

APPENDIX

FULL EXPANSION FOR OBSTACLE CONTROL BARRIER FUNCTION

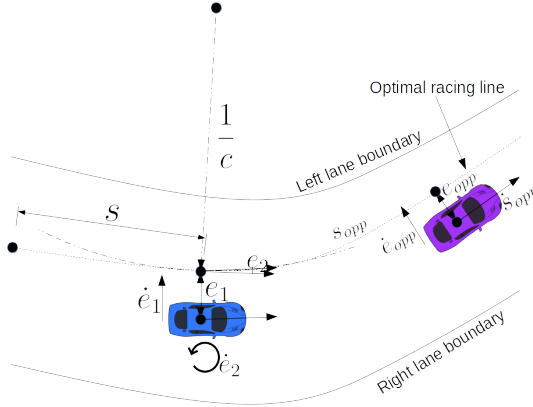


Fig. 18: Illustration for the derivation of obstacle control barrier function.

We define the safety function $h(\cdot)$ as follows:

$$h(\mathbf{x}, \mathbf{x}_{opp}) = \left(\frac{s - s_{opp}}{d_s} \right)^2 + \left(\frac{e_1 - e_{opp}}{d_e} \right)^2 - 1 \quad (13)$$

where s, s_{opp}, e_1, e_{opp} defined before are illustrated in Fig. 18. d_s and d_e are the longitudinal and lateral safe distances from the target opponent vehicle, \mathbf{x} and \mathbf{x}_{opp} are the states of the ego vehicle and the opponent vehicle. We reformulate the vehicle dynamic model (see Eq. 2) in a control offline form as $\dot{\mathbf{x}} = f(\mathbf{x}) + g(\mathbf{x})\mathbf{u}$.

The first-order CBF requires $\dot{h}(\mathbf{x}, \mathbf{x}_{opp}) + \lambda h(\mathbf{x}, \mathbf{x}_{opp}) \geq 0$. By applying the chain rule, we have $\nabla_{\mathbf{x}} h(\cdot) \dot{\mathbf{x}} + \nabla_{\mathbf{x}_{opp}} h(\cdot) \dot{\mathbf{x}}_{opp} + \lambda h(\cdot) \geq 0$, i.e.,

$$\begin{aligned} & \nabla_{\mathbf{x}} h(\cdot) [f(\mathbf{x}) + g(\mathbf{x})\mathbf{u}] + \nabla_{\mathbf{x}_{opp}} h(\cdot) \dot{\mathbf{x}}_{opp} + \lambda h(\cdot) \geq 0 \\ \text{thus, } & \left[\frac{2(e_1 - e_{opp})}{d_e} \ 0 \ 0 \ 0 \ 0 \ \frac{2(s - s_{opp})}{d_s} \ 0 \right] (f(\mathbf{x}) + g(\mathbf{x})\mathbf{u}) \\ & - \frac{2(e_1 - e_{opp})}{d_e} \dot{e}_{opp} - \frac{2(s - s_{opp})}{d_s} \dot{s}_{opp} \\ & + \lambda \left(\left(\frac{s - s_{opp}}{d_s} \right)^2 + \left(\frac{e_1 - e_{opp}}{d_e} \right)^2 - 1 \right) \geq 0 \end{aligned} \quad (14)$$

where the Lie derivative $L_g h(\mathbf{x}) := \nabla_{\mathbf{x}} h(\cdot) g(\mathbf{x}) = 0$. This implies that using the first-order CBF doesn't directly contain any constraint on the control commands \mathbf{u} . We continue taking derivatives until the constraints of the control appear. We use the second-order CBF by defining $\phi(\mathbf{x}, \mathbf{x}_{opp}) = \dot{h}(\mathbf{x}, \mathbf{x}_{opp}) + \lambda h(\mathbf{x}, \mathbf{x}_{opp})$. For the new safety constraint $\phi(\cdot) + \lambda \phi(\cdot) \geq 0$, we have

$$\ddot{h}(\cdot) + \lambda \dot{h}(\cdot) + \lambda(\dot{h}(\cdot) + \lambda h(\cdot)) \geq 0$$

$$\text{i.e., } \ddot{h}(\cdot) + 2\lambda \dot{h}(\cdot) + \lambda^2 h(\cdot) \geq 0$$

By expanding, we get

$$\begin{aligned} & 2 \frac{(v_x - \dot{e}_1 e_2 - \dot{s}_{opp})^2}{d_s} + 2 \frac{(\dot{e}_1 - \dot{e}_{opp})^2}{d_e} \\ & + 2 \frac{(s - s_{opp})}{d_s} (K_p p - K_f v_x - \left(-\frac{2C_f + 2C_r}{mv_x} \dot{e}_1 \right. \\ & + \frac{2C_f + 2C_r}{m} e_2 - v_x \left(v_x + \frac{2C_f l_f - 2C_r l_r}{mv_x} \right) c + \frac{4C_f}{m} \delta) e_2 \\ & - \dot{e}_1 \dot{e}_2) - 2 \frac{(e_1 - e_{opp})}{d_s} \left(\frac{2C_f + 2C_r}{mV_x} \dot{e}_1 + \frac{2C_f + 2C_r}{m} e_2 \right. \\ & - \frac{2C_f l_f + 2C_r l_r}{mV_x} \dot{e}_2 v_x \left(v_x + \frac{2C_f l_f - 2C_r l_r}{mv_x} \right) c + \frac{4C_f}{m} \delta) \\ & + 2\lambda \left(2 \frac{(s - s_{opp})}{d_s} (v_x - \dot{e}_1 e_2 - \dot{s}_{opp}) \right. \\ & + 2 \frac{(e_1 - e_{opp})}{d_e} (\dot{e}_1 - \dot{e}_{opp})) \\ & + \lambda^2 \left(\left(\frac{s - s_{opp}}{d_s} \right)^2 + \left(\frac{e_1 - e_{opp}}{d_e} \right)^2 - 1 \right) \geq 0 \end{aligned} \quad (15)$$