

# DATA PRIVACY AND SECURITY

Prof. Daniele Venturi

A large, stylized white graphic of the Medusa's head, featuring her multi-eyes and serpentine hair, occupies the left side of the slide.

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AND INFORMATION SECURITY

# **CHAPTER 8:**

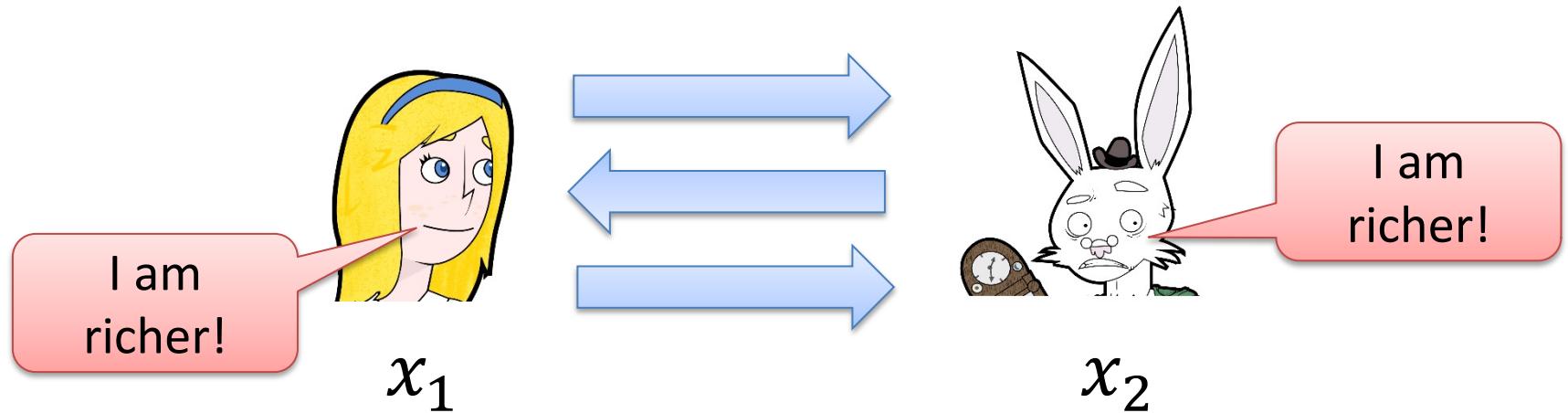
# **Multi-Party Computation**

# MPC Protocols

- Multi-Party Computation (MPC): Protocols where the players do not trust each other
- Yet they want to achieve a **common goal**
  - Typically, expressed as a function on the parties' **secret inputs** (say # of players =  $n$ )

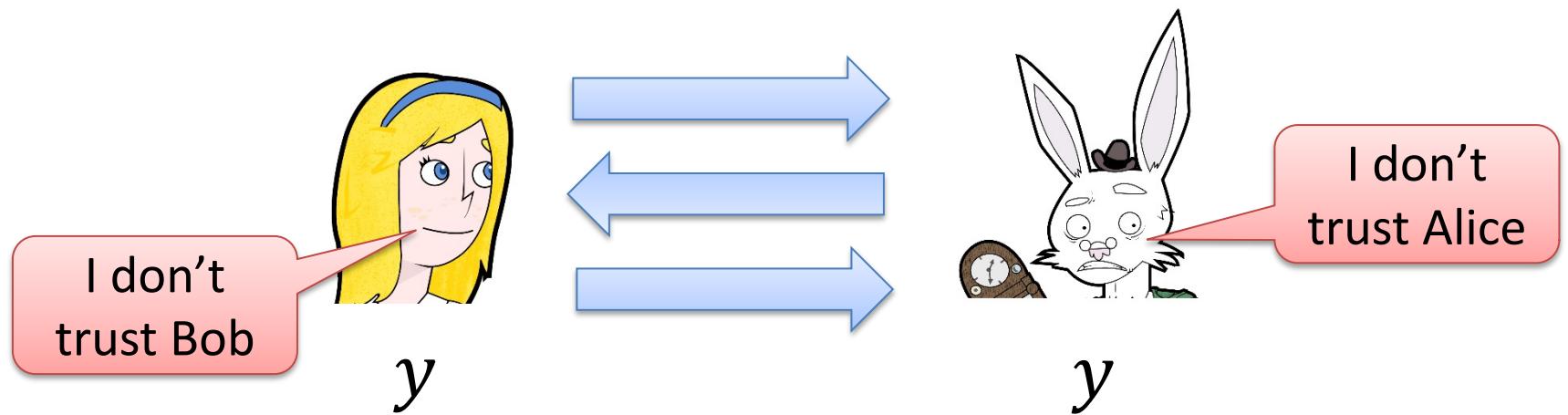


# Example: The Millionaires' Problem



$$f(x_1, x_2) = \begin{cases} 1 & \text{if } x_1 > x_2 \\ 0 & \text{if } x_2 \geq x_1 \end{cases}$$

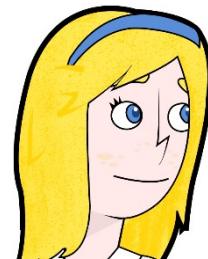
# Example: Coin Tossing



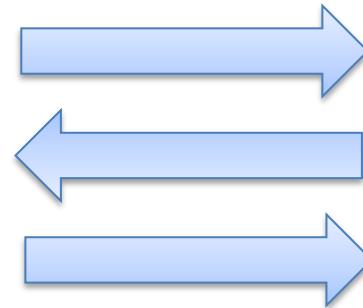
$$y = \begin{cases} 0 \text{ w. p. } 1/2 \\ 1 \text{ w. p. } 1/2 \end{cases}$$

# Example: Secure Dating

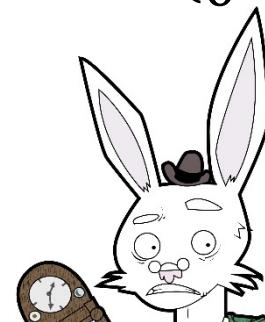
$$x_1 = \begin{cases} 1 & \text{if Alice loves Bob} \\ 0 & \text{otherwise} \end{cases}$$



$y$



$$x_2 = \begin{cases} 1 & \text{if Bob loves Alice} \\ 0 & \text{otherwise} \end{cases}$$



$y$

$$y = x_1 \cdot x_2$$

# Possible Applications

- Cloud computing
- Digital auctions
- Online gambling (poker)
- Electronic voting
- ...

But do such  
protocols exist?

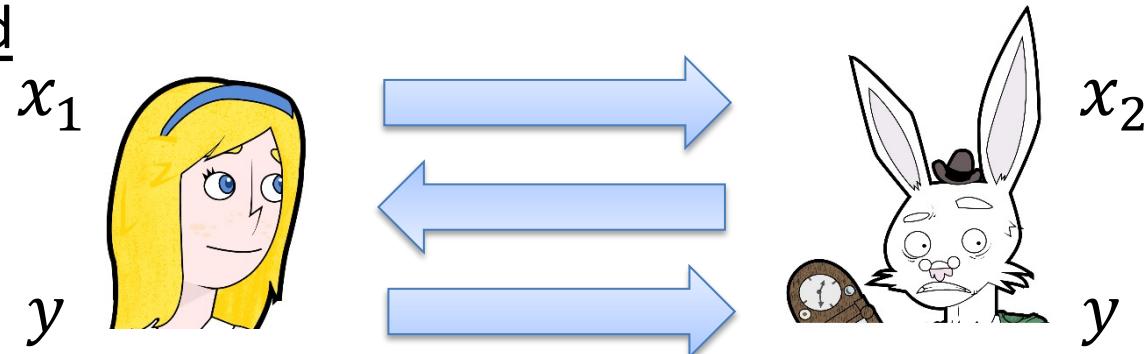
# Ideal and Real World

- Trivial assuming a **trusted third party**

Ideal World



Real World



# Every Function can be Computed Securely



Manuel  
Blum



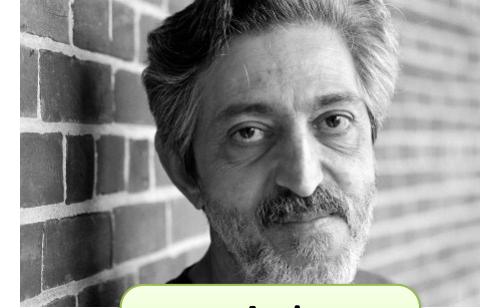
Andrew  
Yao



Silvio  
Micali



Oded  
Goldreich



Avi  
Widgerson

**Every** trusted party can be "**simulated**" in a secure manner (under some assumptions)

# The Age of Optimism

80s 90s 00s 10s 20s

PKE

MPC

Invented

PKE

Practical

PKE

Ubiquitous

MPC

Feasible

MPC

Practical

MPC

Ubiquitous

# Security Requirements (1/4)

- Consider a secure auction with secret bids
- Attacker may wish to learn the bids
  - Require **privacy** of inputs
- Attacker may wish to win using a bid lower than the highest
  - Require **correctness** of the output

# Security Requirements (2/4)

- Attacker may wish to ensure his bid is always the highest
  - Require **independence of inputs**
- Attacker may wish to abort the protocol if he is not the winner
  - Require **fairness**

# Security Requirements (3/4)

- **Privacy**: Only the output is revealed
- **Correctness**: The desired function is computed correctly
- **Independence of inputs**: Parties can't choose inputs based on other parties' inputs

# Security Requirements (4/4)

- **Fairness:** If one party receives the output, all parties receive the output
- **Guaranteed output delivery:** Corrupted parties can't prevent honest parties to receive the output

# Defining Security (1/2)

- First option: Define **specific** properties for **each** scenario
  - Auctions: As in previous slide
  - Elections: Only privacy, correctness and fairness
- Problem:
  - How do we know **all possible concerns** are covered?
  - Definitions are application dependent and need to be redefined from scratch for each task

# Defining Security (2/2)

- Second option: Have a **general definition** that works for **all possible** scenarios
  - Need well-defined adversarial model and execution setting
  - Security guarantees are **simple** to understand

# On the Power of the Adversary

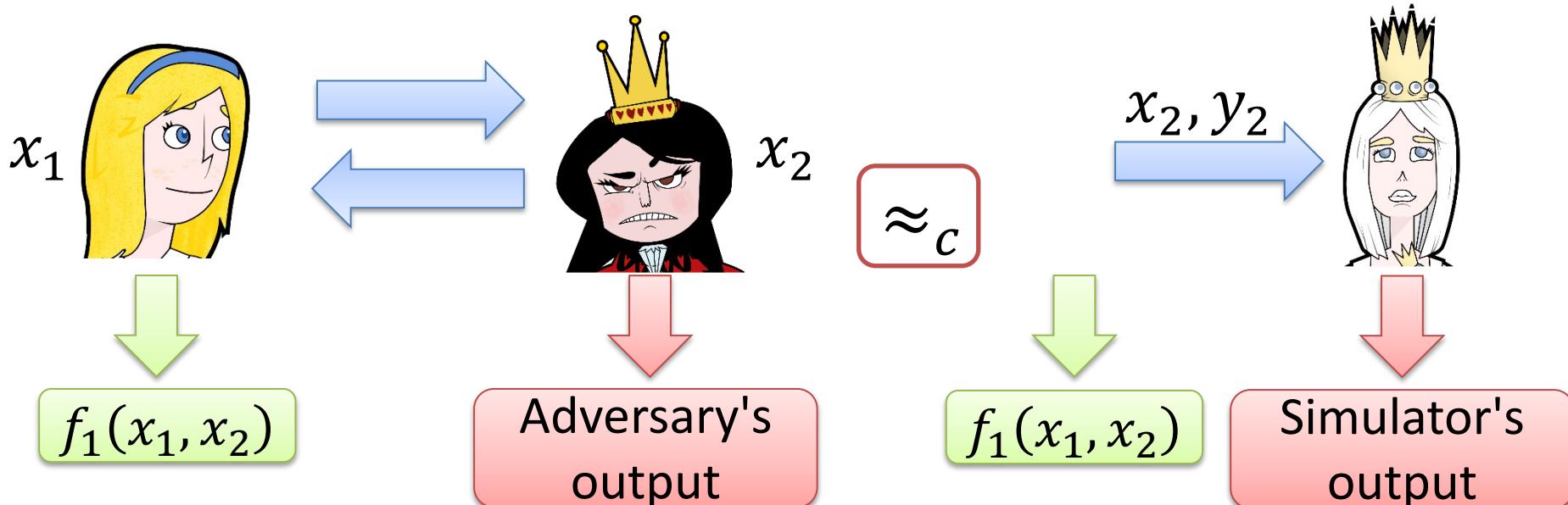
- The adversary can **corrupt** a subset of players
  - Threshold adversary: Corrupts  $t < n$  players
  - Monolithic adversary: **Single adversary** corrupting all parties
- Semi-honest vs. malicious
  - Semi-honest: Follows the protocol
  - Malicious: Behaves **arbitrarily**
- Non-adaptive vs. adaptive
  - Non-adaptive: Identity of corrupted parties **fixed before the protocol starts**

# Execution Setting

- Standalone execution
  - Consider only a **single** execution
  - Allows for **sequential composition**
- Concurrent and universal composition
  - **Concurrent:** Different instances of the same protocol are run concurrently
  - **Universal:** Arbitrary protocols are executed concurrently
- Universal composability is the true goal
  - Allows for **arbitrary composition**

# Security by Simulation

$$f(x_1, x_2) = (f_1(x_1, x_2), f_2(x_1, x_2)) = (y_1, y_2)$$



- Given input and output **can generate the adversary's view**
- Inputs are well defined (**semi-honest** case)

# Properties

- Correctness, independence of inputs, fairness  
**not** a concern in the semi-honest model
- What about **privacy**?
  - The attacker's view can be generated given only the input and output
  - So whatever the adversary has learned he could have also learned by talking to the simulator, which **does not know** the honest party's input
  - Without even running the protocol!

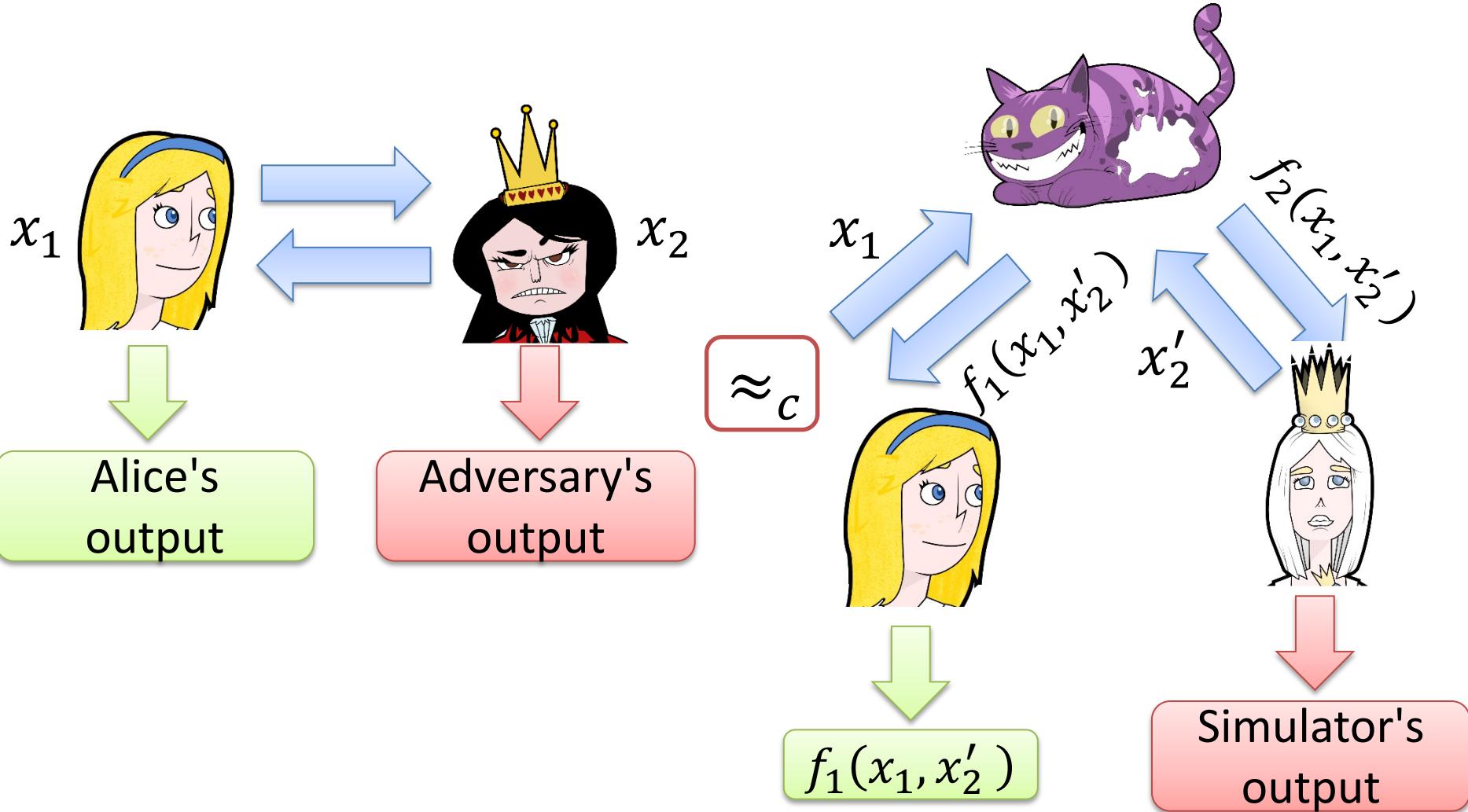
# Malicious Adversaries

- First attempt: Require the existence of a simulator as before
  - The simulator should simulate the attacker's view given the input/output for the malicious party
- Problem: What is the input used by the adversary?
  - In fact, the input **might not even exist!**
- Moreover, independence of inputs, correctness, and fairness are **not implied** by the ability to simulate the adversary's view

# Trusted Third Parties

- Best option: An **incorruptible** trusted party
  - All players send their inputs to the trusted party
  - The trusted party computes the outputs and gives them to the players
  - In this sense, this is an **ideal world**
- What can the adversary do?
  - Only **change its input**
- Security now says that an execution of the real protocol should be like in the ideal world

# The Real/Ideal Paradigm



# Properties

- All properties are satisfied in the ideal world
  - **Privacy**: As before
  - **Correctness**: Because honest parties get the correct output
  - **Independence of inputs**: Because the simulator does not know the honest party's input
  - **Fairness**: Because the honest party always receives the output
  - **Guaranteed output delivery**: Same as fairness

# Sequential Composition

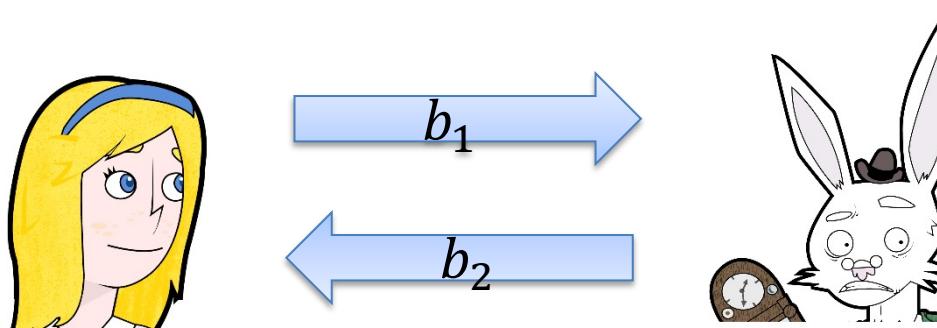
- Secure protocols run **sequentially**, with arbitrary messages in between
- Why is this interesting?
  - Helpful tool for analyzing security of protocols
- Formalization: The **hybrid model**
  - Replace each protocol with the corresponding ideal functionality
  - Real messages (exchanged by the parties)
  - Ideal messages (sent to the ideal functionalities)

# Universal Composability

- Sequential composition does not model settings (like, e.g., the Internet) where protocols are **run concurrently**
  - With different instances of the same protocol and other protocols
- **Universal composability** captures this
  - R. Canetti. "Universally Composable Security: A New Paradigm for Cryptographic Protocols". 2001

# Coin Tossing

# How to Realize Coin Tossing?

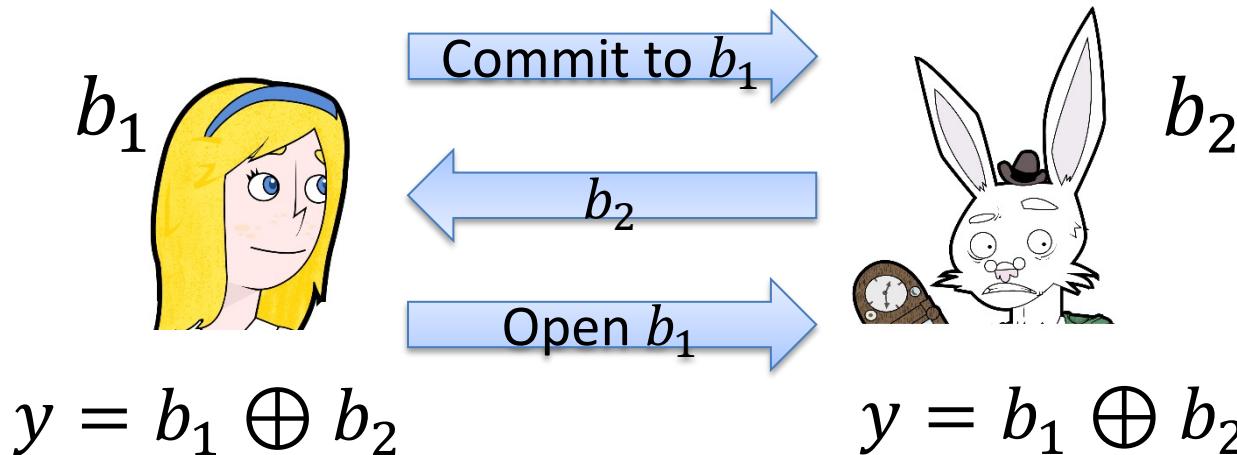


$$y = b_1 \oplus b_2$$

$$y = b_1 \oplus b_2$$

- But the bits should be sent at the **same time**
  - Otherwise parties can easily cheat
  - Seems hard to realize this in the internet

# Solution Using Bit Commitments



- Digital commitment satisfies two properties
  - **Binding:** Alice cannot commit to  $b$  and later open the commitment to  $b' \neq b$
  - **Hiding:** The commitment hides  $b$

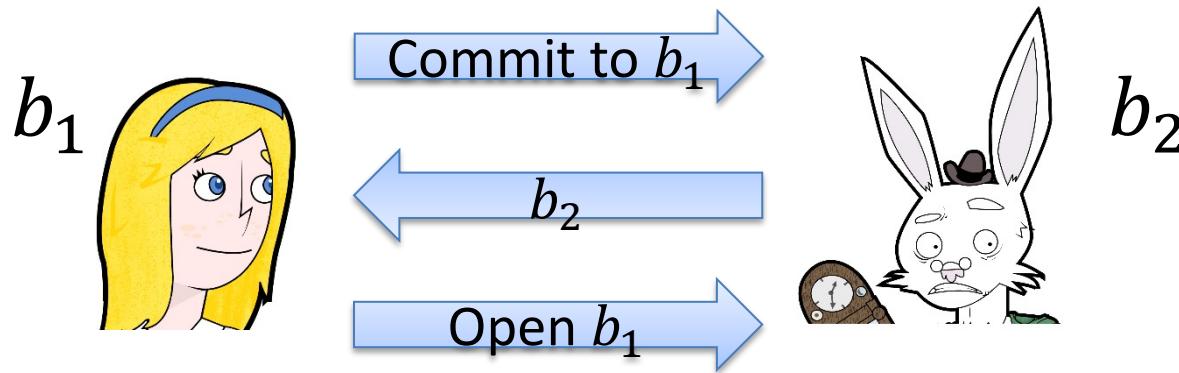
# Hash-Based Commitments

- Hash function  $\mathbf{H}$  (modeled as **random oracle**)
  - In practice, could be SHA-256
- To commit to  $b \in \{0,1\}$ , pick random  $r \in \{0,1\}^k$  and output  $\mathbf{H}(b||r)$
- To open  $b$ , send  $(b, r)$ 
  - **Hiding:** The function's outputs look random
  - **Binding:** Finding  $(0, r_0)$  and  $(1, r_1)$  such that  $\mathbf{H}(0||r_0) = \mathbf{H}(0||r_1)$  is hard

# The Limitations

- **Lack of fairness** when there is **no honest majority** (see following slides)
  - Partial remedies exist
- No way to force parties to use **true inputs** and to **respect the outcome**
- We can deal with these problems using Bitcoin!
  - M. Andrychowicz, S. Dziembowski, D. Malinowski, L. Mazurek. "Secure Multiparty Computations on Bitcoin." 2014

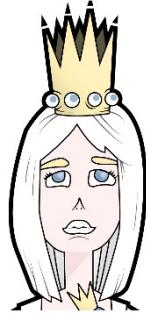
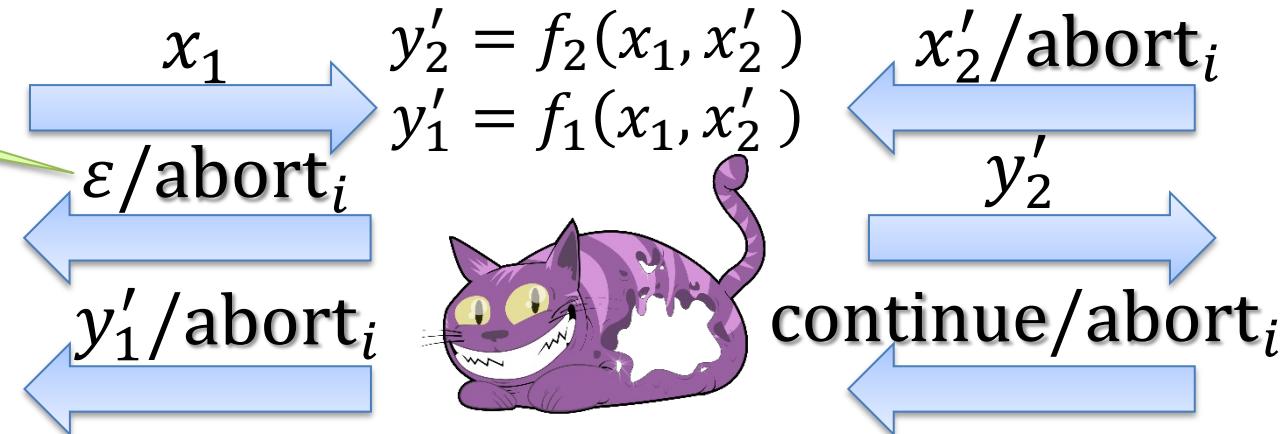
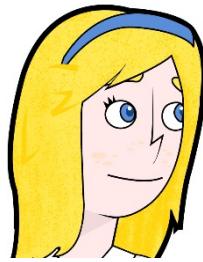
# Problem 1



- Lack of fairness
  - Alice can refuse to open the commitment
- **Inherent issue** in most of the interesting MPC protocols

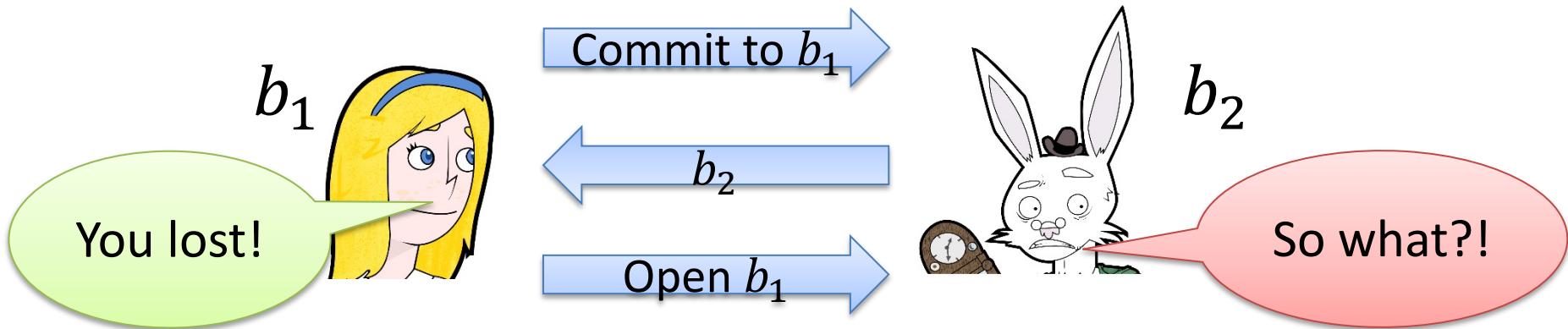
# Security with Aborts

The empty string



- The simulator **can abort** either at the beginning, or after seeing the output (before the honest party)
- This yields a weaker notion known as **security with aborts**

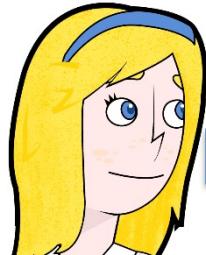
# Problem 2



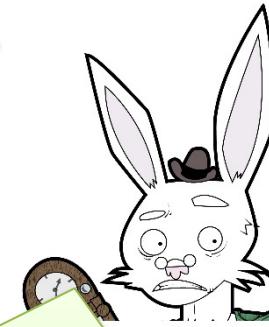
- This is the problem of forcing the parties to **respect the output**
- **Inherent** even in the ideal world specification

# Main Idea

Commits  
to bit  $b_1$



Commit to  $b_1$



$b_2$

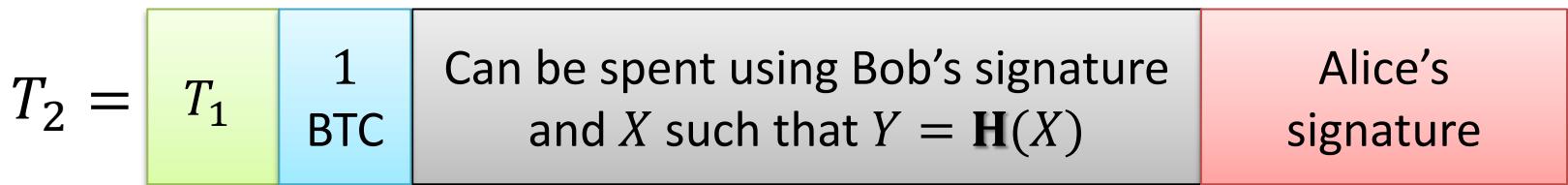
If Alice **didn't redeem**  
"commit", I can do it after  
one day!

## Transaction "commit":

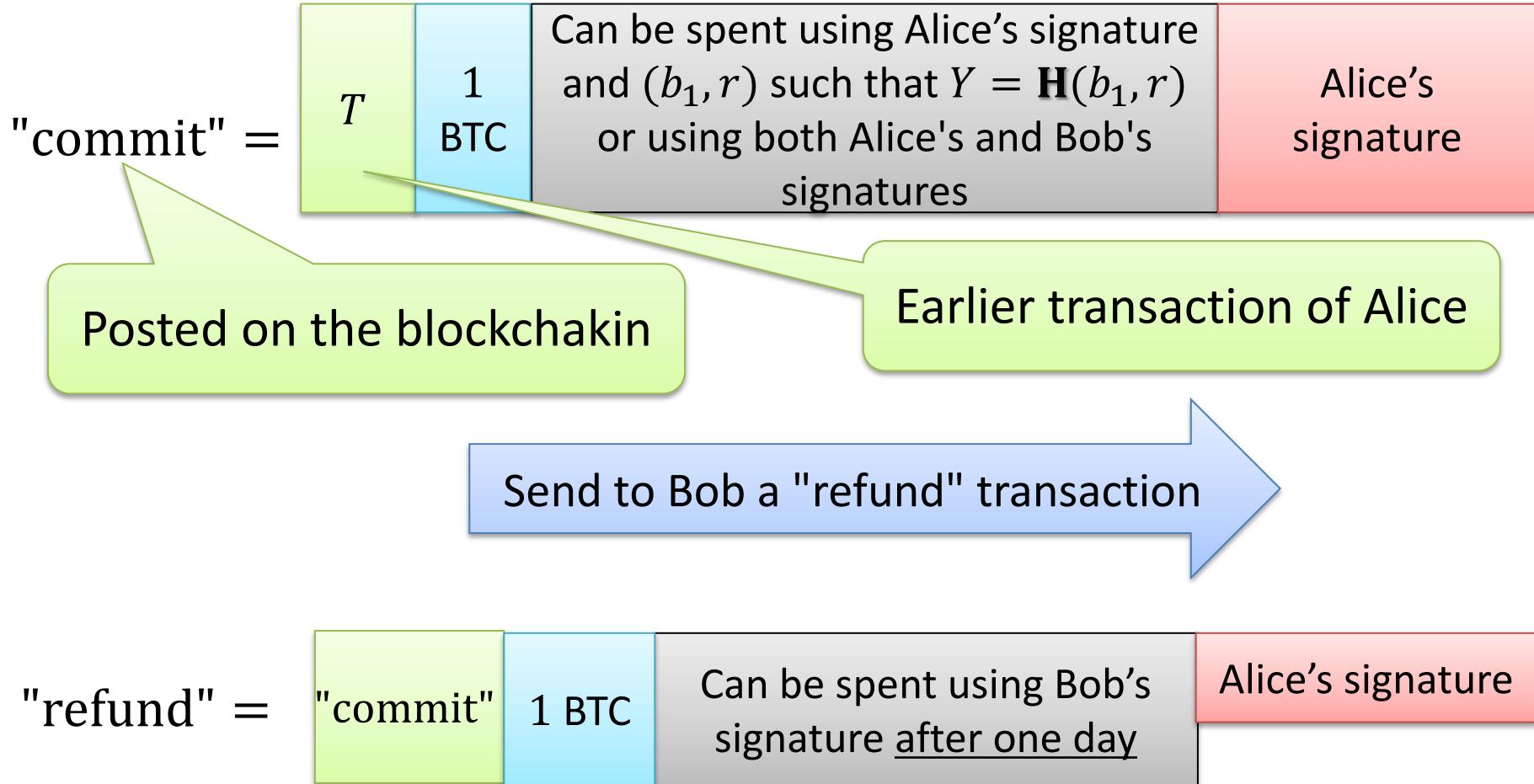
- Has value 1 BTC
- Can be redeemed by Alice
- Claiming the transaction requires revealing  $b_1$

# How to do it?

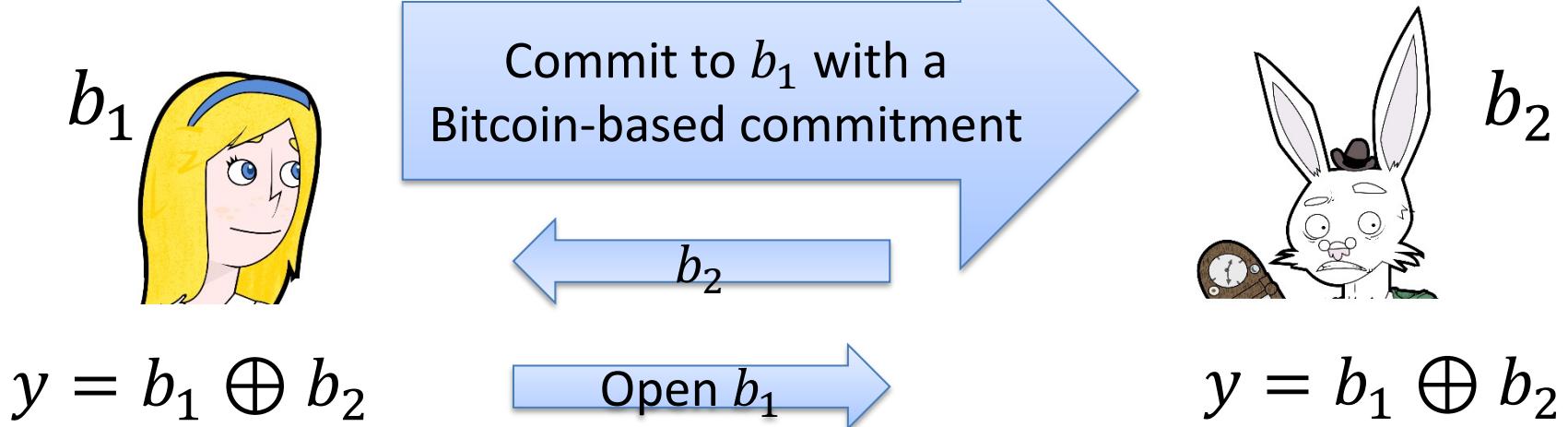
- Using the Bitcoin scripting language
- **Hash-locked** transactions
  - Let  $\mathbf{H}$  be a hash function and  $Y = \mathbf{H}(X)$
  - A  $Y$ -hash-locked transaction can be redeemed only by publishing  $X$  (in our case  $X = (b_1, r)$ )



# Alice's Commitment



# Solving the Fairness Issue



- If Alice **does not open** the commitment within one day, Bob can get 1BTC by posting the "refund" transaction
- Otherwise Alice gets her 1 BTC back

# A Commitment Contract in Ethereum

```
contract Commitment{
    bytes32 commitment;
    uint timeout;
    address owner;
    function commit(bytes32 c) payable {
        hash = h;
        timeout = now + 10 minutes;
        owner = msg.sender;
    }
    function open (uint d) {
        if (sha3(d) == commitment)
            selfdestruct(msg.sender);
    }
    function refund (){
        if (timeout < now)
            self-destruct(owner);
    }
}
```

1. Challenger deposits coins

2. Solver opens commitment

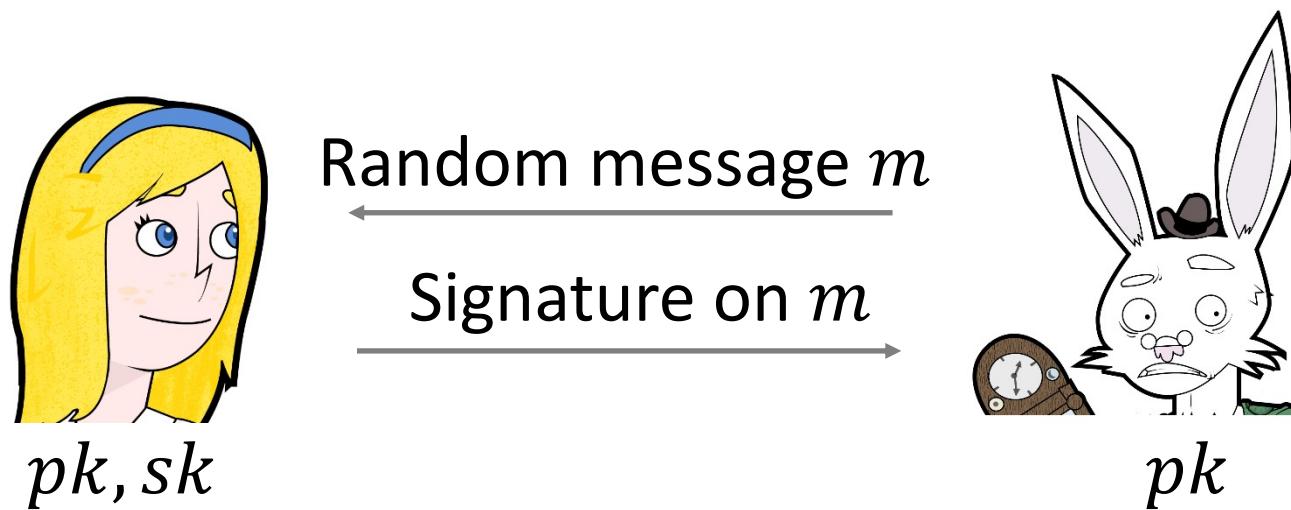
3. Refund coins

# Final Result

- Any two-party stateless functionality can be simulated in this way
- The simulation enforces financial consequences
- Generalization to multi-party reactive functionalities by Kumaresan, Moran, Bentov
- Example: Selling secret information
  - Set union plus a money transfer between Alice and Bob for each new element that they learned

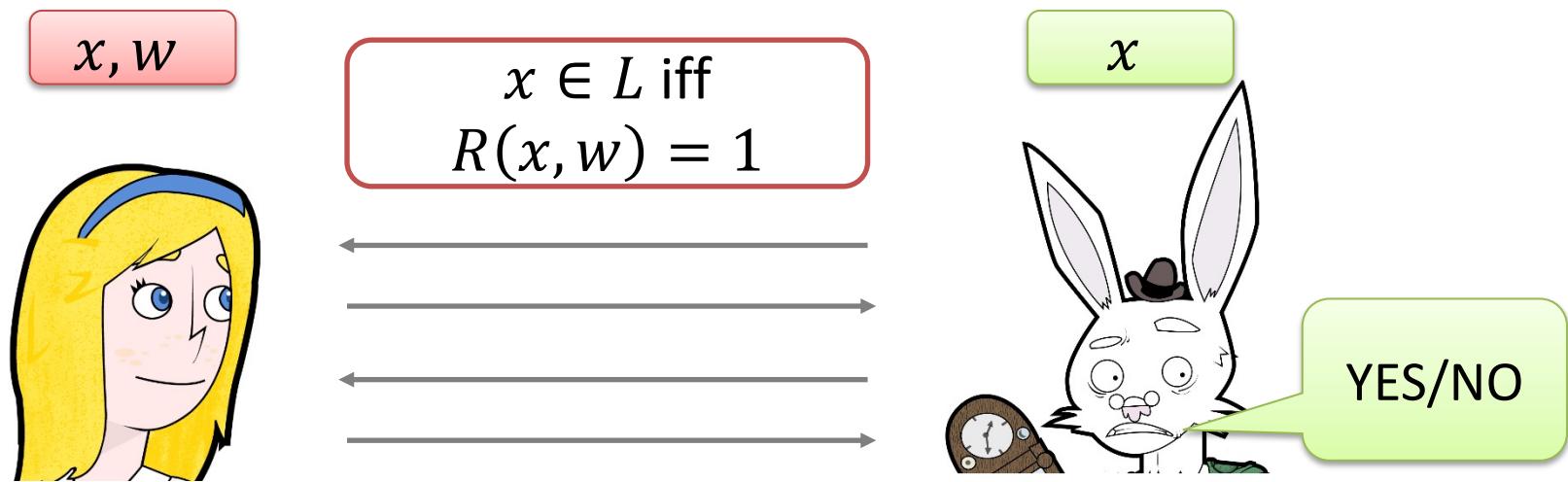
# Zero Knowledge

# Motivating Example: ID Schemes



- Protocol is **not deniable**: Signature is a **proof** that someone has talked to the prover
- Can we have a protocol where the verifier does not learn **anything**?

# Interactive Proofs

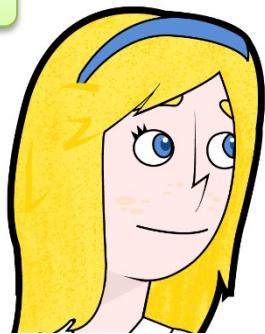


- **Completeness:** Honest prover always convinces the verifier
- **Soundness:** No malicious prover can convince the verifier in case  $x \notin L$

# The Schnorr Protocol

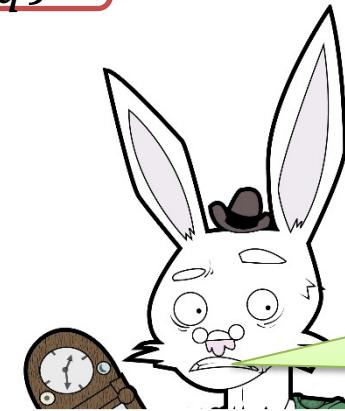
$$L = \{x = g^w : w \in \mathbb{Z}_q\}$$

$x, w$



$$\begin{array}{c} \alpha = g^a \\ \xrightarrow{\hspace{2cm}} \\ \beta \leftarrow_{\$} \mathbb{Z}_q \\ \xleftarrow{\hspace{2cm}} \\ \gamma = \beta \cdot w + a \end{array}$$

$x$



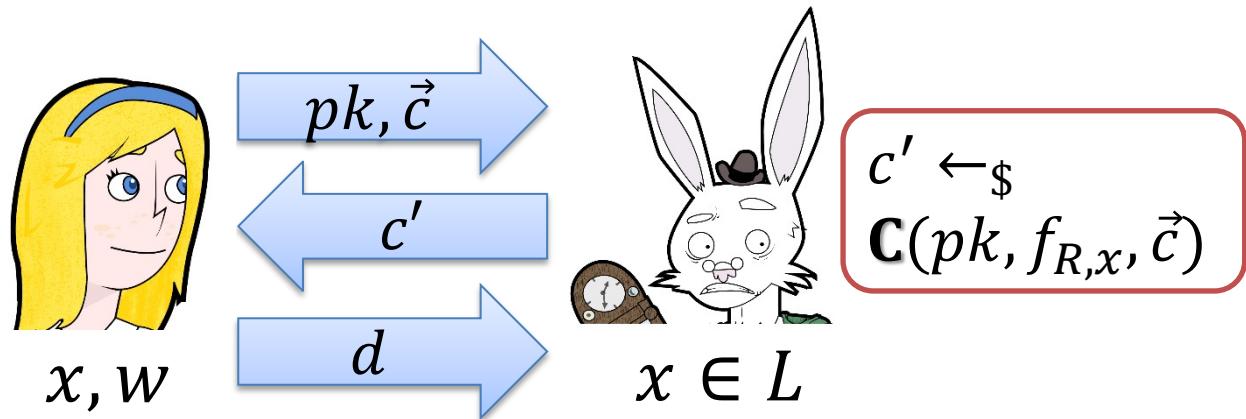
YES iff  $g^\gamma = \alpha \cdot x^\beta$

- **Completeness:**  $g^\gamma = g^{\beta \cdot w + a} = g^a \cdot (g^w)^\beta$
- **Soundness:** Follows from the DL assumption
- **Honest-Verifier Zero-Knowledge:** Pick random  $\beta, \gamma$  such that  $\alpha = g^\gamma \cdot x^{-\beta}$

# What Can be Proven in Zero Knowledge?

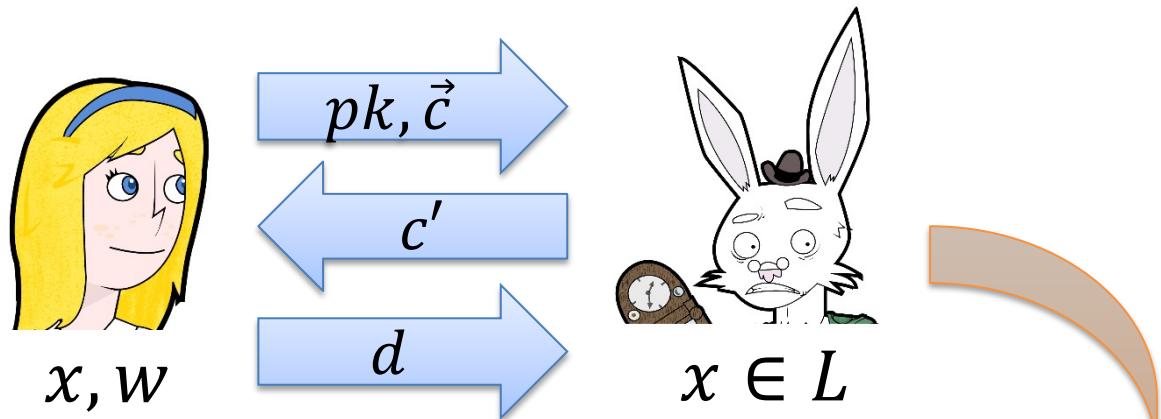
- Assuming OWFs exist **every language in NP!**
  - O. Goldreich, S. Micali, A. Widgerson. "Proofs that yield nothing but their validity." 1986
- The above is achieved by showing a zero-knowledge proof for an **NP-complete** language
  - E.g., 3-coloring or graph Hamiltonicity

# Zero Knowledge from FHE

$$\begin{aligned}(pk, sk) &\leftarrow_{\$} \mathbf{K}(1^\lambda) \\ \vec{c} &\leftarrow_{\$} \mathbf{E}(pk, \vec{w}) \\ d &= \mathbf{D}(sk, c')\end{aligned}$$


- Let  $L \in NP$  with relation  $R$ 
  - Consider the circuit  $f_{R,x}(w) = R(x, w)$
- The above protocol is **not sound!**
  - Can you say why?

# Adding Soundness (1/2)

$$\begin{aligned}(pk, sk) &\leftarrow_{\$} \mathbf{K}(1^\lambda) \\ \vec{c} &\leftarrow_{\$} \mathbf{E}(pk, \vec{w}) \\ d &= \mathbf{D}(sk, c')\end{aligned}$$

$$\begin{aligned}\beta &\leftarrow_{\$} \{0,1\} \\ c' &\leftarrow_{\$} \begin{cases} \mathbf{C}(pk, f_{R,x}, \vec{c}) & \text{if } \beta = 1 \\ \mathbf{E}(pk, 0) & \text{if } \beta = 0 \end{cases} \\ \text{Check } \beta &= d\end{aligned}$$

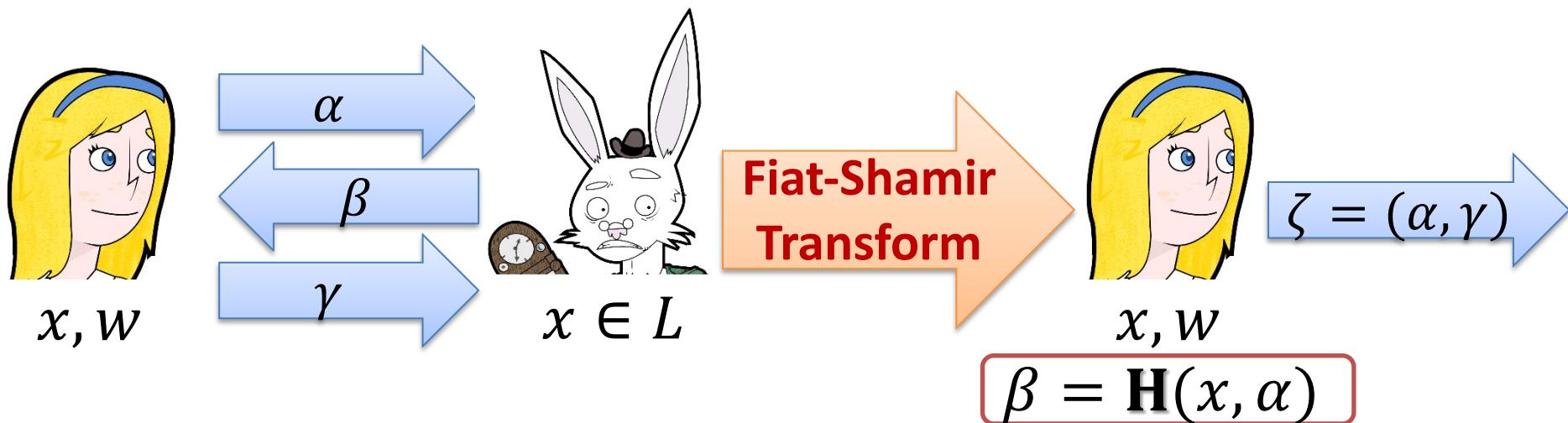
# Adding Soundness (2/2)

- Soundness follows by the fact that, for  $x \notin L$ , both ciphertexts will be **encryptions of zero**
  - Thus, Alice can cheat with probability 1/2
- However, we need to ensure that  $pk, \vec{c}$  are **well formed**
  - Alice generates  $pk_1, pk_2$  and Bob asks her to "open" one at random
  - With the other key Alice encrypts  $\vec{w}_1, \vec{w}_2$  s.t.  $\vec{w}_1 \oplus \vec{w}_2 = \vec{w}$ , and Bob asks her to "open" one of the encryptions at random

# Adding Zero Knowledge

- The previous protocol is only **honest-verifier zero-knowledge**
  - In fact, malicious Bob could send to Alice the first ciphertext in the vector  $\vec{c}$ , so that  $d$  reveals the first bit of  $w$
- This can be fixed using **commitments**
  - Namely, Alice sends a commitment to  $d$
  - Hence, Bob must reveal his randomness in order to prove he run the computation as needed
  - Finally, Alice opens the commitment revealing  $d$

# The Fiat-Shamir Transformation



- **Non-Interactive zero knowledge**
  - The proof now consists of a **single message**
- Security relies on the assumption that hash function  $\mathbf{H}$  behaves as a **random oracle**

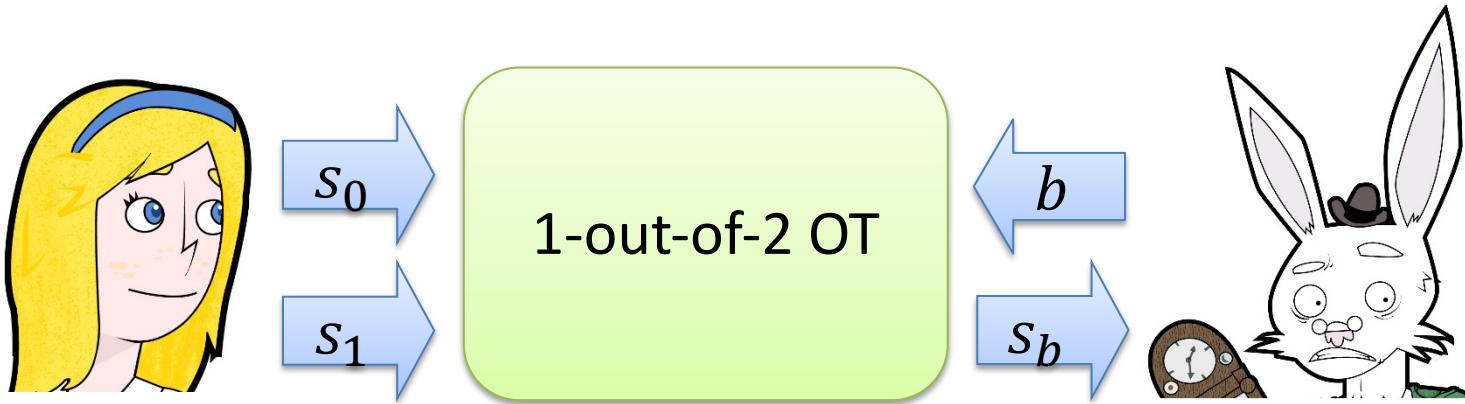
# Applications

- Suppose  $m = m_1 \parallel m_2$  is signed by Bob with  $\sigma = \mathbf{S}(sk, m)$  and Alice wants to reveal to Carol  $m_2$  while keeping  $m_1, \sigma$  **secret**
  - $L = \{m_2 : \exists m_1, \sigma \text{ s.t. } \mathbf{V}(pk, m_1 \parallel m_2, \sigma) = 1\}$
- Alice holds an ID card signed by some authority and wants to prove she is 18 **without revealing her age**
- Ubiquitous primitive in advanced cryptographic constructions

# Oblivious Transfer

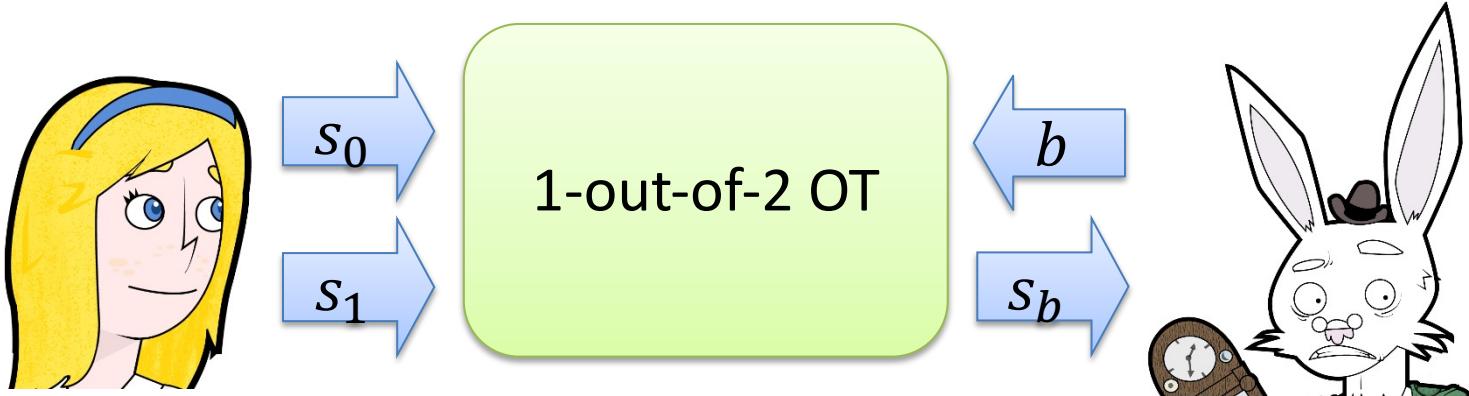
# Oblivious Transfer

- Introduced by Rabin in 1981



- Properties
  - Sender **learns nothing** about  $b$
  - Receiver **learns nothing** about  $s_{1-b}$

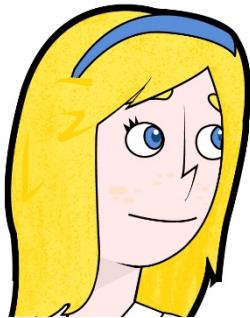
# Why is it Useful?



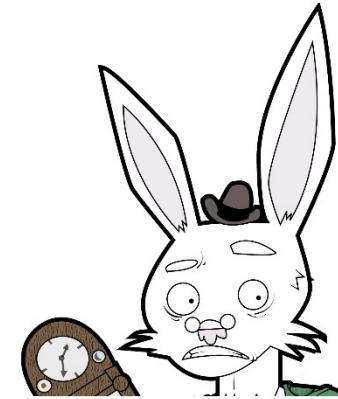
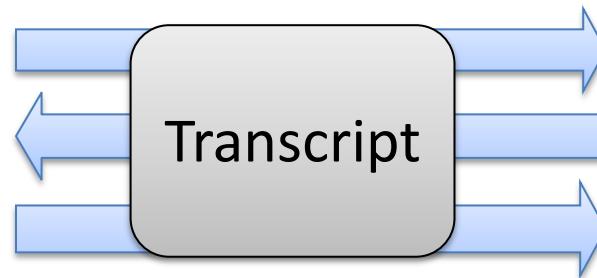
$$(s_0, s_1) = (0, b')$$

- Bob's output is 1 iff  $b = b' = 1$  (so it is equivalent to computing  $b \cdot b'$ )
- **Impossible** to compute AND with **information theoretic** security (even for **passive** security)

# Protocol Transcript



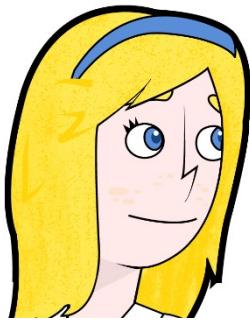
$x_1, r_1$



$x_2, r_2$

- Transcript  $T$  is **consistent** with  $x_1$  if **there exist** values  $r_1$  and  $(x_2, r_2)$  such that  $T$  is a transcript of the protocol with inputs
  - $(x_1, r_1)$  for Alice
  - $(x_2, r_2)$  for Bob

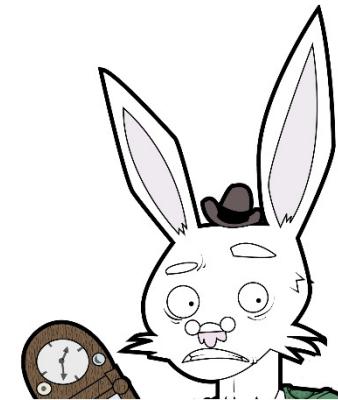
# Suppose $x_1 = 0$ and $x_2 = 0$



$x_1 = 0, r_1$

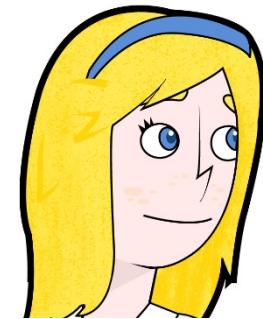


Has to be consistent with  
 $x_1 = 1$ , otherwise malicious  
Bob can learn  $x_1$

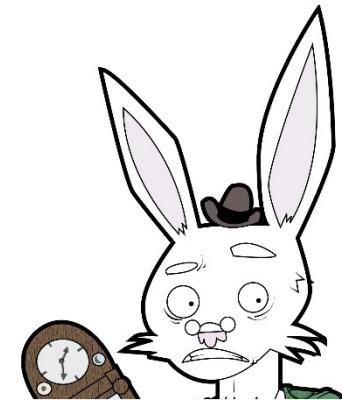
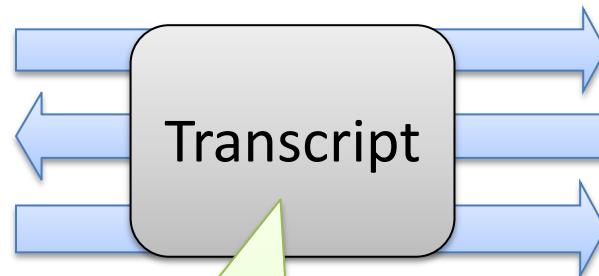


$x_2 = 0, r_2$

# Suppose $x_1 = 0$ and $x_2 = 1$



$x_1 = 0, r_1$



$x_2 = 1, r_2$

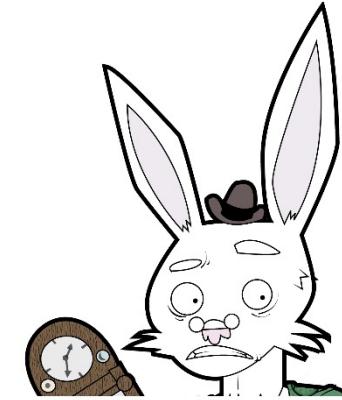
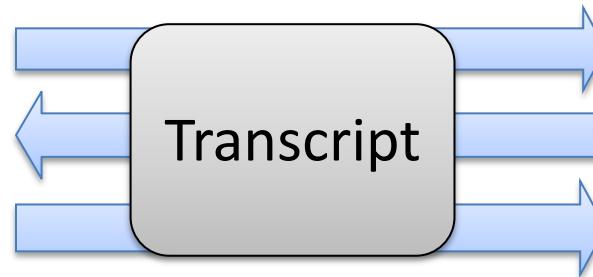
Cannot be consistent with  $x_1 = 1$ ,  
because the output of the protocol has  
to be different in the following cases

- $x_1 = 0, x_2 = 1$
- $x_1 = 1, x_2 = 1$

# The Attacker



$x_1 = 0, r_1$

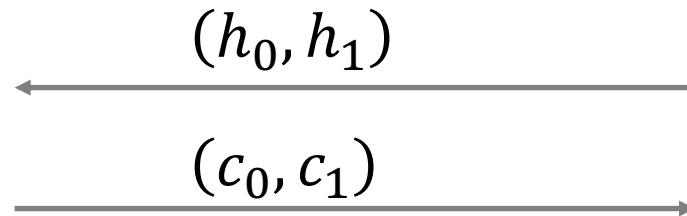
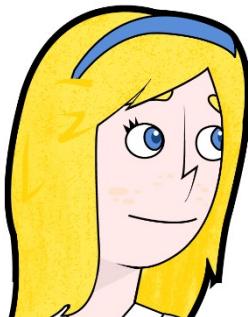


$x_2, r_2$

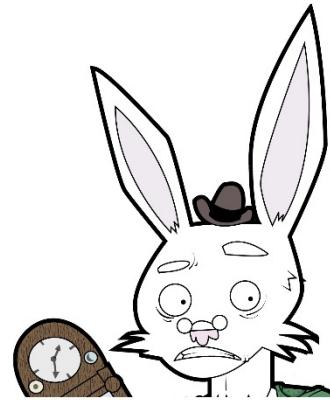
- Check if  $T$  is **consistent** with  $x_1 = 1$ 
  - If it is,  $x_2 = 0$
  - Else,  $x_2 = 1$
- **Corollary:** Any secure protocol for AND must rely on **computational assumptions**

# OT with Passive Security

- Recall the Elgamal PKE
  - Ciphertext is  $c = (g^r, h^r \cdot m)$  for  $h = g^x$
  - **Oblivious** key generation: Can generate  $h$  **without knowing** the secret key  $x$



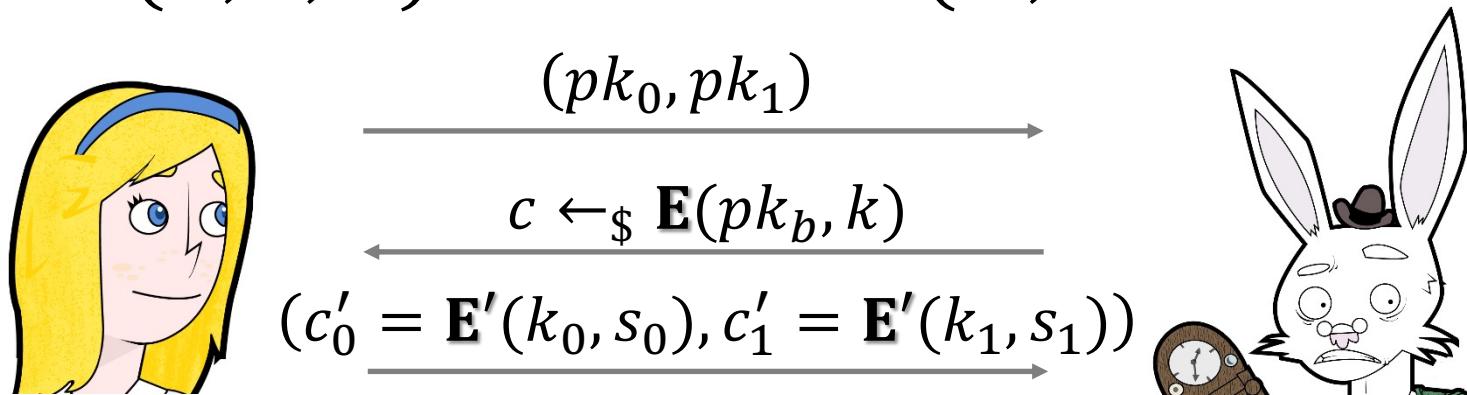
$$s_0, s_1$$
$$c_0 = (g^{r_0}, h_0^{r_0} \cdot s_0)$$
$$c_1 = (g^{r_1}, h_1^{r_1} \cdot s_1)$$


$$(h_b = g^x, x), h_{1-b}$$

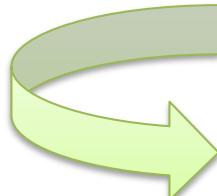
Decrypt  $c_b$  using  $x$

# OT with Active Security

- Let  $(\mathbf{K}, \mathbf{E}, \mathbf{D})$  be a PKE and  $(\mathbf{E}', \mathbf{D}')$  be an SKE



$(pk_0, sk_0) \leftarrow_{\$} \mathbf{K}$   
 $(pk_1, sk_1) \leftarrow_{\$} \mathbf{K}$   
 $k_0 = \mathbf{D}(sk_0, c)$   
 $k_1 = \mathbf{D}(sk_1, c)$



Random  $k$   
 $s_b = \mathbf{D}'(k, c'_b)$

	$b = 0$	$b = 1$
$k_0$	$k$	$\$\$$
$k_1$	$\$\$$	$k$

# Oblivious Transfer for Strings

- What if the sender inputs  $(s_0, s_1)$  consist of a **sequence** of strings  $s_b = (s_b^1, \dots, s_b^t)$ ?
- **Passive case:** Just apply basic OT to each  $(s_0^j, s_1^j)$  separately (with the same  $b$ )
- **Active case:** It's more complicated
  - But a generic construction also exists

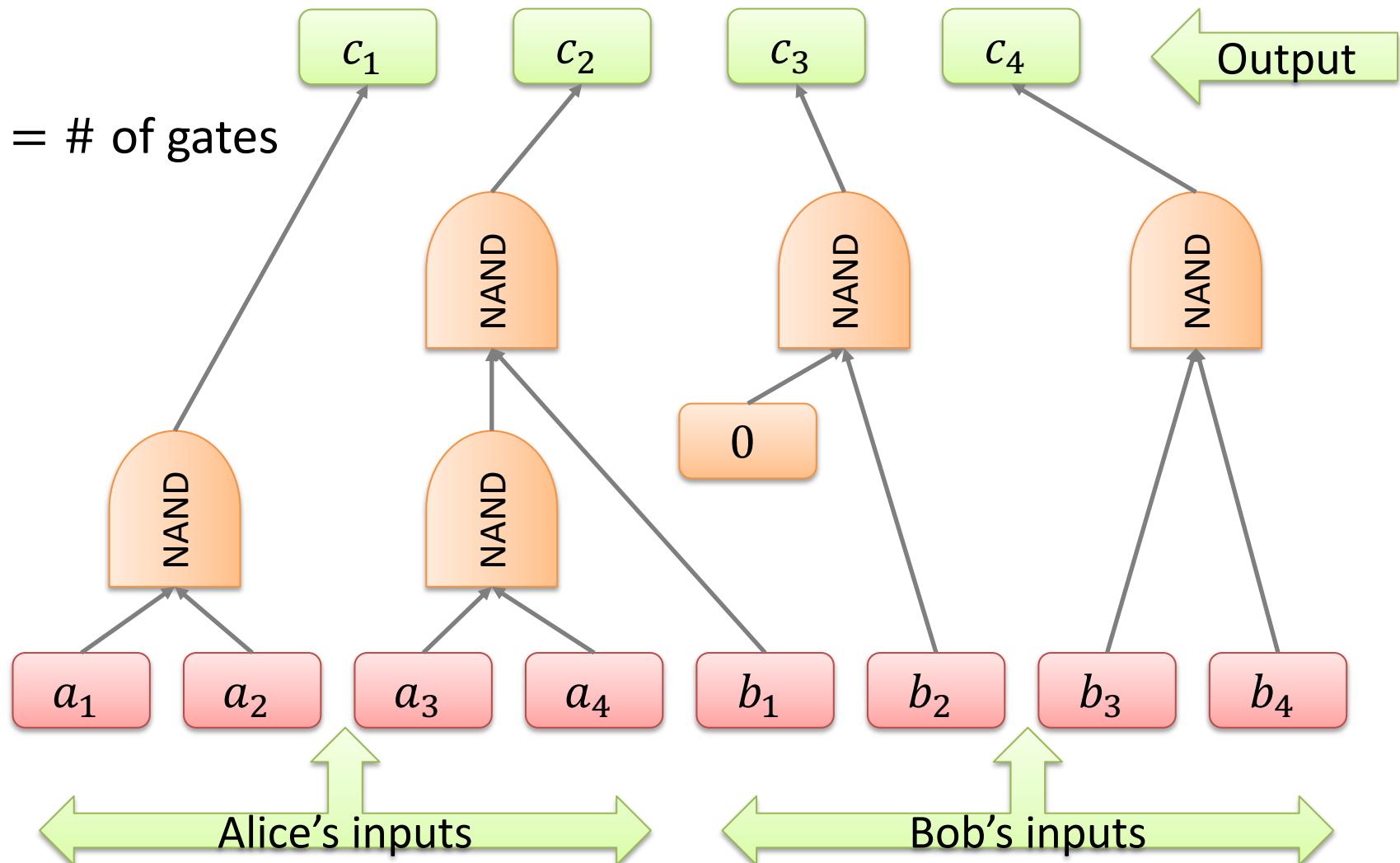
# Garbled Circuits

# Protocols for Arbitrary Functions

- We now show how Alice and Bob can compute **any function** securely
  - I.e., a general solution for the problem of secure two-party computation
  - We start with the simpler case of **passive security**
  - Also assume only **one party gets the output** (we will see how to generalize it later)
- Main idea: Represent the function as a Boolean circuit
  - Recall: NAND gate is complete

# Boolean Circuits

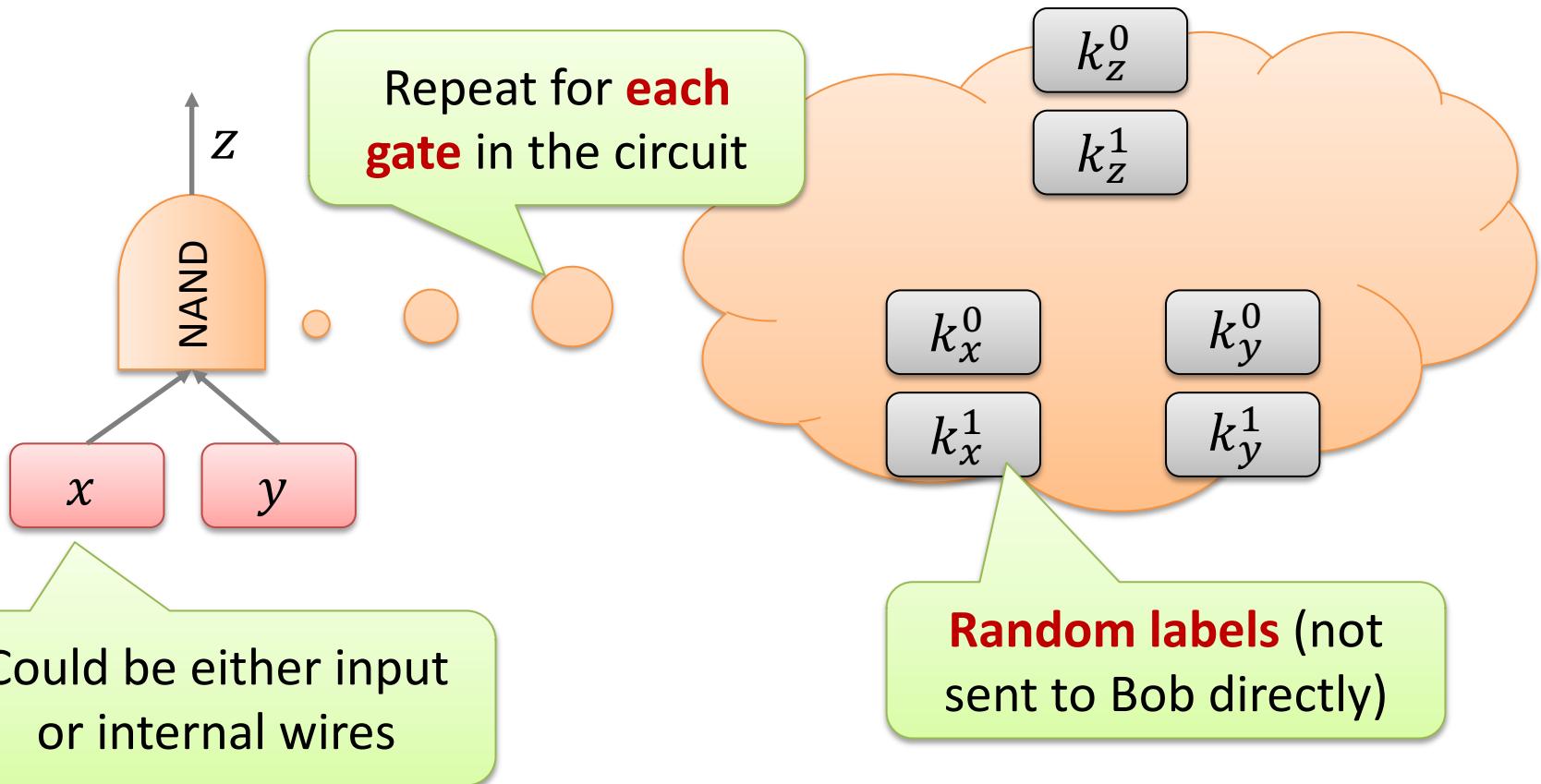
Size = # of gates



# High-Level Idea

- Alice **encrypts (garbles)** the circuit together with her input and sends it to Bob
- Bob adds its own input and evaluates the encrypted circuit **gate by gate**
- The above must be done in such a way that the values for the input and internal gates **remain secret**
  - Except for the output gates

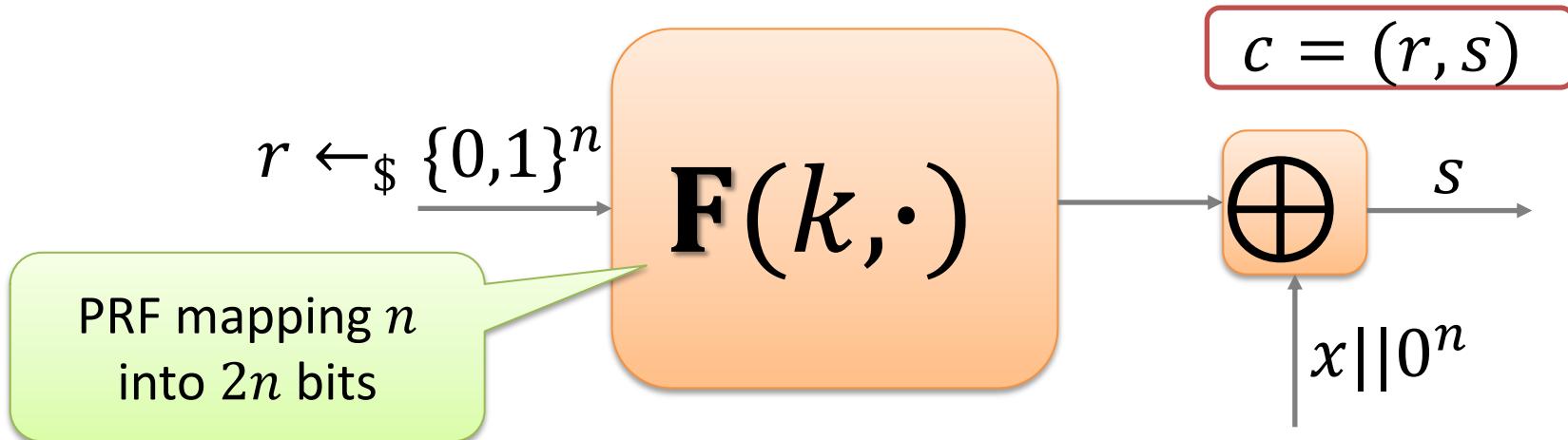
# Step 1: Key Generation



# Double Encryption (1/2)

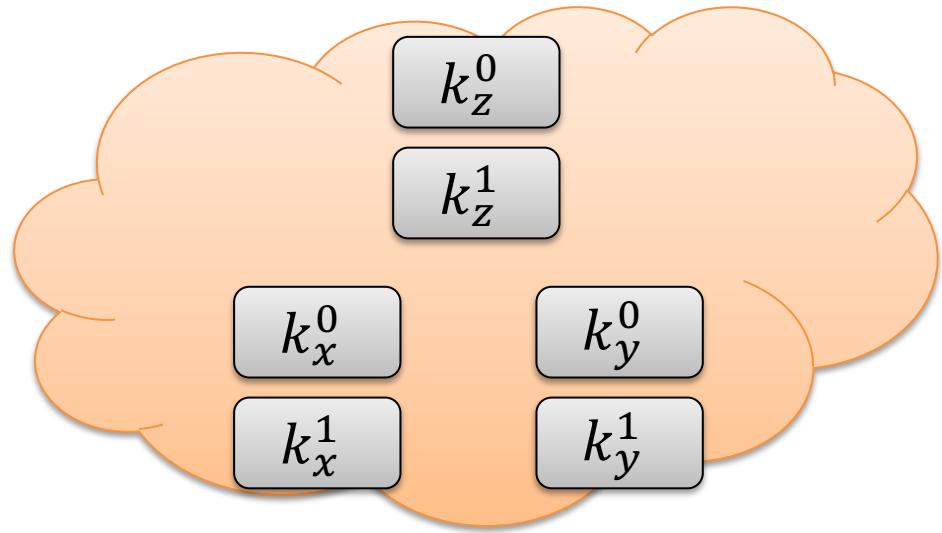
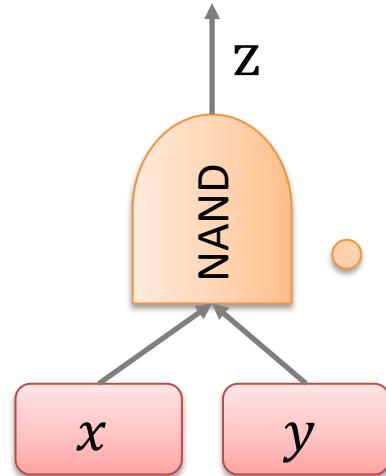
- How to encrypt a message  $m$  in such a way that in order to decrypt it one needs to know **two keys**  $k_0, k_1$ ?
  - Encrypt twice, i.e.  $\mathbf{E}(k_0, \mathbf{E}(k_1, m))$
- Special properties
  - **Elusive range**: Hard to generate a valid ciphertext without knowing the key  $k$
  - **Verifiable range**: Given  $k, c$  it is easy to test if  $c$  is in the output range of  $\mathbf{E}(k, \cdot)$

# Double Encryption (2/2)



- **Elusive range:** Hard to find  $r$  s.t. it is possible to predict the last  $n$  bits of  $\mathbf{F}(k, r)$
- **Verifiable range:** Given  $k$  and  $(r, s)$  can compute  $\mathbf{F}(k, r)$  and check that the last  $n$  bits equal the last  $n$  bits of  $s$

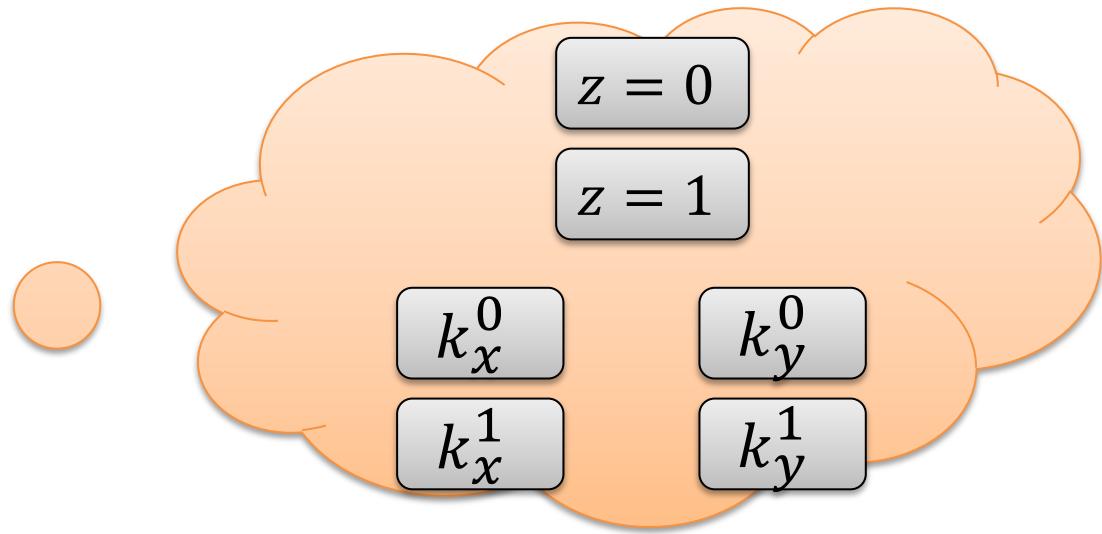
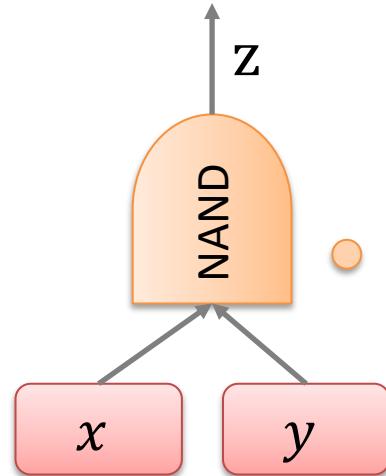
# Step 2: Garbling Gates



Given  $k_x^a, k_x^b$  it is possible to decrypt only  $k_z^c$  such that  $c = a \text{ NAND } b$  (all other entries yield **invalid outcome**)

$x$	$y$	$x \text{ NAND } y$	Garbled Output
0	0	1	$\mathbf{E}(k_x^0, \mathbf{E}(k_y^0, k_z^1))$
0	1	1	$\mathbf{E}(k_x^0, \mathbf{E}(k_y^1, k_z^1))$
1	0	1	$\mathbf{E}(k_x^1, \mathbf{E}(k_y^0, k_z^1))$
1	1	0	$\mathbf{E}(k_x^1, \mathbf{E}(k_y^1, k_z^0))$

# Garbling Output Gates



$x$	$y$	$x \text{ NAND } y$	Garbled Output
0	0	1	$\mathbf{E}(k_x^0, \mathbf{E}(k_y^0, 1))$
0	1	1	$\mathbf{E}(k_x^0, \mathbf{E}(k_y^1, 1))$
1	0	1	$\mathbf{E}(k_x^1, \mathbf{E}(k_y^0, 1))$
1	1	0	$\mathbf{E}(k_x^1, \mathbf{E}(k_y^1, 0))$

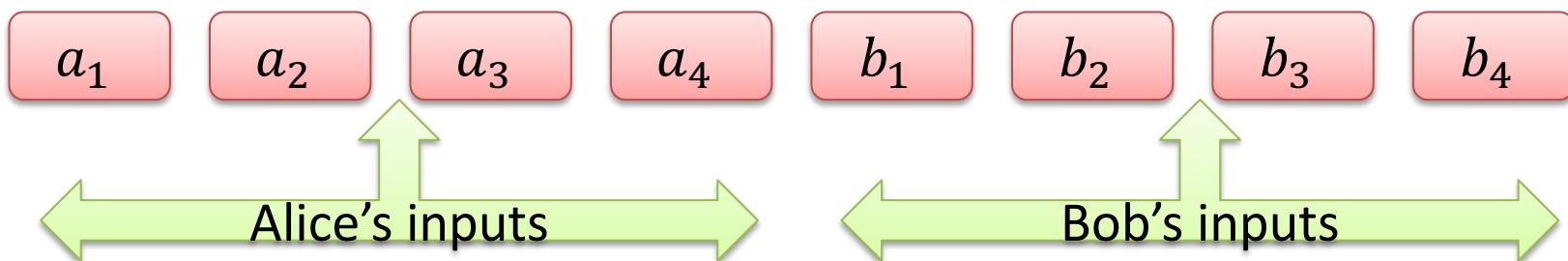
# Step 3: Sending Garbled Gates

- For every gate Alice sends the encrypted labels in **randomly permuted order**
  - So for each gate Bob knows 4 ciphertexts

$x$	$y$	$x \text{ NAND } y$	Garbled Output	To Bob
0	0	1	$\mathbf{E}(k_x^0, \mathbf{E}(k_y^0, 1))$	$c_z^1$
0	1	1	$\mathbf{E}(k_x^0, \mathbf{E}(k_y^1, 1))$	$c_z^2$
1	0	1	$\mathbf{E}(k_x^1, \mathbf{E}(k_y^0, 1))$	$c_z^3$
1	1	0	$\mathbf{E}(k_x^1, \mathbf{E}(k_y^1, 0))$	$c_z^4$

# Step 4: Garbled Circuit Evaluation (1/3)

- Bob needs to evaluate the circuit bottom-up to obtain the keys that **reveal the output**
- To do so, he needs the **labels corresponding to the inputs**
  - Recall that part of the input is from Alice and part is from Bob

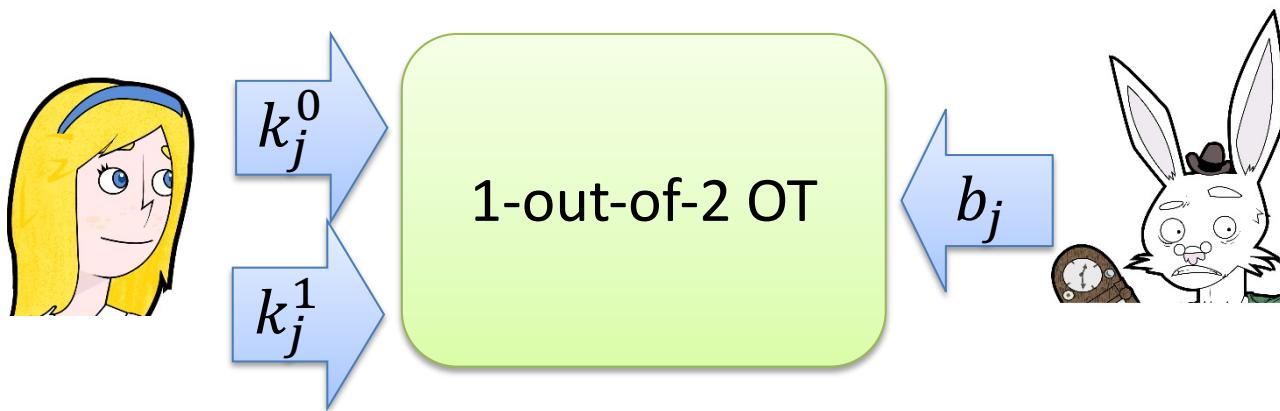


# Step 4: Garbled Circuit Evaluation (2/3)

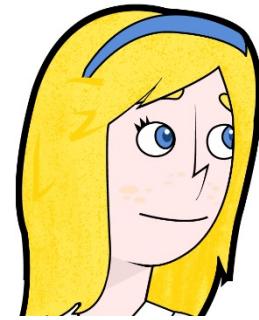
- Alice can simply **send** the labels  $k_i^{a_i}$  corresponding to **her inputs**
  - The labels are clearly **independent** of the inputs
- Moreover, since the gates are permuted Bob **does not learn** whether he received the label corresponding to 0 or to 1

# Step 4: Garbled Circuit Evaluation (3/3)

- But how can Bob get the labels corresponding to his inputs?
  - He **cannot reveal** the input to Alice
  - Alice **cannot send both labels**, otherwise Bob could compute the function on **multiple inputs**
- Solution: Use 1-out-of-2 OT!



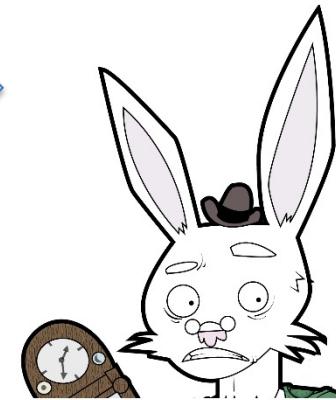
# Yao's Protocol Overview



$a_1, \dots, a_n$

- Garbled circuit corresponding to  $f$
- Labels for  $a_1, \dots, a_n$

$m$  times OT for each  
 $b_1, \dots, b_m$

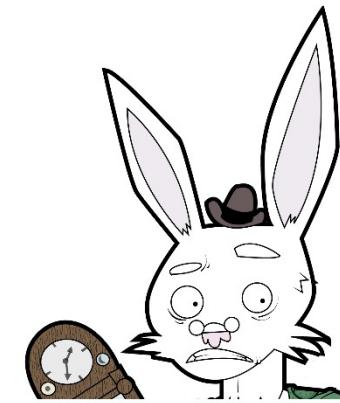
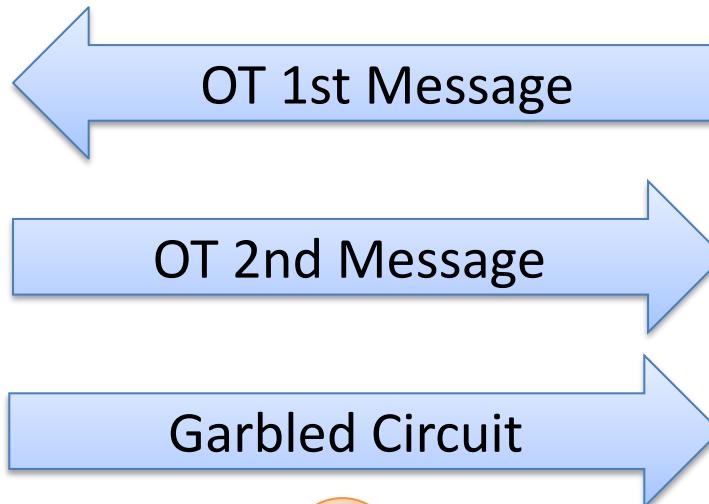


$b_1, \dots, b_m$

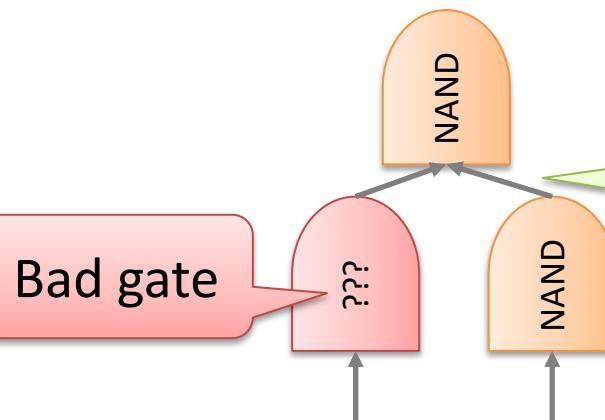
# What Can Go Wrong?



$a_1, \dots, a_n$

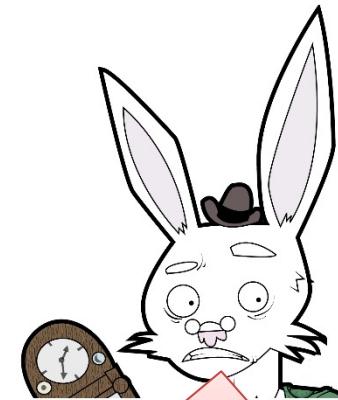
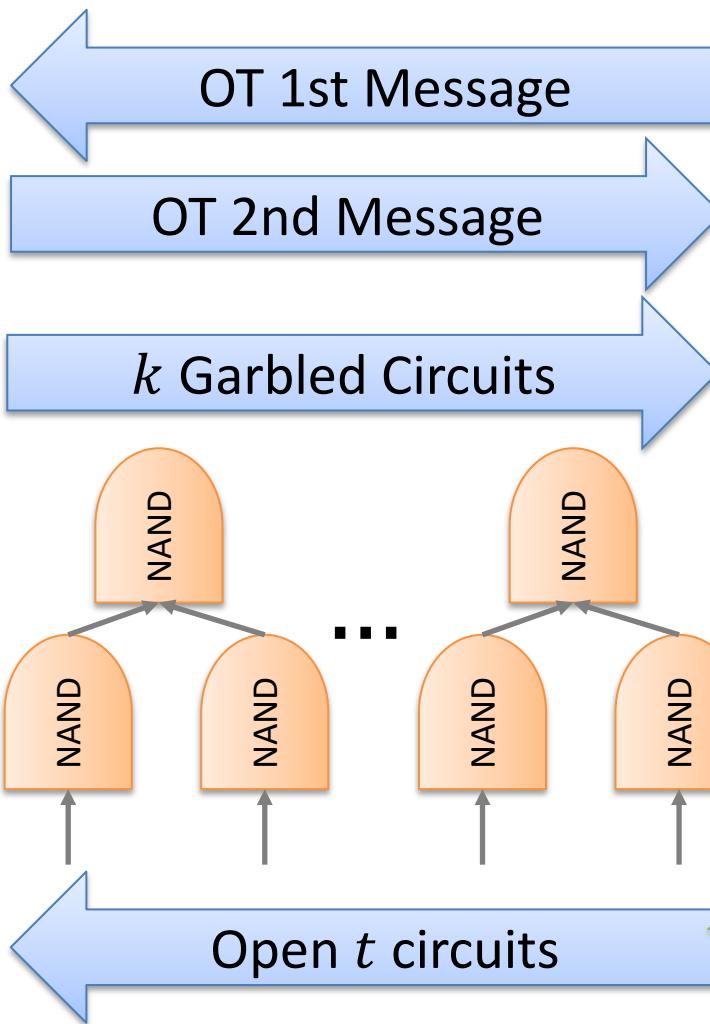
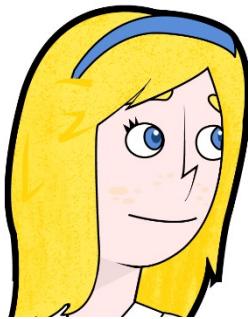


$b_1, \dots, b_m$



How to ensure that  
the circuit was  
**garbled correctly?**

# Cut & Choose



**Abort** if the test does not pass

Challenge set is chosen **randomly**

# Balls and Bins

- Say  $k$  circuits in total, out of which  $c$  are **corrupted** and  $t$  are **tested** by the evaluator

$$\# \text{ of ways to pick only good} = \binom{k - c}{t}$$

$$\# \text{ of ways to pick } t = \binom{k}{t}$$

- Probability that garbler **succeeds**

Setting  $t = k/2$

$$\frac{\binom{k-c}{t}}{\binom{k}{t}} = \frac{k/2 \cdot (k/2 - 1) \cdot \dots \cdot (k/2 - c)}{k \cdot (k - 1) \cdot \dots \cdot (k - c)} < 2^{-c}$$

# Consequences

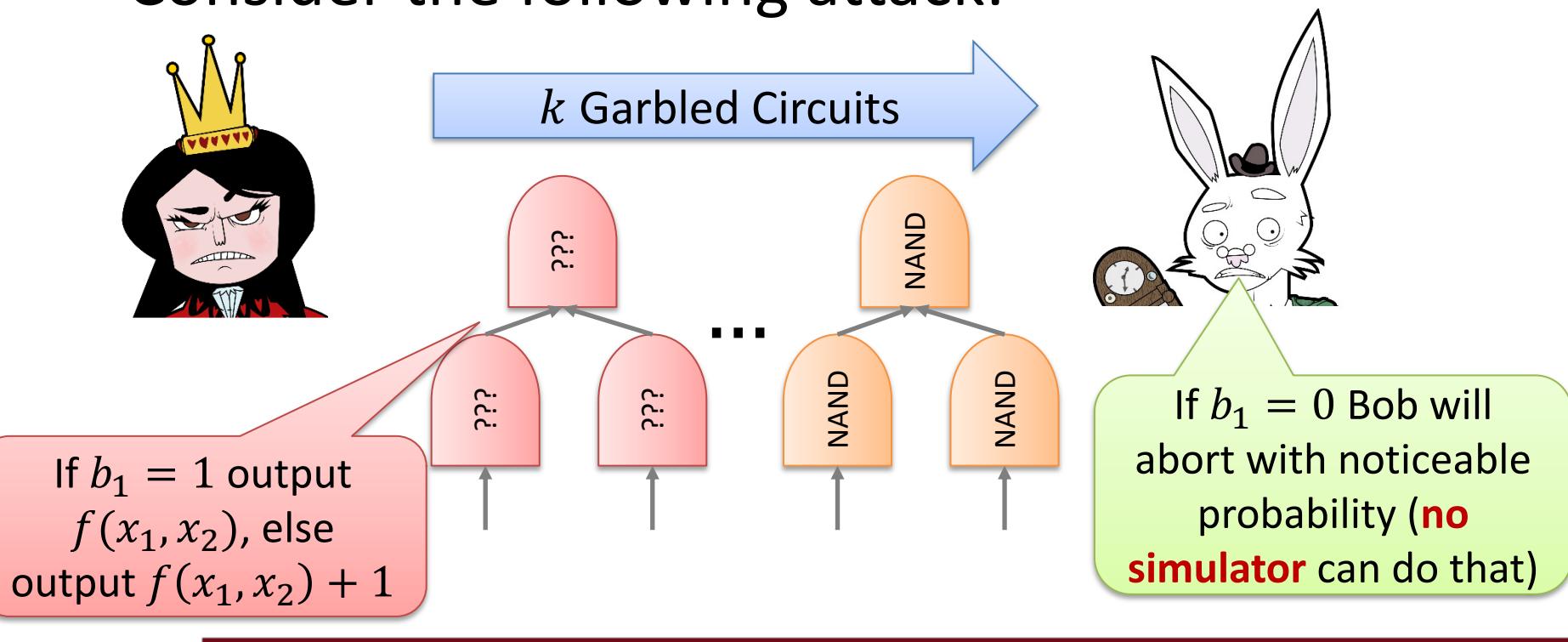
- The above equation implies that the probability that the **test passes** in case
  - $O(k)$  circuits are corrupted is **negligible**
  - $O(1)$  circuits are corrupted is **noticeable**

$$\left(\frac{1}{2} - \frac{c}{k}\right)^c \leq \frac{\binom{k-c}{t}}{\binom{k}{t}} < 2^{-c}$$

Since  $\frac{k/2-c}{k-c} \geq \frac{k/2-c}{k} = \left(\frac{1}{2} - \frac{c}{k}\right)$

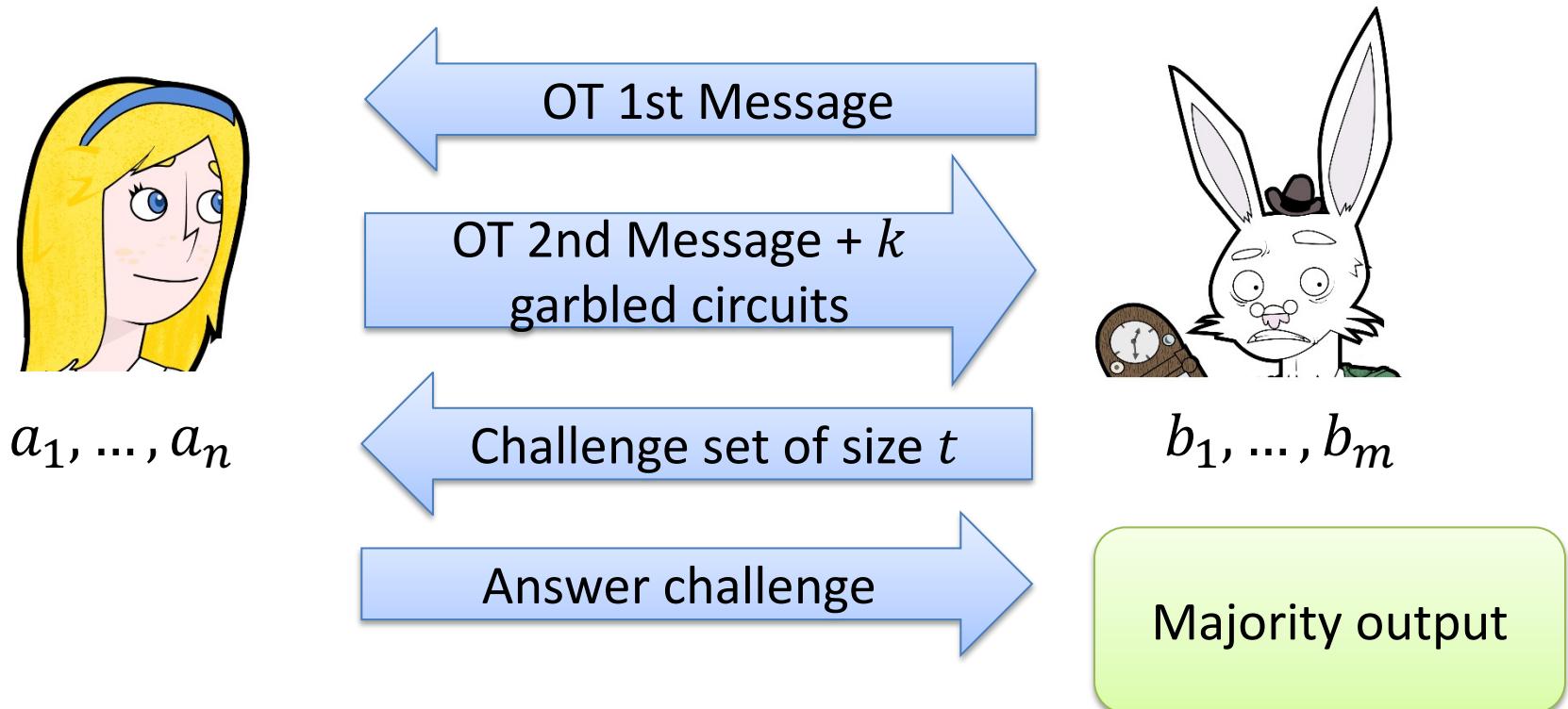
# First Idea: Aborting

- Bob evaluates all **unopened** garbled circuits
- If some of the outputs **differ**, abort
- Consider the following attack:

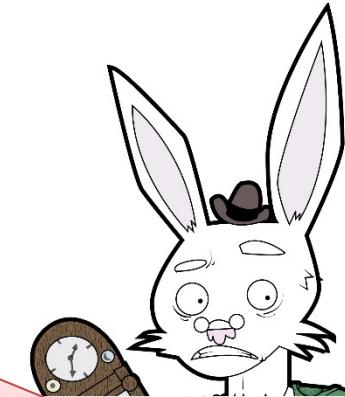
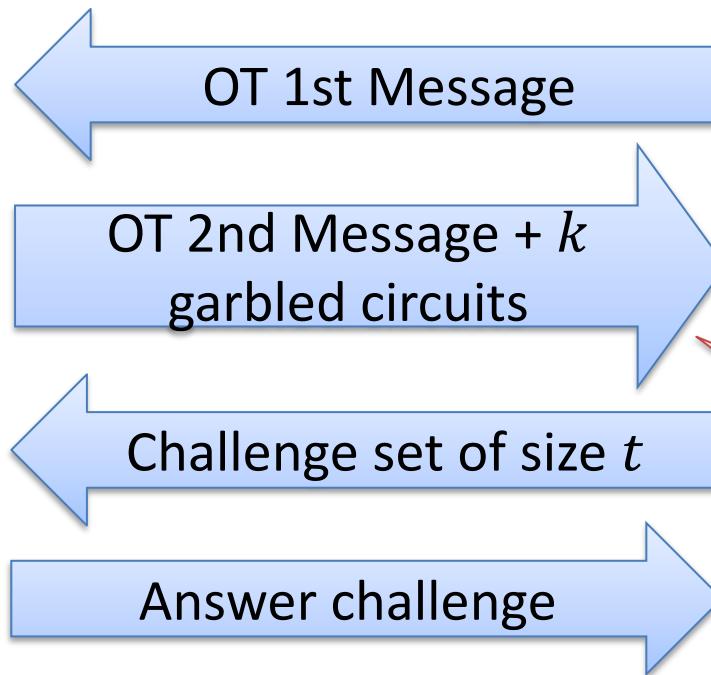
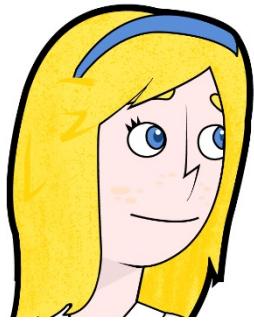


# Second Idea: Take Majority

- If some of the outputs **differ**, define the output to be the **majority** of the outputs



# Another Problem

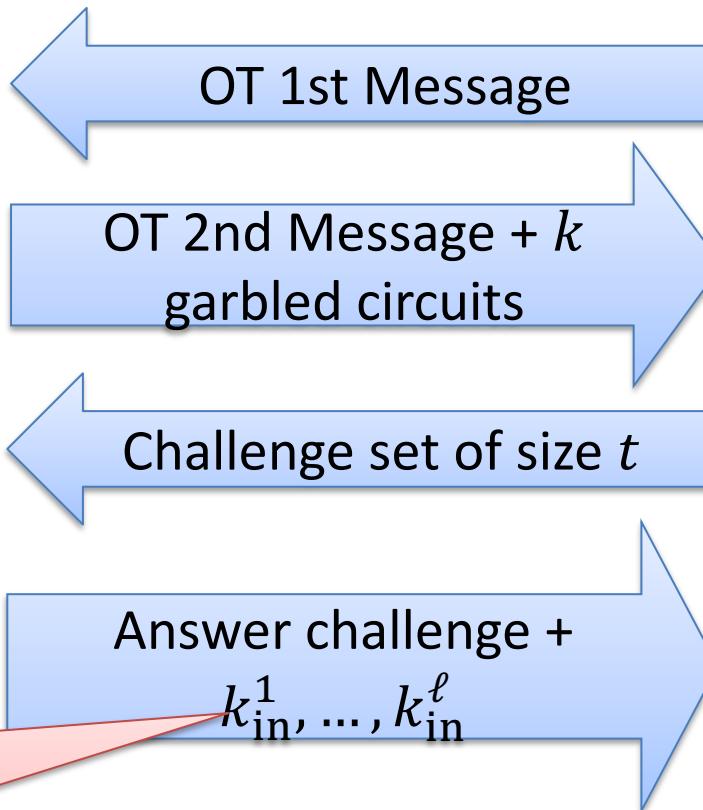
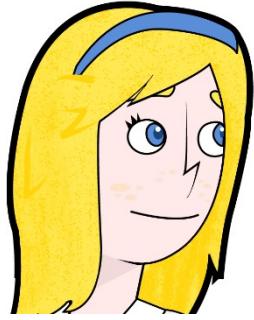


Send them here  
instead!

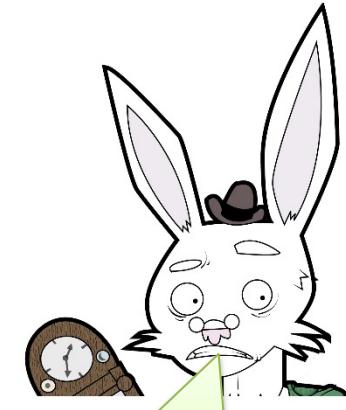
Can't send the labels  
for Alice's inputs here

Majority output

# Input Consistency



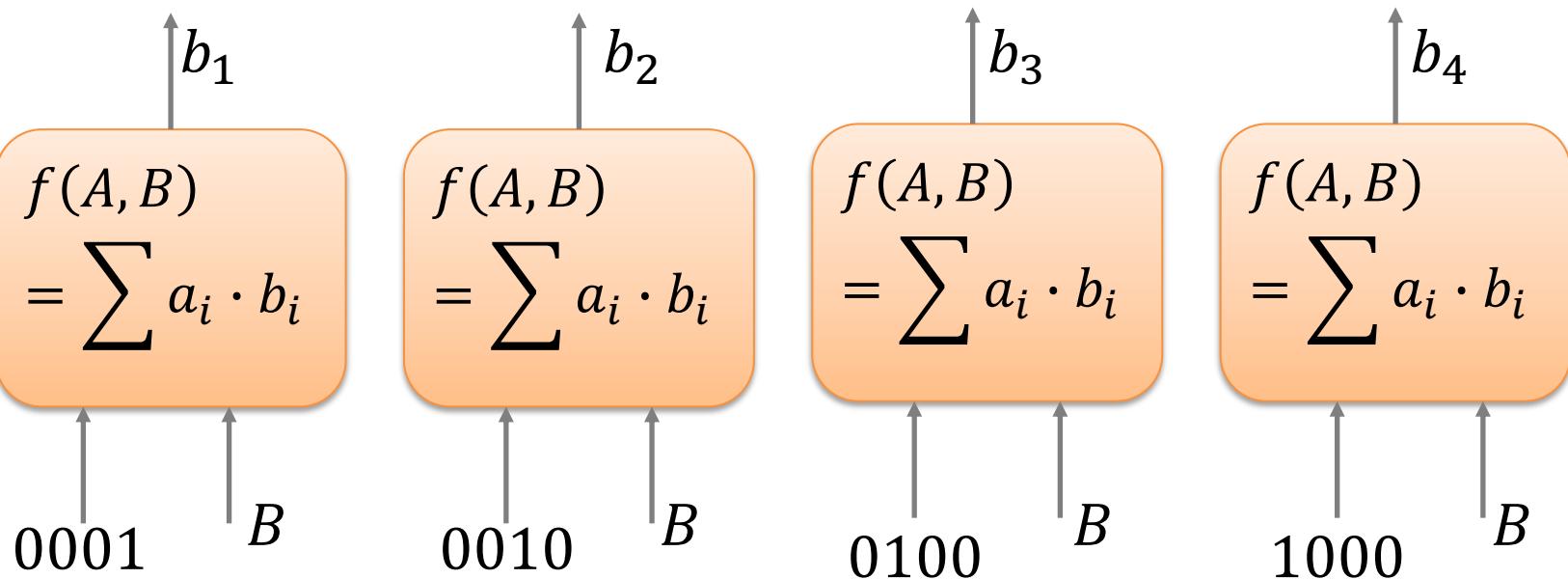
What if the keys  
do not correspond  
to the same input?



Need to evaluate  $\ell = k - t$  garbled circuits

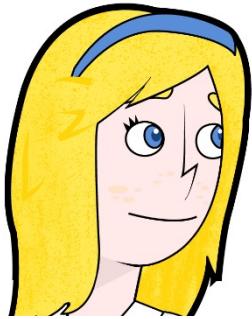
Majority output

# Input Consistency Attack



Protocol output:  $\text{Maj}(b_1, b_2, b_3, b_4)$

# Need to Prove Input Consistency

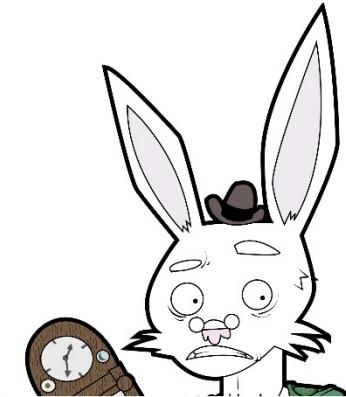


OT 1st Message

OT 2nd Message +  $k$   
garbled circuits +  $\pi_1$

Challenge set of size  $t$

Answer challenge +  
 $k_{\text{in}}^1, \dots, k_{\text{in}}^\ell + \pi_2$

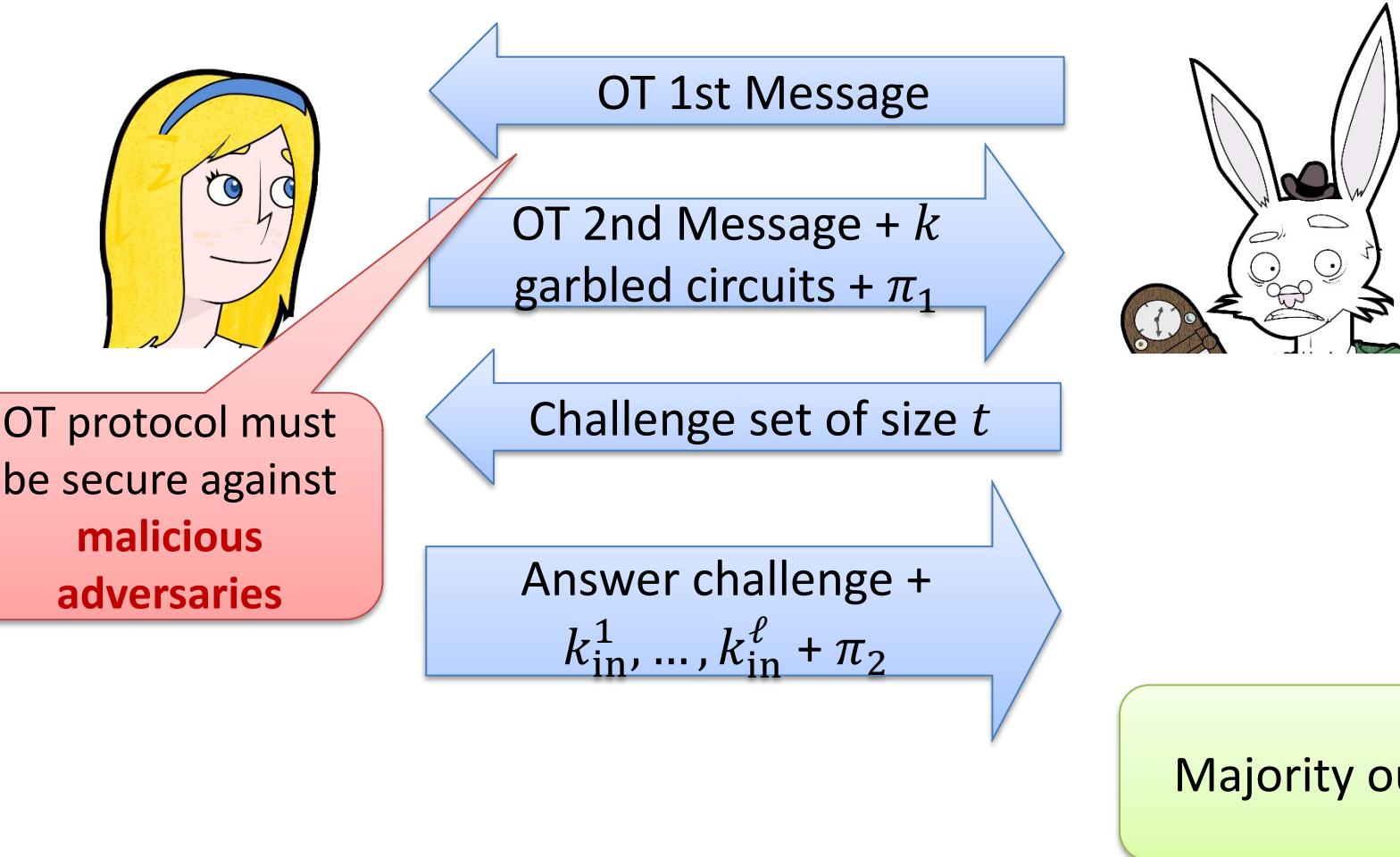


Commit to the input  
labels and prove the  
input is the same

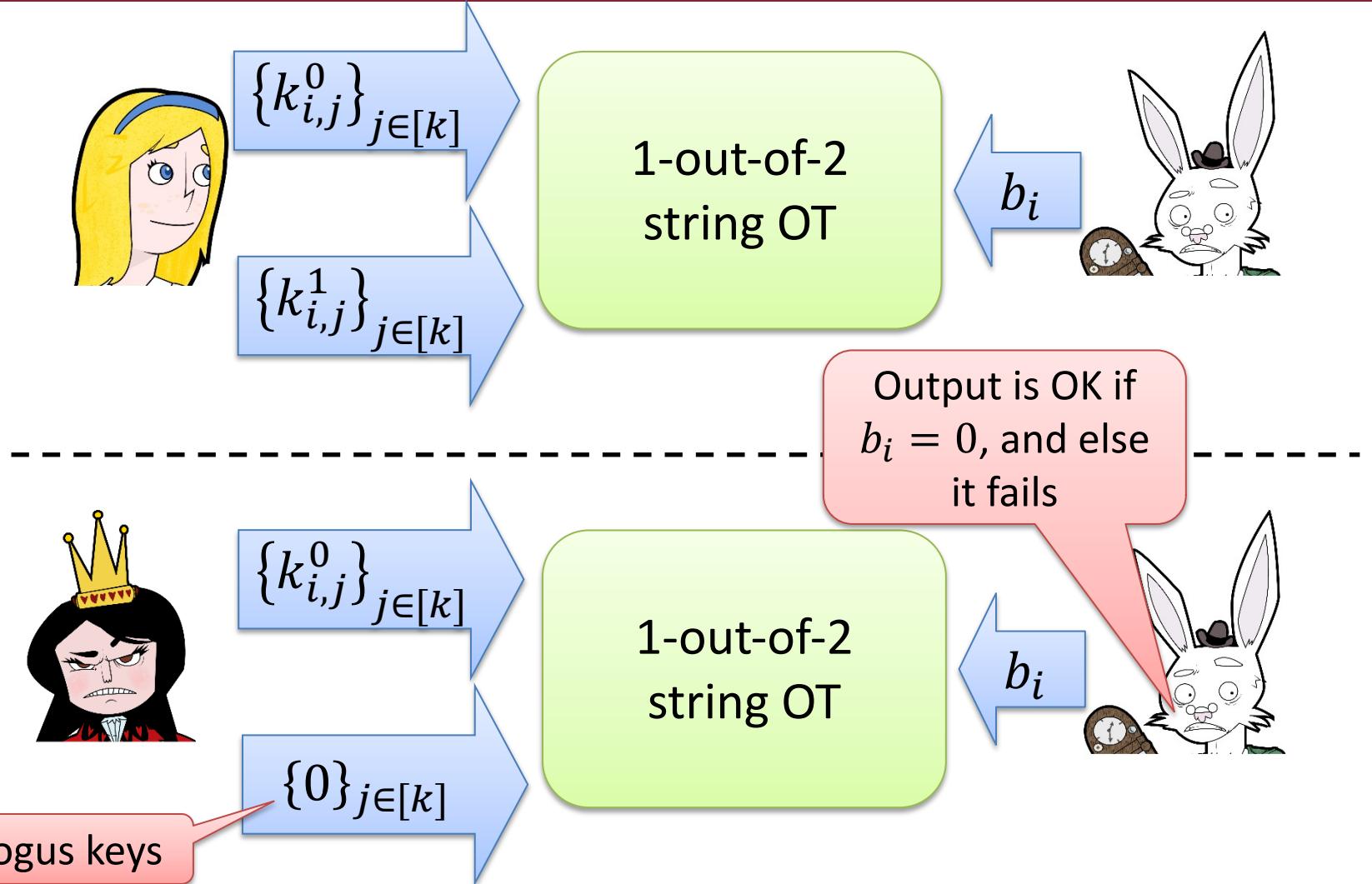
Prove these labels  
are consistent with  
the commitment

Majority output

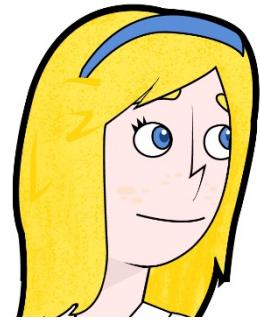
# Problem: Malicious OT



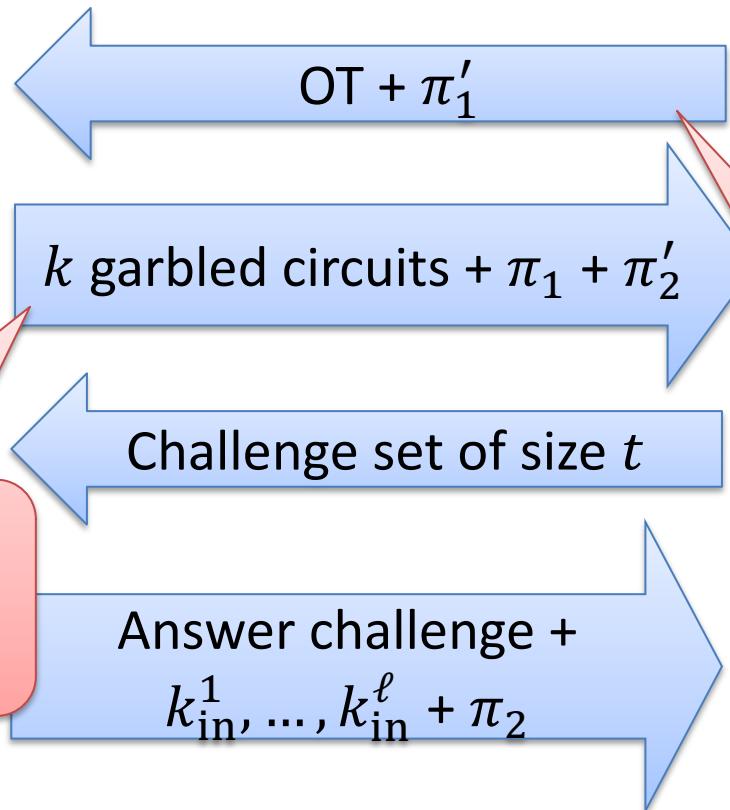
# Selective Failure Attack



# OT on Committed Inputs



Prove consistency  
between input to the  
circuits and in the OT



OT on committed inputs

Majority output

# Randomized Functionalities

- Let  $f(x_1, x_2)$  be a **randomized** functionality
  - Write  $f(x_1, x_2; r)$  for a run with randomness  $r$
  - Consider  $g((x_1, r_1), (x_2, r_2)) = f(x_1, x_2; r_1 \oplus r_2)$
- Given a secure protocol for  $g$  we construct a secure protocol for  $f$ :
  - Alice picks random  $r_1$  and Bob picks random  $r_2$
  - Alice and Bob run the protocol for  $g$
  - If one party is honest  $r = r_1 \oplus r_2$  is random
- Works both for **passive/active** security

# 2-Output Functionalities (Semi-Honest)

- Let  $f(x_1, x_2) = (f_1(x_1, x_2), f_2(x_1, x_2))$ 
  - i.e., Alice and Bob get **different outputs**
- Given a secure protocol for **1-output** functions
  - Alice picks random  $r_1$  and Bob picks random  $x_2$
  - Alice and Bob run the protocol for
$$\begin{aligned}f'((x_1, r_1), (x_2, r_2)) \\= f_1(x_1, x_2) \oplus r_1 \parallel f_2(x_1, x_2) \oplus r_2\end{aligned}$$
  - Bob obtains  $u \parallel v$ , sends  $u$  to Alice and outputs  $v \oplus r_2$
  - Alice outputs  $u \oplus r_1$

# 2-Output Functionalities (Malicious)

- Let  $f(x_1, x_2) = (f_1(x_1, x_2), f_2(x_1, x_2))$ 
  - Alice picks random  $x_1, \alpha, \beta$
  - Alice and Bob run the **1-output** protocol for
$$f'((x_1, r_1, \alpha, \beta), x_2) = c_1 \| f_2(x_1, x_2) \| \gamma$$
$$c_1 = f_1(x_1, x_2) \oplus r_1$$
$$\gamma = \alpha \cdot c_1 + \beta$$
- Bob gets  $u \| v \| w$ , sends  $u \| w$  to Alice and outputs  $v$
- Alice outputs  $u \oplus r_1$  iff  $w = \alpha \cdot u + \beta$

# Performances

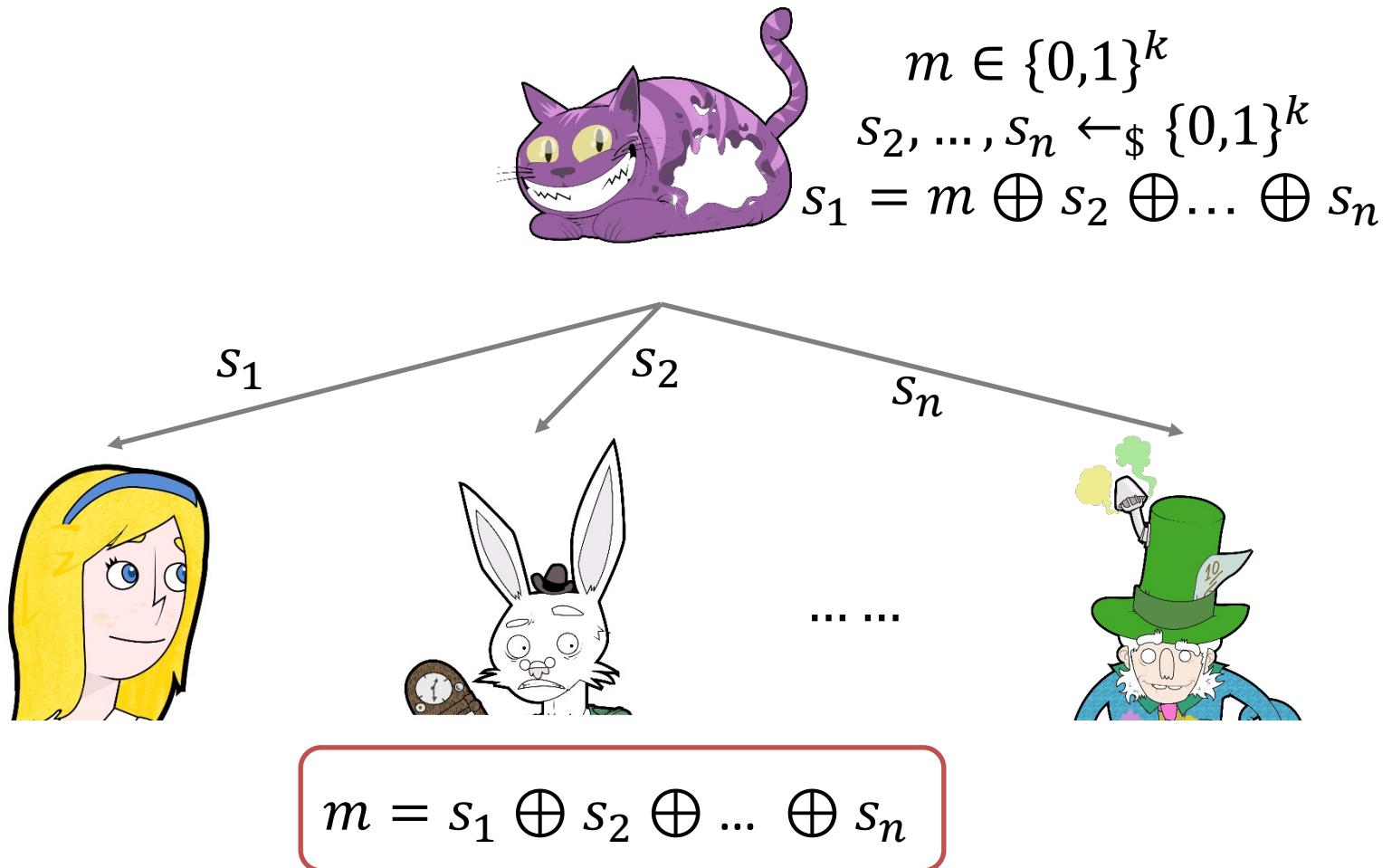
Protocol	Security	# Gates	Gates/Sec
Fairplay ('04)	HBC	4k	600
C&C ('08)	MAL	1k	4
AES Circuit ('09)	MAL	40k	35
C&C + ZK ('11)	MAL	40k	130
C&C + ZK + Parallel ('11)	MAL	6B	130
C&C + Parallel ('13)	MAL	1B	1M

# MPC with Honest Majority

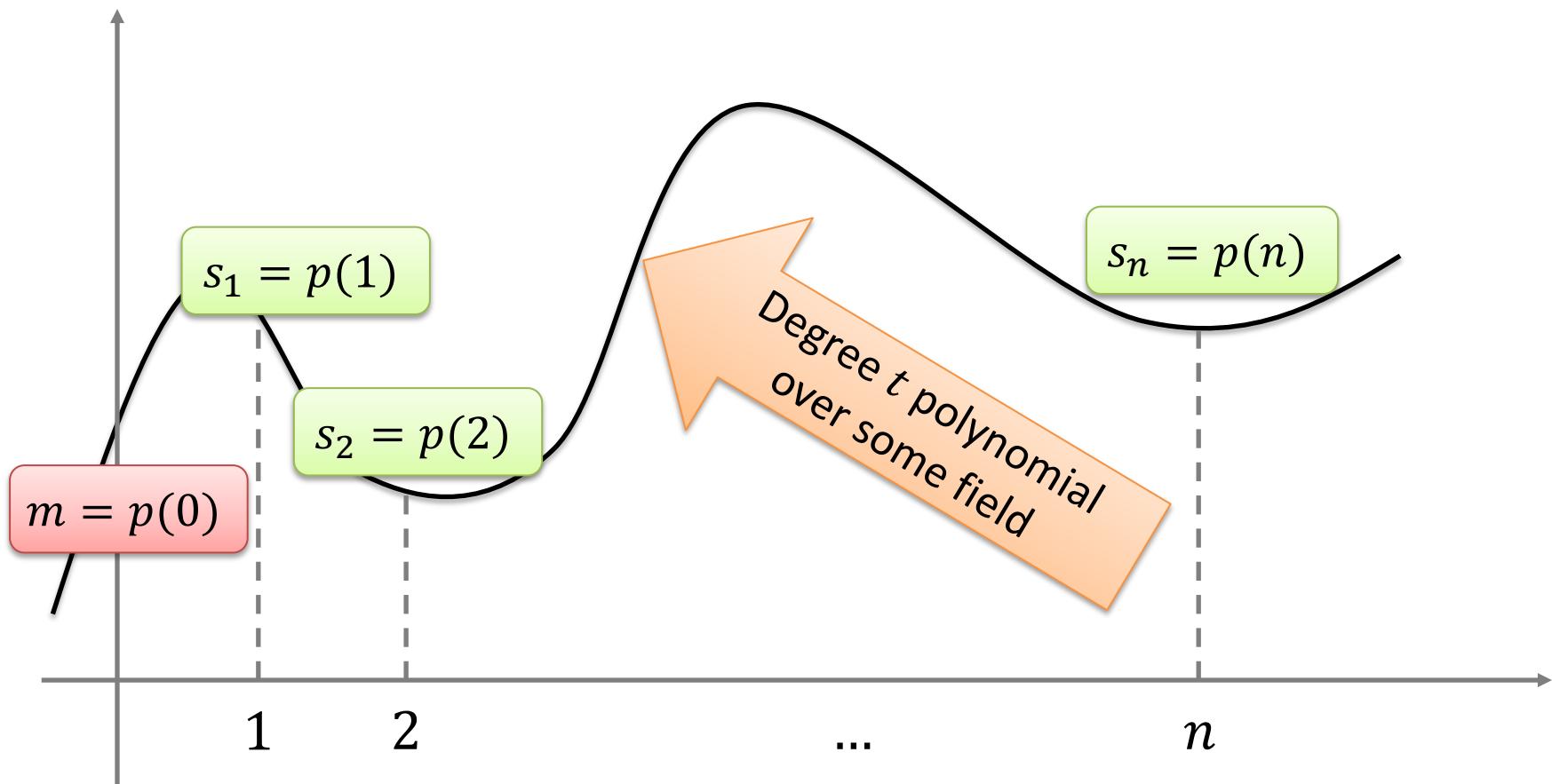
# How to Share a Secret?

- A dealer wants to **share** a **secret**  $m$  between a set of parties in such a way that
  - Any coalition of  $t$  parties has zero information about  $m$
  - Any set of at least  $t + 1$  parties can reconstruct the secret  $m$
  - The adversary is **passive but all powerful**
- The above is called a  $t$ -out-of- $n$  **secret sharing** scheme

# Simple Construction for $t = n - 1$



# Shamir's Secret Sharing (1/4)



# Shamir's Secret Sharing (2/4)

- Sharing
  - The dealer chooses a **random polynomial**  $p(X) = m + \sum_{i=1}^t a_i \cdot X^i$  over some finite field  $\mathbb{F}$ , and distributes  $s_i = p(i)$  to the  $i$ -th player

# Shamir's Secret Sharing (3/4)

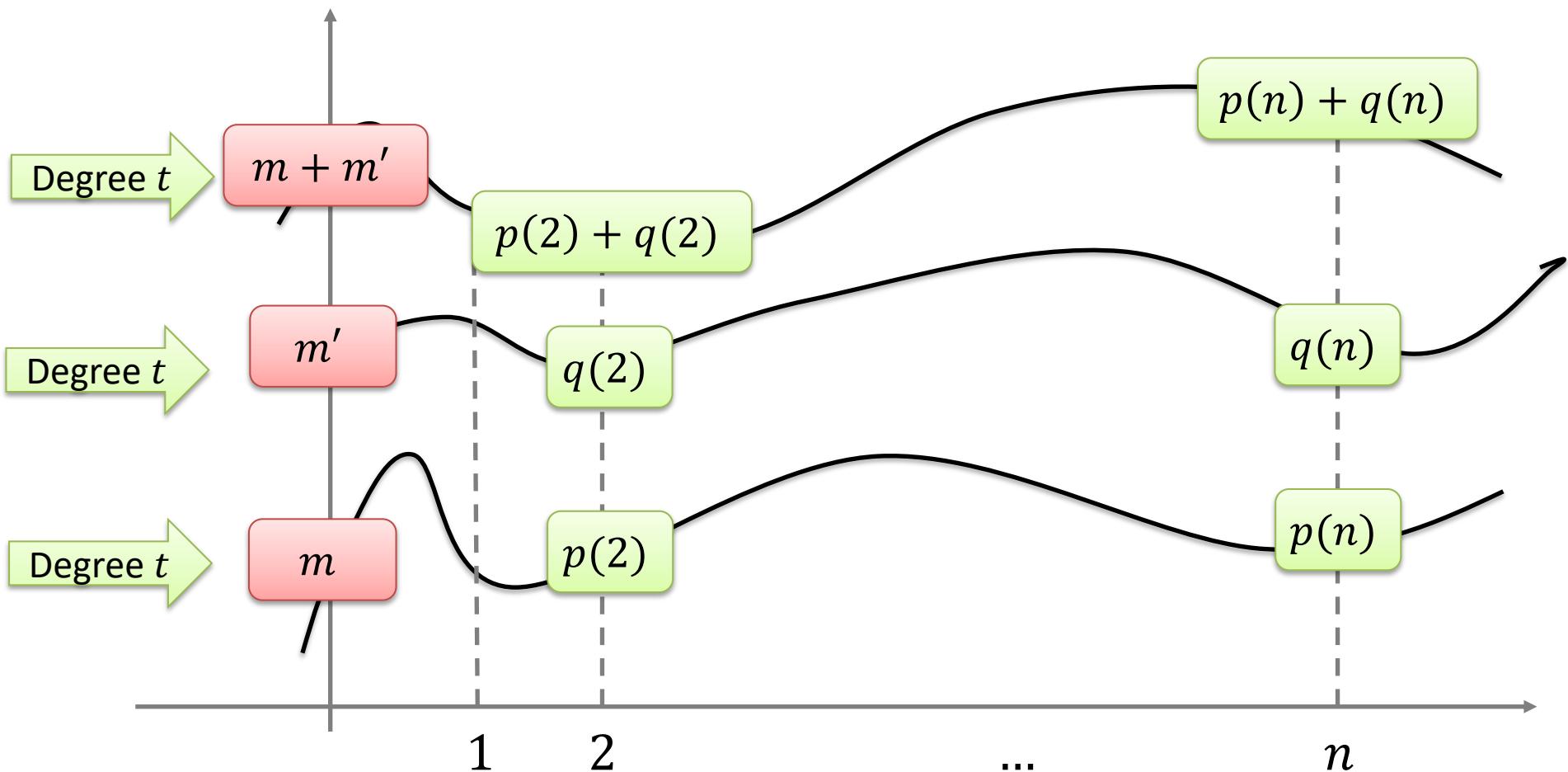
- Reconstruction
  - Given  $t + 1$  points  $(x_0, y_0), \dots, (x_t, y_t)$  one can **interpolate** the polynomial and recover the secret
  - Lagrange interpolation: Define  $p(X) = \sum_{i=0}^t y_i \cdot p_i(X)$  where we let  $p_i(X) = \prod_{i \neq j} (X - x_j)/(x_i - x_j)$  so that  $m = p(0) = \sum_{i=0}^t y_i \cdot p_i(0)$

# Shamir's Secret Sharing (4/4)

- Privacy
  - For **any distribution**  $M$ , any non-zero  $x_1, \dots, x_t \in \mathbb{F}$ , and any  $y_1, \dots, y_t \in \mathbb{F}$  we have that once we fix  $p(0) = a_0 = m$

$$\mathbb{P}[p(x_1) = y_1, \dots, p(x_t) = y_t | M = m] = 1/|\mathbb{F}|^t$$

# Additive Homomorphism



# More on Secret Sharing

- Computational secret sharing
  - Computational vs. unconditional security
- General **access structures**
  - Richer sets of authorized players
- Verifiable secret sharing
  - Allows to deal with **malicious dealers** handing wrong shares
- Robust and non-malleable secret sharing
  - Malicious players handing **wrong shares**

# Threshold Cryptography

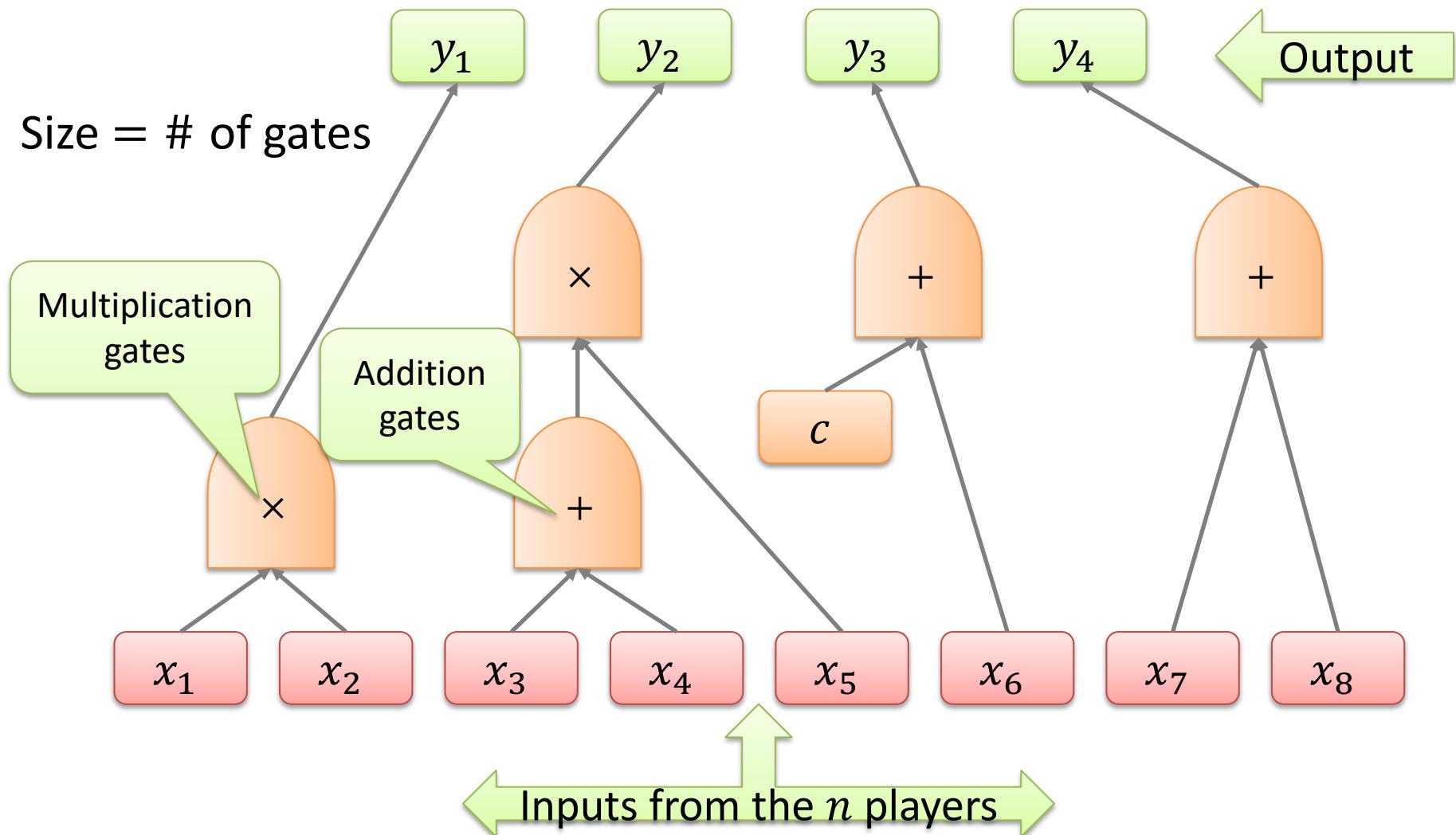
- Suppose we have a secret key  $sk$  for a signature scheme, but we don't want to store it on a machine
- Solution:
  - Share  $sk$  within  $n$  machines
  - Sign in a **distributed manner** (without ever reconstructing  $sk$ )
- Useful in cryptocurrencies to **protect users' wallets from thefts**

# From Secret Sharing to MPC

- We now describe a protocol for computing any  $n$ -party functionality
- High-level idea
  - We represent the function as an **arithmetic circuit**
  - Each party **shares** its input with the other parties
  - Evaluate the circuit gate by gate (invariant: the values of the **intermediary gates** are shared between the parties)
  - Reconstruct the output

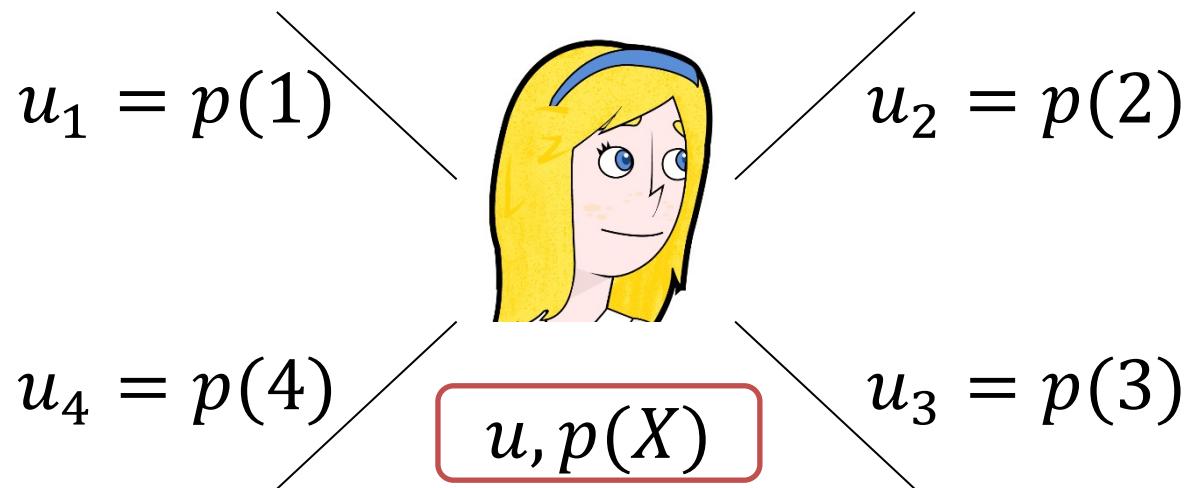
# Arithmetic Circuits

Size = # of gates



# Step 1: Share Inputs

- Each player secret shares its own input  $u$  by picking a **random polynomial**  $p(X)$  of degree  $\leq t$  such that  $p(0) = u$
- At the end of this phase, each party thus holds **one share** for each of the inputs



## Step 2: Addition Gates (1/2)

- Given secret sharing  $[u] = (u_1, \dots, u_n)$  and  $[v] = (v_1, \dots, v_n)$  we want to compute a secret sharing  $[w]$  of the output  $w = u + v$
- By **additive homomorphism** each player can **locally** compute  $w_i = u_i + v_i$

## Step 2: Addition Gates (2/2)

- Since  $[u] = (p(1), \dots, p(n))$  and  $[v] = (q(1), \dots, q(n))$  for **random polynomials**  $p, q$  s.t.  $u = p(0)$  and  $v = q(0)$ , it also holds that  $[w] = ((p + q)(1), \dots, (p + q)(n))$  satisfies  $w = (p + q)(0)$

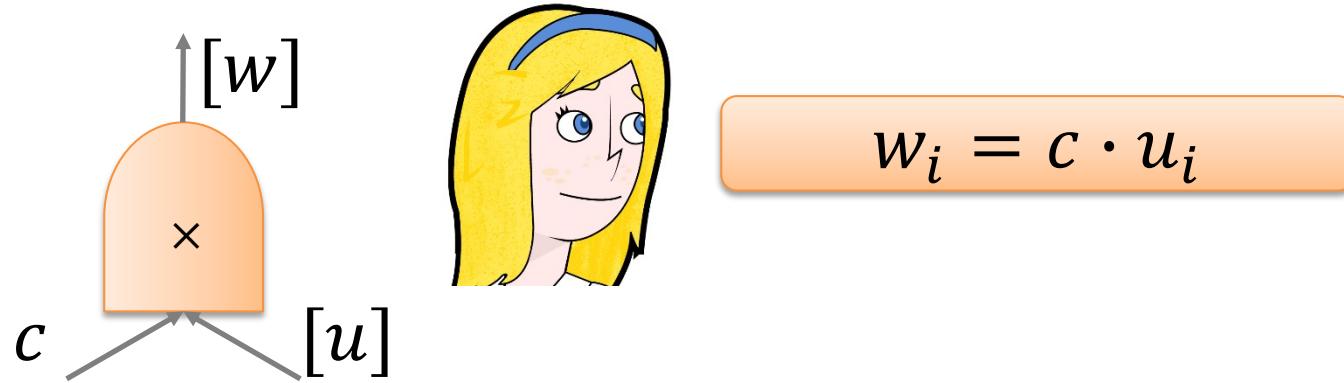


## Step 2: Multiplication by a Constant (1/2)

- Given secret sharing  $[u] = (u_1, \dots, u_n)$  we want to compute a secret sharing  $[w]$  of the output  $w = c \cdot u$
- By **additive homomorphism** each player can **locally** compute  $w_i = c \cdot u_i$

## Step 2: Multiplication By a Constant (2/2)

- Since  $[u] = (p(1), \dots, p(n))$  for **random polynomial**  $p$  s.t.  $u = p(0)$ , it holds that  $[w] = (c \cdot p(1), \dots, c \cdot p(n))$  satisfies  $w = c \cdot p(0)$



## Step 2: Multiplication Gates (1/6)

- Given secret sharing  $[u] = (u_1, \dots, u_n)$  and  $[v] = (v_1, \dots, v_n)$  we want to compute a secret sharing  $[w]$  of the output  $w = u \times v$
- Each player can **locally** compute  $w_i = u_i \times v_i$

## Step 2: Multiplication Gates (2/6)

- Since  $[u] = (p(1), \dots, p(n))$  and  $[v] = (q(1), \dots, q(n))$  for **random polynomials**  $p, q$  s.t.  $u = p(0)$  and  $v = q(0)$ , it also holds that  $[w] = ((p \times q)(1), \dots, (p \times q)(n))$  satisfies  $w = (p \times q)(0)$ 
  - Note that the degree of  $(p \times q)(X)$  is now  $2t$ , but as long as  $n > 2t$  we can still **uniquely** reconstruct the secret

## Step 2: Multiplication Gates (3/6)

- Unfortunately, after another multiplication the degree would become  $4t$ , which is **too large** if we just want to assume **honest majority**
  - To handle this problem, we use a trick to **reduce the degree**

## Step 2: Multiplication Gates (4/6)

- Each party first lets  $[z] = [u] \times [v] = (z_1, \dots, z_n)$ , and then creates a **fresh secret sharing** of each  $[z_i] = (z_{i,1}, \dots, z_{i,n})$ 
  - That is, it picks random  $p_i(X)$  of degree  $\leq t$  s.t.  $p_i(0) = z_i$  and  $z_{i,j} = p_i(j)$ , and sends  $z_{i,j}$  to the  $j$ -th player

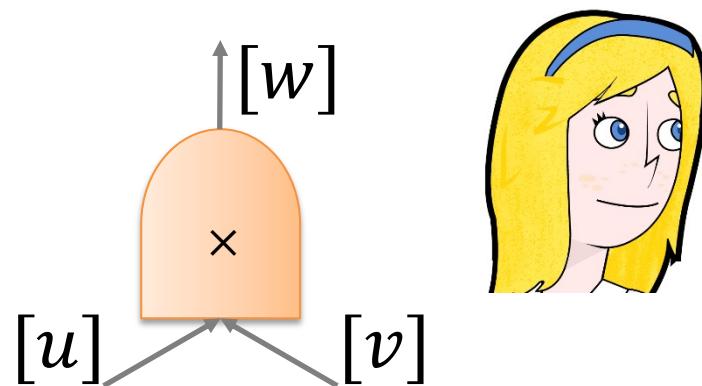
## Step 2: Multiplication Gates (5/6)

- Now, let

$$\begin{aligned}[w] &= \sum_{i=1}^n \alpha_i \cdot [z_i] \\ &= \left( \sum_{i=1}^n \alpha_i \cdot z_{i,1}, \dots, \sum_{i=1}^n \alpha_i \cdot z_{i,n} \right)\end{aligned}$$

- Here  $\alpha_i$  are the **lagrange coefficients** for the reconstruction of  $z = \sum \alpha_i \cdot z_i$ 
  - Hence,  $[w] = (p^*(1), \dots, p^*(n))$  where  $p^*(X) = \sum_i \alpha_i \cdot p_i(X)$  is a degree  $\leq t$  polynomial s.t.  $p^*(0) = \sum_i \alpha_i \cdot p_i(0) = w$

# Step 2: Multiplication Gates (6/6)



$$w_j = p^*(j) = \sum_i \alpha_i \cdot p_i(j)$$

# Step 3: Output Reconstruction

- At the end of the protocol, each player owns a **share of the output** wire  $[y]$  which it sends to each other player
- Thus, each player can **obtain the output**

# Feasibility of Maliciously Secure MPC

- Given an MPC protocol secure against **passive adversaries**, can we compile it into an MPC protocol secure against **active adversaries**?
- Main idea:
  - Each player behaves as in the semi-honest protocol, but also
  - Each player proves in **zero-knowledge** that the messages it sends are **computed correctly**
  - O. Goldreich, S. Micali, A. Wigderson. "How to play any mental game." 1987

# Efficient MPC with Malicious Security

- I. Damgård, V. Pastro, N. P. Smart, S. Zakarias. "Multiparty computation from somewhat homomorphic encryption." 2012
- N. Chandran, J. A. Garay, P. Mohassel, S. Vusirikala. "Efficient, constant-round and actively secure MPC: Beyond the three-party case." 2017
- X. Wang, S. Ranellucci, J. Katz: "Global-scale secure multiparty computation." 2017

# Redactable Blockchain

# Blockchain Technology

- Many **applications** beyond cryptocurrencies
  - Healthcare
  - Identity and Reputation Management
  - IoT Devices
  - Smart Grid
  - Supply Chain Management
  - Post-trade Services (US cash equities)
- HYPE?

# Necessity of Hard Forks

- Resolve **human errors**
  - Accommodate legal and regulatory requirements, and address bugs, and mischief
- General Data Protection Regulation (**GDPR**)
  - Privacy violations lead to hefty fines: 4 percent of a company's annual revenue or EUR 20 million
- Smart contracts require **flexibility**
  - The DAO had \$60 million worth of cryptocurrency stolen

# Recent Developments (1/3)

- The "right to be **forgotten**"
  - A real case has stalled after the European Court of Justice found a Dutch man's identity information was uploaded on the Bitcoin blockchain
- The Open Data Institute (**ODI**) Report:
  - "Immutable data storage in blockchains may be incompatible with legislation which requires changes to the official truth"
  - "Even if personal data is not stored on a blockchain, metadata can be sufficient to reveal information"

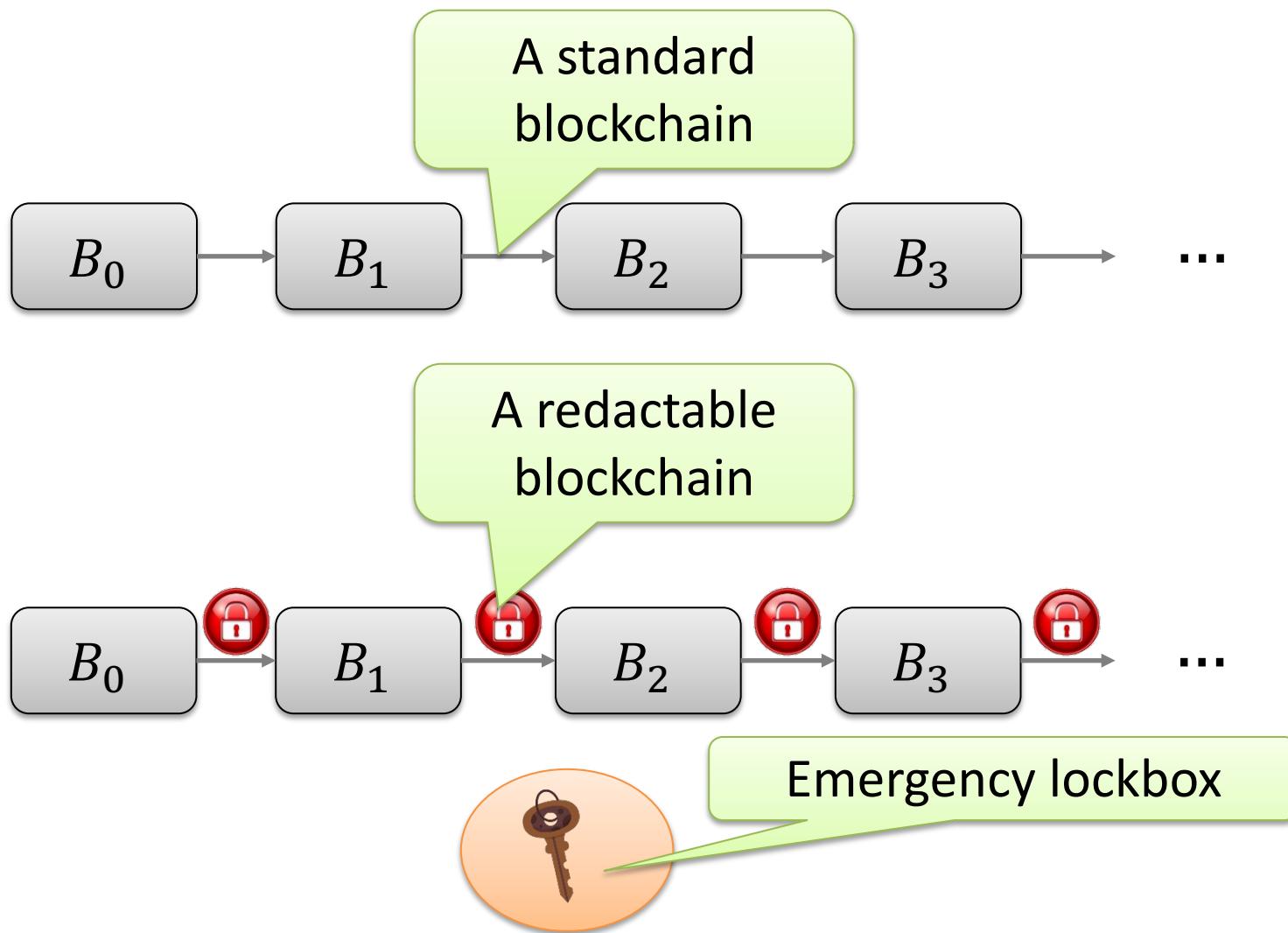
# Recent Developments (2/3)

- The European Union Agency for Network and Information Security (ENISA) Report:
  - "Define what to be **kept confidential** in order to remain compliant with regulatory requirements"
  - "Identify or develop standard methods for **removing data** from a ledger"

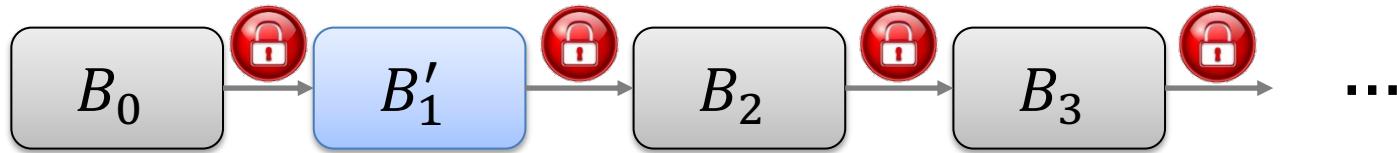
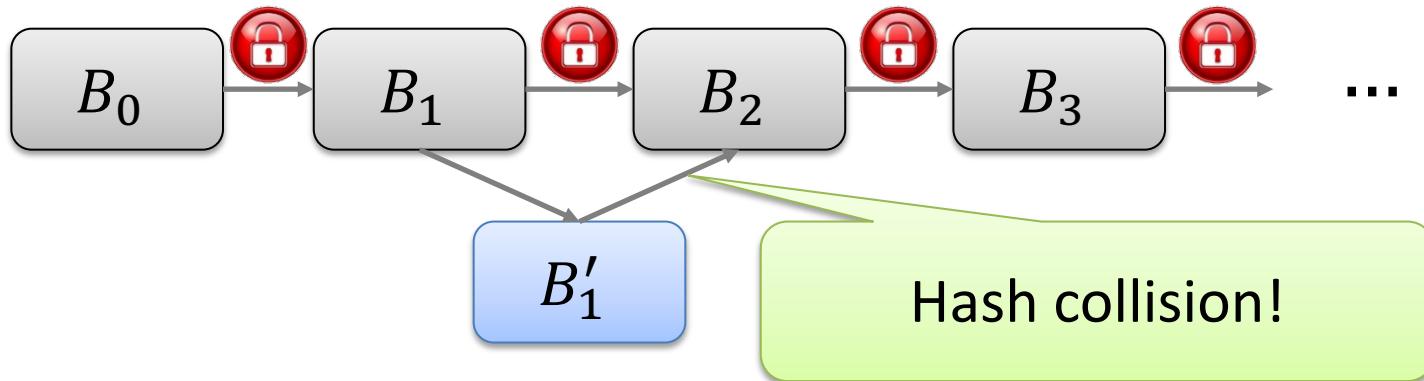
# Recent Developments (3/3)

- The European Securities and Markets Authority (ESMA) Report:
  - "The DLT that was originally designed for Bitcoin created **immutable** records, meaning that transactions once validated cannot be modified, cancelled or revoked"
  - "While this immutability had clear benefits in a **permissionless** DLT framework, it appears **ill-suited** to securities markets, e.g., operational errors may necessitate the cancellation of some transactions"

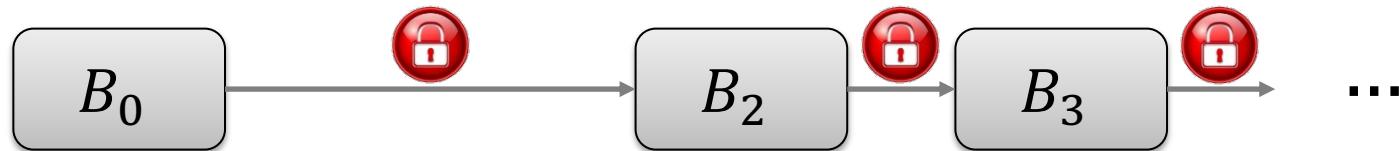
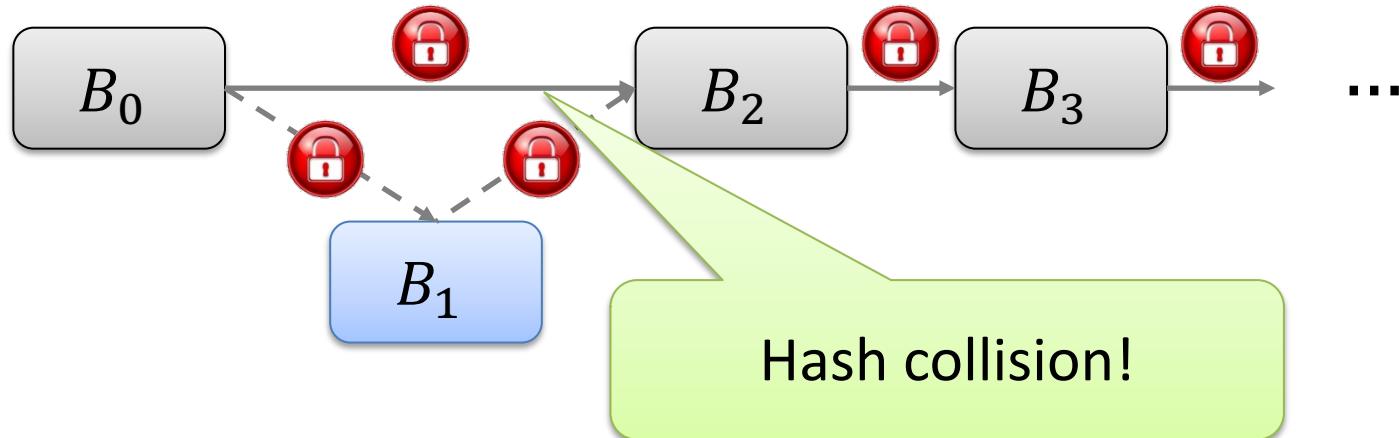
# An Emergency Lockbox



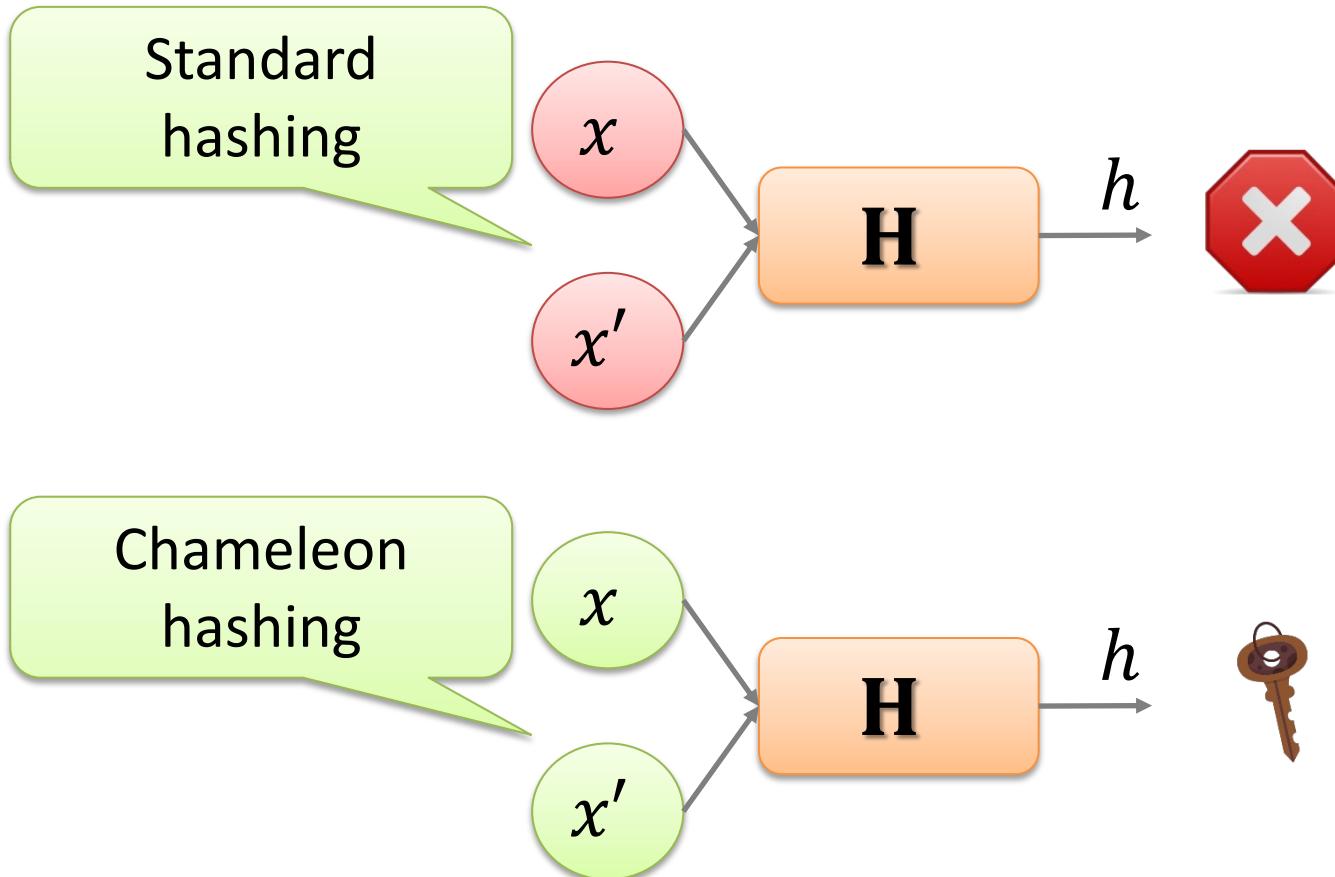
# Edit a Block



# Remove a Block



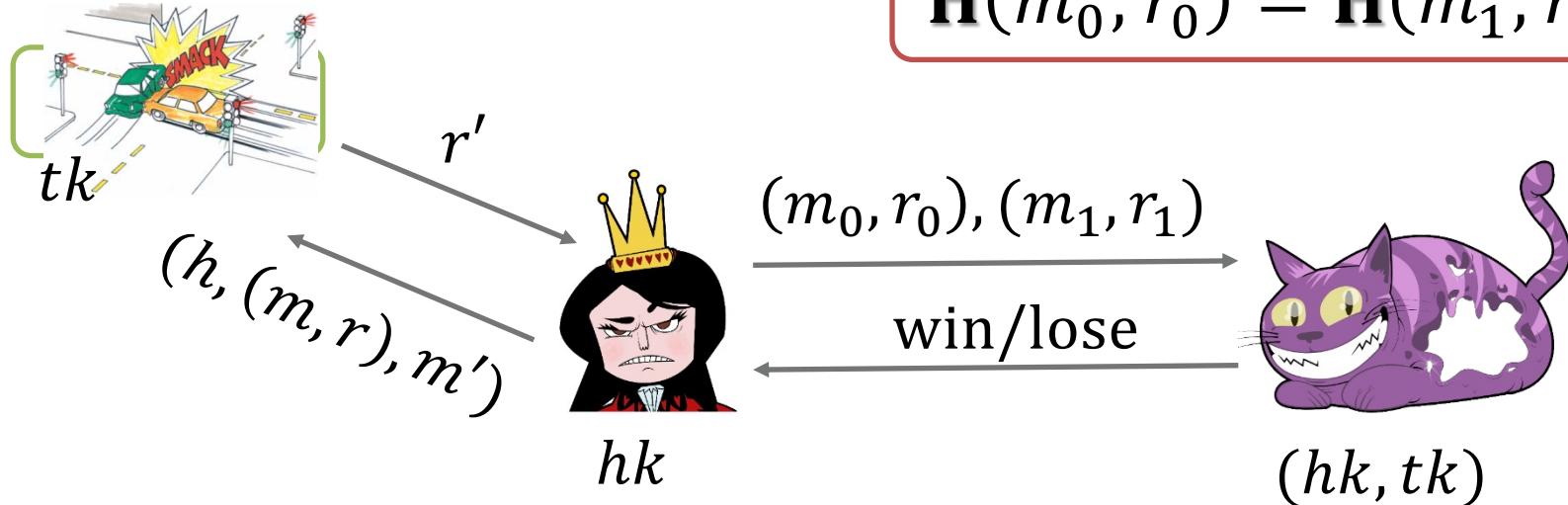
# Chameleon Hashing



# Simple Construction (Inadequate)

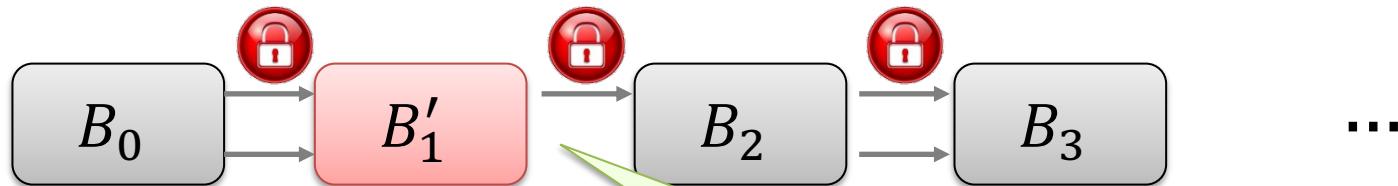
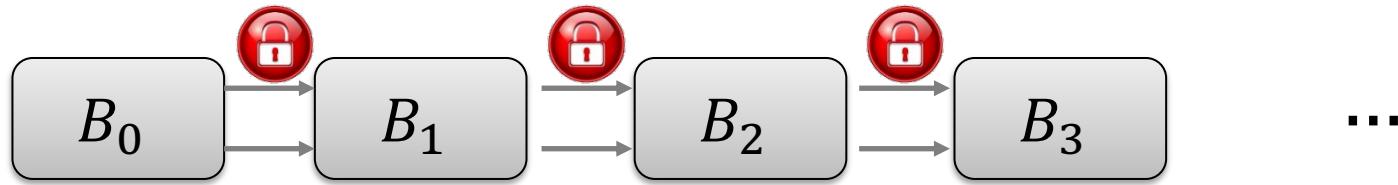
- Let  $\mathbb{G}$  be a cyclic group of order  $q$  with generator  $g$ 
  - E.g.,  $\mathbb{G}$  is the subgroup of quadratic residues of  $\mathbb{Z}_p^*$
- Hash key and **trapdoor**:  $hk = g^a$  and  $tk = a$
- Hash computation:  $h = g^m \cdot hk^r$  for random  $r \in \mathbb{Z}_q$
- Hash collision: Given  $m, r, m'$ , solve for  $ar + m = ar' + m' \bmod q$ 
  - After few collisions **the trapdoor is exposed!**

# Enhanced Collision Resistance



- Hard finding collisions even with access to **collision oracle**
  - Collision should be fresh
- Randomness plays the role of "**check value**"

# Leaving an Immutable Scar



Missing link!

# Concluding Remarks (1/2)

- Geared for "**permissioned**" systems, not for open, decentralized cryptocurrency systems
- Database or spreadsheet?
  - A redactable blockchain is decentralized and **immutable** as all other blockchains
  - There is no centralized server and bad actors **won't be able** to make changes
- Only trusted administrators acting on agreed **rules of governance** can edit, rewrite or remove blocks without breaking the chain

# Concluding Remarks (2/2)

- The key can be divided in shares
  - Must be protected as the keys of CAs
  - **None** of the authorities knows the trapdoor
  - When needed collisions can be **computed** via a secure distributed protocol (MPC)
- Amending by appending is often pointless
- Storing just the hash does not help since the hash provides a "**proof of existence**"

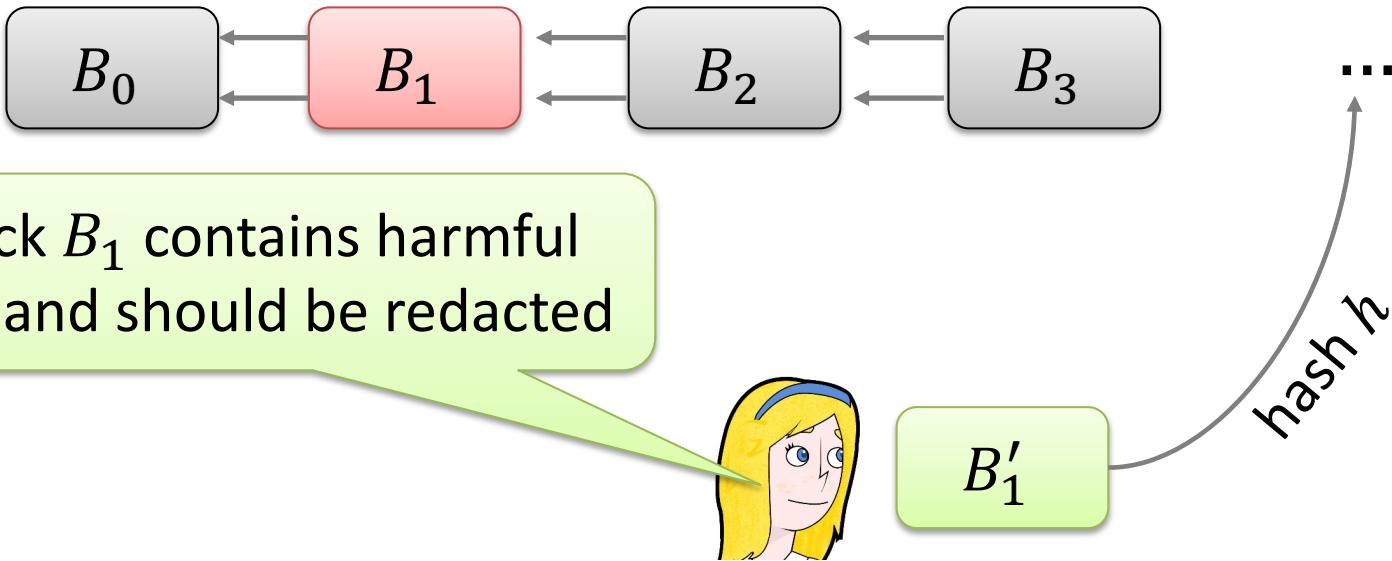
# Summary

- Technology developed and patented with Accenture
- The blockchain remains **decentralized and immutable**
  - But a "plan b" is supported if things go wrong
- The invention **preserves** blockchain's benefits, while making it viable for **enterprise use**
- Disruptive, breaking a taboo
  - NYT, FT, Forbes, Reuters, Fortune, MIT Tech Review

# Redaction in the Permissionless Setting

- The previous solution is clearly **impractical** in the **permissionless** setting
- We now give a more **practical** solution
  - No additional trust assumption
  - Consensus on what needs to be redacted
  - Publicly verifiable and accountable
- D. Deuber et al. Redactable Blockchain in the Permissionless Setting. IEEE S&P 2019

# Redaction Request



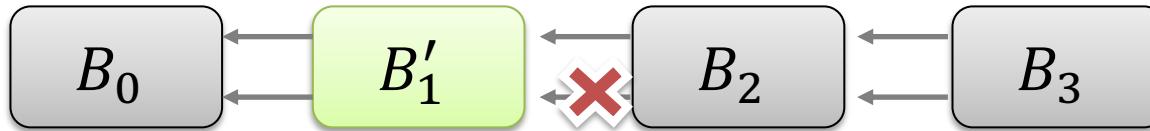
- Modify the block structure
  - **Two** links instead of one (**old** link, **new** link)
- The new block is also sent to a **candidate pool**

# Voting



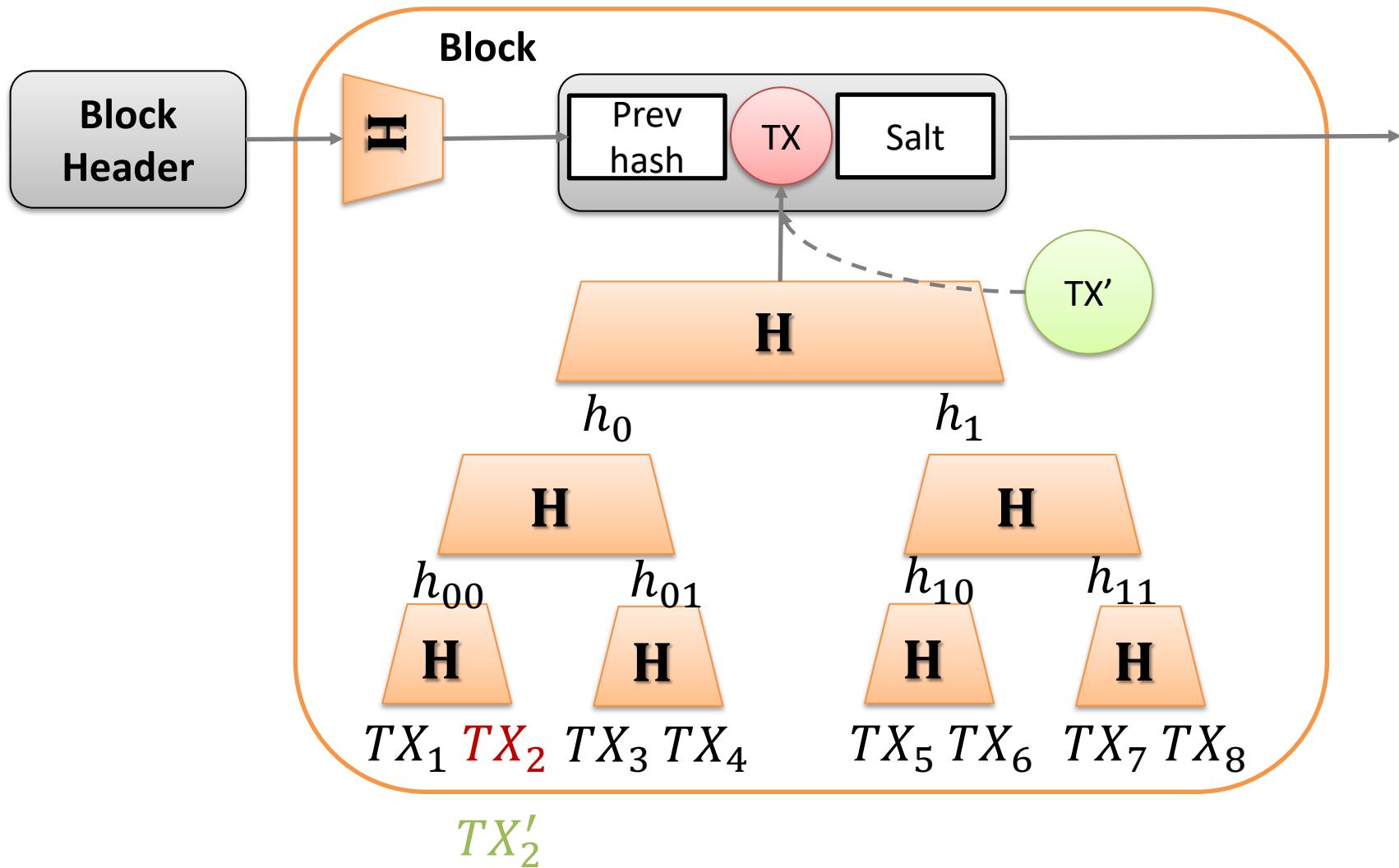
- Miners retrieve proposed blocks
- As they know the hash  $h$ , each miner can **vote** by including  $h$  in newly minted blocks
- Voting phase spans an epoch
  - 1024 blocks in Bitcoin (2 weeks)
- **Policy:** Say if 50% of the blocks voted, the redaction is approved

# Validating Blocks

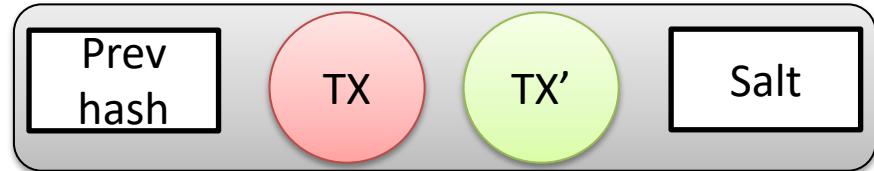
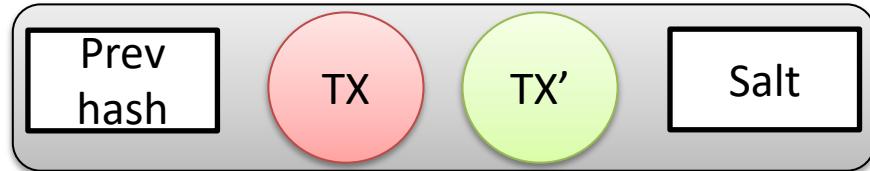


- **Standard** blocks
  - Check PoW, PoS, etc.
  - Check validity of data and links (**old/new**)
- **Redacted** blocks
  - Check PoW, PoS, etc. (w.r.t. **old** link)
  - Check **new** link **broken**, **old** link **good**
  - Check the redaction was approved

# Integration in Bitcoin



# Integration in Bitcoin



$TX_1 \textcolor{red}{TX}_2 TX_3 TX_4$

$TX_1 \textcolor{green}{TX}'_2 TX_3 TX_4$   
 $H(TX_2)$

- Old link is  $H(\text{prev\_hash}, TX, TY, salt)$ 
  - $TY$  is from the **previous block** header
- New link is  $H(\text{prev\_hash}, TX', TY, salt)$