

Diagrammatic Categories in Representation Theory  
Honours Thesis  
(Draft)

Victor Zhang  
Supervisor: Dr Anna Romanov

UNSW Australia

February 16, 2023

# Contents

<b>1</b>	<b>Introduction</b>	<b>1</b>
<b>2</b>	<b>Background</b>	<b>2</b>
2.1	Coxeter Groups . . . . .	2
2.2	Hecke Algebra . . . . .	4
2.3	Soergel Bimodules . . . . .	6

# Chapter 1

## Introduction

This page was empty.

# Chapter 2

## Background

Notation: we write 1 for the neutral element of a group.

### 2.1 Coxeter Groups

**Definition 2.1.1.** A *Coxeter system*  $(W, S)$  is a group  $W$  and a finite subset  $S = \{s_1, \dots, s_n\} \subset W$  under the following conditions. For any  $s, t \in S$ ,  $(st)^{m_{st}} = 1$  where  $m_{st} \in \mathbb{Z}_{>0} \cup \{\infty\}$  such that  $m_{st} = 1$  if  $s = t$ , and  $m_{st} = m_{ts} \in \{2, 3, \dots\} \cup \{\infty\}$  if  $s \neq t$ . In other words,  $W = \langle s \in S \mid (st)^{m_{st}} = 1 \rangle$  with generator  $S$ . We call  $W$  a *Coxeter group*.

The value  $m_{st} = \infty$  indicates there are no relations of the form  $(st)^m = 1$  for any  $m \in \mathbb{Z}_{>0}$ . We often call relations of the form  $s^2 = 1$  *quadratic relations*. The quadratic relations on the generators of the Coxeter group imply that  $s^{-1} = s$  and  $(st)^{-1} = t^{-1}s^{-1} = ts$ . Moreover, if  $s \neq t$  and  $m_{st} < \infty$ , then we can use the quadratic relations to write  $(st)^{m_{st}} = 1$  equivalently as

$$\underbrace{sts\dots}_{m_{st}} = \underbrace{tst\dots}_{m_{st}},$$

which we call *braid relations*. Coxeter systems are closely related to reflections, so we often call elements of  $S$  *simple reflections*, and elements in  $W$  that are conjugates to elements in  $S$  *reflections*.

*Example 2.1.2.* The permutation group of  $n$  elements  $S_n$  is a Coxeter group generated by the set of transpositions  $S = \{(i, i+1) \in S_n : 1 \leq i \leq n-1\}$ . Let  $s_i := (i, i+1)$ . We know from algebra that  $S$  generates  $S_n$ , so let us check the relations.

- For any  $i$ ,  $s_i^2 = (i, i+1)(i, i+1) = 1$ .
- For  $i > j+1$ , the transpositions  $(i, i+1)$  and  $(j, j+1)$  are disjoint so  $(s_i s_j)^2 = (i, i+1)(j, j+1)(i, i+1)(j, j+1) = 1$ .
- For  $i = j+1$ ,  $(s_i s_j)^3 = ((i, i+1)(j, j+1))^3 = (i, i+1, i+2)^3 = 1$ .

These are sometimes called the Coxeter system of type  $A_{n-1}$ , for  $n \geq 2$ .

An easy case is the Coxeter group  $W \simeq S_3$  with generators  $S = \{s, t\}$  where  $s, t$  correspond to transpositions (12) and (23) respectively. By the quadratic and braid relations, we find that the elements of  $W$  are exactly  $1, s, t, st, ts, sts = tst$ . We will frequently revisit this example.

**Definition 2.1.3.** Let  $w \in W$ . As  $S$  generates  $W$ , we can write  $w = s_1 s_2 \dots s_k$  for some  $s_1, \dots, s_k \in S$ . We say the sequence  $(s_1, \dots, s_k)$  is an *expression* for  $w$  of *length*  $k$ . Given the relations in the definition,  $w \in W$  is not uniquely expressed as such a sequence, so we write  $\underline{w}$  to denote a choice of expression  $(s_1, \dots, s_k)$  for  $w$ .

**Definition 2.1.4.** Let  $w \in W$ . For any expression  $\underline{w} = (s_1, \dots, s_k)$ , we say the *length* of  $\underline{w}$  is  $k$ , and write  $\ell(\underline{w}) = k$ . The *length* of  $w$ , written  $\ell(w)$  is the smallest integer  $k$  such that  $w$  admits an expression of length  $k$ . We say an expression  $\underline{w}$  is *reduced* if  $\ell(\underline{w}) = \ell(w)$ .

Note that  $\ell(w) = 0$  if and only if  $w = 1$ .

The following are useful results regarding reduced expressions.

**Theorem 2.1.5** (Exchange condition). *Let  $\underline{w} = (s_1, \dots, s_k)$  be a reduced expression for  $w \in W$ , and let  $t \in S$ . If  $\ell(wt) < \ell(w)$ , then there exists an integer  $i \in \{1, 2, \dots, k\}$  such that  $wt = s_1 \dots \hat{s}_i \dots s_k$ , i.e. with  $s_i$  omitted.*

**Corollary 2.1.6** (Deletion Condition). *Let  $\underline{w} = (s_1, \dots, s_k)$  be an expression for  $w \in W$  where  $\ell(w) < k$ , i.e. not a reduced expression. Then there exists some  $i < j$  such that  $w = s_1 \dots \hat{s}_i \dots \hat{s}_j \dots s_k$ .*

In other words, if an expression is not reduced, two elements in the expression may be cancelled to result in a shorter expression.

**Theorem 2.1.7** (Matsumoto, 1964). *Any two reduced expressions for  $w \in W$  are related by braid relations.*

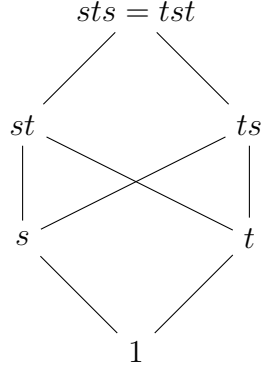
We can also define a partial order on  $W$ .

**Definition 2.1.8** (Bruhat Order). Let  $T$  be the set of elements of  $W$  that are conjugate to elements in  $S$ . Define a partial order  $\leq$  on  $W$  such that for  $x, y \in W$ ,  $x \leq y$  if and only if there exists a chain  $x = x_0, x_1, \dots, x_m = y$  of elements in  $W$  such that  $\ell(x_i) < \ell(x_{i+1})$  and  $x_i^{-1} x_{i+1} \in T$  for each  $i = 0, 1, \dots, m-1$ .

That is  $x_{i+1}$  is  $x_i$  multiplied on the right with a conjugate of an element in  $S$  such that its length is longer than  $x_i$ . Note that we can equivalently multiply on the left because for any  $t \in T$  we can write  $xt = (xtx^{-1})x$  where  $xtx^{-1} \in T$ .

Equivalently let  $y = s_1 \dots s_k$  be a reduced expression, and we can define  $x \leq y$  to be if and only if there exists a reduced expression  $x = s_{i_1} \dots s_{i_\ell}$  such that  $1 \leq i_1 < \dots < i_\ell \leq k$ . In other words,  $x$  is  $y$  after removing some terms from a reduced expression (we say  $x$  is a *subexpression* of  $y$ ).

*Example 2.1.9.* The Hasse diagram for the Bruhat order on  $S_3$  is as follows (using the labelling of elements from Example 2.1.2).



**Definition 2.1.10.** Given a Coxeter system  $(W, S)$ , define a representation  $V$  of  $W$  as follows. Let  $V$  be a vector space over  $\mathbb{R}$  generated by the basis  $\{\alpha_s : s \in S\}$ . Equip  $V$  with a symmetric bilinear form  $(-, -)$  defined by

$$(\alpha_s, \alpha_t) = -\cos \frac{\pi}{m_{st}}.$$

If  $m_{st} = \infty$  we define  $\pi/m_{st} = 0$ . Define the  $W$ -action on  $V$  such that for  $s \in S$  and  $v \in V$ ,

$$s \cdot v = v - 2(v, \alpha_s)\alpha_s.$$

We call this the *geometric representation* of the Coxeter system.

This is defined for both finite and infinite Coxeter groups.

**Proposition 2.1.11.** *For any Coxeter system, the geometric representation is faithful.*

In this paper, we will work with this representation of the Coxeter group.

## 2.2 Hecke Algebra

Let  $\mathbb{Z}[v, v^{-1}]$  be the set of integer Laurent polynomials, for an indeterminate  $v$ .

**Definition 2.2.1.** The *Hecke algebra*  $\mathcal{H}$  for a Coxeter system  $(W, S)$  is the unital associative algebra over  $\mathbb{Z}[v, v^{-1}]$  generated by  $\{\delta_s : s \in S\}$  with the following relations.

- $\delta_s^2 = (v^{-1} - v)\delta_s + 1$ , for any  $s \in S$ .
- $\underbrace{\delta_s \delta_t \delta_s \dots}_{m_{st}} = \underbrace{\delta_t \delta_s \delta_t \dots}_{m_{st}}$ , for any  $s, t \in S$  where  $m_{st} < \infty$ .

Recall that an algebra over a commutative ring  $R$  is an  $R$ -module with an  $R$ -bilinear multiplication operation. A unital associative algebra over  $R$  is then an algebra over  $R$  for which multiplication is associative and has a multiplicative identity.

Similarly to Coxeter groups, we call the first relations *quadratic relations* and the second *braid relations*.

Note that the quadratic relation is equivalent to  $(\delta_s - v^{-1})(\delta_s + v) = 0$ .

For  $w \in W$  with reduced expression  $w = s_1 s_2 \dots s_k$ , define the element  $\delta_w = \delta_{s_1} \delta_{s_2} \dots \delta_{s_k}$  of  $\mathcal{H}$ . Since  $\mathcal{H}$  has braid relations identical to  $W$ , Matsumoto's theorem (Theorem 2.1.7) implies that this is independent of the choice of reduced expression. Note that we set  $\delta_1 = 1$

**Theorem 2.2.2.** *The Hecke algebra is a free  $\mathbb{Z}[v, v^{-1}]$ -module with basis  $\{\delta_w : w \in W\}$ .*

**Definition 2.2.3.** We call  $\{\delta_w : w \in W\}$  the *standard basis* of  $\mathcal{H}$ .

**Proposition 2.2.4.** *The following multiplication formulae hold in  $\mathcal{H}$ . For  $w \in W$  and  $s \in S$ ,*

$$\delta_w \delta_s = \begin{cases} \delta_{ws} & \text{if } ws > w, \\ (v^{-1} - v)\delta_w + \delta_{ws} & \text{if } ws < w, \end{cases}$$

and

$$\delta_s \delta_w = \begin{cases} \delta_{sw} & \text{if } ws > w, \\ (v^{-1} - v)\delta_w + \delta_{sw} & \text{if } ws < w. \end{cases}$$

**Proposition 2.2.5.** *For any simple reflection  $s \in S$ ,*

$$\delta_s^{-1} = \delta_s + (v - v^{-1}).$$

This follows from the quadratic relation in  $\mathcal{H}$ .

**Proposition 2.2.6.** *Since the generators  $\{\delta_s : s \in S\}$  of  $\mathcal{H}$  are invertible,  $\delta_w$  is invertible for every  $w \in W$ . Moreover,*

$$\delta_w^{-1} = \delta_w + \sum_{x < w} a_x \delta_x$$

for some  $a_x \in \mathbb{Z}[v, v^{-1}]$ .

There is another basis known as the Kazhdan-Lusztig basis.

**Definition 2.2.7.** The *Kazhdan-Lusztig involution* or *bar involution* is a  $\mathbb{Z}$ -linear involution  $\mathcal{H} \rightarrow \mathcal{H}, h \mapsto \bar{h}$  defined on generators  $\bar{v} = v^{-1}$  and  $\bar{\delta_s} = \delta_s^{-1}$  for  $s \in S$ , such that it distributes across products as a ring automorphism.

**Definition 2.2.8.** The *Kazhdan-Lusztig basis* for  $\mathcal{H}$  is the set  $\{b_w : w \in W\} \subseteq \mathcal{H}$  such that for any  $w \in W$ ,

- $b_x$  is self-dual, i.e.  $\bar{b_x} = b_x$ , and

- $b_x$  has the form

$$b_x = \delta_x + \sum_{y < x} h_{y,x} \delta_y$$

for some  $h_{y,x} \in v\mathbb{Z}[v]$ , where  $<$  is the Bruhat order.

The coefficients  $h_{y,x} \in v\mathbb{Z}[v]$  are called *Kazhdan-Lusztig polynomials*.

Additionally, we set  $h_{x,x} = 1$  and  $h_{y,x} = 0$  if  $y \not\leq x$  in the Bruhat order. The second condition is sometimes called the *degree bound* condition.

**Lemma 2.2.9.** *The Kazhdan-Lusztig basis is unique.*

Furthermore, the corresponding Kazhdan-Lusztig basis element for  $s \in S$  is  $b_s = \delta_s + v$ .

**Definition 2.2.10.** The *Kazhdan-Lusztig anti-involution*  $\omega : \mathcal{H} \rightarrow \mathcal{H}$  is an involution defined similarly to the Kazhdan-Lusztig involution, but distributes across products as a ring *anti*-automorphism. That is for  $a, b \in \mathcal{H}$ ,  $\omega(ab) = \omega(b)\omega(a)$ .

**Definition 2.2.11.** The *standard trace*  $\epsilon : \mathcal{H} \rightarrow \mathbb{Z}[v, v^{-1}]$  is a  $\mathbb{Z}[v, v^{-1}]$ -linear map which extracts the coefficient of  $\delta_{id}$  for elements written in the standard basis.

**Definition 2.2.12.** The *standard form*  $(-, -) : \mathcal{H} \times \mathcal{H} \rightarrow \mathbb{Z}[v, v^{-1}]$  is a sesquilinear form (with respect to either involution restricted to  $\mathbb{Z}[v, v^{-1}]$ ) such that  $(a, b) := \epsilon(\omega(a)b)$  for  $a, b \in \mathcal{H}$ .

Here, sesquilinear means that the form is linear in the second variable and in the first variable,  $(fa, b) = \overline{f}(a, b)$  for  $a, b \in \mathcal{H}$  and  $f \in \mathbb{Z}[v, v^{-1}]$ . Note the restricted involution inverts each  $v$  extending linearly to  $\mathbb{Z}[v, v^{-1}]$ . The bar involution and anti-involution restricted to  $\mathbb{Z}[v, v^{-1}]$  are the same, as this ring is commutative.

**Theorem 2.2.13.** *The Kazhdan-Lusztig basis is asymptotically orthonormal. That is for  $x, y \in W$ ,*

$$(b_x, b_y) = \begin{cases} 1 + v\mathbb{Z}[v] & \text{if } x = y, \\ v\mathbb{Z}[v] & \text{otherwise.} \end{cases}$$

## 2.3 Soergel Bimodules

**Definition 2.3.1.** A  $\mathbb{Z}$ -graded ring  $R$  is a ring with a decomposition

$$R = \bigoplus_{i \in \mathbb{Z}} R^i$$

into a direct sum of additive subgroups  $R_i \subseteq R$  such that  $R^i R^j \subseteq R^{i+j}$ .

Gradings are defined in this way to generalise a notion of 'degree', where the degree of a product is the sum of their degrees. This definition can naturally be extended to  $\mathbb{Z}$ -graded modules over some a  $\mathbb{Z}$ -graded ring.



**Definition 2.3.2.** Let  $R$  be a  $\mathbb{Z}$ -graded ring. A  $\mathbb{Z}$ -graded  $R$ -module  $M$  is a module over  $R$  with a decomposition

$$M = \bigoplus_{i \in \mathbb{Z}} M^i$$

into a direct sum of additive subgroups  $M^i \subseteq M$  such that  $R^i M^j \subseteq M^{i+j}$ . We call the  $M^i$  *graded pieces* of  $M$ , and the elements of  $M^i$  *homogeneous of degree  $i$* .

For the remainder of this paper we will only be working with  $\mathbb{Z}$ -graded objects, so we just say *graded*.

*Example 2.3.3.* For any ring  $R$ , the *trivial grading* of  $R$  is the decomposition where  $R^0 = R$  and  $R^i = 0$  for all  $i \neq 0$ .

*Example 2.3.4.* Let  $F$  be a field with the trivial grading. The vector space of real polynomials (in one or several variables) over  $F$  has a natural grading where the  $n$ -graded piece is the subspace generated by degree  $n$  monomials. For example, the  $\mathbb{R}$ -vector space  $\mathbb{R}[x]$  has a decomposition

$$\mathbb{R}[x] = V^0 \oplus V^1 \oplus V^2 \oplus \dots$$

where  $V^i$  is the subspace spanned by  $\{x^i\}$ . This example is a  $\mathbb{Z}$ -grading where the  $n$ -graded piece is 0 for  $n < 0$ .

Gradings for other algebraic objects, such as algebras and bimodules, can be similarly defined. The following definitions are for general graded objects.

**Definition 2.3.5.** Let  $M$  and  $N$  be graded objects. For  $i \in \mathbb{Z}$ , define  $M(i)$  to be the graded object with graded pieces  $M(i)^j := M^{i+j}$ . We say this is obtained by a *shift in grading* of  $M$ .

If we visualise the graded pieces horizontally in ascending order of degree, the grading of  $M(i)$  is the grading of  $M$  shifted to the left by  $i$  places. Particularly, if  $x \in M^n$  is homogeneous of degree  $n$  in  $M$ , then it is homogeneous of degree  $n - i$  in  $M(i)$ .

Degree	-2	-1	0	1	2
$M$	$M^{-2}$	$M^{-1}$	$M^0$	$M^1$	$M^2$
$M(1)$	$M^{-1}$	$M^0$	$M^1$	$M^2$	$M^3$
$M(2)$	$M^0$	$M^1$	$M^2$	$M^3$	$M^4$
$M(-1)$	$M^{-3}$	$M^{-2}$	$M^{-1}$	$M^0$	$M^1$
$M(i)$	$M^{-2+i}$	$M^{-1+i}$	$M^i$	$M^{1+i}$	$M^{2+i}$

**Definition 2.3.6.** Let  $M$  and  $N$  be graded objects. A morphism  $f : M \rightarrow N$  is *homogeneous of degree  $k$*  if  $f(M^i) \subseteq N^{i+k}$  for all  $i \in \mathbb{Z}$ . Typically we assume morphisms between graded objects are homogeneous of degree 0, and call them *graded morphisms*. A *graded isomorphism* is a graded morphism with a graded (two-sided) inverse. We say that  $M$  and  $N$  are *isomorphic up to shift* if there is a graded isomorphism  $M \simeq N(i)$  for some  $i \in \mathbb{Z}$ . The *graded morphism space* (or *graded Hom space*) between  $M$  and  $N$  is

$$\mathrm{Hom}^\bullet(M, N) := \bigoplus_{i \in \mathbb{Z}} \mathrm{Hom}(M, N(i)).$$

Notice for any morphism  $M \rightarrow N$  of degree  $k$ , there is a morphism  $M \rightarrow N(k)$  of degree 0 that contains the same information.

**Definition 2.3.7.** Let  $M$  be a graded object in an additive category (i.e. direct sums are defined on  $M$ ), and let  $p = \sum_i p_i v^i \in \mathbb{Z}_{\geq 0}[v^{\pm 1}]$  be a Laurent polynomial with positive integer coefficients. Define

$$M^{\oplus p} := \bigoplus_{i \in \mathbb{Z}} M(i)^{\oplus p_i}$$

where  $M^{\oplus k} := \bigoplus_{j=1}^k M$  for  $k \in \mathbb{Z}_{\geq 0}$ .

**Definition 2.3.8.** Let  $R$  be a graded ring and  $M$  a graded  $R$ -module. A *graded submodule* of  $M$  is a submodule  $N \subseteq M$  with the induced grading  $N^i = N \cap M^i$  for all  $i \in \mathbb{Z}$ . A *graded direct summand* of  $M$  is a graded module  $N$  such that  $M \simeq N \oplus N'$  as graded modules for some graded submodule  $N' \subseteq M$ . We say that  $M$  is *graded free* if it has an  $R$ -basis of homogeneous elements of  $M$ . If this basis is finite, then there is a unique  $p \in \mathbb{Z}_{\geq 0}[v^{\pm 1}]$  such that  $M \simeq R^{\oplus p}$ , and we call  $p$  the *graded rank* of  $M$ .

For our purposes, fix a Coxeter system  $(W, S)$  and consider its geometric representation  $V$ . Let  $R = \text{Sym}(V) \simeq \mathbb{R}[\alpha_s : s \in S]$  be the symmetric algebra of  $V$ , which we will think of as the real polynomial ring generated, as a ring, by the basis of  $V$ . We can think of  $R$  as a graded algebra<sup>1</sup>, such that  $V \subseteq R$  is homogeneous of degree 2, i.e.  $\deg \alpha_s = 2$  and the ‘monomials’ that are products of  $i$  basis elements are degree  $2i$ .

There is a natural action of  $W$  on  $R$ , induced by its action on  $V$ , that for any  $w \in W$ ,

$$w \cdot \prod_{s \in S} \alpha_s^{k_s} = \prod_{s \in S} (w \cdot \alpha_s)^{k_s}$$

where  $k_s \in \mathbb{Z}_{\geq 0}$ , extending linearly to  $R$ .

---

<sup>1</sup>A graded module that is also a graded ring.