

# Week Three, Discussion: Regular Expressions, Pumping Lemmas, Context-Free Grammars

## HW2 Induction

### Inductive Fallacies!

Prove: any maximum-leaf  $k$ -ary tree of height  $n$  (edges) has  $k^n$  leaves.

The base case is indeed  $k^0 = 1$  which corresponds to a root, leaf node (possible!).

**Wrong:** Assume we have a tree of height  $n$  which has  $k^n$  leaves. Attach  $k$  nodes to all those leaves. Now we have  $k \cdot k^n = k^{n+1}$  leaves. Done.

This is WRONG because you must actually *start* with a tree of height  $n+1$  and show how to construct a maximum leaf tree.

## Regular Expressions

RegEx in programming *IS NOT* like RegEx in formal theory.

- Programming RegEx is more powerful with features like forward lookahead and capturing.
- Formal RegEx does NOT have this.

Some notational things:

- $0^* := \{0\}^* = \{\epsilon, 0, 00, 000, \dots\}$
- $\{0, 1\}^* = \{\epsilon, 0, 1, 00, 01, 10, 11, \dots\}$

$$\begin{aligned} 01^* \cup 0^*1 &= (\{0\} \circ \{1\}^*) \cup (\{0\}^* \circ \{1\}) \\ &= \{0, 01, 011, 0111, \dots\} \cup \{1, 01, 001, \dots\} \end{aligned}$$

Rules for RegEx:

1.  $a \in \Sigma$  is one character
  1.  $a$  is implicitly the set containing it i.e.  $a := \{a\}$
2.  $\emptyset := \{\}$
3. Union:  $1 \cup \epsilon := \{1, \epsilon\}$

4. Concatenation:  $(0 \cup \epsilon) \circ (1 \cup \emptyset)$

1.  $= \{0, \epsilon\} \circ \{1\}$

2.  $= \{01, (\epsilon)1\}$

5. Kleene-star 🌟  $R^*$  where R is a regular expression

1. All the above describe *finite* languages. No matter how many concatenations you do, it will be finite.

2. Kleene-star describes *infinite* languages 🌆

3.  $((0 \cup \epsilon) \circ (1 \cup \emptyset))^*$

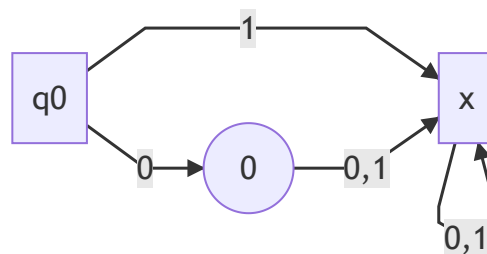
4.  $= (\{01, 1\})^*$

5.  $= \{\epsilon, 01, 1, 011, 101, \dots\}$

## DFA from RegEx

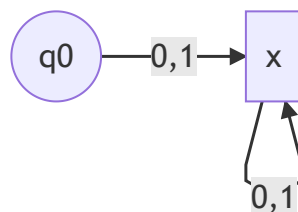
Literals:

$$R := \{0\}$$



Empty string (accept nothing (accept literally nothing)):

$$R := \{\epsilon\}$$



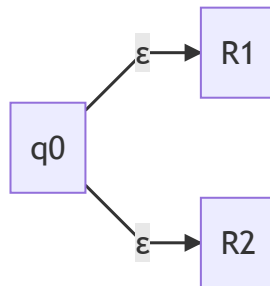
Empty language (accept nothing (reject everything)):

$$R := \emptyset = \{\}$$



Union of two regular expressions:

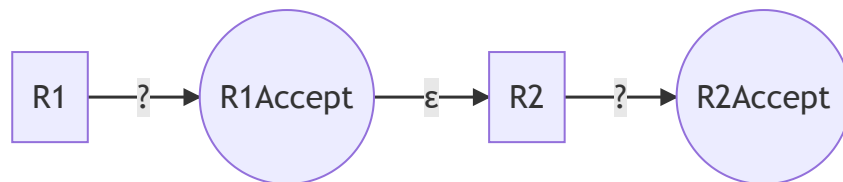
$$R := R_1 \cup R_2$$



Concatenation of two regular expressions:

$$R := R_1 \circ R_2$$

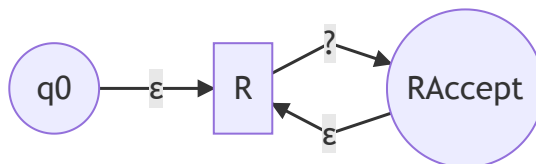
Accept the strings where the first half comes from  $R_1$  and the second half comes from  $R_2$



Kleene-star:

$$R^*$$

Basically, any string accepted by  $R$  will also be accepted by  $R^*$ , so we can just re-use the machine for  $R$  with an additional entry point to accept the empty string:



## Showing a Language is Not Regular

Let  $L := \{0^n 1^n \mid n \text{ in } \mathbb{N}(\text{atural Numbers})\}$

Claim:  $L$  has no FSM.

Proof:

1. Suppose  $L$  has an FSM
2. This FSM has a finite number of states,  $k$
3. Consider the string  $s := 0^{k+1}1^{k+1}$
4. We have  $k$  states
5. Our string  $s$  has  $2(k+1) = 2k+2$  symbols
6. By the 🐦 pigeonhole principle 🏠, we must have some loop within our FSM, since we have more than  $k$  symbols but only  $k$  states
7. We can divide our states into "x," "y," and "z"
  1. "x" precedes the loop
  2. "y" is the loop
  3. "z" follows the loop
8.  $\text{len}(xy) \leq k+1$
9. Per 8,  $x$  and  $y$  have only 0's (since they are not long enough to get past the  $0^{k+1}$ )
10. Since  $y$  is a loop, we can remove it and still get an accepted string
11. Therefore,  $xz$  is accepted
12. However,  $xz$  cannot be in  $L$  because  $xz$  does not have the same amount of 0's (since  $y$  has some amount of 0's)
13. ⚠️ Contradiction. ⚠️ (11) and (12).

## Pumping Lemma 🚲💨

Let  $L$  be a regular language. For this language there exists some number  $p$ , called the "pumping length" which we can also think of as the number of states, such that any string  $w$  in  $L$  can be written as  $w := xyz$

1.  $xy^iz$  in  $L$ 
  1.  $i = 0$  means "pump down"
  2.  $i > 0$  means "pump up"
2.  $\text{len}(y) > 0$

1.  $y$  is essentially our "pump" which we can pump up to "overflow" our string to overwhelm the FSM accepting  $L$

3.  $\text{len}(xy) \leq p$

You cannot choose one specific partition of  $w = xyz$ . Therefore, you must show ALL possible partitions of  $xyz$ .

You do select  $w$  itself though.