

Homework3 Report

Professor Pei-Yuan Wu
EE5184 - Machine Learning

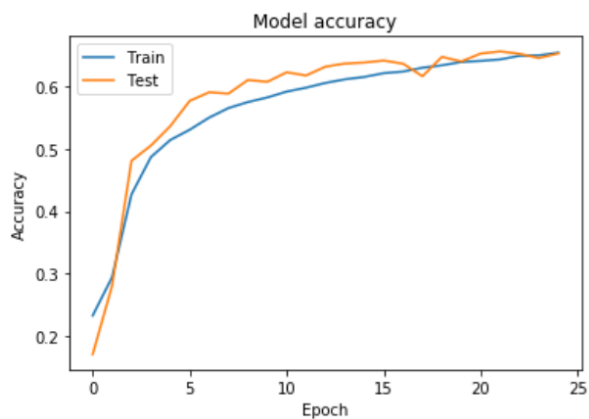
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1. (1%) 請說明你實作的 CNN model，其模型架構、訓練過程和準確率為何？

Conv2D(64)	kernel_size=(3, 3) activation=relu	Dense(1024)	activation=relu
BatchNormalization		BatchNormalization	
MaxPooling2D	pool_size = (2, 2)	Dropout	0.5
Dropout	0.25	Dense(1024)	activation = relu
Conv2D(64)	kernel_size = (7, 7) activation = relu	BatchNormalization	
BatchNormalization		Dropout	0.5
MaxPooling2D	pool_size = (2, 2)	Dense(512)	activation = relu
Dropout	0.3	BatchNormalization	
Conv2D(128)	kernel_size = (5, 5) activation = relu	Dropout	
BatchNormalization		Dense(256)	activation = relu
MaxPooling2D	pool_size = (2, 2)	BatchNormalization	
Dropout	0.35	Dropout	0.5
Conv2D(128)	kernel_size = (3, 3) activation = relu	Dense(128)	activation = relu
BatchNormalization		BatchNormalization	
MaxPooling2D	pool_size=(2, 2)	Dropout	0.5
Dropout	0.4	Dense(7)	activation = softmax
Flatten			

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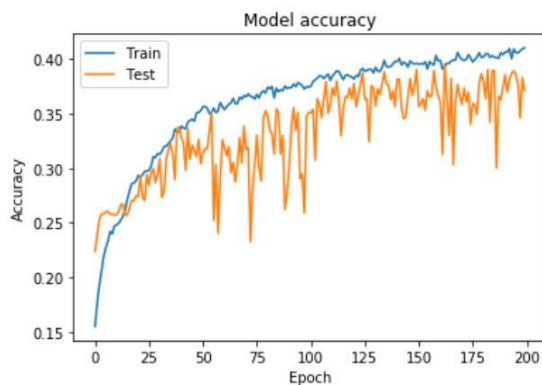


Total params	2,906,055
Epoch	24 (stopped by early stopping)
Early stopping:	Yes (Patience = 3)
Training data	First 23000 data set
Validating data	Remaining 5709 data set
Loss function	Cross Entropy
Optimizer	Adam
public score	0.67679
private score	0.68682

2. (1%) 承上題，請用與上述 CNN 接近的參數量，實做簡單的 DNN model，其模型架構、訓練過程和準確率為何？試與上題結果做比較，並說明你觀察到了什麼？

Dense(512)	activation = relu	Dense(512)	activation = relu
BatchNormalization		BatchNormalization	
Dropout	0.5	Dropout	0.5
Dense(512)	activation = relu	Dense(512)	activation = relu
BatchNormalization		BatchNormalization	
Dropout	0.5	Dropout	0.5
Dense(512)	activation = relu	Dense(256)	activation = relu
BatchNormalization		BatchNormalization	
Dropout		Dropout	
Dense(512)	activation = relu	Dense(128)	activation = relu
BatchNormalization		BatchNormalization	
Dropout	0.5	Dropout	0.5
Dense(512)	activation = relu	Dense(7)	activation = relu
BatchNormalization			
Dropout	0.5		

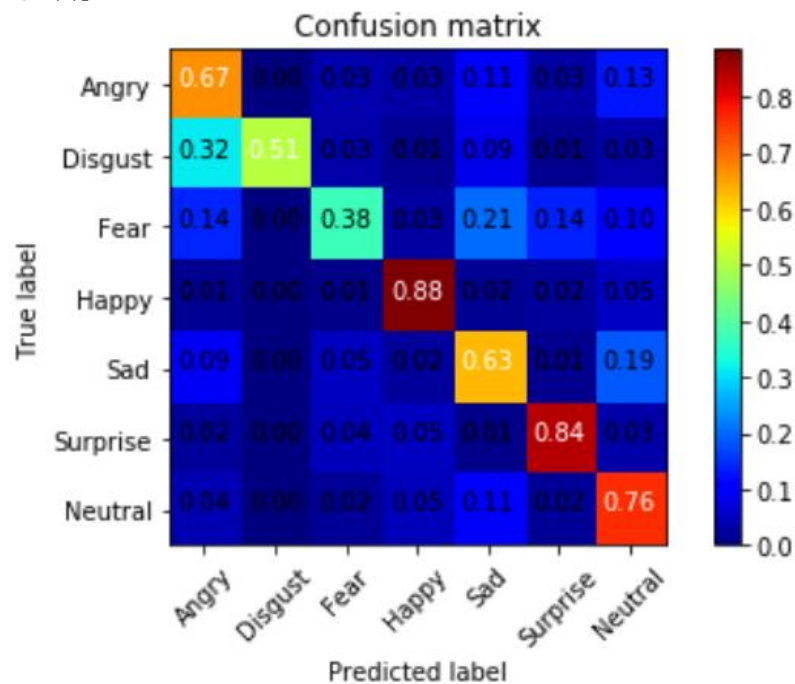
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Total params	2,845,895
Epoch	200
Early stopping:	No
Training data	First 23000 data set
Validating data	Remaining 5709 data set
Loss function	Cross Entropy
Optimizer	Adam
public score	0.32432
private score	0.34243

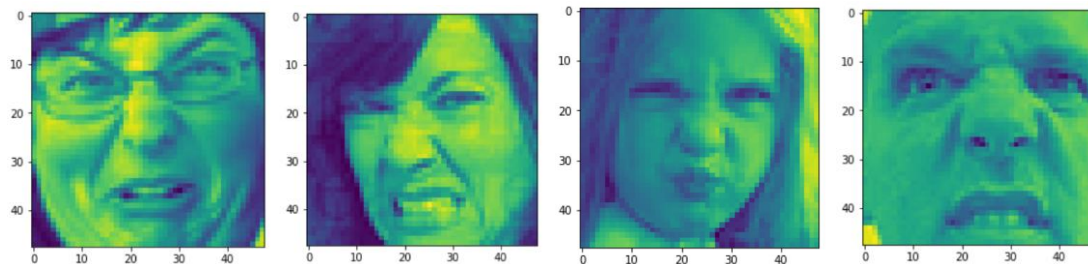
1. DNN 的 performance 明顯比 CNN 差
2. 由於 CNN 較容易收斂，為了避免 overfitting，因此我有設了 early stopping。而 DNN 則是不太會收斂，因此並沒有設 early stopping 而是讓他跑完 200 個 epoch。
3. 在 training 時可以發現，DNN 了 training 速度比 CNN 快很多，推測是因為 CNN 在做 convolution 的時候，filter 參數是共用的，所以 gradient 是取其對所有 pixel 做 convolution 後的平均。因此在同樣數量級的參數下，CNN 的運算次數是比 DNN 多很多的。

3. (1%) 觀察答錯的圖片中，哪些 class 彼此間容易用混？並說明你觀察到了什麼？[繪出 confusion matrix 分析]



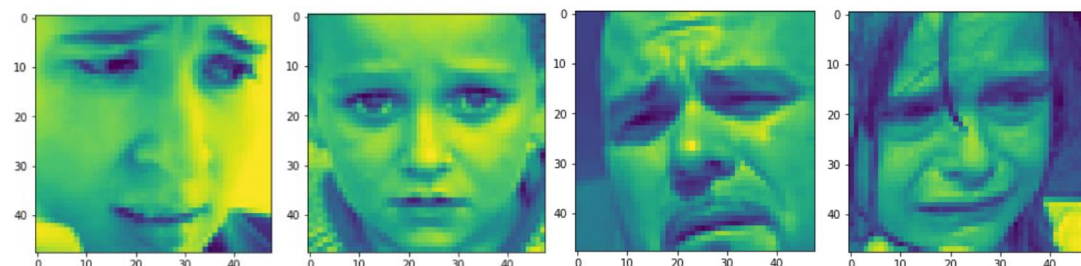
由 confusion matrix 可以發現，Disgust 比要容易搞混成 Angry，而 Fear 比較容易搞混成 Sad。於是我們實際拿一些搞混的例子來看(Label / Predict):

Disgust / Angry:



可以觀察到，導致這兩種狀態判斷困難的相似特稱可能為鼻子皺起來、嘴角向下.....

Fear / Sad:



可以觀察到，導致這兩種狀態判斷困難的相似特稱可能為眼角向下、皺眉.....

4.

(a) Layer A: $(2 \times 2 \times 5 + 1) \times 6 = 126 \#$

Layer B: $(2 \times 2 \times 6 + 1) \times 4 = 100 \#$

(b) A: addition: $[(2 \times 2 \times 5) - 1] \times (3 \times 3) \times 6 = 1026 \#$
 multiplication: $[(2 \times 2 \times 5)] \times (3 \times 3) \times 6 = 1080 \#$

B: addition: $[(2 \times 2 \times 6) - 1] \times (1 \times 1) \times 4 = 92 \#$
 multiplication: $[(2 \times 2 \times 6)] \times (1 \times 1) \times 4 = 96 \#$

(c) $n_{i+1} = \frac{(n_i - k_i + 1) \times p_i}{s_i} + 1 = \text{output length} = \# \text{ of kernel calculation}$

for layer i : $\underbrace{[k_i^2 \cdot C_{i-1}]}_{\text{one kernel calculation}} \cdot \underbrace{n_{i+1}^2 \cdot C_i}_{\text{\# of kernel calculation}} \rightarrow \text{\# of channel}$

$\therefore \text{total} = \sum_{i=1}^l [(k_i^2 \cdot C_{i-1}) \cdot n_{i+1}^2 \cdot C_i]$

where $\begin{cases} n_0 = \text{input length} \\ C_0 = \text{input depth} \end{cases}$

$\Rightarrow \text{time complexity} : O\left(\sum_{i=1}^l [(k_i \cdot n_{i+1})^2 \cdot C_i \cdot C_{i-1}]\right) \#$

$$X = \begin{bmatrix} 1 & 2 & 3 \\ 4 & 8 & 5 \\ 3 & 12 & 9 \\ 1 & 8 & 5 \\ 5 & 14 & 2 \\ 7 & 4 & 1 \\ 9 & 8 & 9 \\ 3 & 8 & 1 \\ 11 & 5 & 6 \\ 10 & 11 & 7 \end{bmatrix}$$

$$\bar{x} = \begin{bmatrix} 5.4 & 8 & 4.8 \end{bmatrix}$$

COV(X):

$$\begin{bmatrix} 13.38 & 0.56 & 3.64 \\ 0.56 & 12.56 & 3.22 \\ 3.64 & 3.22 & 9.07 \end{bmatrix}$$

V:

$$\begin{bmatrix} -0.62 & -0.68 & -0.4 \\ -0.59 & 0.73 & -0.34 \\ -0.52 & -0.03 & 0.85 \end{bmatrix}$$

D:

$$\begin{bmatrix} 17 & & \\ & 12.92 & \\ & & 6.08 \end{bmatrix} \begin{matrix} 0.47\% \\ 0.36\% \\ 0.17\% \end{matrix}$$

(a) $\begin{bmatrix} -0.62 \\ -0.59 \\ -0.52 \end{bmatrix} \cdot \begin{bmatrix} -0.68 \\ 0.73 \\ -0.03 \end{bmatrix} \cdot \begin{bmatrix} -0.4 \\ -0.34 \\ 0.85 \end{bmatrix} \#$

(c) transfer function $P = \begin{bmatrix} -0.62 & -0.68 \\ -0.59 & 0.73 \\ -0.52 & -0.03 \end{bmatrix}$

(b) $X' = X V$

$$\begin{bmatrix} -3.56 & 0.69 & 1.47 \\ -9.8 & 2.97 & -0.07 \\ -13.62 & 6.45 & 2.37 \\ -7.94 & 5.01 & 1.13 \\ 12.4 & 6.76 & -5.06 \\ -7.22 & -1.87 & -3.31 \\ -14.98 & -0.55 & 1.33 \\ -7.1 & 3.77 & -3.07 \\ -12.89 & -4.01 & -1 \\ -16.33 & 1.02 & -1.79 \end{bmatrix} \#$$

reconstruction error

$$= \|X - X P P^T\|^2$$

$$= 20.55 \#$$