

# Week Three, Lecture Two

## Recap

### Context-Free Grammars

Just like finite state languages can be represented by a finite state machine, context free languages can be represented by context-free grammars.

Remember the small part of the CFL block on the Chomsky Hierarchy from week one and the professor said we would cover it later? Well, those are the **inherently ambiguous CFLs**.

A given **context-free grammar** (CFG) "G" consists of:

$$G := (V, \Sigma, R, S)$$

- $V$  = set of variables
- $\Sigma$  = alphabet
- $R$  = **rewriting/substitution rules**
- $S$  = **starting variable**

$L(G)$  is the *language* of  $G$  i.e. the set of all strings  $G$  generates.

When you take a given word  $w \in \mathcal{L}(G)$ , you can view "w" by expanding the nodes of the parse tree (which starts at "S") and viewing the derivation.

### Derivations

Two types of derivations for any given tree:

1. **Exactly left-most**
2. **Exactly right-most**

These two derivations are NOT equal, but the bottoms of both (i.e. all terminal symbols) will both evaluate to "w."

### Ambiguity

If  $w$  has more than one tree,  $w$  is **ambiguous** in  $G$ .

- i.e. there is more than one way to derive the same word

If a grammar  $G$  generates even one ambiguous word, the **grammar itself** is ambiguous.

- This DOES NOT mean the language created by  $G$  is ambiguous though!

For a language  $L$  to be ambiguous, ALL grammars for  $L$  are ambiguous.

- If  $L$  has just one unambiguous grammar, then it is NOT ambiguous.

There is **no algorithm** to determine ambiguity.

- It is easy to show a grammar is ambiguous: just find one ambiguous string.
- It is hard to show a grammar is unambiguous. There is no algorithm for doing so.

Given a grammar, can you show that the language  $L(G)$  is unambiguous?

- Yes, but it is very difficult, as you have to show that the *infinite* set of grammars are all unambiguous.

An **inherently ambiguous language** is a **non-regular language**, but we won't get to that for awhile.

## Generalized NFAs (GNFAs)

GNFAs were invented to simplify proofs.

Imagine a DFA. It has its transition function  $\delta : (Q \times \Sigma) \rightarrow Q$ .

An NFA has its transition function  $\delta : (Q \times (\Sigma \cup \{\epsilon\})) \rightarrow Q$ .

Therefore, the "G" in "GNFA" means to generalize the transition function so that we can label the edges of the machine with an entire regular expression:  $\delta : (Q - \{F\} \times Q - \{q_0\}) \rightarrow \mathcal{R}$  where  $\mathcal{R}$  is "all possible regular expressions over  $\Sigma$ ."

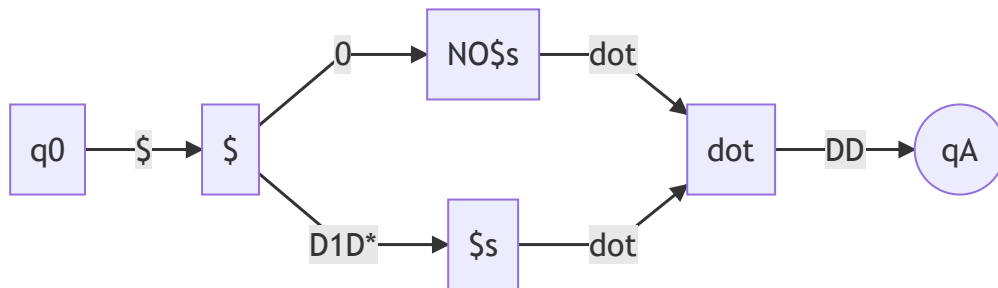
- We have a function which, for every possible pair of nodes, gives us the regular expression which can transition from one to another.
- This allows us to "skip over" input symbols, unlike in NFAs and DFAs.
- The complicated-looking part about  $-\{q_0\}$  just means we can't transition into the starting symbol with a regular expression.
- Similarly, we cannot transition into the accepting state  $F$  (also called  $q_A$ ). In GNFA's, we can **only have one accepting state**.

$$\text{GNFA} := (Q, \Sigma, \delta, q_0, q_A)$$

GNFAs are allowed to transition from two nodes  $p$  and  $q$  on any input sequence of zero or more symbols as long as those symbols match  $R$ .

i.e. GNFA's **do pattern matching** to transition between two nodes.

ex: USD currency notation 💰 :



$D := 0 \dots 9$

$D_1 := 1 \dots 9$

## Pumping Lemma 💪

The pumping lemma lets us prove that a language is **not** regular.

If  $L$  is a finite state language then there exists a constant  $p$  (that depends only on  $L$ ) such that for every string  $s$  in  $L$ , if  $s$  is longer than  $p$ :

- There exists substrings  $x, y, z$  such that  $s$  can be represented as  $s=xyz$ 
  - No overlaps! 🤖
- $x$  and  $z$  are in Sigma-star  $x, z \in \Sigma^*$
- $y$  is in Sigma-plus  $y \in \Sigma^+$
- $y$  is longer than 0 (i.e.  $y$  is not the empty string)
- $\text{len}(x) + \text{len}(y) \leq p$
- for all  $i$ ,  $xy^iz$  is in  $L$ 
  - "x, y repeated  $i$  times, z"
  - **the most important one**

## Example

$\Sigma := \{a, b\}$

$L := \{ww \mid w \in \Sigma^*\}$

1. To prove that  $L$  is **not** an FSL, suppose by contradiction that  $L$  was an FSL.
2. Because  $L$  is an FSL, the pumping lemma applies
3. Then there is some number " $p$ " for every string " $s$ " in  $L$  that is longer than " $p$ " such that:

1. there exists some  $x,y,z$  such that  $s = xyz$
2.  $\text{len}(xy) \leq p$
3.  $\text{len}(y) \geq 1$
4. for all  $i$ ,  $xy^iz$  is in  $L$
4. Choose " $s$ " =  $a^pba^pb$
5.  $s$  is in  $L$  because " $w$ " =  $a^pb$
6. Per (3.1),  $s = xyz$ 
  1. Note that (3.1) just says *there exists*, not *for all*!
  2. So we can't just show some particular  $xyz$  doesn't work out. We have to show that NO  $xyz$  works.
7. Since  $\text{len}(xy) \leq p$ , we know that  $xy$  must consist just of  $a^p$ 
  1. If not, say we added the " $b$ ," then - since we have " $p$ " repetitions of " $a$ " - the  $\text{len}(xy)$  would be GREATER than  $p$ .
8. Thus:
  1.  $x = a^n$  for some  $n \geq 0$
  2.  $y = a^m$  for some  $m \geq 1$
9. Per (7), " $z$ " consists of the rest of the " $abab$ " string:
  1.  $z = a^{(p-n-m)}ba^pb$
10. Per (8) and (9.1):
  1.  $s = a^na^ma^{(p-n-m)}ba^pb$
11. Let  $i = 0$ , then  $s' = xy^0z$
12.  $s' = a^na^{(p-n-m)}ba^pb$
13. (12) is NOT in  $L$  because it can never be " $ww$ " since the first half has fewer  $a$ 's than the second half.
14. Contradiction! 🚩 (13) and (3.4)