{array}
\tag{4.2.8}$$

The right wall on each diagram is not shown to reduce clutter.

The definition on generators is a consequence of the isomorphism  $\Theta_s \Theta_s \cong \Theta_s \oplus \Theta_s$  analogous to  $ss \cong s \oplus s$  (and respectively for  $t$ ) from [Lemma 3.2.5](#).

*Remark 4.2.9.* The action of an arbitrary morphism of  $\mathcal{H}(\tilde{S}_2)$  on the left of a morphism in  $\mathcal{DT}_0$  is sent to the Godement product<sup>3</sup> of the natural transformations underlying the image of morphisms under  $F$ . Taking the Godement product of natural transformations  $\Theta_x \dots \Theta_s \rightarrow \Theta_y \dots \Theta_s$ , when viewed as diagrams in  $\mathcal{DT}_0$ , is just a left tensor of the corresponding diagrams. Visually, the construction looks like putting identity morphisms on the left of one morphism on the right of the other, so that the codomains align, and then composing them. In  $\mathcal{T}_0$ , this is the Kronecker product of matrices.

**Lemma 4.2.10.** *The functor  $F$  is well defined as a functor between additive  $\mathbb{C}$ -linear  $\mathcal{H}(S_2)$ -module categories.*

*Proof.* By [Remark 4.2.9](#), the definition of  $F$  defines an action of every morphism in  $\mathcal{DT}_0^\oplus$ . It remains to check that all relations are preserved. It follows from [\[AT17, Proposition 2.34\]](#) that the translation functors  $\Theta_s, \Theta_t$  are Frobenius objects in the category of endofunctors of  $\mathcal{T}$  and there are unit, counit, multiplication and comultiplication natural transformations and corresponding relations from the Frobenius object structure. Applying these to  $T(\emptyset)$  result in Frobenius object relations in  $\mathcal{T}_0$  for  $T(\emptyset)$ ,  $\Theta_s T(\emptyset)$  and  $\Theta_s^2(T(\emptyset))$ , and similarly with  $\Theta_t$ . Note that  $\downarrow$  and  $\uparrow$  map to  $i_0$  and  $\tilde{p}_0$  *Is the scaling here right?* which are exactly the unit and counit of  $\Theta_s$  evaluated at  $T(\emptyset)$  (up to scaling), and the trivalent vertices defined with  $\text{id}_0^d$  are mapped exactly to the multiplication and comultiplication maps. The isomorphism [Lemma 3.2.5](#) we use to reduce domain and codomain has an analogue  $\Theta_s \circ \Theta_s \cong \Theta_s \oplus \Theta_s$  as in [\[AT17, Corollary 2.35\(a\)\]](#), and similarly for  $t$ . Furthermore, in [\[AT17, Proposition 2.30\]](#) we see that  $p_0 \circ i_0 = 0$ ,  $p_{k+1} \circ i_{k+1} = i_k \circ p_k$  that are analogous to the relations in [Proposition 4.2.3](#), up to an adjusting scalar given in the definition. From [\[AT17, Corollary 2.35\]](#) the translation functors satisfy properties analogous to the two-colour wall relations (W2). Checking that the remaining relations (R1c), (R1d), (R2) and (W2) hold in  $\mathcal{T}_0$  is straightforward (see [\[AT17, Lemma 4.26\]](#)). Therefore all the relations in  $\mathcal{DT}_0$  are preserved by  $F$ . By construction,  $F$  preserves direct sums,  $\mathbb{C}$ -linear combinations and the Soergel module structure, so  $F$  is well defined as a functor between additive  $\mathbb{C}$ -linear  $\mathcal{H}(S_2)$ -module categories.  $\square$

**Theorem 4.2.11** (Andersen–Tubbenhauer, [\[AT17, Theorem 4.27\]](#)). *The diagrammatic category  $\text{Kar}^\oplus(\mathcal{DT}_0(\mathfrak{sl}_2))$  and  $\text{Tilt}_0(\mathfrak{sl}_2)$  are equivalent as additive  $\mathbb{C}$ -linear  $\mathcal{H}_\mathbb{C}(\tilde{S}_2)$ -module categories.*

*Proof.* Since  $\mathcal{T}_0$  is additive and Karoubian, our functor  $F$  extends uniquely to an additive functor  $F' : \text{Kar}^\oplus(\mathcal{DT}_0) \rightarrow \mathcal{T}_0$ . By the argument in [\[AT17, Theorem 4.27\]](#), every element in  $\mathcal{T}_0$  is isomorphic to  $F'$  applied to a direct sum of images of Jones–Wenzl projectors, so  $F'$  is essentially surjective. Particularly, this shows that the images of JW-projectors map exactly to the indecomposable “leading” tilting modules.

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<sup>3</sup>The horizontal composition of natural transformations.

By [Lemma 3.2.5](#) and (W2), we just consider words with alternating generators and ending with  $s$ . Write  $T(...ts)$  for the leading indecomposable summand of  $...\Theta_t\Theta_s(T(\emptyset))$  in  $\mathcal{T}_0$ , and write  $b_{...ts}$  for the image of  $\text{JW}_{...ts}$ . By [\[Eli16, Section 5.4.2\]](#), Jones–Wenzl projectors are primitive idempotents and their images are all the indecomposables in  $\mathcal{DT}_0$ , and as mentioned above they map to the leading indecomposables in  $\mathcal{T}_0$ . For full and faithfulness, it is sufficient to check that the dimensions of the morphism spaces between indecomposables  $\text{Hom}_{\mathcal{DT}_0}(b_{x...ts}, b_{y...ts})$  and  $\text{Hom}_{\mathcal{T}_0}(T(x...ts), T(y...ts))$  coincide. On the diagrammatic side, a morphism  $b_{x...ts} \rightarrow b_{y...ts}$  is given by  $\text{JW}_{y...ts} f \text{JW}_{x...ts}$  where  $f : x...ts \rightarrow y...ts$ . Since morphisms can be written as a linear combination of double leaves, we consider  $f$  to be a double leaf. By [Proposition 4.1.9](#), all double leaves in which pitchfork appear on the top or bottom of the diagram are killed. Since the domain and codomain alternate colours, the remaining diagrams are a tensor and composition of  $\downarrow$ ,  $\uparrow$  and identity strands. Notice that we have the relation

$$\begin{aligned} \downarrow \uparrow \parallel &= \downarrow \uparrow \parallel = \frac{1}{2} \downarrow \uparrow \parallel + \frac{1}{2} \downarrow \uparrow \parallel \\ &= \frac{1}{2} \downarrow \uparrow \parallel + \frac{2}{2} \downarrow \uparrow \parallel = \frac{1}{2} \downarrow \uparrow \parallel. \end{aligned}$$

Proceeding inductively on the number of identity strands on the right, we have

$$\begin{aligned} \downarrow \uparrow \parallel \cdots \parallel &= \downarrow \uparrow \parallel \cdots \parallel = \frac{1}{2} \downarrow \uparrow \parallel \cdots \parallel + \frac{1}{2} \downarrow \uparrow \parallel \cdots \parallel \\ &= \frac{1}{2} \downarrow \uparrow \parallel \cdots \parallel + \frac{2}{2} \downarrow \uparrow \parallel \cdots \parallel \\ &= \frac{1}{2} \downarrow \uparrow \parallel \cdots \parallel + \downarrow \uparrow \parallel \cdots \parallel \end{aligned}$$

for even length domain, where the third equality follows from [Proposition 4.2.3](#). Swapping blue and red strands left of the ellipsis gives us the odd case. By induction the second term is a linear combination of diagrams with pitchforks, hence this diagram is a linear combinations of diagrams with pitchforks. Particularly, these are killed by Jones–Wenzl projectors. The same holds for the vertically reflected diagram. Along with [Proposition 4.2.3](#), we conclude that the only double leaves we should consider are  $\text{id}_k$ ,  $i_k^d$ ,  $p_k^d$  and their composition  $i_k^d \circ p_k^d$ . This is informally summarised by the diagram

below (similar to (4.2.8)).

$$\begin{array}{ccc}
\begin{array}{c}
\emptyset \\
\downarrow \\
\emptyset \\
\downarrow \left( \begin{array}{c} \text{red dot} \end{array} \right) \downarrow \left( \begin{array}{c} \text{red dot} \end{array} \right) \\
| \quad \left( \begin{array}{c} \text{red dot} \end{array} \right) \quad b_s \quad \left( \begin{array}{c} \text{red dot} \end{array} \right) \\
\downarrow \left( \begin{array}{c} \text{blue dot} \end{array} \right) \downarrow \left( \begin{array}{c} \text{blue dot} \end{array} \right) \\
|| \quad \left( \begin{array}{c} \text{blue dot} \end{array} \right) \quad b_{ts} \quad \left( \begin{array}{c} \text{blue dot} \end{array} \right) \\
\downarrow \left( \begin{array}{c} \text{red dot} \end{array} \right) \downarrow \left( \begin{array}{c} \text{red dot} \end{array} \right) \\
||| \quad \left( \begin{array}{c} \text{red dot} \end{array} \right) \quad b_{sts} \quad \left( \begin{array}{c} \text{red dot} \end{array} \right) \\
\downarrow \left( \begin{array}{c} \text{blue dot} \end{array} \right) \downarrow \left( \begin{array}{c} \text{blue dot} \end{array} \right) \\
\vdots
\end{array}
& \xrightarrow{F'} &
\begin{array}{c}
\text{id}_0 \\
\downarrow \\
T(\emptyset) \\
\downarrow \left( \begin{array}{c} \text{red dot} \end{array} \right) \downarrow \left( \begin{array}{c} \text{red dot} \end{array} \right) p_0 \\
\text{id}_1 \quad \left( \begin{array}{c} \text{red dot} \end{array} \right) \quad T(s) \quad \left( \begin{array}{c} \text{red dot} \end{array} \right) \quad i_0 \circ p_0 \\
\downarrow \left( \begin{array}{c} \text{blue dot} \end{array} \right) \downarrow \left( \begin{array}{c} \text{blue dot} \end{array} \right) p_1 \\
\text{id}_2 \quad \left( \begin{array}{c} \text{blue dot} \end{array} \right) \quad T(ts) \quad \left( \begin{array}{c} \text{blue dot} \end{array} \right) \quad i_1 \circ p_1 \\
\downarrow \left( \begin{array}{c} \text{red dot} \end{array} \right) \downarrow \left( \begin{array}{c} \text{red dot} \end{array} \right) p_2 \\
\text{id}_3 \quad \left( \begin{array}{c} \text{red dot} \end{array} \right) \quad T(sts) \quad \left( \begin{array}{c} \text{red dot} \end{array} \right) \quad i_2 \circ p_2 \\
\downarrow \left( \begin{array}{c} \text{blue dot} \end{array} \right) \downarrow \left( \begin{array}{c} \text{blue dot} \end{array} \right) p_3 \\
\vdots
\end{array}
\end{array} \tag{4.2.12}$$

Although not drawn, all the diagrams are flanked by Jones–Wenzl projectors, and the matching morphisms in  $\mathcal{T}_0$  are pre and post-composed with the idempotents corresponding to the appropriate JW-projectors. Putting JW-projectors above and below any of these diagrams clearly do not result in zero. Moreover, in the endomorphism space of each non-trivial indecomposable, the morphisms  $\text{id}_k^d$  and  $i_{k-1}^d \circ p_{k-1}^d$ , with JW-projectors before and after, can easily be checked to be linearly independent. Hence the bases for the spaces can be read off the picture (4.2.12). In  $\mathcal{T}_0$ , the analogous bases for the morphism spaces in [AT17, Corollary 2.3.1] have matching dimensions, hence  $F'$  is fully faithful. Therefore the categories  $\text{Kar}^\oplus(\mathcal{DT}_0)$  and  $\text{Tilt}_0(\mathfrak{sl}_2)$  are equivalent as (idempotent complete) additive  $\mathbb{C}$ -linear  $\mathcal{H}_{\mathbb{C}}(\tilde{S}_2)$ -module categories.  $\square$

This functor is defined similarly to that for  $\text{proj}(\mathcal{O}_0)$  in Section 3.2. However this is not apparent since Jones–Wenzl projectors for that category are trivial (just the red identity strand).

# Chapter 5

## Future Directions

This is just a collection of dot points for now

Diagrammatics for other types of monoidal categories, for example braided monoidal categories, some of which are mentioned in [Sel10].

We have defined diagrammatics for the smallest non-trivial case of  $\mathfrak{sl}_2$ . We can attempt to extend it to other lie algebras.

The original goal for this thesis was to diagrammatically describe the categorification of the Lusztig–Vogan module in [LR22]. It is just one step beyond the diagrammatics for  $\text{Tilt}(\mathfrak{sl}_2)$ , where there may be a new generator where diagrams can interact with the wall.

Extending to include the details about all the representation theory.

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