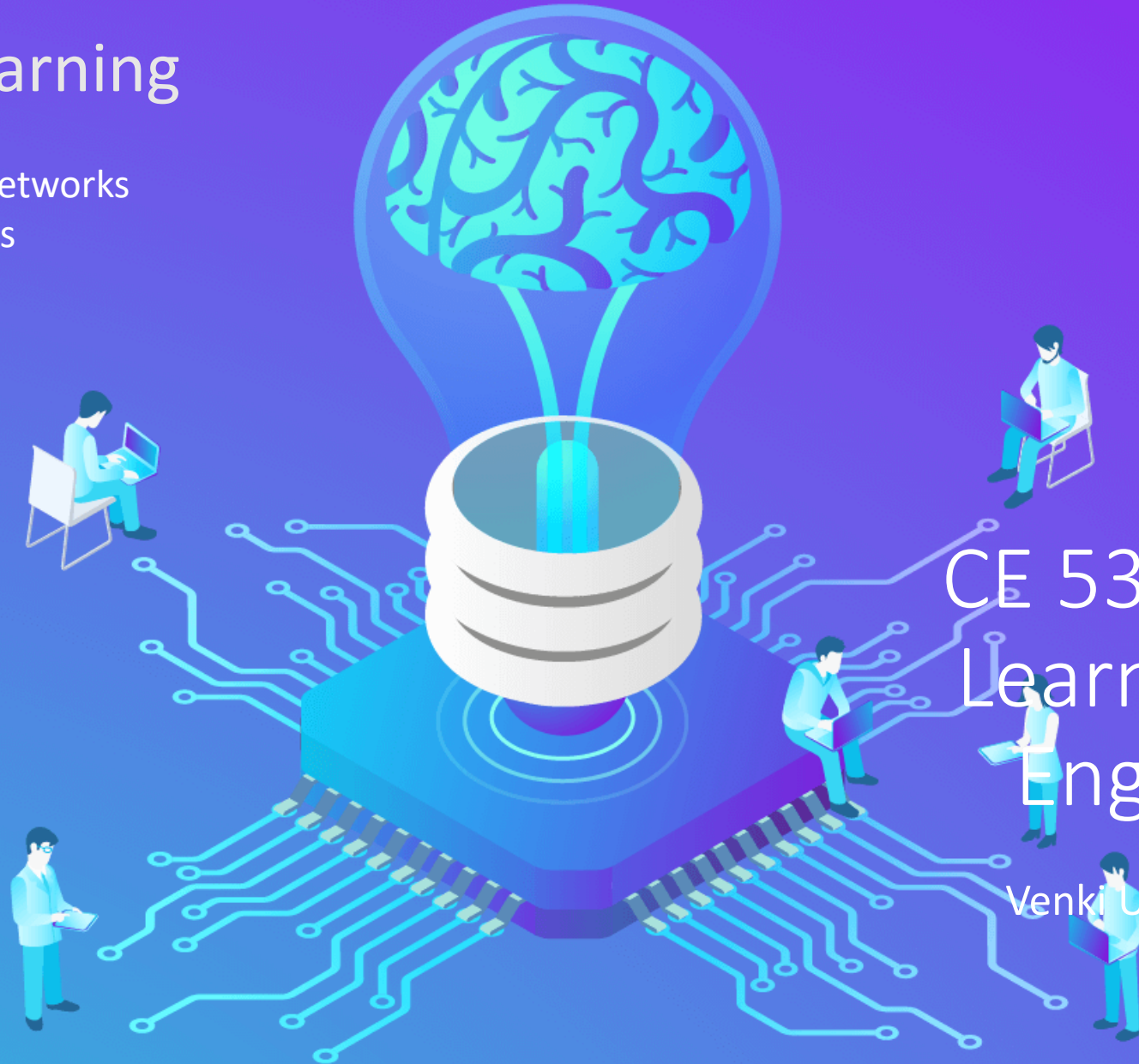


# Machine Learning

Artificial Neural Networks  
Perceptrons



CE 5331 Machine  
Learning for Civil  
Engineers

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# Recap

- What is Machine Learning
- How is it useful for Civil Engineers
- Overview of Machine Learning Methods
- Linear Regression
  - Bivariate
  - Regression interpretation
  - Multivariate
- Logistic Regression
  - Maximum likelihood estimation
  - Regularization (introduction)
- Naïve Bayesian Classifier
  - What is it
  - What makes it naïve
  - Bayes theorem
  - Prior, likelihood and posterior
- K-Nearest Neighbor
  - How does the algorithm work
  - Why is it a lazy learner
  - How to do regression and classification
- Introduction to Decision Trees
  - Fundamentals
  - Information Gain, Entropy and Gini Index
  - ID3 algorithm
  - Classification and Regression Trees (CART)
  - Multi-Adaptive Regression Splines (MARS)
- Ensemble learners
  - Introduction
- Their benefits and drawbacks
- Simple (voting) ensemble learners
- Bagging and Pasting
- Generic bagging classifiers
- Random Forest classifiers
- Boosting Classifier
  - Adaboost
- Unsupervised classification
  - K Means Learning

Python – Introduction

Python – Functions

Python - Pandas

Python – np, scipy, statsmodels

Python – Scikit learn – linear, metrics

Python – Matplotlib, seaborn

Python – Mixed\_Naive\_Bayes

Python – scikit learn neighbors module

Python – scikit learn ensemble voting

Python – scikit learn bagging classifier

Python – scikit learn RandomForestClassifier

Python – scikit learn AdaBoostClassifier

Python – scikit learn KMeans

R – Classification and Regression Trees  
using rpart

R – Drawing trees using rpart.plot

R - Multiadaptive Regression Splines  
(MARS) using Earth Algorithm

Artificial Neural Networks

# Goals

- For the remainder of the course we shall be focused on Artificial Neural Networks (ANNs)
- Literature on ANNs is vast and there have been some exciting developments in the last few years
  - Deep Learners
  - Convolution Neural Networks
- ANNs and its variants have been used extensively in Civil Engineering
  - Applications of ANNs to civil engineering can be dated back to early 1990s
  - More so than most other Machine Learning Methods
  - Google Scholar search of Artificial Neural Networks + Civil Engineering results in over 133,000 publications
    - Likely even more undocumented studies
- We shall with the fundamentals and move to some more recent (interesting) applications
- We will make use of Keras and Tensor Flow (TF) packages for this part of the course

# What are ANNs

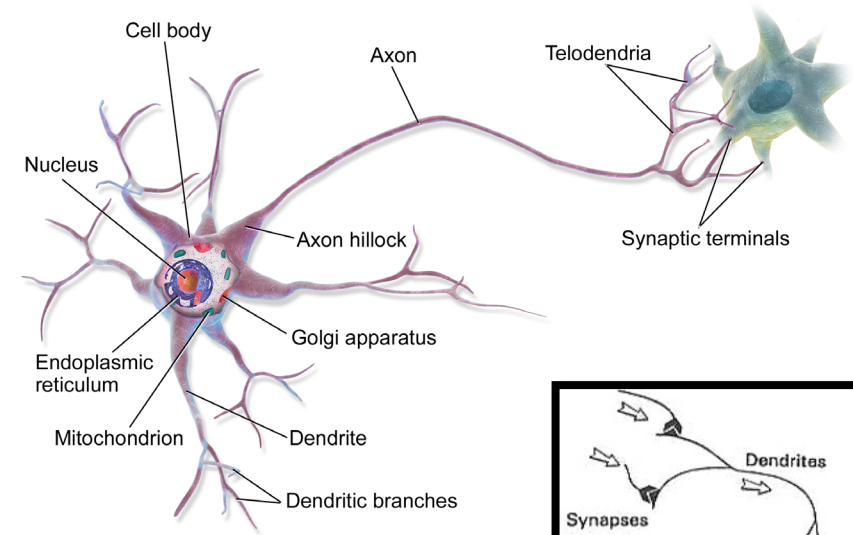
- ANNs are algorithms that mimic the functioning of the brain
  - Mimic Human Neurons in general

Synaptic terminals (synapses) of one neuron are connected to synaptic terminals of others

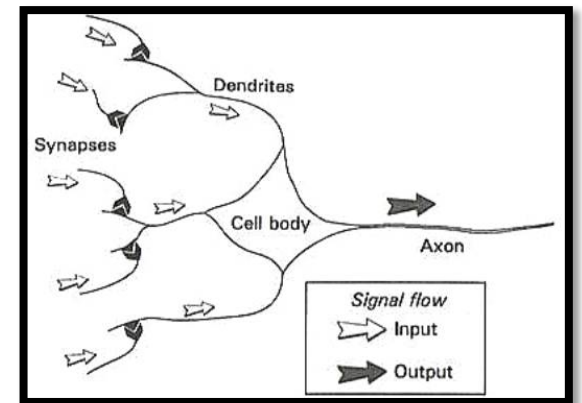
When there is a stimulus – Biological neurons produce an electrical impulses (action potential or signal)

Electrical signals travel through axons and make synapses release chemical signals (neurotransmitters)

When a neuron receives sufficient neurotransmitters it releases its own electrical impulse (it can also amplify or inhibit it in some cases)



A human brain has  $10^{11}$  neurons and each neuron is connected to  $10^4$  neurons



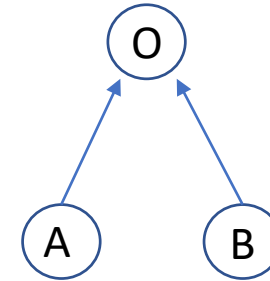
Signals are passed through layers of interconnected neurons  
Output of a neuron is an electrical signal which is an input to another

# Artificial Neurons

- McCulloch and Pitts (MP) proposed a simple model of the neuron in 1943
  - Receives one or more binary (on/off) inputs
  - Provides a binary (on/off) output
    - On output is provided when the majority of the inputs received are in the 'on' mode
- Even with this simplicity the artificial neuron can mimic several logical (Boolean) operations
  - AND, OR

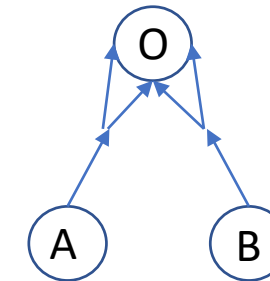


IF I is + then O is ++  
(Result of O is a +)



IF A is + **AND** B is + Then  
O is ++ (Result of O is a  
+)

IF A is + AND B is - Then  
O is + (Result of O is a -;  
No Majority)



IF A is + **OR** B is + Then O  
is ++ (Result of O is a +)

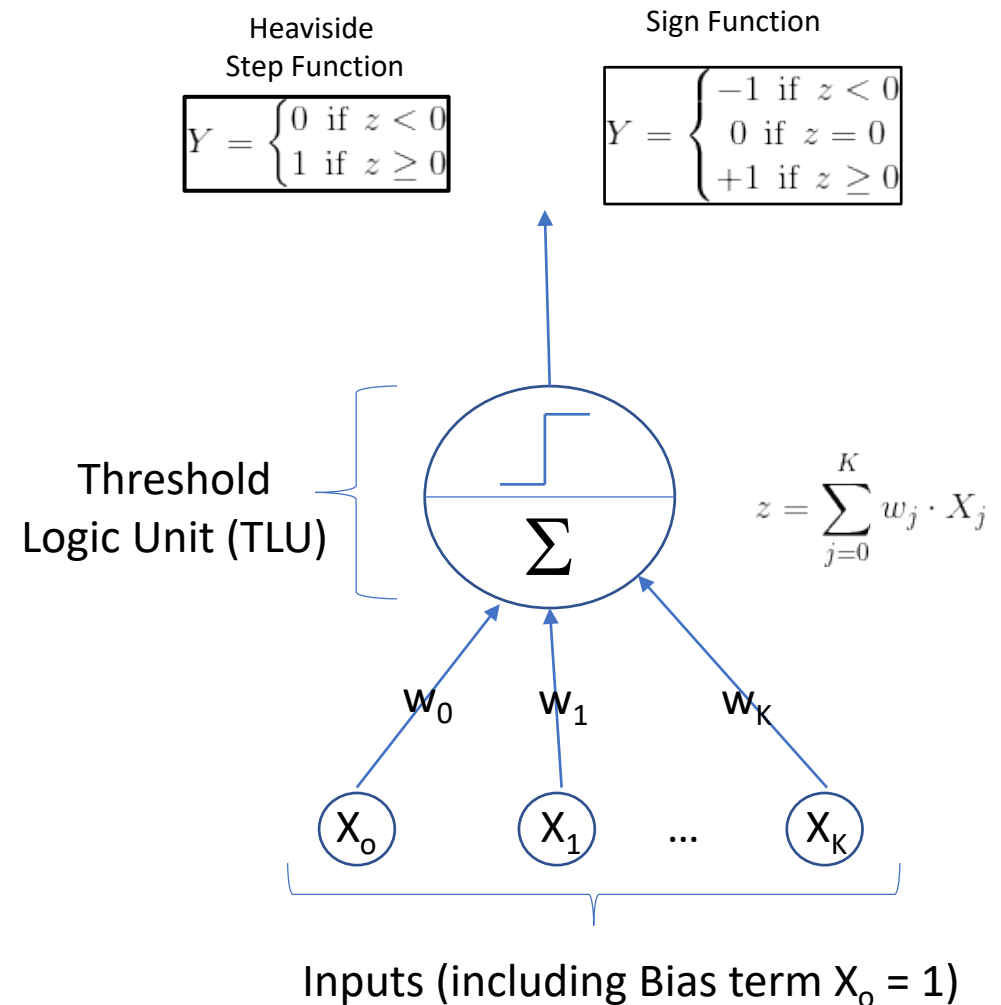


# Perceptron

- An alternative model of Neuron proposed by Frank Rosenblatt in 1957
  - Inputs are numbers (instead of binary values)
  - Each input connection to the output has a connection weight
  - A weighted addition is performed first
  - The output of the weighted addition is used to determine the output state

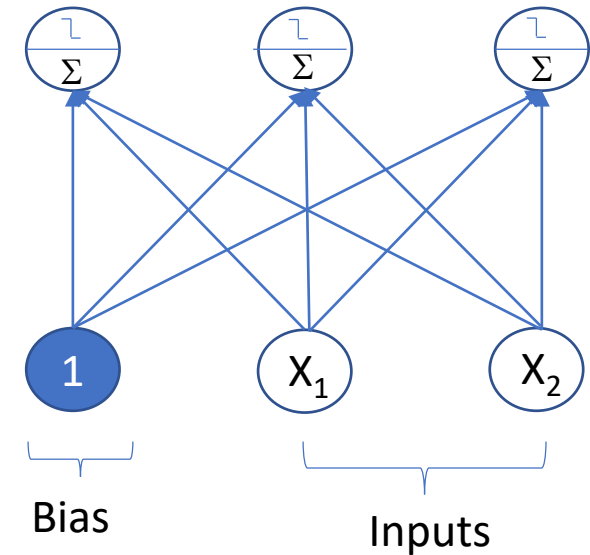
A single TLU can be used for binary classification

Unknown weights have to be obtained via optimization



# Multi-output Perceptrons

- Inputs can be connected to multiple outputs
  - Multinomial classifiers



$$\begin{array}{c} \text{Summation Matrix} \\ \left[ \begin{array}{ccc} z_{1,1} & z_{1,2} & z_{1,3} \\ z_{2,1} & z_{2,2} & z_{2,3} \\ \dots & \dots & \dots \\ z_{N,1} & z_{N,2} & z_{N,3} \end{array} \right] \\ \begin{array}{cc} \nearrow \text{Instance} & \nearrow \text{TLU \#} \end{array} \end{array} = \begin{array}{c} \text{Input Matrix} \\ \left[ \begin{array}{ccc} 1 & X_{1,1} & X_{1,2} \\ 1 & X_{2,1} & X_{2,2} \\ \dots & \dots & \dots \\ 1 & X_{N,1} & X_{N,2} \end{array} \right] \\ \begin{array}{ccc} \nearrow \text{Bias} & \nearrow \text{Instance (data row)} & \nearrow \text{Attribute (data column)} \end{array} \end{array} \begin{array}{c} \text{Weight Matrix} \\ \left[ \begin{array}{ccc} w_{0,1} & w_{0,2} & w_{0,3} \\ w_{1,1} & w_{1,2} & w_{1,3} \\ w_{2,1} & w_{2,2} & w_{2,3} \end{array} \right] \\ \begin{array}{cc} \nearrow \text{Input (Data Column)} & \nearrow \text{TLU \#} \end{array} \end{array}$$

Once the summation matrix is obtained it can be sent through an appropriate threshold function (e.g., Heaviside Step Function)

# Training Perceptrons

- Training Perceptrons implies identifying unknown weights
- Perceptrons are classically trained using Hebbian Learning
  - Neurons that fire together wire together
  - If a (biological) neuron triggers another neuron the connection between them grows stronger
- In Hebbian learning the connections that make correct predictions are reinforced
  - Weights are updated for those nodes that make incorrect predictions to improve their performance
  - Weights of nodes making correct predictions are left alone
    - Same weight carries for next step



# Training Perceptrons

- The Hebbian Training procedure is as follows:
  1. Randomly initialize the connection weights
  2. Pass one instance through the perceptron
    - A. Make a prediction
  3. Use the actual output and the predicted output to compute the error at each TLU
  4. Update the weights using the Hebbian Update formula
  5. Iterate step 2 – 4 by passing other instances
    1. The weight changes are small

The diagram shows the Hebbian Update formula:  $w_{i,j}^{t+1} = w_{i,j}^t + \eta (y_{o,j} - y_{p,j})$ . Annotations include: 'Old Weight Estimate' pointing to  $w_{i,j}^t$ , 'New Weight Estimate' pointing to  $w_{i,j}^{t+1}$ , 'Residual' pointing to  $(y_{o,j} - y_{p,j})$ , and 'Learning Rate' pointing to  $\eta$ .

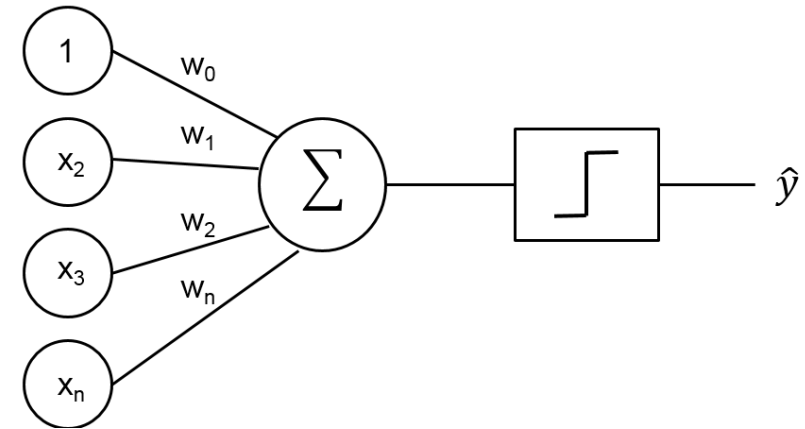
$$w_{i,j}^{t+1} = w_{i,j}^t + \eta (y_{o,j} - y_{p,j})$$

Learning Rate is the step size for changing the weight

Hebbian training is very similar to the Gradient Descent algorithm

# Perceptrons

- Perceptrons are linear classifiers
  - The decision boundary is linear
  - Similar to Logistic Regression
  - They cannot learn nonlinear relationships
- Perceptrons directly provide a binary output (Yes/No)
  - Unlike Logistic Regression which provided probability of a state



# Illustrative Example

- Predicting damage to culverts in Texas
- Create Perceptron to classify satisfactory and unsatisfactory states



| Satisfactory   | Code | Meaning          | Description                                                                                                                                                                                                                                                                  |
|----------------|------|------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                | 9    | Excellent        | As new                                                                                                                                                                                                                                                                       |
|                | 8    | Very Good        | No problems noted.                                                                                                                                                                                                                                                           |
|                | 7    | Good             | Some minor problems.                                                                                                                                                                                                                                                         |
|                | 6    | Satisfactory     | Structural elements show some minor deterioration.                                                                                                                                                                                                                           |
|                |      |                  |                                                                                                                                                                                                                                                                              |
| Unsatisfactory | 5    | Fair             | All primary structural elements are sound but may have minor section loss, cracking, spalling or scour.                                                                                                                                                                      |
|                | 4    | Poor             | Advanced section loss, deterioration, spalling or scour.                                                                                                                                                                                                                     |
|                | 3    | Serious          | Loss of section, deterioration, spalling or scour has seriously affected primary structural components. Local failures are possible. Fatigue cracks in steel or shear cracks in concrete may be present.                                                                     |
|                | 2    | Critical         | Advanced deterioration of primary structural elements. Fatigue cracks in steel or shear cracks in concrete may be present or scour may have removed substructure support. Unless closely monitored it may be necessary to close the bridge until corrective action is taken. |
|                | 1    | Imminent Failure | Major deterioration or section loss present in critical structural components or obvious vertical or horizontal movement affecting structure stability. Bridge is closed to traffic but with corrective action may put back in light service.                                |
|                | 0    | Failed           | Out of service, beyond corrective action.                                                                                                                                                                                                                                    |

Source: United States Department of Transportation. Recording and Coding Guide for the Structure Inventory and Appraisal of the Nation's Bridges. Washington, D.C., 1995, page 38.

# Python Implementation

- Scikit learn package has a Perceptron function in its linear\_model module
  - It actually uses stochastic gradient algorithm to find the unknown weights

## Notes

Perceptron is a classification algorithm which shares the same underlying implementation with `SGDClassifier`. In fact, `Perceptron()` is equivalent to `SGDClassifier(loss="perceptron", eta0=1, learning_rate="constant", penalty=None)`.

## sklearn.linear\_model.Perceptron

```
class sklearn.linear_model.Perceptron(penalty=None, alpha=0.0001, fit_intercept=True, max_iter=1000, tol=0.001, shuffle=True, verbose=0, eta0=1.0, n_jobs=None, random_state=None, early_stopping=False, validation_fraction=0.1, n_iter_no_change=5, class_weight=None, warm_start=False)
```

[\[source\]](#)

Read more in the [User Guide](#).

**Parameters:**

- penalty** : {'l2', 'l1', 'elasticnet'}, *default=None*  
The penalty (aka regularization term) to be used.
- alpha** : float, *default=0.0001*  
Constant that multiplies the regularization term if regularization is used.
- fit\_intercept** : bool, *default=True*  
Whether the intercept should be estimated or not. If False, the data is assumed to be already centered.
- max\_iter** : int, *default=1000*  
The maximum number of passes over the training data (aka epochs). It only impacts the behavior in the `fit` method, and not the `partial_fit` method.  
*New in version 0.19.*
- tol** : float, *default=1e-3*  
The stopping criterion. If it is not None, the iterations will stop when  $(\text{loss} > \text{previous\_loss} - \text{tol})$ .  
*New in version 0.19.*
- shuffle** : bool, *default=True*  
Whether or not the training data should be shuffled after each epoch.
- verbose** : int, *default=0*  
The verbosity level
- eta0** : double, *default=1*  
Constant by which the updates are multiplied.
- n\_jobs** : int, *default=None*  
The number of CPUs to use to do the OVA (One Versus All, for multi-class problems) computation. `None` means 1 unless in a `joblib.parallel_backend` context. `-1` means using all processors. See [Glossary](#) for more details.
- random\_state** : int, *RandomState instance, default=None*  
The seed of the pseudo random number generator to use when shuffling the data. If int, `random_state` is the seed used by the random number generator; If `RandomState` instance, `random_state` is the random number generator; If None, the random number generator is the `RandomState` instance used by `np.random`.
- early\_stopping** : bool, *default=False*  
Whether to use early stopping to terminate training when validation score is not improving. If set to True, it will automatically set aside a stratified fraction of training data as validation and terminate training when validation score is not improving by at least `tol` for `n_iter_no_change` consecutive epochs.  
*New in version 0.20.*
- validation\_fraction** : float, *default=0.1*  
The proportion of training data to set aside as validation set for early stopping. Must be between 0 and 1. Only used if `early_stopping` is True.  
*New in version 0.20.*

[https://scikit-learn.org/stable/modules/generated/sklearn.linear\\_model.Perceptron.html](https://scikit-learn.org/stable/modules/generated/sklearn.linear_model.Perceptron.html)

# Python Code

# Step 1: Import Libraries

```
import os
import pandas as pd
import numpy as np
from sklearn.model_selection import train_test_split
from sklearn.linear_model import Perceptron
from sklearn import metrics
import seaborn as sns
from matplotlib import pyplot as plt
```

# Step 2: Change working directory

```
dir = 'D:\\Dropbox\\000CE5333Machine
Learning\\Week9ANNS\\Code'
os.chdir(dir)
```

# Step 3: Read the dataset

```
a = pd.read_csv('TXculvertdata.csv') # read our dataset
features = ['SVCYR','ADT','Reconst','PTRUCK'] # INPUT DATA
FEATURES
X = a[features] # DATAFRAME OF INPUT FEATURES
SVCYR2 = a['SVCYR']**2 # Add SVCYR square to the dataset
X['SVCYR2'] = SVCYR2 # CALCULATE THE SQUARE OF AGE
Y = a['Culvert_Damage'] # ADD IT TO THE INPUT FEATURE
DATAFRAME
```

# Step 4: Split into training and testing data

```
X_train,X_test,y_train,y_test=train_test_split(X,Y,test_size=0.30,
                                              random_state=10)
```

# Step 5: Fit the perceptron model

```
prcp = Perceptron()
prcp.fit(X_train,y_train)
```

# Step 6: Make Predictions and compute confusion matrix

```
y_pred=prcp.predict(X_test)
cnf_matrix = metrics.confusion_matrix(y_test, y_pred)
cnf_matrix # y_test is going be rows (obs), y_pred (predicted) are
cols
```

# Step 7: Evaluate usng accuracy, precision, recall

```
print("Accuracy:",metrics.accuracy_score(y_test, y_pred)) # overall
accuracy
print("Precision:",metrics.precision_score(y_test, y_pred)) # predicting 0
(Sat)
print("Recall:",metrics.recall_score(y_test, y_pred)) # predicting 1 (unsat)
```

# Results

- The Perceptron model does not do a good job compared to most other models

| Model       | Accuracy |
|-------------|----------|
| Logistic    | 0.6657   |
| Naïve Bayes | 0.6557   |
| KNN         | 0.6236   |
| Ensemble    | 0.6676   |
| Perceptron  | 0.5611   |

Perceptron

|           |        |
|-----------|--------|
| Precision | 0.4711 |
| Recall    | 0.4792 |

Perceptron Coefficients

| Parameter | Coefficient |
|-----------|-------------|
| Intercept | 11144.      |
| SVCYR     | -151405.    |
| ADT       | 907.        |
| Reconst   | 908.        |
| PTRUCK    | -104361.    |
| SVCYR2    | 2165.       |

Perceptron

|     | Predicted |      |
|-----|-----------|------|
| Obs | 0         | 1    |
| 0   | 2170      | 1335 |
| 1   | 1292      | 1189 |

LR Model

|     | Predicted |      |
|-----|-----------|------|
| Obs | 0         | 1    |
| 0   | 2716      | 789  |
| 1   | 1230      | 1251 |

Unlike LR – Perceptron does not give probabilities so a ROC cannot be constructed



# You Should Know

- What are biological neurons
  - How do they function?
- The McCulloch-Pitt (M-P) artificial neuron
  - How do they work
- Perceptron artificial neuron
  - Linear classifier
- Hebbian learning
- How are the weights updated
- Limitations of Perceptrons
  - Linear classifier