

## CPSC 513 — Assignment #2

### Solutions for Question #2

Recall that, for  $n \in \mathbb{N}$ ,  $\Phi_n$  is the (partial or total) function that is computed by the program with encoding  $n$ . The problems on this assignment concern the set

$$\text{Monotone} = \{n \in \mathbb{N} \mid \Phi_n \text{ is total and } \Phi_n(x) \leq \Phi_n(x+1) \text{ for all } x \in \mathbb{N}\}.$$

This question also concerns the set

$$\text{Total} = \{n \in \mathbb{N} \mid \Phi_n \text{ is total}\}$$

that was considered during the lecture on “Diagonalization and Reducibility.”

(a) You were first asked to give an  $\mathcal{L}$ -program such that, for inputs  $x_1, x_2 \in \mathbb{N}$ ,

- if  $\Phi_{x_2}(0), \Phi_{x_2}(1), \dots, \Phi_{x_2}(x_1)$  are all defined then this program computes and returns

$$\Phi_{x_2}(0) + \Phi_{x_2}(1) + \dots + \Phi_{x_2}(x_1);$$

- this program fails to halt on inputs  $x_1$  and  $x_2$  otherwise.

**Solution** Consider the following program.

```

Y ← 0
Z1 ← 0
[A1] Z2 ← ΦX2(Z1)
      Z3 ← Z2 + Y
      Y ← Z3
      Z3 ← X1 − Z1
      IF Z3 ≠ 0 GOTO B1
      GOTO C1
[B1] Z1 ← Z1 + 1
      GOTO A1

```

The following is not hard to prove by induction on  $n$ : For all  $n, x_2 \in \mathbb{N}$ , if each of the values

$$\Phi_{x_2}(0), \Phi_{x_2}(1), \dots, \Phi_{x_2}(n)$$

are defined, and this program is executed with inputs  $n$  and  $x_2$ , then the statement

$$\text{IF } Z_3 \neq 0 \text{ GOTO } B_1$$

is executed exactly  $n + 1$  times. Furthermore, if  $1 \leq i \leq n + 1$  then, at the  $i^{\text{th}}$  execution of this statement,  $Y$  has value

$$\Phi_{x_2}(0) + \Phi_{x_2}(1) + \dots + \Phi_{x_2}(i - 1),$$

$Z_1$  has value  $i - 1$ , and  $Z_3$  has value  $n + 1 - i$ . Consequently  $Y$  has the desired output value and  $Z_3$  has value 0 at the  $n + 1^{\text{st}}$  execution of this statement. The test will fail and the next statement will be reached and executed. Since there is no statement with label  $C_1$  this will cause the execution of the program to halt with the desired output being returned.

Suppose, instead, that one or more of the values

$$\Phi_{x_2}(0), \Phi_{x_2}(1), \dots, \Phi_{x_2}(n)$$

is *not* defined. Let  $m \in \mathbb{N}$  such that  $0 \leq m \leq n$  and  $m$  is the *smallest* value such that  $\Phi_{x_2}(m)$  is undefined.

Notice that if  $m = 0$  then the program fails to halt when it is executed with inputs  $n$  and  $x_2$  because the statement with label  $A_1$  is reached and fails to halt the first time it is executed.

Suppose, instead, that  $1 \leq m \leq n$ . Then, if the program is executed with inputs  $n$  and  $x_2$  then, once again, it can be argued that the statement with label  $A_1$  is executed exactly  $m + 1$  times. Indeed, it can be proved by induction on  $i$  that if  $1 \leq i \leq m$  then the variable  $Z_1$  has value  $i - 1$  and the variable  $Z_3$  has value  $n - i + 1 \geq n - m + 1 \geq 1$  at the  $i^{\text{th}}$  execution of this statement.

In particular,  $Z_1$  has value  $m - 1$  and  $Z_3$  has a positive value at the  $m^{\text{th}}$  execution of this statement — so that the test will pass once again, and the statement with label  $B_1$  will be executed after that — increasing the value of  $Z_1$  after that. The statement with label  $A_1$  will be reached and executed, for a final time, two steps after that — and the fail will fail to halt, because of this execution of this statement will never end.

Thus this program has the properties listed in the question.

**Note:** Since this program exists it has encoding  $p$  for some (fixed by unknown) natural number  $p$ .

(b) You were next asked to prove that  $\text{Total} \preceq_m \text{Monotone}$ .

Consider the function  $f : \mathbb{N} \rightarrow \mathbb{N}$  such that, for all  $n \in \mathbb{N}$ ,

$$f(n) = s_1^1(n, p)$$

where  $s_1^1$  is the function mentioned in the Parameter Theorem and the constant  $p$  is the encoding of the program given in part (a). Since  $s_1^1$  is primitive recursive it should be clear that  $f$  is also a primitive recursive function — so that it is certainly a computable total function.

Suppose that  $n \in \text{Total}$ . Then, for all  $x \in \mathbb{N}$ ,

$$\begin{aligned} \Phi_{f(n)}(x) &= \Phi^{(1)}(x, f(n)) \\ &= \Phi^{(1)}(x, s_1^1(n, p)) \\ &= \Phi^{(2)}(x, n, p) \\ &= \Phi_n(0) + \Phi_n(1) + \cdots + \Phi_n(x) \in \mathbb{N}, \end{aligned}$$

since  $n \in \text{Total}$ , so that  $\Phi_n$  is a program that computes a total function. Thus  $f(n) \in \text{Total}$ . Furthermore, it follows by the above that for all  $x \in \mathbb{N}$ ,

$$\Phi_{f(n)}(x+1) - \Phi_{f(n)}(x) = \Phi_{x_2}(x+1) \geq 0,$$

so that  $\Phi_{f(n)}$  is a monotone function. Thus  $f(n) \in \text{Monotone}$  in this case.

Suppose, on the other hand, that  $n \notin \text{Total}$ . Then  $\Phi_n$  is not a total function. Let  $x$  be the smallest natural number such that  $\Phi_n(x)$  is undefined. Then (arguing as above once again)

$$\Phi_{f(n)}(x) = \Phi^{(2)}(x, n, p)$$

is undefined as well, because  $\Phi_p$  fails to halt when executed on inputs  $x$  and  $n$ . Thus  $\Phi_{f(n)}$  is not a total function, so that it is not monotone, either:  $f(n) \notin \text{Monotone}$ .

Thus  $x \in \text{Total}$  if and only if  $f(x) \in \text{Monotone}$  and, since  $f$  is a computable total function it follows that

$$\text{Total} \preceq_m \text{Monotone}$$

as claimed.

(c) Finally you were asked what can be concluded about the set  $\text{Monotone}$ .

**Solution:** Recall (from Lecture #9) that the set  $\text{Total}$  is not recursively enumerable. It follows by the above reduction that  $\text{Monotone}$  is not recursively enumerable either.