

Form CJ-9A/5



# DEATHS IN CUSTODY—2016 ANNUAL SUMMARY ON INMATES UNDER JAIL JURISDICTION

U.S. DEPARTMENT OF JUSTICE  
BUREAU OF JUSTICE STATISTICS  
AND ACTING AS COLLECTION AGENT:  
RTI INTERNATIONAL

## FORM COMPLETED BY—

Name

Title

Official  
Address

Telephone



City

FAX



State

Zip

Email

## Instructions for completion and submission

### FOR EACH ITEM—

- If the answer to a question is “none” or “zero,” write “0” in the space provided.
- When exact numeric answers are not available, provide estimates and mark ( X ) in the checkbox beside each number that is estimated. For example 1,234 ☒

Please submit your completed form(s) within 30 days of receipt. You may submit information in one of these ways:

ONLINE: Complete this form online at: <https://bjsdcrp.rti.org>

EMAIL: [bjsdcrp@rti.org](mailto:bjsdcrp@rti.org)

FAX (TOLL-FREE): 1-866-800-9179

MAIL: RTI International, Attn: Data Capture  
Project Number: 0215015.001.200.102.100  
5265 Capital Boulevard  
Raleigh, NC 27690-1652

If you need assistance, contact Matt Bensen of RTI International toll-free at 1-800-344-1387 or [bjsdcrp@rti.org](mailto:bjsdcrp@rti.org).

## What to include and exclude in this data collection

### INCLUDE—

- ✓ Confinement facilities usually administered by a local law enforcement agency, intended for adults but sometimes holding juveniles
- ✓ All jails and city/county correctional centers that hold inmates beyond arraignment. Report data on all inmates, including those held in separate holding or lockup areas within your facilities.
- ✓ Special jail facilities (e.g., medical/treatment/release centers, halfway houses, and work farms).
- ✓ Temporary holding or lockup facilities if they are part of your combined function.
- ✓ Inmates held for other jurisdictions, including federal authorities, state prison authorities, American Indian or Alaska Native tribal governments, and other local jail jurisdictions.

### EXCLUDE—

- X Facilities that are exclusively used as temporary holding or lockup facilities, where inmates are generally held for less than 72 hours and not held beyond arraignment.
- X Privately operated jails and facilities operated by two or more jurisdictions (i.e., multi-jurisdictional facilities). These jails will be contacted directly for this data collection.

### BURDEN STATEMENT

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 1 hour and 15 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.

## Section I — INMATE DEATHS

1. Between January 1, 2016, and December 31, 2016, how many persons died while under the supervision of your jail facilities?

INCLUDE deaths of ALL persons—

- ✓ CONFINED in your jail facilities
- ✓ UNDER THE SUPERVISION of your jail facilities, but out to court or in a special facility (e.g., hospital, hospice, or nursing home; treatment facility; residential community center; residential work release or house arrest program; or release center)
- ✓ WHILE IN TRANSIT to or from your jail facilities while under your supervision.

EXCLUDE—

- X Deaths of persons in the process of arrest by your agency if they have not yet been booked into your jail facilities.

Number of inmate deaths

a. Males.....

b. Females.....

REMINDER: IF YOUR FACILITIES HAD ONE OR MORE DEATHS IN CALENDAR YEAR 2016, please ensure that you have completed a 2016 CJ-9/CJ-10 (individual death report) form for each death reported. If you need additional CJ-9/CJ-10 forms, please go to the DCRP website (<https://bjsdcrp.rti.org>), call 1-800-344-1387, or send an email to [bjsdcrp@rti.org](mailto:bjsdcrp@rti.org).

## Section II — SUPERVISED POPULATION

2. On June 30, 2016, how many persons under the supervision of your jail jurisdiction were CONFINED in your jail facilities?

INCLUDE—

- ✓ Persons on transfer to treatment facilities but who remain under your jurisdiction
- ✓ Persons held for other jurisdictions
- ✓ Persons in community-based programs (e.g., work release, day release, or drug/alcohol treatment) who return to jail at night
- ✓ Persons out to court while under your jurisdiction.

EXCLUDE—

- X Persons under your jurisdiction who are boarded elsewhere
- X Inmates who are AWOL, escaped, or on long-term transfer to other jurisdictions
- X Persons in community-based programs run by your jails (e.g., electronic monitoring, house arrest, community service, day reporting, or work programs) who do NOT return to jail at night.

☐

When exact numeric answers are not available, provide estimates and mark ( X ) in the checkbox beside each number that is estimated. For example 1,234 ☒

3. On December 31, 2016, how many persons under the supervision of your jail jurisdiction were—

a. CONFINED in your jail facilities?

INCLUDE—

- ✓ Persons on transfer to treatment facilities but who remain under your jurisdiction
- ✓ Persons held for other jurisdictions
- ✓ Persons in community-based programs (e.g., work release, day release, or drug/alcohol treatment) who return to jail at night
- ✓ Persons out to court while under your jurisdiction.

EXCLUDE—

- X Persons under your jurisdiction who are boarded elsewhere
- X Inmates who are AWOL, escaped, or on long-term transfer to other jurisdictions
- X Persons in community-based programs run by your jails (e.g., electronic monitoring, house arrest, community service, day reporting, or work programs) who do NOT return to jail at night.

☐

b. Under jail supervision, but NOT CONFINED?

INCLUDE—

- ✓ Persons in community-based programs run by your jail jurisdiction (e.g., electronic monitoring, house arrest, community service, day reporting, or work programs) who do NOT return to jail at night.

EXCLUDE—

- X Persons on pretrial release who are not in a community-based program run by your jail jurisdiction
- X Persons under the supervision of probation, parole, or other agencies
- X Inmates on weekend programs that allow offenders to serve their sentences of confinement only on weekends (e.g., Friday–Sunday)
- X Inmates participating in community-based programs (e.g., work release, day release, or drug/alcohol treatment) who return to jail at night.

☐

c. TOTAL (Sum of items 3a and 3b) .....  ☐

4. On the weekend prior to December 31, 2016, did your jail facilities have a weekend program?

Weekend programs allow offenders to serve their sentences of confinement only on weekends (e.g., Friday–Sunday).

1 ☐ Yes – How many inmates participated? .....  ☐

2 ☐ No

5. Of all the persons CONFINED in your jail facilities on December 31, 2016 (as reported in item 3a), how many were not U.S. citizens?

Non-U.S. citizens  ☐

### Section III — INMATE COUNTS AND MOVEMENTS OF THE CONFINED POPULATION

6. On December 31, 2016, how many persons CONFINED in your jail facilities were—
- a. Adult males (age 18 or older) .....  ☐
- b. Adult females (age 18 or older) .....  ☐
- c. Males age 17 or younger .....  ☐
- d. Females age 17 or younger .....  ☐
- e. TOTAL (Sum of items 6a through 6d should equal item 3a) .....  ☐
7. Of all the persons age 17 or younger CONFINED in your jail facilities on December 31, 2016 (sum of 6c and 6d), how many were tried or awaiting trial in adult court?
- Number of persons age 17 or younger held as adults .....  ☐
8. Of all persons CONFINED in your jail facilities on December 31, 2016, how many were—
- For persons with more than one status, report the status associated with the most serious offense.
  - For convicted inmates, include probation and parole violators with no new sentence.
- a. Convicted .....  ☐
- b. Unconvicted .....  ☐
- c. TOTAL (Sum of items 8a and 8b should equal item 3a) .....  ☐
9. On December 31, 2016, how many persons CONFINED in your jail facilities, regardless of conviction status, had an offense type of—
- For persons with more than one offense, report the most serious type of offense.
- a. Felony .....  ☐
- b. Misdemeanor .....  ☐
- c. Other – Specify ☒   
   ☐
- d. TOTAL (Sum of items 9a to 9c should equal item 3a) .....  ☐

When exact numeric answers are not available, provide estimates and mark ( X ) in the checkbox beside each number that is estimated. For example 1,234 ☒

10. On December 31, 2016, how many persons CONFINED in your jail facilities were—
- a. White, not of Hispanic origin .....  ☐
- b. Black or African American, not of Hispanic origin .....  ☐
- c. Hispanic or Latino .....  ☐
- d. American Indian or Alaska Native, not of Hispanic origin .....  ☐
- e. Asian, not of Hispanic origin .....  ☐
- f. Native Hawaiian or other Pacific Islander, not of Hispanic origin .....  ☐
- g. Two or more races, not of Hispanic origin ..  ☐
- h. Additional categories in your information system – Specify ☒   
   ☐
- i. Not known .....  ☐
- j. TOTAL (Sum of items 10a to 10i should equal item 3a) .....  ☐
11. On December 31, 2016, how many persons CONFINED in your jail facilities were held for—
- Count persons with multiple holds only once with priority being federal, state, tribal, and local.
- ☒ INCLUDE contractual, temporary, courtesy, or *ad hoc* holds for other agencies.
- a. Federal authorities
1. U.S. Marshals Service .....  ☐
2. Federal Bureau of Prisons .....  ☐
3. U.S. Immigration and Customs Enforcement (ICE) .....  ☐
4. Bureau of Indian Affairs (BIA) .....  ☐
- X EXCLUDE inmates being housed for tribal governments in item 11c below.
5. Other – Specify ☒   
   ☐
- b. State prison authorities
1. For your state .....  ☐
2. For other states .....  ☐
- c. American Indian or Alaska Native tribal governments
- X EXCLUDE inmates being housed for the BIA in item 11a4.  ☐
- d. Other local jail jurisdictions
- X EXCLUDE inmates being housed for your own jurisdiction (i.e., your own county/city inmates).
- X EXCLUDE inmates being housed for tribal governments in item 11c.
1. Within your state .....  ☐
2. Outside your state .....  ☐
- e. TOTAL (Sum of items 11a to 11d) .....  ☐

12. a. During the 31-day period from December 1 to December 31, 2016, on what day did your jail facilities hold the greatest number of inmates?

Peak population should be equal to or greater than the confined inmate population reported in item 3a.

December , 2016

b. How many persons were CONFINED on that day?

Number that day  ☐

13. Between January 1, 2016, and December 31, 2016, what was the average daily population of your jail facilities?

- INCLUDE inmates who participated in weekend programs that allow offenders to serve their sentences of confinement only on weekends (e.g., Friday–Sunday).
- To calculate the average daily population, add the number of persons for each day during the period January 1, 2016, through December 31, 2016, and divide the result by 366.
- If daily counts are not available, estimate the average daily population by adding the number of persons held on the same day of each month and divide the result by 12.
- If average daily population cannot be calculated as directed above, then estimate the typical number of persons held in your jail facilities each day.

**Average daily population**

a. Males.....  ☐

b. Females.....  ☐

c. TOTAL (Sum of items 13a and 13b) ....  ☐

14. On December 31, 2016, what was the total rated capacity of your jail facilities, excluding separate temporary holding areas?

- Rated capacity is the maximum number of beds or inmates assigned by a rating official to a facility.
- If rated capacity is not available, estimate by using the design capacity and mark the checkbox.

Rated capacity  ☐

When exact numeric answers are not available, provide estimates and mark ( X ) in the checkbox beside each number that is estimated. For example 1,234 ☒

15. How many persons under the supervision of your jail jurisdiction were—

a. ADMITTED to your jail facilities during 2016?

INCLUDE—

- ✓ Persons officially booked into and housed in your jail facilities by formal legal document and by the authority of the courts or some other official agency
- ✓ Repeat offenders booked on new charges
- ✓ Persons serving a weekend sentence coming into the facility for the first time.

EXCLUDE—

- X Returns from escape, work release, medical appointments/treatment facilities, furloughs, bail/bond releases, and court appearances.

**New admissions**

1. Males.....  ☐

2. Females.....  ☐

3. TOTAL (Sum of items 15a1 and 15a2)  ☐

b. DISCHARGED from your facilities during 2016?

INCLUDE—

- ✓ Persons released after a period of confinement (e.g., sentence completion, bail/bond releases, other pretrial releases, transfers to other jurisdictions, or deaths)
- ✓ Persons completing their weekend sentence leaving the facility for the last time.

EXCLUDE—

- X Temporary discharges (e.g., work releases, medical appointments/treatment, out to courts, furloughs, day reporters, or transfers to other facilities within your jurisdiction).

**Final discharges**

1. Males.....  ☐

2. Females.....  ☐

3. TOTAL (Sum of items 15b1 and 15b2)  ☐

## Section IV — POPULATION SUPERVISED IN THE COMMUNITY

If item 3b equals 0 (zero), SKIP to item 17.

16. On December 31, 2016, how many persons under the supervision of your jail jurisdiction who were NOT CONFINED participated in—

X EXCLUDE inmates on weekend programs.

a. Electronic monitoring .....  ☐

b. Home detention without electronic monitoring .....  ☐

c. Community service .....  ☐

d. Day reporting .....  ☐

e. Other pretrial supervision .....  ☐

f. Other alternative work programs .....  ☐

X EXCLUDE inmates participating in work release programs who return to jail at night.

g. Alcohol/drug treatment programs .....  ☐

X EXCLUDE inmates participating in alcohol/drug treatment programs who are confined in jail.

h. Other programs outside of jail facilities – Specify ☐

☐

i. TOTAL (Sum of items 16a to 16h should equal item 3b) .....  ☐

When exact numeric answers are not available, provide estimates and mark ( X ) in the checkbox beside each number that is estimated. For example 1,234 ☒

## Section V —STAFFING

17. On December 31, 2016, how many staff employed in your facilities were—

Count each employee only once. Classify employees with multiple functions by the function performed most frequently.

✓ INCLUDE payroll staff, nonpayroll staff on the payroll of other government agencies (e.g., health department, school district, or court), and unpaid interns.

X EXCLUDE staff paid through contractual agreements and community volunteers.

a. Correctional officers

(Deputies, monitors, and other custody staff who spend more than 50% of their time with the incarcerated population.) .....  ☐

Of these, how many were –

1. Males .....  ☐

2. Females .....  ☐

b. All other staff

(Administrators, clerical and maintenance staff, educational staff, professional and technical staff, and other staff – unspecified who spend more than 50% of their time in the facility.) .....  ☐

Of these, how many were –

1. Males .....  ☐

2. Females .....  ☐

c. TOTAL (Sum of items 17a and 17b) .....  ☐