rentrez: An R package for the NCBI eutils API

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Abstract The USA National Center for Biotechnology Information (NCBI) is one of the world's most important sources of biological information. NCBI databases like PubMed and GenBank contain millions of records describing bibliographic, genetic, genomic, and medical data. Here I present **rentrez**, a package which provides and R interface to 50 NCBI databases. The package is well-documented, contains an extensive suite of unit tests and has an active user base. The programatic interface to the NCBI provided by **rentrez** allows researchers to query databases and download or import particular records into R sessions for subsequent analysis. The extensive test-suite, complete nature of the package and documentation and the fact the package impliments the NCBI's usage policies all make **rentrez** a powerful aid to developers creating new packages that perform specific tasks are import data or a particular type.

Introduction

The USA National Center for Biotechnology Information (NCBI) is one of the world's largest and most important sources of biological data. At the time of writing, the NCBI PubMed database provided information on 27.5 million journal articles, including 4.6 million full text records. The NCBI Nucleotide Database (including GenBank) had data for 243.3 million different sequences and dbSNP described 997.3 million different genetic variants. Records from all of these databases can be cross-referenced with the 1.3 million species in the NCBI taxonomy, and PubMed entries can be searched for using a controlled vocabulary containing 272 thousand unique terms.

The NCBI provides access to a total of 50 databases through a web interface, public FTP sites and an API called Entrez Programming Utilities (EUtils, Sayers and Wheeler 2004). R packages from the Bioconductor project (e.g., genomes, Stubben 2015; RMassBank, Stravs et al. 2013 and MeSHSim, Zhou and Shui 2015) or available from CRAN (e.g., ape, Paradis et al. 2004; RISmed, Kovalchik 2017 and pubmed.mineR, Rani et al. 2014) take advantage of the Eutils API to perform specific tasks. Two packages, rentrez and reutils (Schöfl, 2016), provide functions that cover the entire API. Here I describe rentrez, a package which provides users with a simple and consistent interface to EUtils. This paper discusses the design of the package, illustrates its use in biological research and demonstrate how the provided functions can aid the development of other packages designed to meet more specific goals.

The EUtils API and rentrez

The EUtils API provides endpoints for searching each of the databases it covers, finding cross-references among records in those databases and fetching particular records (in complete or summary form). The design of **rentrez** mirrors that of EUtils, with each of these endpoints represented by a core function that has arguments named to match those used in the API documentation (Table 1). The most important arguments to the R functions are documented, and each help page contains a reference to the relevant section of the EUtils documentation.

Typically, a user will begin by using entrez_search to discover unique identifiers for database records matching particular criteria. EUtils allows users to search against particular terms in each database (the terms available for a given database can be retrieved with the function entrez_db_searchable), and to combine queries with boolean operators. For example, the following call finds scientific papers that were published in 2017 and contain the phrase "R Package" in their title.

pubmed_search <- entrez_search(db="pubmed",</pre>

Table 1: Core EUtils endpoints and their rentrez counterparts

NCBI endpoint	Purpose	Core function
esearch	Locate records matching search criteria.	entrez_search
elink	Discover of cross-linked records.	entrez_link
esummary	Fetch summary data on a set of records.	entrez_summary
efetch	Fetch complete records in a variety of formats.	entrez_fetch

pubmed_search

```
term="(R package[TITL]) AND 2017[PDAT]",
use_history=TRUE)
```

#> Entrez search result with 62 hits (object contains 20 IDs and a web_history object)
#> Search term (as translated): R package[TITL] AND 2017[PDAT]

The object returned by entrez_search can contain identifiers for records that match the given search term or a web_history object that serves as a reference to a set of identifiers stored on the NCBI's servers. Identifiers or web_history objects can be passed to the other core functions to retrieve information about the records they represent. For example, a call to entrez_summary returns information about each paper identified in the search above.

pkg_paper_summs <- entrez_summary(db="pubmed", web_history=pubmed_search\$web_history)
pkg_paper_summs</pre>

```
#> List of 62 esummary records. First record:
#>
  $\28759592\
#>
#> esummary result with 42 items:
#>
  [1] uid
                       pubdate
                                           epubdate
#>
  [4] source
                         authors
                                           lastauthor
#> [7] title
                                           volume
                         sorttitle
#> [10] issue
                         pages
                                           lang
#> [13] nlmuniqueid
                         issn
                                           essn
#> [16] pubtype
                         recordstatus
                                           pubstatus
#> [19] articleids
                         history
                                           references
#> [22] attributes
                         pmcrefcount
                                           fulljournalname
#> [25] elocationid
                         doctype
                                           srccontriblist
#> [28] booktitle
                         medium
                                           edition
                                           srcdate
#> [31] publisherlocation publishername
#> [34] reportnumber availablefromurl locationlabel
#> [37] doccontriblist
                         docdate
                                           bookname
#> [40] chapter
                         sortpubdate
                                           sortfirstauthor
```

In addition to matching each of the EUtils endpoints, **rentrez** provides utility functions that facilitate common workflows. For example, the function extract_from_summary allows users to extract some subset of the items contained in each of a set of summary records. In this case, the names of the journals that these papers appeared in can be retrieved. The resulting character vector can then be used to identify the PubMed-indexed journals that have published the most papers describing R packages this year.

```
journals <- extract_from_esummary(pkg_paper_summs, "fulljournalname")
journals_by_R_pkgs <- sort(table(journals), decreasing = TRUE)
head(journals_by_R_pkgs,3)

#> journals
#> Bioinformatics (Oxford, England) BMC bioinformatics
#> 16 9
#> Molecular ecology resources
#> 9
```

Demonstration: retrieving unique transcripts for a given gene

Records in the NCBI's various databases are heavily cross-referenced, allowing users to identify and download data related to particular papers, organisms or genes. By providing a programatic interface to these records **rentrez** allows R users to develop reproducible workflows that either download particular datasets for further analysis or load them into an R session. Here I demonstrate such a workflow, downloading DNA sequences corresponding to unique mRNA transcripts of a particular gene in a particular species.

Our aim is to retrieve the sequence of mRNA transcripts associated with the gene that encodes Amyloid Beta Precursor Protein in humans. This gene is identified by the gene symbol 1 'APP'. The

¹http://www.genenames.org/

NCBI database dealing with genetic loci (rather than particular sequences) is called "Gene", so the first step to recovering the sequence data is identifying the unique identifier associated with APP in this database. This can be achieved with entrez_search, using the gene symbol and species in the search term.

```
app_gene <- entrez_search(db="gene", term="(Homo sapiens[ORGN]) AND APP[GENE]")
app_gene

#> Entrez search result with 1 hits (object contains 1 IDs and no web_history object)
#> Search term (as translated): "Homo sapiens"[Organism] AND APP[GENE]
```

We now have a unique identifier for APP in the Gene database. In oder to download sequence for these genes we need to find records from the NCBI Nucleotide database that are associated with the Gene record. The function entrez_link can be used to find cross-referenced records. In this case, a single call to entrez_link can identify human APP sequences in the nucleotide database in general and in a number of restrictive subsets of that database.

The RefSeq RNA subset on the Nucleotide database contains a curated set of mRNA transcripts for different genes. Thus the unique identifiers contained in the gene_nuccore_refseqrna element correspond to the sequences we wish to download. The function entrez_fetch allows users to retrieve complete records in a variety of formats. Here the sequences are retrieved in the standard 'fasta' format, and returned as a character vector with a single element.

Sequences retrieved in this way could be written to file to be used by other software.

```
cat(raw_recs, file="APP_transcripts.fasta")
```

Alternatively, the sequences can be analysed within R using packages designed for sequence data. In this case, the data can be represented as a ``DNAbin'' object using the phylogenetics package ape.

```
tf <- tempfile()</pre>
cat(raw_recs, file=tf)
ape::read.dna(tf, format="fasta")
#> 10 DNA sequences in binary format stored in a list.
#>
#> Mean sequence length: 3477.9
#>
      Shortest sequence: 3255
#>
       Longest sequence: 3648
#>
#> Labels:
#> NM_001136131.2 Homo sapiens amyloid beta precursor protein (...
#> NM_001136016.3 Homo sapiens amyloid beta precursor protein (...
#> NM_001204303.1 Homo sapiens amyloid beta precursor protein (...
#> NM_001204301.1 Homo sapiens amyloid beta precursor protein (...
#> NM_001204302.1 Homo sapiens amyloid beta precursor protein (...
#> NM_201414.2 Homo sapiens amyloid beta precursor protein (APP...
#> ...
#>
```

```
#> Base composition:
#> a c g t
#> 0.276 0.223 0.258 0.244
```

The workflow detailed above provides a relatively simple example of how functions provided by **rentrez** can be used to identify, retrieve and analyse data from the NCBI's databases. The package includes an extensive vignette which documents each of the EUtils endpoints and demonstrates a number of detailed workflows. This tutorial can be accessed from within an R session by typing vignette(topic="rentrez_tutorial").

Demonstration: development of a new package

Development of **rentrez** has deliberately focused on producing a "low-level" package that provides a flexible interface to the entire the EUtils API. As a result the package does not provide functions for any particular analysis or return records in any of the object classes made available for biological data by other packages. Rather, it is hoped that by providing a reliable interface to the EUtils API that meets the NCBI's terms of use **rentrez** will help other developers to build packages for more specific use-cases. Indeed, the package has already been used to integrate NCBI data into packages dealing with sequence analysis (**genbankr**, Becker and Lawrence 2017), retrieval of phylogenetic trees (**rotl**, Michonneau et al. 2016) and handling of full-text journal articles (**fulltext**, Chamberlain 2016).

New packages that take advantage of rentrez will usually focus on providing a simple interface to users, meaning researchers will need not to be familiar the syntax or arguments used in EUtils to perform tasks. Packages may also provide functions to parse files returned by entrez_fetch, either to extract particular information from those files or represent them as R objects. Here I present an example of a small package that performs both of these tasks. The software repository for this manuscript (https://github.com/dwinter/rentrez_ms) includes the code for a package called "tidytaxonomy" that can be used to explore the taxonomic diversity of various NCBI databases. This demonstrates they way the low-level code in rentrez can be used to develop specific applications that have a much more simple interface can could be achieved with core rentrez functions.

The exposed functions from "tidytaxonomy" retrieve data from NCBI, but do not require the user to have any knowledge of the EUtils API. Internal functions parse the XML formatted records returned from the NCBI Taxonomy database and extract relevant information. The core function tidy_taxonomy allows users to retrieve a part of the NCBI Taxonomy database in "tidy data" (Wickham, 2014) format.

Once this data is obtained, two additional functions make it easy to include the number of records a given taxon has in a particular database. The function taxon_children is specifically for counting Taxonomy records which are subordinate to a given taxonomic group. The other function, taxon_records, discovers records in any NCBI database.

```
animal_orders$species <- taxon_children(animal_orders$order)</pre>
animal_orders$genomes <- taxon_records(animal_orders$order, db="genome")</pre>
animal_orders$sequences <- taxon_records(animal_orders$order, db="nuccore")</pre>
animal_orders$papers <- taxon_records(animal_orders$order, db="pubmed")</pre>
head(animal_orders,3)
       phylum
                   class
                                     order species genomes sequences papers
#> 1 Chordata Actinopteri
                             Lutjaniformes 279 0
                                                              9315
#> 2 Chordata Actinopteri
                             Gerreiformes
                                                62
                                                          0
                                                                  901
                                                                           0
```

The resulting data can be used to visualise the taxonomic diversity of NCBI databases (Figure 1). The Appendix to this paper includes code that takes advantage of the **treemap** (Tennekes, 2017) to produce these visualisations.

34

#> 3 Chordata Actinopteri Priacanthiformes

602

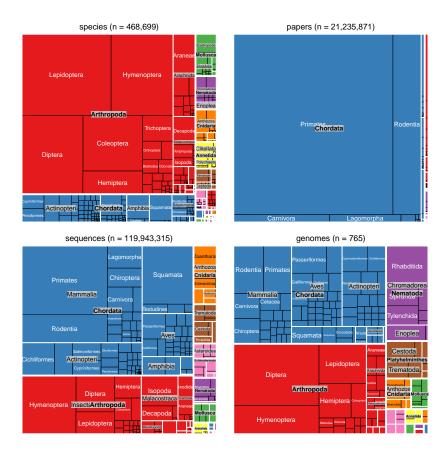


Figure 1: Taxonomic diversity of various NCBI database (considering only animals). Panels in each plot are scaled to represent the number of database records corresponding to a given taxonomic rank and are shaded to reflect the phylum to which they belong. Starting from the upper left in clockwise direction subplots represent number of species in NCBI Taxonomy, the number of papers in PubMed, the number of sequences in NCBI Nucleotide database and the number of nuclear genome sequences in NCBI Genome database

Continued development of rentrez

rentrez covers the complete EUtils API, is well-documented at the function and package level and includes an extensive test suite that covers internal functions as well as typical use-cases of the software. The current version of rentrez is thus considered a stable release, and it is unlikely any additional functionality will be added. The software is nevertheless still actively maintained to keep pace with CRAN and NCBI policies and to fix any bugs that arise. Software issues, including bug reports and requests for help with particular use-cases, are welcomed at the package's software repository: http://gituhub.com/ropensci/rentrez.

Acknowledgements

Development of the **rentrez** has benefited greatly from being part of the ROpenSci project. I am especially grateful to Scott Chamberlain for his guidance. I am also very grateful to everyone how has provided pull-requests or filed issues including Chris Stubben, Karthik Ram, Han Guangchun, Matthew O'Meara, Reed Cartwright and Pavel Fedotov.

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Appendix

Code used to produce Figure 1, using animal_orders data generated above.

```
# Format the total number of records for a graph title
make_title <- function(col_name, data){</pre>
    n <- sum(data[,col_name])</pre>
    with_commas <- formatC(n, format = "d", big.mark = ",")</pre>
    paste0(col_name, " (n = ", with_commas, ")")
}
# Generate a treemap from taxonmic data.frame
# * data= tidy_taxonomy data.frame
# * size_col = name of column for tm tile-size
# * fill_col = name of column for tile-fil
# * row = plot row in 2x2 grid
# * col = plot col in 2x2 grid
# * pal = palette for fill
taxic_diversity_tm <- function(data, size_col, fill_col, row, col, pal){</pre>
    treemap(data,
         index=c("phylum", "class", "order"), vSize=size_col, vColor=fill_col,
         palette=pal, type='categorical', position.legend="none",
         title=make_title(size_col, data), border.col=c("white", "white", "black"),
         vp = viewport(layout.pos.row = row, layout.pos.col = col)
}
library(treemap)
library(grid)
library(gridExtra)
# 24 phyla means some fill-colours will be re-used, ordering phylum factor by spp
# will prevent any "major" phyla from getting the same colour.
spp_per_phylum <- aggregate(species ~ phylum, FUN=sum, data=animal_orders)</pre>
phyla_ordered <- spp_per_phylum$phylum[ order(spp_per_phylum$species, decreasing=TRUE)]</pre>
animal_orders$phylum<- factor(animal_orders$phylum, levels=phyla_ordered)
pal <- rep(RColorBrewer::brewer.pal(8, name="Set1"), 3)</pre>
grid.newpage()
pushViewport(viewport(layout = grid.layout(2, 2)))
taxic_diversity_tm(animal_orders, "species",
                                                    "phylum", 1,1, pal)
taxic_diversity_tm(animal_orders, "papers", "phylum", 1,2, pal)
taxic_diversity_tm(animal_orders, "sequences", "phylum", 2,1, pal)
taxic_diversity_tm(animal_orders, "genomes", "phylum", 2,2, pal)
```